

Applications of Image Processing to Mine Warfare Sonar

Stuart William Perry

Maritime Operations Division
Aeronautical and Maritime Research Laboratory

DSTO-GD-0237

ABSTRACT

It is the intention of the author that this report serve as a strategic review of the potential for image processing techniques to aid the detection and classification of underwater mines and mine-like objects in various modes of sonar imagery. Image processing techniques to improve the performance of mine hunting operations using sector-scan, side-scan and the Acoustic Mine Imaging (AMI) project imagery are considered. Four basic components of any Computer-Aided Detection and Classification (CADCAC) technique are considered, namely, enhancement, segmentation, computer-aided detection, and computer-aided classification. In each of these fields, image processing techniques from the literature are examined and possible extensions or alternatives are discussed.

RELEASE LIMITATION

Approved for public release

Published by

*DSTO Aeronautical and Maritime Research Laboratory
PO Box 4331
Melbourne Victoria 3001 Australia*

Telephone: (03) 9626 7000

Fax: (03) 9626 7999

© Commonwealth of Australia 2000

AR-011-228

February 2000

APPROVED FOR PUBLIC RELEASE

Applications of Image Processing to Mine Warfare Sonar

Executive Summary

Information from various mine warfare sonar systems is often presented to the operator in a visual form. To obtain the optimum performance of these systems, it is desirable to apply intelligent processing techniques to the corresponding imagery. This report examines image processing techniques which may have the potential to improve either system or operator performance. The types of mine warfare sonar imagery examined in this report are sector-scan, side-scan, and the AMI project imagery. For each of these three types of imagery, applicable image processing concepts and techniques are examined with reference to techniques recorded in the literature.

Authors

Dr. Stuart William Perry
Maritime Operations Division

Dr. Stuart William Perry received his Bachelors degree with first class honours in electrical engineering from the University of Sydney in 1995, and his Ph.D. from the University of Sydney in 1999. In 1993 and 1994 he was a research assistant for the Commonwealth Science and Industrial Research Organisation (CSIRO), Division of Wool Technology. He is currently employed as a Research Scientist with the Defence Science and Technology Organisation (DSTO), Maritime Operations Division, Mine Warfare Sonar group. He is currently working on image processing techniques for various aspects of mine warfare sonar. His research interests include neural networks, image processing, and acoustical imaging. He has co-authored technical publications in the field of neural network based image restoration and acoustical image enhancement and segmentation.

Contents

1. INTRODUCTION.....	1
2. SECTOR-SCAN SONAR.....	2
2.1 General Concepts.....	3
2.2 Enhancement	3
2.3 Segmentation.....	6
2.4 Computer-Aided Detection	7
2.5 Computer-Aided Classification	8
3. SIDE-SCAN SONAR.....	8
3.1 General Concepts.....	9
3.2 Enhancement	9
3.3 Segmentation.....	10
3.4 Computer-Aided Detection	11
3.5 Computer-Aided Classification	12
4. THE AMI PROJECT	13
4.1 General Concepts.....	13
4.2 Enhancement	13
4.3 Segmentation.....	14
5. GENERAL CONCEPTS	15
5.1 The Human Interface	15
5.2 The Human Example.....	15
6. CONCLUSIONS	16
7. REFERENCES	16

1. Introduction

Sonar information collected while searching for, or identifying, underwater mines is often presented to the operator in the form of a two dimensional image. This is a result of the three-dimensional nature of the search domain and the human use of vision as the primary source of sensory information. The heavy human reliance on visual information has made human beings highly skilled at the detection and classification of objects in images. Despite human expertise at comprehending visual information, sonar imagery still presents many challenges since it lies outside the normal scope of human visual experience.

Signal or image processing can be applied to the sonar data to help human operators detect and classify mine-like objects in the operational environment. The processing of sonar data can be broken into two domains. The first domain is the use of signal processing (mostly one-dimensional) techniques to enhance the creation of sonar imagery. For example, the use of adaptive beamforming techniques to enhance the contrast of mine-like objects in sonar imagery lies in this first domain. The second domain is the use of image processing (two or higher dimensional) techniques on sonar imagery to aid or automate the detection and classification of mine-like objects. Both of these domains are vitally important to mine warfare sonar, however this report is exclusively concerned with the second domain.

This report examines image processing techniques and methodologies that have the potential to aid or automate the detection and classification of mine-like objects in sonar imagery. Such techniques may already have shown promise in the literature and are worth consideration and discussion, or may be potential new methods from other fields that might be applied to the field of mine warfare sonar. This report is not intended to be an exhaustive survey of the literature, instead it is intended as a strategic review of image processing techniques with the potential to aid mine warfare sonar. Many of the techniques described in this report may not be feasible given current computational restrictions, but hopefully their inclusion will provide useful ideas. The field of image processing is well developed, making it impossible to detail every technique in the literature which may be of use to mine warfare sonar, but hopefully the consideration of the techniques described in this report will encourage further research.

This report examines image-processing techniques tailored for three different types of sonar imagery. Sector-scan sonar images, side-scan sonar images and the three-dimensional images produced by the AMI project. The image processing techniques examined in this report can be grouped into four categories as follows:

- Enhancement techniques: Techniques that have the potential to enhance the contrast of mine-like objects in sonar images. Examples of this are the removal of

noise and clutter, background normalisation, and the processing of sonar imagery to make best use of available knowledge of the human visual system.

- **Segmentation techniques (low-level classification):** Techniques that have the potential to classify individual pixels as belonging to background reverberation, clutter, highlights or shadows. This type of processing is usually not concerned with whether each pixel belongs to a mine-like object or not, but is often performed as a prelude to more advanced computer-aided detection and classification (CAD/CAC) techniques.
- **Computer-aided detection (CAD):** Techniques that may be useful to detect mine-like objects in sonar imagery. Confirmation of whether the object is actually a mine and its specific type are left to the human operator or subsequent processing methods.
- **Computer-aided classification (CAC):** Techniques which may be able to positively identify a mine-like object as a mine and determine the type and orientation of the mine involved.

The above grouping is only a rough guide to image processing techniques, as a great deal of overlap is often found, and some techniques defy being grouped in this way.

This report is structured into a number of sections. Section 1 is the introduction. Section 2 looks at image processing techniques applicable to sector-scan sonar images. Section 3 looks at image processing techniques applicable to side-scan sonar techniques. Section 4 examines techniques applicable to the AMI project. Section 5 examines some general concepts from the image processing field which may be useful to consider while developing any system for processing sonar imagery. Section 6 concludes this report.

