# Optical Flow

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\*(Thanks to Shree Nayyar and Mubarak Shah)

#### (source)

#### **Optical Flow**

Method to estimate apparent motion of scene points from a sequence of images.

#### Topics:

- (1) Motion Field and Optical Flow
- (2) Optical Flow Constraint Equation
- (3) Lucas-Kanade Method
- (4) Coarse-to-Fine Flow Estimation

#### Motion Field

Image velocity of a point that is moving in the scene

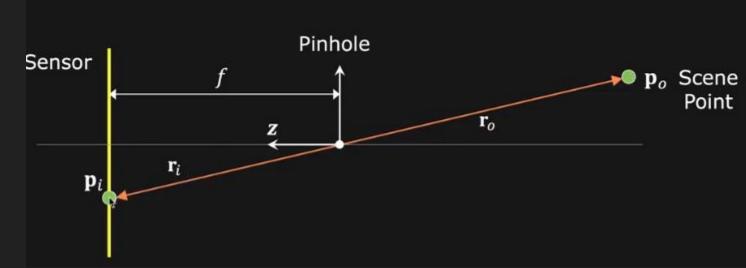


Image Point Velocity: 
$$\mathbf{v}_i = \frac{d\mathbf{r}_i}{dt}$$
(Motion Field)

Scene Point Velocity:  $\mathbf{v}_o = \frac{d\mathbf{r}_o}{dt}$ 

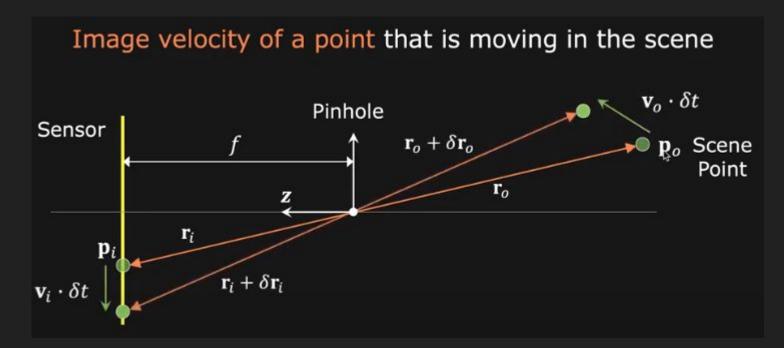


Image Point Velocity: 
$$\mathbf{v}_i = \frac{d\mathbf{r}_i}{dt}$$
(Motion Field)

Image velocity of a point that is moving in the scene

Sensor Pinhole 
$$\mathbf{r}_o + \delta \mathbf{r}_o$$
  $\mathbf{p}_o$  Scene Point  $\mathbf{v}_i \cdot \delta t$ 

Perspective projection: 
$$\frac{-\iota}{f} = \frac{-\upsilon}{\mathbf{r}_o}$$

Scene Point Velocity:  $\mathbf{v}_o$ 

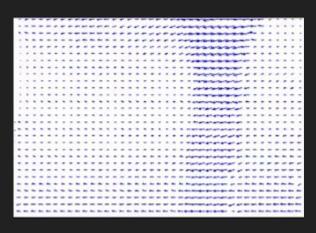




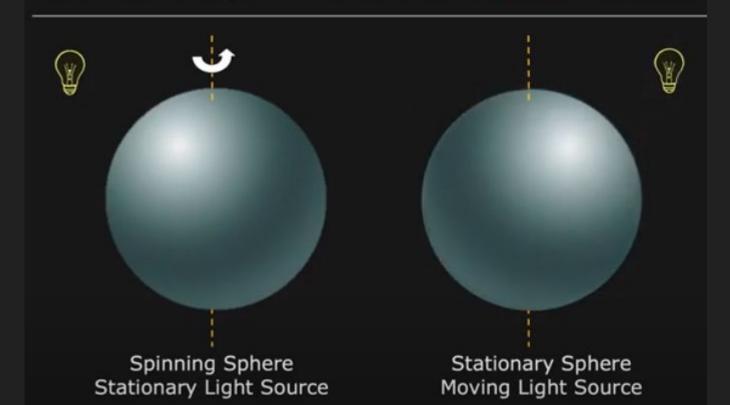
### Optical Flow (Ideally, Optical Flow = Motion Field)



