



SWE2020A

Mwangi Dennis 665749

Wayne Oloo 667369

1. Abstract

This report presents the development of a mental health support chatbot designed to address the United Nations Sustainable Development Goal 3: Good Health and Well-being. The chatbot utilizes natural language processing and machine learning techniques to provide users with information and support related to mental health issues. By offering accessible, immediate responses to mental health queries, this application aims to bridge the gap in mental health resource availability and reduce stigma associated with seeking help.

2. Introduction

Mental health is a critical component of overall well-being, yet access to mental health resources and information remains a significant challenge globally. The World Health Organization reports that approximately 1 in 4 people will be affected by mental or neurological disorders at some point in their lives, with many facing barriers to accessing appropriate care and support (WHO, 2021).

This project aims to address this issue by developing a mental health support chatbot. The chatbot serves as a first point of contact for individuals seeking information about mental health, providing immediate, accessible support and guidance. While not a replacement for professional mental health care, the chatbot can offer initial information, encourage seeking professional help when necessary, and potentially reduce the stigma associated with mental health issues.

3. Problem definition

Many people continue to face significant barriers in accessing mental health support. Despite mental health issues prevalence, access to mental health support remains limited due to factors such as stigma, cost, and availability of resources (Patel et al., 2018). We aim to address the

growing global mental health crisis by increasing access to immediate support and potentially reducing barriers to seeking professional help.

4. Design and Development

3.1 Data Collection and Pre-processing

The chatbot's knowledge base was constructed using a CSV file containing mental health-related questions and answers. The dataset was obtained from Kaggle due to constraints which the project faced from the beginning. The dataset contains a set of about 98 questions and answers before data pre-processing. This data set will be used to develop the AMA mental health chatbot which can answer some mental health questions and be able to give clarifications on questions asked by users.

The data was preprocessed using the following steps:

Text normalization refers to converting the text into a standard form. Word normalization is the task of identifying words that have the same meaning but spelled differently (e.g. U.S.A. and USA). Case folding is the task of transforming everything to lower cases

Tokenization involves breaking up a text into units by words, punctuation marks, or numbers. Generally, English words are separated by white space, so tokenizing an English text should be fairly straightforward. However, there are some problematic cases where the boundaries of words or sentences are ambiguous. Contracted items (e.g. isn't), phrases (e.g. muindi bingu), abbreviations (e.g. PhD.), and acronyms (e.g. AT&T) are examples of the special cases

Part-of-speech (POS) tagging is the process of marking up each word in the text with a tag that indicates its syntactic role, for example, whether it is a verb, noun, pronoun, conjunction, and so on. POS tagging is especially useful in cases where we encounter words that have different meanings and their use in the sentence may be ambiguous. For example, the word “ring” can be either a noun or a verb, but in the sentence “ring a bell,” it should be categorized as a verb, and using a POS tag in cases like this can clear up the confusion.

Name entity recognition (NER) involves extracting items such as persons, dates, and organizations. POS tagging and NER are disambiguation tasks and provide useful information about sentence structure and meaning. NER is often more complicated than POS tagging because it involves determining the appropriate segmentation

3.2 Model Architecture

After trying to use LSTM. Long Short-Term Memory (LSTM) networks is a variant of RNN that was introduced to overcome the difficulties of RNN. An LSTM network manages the context by removing irrelevant information and adding information likely to be useful in the future. This is achieved by explicitly adding a context layer and specialized units called gates to control the information flow. Three gates are introduced into the architecture, the forget gate, the add gate,

and the output gate. The forget gate is responsible for getting rid of information that is no longer useful, the add gate selects new information that is needed for the current context, and the output gate determines whether a piece of information is required for the current hidden state. Each gate is composed of a feedforward layer, a sigmoid activation function, and a pointwise multiplication with the layer being gated. An encoder-decoder model was trained on the CSV file. Encoder-decoder is a seq2seq model, also called the encoder-decoder model uses Long Short-Term Memory- LSTM for text generation from the training corpus

The model predicts a word given the user input and then each word is predicted using the probability of likelihood of that word occurring.

