

Now we have an idea of the constraints on  $L(s)$  for closed-loop stability and transient performance

→ Make  $L(j\omega)$  have large positive phase margin  $\delta$   
and large crossover freq  $\omega_x$ ;  
(but check Nyquist in unusual or unfamiliar cases)

Let's examine constraints on  $L(s)$  which ensure good tracking, i.e. which ensure  $|e_{ss}(t)|$  is small for a variety of  $y_d(t)$ .

Recall that  $e(t)$  for a given  $y_d(t)$  is governed by sensitivity transfer function  $S(s)$  where

$$E(s) = S(s)Y_d(s) \quad \text{with} \quad S(s) = \frac{1}{1+L(s)}$$

Intuitively, we make  $e(t)$  small by making  $L(s)$  "big"

## Simple Relationships

Already seen:

$$\Rightarrow e_{ss}(t) = 0 \text{ when } y_d(t) = A \text{ (constant)}$$

$$\text{if } S(0) = 0$$

$$\Rightarrow |e_{ss}(t)| \leq 0.7A \quad (= 70\% \text{ error})$$

$$\text{if } y_d(t) = A \cos(\omega t + \psi)$$

$$\text{for any } \omega \text{ such that } |S(j\omega)| \leq -3\text{dB}$$

And we call the range of such  $\omega$  the  
"tracking bandwidth"  $\omega_B$  of the system.

## More general observations

$$|S(j\omega)| = \left| \frac{1}{1+L(j\omega)} \right| = \frac{1}{|1+L(j\omega)|}$$

All physical systems with implementable controllers satisfy:

$$|L(j\omega)| \rightarrow 0 \text{ as } \omega \rightarrow \infty$$

i.e.  $L(s)$  has relative degree of 1 or more (at least one more pole than zeros).

Since  $H(s)$  is constrained to have relative degree zero or greater, and all physical systems have  $G(s)$  with relative degree 1 or greater. Thus  $L(s) = G(s)H(s)$  has relative degree at least 1

Implication:  $|S(j\omega)| \rightarrow 1$  (0 dB) as  $\omega \rightarrow \infty$

$$|S(j\omega)|_{dB} \rightarrow \phi \text{ as } \omega \rightarrow \infty$$

Thus there is always an upper bound on bandwidth.

Let's see if we can more precisely characterize this bound in terms of properties of  $L(s)$ .

