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Title

Subtitle

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Statutory declaration

Hereby, I declare that I have developed and written this research completely by myself and that we have not used sources or means without declaration in the text. Any external thought, content, media, or literal quotation is explicitly marked and attributed to its respective owner or author.

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Berlin, Monday 3rd December, 2018

MAXIMILIAN EISSLER

Abstract

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1 Introduction

In this document the topic, motivation and approach of my bachelor's thesis shall be outlined. The aim is to give the reader an idea of what I try to accomplish with the choice of topic and methods, as well as a look at the first iteration of the mathematical model I am aiming to build.

1.1 Motivation

As the technological requirements for a decentralized energy system increasingly mature, the importance of understanding smaller units within the electricity system grows. There is a possibility of increasing reliance on small, partially autonomous grid units (microgrids) in the future. This calls for a better understanding of how such systems might operate. Especially the possible efficiency gains over a centralised system and the circumstances on which these efficiency gains might depend should be of interest to science and will be the focus of thesis.

1.2 Research Question

In my thesis I want to create a model of a small electricity grid with a single point of access to the main grid. The microgrid will contain 25 households of different types that will aim to reduce their total electricity costs. To attain this goal there will be two possible courses of action available:

1. First, the option to invest in electricity generation and storage facilities, in this case only solar pv and battery storage.
2. Secondly, the possibility of unrestricted trade within the microgrid, meaning there will be no variable transaction costs, as they would usually occur in the form of fees and levies on electricity being transferred.

The aim of this approach is to determine the cost-saving potential in comparison to pure electricity consumption from the main grid in subject to the characteristics of the main parameter types to this model, which are:

1. The environmental conditions, primarily pertaining to the availability of renewable resources - in this case, solar irradiation - as well as temperature and changing seasonal energy demand.
2. The 'behaviour' of the participants in this microgrid, primarily their willingness to shift or avoid

loads depending on the current price of electricity within the microgrid or the main grid.

3. The price of power from the main grid as a function of the time of day and season, as well as the price of power generated by the households themselves.

1.3 Methods

To model the outlined situation I want to use the computational modelling language 'Julia' and construct a linear optimisation model which can be solved with it. The goal is to minimise the cost of electricity for the households in the microgrid. It is notable at this point, that the non-consumption of electricity as well as the delay of consumption will be seen as a cost to the actor forgoing her demand. There will be an investment opportunity at the beginning of the examined timeframe of 20 years. The number of timeslices considered in the optimisation will be depending on performance of the model and can therefore not be determined yet.

As a case study for this thesis I want to apply the model to the example of a community in Northrhine-Westfalia. With environmental variables set, I will then construct a number of scenarios with variations in electricity price and actor behaviour parameters.

Another goal of this project is to make this a functional piece of research by reducing performance requirements as far as possible and creating an intuitive user interface that enables the user to 'play' with different scenarios. I prefer this approach over a few detailed and rigid scenarios because the future external factors are presently highly uncertain, which drives me to the conviction that an understanding of the dynamics involved in the examined system is of greater value than an exact solution to an unlikely scenario.

1.4 Expected Results

The result should be a tool that enables an intuitive understanding of the characteristics and the potentials of microgrids, even to non-economists. I intend an exemplary application of the model to the case of a Northrhine-Westfalian community. From this application I expect an insight into the efficiency gains achievable by microgrids in the German context. Also the required external factors for these efficiency gains to materialise should become evident. Under the right conditions I expect a double digit percentage drop in electricity costs compared to a purely consumption-based system. I hope to arrive at practical conclusions regarding present use cases for microgrids and required future regulation.

2 Literature Review

In this section I shall attempt to give an overview over the current status quo regarding the optimization of microgrids and more especially the tools available to do so. I will pursue this by answering a number of broad question, which I think are crucial regarding my subject. The procedure will be structured by utilizing a consistent and reproducible methodology described in detail below. In addition to scientific literature other sources will also be taken into account at my discretion if they are necessary or helpful in answering a question.