2. Sector-scan Sonar

This section will examine image processing techniques that may enhance the utility of sector-scan sonar systems for mine warfare sonar.

Sector-scan sonar imagery is produced by a sensor array that electronically scans a horizontally narrow beam to insonify an arc in a set direction. A two-dimensional image results which can be used to detect mine-like objects floating in the water column or resting on the seabed. During the formation of the image, any movement of the sensor array, or objects in the environment is assumed negligible. The images are formed fairly rapidly, generally within the order of a few seconds, and the human operators watch for objects in the images that persist for successive scans. Once an object is detected in a wide-angle view, the sonar settings may be changed to resolve a

narrow field more highly. Under the right conditions, a mine-like object may be positively classified in this way.

2.1 General Concepts

An important general concept for processing sector-scan sonar imagery is the fact that temporal information is available. Human operators detect the presence of mine-like objects by watching for patterns in the image that persist for successive scans. In the same way, the image processing techniques to be applied to these images should make use of this temporal information whenever possible. The most successful techniques in the literature, as will be shown below, make use of multiple time frames to detect mine-like objects. Hence processing algorithms for sector-scan sonar should tend to be three-dimensional to make best use of the information available.

A related concept is the matter of vessel movement. If information is known about the motion of the vessel, this should be incorporated into the CADCAC techniques to simplify and speed up processing. As will be shown below, use of vessel movement information can aid image enhancement and CADCAC techniques by removing the problem of tracking targets stationary relative to the seabed.

2.2 Enhancement

Enhancement techniques for sector-scan images fall into two categories. Techniques that do not make use of temporal information and techniques that do make use of temporal information. Usually sector scan images have a high degree of contrast, and hence most attempts at detecting and classifying mine-like objects in these images have used only simple enhancement techniques.

For non-temporal techniques, median filtering is common [1], [2], [3]. Median filtering was developed to handle image noise with so-called *long tail* statistics. This is due to the fact that the median filter is a maximum likelihood estimator of a signal in the presence of noise with a Laplacian distribution [4]. Backscatter and sonar clutter are considered to fit in this category. For certain types of noise, median filtering can be a powerful noise removal technique. Figures 1 and 2 illustrate the point. Figure 1 shows a binary image corrupted by *Salt and Pepper* noise. In this case, 20% of pixels in the image have been set to 1 or 0 randomly. Figure 2 shows the result of applying a 3 by 3 median filter to the corrupted image. Note that the majority of noise pixels have been removed and the object in the image has become clearer.

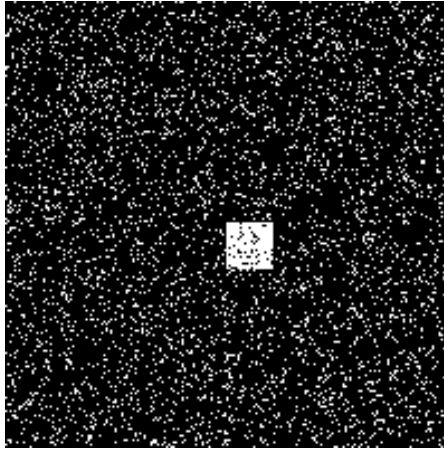


Figure 1: Image degraded by noise.

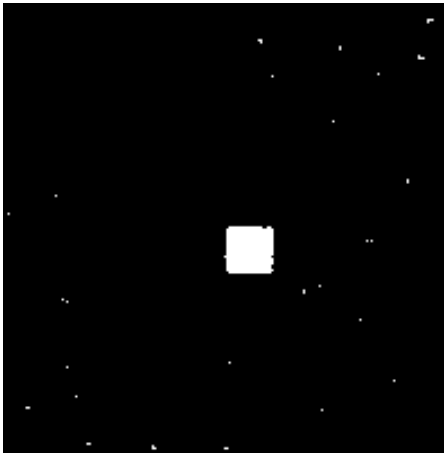


Figure 2: Image cleaned by median filter.

For more complicated images, or images degraded by noise with more complex distributions, plain median filters have a number of disadvantages. The most important disadvantage is the relatively high computational cost. Petillot *et al.* found that simple local averaging of sector-scan images could produce results similar to median filtering at a greatly reduced computational cost [2]. This seems to be at odds with the assumption that noise in sonar imagery is non-gaussian and can be assumed to work well only under certain conditions. Median filters also have the disadvantage of tending to blur edges in the image, although they are more capable of preserving edges as compared with linear filters. In the example of Figure 1, the median filter has degraded the corners of the object in the image. The symmetry and sharp edges of a mine are a major factor used to distinguish them from natural formations. The current use of median filters for sector-scan imagery appears to be at odds with this concept. Research has been done recently in the main stream image processing community detailing new forms of median filters (more generally, nonlinear filters) that have

greater ability to remove noise while preserving edges without a great increase in computational load [5]. These filters seem to have not been applied as yet to sonar imagery. Consideration should be made to examining the efficiency of median filters and whether advantages can be gained by using superior median filter variants or the wide variety of other non-linear edge preserving filters developed by the image processing community to handle noise distributions with long tail statistics [6].

The author found little reference to the use of the wavelet transform as a possible non-temporal noise reduction technique for sector-scan sonar imagery. The multi-resolution nature of the wavelet transform has many similarities to fractals and the way humans process images and is increasingly finding more applications in society. The wavelet transform divides the data into a number of different channels where each channel describes image information with a different spatial-frequency characteristic. Figures 3 and 4 show the way a correctly tailored wavelet transform can be used to extract an image neatly into a number of channels. Figure 3 shows a geometrical shape that contains lines of varying widths and directions. In the corners of the image, the lines are wide and diagonal. In the top and bottom middle of the image, the lines are predominantly horizontal, while in the left and right middle of the image, the lines are mostly vertical. In the centre of the image the lines are diagonal. Figure 4 shows a single level wavelet decomposition of Figure 3 using a biorthogonal spline wavelet. Each quadrant of the image represents a different channel of the wavelet transform. Note how the various components of the image have been extracted to divide the vertical, horizontal and diagonal information present [7].

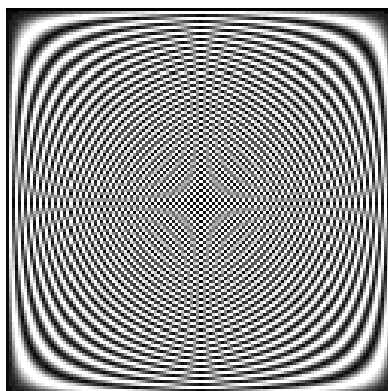


Figure 3: A geometrical form.

