


Literature Review Presentation

Seattle Needles
Friday, November 20th

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Presentation Roadmap

- Introduction
- Election Prediction
- Sentiment Analysis
- User and Tweet Filtering
- User Profiling
- Conclusion

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Our Question:

How has social media been used to predict previous election results?

Related Questions:

- Which social media's data was collected?
- How was the data collected and cleaned?
- Which features were used as predictors?
- Which methods of prediction were successful?
- How were results evaluated?
- How were users profiled or filtered?
- Is the pool of Twitter users who tweet about politics biased?

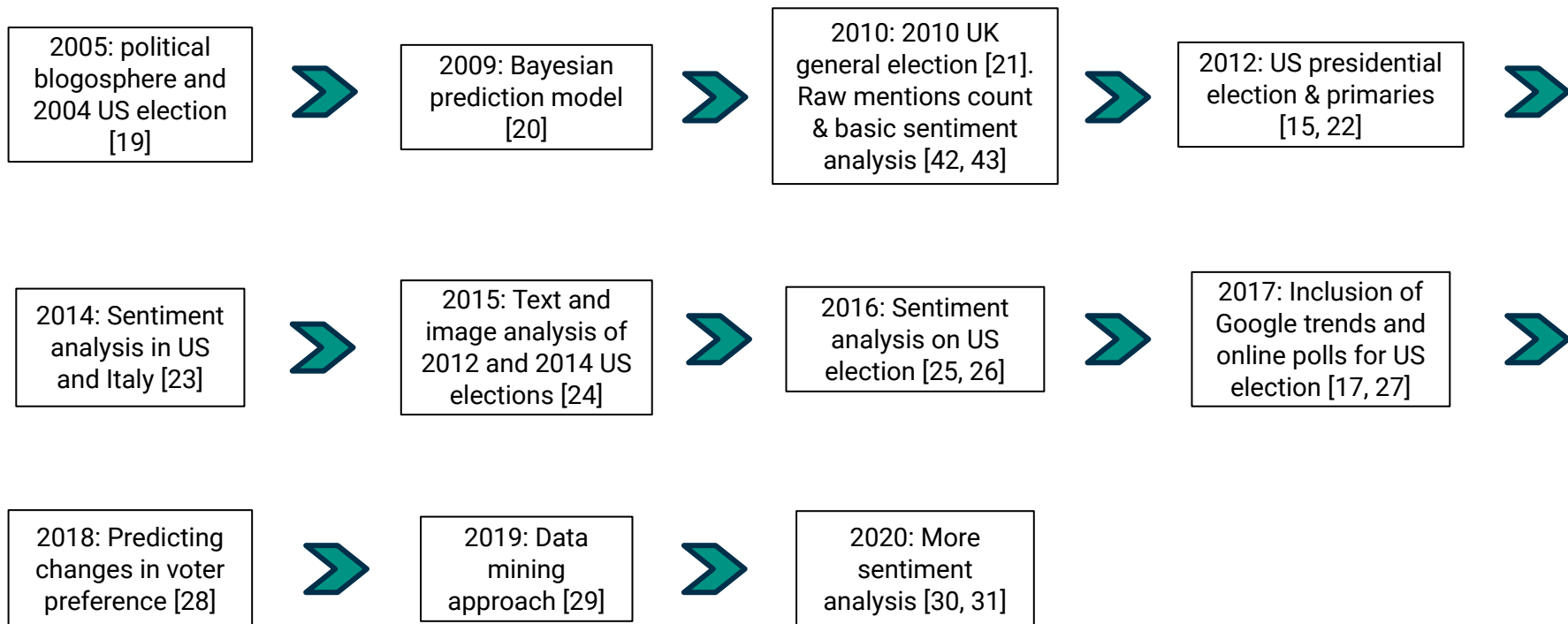
Why Answer this Question?

- Our project is: to what extent can social media predict the 2020 election?
- It is **cool** and **interesting**!
 - We can examine our own social media use/voting behaviors
 - Do I share my political views on social media?
 - Am I honest on social media?
- Answering this question brings us closer to answering our overarching question - **how can we exploit social media to understand the real world?**

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- **Election Prediction**
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Timeline of Election Prediction by Social Media



Facebook vs Twitter for Election Prediction



Election Prediction with Facebook



- **Facebook** is used in hundreds of election prediction papers, though they are **not as well-cited** as those using Twitter
- **Election prediction approaches using Facebook** are generally based on likes and positive or negative sentiment responses on pages and posts of a political candidate [40]
- **Facebook uses a Graph API**, so you cannot directly query posts for location
- Since we are interested in locations of users (because we want to predict states in our project), **we focused our analysis and survey to how Twitter is used for predicting elections**

Election Prediction with Twitter

- **Twitter** is the primary social media website used for election prediction based on paper citations
- **Twitter API** can query tweets from relevant locations with geocoded tweets and profile location
- **Election prediction approaches using Twitter** are generally based on the total number of positive or negative sentiment tweets about a candidate [41]



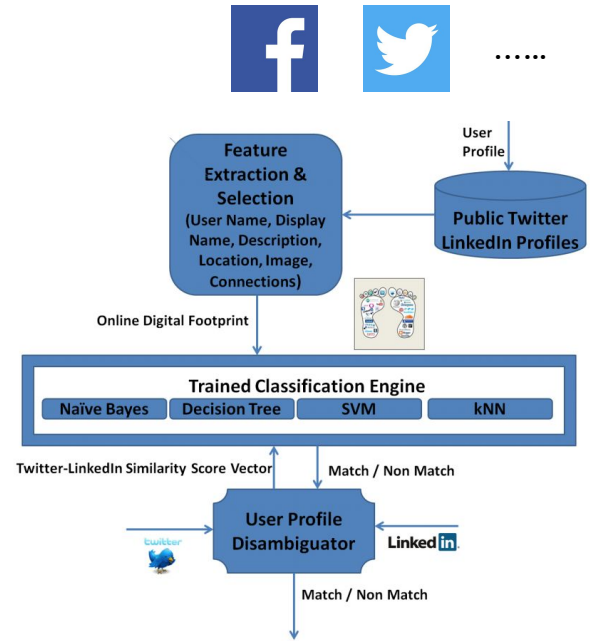
User Merging

To identify the same user cross-platform, we can track the digital footprints of users [5].

