



# Linear form

In mathematics, a **linear form** (also known as a **linear functional**,<sup>[1]</sup> a **one-form**, or a **covector**) is a linear map<sup>[nb 1]</sup> from a vector space to its field of scalars (often, the real numbers or the complex numbers).

If  $V$  is a vector space over a field  $k$ , the set of all linear functionals from  $V$  to  $k$  is itself a vector space over  $k$  with addition and scalar multiplication defined pointwise. This space is called the dual space of  $V$ , or sometimes the **algebraic dual space**, when a topological dual space is also considered. It is often denoted  $\text{Hom}(V, k)$ ,<sup>[2]</sup> or, when the field  $k$  is understood,  $V^*$ ;<sup>[3]</sup> other notations are also used, such as  $V'$ ,<sup>[4][5]</sup>  $V^\#$  or  $V^\vee$ .<sup>[2]</sup> When vectors are represented by column vectors (as is common when a basis is fixed), then linear functionals are represented as row vectors, and their values on specific vectors are given by matrix products (with the row vector on the left).

## Examples

The constant zero function, mapping every vector to zero, is trivially a linear functional. Every other linear functional (such as the ones below) is surjective (that is, its range is all of  $k$ ).

- Indexing into a vector: The second element of a three-vector is given by the one-form  $[0, 1, 0]$ . That is, the second element of  $[x, y, z]$  is
$$[0, 1, 0] \cdot [x, y, z] = y.$$
- Mean: The mean element of an  $n$ -vector is given by the one-form  $[1/n, 1/n, \dots, 1/n]$ . That is,
$$\text{mean}(v) = [1/n, 1/n, \dots, 1/n] \cdot v.$$
- Sampling: Sampling with a kernel can be considered a one-form, where the one-form is the kernel shifted to the appropriate location.
- Net present value of a net cash flow,  $R(t)$ , is given by the one-form  $w(t) = (1 + i)^{-t}$  where  $i$  is the discount rate. That is,

$$\text{NPV}(R(t)) = \langle w, R \rangle = \int_{t=0}^{\infty} \frac{R(t)}{(1 + i)^t} dt.$$

## Linear functionals in $\mathbb{R}^n$

Suppose that vectors in the real coordinate space  $\mathbb{R}^n$  are represented as column vectors

$$\mathbf{x} = \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix}.$$

For each row vector  $\mathbf{a} = [a_1 \ \cdots \ a_n]$  there is a linear functional  $f_{\mathbf{a}}$  defined by

$$f_{\mathbf{a}}(\mathbf{x}) = a_1 x_1 + \cdots + a_n x_n,$$

and each linear functional can be expressed in this form.

This can be interpreted as either the matrix product or the dot product of the row vector  $\mathbf{a}$  and the column vector  $\mathbf{x}$ :

$$f_{\mathbf{a}}(\mathbf{x}) = \mathbf{a} \cdot \mathbf{x} = [a_1 \ \cdots \ a_n] \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix}.$$

## Trace of a square matrix

The trace  $\text{tr}(\mathbf{A})$  of a square matrix  $\mathbf{A}$  is the sum of all elements on its main diagonal. Matrices can be multiplied by scalars and two matrices of the same dimension can be added together; these operations make a vector space from the set of all  $n \times n$  matrices. The trace is a linear functional on this space because  $\text{tr}(s\mathbf{A}) = s \text{tr}(\mathbf{A})$  and  $\text{tr}(\mathbf{A} + \mathbf{B}) = \text{tr}(\mathbf{A}) + \text{tr}(\mathbf{B})$  for all scalars  $s$  and all  $n \times n$  matrices  $\mathbf{A}$  and  $\mathbf{B}$ .

