

# Hovering control of an underwater robot with tilting thrusters using the decomposition and compensation method based on a redundant actuation model

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## ABSTRACT

Six-degree-of-freedom (6-DOF) hovering control is important for underwater robots to perform various tasks. Our previous underwater robot study, which used tilting thrusters, could not control 6-DOF motion simultaneously owing to several mechanical and control problems. In this study, we developed a new robot with tilting thrusters and improved 6-DOF hovering performance. The maneuverability of the robot was evaluated by analyzing the force and moment of the thrust vector. Based on this, a redundant tilting mechanism without constraints was designed to solve structural problems. A proportional–integral–derivative (PID)-based control design using the decomposition and compensation method (PID-DC) that is appropriate for this mechanism, was derived. The decomposition method was used to overcome the nonlinearity of the thrust vector caused by the tilting mechanism, and the null-space projection technique was applied to minimize the thrust force and avoid the boundary of the tilting angle. A compensator based on the empirical model of the tilting thruster transferred the control input to the system with regulation. Simulation and experimental results verified the validity of the controller for the 6-DOF hovering motion of the robot, and the hovering performance was significantly improved. Furthermore, the stability of the hovering performance under tidal currents was demonstrated through disturbance experiments.

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## 1. Introduction

In hazardous underwater environments, divers perform inefficient and often dangerous tasks. Several underwater robots have been developed to work efficiently in water. For an underwater robot to work well, it is essential for it to perform a six-degree-of-freedom (6-DOF) hovering motion [1].

Underwater robots with fixed thrusters have been widely developed because thrusters are the main power source for such robots [2–4]. By reducing the number of thrusters, the robots' size, weight, and power consumption can be reduced. Accordingly, tilting and thrust-vectoring mechanisms have been widely

investigated owing to their advantages [5–7]. In addition, it is possible to compensate for the disturbance by concentrating the thrust force of a constant disturbance in a certain direction.

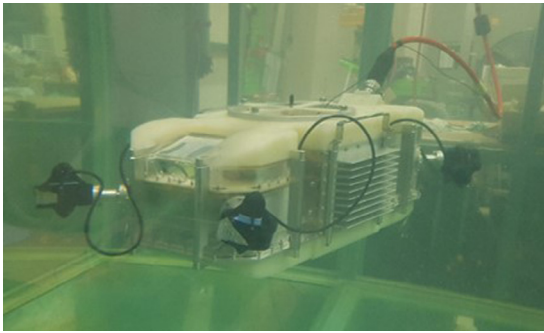
ODYSSEY IV [8] was equipped with rotating thruster units and a thruster layout, two vectored side thrusters, and two fixed cross-body thrusters, which enabled 4-DOF control. Otohime [9], PICASSO [10], SeaBird [11], and AUV from Ottawa University [12] are the underwater robots with tilting thrusters that are similar to those used in ODYSSEY IV. JAMSTEC developed an underwater robot called Otohime with two azimuth thrusters, two vertical rudders, and two horizontal rudders. JAMSTEC also designed PICASSO, which has two lateral thrusters at 120° and a vertical thruster for highly maneuverable cruising. The glider type of small AUV, the SeaBird, was equipped with two thrusters that were rotated by each servo motor. Ottawa University developed an AUV with two vectored thrusters and 5-DOF—horizontal and

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**Nomenclature**

$\eta$	Position and orientation vector in the earth-fixed frame
$v$	Linear and angular velocity in the body frame
$J$	Jacobian matrix
$M$	Inertial matrix
$C$	Coriolis matrix
$D$	Damping matrix
$K_p$	Proportional gain matrix
$K_i$	Integral gain matrix
$K_d$	Differential gain matrix
$g(\eta)$	Gravitational force and buoyant force
$\tau$	Thrust vector
$f_i$	Force of $i$ th thrust
$\theta_i$	Tilting angle of $i$ th thrust
$\Omega$	Input RPM of thruster's propeller
$q$	Control input
$z$	Additional command
$e$	Position and orientation error
$\Delta t$	Control period
$H(\theta)$	Function for tilting angle limitation
$k_H$	Gain for $H(\theta)$
$k_h$	Gain for null-space projection



**Fig. 1.** Underwater robot with redundant tilting thrusters: AURORA.

vertical movements, and rotations around the x-, y-, and z-axes. Moreover, the spherical underwater robot (SUR III) from Kagawa University has four thrusters that rotate on two axes and implement 4-DOF movements [13]. Most of these robots cannot implement a 6-DOF hovering motion. While some of them have performed field tests, most were only inspected in water without performing tasks.

A tilt-thrusting underwater robot (TTURT) [14] is designed to allow 6-DOF motion control for various underwater tasks such as turning the valves of underwater structures [15] and capturing starfish [16] using a manipulator. The most important feature of TTURT is that it has a tilting mechanism with constraints. Two tilting thrusters make one set, and the front and rear of the robot body have one set each. Each set of thrusters is simultaneously tilted by a motor. However, this tilting mechanism makes the thrust vector nonlinear, and simple linear control cannot be easily applied. Furthermore, constraints are caused by a set of thrusters that are simultaneously forced to rotate at the same angle. Another limitation is the speed saturation of the actuators, which cannot keep up with the control input from the controller. Owing to these constraints, the mechanism has structural problems that

prevent the robot from maintaining a 6-DOF motion. Jin [17] and Bak [18] have simulated 6-DOF hovering motion control, but it could not be applied because they had not considered practicality.

TTURT is controlled by a switching PD control, which was designed to select one of the two subsystems based on horizontal and vertical modes. Each 3-DOF mode was controlled separately when all four tilting angles were  $0^\circ$  or  $90^\circ$ . Because of this, the robot not only has an unstable hovering motion but also the potential to diverge when there is a large disturbance, such as ocean currents. A switching PD-SMC has been applied, but it also uses only two discrete tilting angles ( $0^\circ$  and  $90^\circ$ ), and not continuous tilting. Consequently, the robot still has trouble with vibrations in the steady state [14,19].

We propose an underwater robot with tilting thrusters for redundant actuation (AURORA), as shown in Fig. 1. For achieving effective hovering control, AURORA was upgraded to a new version of TTURT, and the tilting mechanism in the robot box was redesigned. The robot platform has four tilting thrusters in vertex positions based on a rectangular-shaped mainframe. The thrusters can be tilted to achieve a 6-DOF motion depending on their configuration. The robot also has a docking mechanism designed on the platform-module concept for various underwater tasks, and various modules can be attached to module the attachment point of the robot.

For a more stable 6-DOF hovering motion, the robot needs a suitable control method for the proposed tilting mechanism. Therefore, a new controller adapted to AURORA was designed by reflecting the model of the actual tilting thruster and finding a practical solution using the redundancy. The nonlinear force input term is divided into thrust forces and thrust angles by decomposition in the horizontal and vertical directions. An algorithm, which is determined by a pseudo-inverse and null-space projected gradient method, is added to this controller, and the solution is found by minimizing the thrust force and avoiding the bound of the tilting angles. The final control input is derived from a compensator based on an empirical model of the tilting mechanism.

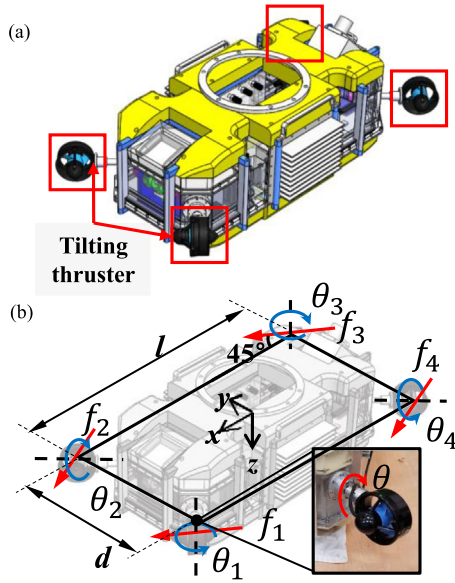
The main contributions of this paper are as follows.