- Use selected features based on user profile(N-dimensional vector)

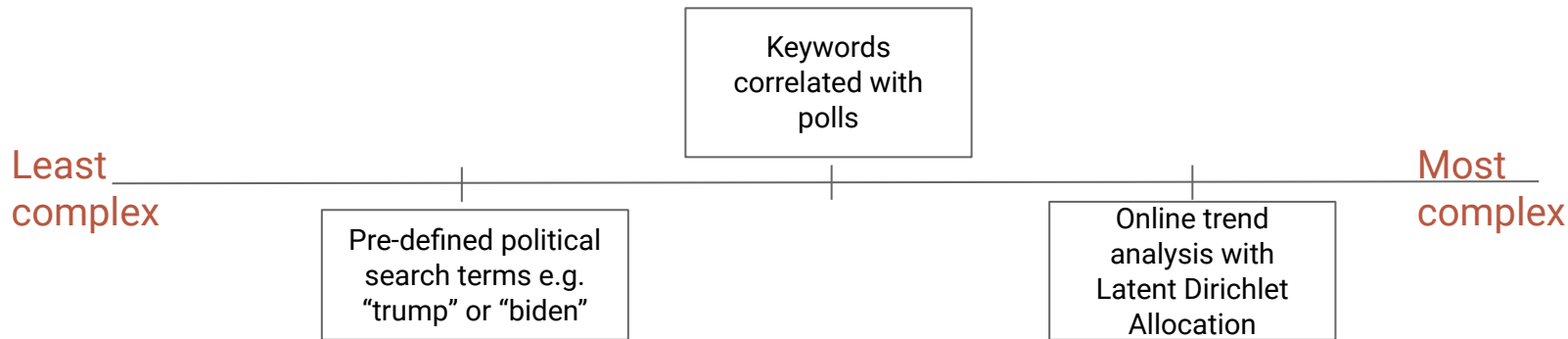
Assess usefulness of features and different classifiers:

- **Naive Bayes**, Decision tree, SVM, KNN
- Feature set: <**name, userId, location, description, image**, # of connections, ...>
- Match users with 98% accuracy



Twitter Queries

- **Pre-defined list** of search terms [42]. For example: obama, romney, pelosi, reid, biden, mcconnell, cantor, boehner, liberal, liberals, conservative, conservatives, republican, republicans, democrat,
 - Critique: how do we discover new and emerging keywords related to political trends?
- Some papers use a similar approach to the Flu paper we read for class to **find keywords and hashtags that are highly correlated with political polls**, then filter out non political ones [43]
 - Critiques: polls may be unreliable + how do we filter out non-political terms for emerging trends?
- **Online Trend Analysis with Topic Models** which uses an online **Latent Dirichlet allocation (LDA)** system [44]
 - Explained this last Tuesday in a presentation, see Blei et al. (2003)
 - Critique of literature: Not implemented in any election prediction paper yet

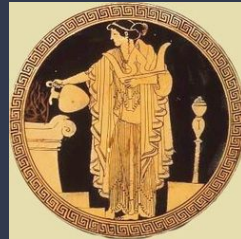


Twitter Data Collection

- **Median length of data collection window is 2 months** before the election [41]
 - Critique of literature: no analysis done on what the best length for the collection window is
- **Current consensus on ending data collection to the day before the election**, Tumasjan et al. 2010 is the only widely cited (3000+) paper not following this consensus, which was later criticized by Jungherr et al. 2011. Nevertheless, no quantitative analysis on what the optimal time window is. [45, 46]
- **Location Filtering:**
 - Filter tweets by their **geocode or the location specified** on a Twitter profile (used by Gayo-Avello 2011 and Skoric et al. 2012)
 - Others (Tumasjan et al. 2010; Jungherr et al. 2011; Tjong Kim Sang & Bos 2012) make an **assumption about a user's location based on the language(s) used in their tweets** [45, 46, 47, 48, 49]



Election Prediction: Prediction Methods



- **Critique of literature:** many election prediction papers are written in hindsight using post-hoc analysis, so some authors do their analyses having already seen what they are trying to predict without simulating what data would be known at the time of making the prediction before the election [41]
- **Counting Raw Tweets Mentioning Candidate:** First paper to use this approach Tumasjan et al. 2010 just counted the tweets with the words “Obama” or “McCain” and assumed the more mentions one has, the more votes they have [41, 45]
 - Gayo-Avello 2011 showed that raw tweet counts perform *worse than a random classifier* [41]
 - We can see with 2020 hindsight that this approach isn’t good enough to deal with many complexities like many negative tweets about Trump, pro-Trump bots/trolls, and the “shy-Trump voter” phenomena
- **Volume of Positive Sentiment Tweets:** Currently, the most common method used in the literature advancing on raw tweet counts is to follow O’Connor et al (2010) in using the number of positive and negative sentiment tweets about a political candidate and either count the number of people who like the candidate or compute or a sentiment score for the candidate, then aggregate on national level [41, 50]
 - Critique: only uses the simplest of all sentiment analysis methods (positive / negative)
- **The literature is currently advancing by experimenting with new methods beyond these 2 basic methods**
- We give 3 examples of these new methods on the next 3 slides