## (Definite) Integration

Linear functionals first appeared in functional analysis, the study of vector spaces of functions. A typical example of a linear functional is integration: the linear transformation defined by the Riemann integral

$$I(f) = \int_a^b f(x) dx$$

is a linear functional from the vector space  $C[a, b]$  of continuous functions on the interval  $[a, b]$  to the real numbers. The linearity of  $I$  follows from the standard facts about the integral:

$$\begin{aligned} I(f + g) &= \int_a^b [f(x) + g(x)] dx = \int_a^b f(x) dx + \int_a^b g(x) dx = I(f) + I(g) \\ I(\alpha f) &= \int_a^b \alpha f(x) dx = \alpha \int_a^b f(x) dx = \alpha I(f). \end{aligned}$$

## Evaluation

Let  $P_n$  denote the vector space of real-valued polynomial functions of degree  $\leq n$  defined on an interval  $[a, b]$ . If  $c \in [a, b]$ , then let  $\text{ev}_c : P_n \rightarrow \mathbb{R}$  be the **evaluation functional**

$$\text{ev}_c f = f(c).$$

The mapping  $f \mapsto f(c)$  is linear since

$$\begin{aligned} (f + g)(c) &= f(c) + g(c) \\ (\alpha f)(c) &= \alpha f(c). \end{aligned}$$

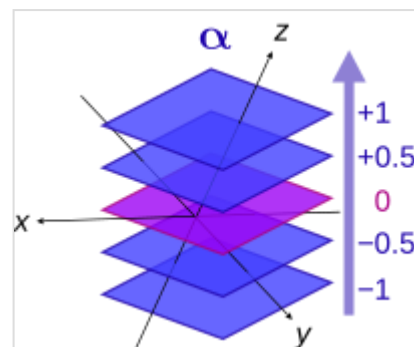
If  $x_0, \dots, x_n$  are  $n + 1$  distinct points in  $[a, b]$ , then the evaluation functionals  $\mathbf{ev}_{x_i}, i = 0, \dots, n$  form a basis of the dual space of  $P_n$  (Lax (1996) proves this last fact using Lagrange interpolation).

## Non-example

A function  $f$  having the equation of a line  $f(x) = a + rx$  with  $a \neq 0$  (for example,  $f(x) = 1 + 2x$ ) is *not* a linear functional on  $\mathbb{R}$ , since it is not linear.<sup>[nb 2]</sup> It is, however, affine-linear.

## Visualization

In finite dimensions, a linear functional can be visualized in terms of its level sets, the sets of vectors which map to a given value. In three dimensions, the level sets of a linear functional are a family of mutually parallel planes; in higher dimensions, they are parallel hyperplanes. This method of visualizing linear functionals is sometimes introduced in general relativity texts, such as Gravitation by Misner, Thorne & Wheeler (1973).



Geometric interpretation of a 1-form  $\alpha$  as a stack of hyperplanes of constant value, each corresponding to those vectors that  $\alpha$  maps to a given scalar value shown next to it along with the "sense" of increase. The ■ zero plane is through the origin.

## Applications

### Application to quadrature

If  $x_0, \dots, x_n$  are  $n + 1$  distinct points in  $[a, b]$ , then the linear functionals  $\mathbf{ev}_{x_i} : f \mapsto f(x_i)$  defined above form a basis of the dual space of  $P_n$ , the space of polynomials of degree  $\leq n$ . The integration functional  $I$  is also a linear functional on  $P_n$ , and so can be expressed as a linear combination of these basis elements. In symbols, there are coefficients  $a_0, \dots, a_n$  for which

$$I(f) = a_0 f(x_0) + a_1 f(x_1) + \dots + a_n f(x_n)$$

for all  $f \in P_n$ . This forms the foundation of the theory of numerical quadrature.<sup>[6]</sup>

### In quantum mechanics

Linear functionals are particularly important in quantum mechanics. Quantum mechanical systems are represented by Hilbert spaces, which are anti-isomorphic to their own dual spaces. A state of a quantum mechanical system can be identified with a linear functional. For more information see bra-ket notation.

### Distributions

In the theory of generalized functions, certain kinds of generalized functions called distributions can be realized as linear functionals on spaces of test functions.

