CSCI544 HW4

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Environment requirements:

- Python 3.12.1
- Numpy
- Pandas
- torch
- tqdm

Report

Simple Bidirectional LSTM model

Implemented and trained Bi-LSTM model according to the requirements.

Validation statistics on dev data:

precision: 85.01%recall: 76.52%F1 score: 80.54%

The F1 score is reasonable compared to the reference 77%.

Using GloVe word embeddings

Used the pretrained GloVe embeddings to help train the model. Because Glove is case-insensitive, I didn't freeze the embeddings and allowed the fine-tuning because NER is case-sensitive.

Validation statistics on dev data:

precision: 90.67%recall: 90.95%F1 score: 90.81%

The F1 score is reasonable compared to the reference 88%.

Bonus: LSTM-CNN model

To add the char info into the model. I padded each char of each word to conform to the longest sequence and longest word in each batch. I only added one single cnn layer with a context window of 3 to perform convolutions on chars of each word. Then, a maxpool is utilized to squeeze the word_len dim and let each word's char embeddings could be concatenated to the end of the word embeddings in the embedding_dim dimension. The concatenated features could then be feeded into LSTM to make final predictions.

Validation statistics on dev data:

precision: 91.18%recall: 92.68%F1 score: 91.92%

By adding the char info, the performance of the model is improved on all three metrics.

```
In [1]:
```

```
import pandas as pd
import numpy as np
import torch
import torch.nn as nn
from torch.utils.data import Dataset, DataLoader
```

Data Processing

```
In [2]:
def read data(file_path, test=False):
    sents = []
    tags = []
    with open(file_path, 'r') as f:
        for line in f.readlines():
            try:
                if test:
                    id, word = line.strip().split(' ')
                    if id == '1':
                        sents.append(sent)
                        sent = []
                     sent.append(word)
                else:
                     id, word, pred = line.strip().split(' ')
                    if id == '1':
                         sents.append(sent)
                         tags.append(tag)
                         sent = []
                         tag = []
                    sent.append(word)
                    tag.append(pred)
            except Exception as e:
                if line.strip() == '':
                    continue
                if isinstance(e, UnboundLocalError):
                    sent = [word]
                     tag = [pred] if not test else []
                    continue
                raise e
    return sents, tags
```

Create Vocabulary

```
In [3]:
sents train, tags train = read data('data/train')
sents dev, tags dev = read data('data/dev')
word id = \{\}
word id['<pad>'] = 0
word_id['\langle unk \rangle'] = 1
tag \overline{id} = \{ ' < pad > ' : 0 \}
word_lookup = {0: '<pad>', 1: '<unk>'}
tag \overline{lookup} = \{0: ' < pad > '\}
sents = sents train + sents dev
tags = tags_train + tags_dev
for i in range(len(sents)):
    for j in range(len(sents[i])):
         word = sents[i][j]
         tag = tags[i][j]
         if word not in word id:
             word id[word] = len(word id)
             word lookup[word id[word]] = word
         if tag not in tag_id:
             tag id[tag] = len(tag id)
             tag lookup[tag id[tag]] = tag
```

Tokenizer

```
In [4]:
```

```
def tokenize(sent, word_id):
    tokenized_sent = []
    for word in sent:
        if word in word_id:
            tokenized_sent.append(word_id[word])
        else:
            tokenized_sent.append(word_id['<unk>'])
    return tokenized_sent
```

Collate Function

```
In [5]:

def collate_fn(batch):
    from torch.nn.utils.rnn import pad_sequence
    if isinstance(batch[0], tuple):
        # batch.sort(key=lambda x: len(x[0]), reverse=True)
        sents, tags = zip(*batch)
        lengths = [len(sent) for sent in sents]
        sents = pad_sequence(sents, batch_first=True, padding_value=word_id['<pad>']).long()
        tags = pad_sequence(tags, batch_first=True).long()
        return sents, torch.LongTensor(lengths), tags
else:
        # batch.sort(key=lambda x: len(x), reverse=True)
        sents = batch
        lengths = [len(sent) for sent in sents]
        sents = pad_sequence(sents, batch_first=True, padding_value=word_id['<pad>']).long()
        return sents, torch.LongTensor(lengths)
```