2.1 Key Questions

This literature review will attempt to answer the following key questions in the context of my subject:

1. What are the most eminent scientific standards for modelling a microgrid allocation and dispatch?
2. What is usually within the scope of such a model (what types of generation assets, only electricity or also thermal energy and so on)?
3. What are the common methods used to determine some of the key variables required in such a model such as interest rates, CAPEX and OPEX of assets and so on?
4. What are the most commonly used (commercial) tools for optimizing a microgrid? Are there any free or open source solutions?

2.2 Methodology

To arrive at a dataset of scientific literature that is reproducible I use the methodology described in :

1. Define a search string
2. Choose scientific databases to which to apply that search string
3. Due to the possibly large amount of papers brought up by this kind of search I am only considering the 100 most relevant papers from each database.
4. Define keywords, which have to occur in the abstracts of the publications. All publications that

add reference to papers here

lack a keyword are discarded.

5. Define Inclusion as well as Exclusion criteria. A publication must satisfy all inclusion criteria as well as none of the exclusion criteria to be included in the literature review.

Due to the nature of some of the questions I am trying to answer in my literature review it is additionally necessary to include further non-scientific sources at my discretion. The search strings, used databases, as well as the dataset of literature at each step will be included in the appendix.

include
info and
datasets
in the ap-
pendix

2.3 Descriptive Analysis

After filtering the original dataset of 275 unique publications in the way described in the last chapter, I arrive at a set of 61 publications. The publication year, as can be observed in Figure 1 is for most publications quite recently: 27 out of 61 papers were published 2017 and after. This could indicate a rising interest in the subject, but is probably at least partly due to the way the different search engines employed compute relevancy.

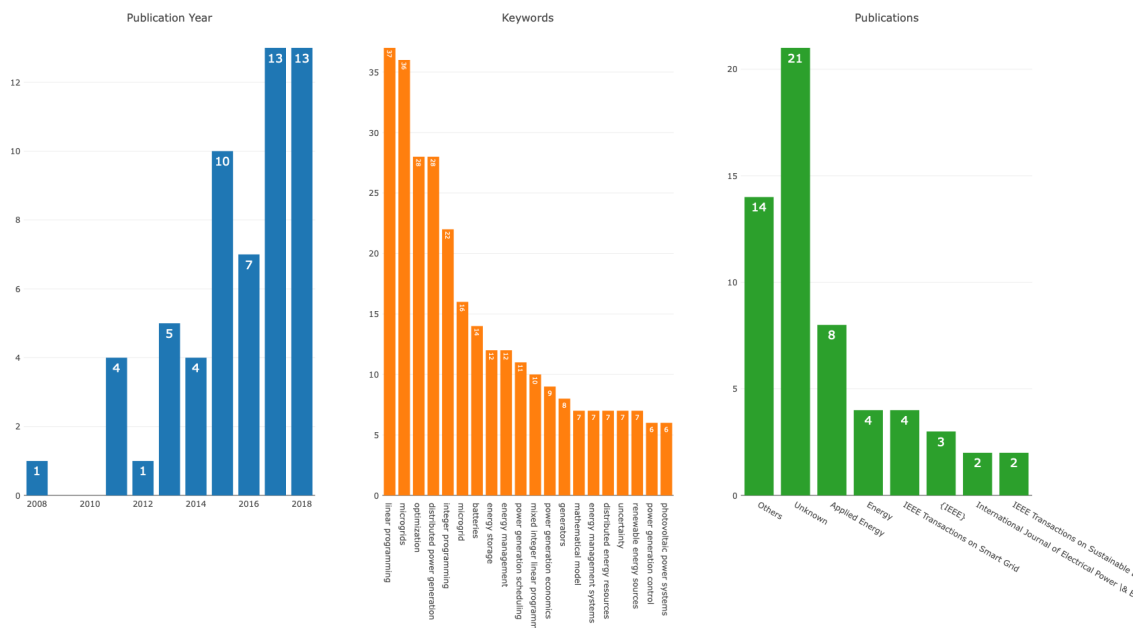


Figure 1: Results of an automated Descriptive Analysis

Source: Own illustration.