- (1) Designing a redundant tilting mechanism without constraints to solve the structural problem,
- (2) Developing a PID-based control design using the decomposition and compensation method, which is appropriate to this tilting mechanism,
- (3) Verifying the validity of this controller for 6-DOF hovering motion of the robot by simulation and experimentation.

The remainder of this paper is organized as follows. In Section 2, the redundant tilting mechanism of AURORA is introduced. We analyzed the structural problems of the tilting mechanism and conducted experiments to obtain an empirical model for it. Section 3 describes the design of the proposed control, along with a stability analysis. The simulation and experimental results are presented in Sections 4 and 5. These results verify the hovering ability of the robot and confirm that its performance is improved compared to other controls. Finally, conclusions are summarized in Section 6.

## 2. Redundant tilting mechanism

AURORA has a redundant tilting mechanism, i.e., four tilting thrusters that can be rotated independently in the vertex positions based on a rectangular-shaped mainframe, as shown in Fig. 2(a). The thrust vector for generating the force is presented in Eq. (1), and the thrust force and moment vector  $\tau$  are determined from the position and direction of the thrusters, as shown



**Fig. 2.** (a) Redundant tilting mechanism with four tilting thrusters. (b) Configuration of thrust vector.

**Table 1**

Circularity of force and moment area.

	$F_y-F_z$	$M_y-M_z$	$F_x-F_z$	$M_x-M_z$
Previous tilting mechanism	0.41	0.42	0.10	0.09
Redundant tilting mechanism	0.93	0.97	0.24	0.11

in Fig. 2(b)

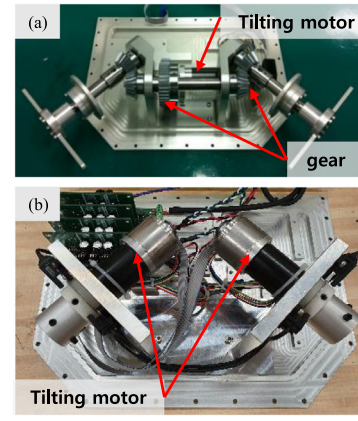
$$\tau = \begin{bmatrix} \frac{1}{\sqrt{2}} (f_1 \cos \theta_1 + f_2 \cos \theta_2 + f_3 \cos \theta_3 + f_4 \cos \theta_4) \\ \frac{1}{\sqrt{2}} (f_1 \cos \theta_1 - f_2 \cos \theta_2 + f_3 \cos \theta_3 - f_4 \cos \theta_4) \\ -f_1 \sin \theta_1 - f_2 \sin \theta_2 - f_3 \sin \theta_3 - f_4 \sin \theta_4 \\ \frac{d}{2} (f_1 \sin \theta_1 - f_2 \sin \theta_2 - f_3 \sin \theta_3 + f_4 \sin \theta_4) \\ \frac{l}{2} (f_1 \sin \theta_1 + f_2 \sin \theta_2 - f_3 \sin \theta_3 - f_4 \sin \theta_4) \\ \frac{l+d}{2\sqrt{2}} (f_1 \cos \theta_1 - f_2 \cos \theta_2 - f_3 \cos \theta_3 + f_4 \cos \theta_4) \end{bmatrix} \quad (1)$$

where  $f_1, f_2, f_3$ , and  $f_4$  are the thrust forces generated by each thruster,  $\theta_1$  and  $\theta_2$  are the left and right front tilting angles,  $\theta_3$  and  $\theta_4$  are the right and left rear tilting angles, and  $l$  and  $d$  are the length and width of the robot platform, respectively.

### 2.1. Analysis of the system structure

A previous study proposed TTURT, and the tilting mechanism inside this robot is shown in Fig. 3(a). The robot's thrusters are connected to the servo motor for rotation, and two thrusters are connected to one tilting motor by a gear. Therefore, the two front thrusters rotate simultaneously, and the two rear thrusters are also driven. Owing to these constraints, the mechanism has structural problems. In this structure, it is difficult for the robot to maintain a 6-DOF motion [17].

However, the newly designed redundant tilting mechanism shown in Fig. 3(b) has a total of eight actuators, and each thruster is connected to one tilting motor. Therefore, each tilting thruster is independently rotatable and has none of the previous constraints.



**Fig. 3.** (a) Previous tilting mechanism with constraints. (b) Redundant tilting mechanism.

The forces and moments that can be derived from the tilting mechanism consisting of the four tilting thrusters, are the main elements of the robot converging to the desired position and posture. These were analyzed assuming that the thrust force of each thruster was  $-5$  N to  $5$  N, and the tilting angle was  $-90^\circ$  to  $90^\circ$ . In Fig. 4, forces and moments from the previous tilting mechanism with constraints (i.e., six actuators) are represented in sky blue, whereas forces and moments from the mechanism without constraints are described in blue. An unconstrained mechanism is assumed to have eight actuators. It can be seen that the force and moment area that can be produced by the six actuators are much smaller than those produced by the eight actuators.

The definition of circularity defined by Cox is given as follows [20].

$$\text{Circularity} = \frac{4\pi A}{p^2} \quad (2)$$

where  $A$  is the area and  $p$  is the perimeter. The circularity ranges from 0 to 1, and the closer it is to 1, the more circular it is. The analysis results are described in a two-dimensional plane for easier viewing. The circularity in this area was calculated and is presented in Table 1. The areas of the  $F_x-F_y$  and  $M_x-M_y$  planes are determined by the shape to which the thrusters are attached. Therefore, they were ignored because their areas were not circular. In all cases, the blue area is wider and more evenly distributed than that in sky blue, meaning that the mechanism without constraints can generate more uniform forces and moments in all directions. This means that the movement of the robot with a mechanism without constraints can be controlled more stably. In particular, the force area in the  $F_y-F_z$  plane and the moment area in the  $M_y-M_z$  direction are small. Therefore, it is challenging to produce the force and moment in that direction. It turns out that no-solution areas exist mathematically, and they are unstable as mechanical structures.