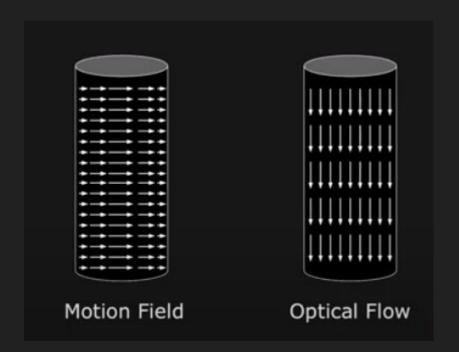




### When is Optical Flow $\neq$ Motion Field?



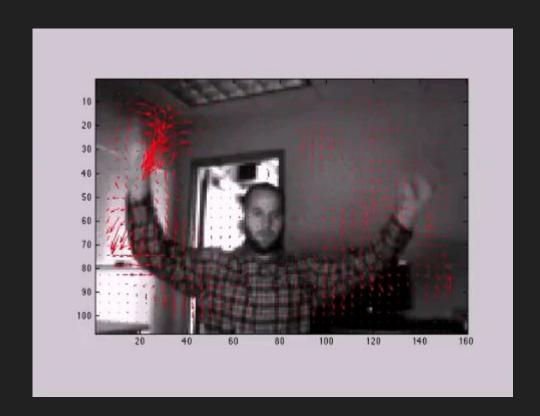




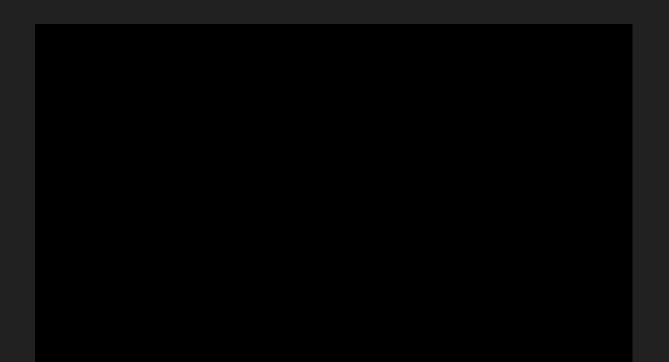
### Motivation



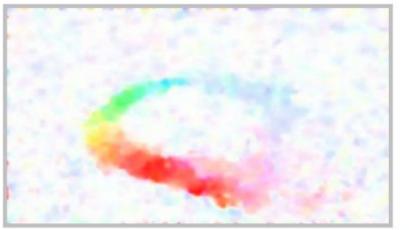
# Sparse



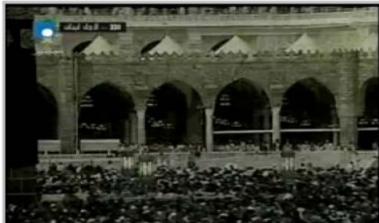
#### Dense

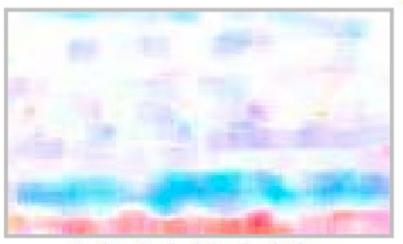






Encoding Scheme



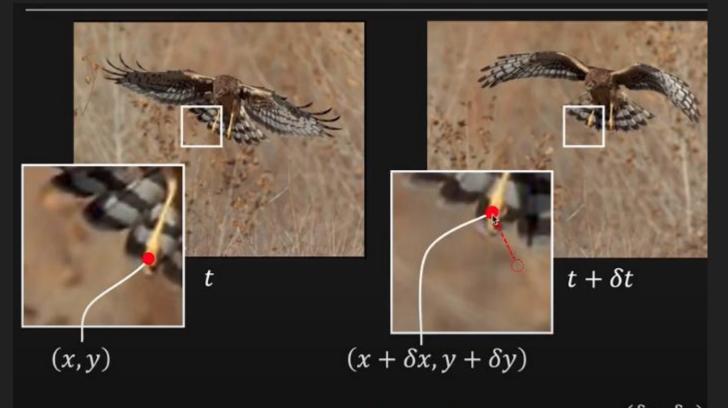


Videos Color Coded Optical Flows

- Applications
  - Motion based segmentation
  - Motion based segmentation
  - Alignment (Global motion compensation)

