PMATH 351: Real Analysis

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# 1. Cardinality

#### Definition 1.1 — domain, range, image, inverse image.

Let X and Y be sets and let  $f: X \to Y$ . Recall the **domain** of f and the **range** of f are the sets

$$Domain(f) = X, Range(f) = f(X) = \{f(x) | x \in X\}$$

for  $A \subseteq X$ , the **image** of A under f is the set

$$f(A) = \{ f(x) | x \in A \}$$

For  $B \subseteq Y$ , the **inverse image** of B under f is the set

$$f^{-1}(B) = \{ x \in X | f(x) \in B \}$$

#### Definition 1.2 — Composite.

Let X, Y and Z be sets, let  $f: X \to Y$  and let  $g: Y \to Z$ . We define the **composite** function  $(g \circ f)(x) = g(f(x))$  for all  $x \in X$ 

#### Definition 1.3 — injective, surjective, bijective.

We say that f is **injective** (or **one-to-one**) when for every  $y \in Y$  there exists at most one  $x \in X$  such that f(x) = y. Equivalently, f is injective when for all  $x_1, x_2 \in X$ , if  $f(x_1) = f(x_2)$  then  $x_1 = x_2$ .

We say that f is **surjective** (or **onto**) when for every  $y \in Y$  there exists at least one  $x \in X$  such that f(x) = y. Equivalently, f is surjective when Range(f) = Y

We say that f is **bijective** (or **invertible**) when f is both injective and surjective, that is when for every  $y \in Y$  there exists exactly one  $x \in X$  such that f(x) = y. When f is both injective and surjective, that is when for every  $y \in Y$  there exists exactly one  $x \in X$  such that  $f^{-1}: Y \to X$  such that for all  $y \in Y$ ,  $f^{-1}(y)$  is equal to the unique element  $x \in X$  such that f(x) = y. Note that when f is bijective so is  $f^{-1}$ , and in this case we have  $(f^{-1})^{-1} = f$ 

#### **Theorem 1.1** Let $f: X \to Y$ and let $g: Y \to Z$ . Then

- (1) If f and g are both injective then so is  $g \circ f$
- (2) If f and g are both surjective then so is  $g \circ f$
- (3) If f and g are both invertible then so is  $g \circ f$ , and in this case  $(g \circ f)^{-1} = f^{-1} \circ g^{-1}$

#### Proof.

- (1) Suppose that f and g are both injective. Let  $x_1, x_2 \in X$ . If  $g(f(x_1)) = g(f(x_2))$  then since g is injective we have  $f(x_1) = f(x_2)$ , and then since f is injective we have  $x_1 = x_2$ . Thus  $g \circ f$  is injective.
- (2) Suppose that f and g are both injective. Given  $z \in Z$ , since g is surjective we can choose  $y \in Y$  so that g(y) = z, then since f is surjective we can choose  $x \in X$  so that f(x) = y, and then we have g(f(x)) = g(y) = z. Thus  $g \circ f$  is surjective.
- (3) Follows (1) and (2).

#### Definition 1.4 — identity function.

For a set X, we define the **identity function** on X to be the function  $I_X: X \to X$  given by  $I_X(x) = x$  for all  $x \in X$ . Note that for  $f: X \to Y$  we have  $f \circ I_X = f$  and  $I_Y \circ f = f$ .

#### Definition 1.5 — inverse.

Let X and Y be sets and let  $f: X \to Y$ . A **left inverse** of f is a function  $g: Y \to X$  given by  $g \circ f = I_X$ . Equivalently, a function  $g: Y \to X$  is a left inverse of f when g(f(x)) = x for all  $x \in X$ .

A **right inverse** of f is a function  $h: Y \to X$  such that  $f \circ h = I_Y$ . Equivalently, a function  $h: Y \to X$  is a right inverse of f when f(h(y)) = y for all  $y \in Y$ .

### **Theorem 1.2** Let X and Y be nonempty sets and let $f: X \to Y$ . Then

- (1) f is injective  $\iff$  f has a left inverse.
- (2) f is surjective  $\iff$  f has a right inverse.
- (3) f is bijective  $\iff$  f has a left inverse g and a right inverse h, and in this case we have  $g = h = f^{-1}$ .

#### Proof.

- (1) Suppose first that f is injective. Since  $X \neq \emptyset$  we can choose  $a \in X$  and then define  $g: Y \to X$  as follows: if  $y \in \text{Range}(f)$  then (using the fact the f is injective) we define g(y) to be the unique element  $x_y \in X$  with  $f(x_y) = y$ , and if  $y \notin \text{Range}(f)$ , then we define g(y) = a. Then for every  $x \in X$  we have  $y = f(x) \in \text{Range}(f)$ , so  $g(y) = x_y = x$ , that is g(f(x)) = x. Conversely, if f has a left inverse, say g, then f is injective since for all  $x_1, x_2 \in X$ , if  $f(x_1) = f(x_2)$  then  $x = g(f(x_1)) = g(f(x_2)) = x_2$ .
- (2) Suppose first that f is onto. For each  $y \in Y$ , choose  $x_y \in X$  with  $f(x_y) = y$ , then define  $g: X \to Y$  by  $g(y) = x_y$  (We need the Axiom of Choice for this). Then g is a right inverse of f since for every  $y \in Y$  we have  $f(g(y)) = f(x_y) = y$ . Conversely, if f has a right inverse, say g, then f is onto since given any  $g \in Y$  we can choose g(y) and then we have g(y) = g(y) = y.
- (3) Suppose first that f is bijective. The inverse function  $f^{-1}: Y \to X$  is a left inverse for f because given  $x \in X$  we can let y = f(x) and then  $f^{-1}(y) = x$  so that  $f^{-1}(f(x)) = f^{-1}(y) = x$ . Similarly,  $f^{-1}$  is a right inverse for f because given  $y \in Y$  we can let x be the unique element in X with y = f(x) and then we have  $x = f^{-1}(y)$  so that  $f(f^{-1}(y)) = f(x) = y$ . Conversely, suppose that g is a left inverse for f and h

is a right inverse for f. Since f has a left inverse, it is injective by (1). Since f has a right inverse, it is surjective by (2). Since f is injective and surjective, it is bijective. As shown above, the inverse function  $f^{-1}$  is both a left inverse and a right inverse. Finally, note that  $g = f^{-1} = h$  because for all  $y \in Y$  we have

$$g(y=g(f(f^{-1}(y))) = f^{-1}(y) = f^{-1}(f(h(y))) = h(y)$$

# Corollary 1.3

Let X and Y be sets. Then there exists an injective map  $f: X \to Y$  if and only if there exists a surjective map  $g: Y \to X$ .

**Proof.** Suppose  $f: X \to Y$  is an injective map. Then f has a left inverse. Let g be a left inverse of f. Since  $g \circ f = I_X$ , we see that f is a right inverse of g. Since g has a right inverse, g is surjective. Thus, there is a surjective map  $g: Y \to X$ . Similarly, if  $g: Y \to X$  is surjective, then it has a right inverse  $f: X \to Y$  which is injective.

#### Definition 1.6 — same cardinality, less than or equal to, less than.

Let A and B be sets. We say that A and B have the **same cardinality**, and write |A| = |B|, when there exists a bijective map:  $f: A \to B$  (or equivalently when there exists a bijective map  $g: B \to A$ ).

We say that the cardinality of A is **less than or equal to** the cardinality of B, and write  $|A| \leq |B|$ , when there exists an injective map  $f: A \to B$  (or equivalently a surjective map  $g: B \to A$ ).

We say that the cardinality of A is **less than** the cardinality of B, and write |A| < |B|, when  $|A| \le |B|$  and  $|A| \ne |B|$ , (that is when there exists an injective map  $f: A \to B$  but there does not exist a bijective map  $g: A \to B$ ).

We also write  $|A| \ge |B|$  when  $|B| \le |A|$ ; and |A| > |B| when |B| < |A|.

- **Example 1.1** Let  $\mathbb{N} = \{n \in \mathbb{Z} | n \ge 0\} = \{0, 1, 2, \dots\}.$ 
  - (1) The map  $f: \mathbb{N} \to 2\mathbb{N}$  given by f(k) = 2k is bijective, so  $|2\mathbb{N}| = |\mathbb{N}|$ .
  - (2) The map  $g: \mathbb{N} \to \mathbb{Z}$  given by g(2k) = k and g(2k+1) = -k-1 for  $k \in \mathbb{N}$  is bijective, so we have  $|\mathbb{Z}| = |\mathbb{N}|$ .
  - (3) The map  $h: \mathbb{N} \times \mathbb{N} \to \mathbb{N}$  given by  $h(k,l) = 2^k(2l+1) 1$  is bijective, so we have  $|\mathbb{N} \times \mathbb{N}| = |\mathbb{N}|$ .

#### **Theorem 1.4** For all sets A, B and C

- (1) |A| = |A|
- (2) If |A| = |B| then |B| = |A|
- (3) If |A| = |B| and |B| = |C|, then |A| = |C|
- $(4) |A| \le |B| \iff (|A| = |B| \text{ or } |A| < |B|)$
- (5) If  $|A| \le |B|$  and  $|B| \le |C|$ , then  $|A| \le |C|$

# Proof.

- (1) holds because the identity function  $I_A: A \to A$  is bijective.
- (2) holds because if  $f: A \to B$  is bijective then so is  $f^{-1}: B \to A$ .
- (3) holds because if  $f:A\to B$  and  $g:B\to C$  are bijective then so is the composite  $g\circ f:A\to C$

#### Definition 1.7 — finite, infinite, countable.

Let A be a set. For each  $n \in \mathbb{N}$ , let  $S_n = \{0, 1, 2, \dots, n-1\}$ . For  $n \in \mathbb{N}$ , we say that the cardinality of A is equal to n, or that A has n **elements**, and we write |A| = n, when  $|A| = |S_n|$ .