## Dual vectors and bilinear forms

Every non-degenerate bilinear form on a finite-dimensional vector space  $V$  induces an isomorphism  $V \rightarrow V^* : v \mapsto v^*$  such that

$$v^*(w) := \langle v, w \rangle \quad \forall w \in V,$$

where the bilinear form on  $V$  is denoted  $\langle \cdot, \cdot \rangle$  (for instance, in Euclidean space,  $\langle v, w \rangle = v \cdot w$  is the dot product of  $v$  and  $w$ ).

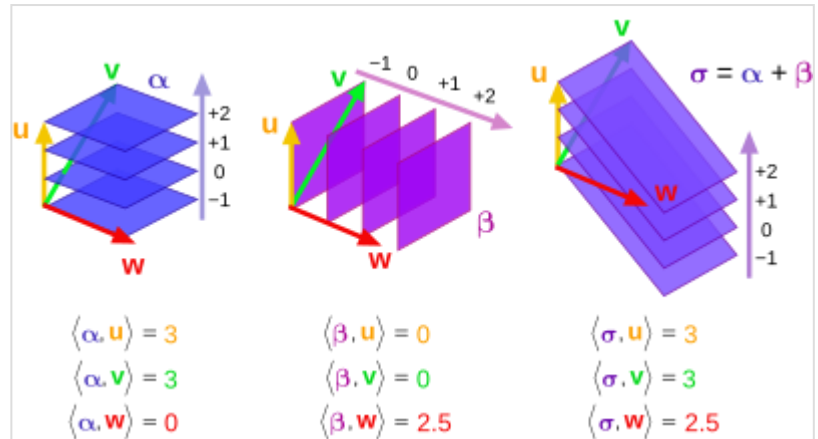
The inverse isomorphism is  $V^* \rightarrow V : v^* \mapsto v$ , where  $v$  is the unique element of  $V$  such that

$$\langle v, w \rangle = v^*(w)$$

for all  $w \in V$ .

The above defined vector  $v^* \in V^*$  is said to be the **dual vector** of  $v \in V$ .

In an infinite dimensional Hilbert space, analogous results hold by the Riesz representation theorem. There is a mapping  $V \mapsto V^*$  from  $V$  into its continuous dual space  $V^*$ .



Linear functionals (1-forms)  $\alpha$ ,  $\beta$  and their sum  $\sigma$  and vectors  $u$ ,  $v$ ,  $w$ , in 3d Euclidean space. The number of (1-form) hyperplanes intersected by a vector equals the inner product.<sup>[7]</sup>

## Relationship to bases

### Basis of the dual space

Let the vector space  $V$  have a basis  $\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_n$ , not necessarily orthogonal. Then the dual space  $V^*$  has a basis  $\tilde{\omega}^1, \tilde{\omega}^2, \dots, \tilde{\omega}^n$  called the dual basis defined by the special property that

$$\tilde{\omega}^i(\mathbf{e}_j) = \begin{cases} 1 & \text{if } i = j \\ 0 & \text{if } i \neq j. \end{cases}$$

Or, more succinctly,

$$\tilde{\omega}^i(\mathbf{e}_j) = \delta_{ij}$$

where  $\delta_{ij}$  is the Kronecker delta. Here the superscripts of the basis functionals are not exponents but are instead contravariant indices.

A linear functional  $\tilde{u}$  belonging to the dual space  $\tilde{V}$  can be expressed as a linear combination of basis functionals, with coefficients ("components")  $u_i$ ,

$$\tilde{u} = \sum_{i=1}^n u_i \tilde{\omega}^i.$$

Then, applying the functional  $\tilde{u}$  to a basis vector  $\mathbf{e}_j$  yields

$$\tilde{u}(\mathbf{e}_j) = \sum_{i=1}^n (u_i \tilde{\omega}^i) \mathbf{e}_j = \sum_i u_i [\tilde{\omega}^i(\mathbf{e}_j)]$$

due to linearity of scalar multiples of functionals and pointwise linearity of sums of functionals. Then

$$\begin{aligned} \tilde{u}(\mathbf{e}_j) &= \sum_i u_i [\tilde{\omega}^i(\mathbf{e}_j)] \\ &= \sum_i u_i \delta_{ij} \\ &= u_j. \end{aligned}$$

So each component of a linear functional can be extracted by applying the functional to the corresponding basis vector.