Structure from Motion(3D shape and Motion)

- · Camcorder video stabilization
- UAV Video Analysis
- Video Compression



Displacement:  $(\delta x, \delta y)$ 

Optical Flow:  $(u, v) = \left(\frac{\delta x}{\delta t}, \frac{\delta y}{\delta t}\right)$ 

#### Assumption #1:

Brightness of image point remains constant over time

$$I(x + \delta x, y + \delta y, t + \delta t) = I(x, y, t)$$

#### Assumption #2:

Displacement  $(\delta x, \delta y)$  and time step  $\delta t$  are small

#### **Taylor Series Expansion**

Expand a function as an infinite sum of its derivatives

$$f(x + \delta x) = f(x) + \frac{\partial f}{\partial x} \delta x + \frac{\partial^2 f}{\partial x^2} \frac{\delta x^2}{2!} + \dots + \frac{\partial^n f}{\partial x^n} \frac{\delta x^n}{n!}$$

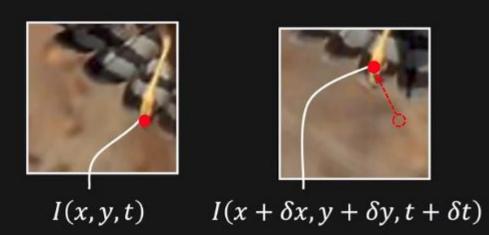
If  $\delta x$  is small:

$$f(x + \delta x) = f(x) + \frac{\partial f}{\partial x} \delta x + O(\delta x^2)$$
 Almost Zero

For a function of three variables with small  $\delta x, \delta y, \delta t$ :

$$f(x + \delta x, y + \delta y, t + \delta t) \approx f(x, y, t) + \frac{\partial f}{\partial x} \delta x + \frac{\partial f}{\partial y} \delta y + \frac{\partial f}{\partial t} \delta y$$

### **Optical Flow Constraint Equation**



#### Assumption #2:

Displacement  $(\delta x, \delta y)$  and time step  $\delta t$  are small

$$I(x+\delta x,y+\delta y,t+\delta t)=I(x,y,t)+\frac{\partial I}{\partial x}\delta x+\frac{\partial I}{\partial y}\delta y+\frac{\partial I}{\partial t}\delta t$$

$$I(x + \delta x, y + \delta y, t + \delta t) = I(x, y, t) + I_x \delta x + I_y \delta y + I_t \delta t$$

### Optical Flow Constraint Equation

$$I(x + \delta x, y + \delta y, t + \delta t) = I(x, y, t)$$

$$I(x + \delta x, y + \delta y, t + \delta t) = I(x, y, t) + I_x \delta x + I_y \delta y + I_t \delta t$$

$$I(x + \delta x, y + \delta y, t + \delta t) = I(x, y, t) + I_x \delta x + I_y \delta y + I_t \delta t$$

$$I(x + \delta x, y + \delta y, t + \delta t) = I(x, y, t) + I_x \delta x + I_y \delta y + I_t \delta t$$

$$I(x + \delta x, y + \delta y, t + \delta t) = I(x, y, t) + I_x \delta x + I_y \delta y + I_t \delta t$$

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$$I(x + \delta x, y + \delta y, t + \delta t) = I(x, y, t) + I_x \delta x + I_y \delta y + I_t \delta t$$

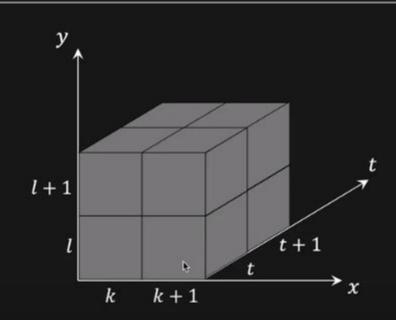
Subtract (1) from (2): 
$$I_x \delta x + I_y \delta y + I_t \delta t = 0$$