Looking at lower freqs:  $\omega \rightarrow 0$

$$S(0) = \frac{1}{1+L(0)}$$

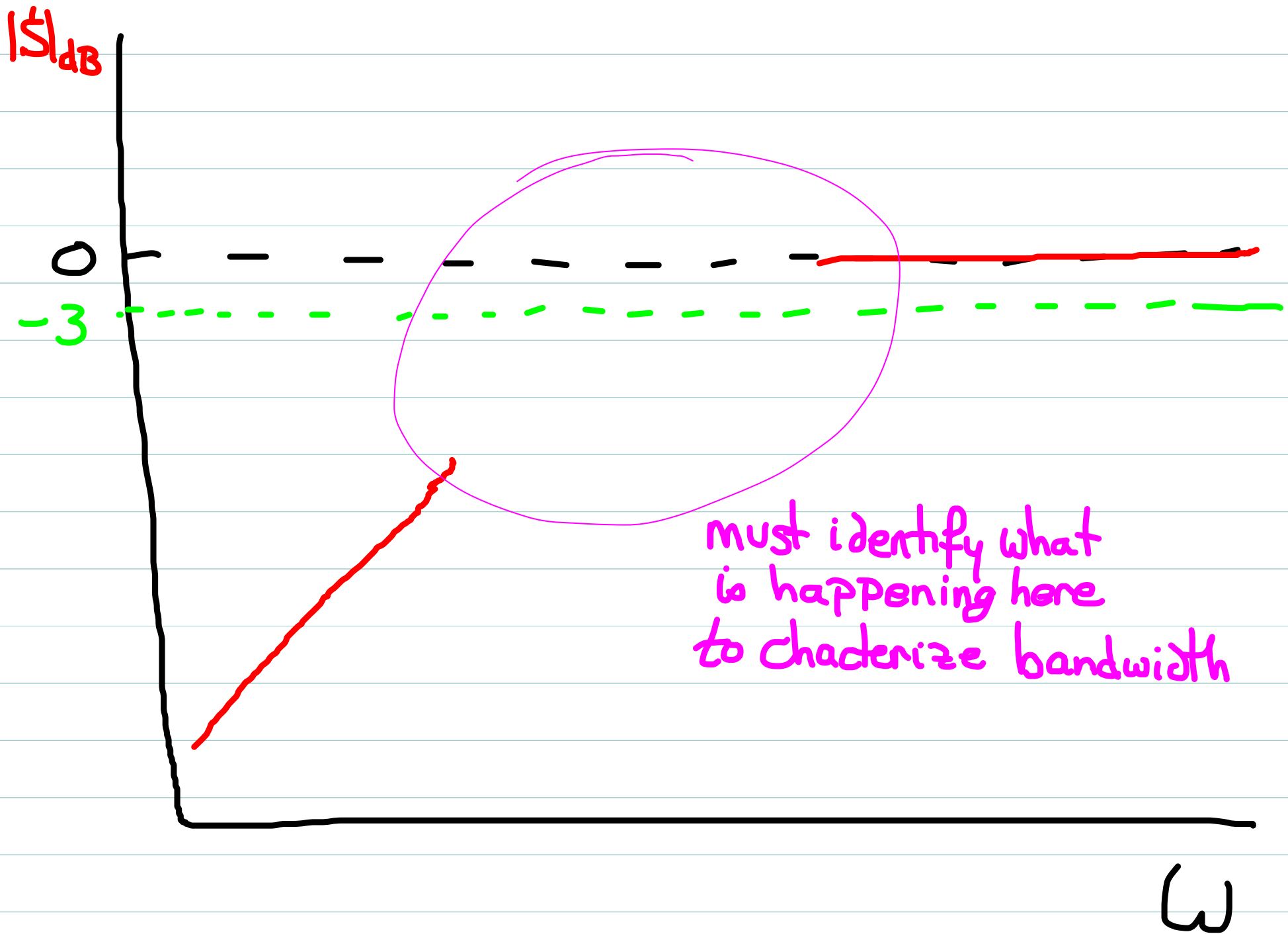
$$S(0) = 0 \Rightarrow L(0) = \infty \Rightarrow L(s) \Big|_{s=0} = \infty \Rightarrow L(s) \text{ has pole at origin}$$