We say that A is **finite** when |A| = n for some  $n \in \mathbb{N}$ . We say A is **infinite** when A is not finite. We say that A is **countable** when  $|A| = |\mathbb{N}|$ 

**Note 1.1** When a set A is finite with |A| = n, and when  $f: A \to S_n$  is a bijection, if we let  $a_k = f^{-1}(k)$  for each  $k \in S_n$  then we have  $A = \{a_0, a_1, \cdots, a_{k-1}\}$  with the elements  $a_k$  distinct. Conversely, if  $A = \{a_0, a_1, \cdots, a_{k-1}\}$  with the elements  $a_k$  all distinct, then we define a bijection  $f: A \to S_n$  by  $f(a_k) = k$ . Thus we see that A is finite with |A| = n if and only if A is of the form  $A = \{a_0, a_1, \cdots, a_{n-1}\}$  with the elements  $a_k$  all distinct. Similarly, a set A is countable if and only if A is of the form  $A = \{a_0, a_1, a_2, \cdots\}$  with the elements  $a_k$  all distinct.

**Note 1.2** For  $n \in \mathbb{N}$ , if A is a finite set with |A| = n + 1 and  $a \in |A \setminus \{a\}| = n$ . Indeed, if  $A = \{a_0, a_1, \dots, a_n\}$  with the elements  $a_i$  distinct, and if  $a = a_k$  so that we have  $A \setminus \{a\} = \{a_0, a_1, \dots, a_{k-1}, a_{k+1}, \dots, a_n\}$ , then we can define a bijection  $f : S_n \to A \setminus \{a\}$  by  $f(i) = a_i$  for  $0 \le i < k$  and  $f(i) = a_{i+1}$  for  $k \le i < n$ .

# **Theorem 1.5** Let A be a set. Then the following are equivalent:

- (1) A is infinite
- (2) A contains a countable subset
- $(3) |\mathbb{N}| \leq |A|$
- (4) There exists a map  $f: A \to A$  which is injective but not surjective

#### Proof.

- (1)  $\Longrightarrow$  (2) Suppose A is infinite. Since  $A \neq \emptyset$  we can choose an element  $a_0 \in A$ . Since  $A \neq \{a_0\}$  we can choose an element  $a_1 \in A \setminus \{a_0\}$ . Since  $A \neq \{a_0, a_1\}$  we can choose  $a_3 \in A \setminus \{a_0, a_1\}$ . Continue this procedure: having chosen distinct elements  $a_0, a_1, \dots, a_{n-1} \in A$ , since  $A \neq \{a_0, a_1, \dots, a_{n-1}\}$  we can choose  $a_n \in A \setminus \{a_0, a_1, \dots, a_{n-1}\}$ . In this way we obtain  $\{a_0, a_1, a_2, \dots\} \subseteq A$ .
- (2)  $\iff$  (3) Suppose that A contains a countable subset, say  $\{a_0, a_1, a_2, \dots\} \subseteq A$  with the element  $a_i$  distinct. Since  $a_i$  are distinct, the map  $f: \mathbb{N} \to A$  given by  $f(k) = a_k$  is injective, and so we have  $|\mathbb{N}| \leq |A|$ . Conversely as a map from  $\mathbb{N} \to f(\mathbb{N})$  where f is bijective, so we have  $|\mathbb{N}| = |f(\mathbb{N})|$  hence  $f(\mathbb{N})$  is a countable subset of A.
- (2)  $\Longrightarrow$  (4) Suppose that A has a countable subset, say  $\{a_0, a_1, a_2, \dots\} \subseteq A$  with the element  $a_i$  distinct. Define  $f: A \to A$  by  $f(a_k) = a_{k+1}$  for all  $k \in \mathbb{N}$  and by f(b) = b for all  $b \in A \setminus \{a_0, a_1, a_2, \dots\}$ . Then f is injective but not surjective (the element  $a_0$  is not in the range of f).
- (4)  $\Longrightarrow$  (1) To prove this we shall prove that if A is finite then every injective map  $f: A \to A$  is surjective. We prove this by induction on the cardinality of A.

The only set A with |A| = 0 is the set  $A \neq \emptyset$ , and then the only function  $f: A \to A$  is the empty function, which is surjective.

Since that base case may appear too trivial, let us consider the next case. Let n = 1 and let A be a set with |A| = 1, say  $A = \{a\}$ . The only function  $f: A \to A$  is the function given by f(a) = a, which is surjective.

Let  $n \geq 1$  and suppose, inductively, that for every set A with |A| = n, every injective

map  $f:A\to A$  is surjective. Let B be a set with |B|=n+1 and let  $g:B\to B$  be injective.

Suppose, for a contradiction, that g is not surjective. Choose an element  $b \in B$  which is not in the range of g so that we have  $g: B \to B \setminus \{b\}$ . Let  $A = B \setminus \{b\}$  and let  $f: A \to A$  be given by f(x) = g(x) for all  $x \in A$ . Since  $g: B \to A$  is injective and f(x) = g(x) for all  $x \in A$ , f is also injective. Again since g is injective, there is no element  $x \in B \setminus \{b\}$  with g(x) = g(b), so there is no element  $x \in A$  with f(x) = g(b), and so f is not surjective. Since |A| = n, this contradicts the induction hypothesis. Thus g must be surjective.

By the Principle of Induction, for every  $n \in \mathbb{N}$  and for every set A with |A| = n, every injective function  $f: A \to A$  is surjective.

#### Corollary 1.6

Let A and B be sets.

- (1) If A is countable then A is infinite
- (2) When  $|A| \leq |B|$ , if B is finite so is A (equivalently if A is infinite then so is B)
- (3) If |A| = n and |B| = m then |A| = |B| if and only if n = m
- (4) If |A| = n and |B| = m then  $|A| \le |B|$  if and only if  $n \le m$
- (5) When one of the two sets A and B is finite, if  $|A| \leq |B|$  and  $|B| \leq |A|$  then |A| = |B|

#### Proof.

- (1) If A is countable then A contains a countable subset (itself), so A is infinite by Theorem 1.5.
- (2) Suppose that  $|A| \leq |B|$  and that |A| is infinite. Since A is infinite, we have  $|\mathbb{N}| \leq |A|$  (by Theorem 1.5). Since  $|\mathbb{N}| \leq |A|$  and  $|A| \leq |B|$  we have  $|\mathbb{N}| \leq |B|$  (by Theorem 1.4). Since  $|\mathbb{N}| \leq |B|$ , B is infinite (by Theorem 1.5).
- (3) Suppose that |A| = n and |B| = m. If n = m then we have  $S_n = S_m$  and so  $|A| = |S_n| = |S_m| = |B|$ . Conversely, suppose that |A| = |B|. Suppose, for a contradiction, that  $n \neq m$ , say n > m, and note that  $S_m \subsetneq S_n$ . Since |A| = |B| we have  $|S_n| = |A| = |B| = |S_m|$  so we must have n = m.
- (4) Suppose |A| = n and |B| = m. If  $n \le m$  then  $S_n \subseteq S_m$  so the inclusion map  $I: S_n \to S_m$  is injective and we have  $|A| = |S_n| \le |S_m| = |B|$ . Conversely, suppose that  $|A| \le |B|$  and suppose, for a contradiction, that n > m. Since  $|A| \le |B|$  we have  $|S_n| = |A| \le |B| = |S_m|$  so we can choose an injective map  $f: S_n \to S_m$ . Since n > m we have  $S_m \subseteq S_n$  so we can consider f as a map  $f: S_n \to S_m$ , and this map is injective but not surjective. This contradicts Theorem 1.5, and so  $n \le m$ .
- (5) Suppose that one of the two sets A and B is finite, and that  $|A| \leq |B|$  and  $|B| \leq |A|$ . If A is finite then, since  $|B| \leq |A|$ , (2) implies that B is finite. If B is finite then, since  $|A| \leq |B|$ , (2) implies that A is finite. Thus, in either case, we see that A and B are both finite. Since A and B are both finite with  $|A| \leq |B|$  and  $|B| \leq |A|$ , we must have |A| = |B| by (3) and (4).

#### **Theorem 1.7** Let A be a set. Then $|A| \leq |\mathbb{N}| \iff A$ is finite or countable.

*Proof.* First we claim that every subset of  $\mathbb{N}$  is either finite or countable. Let  $A \subseteq \mathbb{N}$  and suppose that A is not finite.

Since  $A \neq \emptyset$ , we can set  $a_0 = \min\{A\}$  (using the Well-Ordering Property of N). Note that

 $\{0, 10, \cdots, a_0\} \cap A = \{a_0\}.$ 

Since  $A \neq \{a_0\}$  (so the set  $A \setminus \{a_0\}$  is nonempty), we can set  $a_1 = \min\{A \setminus \{a_0\}\}$ . Then we have  $a_0 < a_1$  and  $\{0, 1, \dots, a_1\} \cap A = \{a_0, a_1\}$ .

Since  $A \neq \{a_0, a_1\}$  we can set  $a_2 = \min\{A \setminus \{a_0, a_1\}\}$ . Then we have  $a_0 < a_1 < a_2$  and  $\{0, 1, 2, \dots, a_3\} \cap A = \{a_0, a_1, a_2\}$ 

We continue the procedure: having chosen  $a_0, a_1, \dots, a_{n-1} \in A$  with  $a_0 < a_1 < \dots < a_{n-1}$  such that  $\{0, 1, \dots, a_{n-1}\} \cap A = \{a_0, a_1, \dots, a_{n-1}\}$ . Since  $A \neq \{a_0, a_1, \dots, a_{n-1}\}$ , we can set  $a_n = \min\{A \setminus \{a_0, a_1, \dots, a_{n-1}\}\}$  and then we have  $a_0 < a_1 < \dots < a_{n-1} < a_n$  and  $\{0, 1, \dots, a_n\} \cap A = \{a_0, a_1, \dots, a_n\}$ .