Divide by 
$$\delta t$$
 and take limit as  $\delta t \to 0$ :  $I_x \frac{\partial x}{\partial t} + I_y \frac{\partial y}{\partial t} + I_t = 0$ 

Constraint Equation: 
$$I_x u + I_y v + I_t = 0$$
 (u, v): Optical Flow

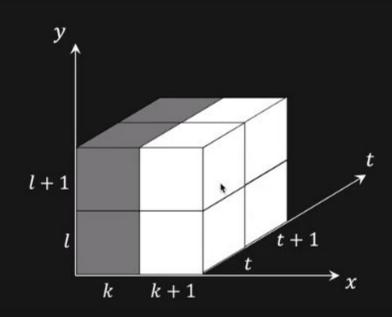
 $\left(I_x,I_y,I_t\right)$  can be easily computed from two frames

# Computing Partial Derivatives $I_x$ , $I_y$ , $I_t$



 $I_x(k,l,t) =$ 

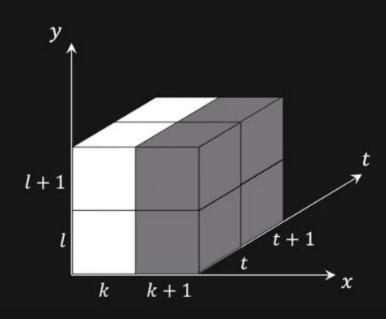
# Computing Partial Derivatives $I_x$ , $I_y$ , $I_t$



$$I_x(k,l,t) =$$

 $\frac{1}{4}[I(k+1,l,t)+I(k+1,l,t+1)+I(k+1,l+1,t)+I(k+1,l+1,t+1)]$ 

# Computing Partial Derivatives $I_x$ , $I_y$ , $I_t$

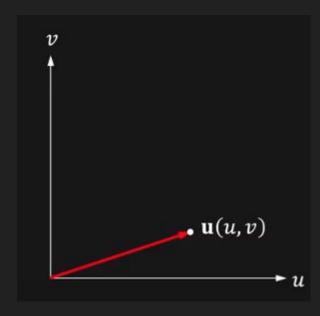


$$\frac{1}{4}[I(k+1,l,t) + I(k+1,l,t+1) + I(k+1,l+1,t) + I(k+1,l+1,t+1)]$$

$$-\frac{1}{4}[I(k,l,t) + I(k,l,t+1) + I(k,l+1,t) + I(k,l+1,t+1)]$$

 $I_x(k,l,t) =$ 

### Geometric Interpretation



For any point (x, y) in the image, its optical flow (u, v) lies on the line:

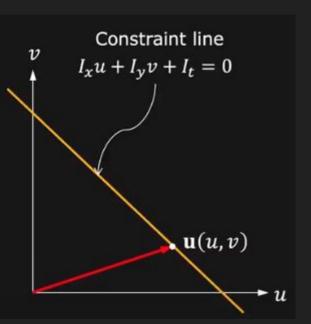
$$I_x u + I_y v + I_t = 0$$

Optical Flow can be split into two components.

$$\mathbf{u} = \mathbf{u}_n + \mathbf{u}_p$$

 $\mathbf{u}_n$ : Normal Flow

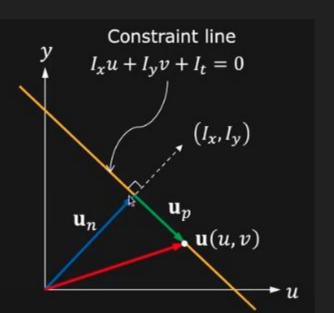
up: Parallel Flow



#### Direction of Normal Flow:

Unit vector perpendicular to the constraint line:

$$\widehat{\mathbf{u}}_n = \frac{\left(I_x, I_y\right)}{\left|I_x^2 + I_y^2\right|}$$