$\Downarrow$

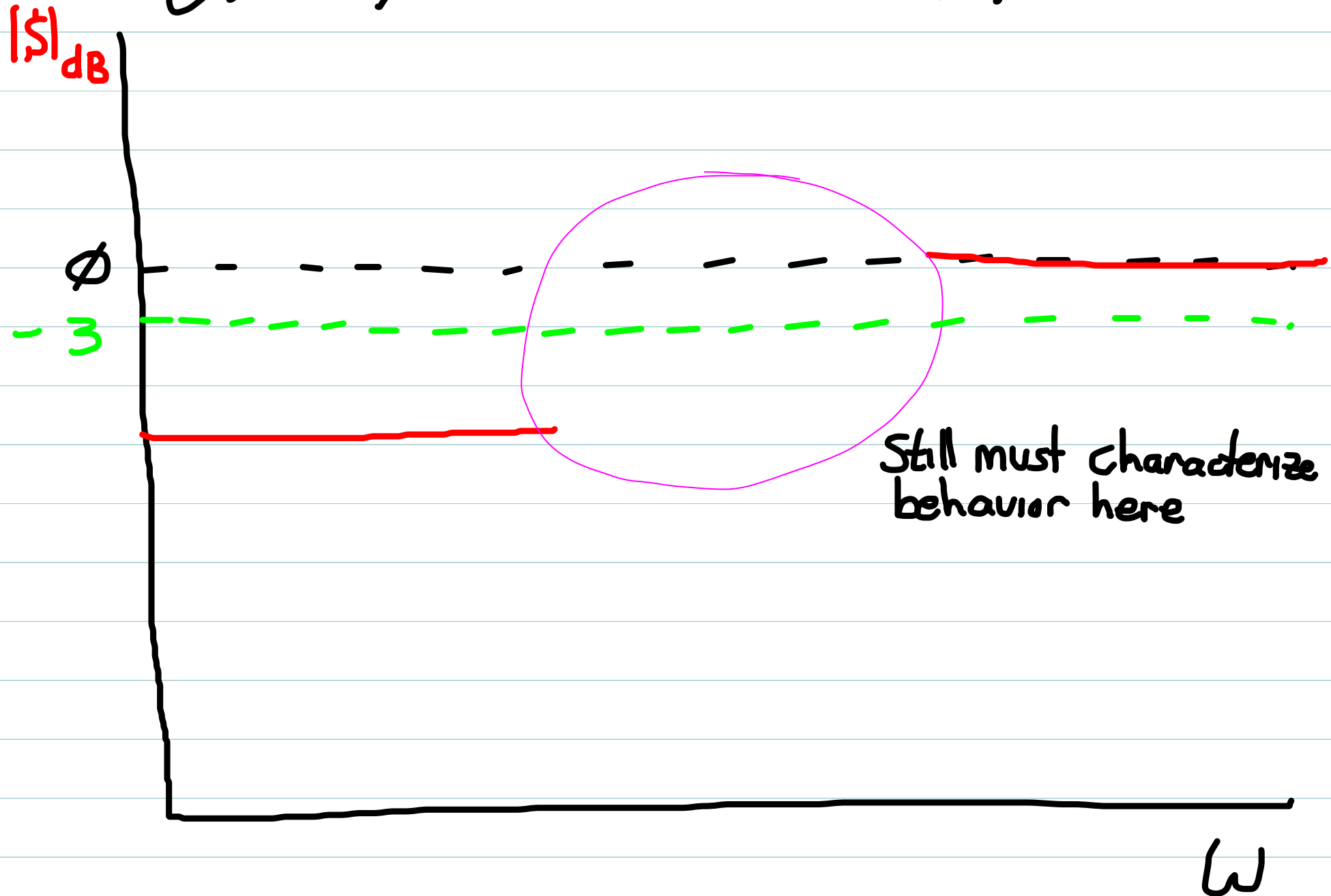
$S(s)$  has zero at origin

Remember this correlation!  
We will see it again!

$\Rightarrow$  low freq slope of  $|S|$  is positive



If  $L(\phi) \neq \infty$ , then  $|S(\phi)|$  is constant  
and mag plot of  $|S(j\omega)|$  has zero low freq slope



Bandwidth is region for which  $|S(j\omega)| \leq -3\text{dB}$

in actual units  $|S(j\omega)| \leq \frac{1}{\sqrt{2}}$

And hence is the region for which  $|1+L(j\omega)| \geq \sqrt{2}$

Want to identify constraints on  $L(j\omega)$  which guarantee this.

If  $|L(j\omega)| > 1$  ( $0\text{dB}$ ), then it is true that

$$|1+L(j\omega)| \geq |L(j\omega)| - 1$$

Hence, if  $|L(j\omega)| \geq 1+\sqrt{2}$  ( $\sim 7.7\text{dB}$ ), then

$$|1+L(j\omega)| \geq \sqrt{2} \quad \text{and} \quad |S(j\omega)| \leq -3\text{dB}$$

So, tracking bandwidth is guaranteed to be at least the range of  $\omega$  for which  $|L(j\omega)| \geq 7.7 \text{ dB}$

This is pretty close to  $\omega_x$  ( $|L(j\omega_x)| = 0 \text{ dB}$ )  
Let's see if we can more precisely relate  $\omega_B$  to  $\omega_x$ :

Assume that  $|L(j\omega)|$  is decreasing with slope at least  $-20 \text{ dB/dec}$  from  $+7.7 \text{ dB}$  through  $0 \text{ dB}$  (typical, but not always).