In this way, we obtain a countable set  $\{a_0, a_1, a_2, \dots\} \subseteq A$  with  $a_0 < a_1 < a_2 < \dots$  with the property that for all  $m \in \mathbb{N}$ ,  $\{0, 1, 2, \dots, a_m\} \cap A = \{a_0, a_1, \dots, a_m\}$ .

Since  $0 \le a_0 < a_1 < a_2 < \cdots$ , it follows (by induction) that  $a_k \ge k$  for all  $k \in \mathbb{N}$ . It follows in turn that  $A \subseteq \{a_0, a_1, a_2, \cdots\}$  because given  $m \in A$ , since  $m \le a_m$  we have

$$m \in \{0, 1, 2, \dots, m\} \cap A \subseteq \{0, 1, 2, \dots, a_m\} \cap A = \{a_0, a_1, \dots, a_m\}.$$

Thus  $A = \{a_0, a_1, a-2, \dots\}$  and the elements  $a_i$  are distinct, so A is countable. This proves our claim that every subset of  $\mathbb{N}$  is either finite or countable.

Suppose that  $|A| \leq |\mathbb{N}|$  and choose an injective map  $f: A \to \mathbb{N}$ . Since f is injective, when we consider it as a map  $f: A \to f(A)$ , it is bijective, and so |A| = |f(A)|. Since  $f(A) \subseteq \mathbb{N}$ , the previous paragraph shows that f(A) is either finite or countable. If f(A) is finite with |f(A)| = n then  $|A| = |f(A)| = |S_n|$ , and if f(A) is countable then we have  $|A| = |f(A)| = |\mathbb{N}|$ . Thus A is finite or countable.

#### Theorem 1.8 Let A be a set. Then

- (1)  $|A| < |\mathbb{N}| \iff A \text{ is finite}$
- (2)  $|\mathbb{N}| < |A| \iff A$  is neither finite nor countable
- (3) if  $|A| \leq |\mathbb{N}|$  and  $|\mathbb{N}| \leq |A|$  then  $|A| = |\mathbb{N}|$

#### Proof.

(1) By Theorem 1.5

$$|A| < |\mathbb{N}| \iff (|A| \le |\mathbb{N}| \text{ and } |A| \ne |\mathbb{N}|)$$
  
 $\iff (A \text{ if finite or countable and } A \text{ is not countable})$   
 $\iff A \text{ is finite}$ 

(2) By Theorem 1.7

$$|\mathbb{N}| < |A| \iff (|\mathbb{N}| \le |A| \text{ and } |\mathbb{N}| \ne |A|)$$
  
  $\iff (A \text{ is not finite and } A \text{ is not countable})$ 

(3) Suppose that  $|A| \leq |\mathbb{N}|$  and  $|\mathbb{N}| \leq |A|$ . Since  $|A| \leq |\mathbb{N}|$ , we know that A is finite or countable by Theorem 1.7. Since  $|N| \leq |A|$ , we know that A is infinite by Theorem 1.5. Since A is finite or countable and A is not finite, it follows that A is countable. Thus  $|A| = |\mathbb{N}|$ 

#### Definition 1.8 — at most countable, uncountable.

Let A be a set. When A is countable we write  $|A| = \aleph_0$ . When A is finite we write  $|A| < \aleph_0$ . When A is infinite we write  $|A| \ge \aleph_0$ . When A is either finite or countable we write  $|A| \le \aleph_0$  and we say that A is **at most countable**. When A is neither finite nor

countable we write  $|A| > \aleph_0$  and we say that A is **uncountable**.

#### Theorem 1.9

- (1) If A and B are countable sets, then so is  $A \times B$
- (2) If A and B are countable sets, then so is  $A \cup B$
- (3) If  $A_0, A_1, A_2, \cdots$  are countable sets, then so is  $\bigcap_{k=0}^{\infty} A_k$
- (4) Q is countable

#### Proof.

- (1) Let  $A = \{a_0, a_1, a_2, \dots\}$  with the  $a_i$  distinct and let  $B = \{b_0, b_1, b_2, \dots\}$  with  $b_i$  distinct. Since every positive integer can be written uniquely in the form  $2^k(2l+1)$  with  $k, l \in \mathbb{N}$ , the map  $f : A \times B \to \mathbb{N}$  given by  $f(a_k, b_l) = 2^k(2l+1) 1$  is bijective, and so  $|A \times B| = |\mathbb{N}|$
- (2) Similar to (1), since the map  $g: \mathbb{N} \to A \cup B$  given by  $g(k) = a_k$  is injective, we have  $|\mathbb{N}| \leq |A \cup B|$ . Since the map  $h: \mathbb{N} \to A \cup B$  given by  $h(2k) = a_k$  and  $h(2k+1) = b_k$  is surjective, we have  $|A \cup B| \leq |\mathbb{N}|$ . Since  $|\mathbb{N}| \leq |A \cup B|$  and  $|A \cup B| \leq |\mathbb{N}|$ , we have  $|A \cup B| = |\mathbb{N}|$  by Theorem 1.8
- (3) For each  $k \in \mathbb{N}$ , let  $A_k = \{a_{k0}, a_{k1}, a_{k2}, \cdots\}$  with the  $a_{ki}$  distinct. Since the map  $f: \mathbb{N} \to \bigcap_{k=0}^{\infty} A_k$  given by  $f(k) = a_{0,k}$  is injective,  $|\mathbb{N}| \le \left|\bigcap_{k=0}^{\infty} A_k\right|$ . Since  $\mathbb{N} \times \mathbb{N}$  is countable by (1), and since the map  $g: \mathbb{N} \times \mathbb{N} \to \bigcap_{k=0}^{\infty} A_k$  given by  $g(k, l) = a_{k, l}$  is surjective, we have  $\left|\bigcap_{k=0}^{\infty} A_k\right| \le |\mathbb{N} \times \mathbb{N}| = |\mathbb{N}|$ . By Theorem 1.8, we have  $\left|\bigcap_{k=0}^{\infty} A_k\right| = |\mathbb{N}|$ .
- (4) Since the map  $f: \mathbb{N} \to \mathbb{Q}$  given by f(k) = k is injective, we have  $|\mathbb{N}| \leq |\mathbb{Q}|$ . Since the map  $g: \mathbb{Q} \to \mathbb{Z} \times \mathbb{Z}$  given by  $g(\frac{a}{b}) = (a, b)$  for all  $a, b \in \mathbb{Z}$  with b > 0 and  $\gcd(a, b) = 1$ , is injective, and since  $\mathbb{Z} \times \mathbb{Z}$  is countable, we have  $|\mathbb{Q}| \leq |\mathbb{Z} \times \mathbb{Z}| = |\mathbb{N}|$ . Since  $|\mathbb{N}| \leq |\mathbb{Q}|$  and  $|\mathbb{Q}| \leq |\mathbb{N}|$ , we have  $|\mathbb{Q}| = |\mathbb{N}|$

**Exercise 1.1** Let A be a countable set. Show that the set of finite sequences with terms in A is countable. Show that the set of all finite subsets of A is countable.

# Definition 1.9 — power set.

For a set A, let  $\mathcal{P}(A)$  denote the **power set** of A, that is the set of all subsets of A, and let  $2^A$  denote the set of all functions from A to  $S_2 = \{0, 1\}$ 

#### Theorem 1.10

- (1) For every set A,  $\mathcal{P}(A) = |2^A|$
- (2) For every set A,  $|A| < \mathcal{P}(A)$
- (3)  $\mathbb{R}$  is uncountable

#### Proof.

(1) Let A be any set. Define a map  $g: \mathcal{P}(A) \to 2^A$  as follows: given  $S \in \mathcal{P}(A)$ , that is given  $S \subseteq A$ , we define  $g(S) \in 2^A$  to be the map  $g(S): A \to \{0,1\}$  given by

$$g(S)(a) = \begin{cases} 1 & \text{if } a \in S, \\ 0 & \text{if } a \notin S. \end{cases}$$

Define map  $h: 2^A \to \mathcal{P}(A)$  as follows: given  $f \in 2^A$ , that is given a map:  $f: A \to \{0,1\}$ , we define  $h(f) \in (A)$  to be the subset

$$h(f) = \{a \in A | f(a) = 1\} \subseteq A$$

This maps g and h are the inverses of each other because for every  $S \subseteq A$  and every  $f: A \to \{0,1\}$  we have

$$f = g(S) \iff \forall a \in A, f(a) = g(S)(a) \iff \forall a \in A, f(a) = \begin{cases} 1 & \text{if } a \in S, \\ 0 & \text{if } a \notin S. \end{cases}$$
$$\iff \forall a \in A, (f(a) = 1 \iff a \in S) \iff \{a \in A | f(a) = 1\} = S$$
$$\iff h(f) = S$$

(2) Let A be any set. Since the map  $f: A \to \mathcal{P}(A)$  given by  $f(a) = \{a\}$  is injective, we have  $|A| \leq |\mathcal{P}(A)|$ . We need to show that  $|A| \neq |\mathcal{P}(A)|$ . Let  $g: A \to \mathcal{P}(A)$  be any map. Let  $S = \{a \in A | a \notin g(a)\}$ . Note that S cannot be in the range of g because we could choose  $g \in A$  so that g(g) = S then, by the definition of S, we would have

$$a \in S \iff a \notin g(a) \iff a \notin S$$

which is impossible. Since S is not in the range of g, the map g is not surjective. Since g was an arbitrary map from A to  $\mathcal{P}(A)$ , it follows that there is no surjective map from A to  $\mathcal{P}(A)$ . Thus there is no bijective map from A to  $\mathcal{P}(A)$  and so we have  $|A| \neq |\mathcal{P}(A)|$ .