#### Direction of Normal Flow:

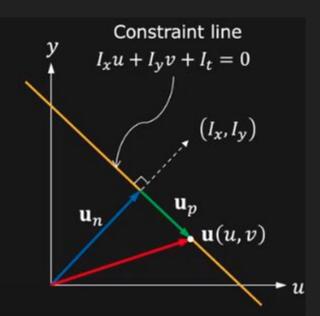
Unit vector perpendicular to the constraint line:

$$\widehat{\mathbf{u}}_n = \frac{\left(I_x, I_y\right)}{\sqrt{I_x^2 + I_y^2}}$$



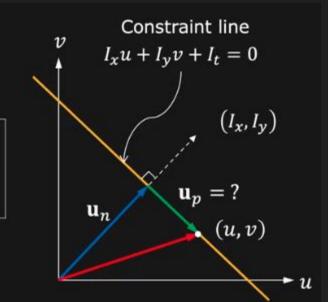
Distance of origin from the constraint line:

$$|\mathbf{u}_n| = \frac{|I_t|}{I_v^2 + I_v^2}$$

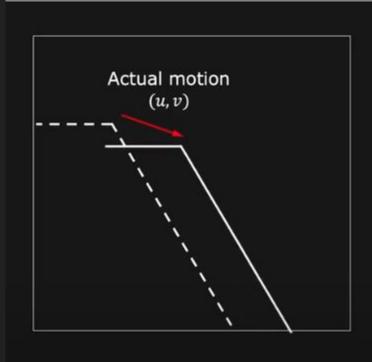


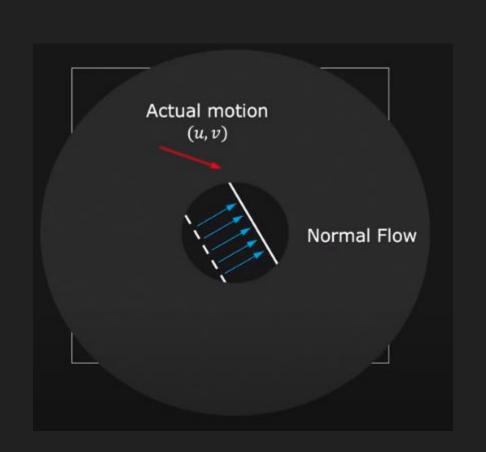
$$\mathbf{u}_n = \frac{|I_t|}{\left(I_x^2 + I_y^2\right)} \left(I_x, I_y\right)$$

We cannot determine  $\mathbf{u}_p$ , the optical flow component parallel to the constraint line.



## Aperture Problem





Constraint Equation: 
$$I_x u + I_y v + I_t = 0$$

2 unknowns, 1 equation.

#### **Lucas Kanade Solution**

Assumption: For each pixel, assume Motion Field, and hence Optical Flow (u, v), is constant within a small neighborhood W.



That is for all points  $(k, l) \in W$ :

$$I_x(k,l)u + I_y(k,l)v + I_t(k,l) = 0$$

For all points  $(k,l) \in W$ :  $I_x(k,l)u + I_y(k,l)v + I_t(k,l) = 0$ 

Let the size of window W be  $n \times n$ 

In matrix form: 
$$\begin{bmatrix} I_x(1,1) & I_y(1,1) \\ I_x(k,l) & I_y(k,l) \\ \vdots & \vdots \\ I_x(n,n) & I_y(n,n) \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} I_t(1,1) \\ I_t(k,l) \\ \vdots \\ I_t(n,n) \end{bmatrix}$$

For all points  $(k,l) \in W$ :  $I_x(k,l)u + I_y(k,l)v + I_t(k,l) = 0$ 

Let the size of window W be  $n \times n$ 

In matrix form:

$$\begin{bmatrix} I_{x}(1,1) & I_{y}(1,1) \\ I_{x}(k,l) & I_{y}(k,l) \\ \vdots & \vdots \\ I_{x}(n,n) & I_{y}(n,n) \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} I_{t}(1,1) \\ I_{t}(k,l) \\ \vdots \\ I_{t}(n,n) \end{bmatrix}$$

$$A \qquad \mathbf{u} \qquad B$$
(Known) (Unknown) (Known)
$$n^{2} \times 2 \qquad 2 \times 1 \qquad n^{2} \times 1$$