Then  $|L(j\omega)| \geq 7.7 \text{ dB}$  starting at frequencies  $(7.7/20)$  of a decade below  $\omega_x$

i.e. for  $\omega \leq (10^{-7.7/20}) \omega_x \approx \omega_x/2.5$



Now, let's look more precisely at what is happening at  $\omega_x$

$$|S(j\omega_x)| = \frac{1}{|1+L(j\omega_x)|}$$

$|1+L(j\omega_x)|$  depends on phase  $\angle L(j\omega_x)$  and hence on phase margin  $\gamma$ :

Since  $|L(j\omega_x)| = 1$  by definition:

$$L(j\omega_x) = e^{j\Phi} \quad \text{where } \Phi = \angle L(j\omega_x)$$

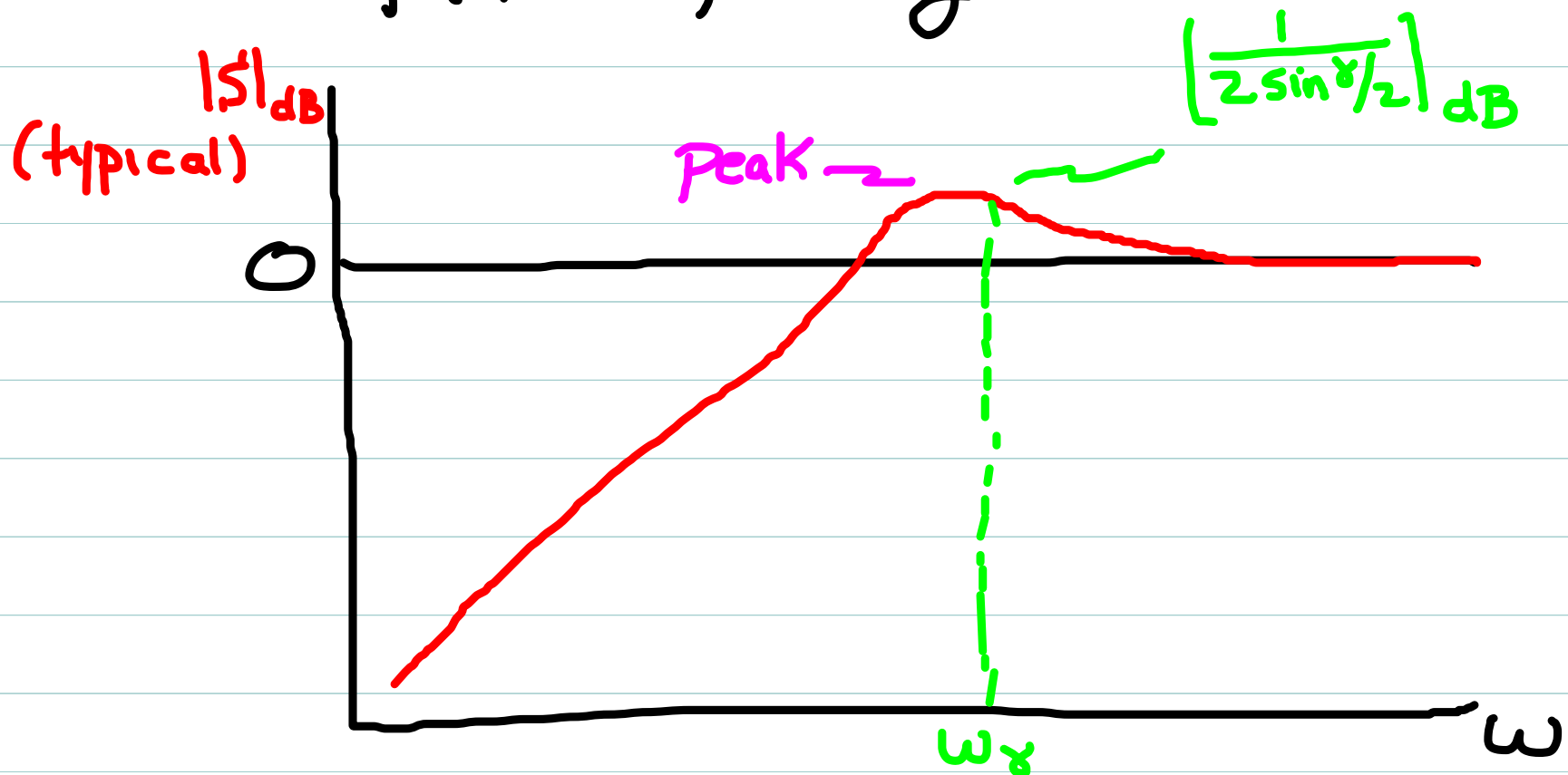
By definition of  $\gamma = 180 + \angle L(j\omega_x)$ ,  $\Phi = \gamma - 180^\circ$