DataSet

```
In [6]:
```

```
class NERDataset (Dataset):
    def __init__ (self, sents, tags=None, test=False):
        self.sents = sents
        self.tags = tags
        self.test = test

def __len__ (self):
    return len(self.sents)

def __getitem__ (self, idx):
    if self.test:
        return torch.LongTensor(tokenize(self.sents[idx], word_id))
    else:
        return torch.LongTensor(tokenize(self.sents[idx], word_id)), torch.LongTensor([tag_id[tag] for tag in self.tags[idx]])
```

Trainer

```
In [7]:
```

```
from tqdm import tqdm
class Trainer():
    def init (self, model, dataloader, lr scheduler, optimizer, criterion, epoches=30, device=N
one, freq=10):
        self.model = model
        self.dataloader = dataloader
        self.lr_scheduler = lr_scheduler
        self.optimizer = optimizer
        self.criterion = criterion
        self.epoches = epoches
        self.device = device if device else 'cuda' if torch.cuda.is available() else 'cpu'
        self.freq = freq
    def train(self):
        self.model.to(self.device)
        self.criterion.to(self.device)
        self.model.train()
        for epoch in tqdm(range(self.epoches)):
            total loss = 0
            for sents, lengths, tags in self.dataloader:
                sents, lengths, tags = sents.to(self.device, non blocking=True), lengths, tags.to(
self.device, non blocking=True)
                self.optimizer.zero grad()
                outputs = self.model(sents, lengths)
                outputs = outputs.view(-1, outputs.shape[-1])
                tags = tags.view(-1)
                loss = self.criterion(outputs, tags)
                loss.backward()
                self.optimizer.step()
```

```
total loss += loss.item()
            self.lr scheduler.step()
            if epoch % self.freq == 0:
                print(f'Epoch {epoch+1}/{self.epoches}, Loss: {total loss/len(self.dataloader)}')
    def val(self, dataloader, name):
        self.model.to(self.device)
        self.model.eval()
        with open(name, 'w') as f:
            with torch.no grad():
                for sents, lengths, tags in dataloader:
                    sents, lengths, tags = sents.to(self.device, non blocking=True), lengths, tags
.to(self.device, non blocking=True)
                    outputs = self.model(sents, lengths)
                    _, predicted = torch.max(outputs, 2)
                    sents = sents.cpu().numpy()
                    predicted = predicted.cpu().numpy()
                    tags = tags.cpu().numpy()
                    lengths = lengths.cpu().numpy()
                    for i in range(len(sents)):
                        for j in range(lengths[i]):
                            f.write(f'{j+1} {word lookup[sents[i][j]]} {tag lookup[tags[i][j]]} {t
ag lookup[predicted[i][j]]}\n')
                        f.write('\n')
    def test(self, dataloader, name):
        self.model.to(self.device)
        self.model.eval()
        with open(name, 'w') as f:
            with torch.no grad():
                for sents, lengths in dataloader:
                    sents, lengths = sents.to(self.device, non_blocking=True), lengths
                    outputs = self.model(sents, lengths)
                    _, predicted = torch.max(outputs, 2)
                    sents = sents.cpu().numpy()
                    predicted = predicted.cpu().numpy()
                    lengths = lengths.cpu().numpy()
                    for i in range(len(sents)):
                        for j in range(lengths[i]):
                            f.write(f'{j+1} {word_lookup[sents[i][j]]} {tag_lookup[predicted[i][j]
] }\n')
                        f.write('\n')
```

Simple Bidirectional LSTM model

```
In [8]:
class BiLSTM(nn.Module):
def __init__(self, vocab_size, embedding_dim, hidden_dim, output_dim, target_size, num_layer=1
, dropout=0.33, init_embedding=None):
        super(BiLSTM, self).__init__()
        if init embedding is not None:
             self.word embeddings = nn.Embedding.from pretrained(init embedding, padding idx=word i
d['<pad>'], freeze=False)
        else:
             self.word embeddings = nn.Embedding(vocab size, embedding dim, padding idx=word id['<p</pre>
ad>'1)
        self.lstm = nn.LSTM(embedding dim, hidden dim, num layers=num layer, bidirectional=True, b
atch first=True, dropout=0 if num layer == 1 else dropout)
        self.dropout = nn.Dropout(dropout) if num layer == 1 else nn.Identity()
        num direction = 2 if self.lstm.bidirectional else 1
        self.linear = nn.Linear(hidden_dim * num_direction, output_dim)
        self.ELU = nn.ELU()
        self.classifier = nn.Linear(output dim, target size)
    def forward(self, sentence, lengths):
        embeds = self.word embeddings(sentence)
        packed = nn.utils.rnn.pack padded sequence(embeds, lengths, batch first=True, enforce sort
ed=False)
        lstm_out, _ = self.lstm(packed)
lstm_out, _ = nn.utils.rnn.pad_packed_sequence(lstm_out, batch_first=True)
        lstm_out = self.dropout(lstm_out)
        output = self.linear(lstm out)
        output = self.ELU(output)
        tag space = self.classifier(output)
```