Looking at the keywords used to describe the publication shows that, unsurprisingly, the most often occurring keywords are the ones used in conducting the search: 'linear programming', 'microgrids' and 'optimization'. 'distributed energy generation' and 'distributed energy resources' are mentioned 37 times,

'batteries' and 'energy storage' a total of 28 times, and 'renewable energy sources' and 'generators' a total of 16 times. This illustrates the focus on decentralized energy sources and storage, more specifically renewables and small scale combined heat and power generation that prevails throughout the literature.

The publication chart is not very enlightening. This is due to the fact 28 out of 61 publications are conference papers which either appear under others because there is only one instance of that particular conference or unknown. From a more general point of view though almost all papers are published either by Elsevier or IEEE, with very few exceptions.

2.4 Literature Overview

The first question in need of an answer in optimizing a microgrids design and dispatch is the question of the modelling approach itself. Although the dataset of literature derived from the methodology described in 2.2 is biased towards Linear Programming, which was one of the search and filter criteria, it contains multiple employed methodologies. For example, [17] employ both a Mixed Integer Linear Programming (MILP) model and a Genetic Algorithm (GA) and come to the conclusion that while both deliver accurate and robust results the MILP model is faster. [25] mostly concur. While in this case, the results of the GA were better in two scenarios, it was outperformed by the MILP model in the remaining three. A key problem of MILP however seems to be its deterministic nature, which anticipates perfect knowledge of all the parameters involved. Especially for optimisation problems regarding a short timeframe, such as day-ahead scheduling this is a significant problem, since actual parameters may be different. Several methods addressing this problem can be found in literature.

One such method are rolling time horizons. This approach optimizes the dispatch of a microgrid for a fixed time horizon based on steadily updated forecasts of the uncertain parameters. The optimization is repeated periodically to reflect the updated forecasts [27] [36] and increase dispatch accuracy in the nearer future. Rolling Horizon Optimization is however unfit to optimize investment, since it is not possible to adapt investment decisions *ex post* to changed conditions.

While the rolling time horizon method helps to limit uncertainty by reacting to changes of input parameters, Robust Optimization and Stochastic Optimization try to proactively account for a variety of possible scenarios. Robust Optimization achieves this by optimizing for a number of scenarios deemed equally likely, as in [6] using ensemble weather forecasts or in [13] and [48] using upper and lower boundaries for uncertain parameters. Stochastic Optimization on the other hand uses detailed probability distributions to weigh the probability of each scenario occurring as explained in [23]. To arrive at these distributions secondary tools are usually needed. Shams et al use a simple Gaussian randomization to make their demand data reflect uncertainty, as well as more specific distributions for irradiation and wind speeds. A number of other methodologies appear in literature such as employing

a Monte Carlo simulation [51] or deriving multiple scenarios and corresponding realization probabilities from historical data [26].

The scope of the microgrid models found in literature varies greatly. A constant however is the definition of a microgrid as bounded, operating in a small geographical zone and with a clear electrical boundaries [38][21][14]; managing local loads [46] [50]; possibly containing various generation units and storage [36][19] and possibly being able to exchange power with the main grid [25][8], from whose perspective it is seen as a single entity [15].

While most of the selected literature consider cases where a grid connection exists and can be used at all times, there is a number of publications that consider either completely islanded microgrids [47][27] [33][32] or microgrids that can sustain themselves in islanded mode for extended periods for example in case of natural disasters [38].

The clearest distinctions between microgrid models, after the object and applied methodology is properly defined, is based on the aspect or aspects that the model is supposed to optimize. The literature can be split in two groups, either optimizing only dispatch or both investment (e.g. the planning phase) and dispatch. The former group is definitely the larger, with only 12 out of 61 publications considering investment. Notably only one of these [23] uses any of the methods to model uncertainty discussed above.