$$\text{So } 1+L(j\omega_x) = 1 + e^{j(\gamma-\pi)} = (1 + \cos(\gamma-\pi)) + j\sin(\gamma-\pi)$$
$$\text{and } |1+L(j\omega_x)| = 2 \sin(\gamma/2)$$

Hence:

$$|S(j\omega_x)| = \frac{1}{2 \sin \gamma/2}$$

Note  $|S(j\omega_x)| > 1$  when  $\gamma < 60^\circ$ , thus generally  $|S(j\omega)|$  will exhibit a peak of height at least as tall as  $|S(j\omega_x)|$  (may be higher)



$$|S(j\omega_\gamma)| = \frac{1}{2\sin\gamma/2}$$

Note if  $\gamma = 90^\circ$   $|S(j\omega_\gamma)| = \frac{1}{\sqrt{2}}$

Together with previous observations we can conclude that typically for a feedback system with  $0 \leq \gamma \leq 90^\circ$

$$\frac{\omega_\gamma}{2.5} \leq \omega_B \leq \omega_\gamma$$

And in particular increasing  $\omega_\gamma$  increases tracking bandwidth  $\omega_B$

Thus in this sense, our design guidelines for performance are aligned with the design guidelines for good tracking

$\Rightarrow$  Larger  $\omega_B$  means a greater range of sinusoidal  $y_d(t)$  which can be tracked with minimal error.

But, this isn't the whole story!

Many times we require our designs to have  $|e_{ss}(t)| = 0$   
("perfect tracking") for specified classes of  
 $y_d(t)$  (even sinusoidal)

When can this be guaranteed?

Let  $L(s) = \frac{N(s)}{D(s)}$   $N(s), D(s)$  polynomials

$$\text{Then } S(s) = \frac{1}{1+L(s)} = \frac{D(s)}{N(s)+D(s)}$$

$\Rightarrow$  zeros of  $S(s)$  are poles of  $L(s)$

In particular, perfect tracking of step  $y_d(t)$  requires  
 $S(0) = 0 \Rightarrow D(0) = 0 \Rightarrow L(s)$  has at least 1 pole  
at origin, as we have seen.