## The dual basis and inner product

When the space  $V$  carries an inner product, then it is possible to write explicitly a formula for the dual basis of a given basis. Let  $V$  have (not necessarily orthogonal) basis  $\mathbf{e}_1, \dots, \mathbf{e}_n$ . In three dimensions ( $n = 3$ ), the dual basis can be written explicitly

$$\tilde{\omega}^i(\mathbf{v}) = \frac{1}{2} \left\langle \frac{\sum_{j=1}^3 \sum_{k=1}^3 \varepsilon^{ijk} (\mathbf{e}_j \times \mathbf{e}_k)}{\mathbf{e}_1 \cdot \mathbf{e}_2 \times \mathbf{e}_3}, \mathbf{v} \right\rangle,$$

for  $i = 1, 2, 3$ , where  $\varepsilon$  is the Levi-Civita symbol and  $\langle \cdot, \cdot \rangle$  the inner product (or dot product) on  $V$ .

In higher dimensions, this generalizes as follows

$$\tilde{\omega}^i(\mathbf{v}) = \left\langle \frac{\sum_{1 \leq i_2 < i_3 < \dots < i_n \leq n} \varepsilon^{ii_2 \dots i_n} (\star \mathbf{e}_{i_2} \wedge \dots \wedge \mathbf{e}_{i_n})}{\star(\mathbf{e}_1 \wedge \dots \wedge \mathbf{e}_n)}, \mathbf{v} \right\rangle,$$

where  $\star$  is the Hodge star operator.

## Over a ring

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Modules over a ring are generalizations of vector spaces, which removes the restriction that coefficients belong to a field. Given a module  $M$  over a ring  $R$ , a linear form on  $M$  is a linear map from  $M$  to  $R$ , where the latter is considered as a module over itself. The space of linear forms is always denoted  $\text{Hom}_k(V, k)$ , whether  $k$  is a field or not. It is a right module if  $V$  is a left module.

The existence of "enough" linear forms on a module is equivalent to projectivity.<sup>[8]</sup>

**Dual Basis Lemma** — An  $R$ -module  $M$  is projective if and only if there exists a subset  $A \subset M$  and linear forms  $\{f_a \mid a \in A\}$  such that, for every  $x \in M$ , only finitely many  $f_a(x)$  are nonzero, and

$$x = \sum_{a \in A} f_a(x)a$$

## Change of field

Suppose that  $X$  is a vector space over  $\mathbb{C}$ . Restricting scalar multiplication to  $\mathbb{R}$  gives rise to a real vector space<sup>[9]</sup>  $X_{\mathbb{R}}$  called the *realification* of  $X$ . Any vector space  $X$  over  $\mathbb{C}$  is also a vector space over  $\mathbb{R}$ , endowed with a complex structure; that is, there exists a real vector subspace  $X_{\mathbb{R}}$  such that we can (formally) write  $X = X_{\mathbb{R}} \oplus X_{\mathbb{R}}i$  as  $\mathbb{R}$ -vector spaces.