There is also significant diversity in literature when it comes to the technologies considered in modelling. Modelling dispatchable (eg. non-renewable) as well as non-dispatchable (eg. renewable) generation is rather common, but the specifics differ: While most models consider PV or Wind as well as a CHP generator, the included generation technologies are as diverse as geothermal generators [17] and gas turbines [39] [25]. In addition to modelling electricity, some publications also consider heat generation and transmission [16][43]. This is valuable, because the economic performance of a non-renewable generation unit (usually CHP) present in most models depends heavily on if and how the heat is used, as [5] point out.

The types of storage used in literature also vary. Although battery storage [2][39][44] or an abstract storage device [28][45] are the most common choices, there are a number of papers modeling heat storage [46][48][16][43], and some with more exotic technology choices such as flywheels [31] or an electrolyzer [10].

In addition to these generation and storage technologies some publications also model the network topology [20][4][35] and some even consider electrical phenomena such as active and reactive power losses [19][21] and voltage deviation [19].

Demand side management, which some models implement is usually represented by dividing loads into different categories. [4] distinguish between 'critical loads', meaning loads that absolutely have to be satisfied, 'shiftable loads', meaning loads that have to be satisfied, although there is a time window, rather than an exact point in which they can be serviced, and 'adjustable' loads, which can be dropped

if needed. Although the terms may vary, and many publications do not introduce the 'shiftable loads' category altogether, these conceptual distinctions are made by a number of other authors [36][48][49]. The model results depend almost as much on the given input parameters used in case studies as on the modelling approach itself. There is however only a limited number of publications with detailed documentation of the parameters used. The used parameters can be broadly categorized as either economic parameters, such as capital and operation and maintenance cost for the technologies used, generation related parameters such as irradiation and wind speeds, and load data, representing consumer behaviour.

In publications documenting cost parameters there is a clear trend towards a split of technology cost into investment and maintenance cost. However, whereas [16] define maintenance cost as a fixed cost per unit of time, [43] define it as a function of kilowatthours produced, a measurement which [16] call 'fuel costs'. [2] choose a definition closer aligned with the former, they however define yearly maintenance cost simply as a flat percentage of the units' investment cost. [15] seem to neglect maintenance costs altogether focusing only on investment costs. While some models document economic parameters such as discount rates [2], many don't and it is unclear if future flows of value are properly discounted in these models. Furthermore many of the publications declare cost parameters as assumptions rather than trying to derive them from sources, although a few such as [16] and [43] do so.

The selected literature offers multiple ways of obtaining input parameters of meteorological data. [11] use historical meteorological data while [27] build a prediction model for forecasting irradiation and wind speeds. Similarly there are authors who use historical data for load parameters such as [11] and ones who generate synthetic data based on appliance use and probabilistic models [46][45][48] or neural networks using empirical data [27].

When it comes to modelling tools DER-CAM [16][51][20] and HOMER [1][51][16] are the most often referenced. DER-CAM or Distributed Energy Resources Customer Adoption Model is an optimization tool developed at Berkeley Labs and uses Mixed Integer Linear Programming to optimize portfolio, placement, sizing and dispatch of Microgrid Energy Systems [7]. HOMER Grid is a commercial optimization tool for behind the meter systems. Its main advantage is its large database of components and tariff rates in the United States and Canada [12]. The most often mentioned solver is the CPLEX commercial solver [34][25][40][19]... . Most of the models are written in GAMS [36][40][6]..., although many are written in MATLAB [19][4]... .

Open source tools seem scarcely available. A search of 'microgrid optimization' on github.com, the major open source software platform, brings up only 3 items with more than one star, none of them has more than ten (popular libraries might have thousands)[9]. The most up-to-date one has not been updated for a year and none features a graphical user interface.