More generally, Suppose

$$Y_d(s) = \frac{a(s)}{b(s)} \quad a(s), b(s) \text{ polynomials}$$

$$\text{Then } E(s) = S(s) Y_d(s)$$

$$= \left[ \frac{D(s)}{N(s) + D(s)} \right] \left[ \frac{a(s)}{b(s)} \right]$$

Now, assuming our controller at least stabilizes the feedback loop, the poles of  $S(s)$  [same as poles of  $T(s)$ ] are stable

If all poles of  $Y_d(s)$  (roots of  $b(s)$ ) are stable, then partial fraction expansion and inverse transform of  $E(s)$  will give  $e(t)$  as a sum of decaying exponential functions.

$$\Rightarrow e_{ss}(t) = \emptyset \text{ here}$$

Above result makes sense:

For a stable system,  $y(t)$  naturally "wants" to converge to  $\phi$ . If  $Y_d(s)$  has all stable poles, then  $y_d(t)$  is a sum of decaying exponentials and  $y_d(t) \rightarrow \phi$

So asymptotically, we are requiring the system to do what it already wants to do, and thus we get perfect steady-state tracking.

More interesting is when  $y_d(t) \not\rightarrow \phi$  As  $t \rightarrow \infty$ . So suppose that poles of  $Y_d(s)$  are not stable.

$$E(s) = \left[ \frac{D(s)}{N(s) + D(s)} \right] \left[ \frac{a(s)}{b(s)} \right]$$

and  $e(t)$  will contain same non-stable poles as  $Y_d(s)$ , unless...

$$E(s) = \left[ \frac{D(s)}{N(s)+D(s)} \right] \left[ \frac{a(s)}{b(s)} \right]$$

Unless, the non-stable poles of  $Y_d(s)$  are cancelled by zeros of  $S(s)$

i.e. if  $D(s) = D'(s)b(s)$ ,  $D'(s)$  polynomial

For  $y_d(t) = A \Rightarrow Y_d(s) = \frac{A}{s}$  ( $b(s) = s$ , root at origin)

Need  $D(s) = sD'(s)$  i.e.  $D(s)$  also has root at origin

$\Rightarrow L(s)$  has pole at origin (as we have already seen)

But the above result is much more general!

Suppose  $y_d(t) = At \Rightarrow Y_d(s) = \frac{A}{s^2} \Rightarrow b(s) = s^2$

If  $L(s)$  has  $\geq 2$  poles at origin  $D(s) = s^2 D'(s)$ , Non-stable terms will cancel.

# General Result

If  $L(s)$  has the same non-stable poles as  $Y_d(s)$   
then  $e_{ss}(t) = \emptyset$

If true, we say that  $L(s)$  has an "internal model" of  $y_d(t)$ , and the above fact is known as the "internal model principle" (IMP)

Note: while theoretically this applies even if  $Y_d(s)$  has unstable poles, practically we use this only for marginally stable poles of  $Y_d$ , i.e. poles on imaginary axis.

One common special case: "type  $p$ " (polynomial)  $y_d(t)$ , i.e.

$$\begin{aligned} (p \text{ integer} \geq 0) \quad y_d(t) &= \left( \frac{A_p}{p!} \right) t^p \quad A_p \text{ constant} \\ \Rightarrow Y_d(s) &= \frac{A_p}{s^{p+1}} \end{aligned} \quad \left. \begin{array}{l} p = \text{power of } t \\ \text{in } y_d(t) \Leftrightarrow \\ p+1 \text{ poles at } \emptyset \end{array} \right\}$$



Type  $p$ :  $Y_d(s) = \frac{A_p}{s^{p+1}}$

Via IMP: perfect tracking ( $e_{ss} = 0$ ) requires  $L(s)$  to have  $p+1$  poles at origin

$p=0$ ,  $y_d(t) = A_0$  (constant)  $\Rightarrow L(s)$  needs 1 pole at origin

$p=1$ ,  $y_d(t) = A_1 t$  (linear)  $\Rightarrow L(s)$  needs 2 poles at origin

and so on.