The training of the model became expensive so a simple architecture was thought as an alternative and to also improve the model accuracy. We decided to employ a retrieval-based approach, which focuses on selecting the most appropriate response from a predefined set of responses based on the user's input. This architecture is chosen for its simplicity, efficiency, and ability to provide consistent responses for known questions. The model consists of two primary components:

TF-IDF Vectorization the Term Frequency-Inverse Document Frequency (TF-IDF) vectorization is utilized to convert the text data into numerical vectors. This technique is crucial for transforming the unstructured text of both the predefined questions and user queries into a format suitable for computational analysis. TF-IDF works by assigning weights to terms in a document based on their frequency within that document and their rarity across all documents in the corpus. This approach helps to identify terms that are particularly important or characteristic of a given document. Mathematically, for a term t in document d , the TF-IDF score is calculated as: $TF\text{-}IDF(t,d) = TF(t,d) * IDF(t)$ Where:

- $TF(t,d)$ is the term frequency of t in d
- $IDF(t)$ is the inverse document frequency of t across all documents

Cosine similarity is employed as the metric to measure the similarity between the vectorized user queries and the existing questions in the database. This measure calculates the cosine of the angle between two vectors in a multi-dimensional space, providing a similarity score between -1 and 1, where 1 indicates perfect similarity. The cosine similarity between two vectors A and B is computed as: $\cos(\theta) = (A \cdot B) / (\|A\| \|B\|)$ Where:

- $A \cdot B$ is the dot product of vectors A and B
- $\|A\|$ and $\|B\|$ are the magnitudes of vectors A and B respectively

In the context of our chatbot, when a user inputs a query, it is first vectorized using the TF-IDF vectorizer. The cosine similarity is then calculated between this vector and the vectors of all pre-existing questions in the database. The question with the highest similarity score is selected, and its corresponding answer is returned as the chatbot's response.

3.3 Implementation

The chatbot was implemented in Python, utilizing libraries such as pandas for data handling, scikit-learn for TF-IDF vectorization and cosine similarity calculation, and NLTK for text preprocessing. Flask was used to build a user-friendly way to interact with the chatbot by

providing a framework to implement a chatbot API that can be accessed from the web. The code for the project will be provided at the end as a GitHub link.

4. Development Process

The creation of our mental health FAQ chatbot followed a structured approach, encompassing several key stages from initial data preparation to final testing and refinement. This section outlines the primary steps in our development process, highlighting the methodical progression from raw data to a functional, user-friendly chatbot.

4.1 Data Preparation and Cleaning

The foundation of our chatbot's knowledge base was a comprehensive dataset of mental health-related questions and answers. We sourced this data from a Kaggle dataset and subjected it to rigorous validation. The preparation process was meticulous, involving the removal of duplicate entries and the standardization of text format to ensure consistency in capitalization and punctuation. We paid particular attention to correcting spelling and grammatical errors, recognizing the importance of accuracy in the sensitive field of mental health information. Each question-answer pair underwent scrutiny to ensure completeness and coherence, laying a solid groundwork for the subsequent stages of development.

4.2 Model Training and Vectorization

With a clean, well-structured dataset in hand, we proceeded to train our model. The cornerstone of our approach was the implementation of TF-IDF vectorization, a technique that allowed us to convert our text data into numerical vectors suitable for computational analysis. We carefully crafted a vocabulary from our corpus of questions, ensuring comprehensive coverage of mental health terminology. The generation of TF-IDF matrices for our question set was a crucial step, enabling our model to understand the relative importance of terms within our specific domain. Throughout this phase, we continually optimized our vectorization parameters, striking a balance between model complexity and performance to achieve optimal results.

4.3 Development of the Chatbot Interface

Parallel to our model training efforts, we focused on creating a user-friendly interface for our chatbot. Our design philosophy centered on accessibility and ease of use, recognizing that individuals seeking mental health information may be in vulnerable states. We developed an interface capable of accepting user input in natural language, mirroring the conversational style of human interaction. Clear display of chatbot responses and a seamless conversation flow were prioritized to enhance user experience. We also incorporated robust error handling and input validation mechanisms to gracefully manage unexpected user inputs and maintain a smooth interaction flow.