return tag space

Train

```
In [9]:
epoches = 50
batch size = 128
torch.manual seed(0)
NERDataset train = NERDataset(sents train, tags train)
dataloader_train = DataLoader(NERDataset_train, batch_size=batch_size, shuffle=True, collate_fn=co
llate_fn, num_workers=8)
device = 'cuda' if torch.cuda.is available() else 'cpu'
model = BiLSTM(len(word id), 100, 256, 128, len(tag id), num layer=1, dropout=0.33)
criterion = nn.CrossEntropyLoss(ignore_index=tag_id['<pad>'])
optimizer = torch.optim.SGD(model.parameters(), lr=1e-1, momentum=0.99, weight_decay=1e-4)
lr scheduler = torch.optim.lr scheduler.CosineAnnealingLR(optimizer, T max=epoches, eta min=1e-2)
trainer = Trainer (model, dataloader train, lr scheduler, optimizer, criterion, epoches=epoches, de
vice=device)
trainer.train()
torch.save(model, 'blstm1.pt')
             | 1/50 [00:03<03:02, 3.73s/it]
Epoch 1/50, Loss: 0.944644365270259
 22%|
             | 11/50 [00:38<02:17, 3.52s/it]
Epoch 11/50, Loss: 0.11501487896982897
              | 21/50 [01:14<01:42, 3.55s/it]
Epoch 21/50, Loss: 0.018057272090750226
             | 31/50 [01:52<01:13, 3.89s/it]
 62%|
Epoch 31/50, Loss: 0.0054055079186366775
 82%| 41/50 [02:32<00:35, 3.94s/it]
Epoch 41/50, Loss: 0.003789166355568726
100%| 50/50 [03:07<00:00, 3.76s/it]
```

Dev validation

```
In [10]:
```

```
NERDataset_dev = NERDataset (sents_dev, tags_dev)
dataloader_dev = DataLoader (NERDataset_dev, batch_size=batch_size, shuffle=False,
collate_fn=collate_fn, num_workers=8)
trainer.val (dataloader_dev, 'dev1.out')

perl conll03eval < dev1.out

processed 51577 tokens with 5942 phrases; found: 5349 phrases; correct: 4547.
accuracy: 96.11%; precision: 85.01%; recall: 76.52%; FB1: 80.54

LOC: precision: 92.63%; recall: 84.21%; FB1: 88.22 1670
MISC: precision: 86.12%; recall: 78.09%; FB1: 81.91 836
```

ORG: precision: 78.35%; recall: 72.86%; FB1: 75.50 1247 PER: precision: 81.64%; recall: 70.74%; FB1: 75.80 1596

Test

```
In [11]:
```

```
sents_test, _ = read_data('data/test', test=True)
NERDataset_test = NERDataset(sents_test, test=True)
dataloader_test = DataLoader(NERDataset_test, batch_size=batch_size, shuffle=False,
collate_fn=collate_fn, num_workers=8)
trainer.test(dataloader_test, 'test1.out')
```