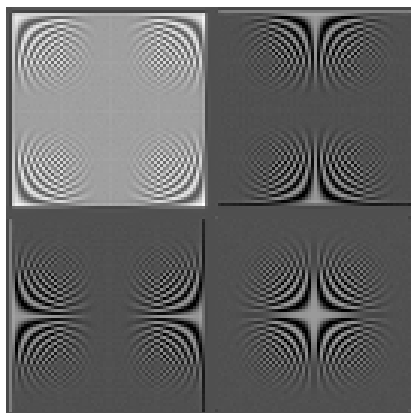


Figure 4: A Wavelet Transform of Figure 3.

Tailoring the wavelet type and size to suit the dimensions of mine-like objects in the image might enable the mine-like object and clutter to appear in different sets of channels in the wavelet decomposition. This has the potential to enable effective removal of the clutter without degrading the image of the mine-like object. The size of the base wavelet used for the noise removal could also be scaled according to the current sonar range setting to provide consistent performance without operator input.

Enhancement techniques that make use of temporal information have recently appeared in the sector-scan sonar literature. Most temporal enhancement techniques attempt to separate stationary objects from non-stationary objects and clutter. The author believes that these methods have applications for mine warfare sonar. If the vessel motion is known, this motion can be corrected for within the image and this would enable mine-like objects be treated as stationary in the computational image domain. Temporally varying features, such as clutter, ambient biological noise, and fish could then be suppressed. Azimi-Sadjadi *et al.* compared a number of successive frames using a technique known as Recursive High Order Correlation, an extension of the standard concept of cross-correlation [8]. Although computationally intensive, this method makes no assumptions about the objects being enhanced. Representing the sonar information in a three-dimensional format allows simple filtering operations to be performed in the temporal dimension of the data [9], [10], [11]. The literature indicates that only 10 or so frames may be required to remove static objects from non-static ones. More complicated techniques involving linking spatial and temporal information are a worthwhile research direction, and the wavelet transform seems an excellent basis.

2.3 Segmentation

Sector-scan images generally do not show shadow effects to the same degree as side-scan imagery. For this reason, classifying pixels as either highlight or background usually performs basic segmentation. Chantler *et al.* chose an optimal threshold for

each pixel using an iterative method. The resulting binary image can be operated on to close gaps or to remove incorrectly classified clutter [10]. The high level of contrast of sector-scan imagery generally reduces the effectiveness of low-level segmentation techniques, rendering them for the most part unnecessary. However, some form of adaptive thresholding where the threshold level is set according to the local statistical properties of the image, followed by clustering could be beneficial.

2.4 Computer-Aided Detection

Human operators use a number of visual cues to detect mine-like objects in sector-scan sonar imagery. Since the sonar images update every second or so, the operator looks for objects that remain present in many consecutive images and display a size and form consistent with mine-like objects. CAD techniques recently developed in the literature also make use of this temporal information [2], [10], [12].

A very illustrative method is that of Chantler and Stoner [13]. For objects discovered in the sonar image, a number of static features are computed that describe the shape and size properties of the object. Over consecutive scans, the feature measures for each object are computed. For any particular object, another set of temporal features is determined. These temporal features describe the changes in the static features over time. For example, a set of returns from a diver would be expected to display a lot of variation over time as the diver's position shifts, hence the static features derived from the diver's image would vary markedly from scan to scan. However a mine-like object would display little variation in its returns and hence the static features derived would remain relatively constant. This difference may not be very prominent using the static features from one scan, but by creating temporal features derived from the static features, the differences become easier to detect. Chantler and Stoner reported a marked detection improvement when temporal features were used [13].

In an operational environment, the mine-hunting vessel is generally in motion, which presents new opportunities or challenges. If the motion of the vessel is not known, the mine-like objects in the image must be matched with their occurrence in subsequent scans. This is a motion-tracking problem and has been looked at in the literature. Lane *et al.* and Chantler *et al.* reported that satisfactory results were achieved using concepts borrowed from the field of optical flow estimation [9], [10]. To estimate optical flow, a cost function is created which is optimised to estimate the motion of each pixel from one image to the next image. Certain assumptions are made regarding the brightness versus motion model used and the results typically contain some noise. By grouping and filtering the results, the motion of objects over many frames can be determined and those objects can be tracked. The objects that they sort to track displayed varying temporal returns, unlike mine-like objects, so the system they developed may be more complicated than is required for mine warfare sonar. If vessel motion is known to some degree the problem becomes much easier, since the computer knows the predicted location of the object in the next scan.

Schweizer *et al.* used multiple detection algorithms to achieve an acceptable classification accuracy [14]. Certain types of detectors may make better use of *a priori* information, or provide alternative feature discrimination. This increases the robustness of the detection results and improves accuracy.

Sector-scan CAD systems are still in their infancy and so a great deal of research can be done to improve them. More research needs to be done to determine the optimal temporal and static features to use for the detection of mine-like objects. Recently, certain powerful classification methods such as Residual Vector Quantisation have been applied to sector-scan mine warfare sonar with some success [12]. It may be worthwhile to investigate whether features derived from the wavelet decomposition of a sonar image, specifically tailored for the dimensions of mine-like objects, can form good discriminators.

2.5 Computer-Aided Classification

Once the operator has detected the presence of a mine-like object, the system is switched to a high-resolution mode, imaging only the vicinity of the detection. The operator then looks for signs that the object is actually a mine. Symmetry, the presence of straight or curved edges, and regular formations may be used to determine the identity of the target. The target may not appear to have a recognisable form in any one scan, so the operator may be forced to observe the object for a period of time to identify it. Foresti *et al.* extracted information about edge orientations and their angular relations to other edges in the object and used this information to classify targets as man-made or natural [15]. Foresti *et al.* developed a way to combine edge-detected information such that the effects of noise corrupting the edge orientation estimates can be greatly reduced and a stable estimate of the object shape can be obtained [15]. In terms of mine warfare sonar, a similar concept could be used to determine the exact shape and orientation of the mine-like object and this boundary information could be encoded in a suitable way and compared to a database of encoded mine shapes. In this way, a classification of the mine type may be possible. There is considerable literature [16], [17], [18] in the main stream image processing community describing robust, orientation and scale invariant methods for encoding boundary shapes, these techniques may be useful to help mine warfare sonar. Ongoing research in the image processing community into noise resistant edge detectors [16] might also be useful to help the operator to classify the mine-like objects detected.