New Election Prediction Method #1: Real-Time Analysis

- **Real-Time Analysis:** analyzing how the sentiment of tweets about the candidates change over time [51, 58]
- Analyzing which hashtag and keyword trends occurred before a shift in sentiment
- Not commonly used

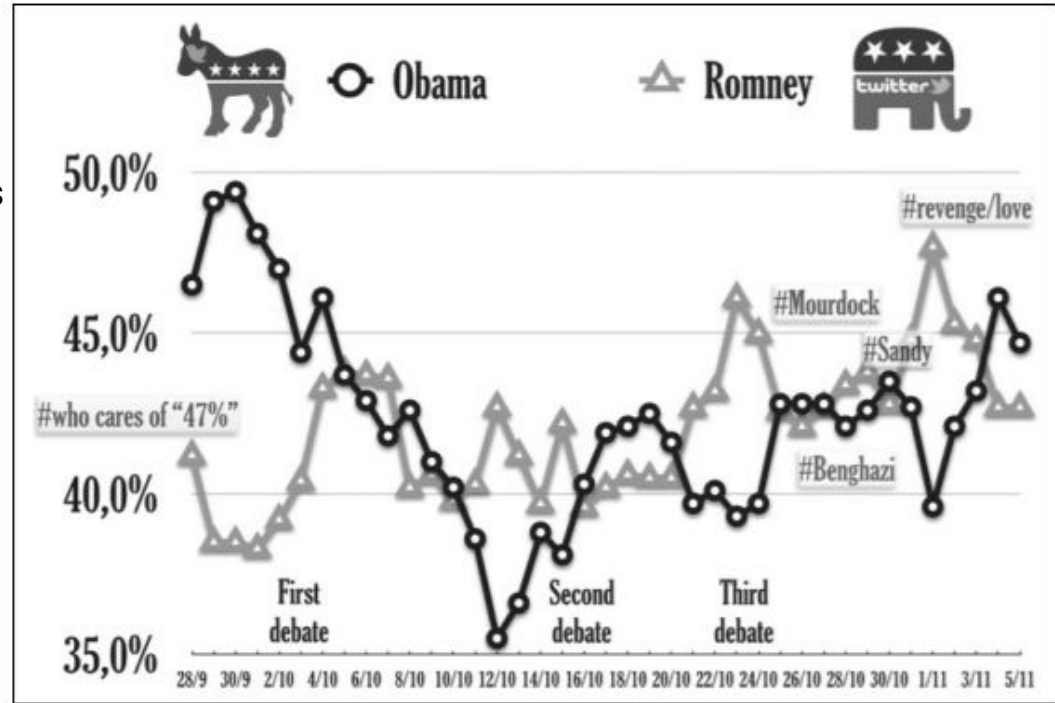


Figure 1. U.S. Presidential 2012: The trend of Twitter votes for Obama and Romney.

New Election Prediction Method #2: Distance Metric

- **Aggregated information** about parties and politicians and compared the resulting profiles with **anecdotal evidence from election programs and the press**
- **Analyzed the political sentiment of the tweets** using generated multi-dimensional profiles of the politicians using the **relative frequencies of category word counts**
- If $d_{i,p}$ is the value of the i -th dimension for politician p , then the following equation represents the **average distance from the mean profile per category of all politicians across the 12 dimensions**
- Prediction of party coalitions and election winners based on the **minimum distance** from the mean Twitter user to the political candidate across the 12 factors (money, future-oriented, etc) [45]
- **No evaluation of this method**, they called it a “plausible” reflection of political sentiment on Twitter
- Predicted election with a simple counting of the number of mentions of a candidate and MAE [45]

$$d = \sum_{d=1}^{n_d} \frac{\left| d_{i,p} - \left(\sum_{p=1}^{n_p} d_{i,p} \right) / n_p \right|}{n_d} / 12$$

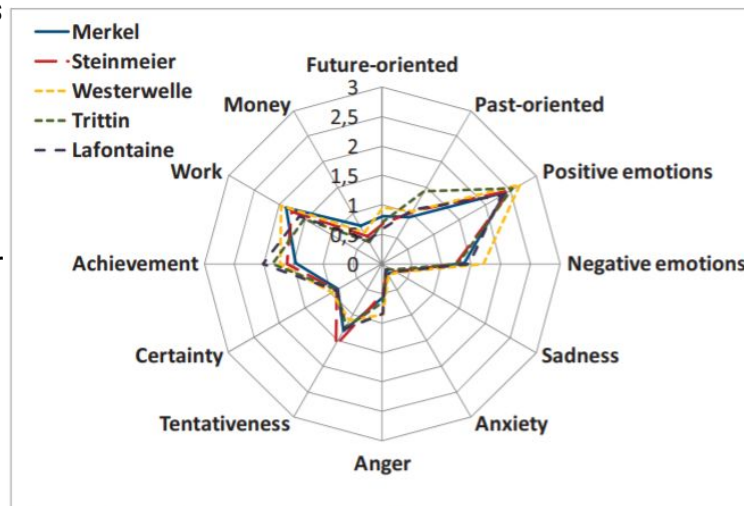


Figure 1: Profiles of leading candidates

New Election Prediction Method #3: Graph + Classifier

- **Logistic Regression model:** features of network structure in political communities [52]
- **Dependent variable:** candidate wins or loses election
- **Independent variables:**
 - Closeness-{in,out,all} (Freeman 1979) – measuring the centrality of a candidate in the graph.
 - HITS Authority score (Kleinberg et al. 1999) and PageRank (Page et al. 1998) – measuring the relative importance of a node in the graph.
 - In/Out-degree – number of edges to/from the node.
 - Incumbency – Boolean variable indicating whether the candidate was incumbent
 - KL-party/corpus – the KL-divergence between the LM of a user & the LM of his party
 - Party – indicating the political group a user belongs to (Democrat, Tea-Party or Republican).
 - Same-party – indicating whether the party of the candidate is the same as last to hold seat
 - Tweets, hashtags, replies and retweets – basic statistics of a candidate's Twitter activity
- **88% accuracy.** Does it generalize to elections in different years and countries?