(3) We prove  $\mathbb{R}$  is uncountable using the fact that every real number has a unique decimal expansion which does not end with an infinite string of 9's. Define a map  $g: 2^{\mathbb{N}} \to \mathbb{R}$  as follows: given  $f \in 2^{\mathbb{N}}$ , that is given a map  $f: \mathbb{N} \to \{0,1\}$ , we define g(f) to be the real number of  $g(f) \in [0,1)$  with the decimal expansion  $g(f) = 0.f(1)f(2)f(3)\cdots$ , that is  $g(f) = \sum_{k=0}^{\infty} f(k)10^{-k-1}$ . By the uniqueness of decimal expansions, the map g is injective, so we have  $|2^{\mathbb{N}}| \leq |\mathbb{R}|$ . Thus  $|\mathbb{N}| < |\mathcal{P}(\mathbb{N})| = |2^{\mathbb{N}}| \leq |\mathbb{R}|$ , and so  $\mathbb{R}$  is uncountable by Theorem 1.8.

# Theorem 1.11 — Cantor-Schroeder-Bernstein.

Let A and B be sets. Suppose that  $|A| \leq |B|$  and  $|B| \leq |A|$ . Then |A| = |B|

*Proof.* We sketch a proof. Choose injective functions  $f:A\to B$  and  $g:B\to A$ . Since the functions  $f:A\to f(A)$ ,  $g:B\to g(B)$  and  $f:g(B)\to f(g(B))$  are bijective, we have |A|=|f(A)| and |B|=|g(B)|=|f(g(B))|. Also note that  $f(g(B))\subseteq f(A)\subseteq B$ . Let X=f(g(B)), Y=f(A) and Z=B. Then we have  $X\subseteq Y\subseteq Z$  and we have |x|=|z| and we need to show that |Y|=|Z|. The composite  $h=f\circ g:Z\to X$  is a bijective. Define sets  $Z_n$  and  $Y_n$  for  $n\in\mathbb{N}$  recursively by

$$Z_0 = Z, Z_n = h(Z_{n-1})$$
 and  $Y_0 = Y, Y_n = h(Y_{n-1})$ 

Since  $Y_0 = Y$ ,  $Z_0 = Z$ ,  $Z_1 = h(Z_0) = h(Z) = X$  and  $X \subseteq Y \subseteq Z$ , we have

$$Z_1 \subseteq Y \subseteq Z_0$$

Also note that for  $1 \leq n \in \mathbb{N}$ ,

$$Z_n \subseteq Y_{n-1} \subseteq Z_{n-1} \implies h(Z_n) \subseteq h(Y_{n-1}) \subseteq h(Z_{n-1}) \implies Z_{n+1} \subseteq Y_n \subseteq Z_n$$

By the Induction Principle, it follows that  $Z_n \subseteq Y_{n-1} \subseteq Z_{n-1}$  for all  $n \ge 1$ , so we have

$$Z_0 \supseteq Y_0 \supseteq Z_1 \supseteq Y_1 \supseteq Z_2 \supseteq Y_2 \supseteq \cdots$$

Let  $U_n = \frac{Z_n}{Y_n}$ ,  $U = \bigcup_{n=0}^{\infty} U_n$  and  $V = \frac{Z}{U}$ . Define  $H: Z \to Y$  by

$$H(x) = \begin{cases} h(x) & \text{if } x \in U \\ x & \text{if } x \in V \end{cases}$$

Verify that H is bijective.

# **Exercise 1.2** Show that $|\mathbb{R}| = |2^{\mathbb{N}}|$

Solution.  $g: 2^{\mathbb{N}} \to \mathbb{R}$  as follows: for  $f \in 2^{\mathbb{N}}$  we let g(f) be the real number  $g(f) \in [0,1)$  with decimal expansion  $g(f) = 0.f(1)f(2)\cdots$ . Then g is injective so  $|2^{\mathbb{N}}| \leq \mathbb{R}$ . Define  $h: 2^{\mathbb{N}} \to [0,1)$  as follows: for  $f \in 2^{\mathbb{N}}$  let h(f) be the real number  $h(f) \in [0,1]$  with binary expansion  $h(f) = 0.f(0)f(1)f(2)\cdots$ . Then h is surjective so we have  $|[0,1]| \leq |2^{\mathbb{N}}|$ . The map  $k: \mathbb{R} \to [0,1]$  given by  $k(x) = \frac{1}{2} + \frac{1}{\pi} \tan^{-1}(x)$  is injective, so we have  $|\mathbb{R}| \leq |[0,1]|$ . Since  $|\mathbb{R}| \leq |[0,1]| \leq |2^{\mathbb{N}}|$  and  $|2^{\mathbb{N}}| \leq \mathbb{R}$ , we have  $|\mathbb{R}| = |2^{\mathbb{N}}|$  by the Cantor-Schroeder-Bernstein Theorem (1.11)

**Notation 1.1** For sets A and B, we write  $A^B$  to denote the set of functions  $f: B \to A$ 

**Theorem 1.12** Let A and B be finite sets and let  $\mathcal{P}(A)$  is the power set of A (that is the set of all subsets of A). Then

- (1) if A and N are disjoint then  $|A \cup B| = |A| + |B|$
- $(2) |A \times B| = |A| \cdot |B|$
- (3)  $|A^B| = |A|^{|B|}$
- (4)  $|\mathcal{P}| = 2^{|A|}$

Proof. The proof is left as an exercise

**Theorem 1.13** Let A, B, C and D be sets with |A| = |C| and |B| = |D|. Then

- (1) if  $A \cap B = \emptyset$  and  $C \cap D = \emptyset$  then  $|A \cup B| = |C \cup D|$
- (2)  $|A \times B| = |c \times D|$
- (3)  $|A^B| = |C^D|$

*Proof.* The proof is left as an exercise

It is possible to define certain specific sets called **cardinals** such that for every set A there exists a unique cardinal  $\kappa$  with  $|A| = |\kappa|$ . We can then define the **cardinality** of a set A to be equal to the unique cardinal  $\kappa$  such that |A| = || and, in this case, we define the **cardinality** of the set A to be  $|A| = \kappa$ . In foundational set theory, the natural numbers are defined, formally, to be equal to the sets  $0 = \emptyset$ ,  $1 = \{0\} = \{\emptyset\}$ ,  $2 = \{0,1\} = \{\emptyset, \{\emptyset\}\}$  and, in general,  $n+1=n\cup\{n\}$  so that the natural number n is equal to the set that we previously denoted by  $S_n$ , that is  $n=S_n=\{0,1,\cdots,n-1\}$ . The finite cardinals are equal to the natural numbers and the countable cardinal  $\aleph_0$  is equal to the set of natural numbers. The previous theorem allows us to define **arithmetic operations** on cardinals which extend the usual arithmetic operations on the natural numbers. Given cardinals  $\kappa$  and  $\kappa$  we define  $\kappa + \lambda$ ,  $\kappa \cdot \lambda$  and  $\kappa$  to be the cardinals such that

$$- \kappa + \lambda = |(\kappa \times \{0\}) \cup (\lambda \times \{1\})|$$

- 
$$\kappa \cdot \lambda = |\kappa \times \lambda|$$
  
-  $\kappa^{\lambda} = |\kappa^{\lambda}|$ 

**Theorem 1.14** Let  $\kappa, \lambda$  and  $\mu$  be cardinals. Then

- (1)  $\kappa + \lambda = \lambda + \kappa$
- (2)  $(\kappa + \lambda) + \mu = \kappa + (\lambda + \mu)$
- (3)  $\kappa + 0 = \kappa$
- (4)  $\lambda \le \mu \implies \kappa + \lambda \le \kappa + \mu$
- (5)  $\kappa \cdot \lambda = \lambda \cdot \kappa$
- (6)  $(\kappa \cdot \lambda) \cdot \mu = \kappa \cdot (\lambda \cdot \mu)$
- (7)  $\kappa \cdot 1 = \kappa$
- (8)  $\kappa \cdot (\lambda + \mu) = (\kappa \cdot \lambda) + (\kappa \cdot \lambda)$
- (9)  $\lambda \le \mu \implies \kappa \cdot \lambda \le \kappa \cdot \mu$
- $(10) \ \kappa^{\lambda + \mu} = \kappa^{\lambda} \cdot \kappa^{\mu}$
- $(11) (\kappa^{\lambda})^{\mu} = \kappa^{\lambda \cdot \mu}$
- $(12) (\kappa \cdot \lambda)^{\mu} = \kappa^{\mu} \cdot \lambda^{\mu}$
- (13)  $\lambda \le \mu \implies \kappa^{\lambda} \le \kappa^{\mu}$
- (14)  $\kappa \leq \lambda \implies \kappa^{\mu} \leq \lambda^{\mu}$

Proof. We sketch a proof for (9) and (11) and leave the rest as an exercise.