3 Model

Optimisation Formula

$$\begin{aligned}
 \min C_{total} = & \sum_{i=1}^n (IC_{PV} * CP_{PV_i} + IC_{ST} * CP_{ST_i}) \\
 & + \sum_{y=1}^Y \sum_{t=1}^T \left[\sum_{i=1}^n (GEC_{t,y} * S_{GR_{i,t,y}}) + \sum_{i=1}^n (NCC_i * S_{NC_{i,t,y}}) \right. \\
 & \left. + \sum_{i=1}^n (SCC_i * S_{SC_{i,t,y}}) + \sum_{i=1}^n (GPP_{t,y} * S_{TG_{i,t,y}}) \right]
 \end{aligned} \tag{1}$$

Parameters

Let

$$D_{total_i} \geq 0, i = \{1, \dots, n\}, i \in \mathbb{N} \tag{2}$$

$$SF_t \in [0, 1] \tag{3}$$

$$IC_{PV}, IC_{ST}, GEC_{t,y}, NCC_i, SCC_i \geq 0 \tag{4}$$

$$T \in \mathbb{N}, T \neq 0 \tag{5}$$

Internal Variables

Let

$$TR_{ij} \geq 0 \tag{6}$$

$$SN_{NC_{i,t,y}}, SPV_{i,t,y}, SGR_{i,t,y}, SSC_{i,t,y} \geq 0 \tag{7}$$

$$CP_{PV_i}, CP_{ST_i} \geq 0 \tag{8}$$

Constraints

$$S_{PV_i,t,y} = SF_{t,y} * CP_{PV_i} \quad (9)$$

$$\begin{aligned} D_{total_{i,t,y}} = & S_{NC_{i,t,y}} + S_{PV_{i,t,y}} + S_{GR_{i,t,y}} - S_{TG_{i,t,y}} + \sum_{j=1}^n TR_{ji,t,y} - \sum_{j=1}^n TR_{ij,t,y} \\ & + S_{FS_{i,t,y}} - S_{TS_{i,t-1,y}} + S_{SC_{i,t,y}} - S_{SC_{i,t-1,y}} \end{aligned} \quad (10)$$

$$\sum_{i=1}^n TR_{i,j} - TR_{j,i} = 0 \forall t \in \mathbb{N}, t \in [0, T], j \in \mathbb{N}, j \in [0, n] \quad (11)$$

$$S_{SC_{i,T,Y}} = 0 \quad (12)$$

$$S_{TS_{i,T,Y}} = 0 \quad (13)$$

$$S_{TS_{i,t,y}} \leq CP_{ST_i} \quad (14)$$

Definition of Variables

Table 1: Table of the definitions of all variables used

Variable	Description	Unit
IC_{PV}	investment costs for PV	Euro per KWp
CP_{PV_i}	installed capacity of PV of household i	KWp
IC_{ST}	investment costs for storage	Euro per KWh
CP_{ST_i}	installed storage capacity of household i	KWh
$D_{total_{i,t,y}}$	total electricity demand of household i at time t,y	KWh
$GEC_{t,y}$	grid electricity cost at time t,y	Euro per KWh
$GPP_{t,y}$	grid electricity purchase price at time t,y	Euro per KWh
$S_{GR_{i,t,y}}$	electricity supplied from grid to household i at time t,y	KWh
NCC_i	cost of non-consumption (lost utility) to household i	Euro per KWh
$S_{NC_{i,t,y}}$	electricity 'supplied' by non-consumption to household i at time t,y	KWh
$SF_{PV_{t,y}}$	supply factor of solar pv at time t,y	-
$SPV_{i,t,y}$	pv generation of household i at time t,y	KWh
$T_{ij,t,y}$	trade of electricity from i to j at time t,y	KWh
$SFS_{i,t,y}$	electricity supplied from storage of household i at time t,y	KWh
$STS_{i,t-1,y}$	electricity supplied to storage of household i at time t,y	KWh
SCC_i	cost of shifted consumption by one timeslice for household i	Euro per KWh
$S_{SC_{i,t,y}}$	electricity 'supplied' by shifted consumption to household i at time t,y	KWh
$S_{SC_{i,t,y}}$	electricity 'supplied' to shifted consumption by household i from previous timeslice	KWh
$STG_{i,t,y}$	electricity sold to the main grid by household i at time t,y	KWh
t	timeslice	hour
y	year since investment	years

4 Case Study

4.1 Meteorological Data

Hourly irradiation data (in KWm^{-2}) for «PLACE» is sourced from [29].