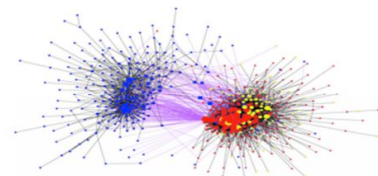


Figure 2. Plot of the candidate network (force-directed graph embedding layout modified to emphasize separation, nodes size proportional to indegree)

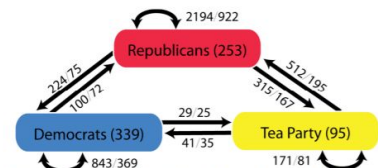


Figure 3. Number of explicit follower edges and unique @mention edges (follower / mention)

Post-Processing Tweets

- **Weakness of the literature:** Most papers do not consider or implement *any* of these filtering methods
- **Debiasing with Demographic Data:** Another filtering method is using demographic features (race/ethnicity, sex/gender, age, population on Twitter vs. Actual) to **undersample/oversample users or weight tweets differently** from demographics groups in particular locations
 - Reason used: Twitter users are a biased sample the general population
 - Goal: handle under/over-representation and biases from the sample of Twitter users
- **Debiasing with Assumption about Distribution of Political Beliefs:** Remove bias of Twitter users being more liberal than conservative in the US, and to address the “shy-Trump voter” phenomena [41, 49]
 - Results inconclusive because authors relied on polling data and no improvement in results [41]
- **Purifying with NLP:** filtering dataset by only considering prospective and potential voters [41]
 - Never implemented in the literature [41]
- **Denoising with Bot and Troll Detection:** filtering dataset by ensuring tweets are not spam from bots or misinformation from trolls. Considered by Metaxas et al. 2011 but not implemented [53]



Election Prediction: Evaluation

- **Important Note:** There are many ways to evaluate election predictions, with no one clear winner
- **Baseline:** Incumbent winning swing states and national election (9/10 times they win) [53]
- **Prediction Target:** Different levels of granularity e.g. national popular vote, state, district outcomes
- **Metric:** Mean absolute error (MAE) of one's prediction compared with either vote rates from the last election or from pre-election polls [45]

$$\text{MAE} = \frac{1}{n} \sum_{i=1}^n |x_i - x|$$



- **MAE highly dependent on election and location**, so it is not obvious how to generalize MAE
- For example, MAE of 40% could give a correct prediction for Illinois, but a MAE of 5% could give a wrong prediction for Texas [54]
 - Critique of the literature: MAE does not generalize to all elections. It is too dependent on the specific election, country, etc to be interpretable. New evaluation metrics are needed.

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Tweets Sentiment Analysis

- The use of social media in last few decades have been helpful in determining people's attitude with respect to specific topics or events
- A wide research interest in natural language processing study the hypothesis based on the users' sentiment on social media.
- Sentiment analysis of the tweets can help determine the polarity and inclination of vast population towards specific topic, item or entity.
- Build sentiment classifier and classified the data basically as positive, negative and neutral. A two stage framework can be formed to create a training data from the mined Twitter data and to propose a scalable machine learning model to predict the election result.

Critique:

- But is it enough to only use positive, negative and neutral?



Sentiment analysis

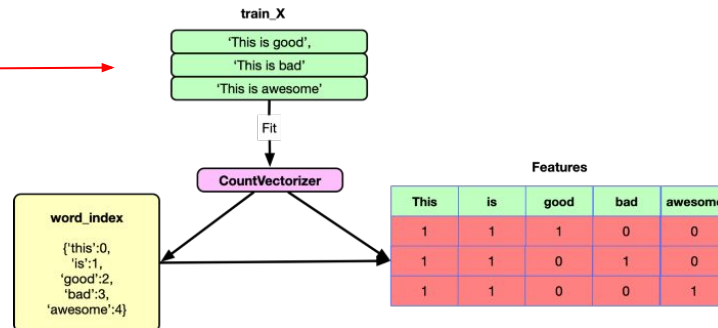
Tweets Sentiment Analysis methods (ML based)

Sentiment Analysis can be categorized into two approaches: **Machine Learning based approach** and **Lexicon based approach**.

- Machine Learning based approach classifies the text using classification algorithm. Popular text classification algorithms like **Naive Bayes** and **SVM** are Supervised Learning Algorithms that require **labeled training data**.
- To **numerically represent** the preprocessed data. The well-known techniques for vectorization of words in Natural Language Processing are: **CountVectorization** and **Tf-IDF transformation**.

CountVectorization generates a matrix representing all the words in the document

Tf-IDF transformation weight the words. So the normal or frequent words will not have much weight to influence the prediction.



Tweets Sentiment Analysis methods (Lexicon based)

- Lexicon based approach uses **sentiment dictionary** with opinion words and match them with data to determine polarity. Sentiment values are assigned to words that describe the positive, negative and neutral attitude of the speaker.
- A **score** of +1 is given to a positive word (like good, great etc) and -1 is given to a negative word (like bad, worse etc) and 0 for neutral words (like quite, average etc).