Solve linear system: 
$$A\mathbf{u} = B$$
 
$$A^T A \mathbf{u} = A^T B \quad \text{(Least-Squares using Pseudo-Inverse)}$$

In matrix form:

$$\begin{bmatrix} \sum_{W} I_{x} I_{x} & \sum_{W} I_{x} I_{y} \\ \sum_{W} I_{x} I_{y} & \sum_{W} I_{y} I_{y} \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} -\sum_{W} I_{x} I_{t} \\ -\sum_{W} I_{y} I_{t} \end{bmatrix}$$
 Indices  $(k, l)$  not written for simplicity 
$$A^{T}A \qquad \qquad \mathbf{u} \qquad A^{T}B$$
 (Known) (Known) (Known)  $2 \times 2 \qquad 2 \times 1 \qquad 2 \times 1$  
$$\mathbf{u} = (A^{T}A)^{-1}A^{T}B$$

Fast and Easy to Solve

### When Does Optical Flow Estimation Work?

$$A\mathbf{u} = B$$

$$A^T A \mathbf{u} = A^T B$$

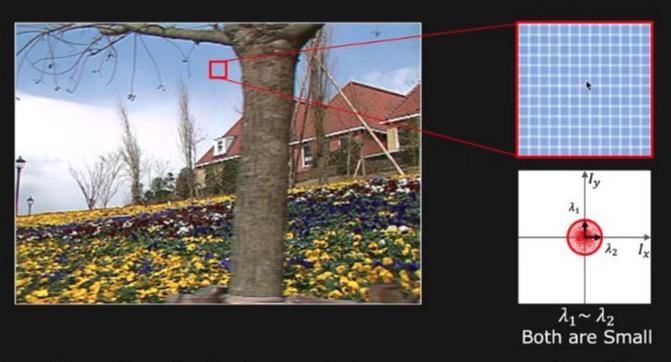
- $A^TA$  must be invertible. That is  $det(A^TA) \neq 0$
- A<sup>T</sup>A must be well-conditioned.

If  $\lambda_1$  and  $\lambda_2$  are eigen values of  $A^TA$ , then

$$\lambda_1 > \epsilon$$
 and  $\lambda_2 > \epsilon$ 

$$\lambda_1 \geq \lambda_2$$
 but not  $\lambda_1 \gg \lambda_2$ 

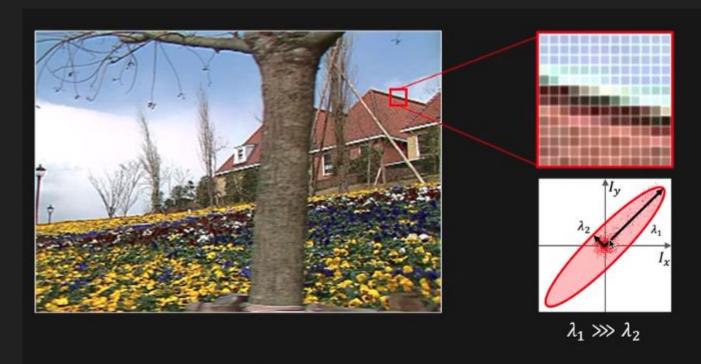
# Smooth Regions (Bad)



Equations for all pixels in window are more or less the san

Cannot reliably compute flow!

#### Edges (bad)



Badly conditioned. Prominent gradient in one direction.

Cannot reliably compute flow! Same as Aperture Problem.