### Real versus complex linear functionals

Every linear functional on  $X$  is complex-valued while every linear functional on  $X_{\mathbb{R}}$  is real-valued. If  $\dim X \neq 0$  then a linear functional on either one of  $X$  or  $X_{\mathbb{R}}$  is non-trivial (meaning not identically 0) if and only if it is surjective (because if  $\varphi(x) \neq 0$  then for any scalar  $s$ ,  $\varphi((s/\varphi(x))x) = s$ ), where the image of a linear functional on  $X$  is  $\mathbb{C}$  while the image of a linear functional on  $X_{\mathbb{R}}$  is  $\mathbb{R}$ . Consequently, the only function on  $X$  that is both a linear functional on  $X$  and a linear function on  $X_{\mathbb{R}}$  is the trivial functional; in other words,  $X^{\#} \cap X_{\mathbb{R}}^{\#} = \{0\}$ , where  $\cdot^{\#}$  denotes the space's algebraic dual space. However, every  $\mathbb{C}$ -linear functional on  $X$  is an  $\mathbb{R}$ -linear operator (meaning that it is additive and homogeneous over  $\mathbb{R}$ ), but unless it is identically 0, it is not an  $\mathbb{R}$ -linear *functional* on  $X$  because its range (which is  $\mathbb{C}$ ) is 2-dimensional over  $\mathbb{R}$ . Conversely, a non-zero  $\mathbb{R}$ -linear functional has range too small to be a  $\mathbb{C}$ -linear functional as well.

### Real and imaginary parts

If  $\varphi \in X^{\#}$  then denote its real part by  $\varphi_{\mathbb{R}} := \operatorname{Re} \varphi$  and its imaginary part by  $\varphi_i := \operatorname{Im} \varphi$ . Then  $\varphi_{\mathbb{R}} : X \rightarrow \mathbb{R}$  and  $\varphi_i : X \rightarrow \mathbb{R}$  are linear functionals on  $X_{\mathbb{R}}$  and  $\varphi = \varphi_{\mathbb{R}} + i\varphi_i$ . The fact that  $z = \operatorname{Re} z - i \operatorname{Re}(iz) = \operatorname{Im}(iz) + i \operatorname{Im} z$  for all  $z \in \mathbb{C}$  implies that for all  $x \in X$ ,<sup>[9]</sup>

$$\begin{aligned} \varphi(x) &= \varphi_{\mathbb{R}}(x) - i\varphi_{\mathbb{R}}(ix) \\ &= \varphi_i(ix) + i\varphi_i(x) \end{aligned}$$

and consequently, that  $\varphi_i(x) = -\varphi_{\mathbb{R}}(ix)$  and  $\varphi_{\mathbb{R}}(x) = \varphi_i(ix)$ .<sup>[10]</sup>

The assignment  $\varphi \mapsto \varphi_{\mathbb{R}}$  defines a bijective<sup>[10]</sup>  $\mathbb{R}$ -linear operator  $X^{\#} \rightarrow X_{\mathbb{R}}^{\#}$  whose inverse is the map  $L_{\bullet} : X_{\mathbb{R}}^{\#} \rightarrow X^{\#}$  defined by the assignment  $g \mapsto L_g$  that sends  $g : X_{\mathbb{R}} \rightarrow \mathbb{R}$  to the linear functional  $L_g : X \rightarrow \mathbb{C}$  defined by

$$L_g(x) := g(x) - ig(ix) \quad \text{for all } x \in X.$$

The real part of  $L_g$  is  $g$  and the bijection  $L_{\bullet} : X_{\mathbb{R}}^{\#} \rightarrow X^{\#}$  is an  $\mathbb{R}$ -linear operator, meaning that

$L_{g+h} = L_g + L_h$  and  $L_{rg} = rL_g$  for all  $r \in \mathbb{R}$  and  $g, h \in X_{\mathbb{R}}^{\#}$ .<sup>[10]</sup> Similarly for the imaginary part, the assignment  $\varphi \mapsto \varphi_i$  induces an  $\mathbb{R}$ -linear bijection  $X^{\#} \rightarrow X_{\mathbb{R}}^{\#}$  whose inverse is the map  $X_{\mathbb{R}}^{\#} \rightarrow X^{\#}$  defined by sending  $I \in X_{\mathbb{R}}^{\#}$  to the linear functional on  $X$  defined by  $x \mapsto I(ix) + iI(x)$ .

This relationship was discovered by Henry Löwig in 1934 (although it is usually credited to F. Murray),<sup>[11]</sup> and can be generalized to arbitrary finite extensions of a field in the natural way. It has many important consequences, some of which will now be described.