4.2 Investment Options

Table 2: Parameters describing the conversion technologies

Parameter	Name	Unit	Value	Reference
<i>Photovoltaic System</i>				
Installation Cost	information	$€KW^{-1}$	1300	Estimate based on [42], [37], [22]
Maintenance Cost	information	$€KW^{-1}yr^{-1}$	50	Estimate
Lifetime	information	yr	25	[42]
Electric Efficiency	information	%	17	[42]
Performance Ratio	information	%	85	[42]
<i>CHP Micro Fuel Cell</i>				
Installation Cost	information	$€KW^{-1}$	3500	Estimate based on [16]
Maintenance Cost	information	$€KW^{-1}yr^{-1}$	175	Estimate based on [16]
Fuel Cost	information	$€KWh^{-1}$	0,0608	[24]
Lifetime	information	yr	15	[16]
Electric Efficiency	information	%	60	[3]
Thermal Efficiency	information	%	21	[3]
Minimum Gas Flow	information	%	30	[16]

Table 3: Parameters describing the storage technologies

Parameter	Name	Unit	Value	Reference
<i>Li-Ion Battery 1</i>				
Installation Cost	information	€	10000	<i>Tesla Powerwall</i> Estimate based on [30]
Usable Capacity	information	KWh	13.5	[30]
Lifetime	information	yr	10	Estimate based on [30]
Roundtrip Efficiency	information	%	90	[30]
Self-Discharge	information	$\%hr^{-1}$	5	[16]
Max. charging power	information	kW	4.6	[30]
Max. discharging power	information	kW	4.6	[30]
Initial State of Charge	information	%	100	Assumption
Terminal State of Charge	information	%	100	Assumption
<i>Li-Ion Battery 2</i>				
Installation Cost	information	€	5000	<i>Victron Energy Lithium HE Batterie</i> Estimate based on [41]
Usable Capacity	information	KWh	4	based on [18] considering maximum depth of discharge
Lifetime	information	yr	10	Estimate based on [18]
Roundtrip Efficiency	information	%	92	[16]
Self-Discharge	information	$\%hr^{-1}$	5	[16]
Max. charging power	information	KW	4.8	[18]
Max. discharging power	information	KW	7.2	[18]
Initial State of Charge	information	%	100	Assumption
Terminal State of Charge	information	%	100	Assumption

4.3 Further Assumptions

Table 4: Further Assumed Parameters

Parameter	Name	Unit	Value	Reference
Discount Rate	information	$\%yr^{-1}$	1.5	Estimate
—	information	information		
—	information	information		

5 Results and Discussion

6 Appendix

6.1 Literature Review

The used search String was: [microgrid AND (optimization OR optimisation) AND linear programming]

The used databases are ScienceDirect, IEEE Xplore and Google Scholar.

The original dataset consistet of 300 publication of which 275 remained after duplicates where merged.

The search string for the Abstract Keyword Search was [(microgrid OR micro-grid OR off-grid) AND (optimization OR optimisation OR optimise OR optimal OR optimally) AND (linear programming OR linear program OR mixed integer)]

After the abstract keyword search was conducted 106 publications remained.

The inclusion criteria were:

1. An optimization model is employed.
2. There is some discussion about the design of the model.
3. The objective of the model is the optimization of design or dispatch of a single microgrid.
4. There is some sort of case study conducted.

The exclusion criteria were:

1. The publication is not in English language.
2. The full text is not obtainable for this author with reasonable effort.
3. The publication is a Work-In-Progress / Conference Paper version of a publication published in a journal and also included in this dataset.
4. The mathematical model designed is non-linear.
5. The mathematical model designed only considers a specific aspect of dispatch or design, not the entirety.
6. The mathematical model is mostly focused on heat generation/distribution rather than electricity.

After the filtering by inclusion and exclusion criteria 58 publications remained.

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