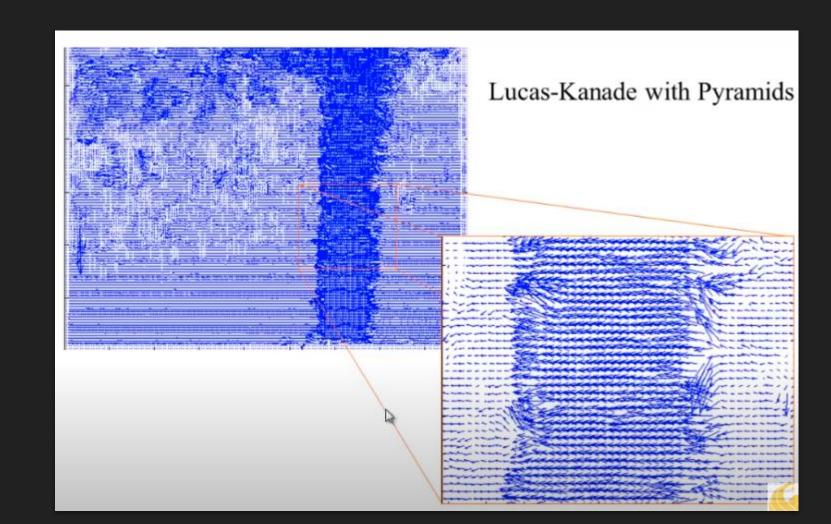
## Textured Regions (Good)



Well conditioned. Large and diverse gradient magnitudes

Can reliably compute optical flow.

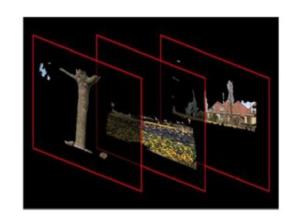


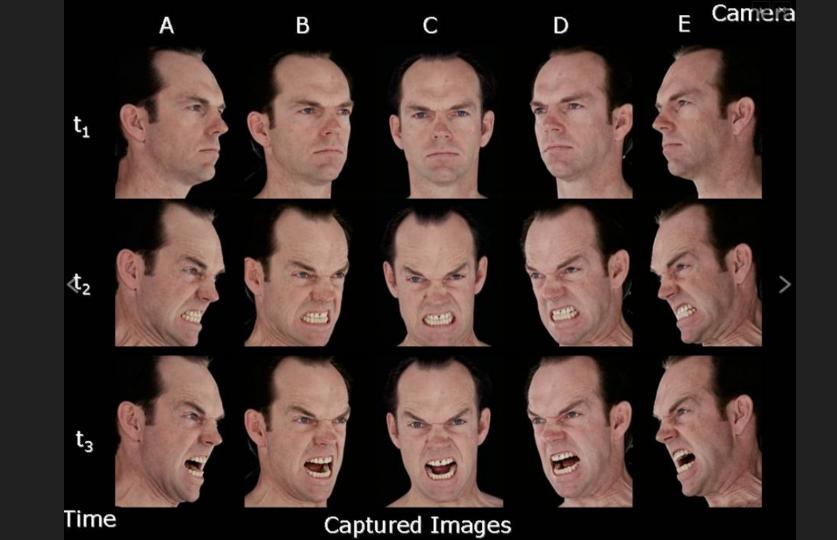


#### Comments

- Horn-Schunck and Lucas-Kanade optical methods work only for small motion.
- If object moves faster, the brightness changes rapidly,
  - 2x2 or 3x3 masks fail to estimate spatiotemporal derivatives.
- Pyramids can be used to compute large optical flow vectors.



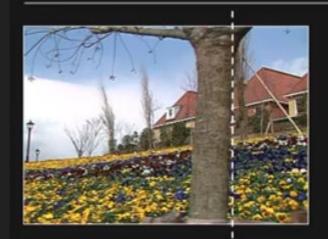




# What if we have Large Motion?



### What if we have Large Motion?





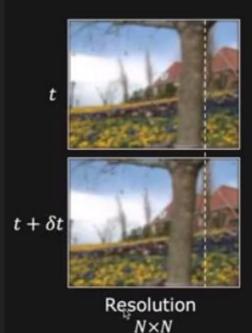
#### Taylor Series approximation of

$$I(x + \delta x, y + \delta y, t + \delta t)$$
 is not valid

Our simple linear constraint equation not valid

$$I_x u + I_y v + I_t \neq 0$$

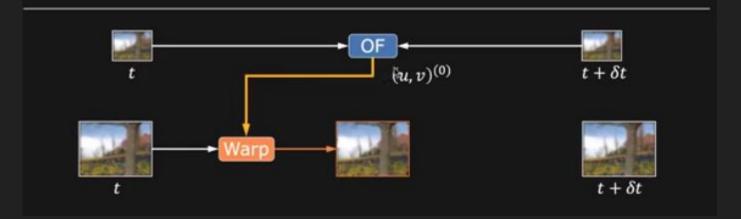
## Large Motion: Coarse-to-Fine Estimation