- (9) Let A, B and C be sets with  $|A| = \kappa$ ,  $|B| = \lambda$  and  $|C| = \mu$  and suppose that  $|B| \le |C|$ . We need to show that  $|A \times B| \le |A \times C|$ . Let  $f : B \to C$  be an injective map. Define  $F : A \times B \to A \times C$  by F(a, b) = (a, f(b)) then verify that F is injective.
- (11) Let A, B and C be sets with  $|A| = \kappa$ ,  $|B| = \lambda$  and  $|C| = \mu$ . We need to show  $|(A^B)^C| = |A^{B \times C}|$ . Define  $F: (A^B)^C \to A^{B \times C}$  by F(f)(b,c) = f(c)(b). Verify that F is bijective with inverse  $G: A^{B \times C} \to (A^B)^C$  given by G(q)(c)(b) = q(b,c)

**Exercise 1.3** Show that  $\left|\bigcup_{n=0}^{\infty} \mathbb{R}^n\right| = 2^{\aleph_0}$ 

Exercise 1.4 Find  $|\mathbb{R}^{[0,1]}|$ 

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# 2. Metric Spaces

#### Definition 2.1 — inner product, orthogonal, homomorphism, isomorphism.

Let  $F = \mathbb{R}$  or  $\mathbb{C}$ . Let U be a vector space over F. An **inner product** on U (over F) is function  $\langle , \rangle U \times U \to F$  (meaning that if  $u, v \in U$  then  $\langle u, v \rangle \in F$ ) such that for all  $u, v, w \in U$  and all  $t \in F$  we have

(1) (Sesquilinearity)

$$\langle u + v, w \rangle = \langle u, w \rangle + \langle v, w \rangle, \langle tu, v \rangle = t \langle u, v \rangle$$

$$\langle u, v + w \rangle = \langle u, v \rangle + \langle u, w \rangle, \langle u, tv \rangle = \overline{t} \langle u, v \rangle$$

(2) (Conjugate Symmetry)

$$\langle u, v \rangle = \langle v, u \rangle$$

(3) (Positie Definition)

$$\langle u, u \rangle \ge 0$$
 with  $\langle u, u \rangle = 0 \iff u = 0$ 

For  $u, v \in U$ ,  $\langle u, v \rangle$  is called the **inner product** of u with v. We say that u and v are orthogonal when  $\langle u, v \rangle = 0$ . An inner product space (over F) is a vector space over F equipped with an inner product. Given two inner product spaces U and V over F, a linear map  $L: U \to V$  is called a **homomorphism** of inner product spaces (or we say that L preserves inner product) when  $\langle L(x), L(y) \rangle = \langle x, y \rangle$  for all  $x, y \in U$ . A bijection homomorphism is called an **isomorphism**.

#### Definition 2.2 — norm (length).

Let U be an inner product space over  $F = \mathbb{R}$  or  $\mathbb{C}$ . For  $u \in U$ , we define the **norm** (or **length**) of u to be

$$||u|| = \sqrt{\langle u, u \rangle}$$

**Theorem 2.1** Let U be an inner product space over  $F = \mathbb{R}$  or  $\mathbb{C}$ . For  $u, v \in U$  and  $t \in F$ we have

- (1) (Scaling) ||tu|| = |t|||u||
- (2) (Positive Definiteness)  $||u|| \ge 0$  with  $||u|| = 0 \iff u = 0$ (3)  $||u+v||^2 = ||u||^2 + 2\operatorname{Re}\langle u,v\rangle + ||v||^2$

- (4) (Polarization Identity) if  $F = \mathbb{R}$  then  $\langle u, v \rangle = \frac{1}{4}(\|u + v\| \|u v\|)$  and if  $F = \mathbb{C}$ then  $\langle u, v \rangle = \frac{1}{4} (\|u + v\|^2 + i\|u + iv\|^2 - \|u - v\| - i\|u - iv\|^2)$
- (5) (The Cauchy-Schwarz Inequality)  $|\langle u, v \rangle| \leq ||u|| ||v||$  with  $|\langle u, v \rangle| = ||u|| ||v||$  if and only if  $\{u, v\}$  is linearly dependent
- (6) (The Triangle Inequality)  $|||u|| ||v||| \le ||u|| + ||v||$

*Proof.* The first 4 parts are easy to prove.

(5) Suppose that  $\{u,v\}$  is linearly dependent. Then one of x and y is a multiple of the other, say v = tu with  $t \in F$ . Then we have  $|\langle u, v \rangle| = |\langle u, tu \rangle| = |\bar{t} \langle u, u \rangle| =$  $|t|||u||^2 = ||u||||tu|| = ||u|||v||$ . Next suppose that  $\{u,v\}$  is linearly independent. Then  $1 \cdot v + t \cdot u \neq 0$  for all  $t \in F$ , so in particular  $v - \frac{\langle v, u \rangle}{\|u\|^2} u \neq 0$ . Thus we have

$$\begin{array}{ll} 0 & < & \|v-\frac{\langle v,u\rangle}{\|u\|^2}u\|^2 = \left\langle v-\frac{\langle v,u\rangle}{\|u\|^2}u,v-\frac{\langle v,u\rangle}{\|u\|^2}u\right\rangle \\ \\ & = & \langle v,v\rangle-\frac{\overline{\langle v,u\rangle}}{\|u\|^2}\left\langle v,u\rangle-\frac{\langle v,u\rangle}{\|u\|^2}\left\langle u,v\rangle+\frac{\langle v,u\rangle}{\|u\|^2}\frac{\overline{\langle v,u\rangle}}{\|u\|^2}\left\langle u,u\rangle\right. \\ \\ & = & \|v\|^2-\frac{|\left\langle v,u\rangle\right.|^2}{\|u\|^2} \end{array}$$

So that  $\frac{|\langle u,v\rangle|^2}{|u|^2} < |v|^2$  and hence  $|\langle u,v\rangle| \le |u||v|$ (6) Using (3) and (5), and the inequality  $|\operatorname{Re}(z)| \le |z|$  for  $z \in \mathbb{C}$  (which follows Pythagoras' Theorem in  $\mathbb{R}^2$ ), we have

$$||u+v||^2 = ||u||^2 + \operatorname{Re}\langle u, v \rangle + ||v||^2 \le ||u||^2 + 2|\langle u, v \rangle| + ||v||^2$$
  
$$\le ||u||^2 + 2||u||||v|| + ||v|| = (||u|| + ||v||)^2$$

Taking the square root on both sides gives  $||u+v|| \leq ||u|| + ||v||$ . Finally note that  $||u|| = ||(u+v) - v|| \le ||u+v|| + ||-v|| = ||u+v|| + ||v||$  so that we have  $||u|| - ||v|| \le ||u + v||$ , and similarly  $||v|| - ||u|| \le ||u + v||$ , hence  $|||u|| - ||v||| \le ||u + v||$ 

#### Definition 2.3 — norm, unit vector, normed linear space, homomorphism, isomorphism.

Let  $F = \mathbb{R}$  or  $\mathbb{C}$ . Let U be a vector space over F. A **norm** on U is a function  $\| \cdot \| : U \to \mathbb{R}$ (meaning that if  $u \in U$  then  $||u|| \in \mathbb{R}$ ) such that for all  $u, v \in U$  and all  $t \in F$  we have

- (1) (Scaling) ||tu|| = |t|||u||
- (2) (Positive Definitenes)  $||u|| \ge 0$  with  $||u|| = 0 \iff u = 0$
- (3) (Triangle Inequality)  $||u+v|| \le ||u|| + ||v||$

For  $u \in U$  the real number ||u|| is called the **norm** (or **length**) of u, and we say that u is a unit vector when ||u|| = 1. A normed linear space (over F) is a vector space equipped with a norm. Given two normed linear spaces U and V over F, a linear map  $L:U\to V$  is called a homomorphism of normed linear spaces (or we say that L preserves norm) when ||L(x)|| = ||x|| for all  $x \in U$ . A bijection homomorphism is called an isomorphism.

#### Definition 2.4 — distance.

Let  $F = \mathbb{R}$  or  $\mathbb{C}$  and let U be a normed linear space over F. For  $u, v \in U$ , we define the **distance** between u and v to be

$$d(u, v) = ||v - u||$$

**Theorem 2.2** Let U be as normed linear space over  $F = \mathbb{R}$  or  $\mathbb{C}$ . For all  $u, v, w \in U$ 

- (1) (Symmetry) d(u, v) = d(v, u)
- (2) (Positive Definiteness)  $d(u, v) \ge 0$  with  $d(u, v) = 0 \iff u = v$
- (3) (Triangle Inequality)  $d(u, w) \le d(u, v) + d(v, w)$

*Proof.* The proof is left as exercise

# Definition 2.5 — metric, distance, metric space, homomorphism. isomorphism.

Let X be a non-empty set. A **metric** on X is a map  $d: X \times X \to \mathbb{R}$  such that for all  $a, b, c \in X$  we have

- (1) (Symmetry) d(a,b) = d(b,a)
- (2) (Positive Definiteness)  $d(a,b) \ge 0$  with  $d(a,b) = 0 \iff a = b$
- (3) (Triangle Inequality)  $d(a,c) \le d(a,b) + d(b,c)$

For  $a, b \in X$ , d(a, b) is called the **distance** between a and b. A **metric space** is a set X which is equipped with a metric d, and we sometimes denote the metric space by X and sometimes by the pair (X, d). Given two metric spaces  $(X, d_X)$  and  $(Y, d_Y)$ , a map  $f: X \to Y$  is called a homomorphism of metric spaces (or we say that f is **distance preserving**) when  $d_Y(f(a), f(b)) = d_X(a, b)$  for all  $a, b \in X$ . A bijective homomorphism is called an **isomorphism** or an **isometry**.

Note 2.1 Every inner product space is also a normed linear space, using the induced norm given by  $\|u\| = \sqrt{\langle u,u\rangle}$ . Every normed linear space is also a metric space, using the induced metric given by  $d(u,u) = \|v-u\|$ . If U is an inner product space over  $\mathbf{F} = \mathbb{R}$  or  $\mathbb{C}$  then every subspace of U is also an inner product space using (the restriction of) the same inner product used in U. If U is a normed linear space over  $\mathbf{F} = \mathbb{R}$  or  $\mathbb{C}$  then every subspace of U is also a normed linear space using the same norm. If X is a metric space then so is every subset of X using the same metric.