Using GloVe word embeddings

load GloVe weights

```
Train
In [13]:
epoches = 50
batch size = 128
torch.manual seed(0)
NERDataset_train = NERDataset(sents_train, tags_train)
dataloader train = DataLoader(NERDataset_train, batch_size=batch_size, shuffle=True, collate_fn=co
llate_fn, num_workers=8)
device = 'cuda' if torch.cuda.is available() else 'cpu'
model = BiLSTM(len(word_id), 100, 256, 128, len(tag_id), num_layer=1, dropout=0.33, init_embedding
=init embedding.float())
criterion = nn.CrossEntropyLoss(ignore_index=tag_id['<pad>'], label_smoothing=0.1)
optimizer = torch.optim.SGD (model.parameters(), lr=le-1, momentum=0.99, nesterov=True)
lr scheduler = torch.optim.lr scheduler.CosineAnnealingLR(optimizer, T max=epoches, eta min=8e-2)
trainer = Trainer (model, dataloader train, lr scheduler, optimizer, criterion, epoches=epoches, de
vice=device)
trainer.train()
torch.save(model, 'blstm2.pt')
             | 0/50 [00:00<?, ?it/s] 2%|
                                                    | 1/50 [00:04<03:22, 4.14s/it]
Epoch 1/50, Loss: 0.9155444810956211
 22%|
           | 11/50 [00:45<02:40, 4.10s/it]
Epoch 11/50, Loss: 0.5344660595311956
             | 21/50 [01:26<01:59, 4.11s/it]
Epoch 21/50, Loss: 0.5134784089306653
 62%| 31/50 [02:07<01:18, 4.12s/it]
Epoch 31/50, Loss: 0.5075996149394472
 82%| 41/50 [02:48<00:36, 4.07s/it]
Epoch 41/50, Loss: 0.504991847074638
100%| 50/50 [03:23<00:00, 4.06s/it]
```

Dev validation

In [14]:

```
NERDataset_dev = NERDataset(sents_dev, tags_dev)
dataloader_dev = DataLoader(NERDataset_dev, batch_size=batch_size, shuffle=False,
collate_fn=collate_fn, num_workers=8)
trainer.val(dataloader_dev, 'dev2.out')
[]perl conll03eval < dev2.out</pre>
```

```
processed 51577 tokens with 5942 phrases; found: 5960 phrases; correct: 5404. accuracy: 98.23%; precision: 90.67%; recall: 90.95%; FB1: 90.81
```

```
MISC: precision: 85.05%; recall: 83.95%; FB1: 84.50 910 ORG: precision: 84.88%; recall: 86.20%; FB1: 85.53 1362 PER: precision: 94.43%; recall: 93.87%; FB1: 94.15 1831
```

Test

```
In [15]:
```

```
sents_test, _ = read_data('data/test', test=True)
NERDataset_test = NERDataset(sents_test, test=True)
dataloader_test = DataLoader(NERDataset_test, batch_size=batch_size, shuffle=False,
collate_fn=collate_fn, num_workers=8)
trainer.test(dataloader_test, 'test2.out')
```

LSTM-CNN model

create character vocabulary

Char Dataset

```
In [17]:
```

```
In [18]:
```

```
class CharDataset(Dataset):
        __init__(self, sents, tags=None, test=False):
        self.sents = sents
        self.tags = tags
        self.test = test
   def len (self):
       return len(self.sents)
   def __getitem__(self, idx):
        from torch.nn.utils.rnn import pad_sequence
        if self.test:
            return torch.LongTensor(tokenize(self.sents[idx], word_id)), \
                torch.LongTensor(pad_sequence(tokenize_char(self.sents[idx], char_id), batch_first
=True, padding value=char id['<pad>']))
           return torch.LongTensor(tokenize(self.sents[idx], word_id)), torch.LongTensor([tag_id[
tag] for tag in self.tags[idx]]), \
                torch.LongTensor(pad_sequence(tokenize_char(self.sents[idx], char_id), batch_first
=True, padding value=char id['<pad>']))
```

In [19]:

```
def collate fn char(batch):
    from torch.nn.utils.rnn import pad sequence
    import torch.nn.functional as F
    if isinstance(batch[0], tuple) and len(batch[0]) == 3:
       sents, tags, chars = zip(*batch)
       lengths = [len(sent) for sent in sents]
       sents = pad sequence(sents, batch first=True, padding value=word id['<pad>']).long()
       tags = pad sequence(tags, batch first=True).long()
       max word len = max(char.shape[1] for char in chars)
       max seq len = sents.shape[1]
       chars = torch.stack([F.pad(char, (0, max_word_len - char.shape[1], 0, max_seq_len - char.s
hape[0]), value=char_id['<pad>']) for char in chars])
       return sents, torch.LongTensor(lengths), tags, chars
   else:
       sents, chars = zip(*batch)
       lengths = [len(sent) for sent in sents]
       sents = pad sequence(sents, batch first=True, padding value=word id['<pad>']).long()
       max word len = max(char.shape[1] for char in chars)
       max_seq_len = sents.shape[1]
       chars = torch.stack([F.pad(char, (0, max word len - char.shape[1], 0, max seq len - char.s
hape[0]), value=char id['<pad>']) for char in chars])
       return sents, torch.LongTensor(lengths), chars
```