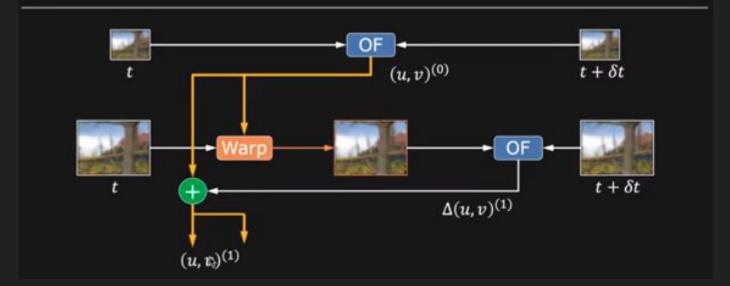


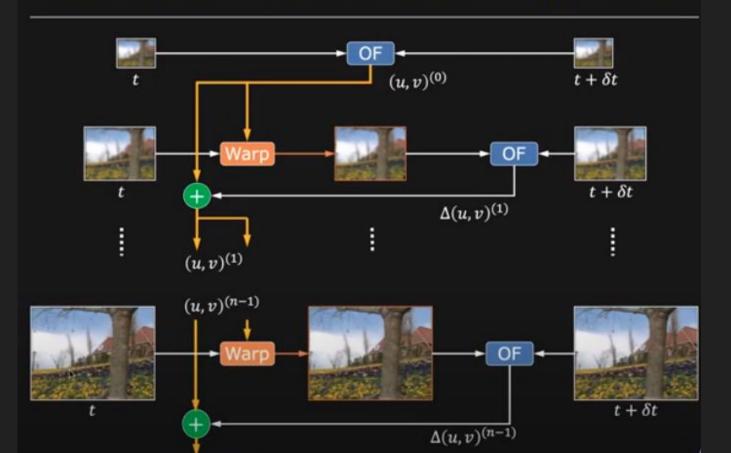


At lowest resolution, motion ≤ 1 pixel









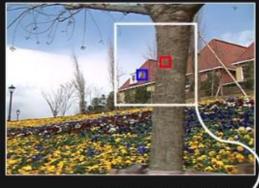
### Alternative Approach: Template Matching

#### Determine Flow using Template Matching



Template Window T

Image  $I_1$  at time t



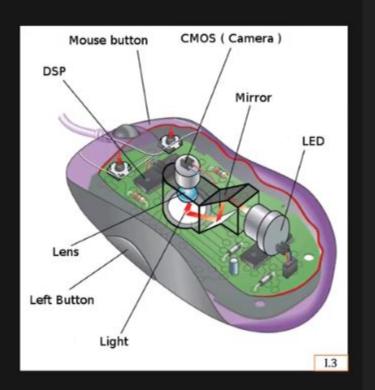
Search Window S

Image  $I_2$  at time  $t + \delta t$ 

For each template window T in image  $I_1$ , find the corresponding match in image  $I_2$ .

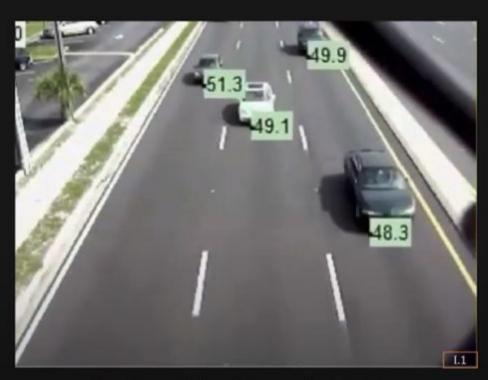
# **Optical Mouse**





**Estimating Mouse Movements** 

# Traffic Monitoring



Finding Velocities of Vehicles

(source)