3. Side-Scan Sonar

This section will examine image processing techniques that may enhance the utility of side-scan sonar systems for mine warfare sonar applications.

Side-scan sonar images are formed by a sensor array fixed on a moving platform. The sensor array forms a narrow image of a swath of the environment perpendicular to the

motion of the imaging platform. As the platform moves, an image of the environment on either side of the platform is obtained. The image formation process ends when the imaging platform has left the zone of interest. Temporal information is used in the formation of a side-scan sonar image, but since only one image is obtained for each region within the zone of interest, the use of temporal techniques such as those recommended for sector-scan imagery is not possible.

3.1 General Concepts

Side-scan sonar images display many features similar to optical imagery from a purely image processing point of view. These images generally have a fairly even distribution of pixel values, and may often display a wide range of texture effects due to sea-floor characteristics. Mine-like objects in side-scan images can sometimes display low levels of contrast and often the acoustical shadow region appears larger and more prominent than the object itself. For this reason more research has been done to apply image processing techniques to side-scan images when compared to sector-scan images. The bulk of the research in the literature has concentrated on the presence of shadow effects produced by mine-like objects. The shadows produced by mine-like objects are often found to contain a great deal of information regarding the shape and size of the object, and it is generally considered crucial to use shadow information in any image processing-based CAD/CAC technique for side-scan imagery.

3.2 Enhancement

Most side-scan sonar image enhancement methods are designed to remove clutter and other forms of noise, without distorting or damaging the shape of the highlight and shadow regions associated with the mine-like object. Recently a promising technique has arisen which is based on concepts from the mature field of image restoration. In many works, clutter in side-scan images was suppressed using a concept known as Total Variation Minimisation (TVM) [19], [20], [21]. This technique is based on altering the image to minimise a functional consisting of two terms. The first term in the functional assures image fidelity and the preservation of shadow and highlight information. The second term in the functional is designed to smooth the image and hence reduce noise. The optimisation of this functional forms a multi-dimensional minimisation problem almost identical to the image restoration problem. In all of these references, TVM was shown to be very efficient at suppressing clutter in the images while preserving edge information for use by later CAD/CAC techniques. Despite highly impressive results, the form of TVM techniques used so far has been fairly basic. In the field of image processing the TVM functional is related to the Constrained Least Square Error functional, and a great deal of research has been done into adaptive, intelligent algorithms and cost function variants to best solve this problem [22]. The considerations involved are often identical; the removal of noise while preserving edge information. Many of these algorithms are designed to best preserve edge information in terms of human visual criteria. This is a vital consideration when human operators will examine the side-scan imagery. A worthwhile research direction would be to look

at whether adaptive algorithms could be used to better enhance the TVM technique for side-scan images.

Aridgides *et al.* used an adaptive linear finite impulse response (FIR) filter to suppress clutter [23]. This is a well-known technique in both the signal and image processing communities but requires a model of the mine-like object to be enhanced. Such filters can adapt to the local clutter statistics in the image to achieve good results. Fernandez and Aridgides extended this concept to form an adaptive order-statistic filter, which like the median filter is tailored for noise with long tail statistics [24]. Further research in this direction may be worthwhile.

Huynh *et al.* examined the wavelet transform as a way to remove noise from side-scan sonar imagery [25]. It was shown that wavelet and wavelet packet de-noising techniques could improve the quality of side-scan images and the optimal wavelet type and size was investigated [25]. They found the best performance was obtained when the wavelets were tailored to the size of expected mine-like objects. This success should encourage the consideration of other wavelet-based noise cleaning techniques from the optical imagery field as suitable methods for the enhancement of side-scan images.

3.3 Segmentation

For side-scan sonar images, segmentation is often used to separately classify pixels as belonging to highlights, background, or shadow regions before higher level CAD/CAC techniques are used to search for mine-like objects. After each pixel has been classified into one of the three choices, the pixels are often clustered together with their neighbours to remove incorrectly classified pixels. There exists a large variety of image processing techniques for segmentation and many of these have been applied to this problem.

Hoelscher-Hoebing and Kraus used Expectation Maximisation [26]. This one of many iterative approaches where pixels are classified based on how well their local gray-level statistics match statistical models of the intended classes. Relating the image to a Markov random field model is then used to perform clustering. This method produced interesting results, yet has the disadvantage of requiring estimates of the probability density functions (PDFs) of the three classes involved. There are many related Bayesian techniques for image segmentation that may be used in similar ways.

Guillaudeux *et al.* segmented side-scan images using a fuzzy version of k-means classification [27]. The use of a fuzzy technique provides a consistent framework for measuring how well each pixel matches each class, which can be used in an iterative manner to improve results. The concept of fuzzy sets is intended to mimic human decision making processes and has been shown to be highly effective in some cases. Nagao filtering was then used to group pixels and remove deviations. The Nagao filter uses the fuzzy set membership information to cluster pixels, while still preserving edge information. This direction of research may prove very useful to the problems of mine

warfare sonar, and provides a framework for understanding and improving upon the way human operators examine such imagery.

Szymczak *et al.* examined the adaptation of the TVM approach from image enhancement to an image segmentation model for side-scan sonar images [19]. This approach is called Mumford-Shah segmentation and is based heavily on the TVM functional (see Section 3.2). Szymczak *et al.* achieved satisfactory segmentation results using this methodology [19]. This technique may be worth examining because it provides a way to link the enhancement and segmentation methodologies into a unified approach. In this way, research into techniques to improve the performance of TVM-related functionals in the image processing community may be applied to the problem of segmentation directly.

A possibly worthwhile research concept is the use of edge information to adapt the segmentation process to favour the correct segmentation of man-made objects. When clustering the segmented pixels, the clustering procedure could make use of boundary and edge orientation information to determine whether an uncertain pixel should be added to a shadow or not. For example, if a shadow has an otherwise straight boundary except for a few pixels of uncertain class, an intelligent algorithm may assign a greater than normal probability to these pixels belonging to the shadow. Such an algorithm would then favour the correct segmentation of man-made objects.