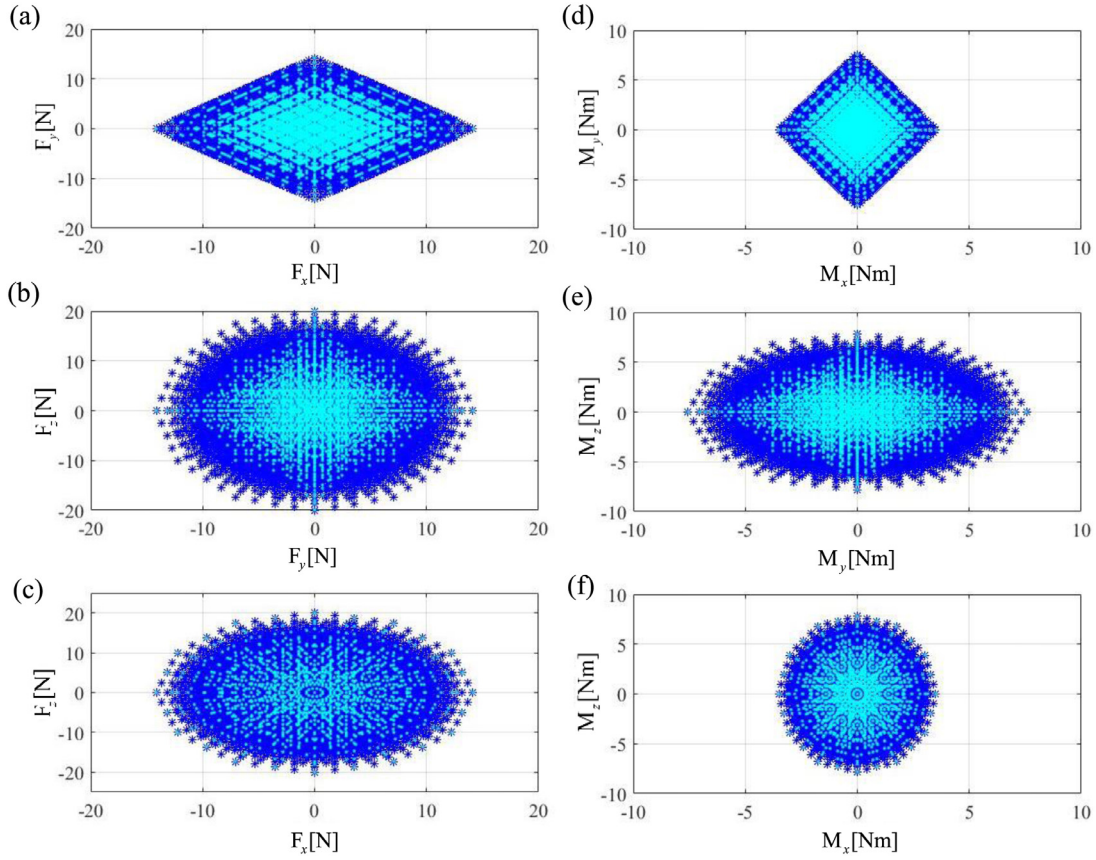
### 2.2. Modeling of tilting mechanism

A model of a tilting mechanism consisting of thrusters and tilting motors was obtained through experiments in water. The well-known thrust theory is as follows [21].

$$F = \rho D^4 K |\Omega| \Omega \quad (3)$$

where  $F$  is the thrust force,  $\rho$  is the water density,  $D$  is the propeller diameter,  $K$  is a coefficient, and  $\Omega$  is the propeller shaft speed. Coefficient  $K$  is not constant; rather, it is a function of the propeller shaft velocity, internal flow velocity, and diameter of





**Fig. 4.** Analysis of force and moment from tilting thrusters in 2-D dimension. Constrained mechanism data is denoted as sky blue, and unconstrained mechanism is presented a blue; force area in (a)  $F_x$ - $F_y$  plane; (b)  $F_y$ - $F_z$  plane; (c)  $F_x$ - $F_z$  plane; moment area in (d)  $M_x$ - $M_y$  plane; (e)  $M_y$ - $M_z$  plane; (f)  $M_x$ - $M_z$  plane. . (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

the propeller. This coefficient is obtained experimentally in many cases owing to the complexity of the function.

Thrust force is a function of the propeller shaft speed, as shown in Eq. (3). However, it is difficult to calculate the thrust force because many thrusters do not have encoders, and the non-contact tachometer is not accurate when the object operates in a water tank [22]. Therefore, the relationship between the thrust force and input revolutions per minute (RPM) was empirically modeled. The empirical thrust force model for the input RPM in the steady state was obtained by measuring the reaction force using the force sensor. The relationship between the thrust force and input RPM is described as a quadratic equation with a dead zone, as shown in Fig. 5(a). The empirical expression for the thrust force in the steady state is similar to the general formula and is derived as follows.

$$F_{ss} = 3.96 \times 10^{-6} \Omega^2 \text{ for } \Omega > 300 \text{ and} \\ = 3.30 \times 10^{-6} \Omega^2 \text{ for } \Omega < -300, \quad (4)$$

where  $F_{ss}$  is the thrust force in the steady state, and  $\Omega$  is the input RPM. The dead zone of the thruster was  $\pm 300$  rpm.

An input RPM generates a thrust force with a delay because of the electromechanical dynamics of the motor, and the hydrodynamics of fluid propagation. The thrust force in the transient state was also measured, and this equation for the actual thruster model was obtained.

$$\Omega_{n+1} = \Omega_n \text{ for } \Omega_n = \Omega_d \\ = \Omega_n + 5900t_s \text{ for } \Omega_n < \Omega_d \\ = \Omega_n - 5900t_s \text{ for } \Omega_n > \Omega_d \quad (5)$$

where  $\Omega_d$  is the original desired input RPM from the controller,  $\Omega_n$  is the  $n$ th of the RPM of the thruster,  $\Omega_{n+1}$  is the next step of the thruster RPM, and  $t_s$  is the time. Fig. 5(b) shows the experimental results of the step response for the empirical model and the simulation plot of the obtained model.

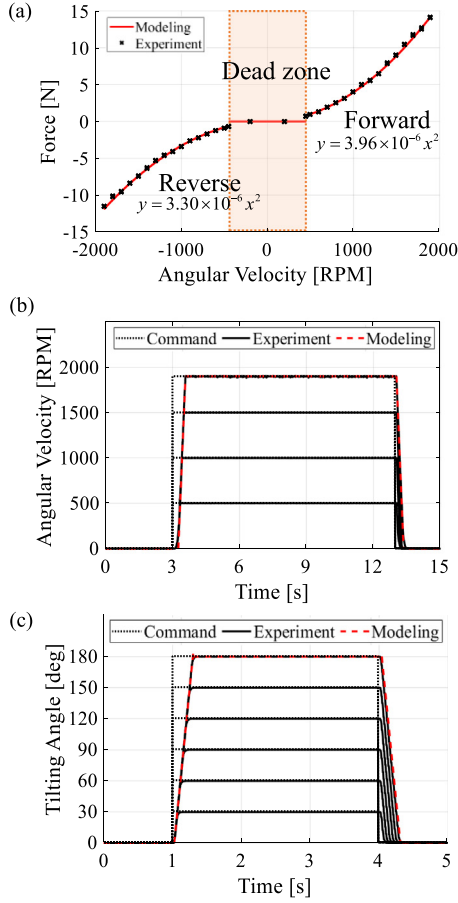
There is also a delay in driving the tilting motor because it must have an acceleration and deceleration time. Similarly, the tilting angles in the transient state were measured, and an equation for the actual tilting motor model was obtained.

$$\theta_{n+1} = \theta_n \text{ for } \theta_n = \theta_d \\ = \theta_n + 670t_s \text{ for } \theta_n < \theta_d \\ = \theta_n - 670t_s \text{ for } \theta_n > \theta_d \quad (6)$$

where  $\theta_d$  is the original desired input tilting angle from the controller,  $\theta_n$  is the  $n$ th of the angles of the tilting motor,  $\theta_{n+1}$  is the next step of the tilting angle, and  $t_s$  is the time. The experimental results are shown in Fig. 5(c), and they represent the empirical model of the actual tilting motor.

### 3. Control design

Proportional integral and derivative control (PID control) is the most commonly used control technique. The controller is simple in structure, relatively easy to adjust parameters, and has excellent control performance. In actual industrial sites, reliable PID controllers are still widely used despite the rapid advancement of modern control theory [23,24].



**Fig. 5.** (a) Thruster force versus input RPM in the steady state. (b) Step response of the thruster RPM in the transient state. (c) Step response of the tilting motor, experimental results and empirical model of the thruster and tilting motor.

AURORA also uses a PID-type controller. However, it is challenging to control the robot because of the nonlinearity of the thrust vector and the limitations of the tilting thruster when used practically. Therefore, the PID-DC controller is designed to offer robust control of the 6-DOF motion, as shown in Fig. 6. The forces and moments derived from it are properly adjusted by a vector decomposition method and a compensator based on the tilting mechanism model.

First, the thrust vector to achieve the desired position and orientation can be obtained through a simple PID control. This derived thrust is converted to thruster forces and tilting angles using the vector decomposition technique. In the next step, an algorithm to find a more practical solution using a null-space is applied to the controller. Finally, a compensator reflecting the actual tilting thruster model is added to solve the saturation of the actuator speed.