**Example 2.1** Let  $\mathbf{F} = \mathbb{R}$  or  $\mathbb{C}$ . The standard inner product on  $\mathbf{F}^n$  is given by

$$\langle u, v \rangle = v * u = \sum_{i=1}^{n} u_i \overline{v_i}$$

The standard inner product induces the **standard norm** on  $\mathbf{F}^n$ , which is also called the **2-norm** on  $\mathbf{F}^n$ , given by

$$||u||_2 = ||u|| = \sqrt{\langle u, u \rangle} = \left(\sum_{i=1}^n |u_i|^2\right)^{\frac{1}{2}}$$

The standard norm on  $\mathbf{F}^n$  induces the **standard metric** on  $\mathbf{F}^n$ , given by

$$d_2(u, v) = d(u, v) = ||v - u|| = \left(\sum_{i=1}^n |v_i - u_i|^2\right)^{\frac{1}{2}}$$

The **1-norm** on  $\mathbf{F}^n$  is given by

$$||u||_1 = \sum_{i=1}^n |u_i|$$

and it induces the **1-metric** on  $\mathbf{F}^n$  given by  $d_1(u,v) = ||v-u||_1$ . The **supremum norm** also called **infinity norm**, on  $\mathbf{F}^n$  is given by

$$||u||_{\infty} = \max\{|u_1|, |u_2|, \cdots, |u_n|\}$$

and it induces the **supremum metric** on  $\mathbf{F}^n$  given by  $d_{\infty}(u,v) = ||v-u||_{\infty}$ 

**Example 2.2** For  $\mathbf{F} = \mathbb{R}$  or  $\mathbb{C}$ . We write

$$\mathbf{F}^{\omega} = \{u = (u_1, u_2, u_3, \cdots) | \text{ each } u_i \in \mathbf{F} \}$$
  
 $\mathbf{F}^{\infty} = \{u \in \mathbf{F}^{\omega} | \text{ there exists } n \in \mathbb{Z}^+ \text{ such that } u_k = 0 \text{ for all } k \geq n \}$ 

Recall that  $\mathbf{F}^{\infty}$  is a countable-dimensional vector space with standard basis  $\{e_1, e_2, e_3, \dots\}$  where  $e_1 = (1, 0, 0, \dots), e_2 = (0, 1, 0, \dots)$  and so on. The **standard inner product** on  $\mathbf{F}^{\infty}$  is given by

$$\langle u, v \rangle = \sum_{i=1}^{\infty} u_i \overline{v_i}$$

and it induces the **standard norm**, also called the **2-norm**, on  $\mathbf{F}^{\infty}$  given by

$$||u||_2 = \sqrt{\langle u, v \rangle} = \left(\sum_{i=1}^n |u_i|^2\right)^{\frac{1}{2}}$$

The **1-norm** on  $\mathbf{F}^{\infty}$  is given by

$$||u||_1 = \sum_{i=1}^{\infty} |u_i|$$

and it induces the **1-metric** on  $\mathbf{F}^{\infty}$  given by  $d_1(u,v) = ||v-u||_1$ . The **supremum norm** also called the **infinity norm**, on  $\mathbf{F}^n$  is given by

$$||u||_{\infty} = \max\{|u_1|, |u_2|, \cdots, |u_n|\}$$

and it induces the **supremum metric** on  $\mathbf{F}^n$  given by  $d_{\infty}(u,v) = ||v-u||_{\infty}$ 

**■ Example 2.3** For  $\mathbf{F} = \mathbb{R}$  or  $\mathbb{C}$ , the standard inner product, the 1-norm, the 2-norm and the ∞-norm, which are well defined on the vector space  $\mathbf{F}^{\infty}$ , do not extend naturally to give a well defined inner product or well-defined norms on the vector space  $\mathbf{F}^{\omega}$  (because the relevant sums do not necessarily converge). But we can, and do, extend there definitions to various subspaces of  $\mathbf{F}^{\omega}$ . We define

$$\ell_1(\mathbf{F}) = \{ u \in \mathbf{F}^{\omega} \mid \sum_{i=1}^{\infty} |u_i| < \infty \}$$

$$\ell_2(\mathbf{F}) = \{ u \in \mathbf{F}^{\omega} \mid \sum_{i=1}^{\infty} |u_i|^2 < \infty \}$$

$$\ell_{\infty}(\mathbf{F}) = \{ u \in \mathbf{F}^{\omega} \mid \sup\{|u_1|, |u_2|, \dots\} < \infty \}$$

Verify that  $\ell_1(\mathbf{F})$  is a normed linear space using **1-norm** given by  $||u||_1 = \sum_{i=1}^{\infty} |u_i|$ , hence  $\ell_1(\mathbf{F})$  is also a metric space using the **1-metric**  $d_1(u,v) = ||v-u||_1$ . Verify that  $\ell_{\infty}(\mathbf{F})$  is a normed linear space using the **supremum norm**, also called the **infinity norm**, given by  $||u||_{\infty} = \sup\{|u_1|, |u_2|, \cdots\}$ , hence  $\ell_{\infty}(\mathbf{F})$  is also a metric space using the **supremum metric**  $d_{\infty} = ||v-u||_{\infty}$ . Verify that  $\ell_2(\mathbf{F})$  is an inner product space using the **standard inner product** given by  $\langle u, v \rangle = \sum_{i=1}^{\infty} u_i \overline{v_i}$ . The standard inner product on  $\ell_2(\mathbf{F})$  induces

the **standard norm**, also called the **2-norm**, on  $\ell_2(\mathbf{F})$  given by  $||u||_2 = \left(\sum_{i=1}^{\infty} |u_i|^2\right)^{\frac{1}{2}}$  and the **standard metric**, or the **2-metric**,  $d_2(u,v) = ||v-u||_2$ . Since we shall usually work with the field  $\mathbf{F} = \mathbb{R}$ , for p = 1, 2 or  $\infty$  we shall write

$$\ell_n = \ell_n(\mathbb{R})$$

**Example 2.4** For  $\mathbf{F} = \mathbb{R}$  or  $\mathbb{C}$  and for  $a.b \in \mathbb{R}$  with  $a \leq b$ , we write

$$\mathcal{F}([a,b],\mathbf{F}) = \mathbf{F}^{[a,b]} = \{f : [a,b] \to \mathbf{F}\}$$

$$\mathcal{B}([a,b],\mathbf{F}) = \{f : [a,b] \to \mathbf{F} \mid f \text{ is bounded}\}$$

$$\mathcal{C}([a,b],\mathbf{F}) = \{f : [a,b] \to \mathbf{F} \mid f \text{ is continuous}\}$$

Recall that for  $f:[a,b]\to\mathbb{C}$  given by f=u+iv where  $u,v:[a,b]\to\mathbb{R}$ , the function f is continuous if and only if both u and v are continuous and, in this case,  $\int_a^b f = \int_a^b +i \int_a^b v$ . In the space  $\mathcal{C}([a,b],\mathbf{F})$  we have the **1-norm**, the **2-norm**, and the **supremum norm** 

$$||f||_1 = \int_a^b |f|$$
 $||f||_2 = \left(\int_a^b |f|^2\right)^{\frac{1}{2}}$ 
 $||f||_{\infty} = \sup_{a \le x \le b} |f(x)|$ 

The supremum norm also gives a well-defined norm on the space  $\mathcal{B}([a,b],\mathbf{F})$ . The 2-norm on  $\mathcal{C}([a,b],\mathbf{F})$  is induced by the inner product  $\mathcal{C}([a,b],\mathbf{F})$  given by

$$\langle f, g \rangle = \int_{a}^{b} f \overline{g}$$

Since we shall usually work with the field  $\mathbf{F} = \mathbb{R}$ m we shall write

$$\mathcal{F}[a,b] = \mathcal{F}([a,b],\mathbb{R})$$
,  $\mathcal{B}[a,b] = \mathcal{B}([a,b],\mathbb{R})$  and  $\mathcal{C} = \mathcal{C}([a,b],\mathbb{R})$ 

For  $\mathbf{F} = \mathbb{R}$  or  $\mathbb{C}$  and for  $1 \leq p < \infty$ , one can show that we can define a norm on  $\mathbf{F}^n$  by

$$||u||_p = \left(\sum_{i=1}^n |u_i|^p\right)^{\frac{1}{p}}$$

and we can define a norm on  $\mathbf{F}^{\infty}$  or on the space  $\ell_{\infty}(\mathbf{F}) = \{u \in \mathbf{F}^{\omega} | \sum_{i=1}^{\infty} |u_i|^p < \infty\}$  by

$$||u||_p = \left(\sum_{i=1}^{\infty} |u_i|^p\right)^{\frac{1}{p}}$$

Also, we can define a norm on the space  $\mathcal{C}([a,b],\mathbf{F})$  by

$$||f||_p = \left(\int_{i=a}^b |f|^p\right)^{\frac{1}{p}}$$

**■ Example 2.5** For any set  $X \neq \emptyset$ , the **discrete metric** on X is given by d(x,y) = 1 for all  $x, y \in X$  with  $x \neq y$  and d(x, x) = 0 for all  $x \in X$ .

#### Definition 2.6 — open ball, closed ball, punctured ball, bounded.

Let X be a metric space. For  $a \in X$  and  $0 < r \in \mathbb{R}$ , the **open ball**, the **closed ball** and the (open) **punctured ball** in X centered at a of radius r are defined to be the sets

$$B(a,r) = B_X(a,r) = \{x \in X \mid d(x,a) < r\}$$
$$\overline{B}(a,r) = \overline{B}_X(a,r) = \{x \in X \mid d(x,a) \le r\}$$
$$B^*(a,r) = B_X^*(a,r) = \{x \in X \mid 0 < d(x,a) < r\}$$

When the metric on X denoted by  $d_p$  with  $1 \leq p \leq \infty$ , we often write B(a,r),  $\overline{B}(a,r)$  and  $B^*(a,r)$  as  $B_p(a,r)$ ,  $\overline{B}_p(a,r)$  and  $B_p^*(a,r)$ . For  $A \subseteq X$ , we say that A is **bounded** when  $A \subseteq B(a,r)$  for some  $a \in X$  and some  $0 < r \in \mathbb{R}$ .