The **total polarity** of a tweet is then calculated by adding the scores of all the individual words. These counts are then used to determine the percentage of positive, negative and neutral tweets. The polarity will be normalized in ranges between $[-1, +1]$.



Comparison of Methods

In paper [34], it compared the accuracy between these two methods in different conditions.

Research results show that machine learning methods, such as SVM and naive Bayes have the highest accuracy and can be regarded as the baseline learning methods, while lexicon-based methods are very effective in some cases, which require few effort in human-labeled document .

	Method	Data Set	Acc.	Author
Machine Learning	SVM	Movie reviews	86.40%	Pang, Lee[23]
	CoTraining SVM	Twitter	82.52%	Liu[14]
	Deep learning	Stanford Sentiment Treebank	80.70%	Richard[18]
Lexical based	Corpus	Product reviews	74.00%	Turkey
	Dictionary	Amazon's Mechanical Turk	---	Taboada[20]

Conclusions:

1. If we have clean labeled training data with a domain and context specific orientation, machine learning methods perform much better than lexicon-based methods.
2. But when we can't obtain the training data with label in a specific domain, lexicon-based methods perform better than machine learning methods.

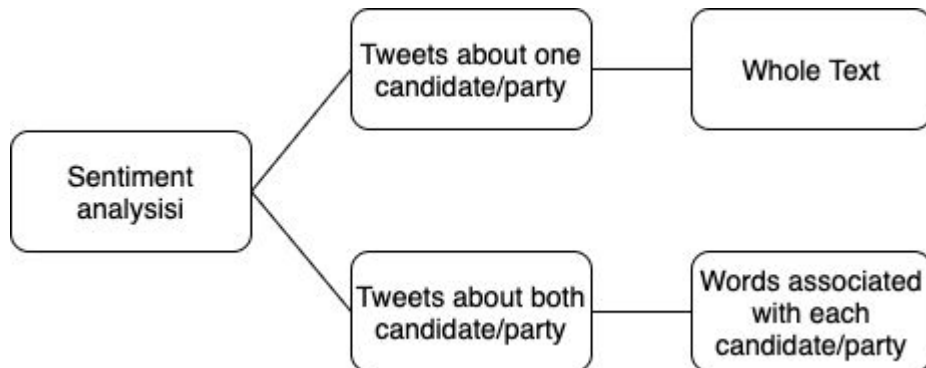
Data preprocessing

- ML based data preprocessing:
 - The text is tokenized
 - Correctly handles URLs, common emoticons, phone numbers, HTML tags, twitter mentions and hashtags, numbers with fractions and decimals, repetition of symbols and Unicode characters
- Lexicon based data preprocessing:
 - No need to train a model
 - No need to clean data
 - E.g. The past tense 'hated' is judged more negative than the present tense 'hates' in dictionary

Political alignment (Rep or Dem?)

The method used broadly for political alignment is context-dependent. Lots of research only consider tweets mentioning a single entity, i.e., tweets referring to more than one candidate are excluded, for example in [35].

We instead also consider including the tweets mention more than one candidate respect to the following steps. Similar method in paper[36]

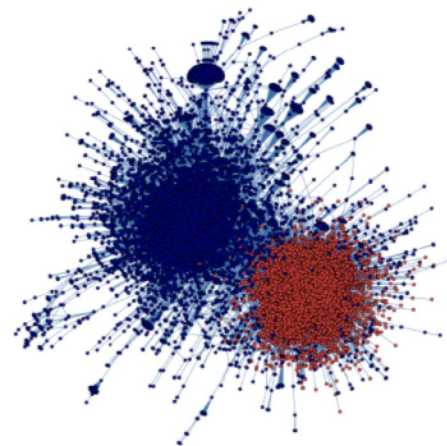


Digression: Political alignment without Sentiment analysis

In [57], instead of using sentiment analysis to classify the users, this paper use the communication network to cluster the users.

1. Construct two networks: one based on mention edges and one based on retweet edges. The edges are undirected with weighted count.
2. Manually label $\frac{1}{5}$ random users in the network, then cluster the users based on a community detection algorithm using the label propagation method of Raghavan et al.

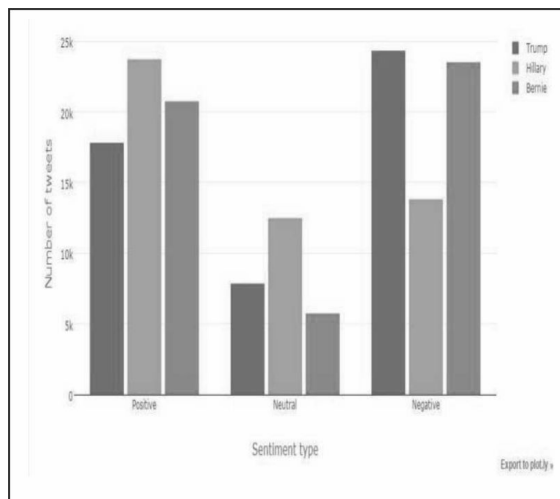
The result shows that techniques based on the statistical analysis of political communication networks provide the highest accuracy than text-content based approach. But this method needs human labeled users.



Lexicon based approach example

In [37], the author used lexicon method to classified the tweets about 2016 US election and generate word cloud, group bar graph and table to visualize the results.