### 3.1. Decomposition of the thrust vector

The tilting mechanism has a nonlinear model because of the tilting angle. To overcome the nonlinearity of the thrust vector, the thrust vector,  $\tau$ , is decomposed into horizontal and vertical components as follows [18].

$$\tau = \mathbf{A}q \quad (7)$$

where

$$\mathbf{A} = \begin{bmatrix} 0 & 0 & 0 & 0 & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ 0 & 0 & 0 & 0 & \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \\ -1 & -1 & -1 & -1 & 0 & 0 & 0 & 0 \\ \frac{d}{2} & -\frac{d}{2} & -\frac{d}{2} & \frac{d}{2} & 0 & 0 & 0 & 0 \\ \frac{l}{2} & \frac{l}{2} & -\frac{l}{2} & -\frac{l}{2} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{l+d}{2\sqrt{2}} & -\frac{l+d}{2\sqrt{2}} & -\frac{l+d}{2\sqrt{2}} & \frac{l+d}{2\sqrt{2}} \end{bmatrix},$$

$$q = \begin{bmatrix} f_1 \sin \theta_1 \\ f_2 \sin \theta_2 \\ f_3 \sin \theta_3 \\ f_4 \sin \theta_4 \\ f_1 \cos \theta_1 \\ f_2 \cos \theta_2 \\ f_3 \cos \theta_3 \\ f_4 \cos \theta_4 \end{bmatrix}.$$

The complex thrust vector is decomposed into a constant matrix  $\mathbf{A} \in \mathbb{R}^{6 \times 8}$  and an input column vector  $q \in \mathbb{R}^8$ . Solving for  $q$ , we get

$$q = \mathbf{A}^+ \tau \quad (8)$$

where the superscript  $+$  is the Moore–Penrose pseudo-inverse. The thrust forces,  $f_1, f_2, f_3$ , and  $f_4$ , and tilting angles,  $\theta_1, \theta_2, \theta_3$ , and  $\theta_4$  are obtained as follows.

$$\begin{aligned} f_1 &= \text{sign}(q_5) \sqrt{q_1^2 + q_5^2}, \\ f_2 &= \text{sign}(q_6) \sqrt{q_2^2 + q_6^2}, \\ f_3 &= \text{sign}(q_7) \sqrt{q_3^2 + q_7^2}, \\ f_4 &= \text{sign}(q_8) \sqrt{q_4^2 + q_8^2}, \\ \theta_1 &= \arctan \frac{q_1}{q_5}, \theta_2 = \arctan \frac{q_2}{q_6}, \\ \theta_3 &= \arctan \frac{q_3}{q_7}, \theta_4 = \arctan \frac{q_4}{q_8}, \end{aligned} \quad (9)$$

where  $q_i$  is the  $i$ th component of input  $q$ .

### 3.2. Practical solution using the redundancy

#### 3.2.1. Method for minimization of thrust force

A control algorithm suitable for the tilting mechanism was developed using the redundancy. Thus, we can use  $\mathbf{N}$ , the null-space of vectors  $\mathbf{A}$ , considering that the size of matrix  $\mathbf{A}$  is  $6 \times 8$ .  $q$  is derived as follows.

$$q = \mathbf{A}^+ \tau + \mathbf{N}z \quad (11)$$

where  $\mathbf{A}^+ = \mathbf{A}^T(\mathbf{A}\mathbf{A}^T)^{-1}$ ,  $\mathbf{N} = (\mathbf{I}_8 - \mathbf{A}^+\mathbf{A})$ , and  $\mathbf{I}_N$  is the identity matrix of dimension  $N$ , and the vector  $z \in \mathbb{R}^8$  in Eq. (11) is an additional free quantity projected onto the null-space of  $\mathbf{A}$ , whose use is detailed in Section 3.2.2. The vector  $q$  that minimizes the norm of  $\tau$  is derived by the pseudo-inverse of  $\mathbf{A}$ , and consequently, the thrust force is minimized.

#### 3.2.2. Method for avoiding bound of tilting angles

As a next step, we discuss how to exploit the additional command  $z$  in Eq. (11).  $z$  is projected into the null-space of  $\mathbf{A}$ .

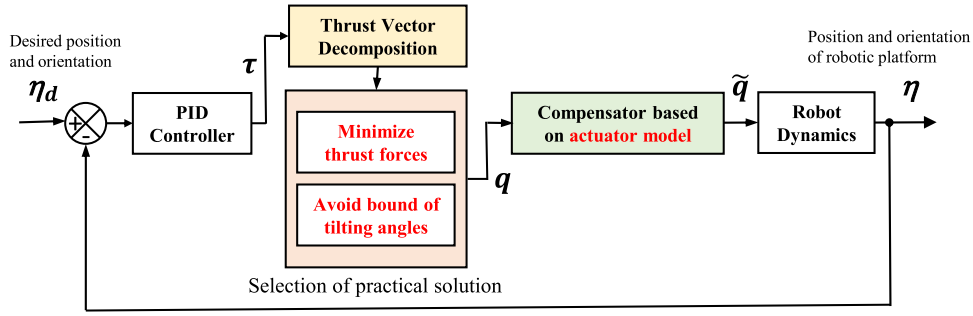


Fig. 6. Diagram of PID controller with decomposition and compensator based on the actuator model (PID-DC controller).

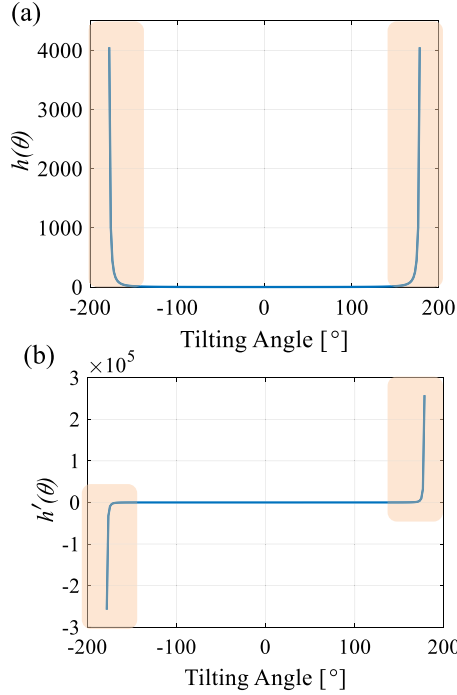


Fig. 7. (a) The function  $h(\theta)$  model to avoid the bound of tilting angles. (b)  $h'(\theta)$  is the derivative function of  $h(\theta)$ .  $\theta_b$  is  $180^\circ$  and  $\gamma$  is 0.5.

Thus, it does not produce any action that would interfere with the targeted thrust force. This means that  $z$  can be exploited to fulfill additional tasks without interfering with the original purpose. The result of the previously mentioned calculation is likely to release the tilting angles randomly without considering the actual rotation of the thruster. For example, it is possible to derive a solution in which the tilting angle changes from  $-180^\circ$  to  $+180^\circ$  repeatedly. If the tilting angle around the boundary is derived alternately, it has a negative effect on the hovering performance of the robot. To find  $q$  that reduces the rapid change in the tilting angle while maintaining a minimized thrust force, we propose a new model as follows [25,26].

$$H(\theta) = \sum_{i=1}^4 h(\theta_i) \quad (12)$$

$h(\theta_i)$  is as follows.

$$h(\theta_i) = \tan 2(\gamma \theta_i) \quad (13)$$

where  $|\theta_i| < \theta_b$  and  $\theta_b$  are the bounds of the tilting angle, and the  $h$  function and its partial derivative function are shown in Fig. 7. With  $\theta$  respect to the bounds, its slope increases rapidly.