## Properties and relationships

Suppose  $\varphi : X \rightarrow \mathbb{C}$  is a linear functional on  $X$  with real part  $\varphi_{\mathbb{R}} := \operatorname{Re} \varphi$  and imaginary part  $\varphi_i := \operatorname{Im} \varphi$ .

Then  $\varphi = 0$  if and only if  $\varphi_{\mathbb{R}} = 0$  if and only if  $\varphi_i = 0$ .

Assume that  $X$  is a topological vector space. Then  $\varphi$  is continuous if and only if its real part  $\varphi_{\mathbb{R}}$  is continuous, if and only if  $\varphi$ 's imaginary part  $\varphi_i$  is continuous. That is, either all three of  $\varphi$ ,  $\varphi_{\mathbb{R}}$ , and  $\varphi_i$  are continuous or none are continuous. This remains true if the word "continuous" is replaced with the word "bounded". In particular,  $\varphi \in X'$  if and only if  $\varphi_{\mathbb{R}} \in X'_{\mathbb{R}}$  where the prime denotes the space's continuous dual space.<sup>[9]</sup>

Let  $B \subseteq X$ . If  $uB \subseteq B$  for all scalars  $u \in \mathbb{C}$  of unit length (meaning  $|u| = 1$ ) then<sup>[proof 1][12]</sup>

$$\sup_{b \in B} |\varphi(b)| = \sup_{b \in B} |\varphi_{\mathbb{R}}(b)|.$$

Similarly, if  $\varphi_i := \operatorname{Im} \varphi : X \rightarrow \mathbb{R}$  denotes the complex part of  $\varphi$  then  $iB \subseteq B$  implies

$$\sup_{b \in B} |\varphi_{\mathbb{R}}(b)| = \sup_{b \in B} |\varphi_i(b)|.$$

If  $X$  is a normed space with norm  $\|\cdot\|$  and if  $B = \{x \in X : \|x\| \leq 1\}$  is the closed unit ball then the supremums above are the operator norms (defined in the usual way) of  $\varphi$ ,  $\varphi_{\mathbb{R}}$ , and  $\varphi_i$  so that<sup>[12]</sup>

$$\|\varphi\| = \|\varphi_{\mathbb{R}}\| = \|\varphi_i\|.$$

This conclusion extends to the analogous statement for polars of balanced sets in general topological vector spaces.

- If  $X$  is a complex Hilbert space with a (complex) inner product  $\langle \cdot | \cdot \rangle$  that is antilinear in its first coordinate (and linear in the second) then  $X_{\mathbb{R}}$  becomes a real Hilbert space when endowed with the real part of  $\langle \cdot | \cdot \rangle$ . Explicitly, this real inner product on  $X_{\mathbb{R}}$  is defined by  $\langle x | y \rangle_{\mathbb{R}} := \operatorname{Re} \langle x | y \rangle$  for all  $x, y \in X$  and it induces the same norm on  $X$  as  $\langle \cdot | \cdot \rangle$  because  $\sqrt{\langle x | x \rangle_{\mathbb{R}}} = \sqrt{\langle x | x \rangle}$  for all vectors  $x$ . Applying the Riesz representation theorem to  $\varphi \in X'$  (resp. to  $\varphi_{\mathbb{R}} \in X'_{\mathbb{R}}$ ) guarantees the existence of a unique vector  $f_{\varphi} \in X$  (resp.  $f_{\varphi_{\mathbb{R}}} \in X_{\mathbb{R}}$ ) such that  $\varphi(x) = \langle f_{\varphi} | x \rangle$  (resp.  $\varphi_{\mathbb{R}}(x) = \langle f_{\varphi_{\mathbb{R}}} | x \rangle_{\mathbb{R}}$ ) for all vectors  $x$ . The theorem also guarantees that  $\|f_{\varphi}\| = \|\varphi\|_{X'}$  and  $\|f_{\varphi_{\mathbb{R}}}\| = \|\varphi_{\mathbb{R}}\|_{X'_{\mathbb{R}}}$ . It is readily verified that  $f_{\varphi} = f_{\varphi_{\mathbb{R}}}$ .