Prepare model

```
In [20]:
```

```
class CharBiLSTM (BiLSTM):
   def init (self, vocab size, embedding dim, hidden dim, output dim, target size, char vocab
size, char_embedding_dim, num_layer=1, dropout=0.33, init_embedding=None):
       super().__init__(vocab_size, embedding_dim, hidden_dim, output_dim, target_size, num_layer
, dropout, init embedding)
       self.char embeddings = nn.Embedding(char vocab size, char embedding dim, padding idx=char
id['<pad>'])
       self.char cnn = nn.Convld(char embedding dim, char embedding dim, 3, padding=1)
        self.char maxpool = nn.AdaptiveMaxPool1d(1)
        self.lstm = nn.LSTM(embedding dim + char embedding dim, hidden dim, num layers=num layer,
                            bidirectional=True, batch first=True, dropout=0 if num_layer == 1 else
dropout)
   def forward(self, sentence, lengths, chars):
        embeds = self.word embeddings(sentence)
        char embeds = self.char embeddings(chars)
        b, s, w, c = char embeds.shape
       char embeds = char embeds.view(b*s, w, c).permute(0, 2, 1)
        char_embeds = self.char_cnn(char_embeds)
        char embeds = self.char maxpool(char embeds).squeeze(-1)
        char embeds = char_embeds.view(b, s, -1)
        embeds = torch.cat([embeds, char embeds], dim=-1)
       packed = nn.utils.rnn.pack_padded_sequence(embeds, lengths, batch_first=True, enforce sort
ed=False)
       lstm_out, _ = self.lstm(packed)
       lstm_out, _ = nn.utils.rnn.pad_packed_sequence(lstm_out, batch_first=True)
       lstm_out = self.dropout(lstm_out)
       output = self.linear(lstm out)
       output = self.ELU(output)
       tag space = self.classifier(output)
       return tag_space
```

Char trainer

```
In [21]:
```

```
from tqdm import tqdm
class CharTrainer():
    def __init__(self, model, dataloader, lr_scheduler, optimizer, criterion, epoches=30, device=N
one, freq=10):
    self.model = model
    self.dataloader = dataloader
    self.lr_scheduler = lr_scheduler
    self.optimizer = optimizer
    self.criterion = criterion
    self.epoches = epoches
    self.device = device if device else 'cuda' if torch.cuda.is_available() else 'cpu'
```

```
self.freq = freq
   def train(self):
       self.model.to(self.device)
       self.criterion.to(self.device)
       self.model.train()
       for epoch in tqdm(range(self.epoches)):
           total loss = 0
           for sents, lengths, tags, chars in self.dataloader:
               sents, lengths, tags, chars = sents.to(self.device, non blocking=True), lengths, \
                   tags.to(self.device, non_blocking=True), chars.to(self.device, non_blocking=Tr
ue)
               self.optimizer.zero grad()
               outputs = self.model(sents, lengths, chars)
               outputs = outputs.view(-1, outputs.shape[-1])
               tags = tags.view(-1)
               loss = self.criterion(outputs, tags)
               loss.backward()
               self.optimizer.step()
               total loss += loss.item()
           self.lr scheduler.step()
           if epoch % self.freq == 0:
               print(f'Epoch {epoch+1}/{self.epoches}, Loss: {total loss/len(self.dataloader)}')
   def val(self, dataloader, name):
       self.model.to(self.device)
       self.model.eval()
       with open(name, 'w') as f:
           with torch.no_grad():
               for sents, lengths, tags, chars in dataloader:
                   sents, lengths, tags, chars = sents.to(self.device, non blocking=True), length
s, \
                       tags.to(self.device, non blocking=True), chars.to(self.device,
non_blocking=True)
                   outputs = self.model(sents, lengths, chars)
                   _, predicted = torch.max(outputs, 2)
                   sents = sents.cpu().numpy()
                   predicted = predicted.cpu().numpy()
                   tags = tags.cpu().numpy()
                   lengths = lengths.cpu().numpy()
                   for i in range(len(sents)):
                       for j in range(lengths[i]):
                           ag lookup[predicted[i][j]]}\n')
                       f.write('\n')
   def test(self, dataloader, name):
       self.model.to(self.device)
       self.model.eval()
       with open (name, 'w') as f:
           with torch.no_grad():
               for sents, lengths, chars in dataloader:
                   sents, lengths, chars = sents.to(self.device, non_blocking=True), lengths, cha
rs.to(self.device, non blocking=True)
                   outputs = self.model(sents, lengths, chars)
                    , predicted = torch.max(outputs, 2)
                   sents = sents.cpu().numpy()
                   predicted = predicted.cpu().numpy()
                   lengths = lengths.cpu().numpy()
                   for i in range(len(sents)):
                       for j in range(lengths[i]):
                           f.write(f'{j+1} {word lookup[sents[i][j]]} {tag lookup[predicted[i][j]
] }\n')
                       f.write('\n')
```