Now, suppose  $L(s)$  does not have enough poles at origin  
What happens? Look more closely at

$$E(s) = S(s)Y_d(s) = \left[ \frac{D(s)}{D(s)+N(s)} \right] \left( \frac{A_p}{s^{p+1}} \right)$$

When  $y_d(t)$  is type  $p$ .

$$E(s) = \left[ \frac{D(s)}{D(s) + N(s)} \right] \frac{A_P}{s^{P+1}}$$

Pull out any poles  $L(s)$  has at origin: Let

$$D(s) = s^N D'(s) \quad (N = \# \text{ poles of } L(s) \text{ at origin} \\ \text{"type" of } L(s))$$

$$\text{So } E(s) = A_P \left[ \frac{s^N}{s^{P+1}} \right] \left[ \frac{D'(s)}{N(s) + D(s)} \right]$$

If  $N \geq P+1$ ,  $E(s)$  will have only stable poles remaining  
and  $e(t) \rightarrow 0 \Rightarrow e_{ss}(t) = 0$

If  $N = P$ , however, ( $L(s)$  has one less pole at origin than  $Y_d(s)$ )  
then

$$E(s) = \left( \frac{A_P}{s} \right) \left[ \frac{D'(s)}{D(s) + N(s)} \right] = \frac{C_0}{s} + \frac{C_1}{s - d_1} + \dots$$

So  $e_{ss}(t) = C_0$  constant here

from stable poles  
of  $S(s)$  (and  $T(s)$ )

We can compute  $C_0$  in this case using residue formula:

$$C_0 = A_p \left[ \frac{D'(s)}{D(s) + N(s)} \right]_{s=\emptyset}$$

But recall  $D'(s) = D(s)/s^p$  (since  $p=N$  here)

$$\text{So } C_0 = A_p \left[ \frac{D(s)}{s^p D(s) + s^p N(s)} \right]_{s=\emptyset} = \left[ \frac{A_p}{s^p + s^p L(s)} \right]_{s=\emptyset}$$

But note (again since  $N=p$  here)

$$\left[ s^p L(s) \right]_{s=\emptyset} = K_{B,L} \quad \text{Bode gain of } L(s)$$

$$\text{So: } C_0 = \left[ \frac{A_p}{s^p + K_{B,L}} \right]_{s=\emptyset} = \begin{cases} \frac{A_p}{1 + K_{B,L}} & p=0 \\ \frac{A_p}{K_{B,L}} & p>0 \end{cases}$$

Now suppose  $N = p - 1$  ( $\geq$  less poles at origin in  $L(s)$ )

$$\begin{aligned}\text{Then } E(s) &= A_p \left( \frac{s^N}{s^{p+1}} \right) \left[ \frac{D'(s)}{D(s) + N(s)} \right] = \frac{A_p}{s^2} \left[ \frac{D'(s)}{D(s) + N(s)} \right] \\ &= \frac{C_0}{s} + \frac{C_1}{s^2} + \underbrace{\frac{C_2}{(s-d_1)} + \dots}_{\text{from stable poles of } S(s)}\end{aligned}$$

$$\text{So } e_{ss}(t) = C_0 + C_1 t \rightarrow \infty \text{ as } t \rightarrow \infty$$

Diverges

Easy to show similar phenomenon for any  $N < p$ .

i.e. if  $N = p - 2$  then

$$e_{ss}(t) = C_0 + C_1 t + C_2 t^2 \rightarrow \infty$$

etc.

Summary: Tracking for "type-P"  $y_d(t)$

$$y_d(t) = \left(\frac{A_P}{P!}\right) t^P, \quad N = \# \text{ poles at origin in } L(s).$$

$$e_{ss}(t) = \begin{cases} \emptyset & N > P \\ C_0 \neq \emptyset & N = P \\ \infty & N < P \end{cases}$$

Where

$$C_0 = \begin{cases} \frac{A_P}{1 + K_{B,L}} & P = \emptyset \\ \frac{A_P}{K_{B,L}} & P > \emptyset \end{cases}$$

and  $K_{B,L}$  is the Bode gain of  $L(s)$ .

Very important design constraint!