4.4 Integration of the Trained Model with the Chatbot Interface

The integration phase brought together our trained model and the user interface, creating a cohesive chatbot system. We established a streamlined pipeline to process user input through our trained model, implementing cosine similarity calculations to identify the best-matching questions from our database. The retrieval and formatting of corresponding answers were optimized for clarity and relevance. Throughout this integration, we placed a strong emphasis on minimizing response time, ensuring that users could engage in fluid, natural conversations with the chatbot.

4.5 Testing and Refinement

The final stage of our development process involved comprehensive testing and iterative refinement. We analyzed chatbot responses, scrutinizing them for accuracy, relevance, and coherence in the context of mental health queries. Based on test results and user feedback, we made necessary refinements to both the model and interface, implementing additional features and adjustments to enhance overall performance. This iterative process continued until we achieved a level of functionality and reliability that met our high standards for a mental health information resource.

5. Results and Discussion

The evaluation of our mental health FAQ chatbot revealed a nuanced performance profile, demonstrating both strengths and areas for potential improvement. This section details our key findings and their implications for the chatbot's effectiveness as a mental health information resource.

Our analysis showed that the chatbot excelled in handling queries closely aligned with its training data. When presented with questions directly matching or closely resembling those in its knowledge base, the system consistently provided accurate and relevant information. This high performance on familiar queries underscores the importance of a comprehensive and well-curated training dataset in developing effective retrieval-based chatbots.

For queries that were semantically similar but not identical to the training data, the chatbot demonstrated reasonable performance. It successfully identified relevant information in many cases, showcasing the effectiveness of our TF-IDF vectorization and cosine similarity approach in capturing semantic relationships. This capability is particularly valuable in the mental health domain, where users may phrase similar concerns in diverse ways.

However, the chatbot's performance showed limitations when confronted with complex or multi-faceted queries. In these instances, the system sometimes struggled to provide comprehensive answers or to accurately parse the multiple aspects of the question. This finding highlights a key area for future development, potentially involving more sophisticated natural language processing techniques or the implementation of a query decomposition mechanism, the LSTM approach showed more promise when faced with this kind of questions and that could also be an area for work.

The varying degrees of success observed with novel queries underline both the potential and the challenges of our current approach. While the chatbot showed promise in generalizing to some extent beyond its training data, there is clear room for improvement in handling a wider range of user inputs.

These results collectively paint a picture of a chatbot that serves as a reliable source of information for straightforward mental health queries but may require further refinement to address more complex user needs. The strong performance on direct matches validates our approach for providing consistent, accurate information on common mental health topics. At the same time, the identified limitations offer valuable insights for guiding future enhancements to the system.

Moving forward, these findings suggest several potential avenues for improvement. Expanding the training dataset to cover a broader range of questions and phrasings could enhance the chatbot's ability to handle diverse queries. Additionally, exploring more advanced natural language processing techniques or incorporating machine learning models capable of understanding context and nuance could address the challenges posed by complex, multi-faceted questions

6. Potential Impact

The development and deployment of our mental health support chatbot hold promise for making substantial contributions to Sustainable Development Goal 3 (SDG 3), which aims to ensure healthy lives and promote well-being for all at all ages. This section explores the potential impact of our chatbot on mental health support and awareness.

One of the most significant potential impacts of our chatbot is the increased accessibility to mental health information. By providing a digital platform that is available 24/7, we are breaking down barriers to accessing crucial mental health resources. This constant availability ensures that individuals can seek information and support at any time, regardless of their location or schedule constraints. In regions where, mental health professionals are scarce or where there are long waiting times for appointments, our chatbot can serve as an immediate source of reliable information, potentially filling a critical gap in mental health support services.

The immediate nature of the chatbot's responses also presents an opportunity for crisis de-escalation. In moments of acute stress or emotional distress, having instant access to supportive information and coping strategies could prove invaluable. While our chatbot is not designed to replace professional intervention in crisis situations, it can provide initial guidance and support that may help users manage their immediate emotional state. This immediate support mechanism has the potential to prevent the escalation of mental health issues, promoting early intervention and potentially reducing the severity of mental health episodes.

Another crucial aspect of our chatbot's potential impact is its role in encouraging users to seek professional help when necessary. By providing information about mental health conditions and treatment options, the chatbot can help users recognize when their symptoms may require professional intervention. The chatbot is programmed to emphasize the importance of

professional mental health care and can provide information on how to access these services. This guidance could lead to earlier detection and treatment of mental health conditions, potentially improving outcomes for individuals struggling with mental health issues.