The minimization of  $H(\theta)$  is then obtained by setting  $z$  in Eq. (14).

$$z = -k_H \begin{bmatrix} \nabla_\theta H(\theta) \\ \mathbf{0} \end{bmatrix} \quad (14)$$

where  $k_H$  is the gain for the new model, and the gradient term of  $H(\theta)$  and zero vector are  $4 \times 1$ , respectively.

By applying this function, the tilting angle near the boundary value of the tilting angle was reduced.

### 3.3. PID controller with a compensator based on the actuator model

This study designed a controller based on PID control. The decomposed control input  $q$  with the null-space solution for the tilting angle limit from is derived as follows.

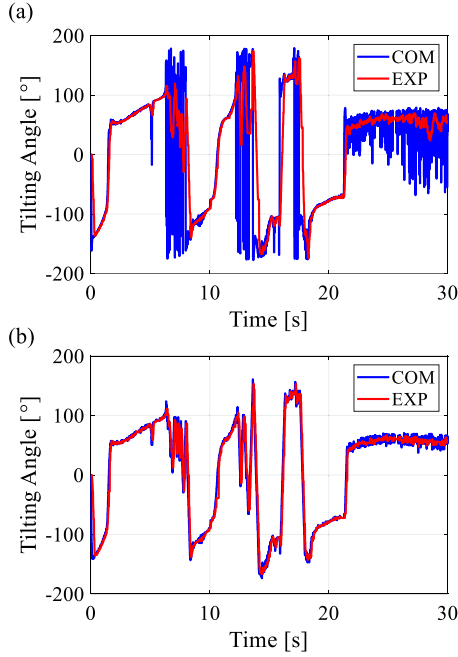
$$q = \mathbf{A}^+ \left[ \mathbf{J}^T(\eta) \left( \mathbf{K}_p e + \mathbf{K}_i \int_0^t e(\tau) d\tau - \mathbf{K}_d \dot{\eta} \right) + g(\eta) \right] - k_h \mathbf{N} \begin{bmatrix} \nabla_\theta H(\theta) \\ \mathbf{0} \end{bmatrix} \quad (15)$$

where  $\eta$  is the position and orientation vector in the earth-fixed frame, and  $g(\eta)$  is the gravitational force and buoyant force, and  $\mathbf{K}_p$ ,  $\mathbf{K}_i$ , and  $\mathbf{K}_d$  are the diagonal matrices of proportional, integral, and differential gains, respectively.  $\mathbf{K}_p = \text{diag}(44, 150, 38, 5, 56, 12)$ ,  $\mathbf{K}_i = \text{diag}(0.37, 0.72, 0.42, 0.11, 0.18, 0.14)$ , and  $\mathbf{K}_d = \text{diag}(89, 200, 101, 6, 37, 12)$  are set as the optimal gains,  $\mathbf{J}$  is the Jacobian matrix, and  $k_h$  is the gain for the null-space projection. The obtained  $q$  is converted into tilting angles ( $\theta_1, \theta_2, \theta_3, \theta_4$ ) and thrust forces ( $f_1, f_2, f_3, f_4$ ) using Eqs. (9) and (10). Thrust forces are converted once more to the angular velocities ( $\Omega_1, \Omega_2, \Omega_3, \Omega_4$ ) of the thruster screw using Eq. (4).

Saturation in actuators is a challenging issue in controller design [27]. Most actuators need to accelerate and decelerate owing to the required torque, motor size, and wear of the rotary seal. Thus, they cannot be driven at infinitely high speeds. In previous studies, the robot was unable to maintain stability in the experiments because of the saturation of the tilting thrusters [17]. The control input can oscillate significantly, but the actual actuator speed of the tilting mechanism could not follow the change. Considering this problem, we designed a compensator that applied the actual model obtained by experiments in water. The compensator considering the models of Eqs. (5) and (6) limits the speed of the control input by avoiding saturation in actuation.

$$\dot{q} = (\tilde{q}_{\text{prev}} - q) / \Delta t, \quad (16)$$

$$\dot{q} = \begin{cases} \dot{q}_b & (\dot{q} > \dot{q}_b) \\ \dot{q} & (-\dot{q}_b \leq \dot{q} \leq \dot{q}_b) \\ -\dot{q}_b & (\dot{q} < -\dot{q}_b) \end{cases}, \quad (17)$$



**Fig. 8.** [Experiment] Tilting angle of a rotating thruster, blue line is the input from controller (a) without compensator, (b) with compensator. The blue line is command (COM) and the red line represents experimental results (EXP). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

$$\tilde{q} = \tilde{q}_{prev} + \dot{\tilde{q}} \Delta t. \quad (18)$$

$q$  is the control input generated by the controller. Both tilting angles and thruster RPM can be substituted for  $q$ .  $\tilde{q}_{prev}$  is the control input actually entered into the robot in the previous control step,  $\Delta t$  is the control period, and  $\dot{\tilde{q}}$  is the limit of the speed of actuation. In this study, the control period is 10 ms, the limit of tilting speed of the tilting motor, and angular acceleration of the thruster propeller are  $100^\circ/\text{s}$  and  $5800 \text{ rpm/s}$ , respectively. The speed of each actuator is limited to be derived only within the range of speeds that can actually be followed.

### 3.4. Stability analysis

In this study, a controller based on PID control is designed. PID control is widely used, and the stability analysis of a typical PID controller has been proved by

The error dynamics of the 6-DOF motion for the desired position and orientation  $\eta_d$  are derived as follows.

$$\mathbf{M}_\eta(\eta)\ddot{e} + \mathbf{C}_\eta(v, \eta)\dot{e} + \mathbf{D}_\eta(v, \eta)\dot{e} = \mathbf{J}^{-T}(\eta)\tau, \quad (19)$$

where  $e = \eta_d - \eta$ ,  $\dot{e} = -\dot{\eta}$ ,  $\ddot{e} = -\ddot{\eta}$ .  $\mathbf{M}$  is the inertial matrix including the added mass,  $\mathbf{C}$  is the matrix of the Coriolis, centripetal, and velocity-dependent terms due to added mass,  $\mathbf{D}$  is the damping matrix, which includes drag force terms, and the subscript  $\eta$  indicates the earth-fixed frame.  $v$  is the linear and angular velocity in the body frame. A more detailed description is provided in Appendix A.

Substituting Eqs. (7) and (15) into Eq. (19), the stability condition can be derived as follow:

$$\mathbf{K}_d > \mathbf{M}_\eta, \mathbf{K}_i > 0, \mathbf{K}_p > \mathbf{K}_d + \frac{2}{\alpha} \mathbf{K}_i \quad (20)$$

where  $\alpha$  is a small positive constant chosen so small that:

$$\frac{1}{2}(1 - \alpha)\mathbf{K}_d - \alpha\mathbf{M}_\eta + \frac{\alpha}{2} \sum_{i=1}^6 (\eta_i - \eta_{id}) \frac{\partial \mathbf{M}_\eta}{\partial \eta_i} > 0. \quad (21)$$

Considering the null-space projection, the inequality about the additional command  $z$  in Eq. (22) ensures stability conditionally [28,29].

$$-z^T z \leq 0 \quad (22)$$

Eq. (7) is valid regardless of the null-space projection in the control input  $q$ . The asymptotical stability of the system is still preserved but the system reaches a different equilibrium state.

In general, the derived controller with a compensator given by Eqs. (16)–(18) is finite gain stable. The stability analysis of a typical feedback controller such as a PID with a compensator can be proved by Kapasouris [30–32]. Every stable system with bounded inputs is BIBO stable because the outputs are always bounded. The system is finite gain stable because in addition to being BIBO stable, there exists a class of “small” inputs for which the system remains linear. Therefore, the controller that is applied to the robot, i.e., the PID-DC controller, is stable, and this system remains finite gain stable in the presence of any disturbance.