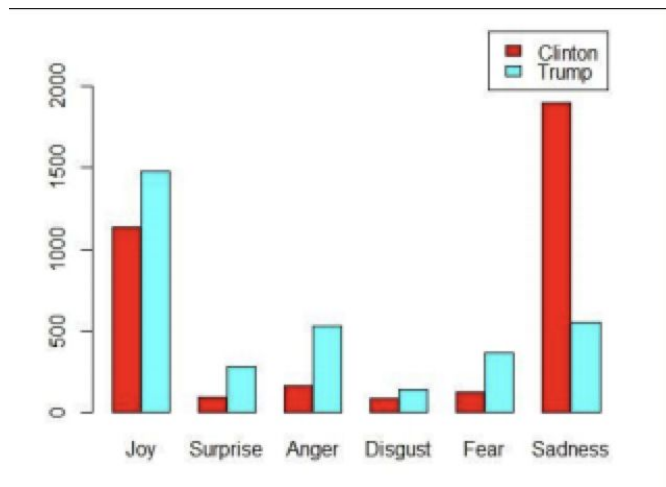
The experiment was completed before the official 2016 election result. **The paper predicts Clinton will win the election**, but it is incorrect and the results of the experiment did not explain the causal relationship between people's attitudes and the results of the election. **It is difficult for us to make accurate predictions about the election simply by analyzing people's attitudes directly.**



Name	Donald Trump	Hillary Clinton	Bernie Sanders
No. of positive comments	17812	23716	20748
No. of Neutral comments	7853	12475	5741
No. of Negative comments	24335	13809	23511
% Positive	35.624	47.432	41.496
% Negative	15.706	24.95	11.48
% Negative	48.67	27.618	47.022
Average Polarity	-0.0073	0.0482	0.0282
Average Subjectivity	0.278	0.2809	0.3138

Machine learning based approach example

In [38], the author used naive bayes method to classified the tweets about 2016 US election and generate word cloud, group bar graph and table to visualize the results. This time instead of using only three variable, it used 6 different variables for emotion analysis. Six different variable such as **joy**, **surprise**, **anger**, **disgust**, **fear** and **sadness**.



1. We observed that Sadness and joy were among most expressed emotions in our data. Hillary Clinton's had more tweets about sadness and trump has more expressing joy.
2. This suggests that in election **trump will get benefit of joyous tweets** and trump tweets has more tweets on all other emotions. It can be observed that **large part of Clinton tweets express sadness**.
3. This figure makes a little bit more sense for judgment on the results of the 2016 election, but it also shows that purely emotional judgments cannot be effectively judged. **We may need to apply this method to users level instead of tweets from all users about a candidate**

Sentiment analysis development

In [39], the author accurately predict the election outcome, infer the sentiment of the candidate photos shared in the social media communities, and account for the sentiment of viewer comments towards the candidates on the related images. VAR(Vector AutoRegression) for forecasting



TABLE II
PREDICTION FOR THE SWING STATES ON ELECTION DAY

State	Official		AR		Flickr-AR		VAR		CVAR	
	Obama	Romney	Obama	Romney	Obama	Romney	Obama	Romney	Obama	Romney
CO	0.5239	0.4761	0.5073	0.4927	0.5076	0.4924	0.5059	0.4941	0.5049	0.4951
FL	0.5044	0.4956	0.4936	0.5064	0.4928	0.5072	0.4917	0.5083	0.5018	0.4982
IA	0.5287	0.4713	0.5139	0.4861	0.5132	0.4868	0.5153	0.4847	0.5291	0.4709
NC	0.4891	0.5109	0.4851	0.5149	0.4845	0.5155	0.4866	0.5134	0.4488	0.5512
NV	0.5335	0.4665	0.5144	0.4856	0.5144	0.4856	0.5165	0.4835	0.5362	0.4638
OH	0.5098	0.4902	0.5155	0.4845	0.5150	0.4850	0.5105	0.4895	0.5120	0.4880
VA	0.5157	0.4843	0.5022	0.4978	0.5019	0.4981	0.5013	0.4987	0.5185	0.4815
WI	0.5339	0.4661	0.5218	0.4782	0.5219	0.4781	0.5285	0.4715	0.5460	0.4540

- Therefore, sentiment analysis prediction still has a lot of room for development. By using a variety of emotion types and using different data sources, sentiment analysis can become more powerful

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Inference from Misinformation – Bots

Why bots?

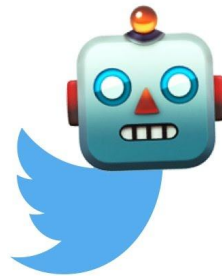
- An attempt for the politicians to make people believe in the favored and biased opinions [1].

How many?

- Among 30.7 million tweets, 25% links to fake news or extremely biased website, 8% are likely bots and responsible for 30-40% tweets [2].

What can they do?

- Make our dataset biased and result in wrong prediction.



A twitter bot

Filtering Out Bots – Baseline

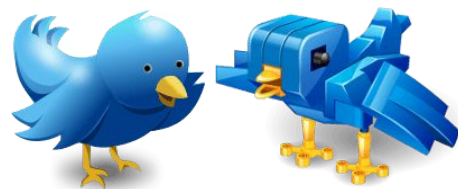
To improve our prediction results, we need to filter out bots to our election prediction.

[Botometer](#) (formerly BotOrNot) is a tool suggested [4].

Generate 1000 features which can be grouped into 6 classes:

1. Network(information diffusion pattern: retweet, mention, ...)
2. User(acc. creation time, location, ...)
3. Friends(social statistics, ...)
4. Temporal(timing patterns of content creation)
5. Content(linguistic cues)
6. Sentiment(emotion score).