Now  $\|f_\varphi\| = \|f_{\varphi_{\mathbb{R}}}\|$  and the previous equalities imply that  $\|\varphi\|_{X'} = \|\varphi_{\mathbb{R}}\|_{X'_{\mathbb{R}}}$ , which is the same conclusion that was reached above.

## In infinite dimensions

Below, all vector spaces are over either the real numbers  $\mathbb{R}$  or the complex numbers  $\mathbb{C}$ .

If  $V$  is a topological vector space, the space of continuous linear functionals — the continuous dual — is often simply called the dual space. If  $V$  is a Banach space, then so is its (continuous) dual. To distinguish the ordinary dual space from the continuous dual space, the former is sometimes called the *algebraic dual space*. In finite dimensions, every linear functional is continuous, so the continuous dual is the same as the algebraic dual, but in infinite dimensions the continuous dual is a proper subspace of the algebraic dual.

A linear functional  $f$  on a (not necessarily locally convex) topological vector space  $X$  is continuous if and only if there exists a continuous seminorm  $p$  on  $X$  such that  $|f| \leq p$ .<sup>[13]</sup>

## Characterizing closed subspaces

Continuous linear functionals have nice properties for analysis: a linear functional is continuous if and only if its kernel is closed,<sup>[14]</sup> and a non-trivial continuous linear functional is an open map, even if the (topological) vector space is not complete.<sup>[15]</sup>

## Hyperplanes and maximal subspaces

A vector subspace  $M$  of  $X$  is called **maximal** if  $M \subsetneq X$  (meaning  $M \subseteq X$  and  $M \neq X$ ) and does not exist a vector subspace  $N$  of  $X$  such that  $M \subsetneq N \subsetneq X$ . A vector subspace  $M$  of  $X$  is maximal if and only if it is the kernel of some non-trivial linear functional on  $X$  (that is,  $M = \ker f$  for some linear functional  $f$  on  $X$  that is not identically 0). An **affine hyperplane** in  $X$  is a translate of a maximal vector subspace. By linearity, a subset  $H$  of  $X$  is a affine hyperplane if and only if there exists some non-trivial linear functional  $f$  on  $X$  such that  $H = f^{-1}(1) = \{x \in X : f(x) = 1\}$ .<sup>[11]</sup> If  $f$  is a linear functional and  $s \neq 0$  is a scalar then  $f^{-1}(s) = s(f^{-1}(1)) = \left(\frac{1}{s}f\right)^{-1}(1)$ . This equality can be used to relate different level sets of  $f$ . Moreover, if  $f \neq 0$  then the kernel of  $f$  can be reconstructed from the affine hyperplane  $H := f^{-1}(1)$  by  $\ker f = H - H$ .

## Relationships between multiple linear functionals

Any two linear functionals with the same kernel are proportional (i.e. scalar multiples of each other). This fact can be generalized to the following theorem.

**Theorem**<sup>[16][17]</sup> — If  $f, g_1, \dots, g_n$  are linear functionals on  $X$ , then the following are equivalent:

1.  $f$  can be written as a linear combination of  $g_1, \dots, g_n$ ; that is, there exist scalars  $s_1, \dots, s_n$  such that  $sf = s_1g_1 + \dots + s_ng_n$ ;



$$2. \bigcap_{i=1}^n \ker g_i \subseteq \ker f;$$

3. there exists a real number  $r$  such that  $|f(x)| \leq r g_i(x)$  for all  $x \in X$  and all  $i = 1, \dots, n$ .