## 4. Simulation

### 4.1. Verification of the compensator based on the actuator model

Experiments were conducted to demonstrate the effectiveness of the compensator based on an actual model. Fig. 8(a) shows the operation of the tilting thruster without the compensator, and it can be seen that the actual thruster cannot follow the high rotational speed of the tilting thruster from the controller. In the experiment with the compensator, on the other hand, a smooth control input is obtained, and the thruster chases it well. As a result, this verifies the validity of the empirical thruster model and the performance of the compensator applying it.

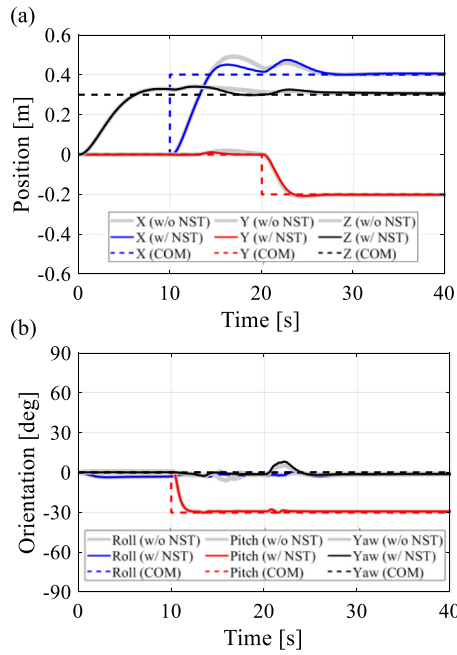
### 4.2. Effect of null-space projection term

As mentioned before, a compensator that added an algorithm to avoid the bound of tilting angles was designed. The simulation results showed the difference between applying the null-space projection term (NST) as in Eq. (11) and applying only Eq. (8). The robot moves 0.4 m in the  $x$ -direction and  $-30^\circ$  in the pitch direction in 10 s, and then  $-0.2$  m in the  $y$  direction in 20 s. In this case, Fig. 9 shows that the result of applying the null-space term follows the command marginally better than when the null-space term is not applied. The tilting angle is also shown during the movement of the robot in Fig. 10. The tendency for tilting angles of plus or minus  $180^\circ$  to be derived alternately has been reduced in the red dotted line with NST, and it can be seen that the rapid vibration of the tilting angle is reduced. This simulation verified the effect that the  $h$  function helps to reliably control the robot, considering the actual constraints of the tilting thrust. Therefore, these results imply that the hovering performance of the PID-DC controller with NST will be improved in the experiments.

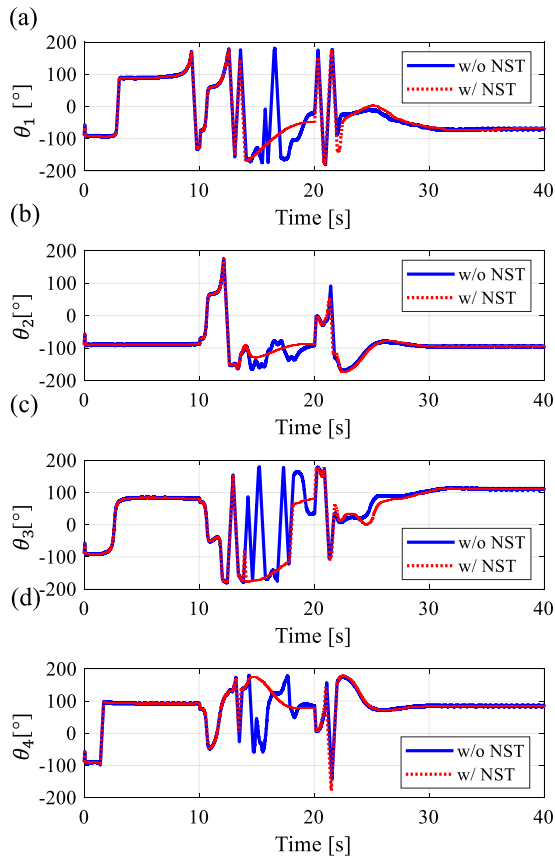
## 5. Experiment

### 5.1. Hovering motion control

The robot maintains the hovering motion at a starting point where it is 0 m for the  $x$  position, 0 m for the  $y$  position, and 0.4 m for the  $z$  position, and moves to the specified posture to return to its original state. The robot keeps the pitch angle at

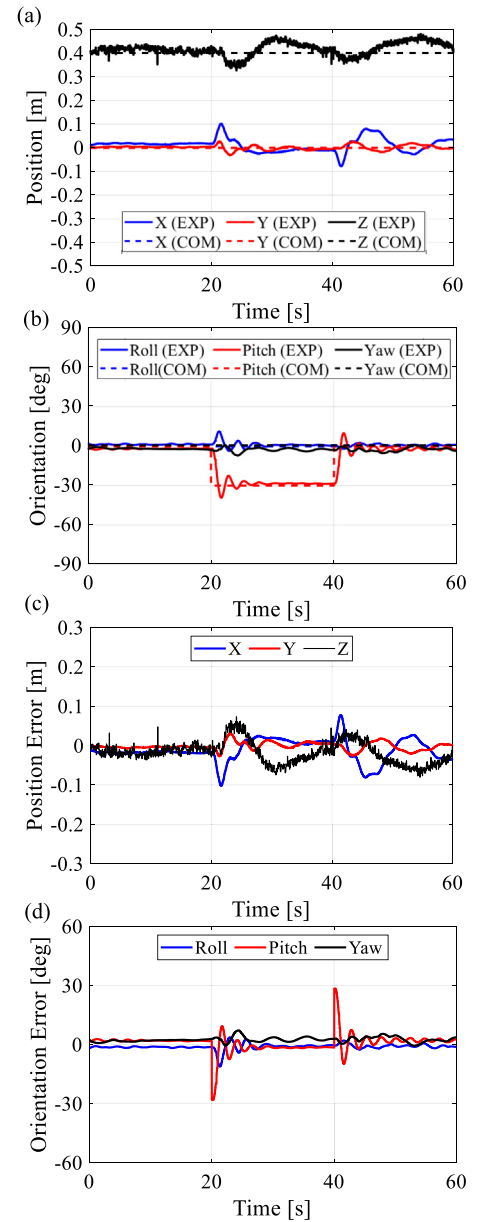


**Fig. 9.** [Simulation] Hovering control with the null-space projection term (NST); (a) position; (b) orientation. The solid line shows hovering control from the PID-DC with NST compared to the gray thick line, which is the data without NST.



**Fig. 10.** [Simulation] Tilting angles of thrusters with and without exploiting the null-space term. (a) front left, (b) front right, (c) rear right, (d) rear left.