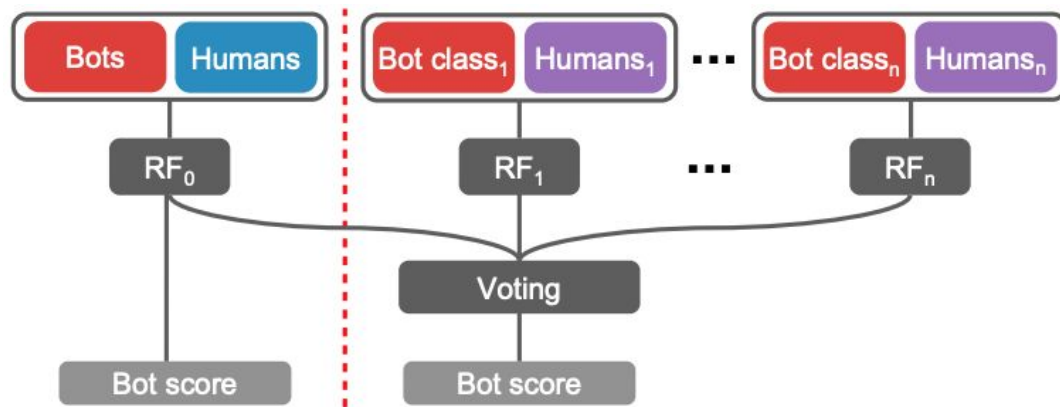
- Trained with >5.6 millions tweets
- Random Forest based algorithm to give bot score



Filtering Out Bots – Improved

Ensemble of Specialized Classifiers (ESC) [32]

- Better cross-domain performance
 - More generalized
- Easy to adapt model with novel cases
 - Add a new classifier $RF[n+1]$ to be trained with the new data without retraining existing ones



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Profiling Users

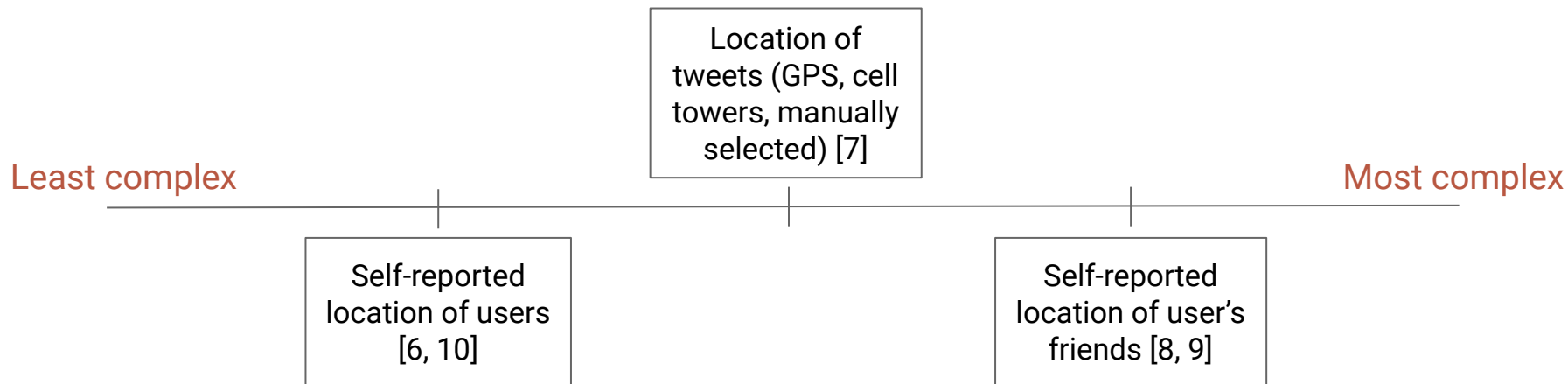
Why profile users?

- If users on social media do not represent the diverse group of people who vote (in age, gender, race, etc.), we need to take this into account while considering if social media can be used to predict election results
- The general idea in literature is to exploit some aspect of Twitter users' profiles in order to learn something about them

What we want to know: a user's location, gender, race/ethnicity, age

How can we learn it?: a user's tweets, name, profile picture or other visual markers on their profile, self-reported information, following/follower network

Locating Users By...



Locating Users By...

Least complex

Self-reported
location of users
[6, 10]

Pros:

- Simple
- ~75% of users are labeled [6]

Cons:

- Unknown accuracy
- Users may move, lie, or give non-specific responses (like Earth or USA)

Most complex

Locating Users By...

Pros:

- Actual measurement of location (accurate!)

Cons:

- Only ~1% of tweets are geolocated - to use this method, you need a lot of tweets! [7]
- Location of tweets does imply location of user

Location of
tweets (GPS, cell
towers, manually
selected) [7]

Most complex

Locating Users By...

Pros:

- ~50% of users can be located using their friends' locations alone [8]

Cons:

- Same as first method, because of self-reported location, but less pronounced because of volume of data
- Need to collect much more data (entire network of each user)

Least complex

Most complex

Self-reported
location of user's
friends [8, 9]

Profiling Users by Gender

From our review of the literature, we found that the most straightforward way to classify twitter users by gender is by looking at **first names** [6, 11]. In [6] there is a match for 64.2% of users (US), and in [11] only 14.7% (UK). This discrepancy makes it quite difficult to know how useful this method is.

**No need for labeled data,
but cannot calculate
accuracy.**

Other methods:

- Color-based classification [12]

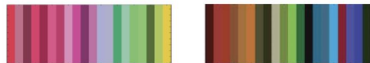


Figure 4. Spectrum of popular colors for female users (left-hand side) and male users (right-hand side).

Need labeled data.

- Text and image processing [13]

Self-Selection Bias of Twitter Users

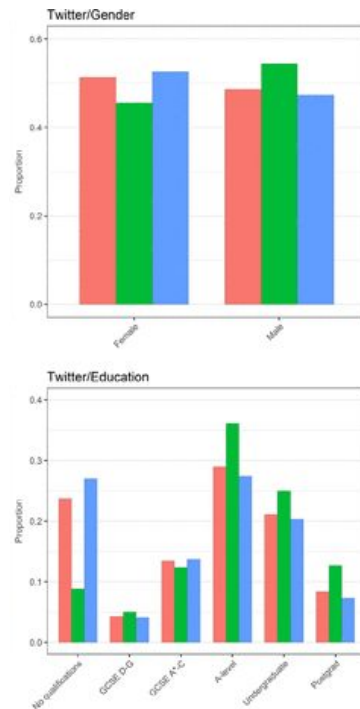
Why analyze self-selection bias?