If  $f$  is a non-trivial linear functional on  $X$  with kernel  $N$ ,  $x \in X$  satisfies  $f(x) = 1$ , and  $U$  is a balanced subset of  $X$ , then  $N \cap (x + U) = \emptyset$  if and only if  $|f(u)| < 1$  for all  $u \in U$ .<sup>[15]</sup>

## Hahn–Banach theorem

Any (algebraic) linear functional on a vector subspace can be extended to the whole space; for example, the evaluation functionals described above can be extended to the vector space of polynomials on all of  $\mathbb{R}$ . However, this extension cannot always be done while keeping the linear functional continuous. The Hahn–Banach family of theorems gives conditions under which this extension can be done. For example,

**Hahn–Banach dominated extension theorem**<sup>[18]</sup> (Rudin 1991, Th. 3.2) — If  $p : X \rightarrow \mathbb{R}$  is a sublinear function, and  $f : M \rightarrow \mathbb{R}$  is a linear functional on a linear subspace  $M \subseteq X$  which is dominated by  $p$  on  $M$ , then there exists a linear extension  $F : X \rightarrow \mathbb{R}$  of  $f$  to the whole space  $X$  that is dominated by  $p$ , i.e., there exists a linear functional  $F$  such that

$$F(m) = f(m)$$

for all  $m \in M$ , and

$$|F(x)| \leq p(x)$$

for all  $x \in X$ .

## Equicontinuity of families of linear functionals

Let  $X$  be a topological vector space (TVS) with continuous dual space  $X'$ .

For any subset  $H$  of  $X'$ , the following are equivalent:<sup>[19]</sup>

1.  $H$  is equicontinuous;
2.  $H$  is contained in the polar of some neighborhood of  $\mathbf{0}$  in  $X$ ;
3. the (pre)polar of  $H$  is a neighborhood of  $\mathbf{0}$  in  $X$ ;

If  $H$  is an equicontinuous subset of  $X'$  then the following sets are also equicontinuous: the weak-\* closure, the balanced hull, the convex hull, and the convex balanced hull.<sup>[19]</sup> Moreover, Alaoglu's theorem implies that the weak- $*$  closure of an equicontinuous subset of  $X'$  is weak- $*$  compact (and thus that every equicontinuous subset weak- $*$  relatively compact).<sup>[20][19]</sup>

## See also

- Discontinuous linear map

- Locally convex topological vector space – A vector space with a topology defined by convex open sets
- Positive linear functional – ordered vector space with a partial order
- Multilinear form – Map from multiple vectors to an underlying field of scalars, linear in each argument
- Topological vector space – Vector space with a notion of nearness

## Notes

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## Footnotes

1. In some texts the roles are reversed and vectors are defined as linear maps from covectors to scalars
2. For instance,  $f(1 + 1) = a + 2r \neq 2a + 2r = f(1) + f(1)$ .

## Proofs

1. It is true if  $B = \emptyset$  so assume otherwise. Since  $|\operatorname{Re} z| \leq |z|$  for all scalars  $z \in \mathbb{C}$ , it follows that  $\sup_{x \in B} |\varphi_{\mathbb{R}}(x)| \leq \sup_{x \in B} |\varphi(x)|$ . If  $b \in B$  then let  $r_b \geq 0$  and  $u_b \in \mathbb{C}$  be such that  $|u_b| = 1$  and  $\varphi(b) = r_b u_b$ , where if  $r_b = 0$  then take  $u_b := 1$ . Then  $|\varphi(b)| = r_b$  and because  $\varphi\left(\frac{1}{u_b} b\right) = r_b$  is a real number,  $\varphi_{\mathbb{R}}\left(\frac{1}{u_b} b\right) = \varphi\left(\frac{1}{u_b} b\right) = r_b$ . By assumption  $\frac{1}{u_b} b \in B$  so  $|\varphi(b)| = r_b \leq \sup_{x \in B} |\varphi_{\mathbb{R}}(x)|$ . Since  $b \in B$  was arbitrary, it follows that  $\sup_{x \in B} |\varphi(x)| \leq \sup_{x \in B} |\varphi_{\mathbb{R}}(x)|$ . ■

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