−30° for 20 s from the start, and then returns to its original position. This posture is selected and tested because it is required to perform various underwater tasks. The results of the position



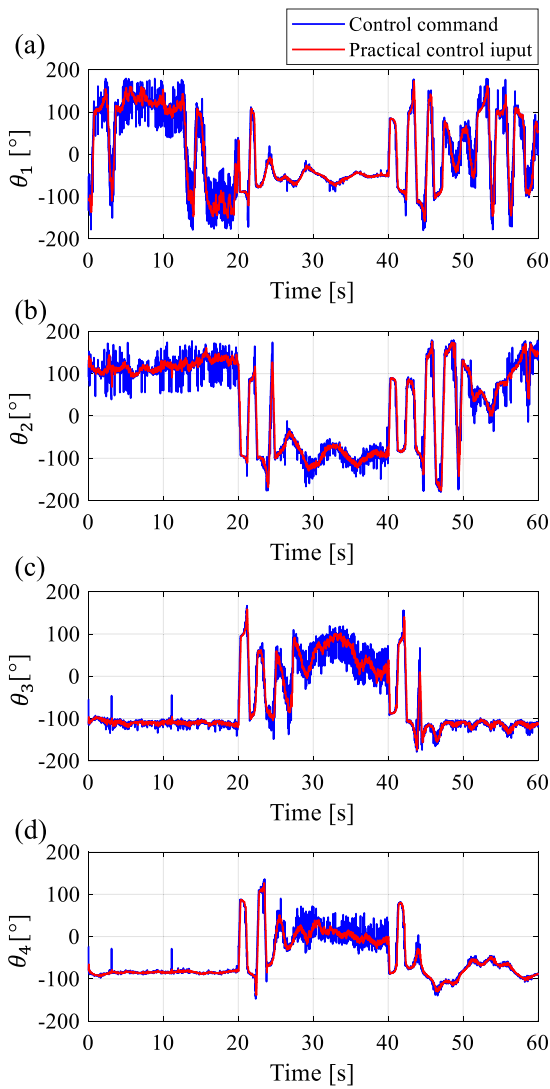
**Fig. 11.** [Experiment] Hovering with PID-DC controller, (a) position, (b) orientation, (c) position error, (d) orientation error.

and orientation in this experiment are shown in Fig. 11(a) and (b), respectively. The experimental results follow the command relatively well, including a slight overshoot. In the experimental results, the average position root mean square (RMS) error was 0.025 m, the maximum position error was 0.097 m, the average orientation RMS error was 1.2°, and the maximum orientation error was 5.1° during the hovering motion. Figs. 12 and 13 show the tilting angles and thrust forces of each tilting thruster during the hovering experiment, and the tilting propeller follows the compensated control input.

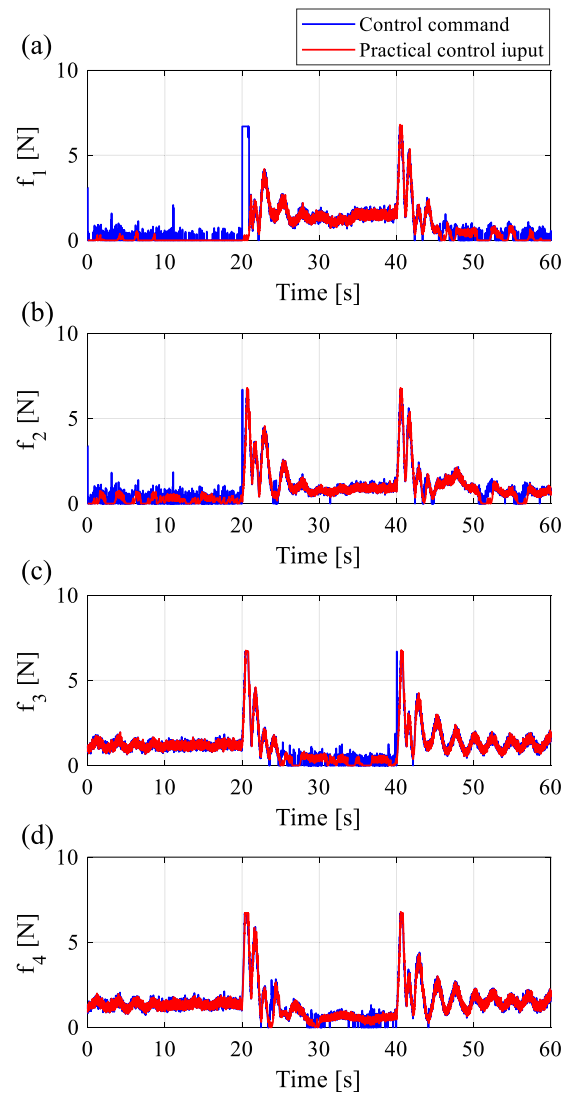
## 5.2. Hovering motion control

In Fig. 14, the error in the steady state was calculated to compare the stability of the hovering motion of the robot. The error is dimensionless under the assumption that the position errors of 0.01 m and 10° have the same size. Marker I represents





**Fig. 12.** [Experiment] Tilting angles of tilting thrusters during the hovering motion test.



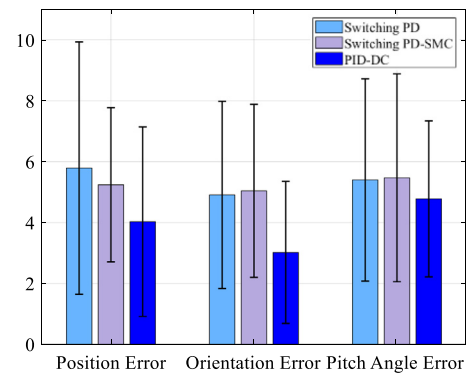
**Fig. 13.** [Experiment] Thrust forces of tilting thrusters during hovering motion testing.

the deviation of the errors based on the RMS error. It can be seen that the position error, orientation error, and pitch direction error of PID-DC are smaller compared with switching control. These results indicate that the overall performance of the hovering motion, as well as the pitch direction, were improved.

### 5.3. Hovering motion control with disturbance

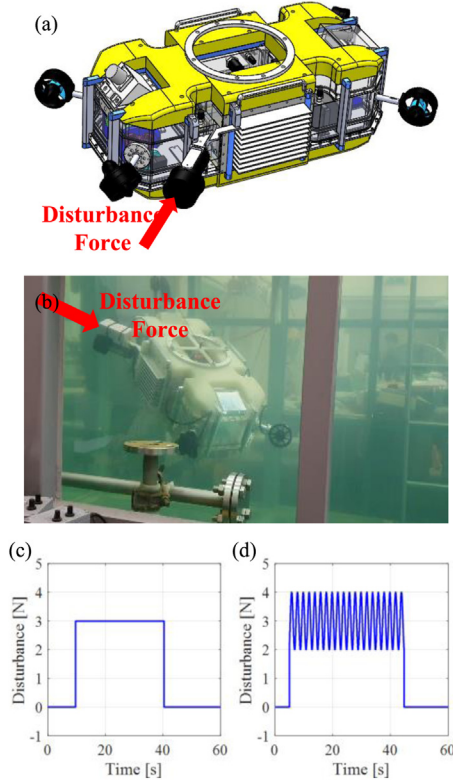
A disturbance generator was created using an additional thruster for the disturbance experiment, and the design and manufactured disturbance generator are shown in Fig. 15(a) and (b). The size of the disturbance is determined based on the annual speed of the Cheju Warm Current in Korea from the Kuroshio Current [33,34]. Two environments with disturbances were created in the water tank. In Fig. 15(c), the first case of  $s$  is described, which produces a constant force of 3 N for 30 s. The second is a sinusoidal force of approximately 40 s, as shown in Fig. 15(d).

First, the hovering motion test, which maintains a posture of  $-30^\circ$  in the pitch direction, was conducted in the first disturbance situation mentioned above. The uncontrolled robot in the water is pushed while a force of 3 N continues to be applied in



**Fig. 14.** Comparison of RMS errors with pitch input as  $-30^\circ$  in the steady state.

one direction. However, the robot controlled by PID-DC does not move while maintaining the desired posture at a specific position despite external forces. The position and orientation of the robot are shown in Fig. 16(a) and (b), respectively. The error graph in position and orientation was also plotted in Fig. 16(c) and (d). In



**Fig. 15.** Disturbance generator of the system. (a) Design of the disturbance generator. (b) Manufactured disturbance generator attached on the robot. (c) Disturbance case 1 is 3 N force for 30 s, (d) Disturbance case 2 is sinusoidal force of 1 N size and 3 N offset for 40 s.

the second case, the robot was disturbed by a sinusoidal force. Similarly, the hovering motion tilted in the pitch direction was tested, and the results are shown in Fig. 16(e)–(h). The results of both cases show that the position and orientation have limited offset and vibration due to the disturbance, but these do not exceed certain bounds. The results of both cases are shown the position and orientation have limited offset and vibration due to the disturbance, but these do not exceed certain bounds. The results of this experiment verify that the robot controlled by PID-DC can keep up 6-DOF hovering motion even when there is a certain disturbance, such as ocean currents.