- Since Twitter users who tweet about politics are self selected, many researchers hypothesize that Twitter is biased toward the very politically active
- We need to understand if this is an issue that needs to be corrected before answering our question
- We looked to the literature to understand selection bias of Twitter users

Note: some papers which predict elections do not take demographic data into account at all, yet claim to accurately predict elections. Some papers note that this is a deficiency in their own work [15]. Others [16, 17] observe that ignoring demographic data of users is an issue in current work.

Selection Bias Results

In the UK, Twitter and Facebook users differed from the general population on relevant dimensions like vote choice, turnout, age, gender, and education. However, the authors conclude that after controlling for age, gender, and education, there will be no statistically significant difference in political behavior between social media users and the general population [14].



More Selection Bias Results

In [33], the authors study demographic distribution differences between Twitter and real life in 6 cities in Brazil.

This data corroborates various other papers we read [6].

TABLE III. TWITTER SAMPLE VERSUS REAL USER DISTRIBUTIONS FOR ELECTIONS

Features	Value	MG	PR	RS	RJ	BA	SP
Twitter Distribution							
Gender	Male	75.31	73.57	75.90	69.08	77.61	74.58
	Female	24.69	26.42	24.10	30.92	22.39	25.41
Age	Up tp 25	28.19	42.37	27.77	48.80	16.50	35.28
	25 to 45	29.23	30.08	40.87	31.96	36.90	41.21
	Above 45	42.58	27.54	31.36	19.23	46.60	23.50
Social Cl.	Lower	2.03	2.24	2.43	2.58	11.62	3.02
	Medium	25.31	35.39	32.79	40.94	18.31	27.73
	Upper	72.66	62.36	64.78	56.48	70.07	69.24
Real Distribution							
Gender	Male	46.03	45.83	45.38	45.33	45.72	46.17
	Female	53.89	54.17	54.63	54.53	54.20	53.63
Age	Up tp 25	13.90	15.49	12.64	12.73	14.57	14.32
	25 to 45	42.95	44.09	39.36	38.59	47.80	43.38
	Above 45	43.15	40.42	48.00	48.68	37.63	42.29
Social Cl.	Lower	26.50	13.24	24.32	30.96	36.04	23.71
	Medium	52.54	58.20	49.00	48.84	48.29	54.63
	Upper	20.97	28.56	26.68	20.20	15.67	21.66

Even More Selection Bias Results

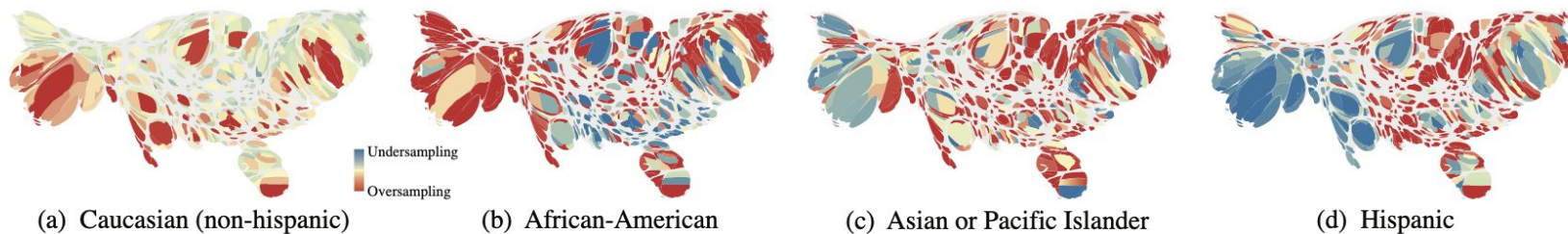


Figure 4: Per-county area cartograms of Twitter over- and undersampling rates of Caucasian, African-American, Asian, and Hispanic users, relative to the 2000 U.S. Census. Only counties with more than 500 Twitter users with inferred race/ethnicity are shown. Blue regions correspond to undersampling; red regions to oversampling.

From our study of this literature, it seems that Twitter is not representative of the general population due to some self-selection bias. However, few studies have been done that address how under/over sampling key groups could correct this bias. Above image from [6].

Presentation Roadmap

- Introduction
- Election Prediction
- Sentiment Analysis
- User and Tweet Filtering
- User Profiling
- **Conclusion**

“To what extent has social media been used to predict elections?”

- This literature has a 15 year long history that will likely continue (top papers have 3000+ citations)
- Simple raw counts of tweets → real-time analysis, graph structures, distance metrics, and statistical models
- Demographic & self-selection biases of Twitter users is often not addressed
- Filtering tweets to address bots, spam, disinfo, potential voters (18+) is beginning to be considered
 - Use of multiple Random Forest classifiers is more generalizable and performs better in cross-domain cases
- Basic methods for sentiment analysis (little thought given to emojis, humor, and sarcasm), user demographic profiling, and user political alignment profiling
 - Recent papers ([52, 57]) are using social network analysis and to advance these basic methods
 - Sentiment analysis improvements - inclusion of more emotions and non-textual features
- Theoretical improvements to election prediction methods are often unmeasurable or inconclusive
 - No generalizable evaluation metric for predicting elections
 - Most papers do post-hoc analysis
- Election prediction remains an open problem

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