**Exercise 2.1** Draw a picture of the open balls  $B_1(0,1)$ ,  $B_2(0,1)$  and  $B_{\infty}(0,1)$  in  $\mathbb{R}^2$  (using the metrics  $d_1$ ,  $d_2$  and  $d_{\infty}$ ).

#### Definition 2.7 — open, closed.

Let X be a metric space. For  $A \subseteq X$ , we say that A is **open** (in X) when for every  $a \in A$  there exists r > 0 such that  $B(a, r) \subseteq A$ , and we say that A is closed (in X) when its complement  $A^c = \mathbb{R}^n \setminus A$  is open in  $\mathbb{R}^n$ .

**Example 2.6** Let X be a metric space. Show that for  $a \in X$  and  $0 < r \in \mathbb{R}$ , the set B(a,r) is open and the set  $\overline{B}(a,r)$  is closed.

**Proof.** Let  $a \in X$  and Let r > 0. We claim that B(a,r) is open. We need to show that for all  $b \in B(a,r)$  there exists s > 0 such that  $B(b,s) \subseteq B(a,r)$ . Let  $b \in B(a,r)$  and note that d(a,b) < r. Let s = r - d(a,b) and note that s > 0. Let s = B(b,s), so we have d(s,b) < s. Then, by the Triangle Inequality, we have

$$d(x, a) \le d(x, b) + d(b, a) < s + d(a, b) = r$$

and so  $x \in B(a,r)$ . This shows that  $B(b,s) \subseteq B(a,r)$  and hence B(a,r) is open. Next we claim that  $\overline{B}(a,r)$  is closed, that is  $\overline{B}(a,r)^c$  is open. Let  $b \in \overline{B}(a,r)^c$ , that is let  $b \in X$  with  $b \notin \overline{B}(a,r)$ . Since  $b \notin \overline{B}(a,r)$  we have d(a,b) > r. Let s = d(a,b) - r > 0. Let  $x \in B(b,s)$  and not that d(x,b) < s. Then, by the Triangle Inequality, we have

$$d(a,b) \le d(a,x) + d(x,b) \le d(x,a) + s$$

and so d(x,a) > d(a,b) - s = r. Since d(x,a) > r we have  $b \notin \overline{B}(a,r)$  and so  $x \in \overline{B}(a,r)^c$ . This shows that  $B(b,s) \subseteq \overline{B}(a,r)^c$  and it follows that  $\overline{B}(a,r)^c$  is open and hence that  $\overline{B}(a,r)$  is closed.

#### Theorem 2.3 — Basic Properties of Open Sets.

Let X be a metric space

- (1) The sets  $\emptyset$  and X are open in X
- (2) If S is a set of open sets in X then the union  $\bigcup S = \bigcup_{U \in S} U$  is open in X
- (3) If S is a finite set of open sets in X then the intersection  $\bigcap S = \bigcap_{U \in S} U$  is open in X
- **Proof.** (1) The empty set is open because any statement of the form "for all  $x \in \emptyset$ , F" (where F is any statement) is considered to be true (by convention). The set X is open because given  $a \in X$  we can choose any value of r > 0 and then  $B(a, r) \subseteq X$  by the definition of B(a, r).
- (2) Let S be any set of open sets in X. Let  $a \in \bigcup S = \bigcup_{U \in S} U$ . Choose an open set  $U \in S$  such that  $a \in U$ . Since U is open we can choose r > 0 such that  $B(a,r) \subseteq U$ . Since  $U \in S$  we have  $U \subseteq \bigcup S$ . Since  $B(a,r) \subseteq U$  and  $U \subseteq \bigcup S$  we have  $B(a,r) \subseteq \bigcup S$ . Thus  $\bigcup S$  is open.
- (3) Let S be a finite set of open sets in X. If  $S \neq \emptyset$  then we use the convention that  $\bigcap S = X$ , which is open. Suppose that  $S \neq \emptyset$ . say  $S = \{U_1, U_2, \dots, U_m\}$  where

each  $U_k$  is an open set. Let  $a \in \bigcap S = \bigcap_{k=1}^m U_k$ . For each index k, since  $a \in U_k$  we can choose  $r_k > 0$  so that  $B(a, r_k) \subseteq U_k$ . Let  $r = \min\{r_1, r_2, \dots, r_m\}$ . Then for each index k we have  $B(a, r) \subseteq B(a, r_k) \subseteq U_k$ . Since  $B(a, r)U_k$  for every index k, it follows that  $B(a, r) \subseteq \bigcap_{k=1}^m U_k = \bigcap S$ 

#### Theorem 2.4 — Basic Properties of Closed Sets.

Let X be a metric space

- (1) The sets  $\emptyset$  and X are closed in X
- (2) If S is a set of closed sets in X then the union  $\bigcap S = \bigcap_{U \in S} U$  is open in X
- (3) If S is a finite set of closed sets in X then the intersection  $\bigcup S = \bigcup_{U \in S} U$  is open in X

*Proof.* The proof is left as exercise

#### Definition 2.8 — topology, topology space, metric topology, finer, coarser.

A **topology** on a set X is a set T of subsets of X such that

- (1)  $\emptyset \in T$  and  $X \in T$
- (2) For every set  $S \subseteq T$  we have  $\bigcup S \in T$
- (3) For every finite subset  $S \subseteq T$  we have  $\bigcap S \in T$

A **topology space** is a set X with a topology T. When X is a metric space, the set of all open sets in X is a topology on X. which we call the **metric topology** (or the topology **induced** by the metric). When X is any topological space, the sets in the topology T are called the **open sets** in X and their complements are called the **closed sets** in X. When S and T are both topologies on a set X with  $S \subseteq T$ , we say that the topology T is **finer** than the topology S, and the topology S is **coarser** than the topology T.

■ Example 2.7 Show that in  $\mathbb{R}^n$ , the metrics  $d_1, d_2$  and  $d_\infty$  all induce the same topology *Proof.* For  $a, x \in \mathbb{R}^n$  we have

$$\max_{a \le i \le n} |x_i - a_i| \le (\sum_{i=1}^n |x_i - a_i|^2)^{\frac{1}{2}} \le \sum_{i=1}^n |x_i - a_i| \le n \max_{a \le i \le n} |x_i - a_i|$$

and so

$$d_{\infty}(a,x) \le d_2(a,x) \le d_1(a,x) \le nd_{\infty}(a,x).$$

It follows that for all  $a \in \mathbb{R}^n$  and r > 0 we have

$$B_{\infty}(a,x) \supseteq B_2(a,r) \supseteq B_1(a,r) \supseteq B_{\infty}(a,\frac{r}{n}).$$

Thus for  $U \subseteq \mathbb{R}^n$ , if U is open in  $\mathbb{R}^n$  using  $d_{\infty}$  then it is open using  $d_2$  and if U is open using  $d_2$  then it is open using  $d_1$ , and if U is open using  $d_1$  then it is open using  $d_{\infty}$ .

■ Example 2.8 Show that on the space C[a, b], the topology induced by the metric  $d_{\infty}$  is strictly finer than the topology induced by the metric  $d_1$ 

*Proof.* For  $f, g \in \mathcal{C}[a, b]$  we have

$$d_1(f,g) = \int_a^b |f - g| \le \int_a^b \max_{a \le x \le b} |f(x) - g(x)| = (b - a)d_{\infty}(f,g)$$

It follows that for  $f \in \mathcal{C}[a,b]$  and r > 0 we have

$$B_{\infty}(f,r) \subseteq B_1(f,(b-a)r)$$

Thus for  $U \subseteq \mathcal{C}[a, b]$ , if U is open using  $d_1$  then U is also open using  $d_{\infty}$ , and so the topology induced by the metric  $d_{\infty}$  is finer (or equal to) the topology induced by  $d_1$ .

On the other hand, we claim that for  $f \in \mathcal{C}[a,b]$  and r > 0, the set  $B_{\infty}(f,r)$  is not open in the topology induced by  $d_1$ . Fix  $g \in B_{\infty}(f,r)$  and let s > 0. Choose a bump function  $h \in \mathcal{C}[a,b]$  with  $h \geq 0$ ,  $\int_a^b h < h$  and  $\max_{a \leq x \leq b} h(x) > 2r$ . Then we have  $g + h \in B_1(g,s)$  but  $g + h \notin B_{\infty}(f,r)$ . It follows that  $B_{\infty}(f,r)$  is not open in the topology induced by  $d_1$ , as claimed.

**Example 2.9** For any set X, the **trivial topology** on X is the topology in which the only open sets in X are the sets  $\emptyset$  and X, and the **discrete topology** on X is the topology in which every subset of X is open. Note that the discrete metric on a nonempty set X induces the discrete topology on X.

#### Definition 2.9 — interior, closure, dense.

Let X be a metric space (or a topological space) and let  $A \subseteq X$ . The **interior** and the **closure** of A (in X) are the sets

$$A^{\circ} = \bigcup \{ U \subseteq X \mid U \text{ is open, and } U \subseteq A \}$$

$$\overline{A} = \bigcap \{ K \subseteq X \mid K \text{ is closed, and } A \subseteq K \}$$

We say that A is **dense** in X when  $\overline{A} = X$ .