3.4 Computer-Aided Detection

A wide variety of techniques have been used in the literature to attempt to detect mine-like objects in side-scan sonar imagery. Dobeck *et al.* used a two-dimensional non-linear matched filter [28]. The matched filter was basically a model of a mine-like object and the results of the matched filtering were fed into a k-nearest neighbour-based neural network classifier and an optimal discriminatory classifier. The decisions of these two classifiers were then combined to produce a final decision. The use of a non-linear matched filter appears problematic due to the many possible orientations of mine-like objects and the various different conditions in the operational environment, however an important concept from that investigation was the use of multiple classifiers to produce a more robust decision.

Guo and Szymczak used the wavelet transform to decompose a side-scan image into a number of different channels [21]. The image of an object in each channel then forms features for a neural network classifier. The neural network classifier uses a set of sub-networks, each examining a different wavelet channel. This forms an interesting multi-resolution neural network which detects mine-like objects based on features at various different resolutions. This concept is probably an important component of human visual detection and classification, and may be a useful research direction.

Nelson and Tuovila used information about pixel groupings within clutter to create a clutter detector [29]. For each object detected in the image, a set of features was sent to

a fractal-based classifier. The classifier was designed to detect clutter and hence could be used to remove false positives from the candidate objects.

Calder *et al.* used a Bayesian classifier to detect objects against textured background in side-scan sonar images [30]. The technique requires models of the various textures present and the objects to be detected, and hence is of limited utility if such models do not exist. In conditions where accurate statistical models do exist, Bayesian classifiers perform well.

As per the discussion in the previous section, mine-like objects will have a high probability of displaying symmetry or straight edges in their shadows. None of the above detectors of objects in side-scan images makes use of this fact. A simple method to incorporate this information would be to create a feature for each potential object that is weighted to indicate how many local edge orientations match others. In this way the detector could be weighted to detect objects with straight boundaries, and hence a high probability of being man-made. There are of course a variety of ways to include geometrical boundary properties as features and many such approaches can be found in the image processing literature [31].

3.5 Computer-Aided Classification

The difficult problem of classifying mine type and orientation has not been greatly researched. Mignotte *et al.* used a genetic optimisation technique to search through a template space [32]. The technique described was not applied to mine classification in particular, but is instead a general method. In this template space, the shadow shapes from every possible mine type are stored. There are a number of permissible transformations that may occur to the basic template to reflect the orientation and range of the mine. These transformations are used as genes in a genetic optimisation technique. Such techniques attempt to simulate the principles of natural selection and evolve the solution to a cost function. Such a technique may be a useful research direction, but genetic optimisation methods have often been criticised as being computationally expensive.

Galerne *et al.* extracted and encoded shadow boundaries using Fourier descriptors [33]. Fourier descriptors are a result of research in the image processing community into the encoding of boundary shapes in such a way that is invariant to scale, rotation and translation. Given a well-segmented mine-like object, the Fourier descriptors extracted could be compared against those present in a database to identify the mine type. Galerne *et al.* used this method to classify objects as man-made or natural only, but such a technique could be extended to classify mine types [33]. Research continues in the image processing community into the best representation of boundary shapes for comparison [16]. Recent research has found that using cubic b-spline curves [18], or the wavelet transform [17], to represent object contour shapes has many desirable properties and can outperform Fourier descriptors.

4. The AMI Project

This section will examine image processing techniques that may enhance the utility of the AMI project.

The AMI (Acoustic Mine Imaging) project involves the use of a large acoustic array to create a high resolution image at close range of a suspected mine for the purposes of positive identification. The images obtained by this method are three dimensional in nature, and suffer from poor contrast and the presence of side-lobe distortion. Image processing concepts can be used to enhance and possibly segment these images. In this section, computer-aided detection and classification will not be discussed since the detection of a mine-like object is assumed to be already performed, and the classification problem is assumed to be handled by a human operator. The objective here will therefore be the representation of the object in the best form for the human operator.

At the time this report was written, the author had not had the chance to carefully examine the nature of the images produced by the AMI project. Image processing techniques considered would greatly depend on the nature of the data; in particular, the effective dynamic range of pixel information will have important consequences on the range of techniques available. A low dynamic range restricts the options to binary morphology techniques, such as dilation and erosion.

4.1 General Concepts

A major concept that the author feels should be reflected in any image processing approach to the AMI project is the full use of the three-dimensional aspect of the data. Special compensation can be made for the differences in the data relationships along certain dimensions due to the position of the sensor array, however the fundamental three-dimensional nature of the data should be used whenever possible. The author has had previous experience with the enhancement and segmentation of objects in three-dimensional imagery [34], and has found that a key consideration is the time taken to perform the image processing operation. With three-dimensional data, the volume of data to process rapidly increases with image size and computational time also increases. Time taken to perform an operation can easily get out of hand.

4.2 Enhancement

At the current time, enhancement and background correction are performed using a split-window normaliser along each dimension separately. This is not a three-dimensional approach and could probably be improved. For noise removal, it may be worthwhile to examine whether three-dimensional median filter variants, or wavelet transform approaches can improve image quality. If sufficient dynamic range exists, some methods of adaptive histogram specification may be appropriate. Adaptive

histogram specification involves analysing the histogram details in the neighbourhood of each pixel and computing a pixel value transformation for that region which best emphasises desired details. This may improve the contrast of the imaged object. The visual representation of the information in this type of image may also benefit from the use of colour to represent pixel distance from the observer in the third dimension.

Another approach that may prove useful is to weight each pixel value dependent upon the statistics and density characteristics of its local region. Pixels in regions whose characteristics do not suggest the presence of an object can have their contrast reduced, while pixels in regions whose statistical/density characteristics do suggest the presence of an object can have their contrast enhanced.

For images with low dynamic range, noise can sometimes be suppressed by adaptively thresholding the object followed by binary operations such as erosion followed by dilation [31].

4.3 Segmentation

At the moment, three-dimensional object segmentation has not been attempted on the images produced by the AMI project to the best of the author's knowledge. A simple technique currently used is a neighbour association algorithm applied to the data. Each pixel has its local neighbourhood examined to determine whether other large valued pixels lie in the immediate vicinity. If none are present, the pixel being examined is assumed to be due to noise and removed. This seems a good approach to remain as part of a segmentation methodology. This technique has a result that is very similar to the Erode and Dilate noise removal technique described in the previous section.