Train

```
In [22]:
```

```
epoches = 50
batch_size = 128
torch.manual_seed(0)

CharDataset_train = CharDataset(sents_train, tags_train)
dataloader_train = DataLoader(CharDataset_train, batch_size=batch_size, shuffle=True, collate_fn=c
```

```
ollate fn char, num workers=8)
device = 'cuda' if torch.cuda.is available() else 'cpu'
model = CharBiLSTM(len(word id), 100, 256, 128, len(tag id), len(char id), 30, num layer=1, dropou
t=0.33, init embedding=init embedding.float())
criterion = nn.CrossEntropyLoss(ignore index=tag id['<pad>'], label smoothing=0.1)
optimizer = torch.optim.SGD (model.parameters(), lr=1e-1, momentum=0.99, nesterov=True)
lr scheduler = torch.optim.lr scheduler.CosineAnnealingLR(optimizer, T max=epoches, eta min=8e-2)
trainer = CharTrainer(model, dataloader_train, lr_scheduler, optimizer, criterion, epoches=epoches
, device=device)
trainer.train()
torch.save(model, 'blstm-cnn.pt')
             | 0/50 [00:00<?, ?it/s] 2%|
                                                   | 1/50 [00:06<04:58, 6.10s/it]
Epoch 1/50, Loss: 0.8771523373611902
 22%|
              | 11/50 [00:54<03:09, 4.86s/it]
Epoch 11/50, Loss: 0.5253389098886716
             | 21/50 [01:43<02:21, 4.87s/it]
Epoch 21/50, Loss: 0.5106210188340332
 62%|
            | 31/50 [02:32<01:32, 4.86s/it]
Epoch 31/50, Loss: 0.506106627694631
 82%| 41/50 [03:21<00:43, 4.87s/it]
Epoch 41/50, Loss: 0.5042909095853062
100%| 50/50 [04:05<00:00, 4.90s/it]
```

DEV validation

```
In [23]:
```

```
CharDataset_dev = CharDataset(sents_dev, tags_dev)
dataloader_dev = DataLoader(CharDataset_dev, batch_size=batch_size, shuffle=False,
collate_fn=collate_fn_char, num_workers=8)
trainer.val(dataloader_dev, 'dev-cnn.out')

perl conllo3eval < dev-cnn.out

processed 51577 tokens with 5942 phrases; found: 6040 phrases; correct: 5507.
accuracy: 98.64%; precision: 91.18%; recall: 92.68%; FB1: 91.92

LOC: precision: 95.05%; recall: 95.10%; FB1: 95.07 1838

MISC: precision: 86.45%; recall: 87.20%; FB1: 86.83 930

ORG: precision: 83.84%; recall: 88.22%; FB1: 85.97 1411

PER: precision: 95.27%; recall: 96.25%; FB1: 95.76 1861
```

Test

```
In [24]:
```

```
sents_test, _ = read_data('data/test', test=True)
CharDataset_test = CharDataset(sents_test, test=True)
dataloader_test = DataLoader(CharDataset_test, batch_size=batch_size, shuffle=False, collate_fn=collate_fn_char, num_workers=8)
trainer.test(dataloader_test, 'pred')
```