Furthermore, the anonymous nature of interacting with a chatbot can play a significant role in reducing the stigma associated with mental health inquiries. Many individuals hesitate to seek mental health information or support due to fear of judgment or discrimination. Our chatbot provides a safe, private space for users to explore mental health topics without the perceived risks of face-to-face interactions. This anonymity could encourage more individuals to take the first step in addressing their mental health concerns, potentially leading to broader mental health awareness and acceptance in society.

The potential impact of our chatbot extends beyond individual users to the broader healthcare system. By providing a first line of information and support, the chatbot could potentially alleviate some of the pressure on mental health services. It could help triage cases, providing immediate support for milder concerns while directing more severe cases to appropriate professional care. This could lead to more efficient use of mental health resources, allowing professionals to focus on cases that require their expertise.

7.Limitations and Future Work

The development and evaluation of our mental health support chatbot have revealed both its potential and its current limitations. This section discusses these limitations and outlines directions for future work to enhance the system's capabilities and effectiveness.

Our current implementation, while demonstrating promise in providing mental health information and support, is constrained by the scope of its training data. The chatbot's knowledge is inherently limited to the questions and answers included in its initial dataset. This restriction can lead to suboptimal responses when users present queries that fall outside this predefined scope. In the complex and nuanced field of mental health, this limitation could potentially result in incomplete or insufficiently tailored information for some user needs.

Another significant limitation of the current system is its inability to maintain context over multiple interactions. Each query is processed independently, without consideration of previous exchanges in the conversation. This lack of conversational memory can lead to disjointed interactions, particularly when users are exploring complex topics that require a series of related questions. The absence of contextual understanding may reduce the chatbot's ability to provide cohesive, comprehensive support over extended interactions.

Furthermore, the current implementation lacks personalization features based on user history. The chatbot provides the same responses to similar queries, regardless of the individual user's background, preferences, or previous interactions. In the realm of mental health support, where personal experiences and needs can vary greatly, this one-size-fits-all approach may limit the relevance and effectiveness of the information provided.

Addressing these limitations presents exciting opportunities for future work and system improvements. A primary focus for enhancement should be the expansion of the chatbot's knowledge base. This could involve not only increasing the volume of mental health-related questions and answers but also incorporating more diverse perspectives and addressing a wider range of mental health topics. Collaborating with mental health professionals to curate and validate an expanded dataset could significantly enhance the chatbot's ability to provide comprehensive and accurate information.

Implementing more advanced natural language processing techniques, such as transformer models, could dramatically improve the chatbot's language understanding and generation capabilities. These models, which have shown remarkable performance in various language tasks, could enable the chatbot to better comprehend complex queries and generate more nuanced, context-appropriate responses. This advancement could bridge the gap between the current keyword-based matching and a more sophisticated understanding of user intent and sentiment.

To address the limitation of contextual awareness, future work should focus on incorporating a dialogue management system. Such a system would allow the chatbot to maintain context over multiple turns of conversation, leading to more coherent and meaningful interactions. This enhancement could enable the chatbot to engage in more natural, flowing conversations, potentially improving user satisfaction and the overall effectiveness of the support provided.

Developing personalization features represents another crucial area for future work. By implementing mechanisms to remember and learn from individual user interactions, the chatbot could tailor its responses based on user history, preferences, and needs. This personalization could range from adjusting the language complexity to recommending resources based on past queries, ultimately providing a more relevant and user-centric experience.

8. Conclusion

The development of this mental health support chatbot represents a step toward addressing the global challenge of mental health support accessibility. By leveraging machine learning and natural language processing, the chatbot offers a scalable solution to provide immediate, accessible mental health information. While not a replacement for professional care, such tools can play a crucial role in mental health awareness, education, and early intervention, contributing to the realization of SDG 3.

References

1. World Health Organization. (2021). Mental Health and Substance Use.
2. <https://sdgs.un.org/goals/goal3>
3. Luxton, D. D., et al. (2011). Artificial intelligence in psychological practice: Current and future applications and implications. *Professional Psychology: Research and Practice*.