The problem of segmentation is in effect actually a problem of classification (although it may not always be directly treated as such) and hence a classification approach will probably produce the optimal results. For each pixel a set of features should be extracted detailing local statistics and density aspects in the region surrounding the current pixel. Research into the correct features to discriminate the object in these images is a logical first step to any segmentation method. These features can then be used to segment the image based on a set of heuristic rules or some other type of classifier. Using some heuristic rules to perform the segmentation is most probably preferable due to changing operational conditions and the speed at which processing needs to be done. Following segmentation, the pixels will need to be clustered to remove noise and incorrectly classified pixels. The method used will depend on the available processing power. Complicated methods of clustering based on various models of the data (such as Markov random field) can be used if the necessary processing power is available. If processing power is at a premium, simple methods to close gaps and remove noise can be used [31].

5. General Concepts

In this section we will examine some general concepts that the author feels need to be considered in any consistent system for the computer-aided detection and classification of mine-like objects in sonar imagery.

5.1 The Human Interface

A fundamental problem that occurs when dealing with imagery is the difficulty in quantifying the ways humans see and analyse visual information. Research has been conducted outside and within the DSTO to examine image processing techniques to render key information in an image in a form more striking to a human observer [35], [36], [37]. When enhancing images to help human operators detect and classify objects present, simply maximising the signal to noise ratio of the object may not always produce the best results. Such simple mathematical considerations ignore the non-linear response of human eyesight to varying levels of brightness [31], and the use of edges, textures and motion as detection and classification cues [38]. The human operator is often the most vital part of the processing system and so if aspects of the human component are ignored, the system can only ever perform sub-optimally. By the same token, imagery should not be enhanced to appear aesthetically pleasing for a human prior to computer-aided detection and classification (CADCAC). Image enhancement prior to a CADCAC technique can only have its effectiveness measured in terms of the accuracy of the detection results. When developing algorithms to enhance imagery it is easy for a researcher to overlook this and design an enhancement algorithm purely based on what makes the image look good .

5.2 The Human Example

Few CADCAC techniques can approach the performance of an expert human operator. Those that come close invariably do so in a limited environment very different from the normal visual world humans perceive. A great deal of research has been performed in the image processing community to discover ways to mimic human and natural decision making processes. Neural networks in many of their various forms are motivated by the structure of animal brains. Genetic optimisation techniques are designed to mimic natural selection. The wavelet transform is considered to be more compatible with the human visual system than other transforms such as the Fourier transform. Fuzzy set theory is designed to simulate human decision processes. Research continues in this direction in a number of different fields. The author believes that mine warfare sonar systems able to incorporate intelligent principles motivated by the ways humans think about and see the world will provide the best solutions to the difficult problem of detecting and classifying underwater mines. The reader interested in these concepts is referred to Russell and Lane [39] for an example of a framework for an intelligent system to enable an unmanned autonomous submersible to visually perceive its operational environment.

6. Conclusions

This report has examined various image processing techniques which have the potential to aid the detection and classification of mine-like objects in sonar imagery. Three types of sonar imagery were looked at in particular:

- Sector-scan (forward looking) sonar.
- Side-scan sonar.
- The AMI project.

Within each of these sonar-imaging applications, each of the four components of any Computer-Aided Detection and Classification (CADCAC) system was examined. These components are:

- Enhancement.
- Segmentation.
- Computer-Aided Detection.
- Computer-Aided Classification.

For each of these components, image processing techniques with the potential to improve the performance of mine warfare sonar systems were discussed, and examples of successful or instructive methods from the literature were given.

Finally, some general image processing considerations common to each imaging methodology were given. The need to consider the human element of the mine-hunting system is emphasised; both in its effect on the way data are processed and the way data are presented.

Readers interested in the state of the art for various image processing fields are referred to the excellent review article by Chellappa *et al.* [16].

7. References

1. Henriksen, L. (1994), Real-time Underwater Object Detection Based on an Electrically Scanned High-resolution Sonar *Proc. IEEE 1994 Symp. Autonomous Underwater Vehicle Technology*, pp. 99-104, Cambridge, MA, July 1994
2. Petillot, Y., Tena Ruiz, I., Lane, D.M., Wang, Y., Trucco, E., Pican, N. (1998), Underwater vehicle path planning using a multi-beam forward looking sonar *Proc. OCEANS'98*, pp. 1194-1199, Nice, France, Sep. 1998

3. Xu, W., Wang, Y., Zhu, W. (1995), Sonar Image Processing System for an Autonomous Underwater Vehicle (AUV) *Proc. OCEANS'95*, vol. 3, pp. 1883-1886, NY, USA, 1995
4. Bovik, A.C., Huang, T.S., Munson, D.C. (1983) A Generalization of Median Filtering Using Linear Combinations of Order Statistics *IEEE Transactions on Acoustics, Speech, and Signal Processing*, **31**(6), pp. 1342-1350
5. Arce, G.R. (1998), A Generalized Weighted Median Filter Structure Admitting Real-Valued Weights *Proc. 1998 IEEE International Conference On Acoustics, Speech and Signal Processing*, vol. 5, pp 2849-2852, Seattle, Wash., USA, May 1998
6. Arce, G.R., Foster, R.E. (1989) Detail-Preserving Ranked-Order Based Filters for Image Processing *IEEE Transactions on Acoustics, Speech, and Signal Processing*, **37**(1), pp. 83-98
7. *MATLAB Image Processing Toolbox User's Guide*, Version 2, The Math Works, Inc., Natick MA., USA, May 1997
8. Azimi-Sadjadi, M.R., Yuan, C., Wilbur, J., McDonald, R.J. (1998), Post Processing of Sonar Imagery using Recursive High Order Correlation Method *Proc. 1998 IEEE International Conference On Acoustics, Speech and Signal Processing*, vol. 4, pp. 2517-20, Seattle, Wash., USA, May 1998
9. Lane, D.M., Chantler, M.J., Dai, D. (1998), Robust Tracking of Multiple objects in Sector-Scan Sonar Image Sequences Using Optical Flow Motion Estimation *IEEE Journal Of Oceanic Engineering*, **23**(1), pp. 31-46
10. Chantler, M.J., Lane, D.M., Dai, D., Williams, N. (1996), Detection and tracking of returns in sector-scan sonar image sequences *IEEE Proc. Radar, Sonar Navig.*, **143**(3), pp. 157-162
11. Dai, D., Chantler, M.J., Lane, D.M., Williams, N. (1995), A spatial-temporal approach for segmentation of moving and static objects in sector-scan sonar image sequences", *Proc. International Conference On Image Processing and Its Applications*, pp. 163-167, July 1995
12. Barnes, C.F. (1996), Sea Mine Detection with a Nearest Neighbour Classifier Based on Residual Vector Quantization *Detection and Remediation Technologies for Mines and Minelike Targets*, SPIE vol. 2765, pp. 167-178, Orlando, Florida, USA, April 1996