## 6. Conclusions

In this paper, a redundant tilting mechanism is presented for an underwater robot with a controller using the decomposition and compensation method based on the actuation model. First, the tilting mechanism was analyzed. Based on this analysis, a redundant tilting mechanism was designed by eliminating the constraints, and the actual model of this mechanism was obtained through experimentation. Second, a new controller suitable for AURORA was proposed by reflecting the model of the actual tilting thruster and finding a practical solution using the redundancy. The nonlinear force input term of the tilting thrusters was decomposed in the horizontal and vertical directions. Based on the pseudo-inverse and null-space projected gradient methods, the solution minimizes the thrust force and avoids the sharp control input in the tilting angles. The final input comes from the compensator, which reflects the model to solve the actuator

saturation. Simulations and experiments were performed for the hovering motion of the underwater robot, and the validity of the proposed mechanism and controller was verified through these results. The hovering control performance of the robot was better than that achieved with other switching PD and PD-SMC controls. The robustness of the controller was also demonstrated through disturbance experiments.

## Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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## Appendix A

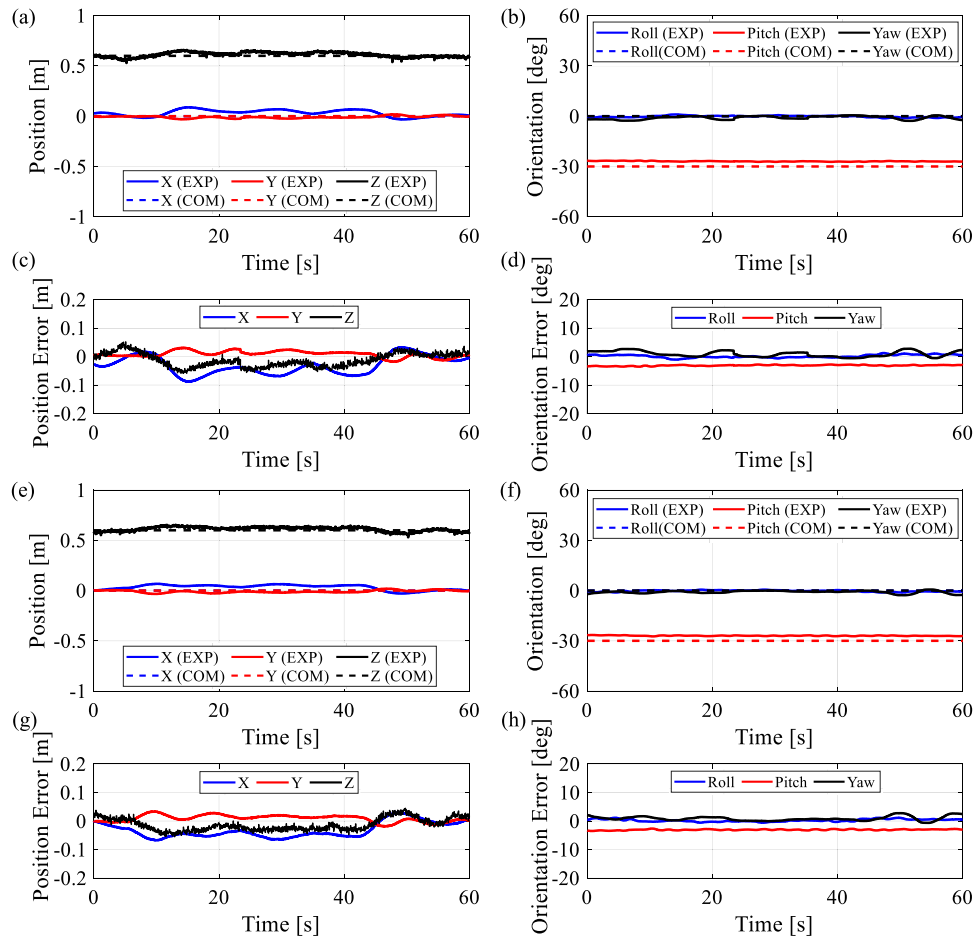
The matrices in the motion equations of the underwater robot can be derived.  $\eta = [x \ y \ z \ \phi \ \theta \ \psi]^T$  denotes the position and orientation vector in the earth-fixed frame and  $v = [u \ v \ w \ p \ q \ r]^T$  is the linear and angular velocity in the body frame [14] (Eq. (A.1) is given in Box I).

$$M = \begin{bmatrix} 96.3 & 0 & 0 & 0 & 0 & 0 \\ 0 & 133.04 & 0 & 0 & 0 & 0 \\ 0 & 0 & 168.57 & 0 & 0 & 0 \\ 0 & 0 & 0 & 4.47 & 0 & 0 \\ 0 & 0 & 0 & 0 & 9.26 & 0 \\ 0 & 0 & 0 & 0 & 0 & 8.1 \end{bmatrix}, \quad (A.2)$$

$$C = \begin{bmatrix} 0 & 0 & 0 & 0 & 168.57w & -133.04v \\ 0 & 0 & 0 & -168.57w & 0 & 96.30u \\ 0 & 0 & 0 & 133.04v & -96.30u & 0 \\ 0 & 168.57w & -133.04v & 0 & 8.10r & -9.26q \\ -168.57w & 0 & 96.30u & -8.10r & 0 & 4.47p \\ 133.04v & -96.30u & 0 & 9.26q & -4.47p & 0 \end{bmatrix}, \quad (A.3)$$

$$D = \begin{bmatrix} 34.55|u| & 0 & 0 & 0 & 0 & 0 \\ 0 & 104.40|v| & 0 & 0 & 0 & 0 \\ 0 & 0 & 146.50|w| & 0 & 0 & 0 \\ 0 & 0 & 0 & 0.68|p| & 0 & 0 \\ 0 & 0 & 0 & 0 & 5.34|q| & 0 \\ 0 & 0 & 0 & 0 & 0 & 3.07|r| \end{bmatrix}, \quad (A.4)$$

$$M_\eta(\eta) = J^{-T}(\eta)MJ^{-1}(\eta), \quad (A.5)$$



**Fig. 16.** [Experiment] Hovering motion control tilted in the pitch direction with disturbance. (a) position, (b) orientation, (c) position error, (d) orientation error (case 1), (e) position, (f) orientation, (g) position error, (h) orientation error (case 2).

$$\mathbf{J} = \begin{bmatrix} \cos \psi \cos \theta & -\sin \psi \cos \phi + \cos \psi \sin \theta \sin \phi & \sin \psi \sin \phi + \cos \psi \cos \theta \sin \theta & 0 & 0 & 0 \\ \sin \psi \cos \theta & \cos \psi \cos \phi + \sin \phi \sin \theta \sin \psi & -\cos \psi \sin \phi + \sin \theta \sin \psi \cos \theta & 0 & 0 & 0 \\ -\sin \theta & \cos \theta \sin \phi & \cos \theta \cos \phi & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & \sin \phi \tan \theta & \cos \phi \tan \theta \\ 0 & 0 & 0 & 0 & \frac{\cos \phi}{\cos \theta} & -\frac{\sin \phi}{\cos \theta} \\ 0 & 0 & 0 & 0 & \frac{\sin \phi}{\cos \theta} & \frac{\cos \phi}{\cos \theta} \end{bmatrix}, \quad (\text{A.1})$$

Box I.

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