**Theorem 2.5** Let X be a metric space (or a topological space) and let  $A \subseteq U$ 

- (1) The interior of A is the largest open set which is contained in A. In other words,  $A^{\circ} \subseteq A$  and  $A^{\circ}$  is open, and for every open set U with  $U \subseteq A$  we have  $U \subseteq A^{\circ}$
- (2) The closure of A is the smallest closed set which contains A. In other words,  $A \subseteq \overline{A}$  and  $\overline{A}$  is closed, and for every closed set K with  $A \subseteq K$  we have  $\overline{A} \subseteq K$

Proof.

- (1) Let  $L = \{U \subseteq X \mid U \text{ is open, and } U \subseteq A\}$ . Note that  $A^{\circ}$  is open (by Part 2 of Theorem 2.3 or by Part 2 of Definition 2.8) because  $A^{\circ}$  is equal to the union if S, which is a set of open sets. Also note that  $A^{\circ} \subseteq A$  because  $A^{\circ}$  is equal to the union of S, which is a set of subsets of A. Finally note that for any open set U with  $U \subseteq A$  we have  $U \in S$  so that  $U \subseteq \bigcup S = A^{\circ}$ .
- (2) The proof is similar to (1)

#### Corollary 2.6

Let X be a metric space (or a topological space) and let AX

- (1)  $(A^{\circ})^{\circ} = A^{\circ}$  and  $\overline{A} = A$
- (2) A is open  $\iff A = A^{\circ}$
- (3) A is closed  $\iff A = \overline{A}$

Proof. The proof is left as exercise

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# Definition 2.10 — interior point, limit point, isolated point, boundary point, boundary.

Let X be a metric space and let  $A \subseteq X$ .

An **interior point** of A is a point  $a \in A$  such that for some r > 0 we have B(a, r)A.

A **limit point** of A is a point  $a \in X$  such that for every r > 0 we have  $B^*(a, r) \cap A \neq \emptyset$ .

An **isolated point** of A is a point  $a \in A$  which is not a limit point of A.

A **boundary point** of A is a point of A is a point  $a \in X$  such that for every r > 0 we have  $B(a,r) \cap A \neq \emptyset$  and  $B(a,r) \cap A^c \neq \emptyset$ .

The set of all limit points of A is denoted by A'. The **boundary** of A, is the set of all boundary points of A.

#### Theorem 2.7 — Properties of Interior, Limit and Boundary Points.

Let X be a metric space and let  $A \subseteq X$ 

- (1)  $A^{\circ}$  is equal to the set of all interior points of A
- (2) A is closed  $\iff A' \subseteq A$
- $(3) \ \overline{A} = A \cup A'$
- $(4) \ \partial A = \overline{A} \setminus A^{\circ}$

*Proof.* We leave the proofs of (1) and (4) as exercise.

(2) Note that when  $a \notin A$  we have  $B(a,r) \cap A = B^*(a,r) \cap A$  and so

$$A \text{ is closed} \iff A^c \text{ is open} \\ \iff \forall a \in A^c, \ \exists r > 0, \ B(a,r) \subseteq A^c \\ \iff \forall a \in \mathbb{R}^n, \ (a \notin A \implies \exists r > 0, \ B(a,r) \subseteq A^c) \\ \iff \forall a \in \mathbb{R}^n, \ (a \notin A \implies \exists r > 0, \ B(a,r) \cap A = \varnothing) \\ \iff \forall a \in \mathbb{R}^n, \ (a \notin A \implies \exists r > 0, \ B^*(a,r) \cap A = \varnothing) \\ \iff \forall a \in \mathbb{R}^n, \ (\forall r > 0, B^*(a,r) \cap A \neq \varnothing \implies a \in A) \\ \iff \forall a \in \mathbb{R}^n, \ (a \in A' \implies a \in A) \\ \iff A' \subseteq A.$$

(3) We shall prove that  $A \cup A'$  is the smallest closed set which contains A. It is clear that  $A \cup A'$  is closed, that is  $(A \cup A')^c$  is open. Let  $a \in (A \cup A')^c$  with, that is let  $a \in X$  with  $a \notin A$  and  $a \notin A'$ . Since  $a \notin A'$  we can choose r > 0 so that  $B(a,r) \cap A = \emptyset$ . We claim that because  $B(a,r) \cap A = \emptyset$  it follows that  $B(a,r) \cap A'$ . Since  $b \in B(a,r)$  and B(a,r) is open, we can choose s > 0 so that  $B(b,s) \subseteq B(a,r)$ . Since  $b \in A'$  it follows that  $B(b,s) \cap A \neq \emptyset$ . Choose  $x \in B(b,s) \cap A$ . Then we have  $x \in B(b,s) \subseteq B(a,r)$  and  $x \in A$  and so  $x \in B(a,r) \cap A$ , which contradicts the fact that  $B(a,r) \cap A = \emptyset$ . Thus  $B(a,r) \cap A' \neq \emptyset$  as claimed. Since  $B(a,r) \cap A = \emptyset$  and  $B(a,r) \cap A' = \emptyset$ , it follows that  $B(a,r) \cap (A \cup A') = \emptyset$ , hence  $B(a,r) \subseteq (A \cup A')^c$ . Thus proves that  $(A \cup A')^c$  is open, and hence  $A \cup A'$  is closed.

It remains to show that for every closed set K in X with  $A \subseteq K$  we have  $A \cup A' \subseteq K$ . Let K be a closed set in X with  $A \subseteq K$ . Note that since  $A \subseteq K$  it follows that  $A' \subseteq K'$  because if  $a \in A'$  then for all r > 0 we have  $B(a,r) \cap A \neq \emptyset$  hence  $B(a,r) \cap K \neq \emptyset$  and so  $a \in K'$ . Since K is closed we have  $K' \subseteq K$  by (2). Since  $A' \subseteq K'$  and  $K' \subseteq K$ , we have  $A' \subseteq K$ . Since AK and  $A' \subseteq K$  we have  $A \cup A' \subseteq K$  as required.

Let X be a topological space and let  $A \subseteq X$ , An **interior point** of A is a point  $a \in A^{\circ}$ . A **limit point** of A is a point  $a \in X$  such that for every open set U in X with  $a \in U$  there

exists a point  $b \in U \cap A$  with  $b \neq a$ . The **boundary** of A in X is the set  $\partial A = \overline{A} \setminus A^{\circ}$ , and a **boundary point** of A is a point  $a \in \partial A$ .

**Note 2.2** Let X be a metric space and let  $P \subseteq X$ . Note that P is also a metric space using (the restriction of) the metric used in X. For  $a \in P$  and  $0 < r \in \mathbb{R}$ , note that the open and closed balls in P, centered at a and of radius r, are related to the open and closed balls in X by

$$B_P(a,r) = \{ x \in P \mid d(x,a) < r \} = B_X(a,r) \cap P$$

$$\overline{B}_P(a,r) = \{x \in P \mid d(x,a) < r\} = \overline{B}_X(a,r) \cap P$$

**Theorem 2.8** Let X be a metric space and let  $A \subseteq P \subseteq X$ 

- (1) A is open in  $P \iff$  there exists an open set U in X such that  $A = U \cap P$
- (2) A is closed in  $P \iff$  there exists a closed set K in X such that  $A = K \cap P$

Proof.

- (1) Suppose that A is open in P. For each  $a \in A$ , choose  $r_a > 0$  so that  $B_P(a, r_a) \subseteq A$ , that is  $B_X(a, r_a) \cap P \subseteq A$ , and let  $U = \bigcup_{a \in A} B_X(a, r_a)$ . Since U is equal to the union of a set of open sets in X, it follows that U is open in X. Note that  $A \subseteq U \cap P$ , and, since  $B_X(a, r_a) \cap P \subseteq A$  for every  $a \in A$ , we also have  $U \cap P = (\bigcup_{a \in U} B_X(a, r_a)) \cap P = \bigcup_{a \in A} (B_X(a, r_a) \cap P) \subseteq A$ . Thus  $A = U \cap P$  as required. Conversely, suppose that  $A = U \cap P$  with U open in X. Let  $a \in A$ . Since we have  $a \in A = U \cap P$ , we also have  $a \in U$ . Since  $a \in U$  and u is open in u we can choose  $u \in A$  so that  $u \in$
- (2) Suppose that A is closed in P. Let B be the complement of A in P, that is  $B = P \setminus A$ . Then B is open in P. Choose an open set U in X such that  $B = U \cap P$ . Let K be the complement of U in X, that is  $K = X \setminus U$ . Then  $A = K \cap P$  since for  $x \in X$  we have

$$x \in A \iff (x \in P \text{ and } x \notin U \cap P) \iff (x \in P \text{ and } x \notin U)$$
  
 $\iff (x \in P \text{ and } x \in K) \iff (x \in K \cap P)$ 

Conversely, suppose that K is a closed set in P with  $A = K \cap P$ . Let B be the complement of A in P, that is  $B = P \setminus A$ , and let U be the complement of K in P, that is  $U = P \setminus K$ , and note that U is open in P. Then we have  $B = U \cap P$  since for  $x \in P$  we have

$$x \in B \iff (x \in P \text{ and } x \notin A) \iff (x \in P \text{ and } x \notin K \cap P)$$
  
 $\iff (x \in P \text{ and } x \notin K) \iff (x \in P \text{ and } x \in U) \iff (x \in U \cap P)$ 

Since U us open in P and  $B = U \cap P$  we know that B is open in P. Since B is open in P, its complement  $A = P \setminus B$  is closed in P.

Let X be a topological space and let  $P \subseteq X$ . Verify, as an exercise, that we can use the topology on X to define a topology on P as follows. Given a set  $A \subseteq P$ , we define A to be **open** in P when  $A = U \cap P$  for some open set U in X. The resulting topology on P is called the **subspace topology**.