13. Chantler, M.J., Stoner, J.P. (1997), Automatic Interpretation of Sonar Image Sequences Using Temporal Feature Measures *IEEE Journal of Oceanic Engineering*, 22(1), pp. 47-56
14. Schweizer, P.F., Petlevich, W.J., Haley, P.H., Oravec, J.J. (1992), Image Processing Architecture For AUV Mine-Hunters *Sea Technology*, 33(4), pp. 55-61
15. Foresti, G.L., Murino, V., Regazzoni, C.S., Trucco, A. (1997) A Voting-Based Approach for Fast Object Recognition in Underwater Acoustic Images *IEEE Journal of Oceanic Engineering*, 22(1), pp. 57-65
16. Chellappa, R., Girod, B., Munson, D.C, Tekalp, A.M., Vetterli, M., Editors (1998), The Past, Present, and Future of Image and Multidimensional Signal Processing *IEEE Signal Processing Magazine*, 15(2), pp. 21-58
17. Tieng, Q.M., Boles, W.W. (1997), Recognition of 2D Object Contours Using the Wavelet Transform Zero-Crossing Representation *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 19(8), pp. 910-916
18. Gu, Y.H., Tjahjadi, T. (1999), Efficient Planar Object Tracking and Parameter Estimation Using Compactly Represented Cubic B-Spline Curves *IEEE Transactions on Systems, Man, and Cybernetics – Part A: Systems and Humans*, 29(4), pp. 358-367
19. Szymczak, W.G., Guo, W., Rogers, J.C.W. (1998) Mine Detection Using Variational Methods for Image Enhancement and Feature Extraction , *Detection and Remediation Technologies for Mines and Minelike Targets III*, SPIE vol. 3392, pp. 286-296, Orlando, Florida, USA, April 1998
20. Carmona, R.A., Zhong, S. (1997), Interior Point Methods for Sea Bottom Image Enhancement *Detection and Remediation Technologies for Mines and Minelike Targets II*, SPIE vol. 3079, pp. 132-137, Orlando, Florida, USA, April 1997
21. Guo, W., Szymczak, W.G. (1998), Multiresolution Neural Networks for Mine Detection In Side Scan Sonar Images *Detection and Remediation Technologies for Mines and Minelike Targets III*, SPIE vol. 3392, pp. 297-305, Orlando, Florida, USA, April 1998
22. Perry, S.W. (1999), Adaptive Image Restoration: Perception Based Neural Network Models and Algorithms *Ph.D. dissertation*, School of Electrical and Information Engineering, University of Sydney, NSW, Australia, 1999

23. Aridgides, T., Fernandez, M., Dobeck, G. (1998), Adaptive clutter suppression and fusion processing string for sea mine detection and classification in sonar imagery *Detection and Remediation Technologies for Mines and Minelike Targets III*, SPIE vol. 3392, pp. 243-254, Orlando, Florida, USA, April 1998
24. Fernandez, M.F., Aridgides, T. (1998), Adaptive Order-Statistic Filters for Sea Mine Classification *Detection and Remediation Technologies for Mines and Minelike Targets III*, SPIE vol. 3392, pp. 255-263, Orlando, Florida, USA, April 1998
25. Huynh, Q., Neretti, N., Intrator, N., Dobeck, G. (1998), Image Enhancement for pattern recognition *Detection and Remediation Technologies for Mines and Minelike Targets III*, SPIE vol. 3392, pp. 306-314, Orlando, Florida, USA, April 1998
26. Hoelscher-Hoebing, U., Kraus, D. (1998), Unsupervised Image Segmentation and Image Fusion for Multi-Beam/Multi-Aspect Sidescan Sonar Images *Proc. OCEANS'98*, Nice, France, pp. 571-576, Sep. 1998
27. Guillaudeux, S., Daniel, S., Maillard, E. (1996), Optimization of a sonar image processing chain : A fuzzy rules based expert system approach *Proc. OCEANS'96*, vol. 3, NY, USA, pp. 1319-1323, 1996
28. Dobeck, G.J., Hyland, J.C., Smedley, L. (1997), Automated Detection/Classification of Sea Mines in Sonar Imagery *Detection and Remediation Technologies for Mines and Minelike Targets II*, SPIE vol. 3079, pp. 90-110, Orlando, Florida, USA, April 1997
29. Nelson, S.R., Tuovila, S.M. (1996), Automated recognition of acoustic image clutter *Detection and Remediation Technologies for Mines and Minelike Targets*, SPIE vol. 2765, pp. 122-129, Orlando, Florida, USA, April 1996
30. Calder, B.R., Linnett, L.M., Carmichael, D.R. (1997), A Bayesian Approach to Object Detection in Sidescan Sonar *Proc. Sixth International. Conference On Image Processing and Its Applications*, vol. 1, pp. 857-861, Dublin, Ireland, July 1997
31. Gonzalez, R.C., Woods, R.E. (1992), *Digital Image Processing*, Addison-Wesley, USA, September, 1993
32. Mignotte, M., Collet, C., Perez, P., Bouthemy, P. (1998), Statistical Model and Genetic Optimization : Application to Pattern Detection in Sonar Images", *Proc. 1998 IEEE International Conference On Acoustics, Speech and Signal Processing*, vol. 5, pp 2741-2744, Seattle, Wash., USA, May 1998

33. Galerne, P., Yao, K., Burel, G. (1997), Objects classification using Neural Network in sonar imagery *New Image Processing Techniques and Applications: Algorithms Methods and Components II*, SPIE vol. 3101, pp. 306-314, August 1997
34. Perry, S.W., Guan, L. (1996), Segmentation and Visualisation of 3D Underwater Ultrasonic Images *Proc. Australian Pattern Recognition Society Workshop*, pp. 69-74, University of Technology, Sydney, NSW, Australia, Dec. 1996
35. Felix, V.P., Narasimman, R., Agarwal, R.C. (1997), Perceptually Optimum Gray-Scale Transformation of Multibin Time History Data *IEEE Journal of Oceanic Engineering*, **22**(1), pp. 179-183
36. Benke, K.K., Skinner, D.R., Woodruff, C.J. (1987), Convolution operators as a basic for objective correlates of texture perception *IEEE Transactions on Systems, Man, and Cybernetics*, **18**(1), pp. 158-163
37. Benke, K.K., Hedger, D.F. (1995), Feature perception in sonar displays : a statistic approach to contrast and brightness normalisation *Proc. Conference on Digital Image Computing : Techniques and Applications, Australian Pattern Recognition Soc.*, pp. 443-448, Brisbane, Qld, Australia, 1995
38. Lu, H., Wang, X., Liu, S., Shi, M., Guo, A. (1999), The Possible Mechanism Underlying Visual Anticamouflage: A Model and Its Real-Time Simulation , *IEEE Transactions on Systems, Man, and Cybernetics – Part A: Systems and Humans*, **29**(3), pp. 314-318
39. Russell, G.T., Lane, D.M. (1986), A Knowledge-Based System Framework for Environmental Perception in a Subsea Robotics Context *IEEE Journal of Oceanic Engineering*, **11**(3), pp. 401-412

DISTRIBUTION LIST

Applications of Image Processing in Mine Warfare Sonar

Stuart William Perry

AUSTRALIA

DEFENCE ORGANISATION

Task Sponsor **DGMD**

S&T Program

Chief Defence Scientist	}	shared copy
FAS Science Policy		
AS Science Corporate Management		
Director General Science Policy Development		
Counsellor Defence Science, London (Doc Data Sheet)		
Counsellor Defence Science, Washington (Doc Data Sheet)		
Scientific Adviser to MRDC Thailand (Doc Data Sheet)		
Scientific Adviser Policy and Command		
Navy Scientific Adviser		
Scientific Adviser - Army (Doc Data Sheet and distribution list only)		

Air Force Scientific Adviser
Director Trials

Aeronautical and Maritime Research Laboratory
Director

Chief of Maritime Operations Division
Research Leader Mine Warfare: Dr. Alan Theobald
Research Leader MODSA
Research Leader MODWA
Head: Dr. Brian Ferguson
Author: Dr. Stuart Perry
Dr. Kam Lo
Dr. David Blair
Dr. Philip Chapple
Dr. Ashley Larsson

DSTO Research Library and Archives

Library Fishermans Bend
Library Maribyrnong
Library Salisbury (2 copies)
Australian Archives
Library, MOD, Pyrmont (2 copies)
Library, MOD, HMAS Stirling
US Defense Technical Information Center, 2 copies
UK Defence Research Information Centre, 2 copies

Canada Defence Scientific Information Service, 1 copy
NZ Defence Information Centre, 1 copy
National Library of Australia, 1 copy

Capability Systems Staff

Director General C3I Development (Doc Data Sheet only)
Director General Aerospace Development (Doc Data Sheet only)

Navy

SO (Science), Director of Naval Warfare, Maritime Headquarters Annex,
Garden Island, NSW 2000. (Doc Data Sheet only)

Army

ABCA Standardisation Officer, Puckapunyal, (4 copies)
SO (Science), DJFHQ(L), MILPO Enoggera, Queensland 4051 (Doc Data Sheet only)

Intelligence Program

DGSTA Defence Intelligence Organisation
Manager, Information Centre, Defence Intelligence Organisation

Corporate Support Program

OIC TRS, Defence Regional Library, Canberra

UNIVERSITIES AND COLLEGES

Australian Defence Force Academy
Library
Head of Aerospace and Mechanical Engineering
Hargrave Library, Monash University, (Doc Data Sheet only)
Librarian, Flinders University

OTHER ORGANISATIONS

NASA (Canberra)
Info Australia (formerly AGPS)

OUTSIDE AUSTRALIA

ABSTRACTING AND INFORMATION ORGANISATIONS

Library, Chemical Abstracts Reference Service
Engineering Societies Library, US
Materials Information, Cambridge Scientific Abstracts, US
Documents Librarian, The Center for Research Libraries, US

INFORMATION EXCHANGE AGREEMENT PARTNERS

Acquisitions Unit, Science Reference and Information Service, UK
Library - Exchange Desk, National Institute of Standards and Technology, US

SPARES (20 copies)

Total number of copies: 71

DEFENCE SCIENCE AND TECHNOLOGY ORGANISATION DOCUMENT CONTROL DATA				1. PRIVACY MARKING/CAVEAT (OF DOCUMENT)	
2. TITLE Applications of Image Processing to Mine Warfare Sonar			3. SECURITY CLASSIFICATION (FOR UNCLASSIFIED REPORTS THAT ARE LIMITED RELEASE USE (L) NEXT TO DOCUMENT CLASSIFICATION) Document (U) Title (U) Abstract (U)		
4. AUTHOR(S) Stuart William Perry			5. CORPORATE AUTHOR Aeronautical and Maritime Research Laboratory PO Box 4331 Melbourne Vic 3001 Australia		
6a. DSTO NUMBER DSTO-GD-0237		6b. AR NUMBER AR-011-228		6c. TYPE OF REPORT General Document	
				7. DOCUMENT DATE February 2000	
8. FILE NUMBER 490/6/22		9. TASK NUMBER NAV 97/164		10. TASK SPONSOR DGMD	
				11. NO. OF PAGES 20	
				12. NO. OF REFERENCES 39	
13. URL on the World Wide Web http://www.dsto.defence.gov.au/corporate/reports/DSTO-GD-0237.pdf				14. RELEASE AUTHORITY Chief, Maritime Operations Division	
15. SECONDARY RELEASE STATEMENT OF THIS DOCUMENT <i>Approved for public release</i> <small>OVERSEAS ENQUIRIES OUTSIDE STATED LIMITATIONS SHOULD BE REFERRED THROUGH DOCUMENT EXCHANGE, PO BOX 1500, SALISBURY, SA 5108</small>					
16. DELIBERATE ANNOUNCEMENT No Limitations					
17. CASUAL ANNOUNCEMENT Yes					
18. DEFTEST DESCRIPTORS Image processing, Naval mines, Naval mine detection, Mine Hunting					
19. ABSTRACT It is the intention of the author that this report serve as a strategic review of the potential for image processing techniques to aid the detection and classification of underwater mines and mine-like objects in various modes of sonar imagery. Image processing techniques to improve the performance of mine hunting operations using sector-scan, side-scan and the Acoustic Mine Imaging (AMI) project imagery are considered. Four basic components of any Computer-Aided Detection and Classification (CADCA) technique are considered, namely, enhancement, segmentation, computer-aided detection, and computer-aided classification. In each of these fields, image processing techniques from the literature are examined and possible extensions or alternatives are discussed.					