

Text Analysis for Social Scientists and Leaders



Class 4: Sentence & Dialogue Structure

Prof. Michael Yeomans

Communicating Warmth in Distributive Negotiations is Surprisingly Counter-Productive

(Jeong, Minson, Yeomans & Gino, 2018)

Communicating Warmth in ...

(Jeong, Minson, Yeomans & Gino, 2018)

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Warm offers garner worse counteroffers

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Tough



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Tough

Reject Request

68%

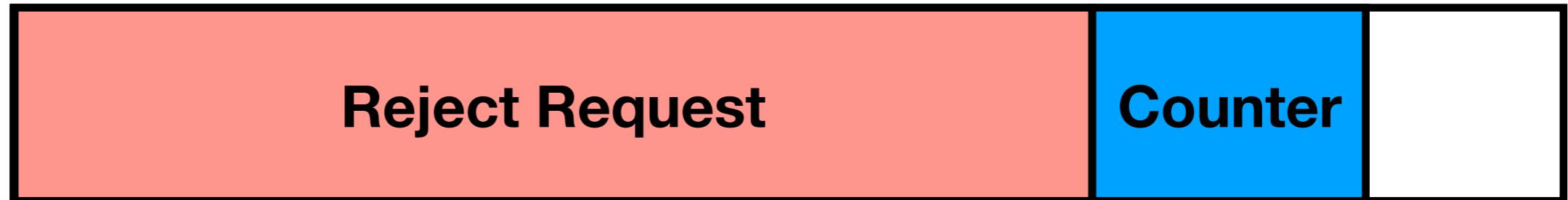
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Tough



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18%

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18% 13%

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Tough

	Reject Request	Counter	Accept
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Warm

--

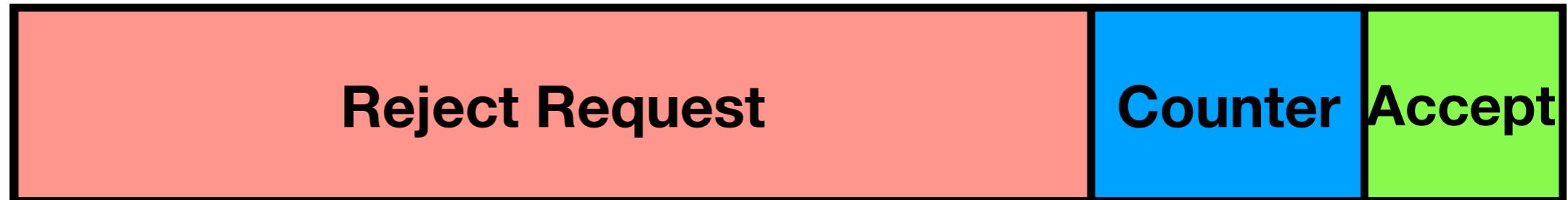
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Warm



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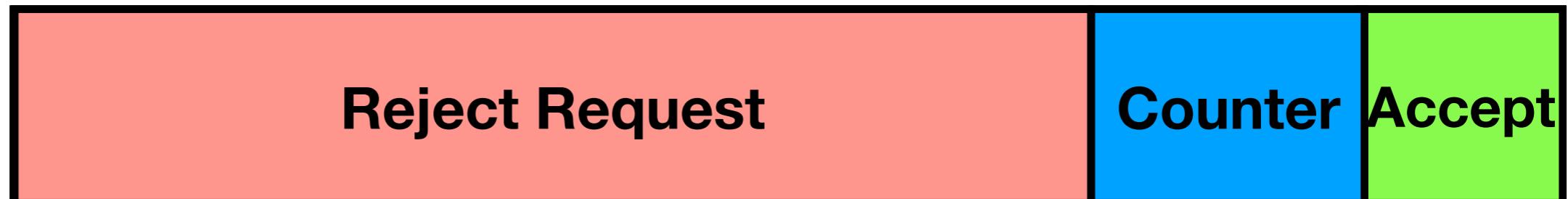
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23% 8%

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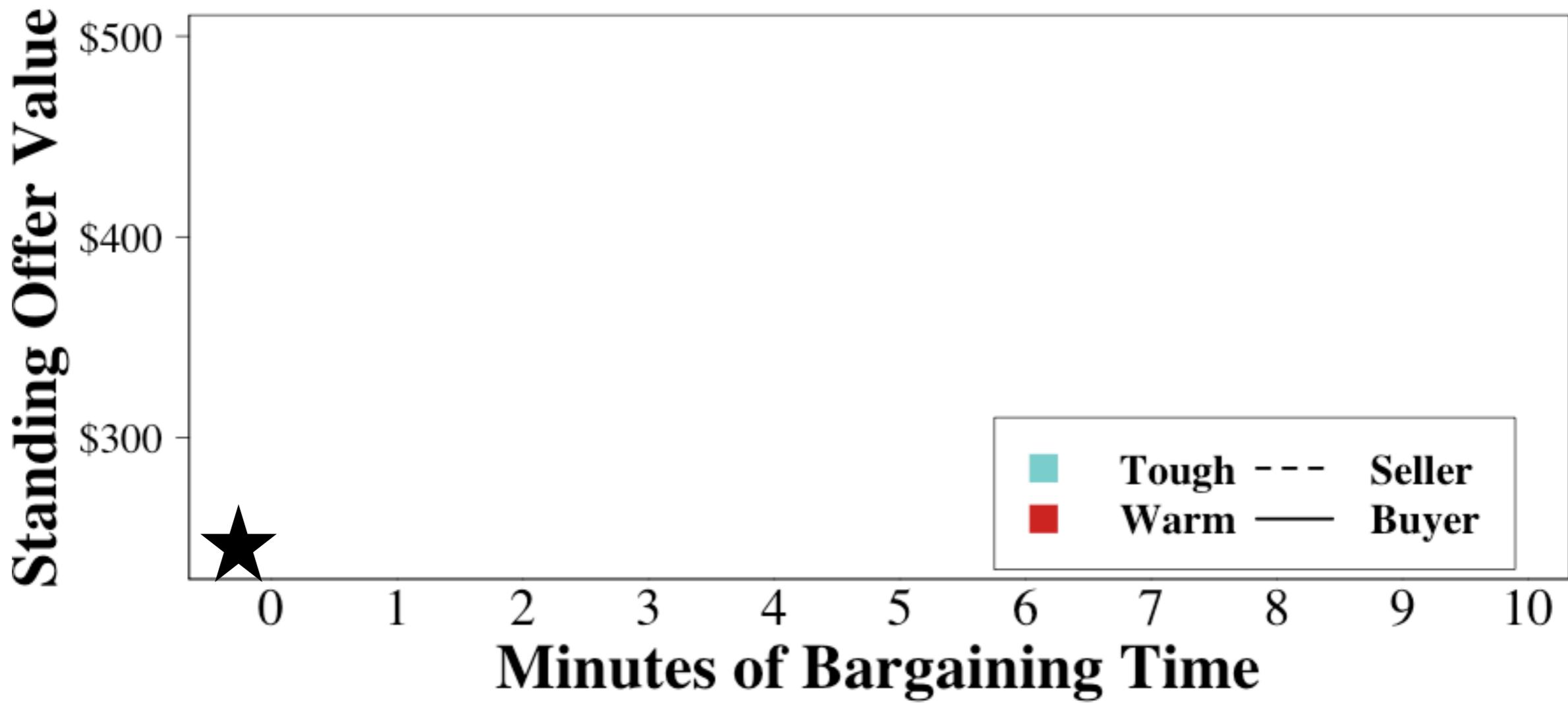
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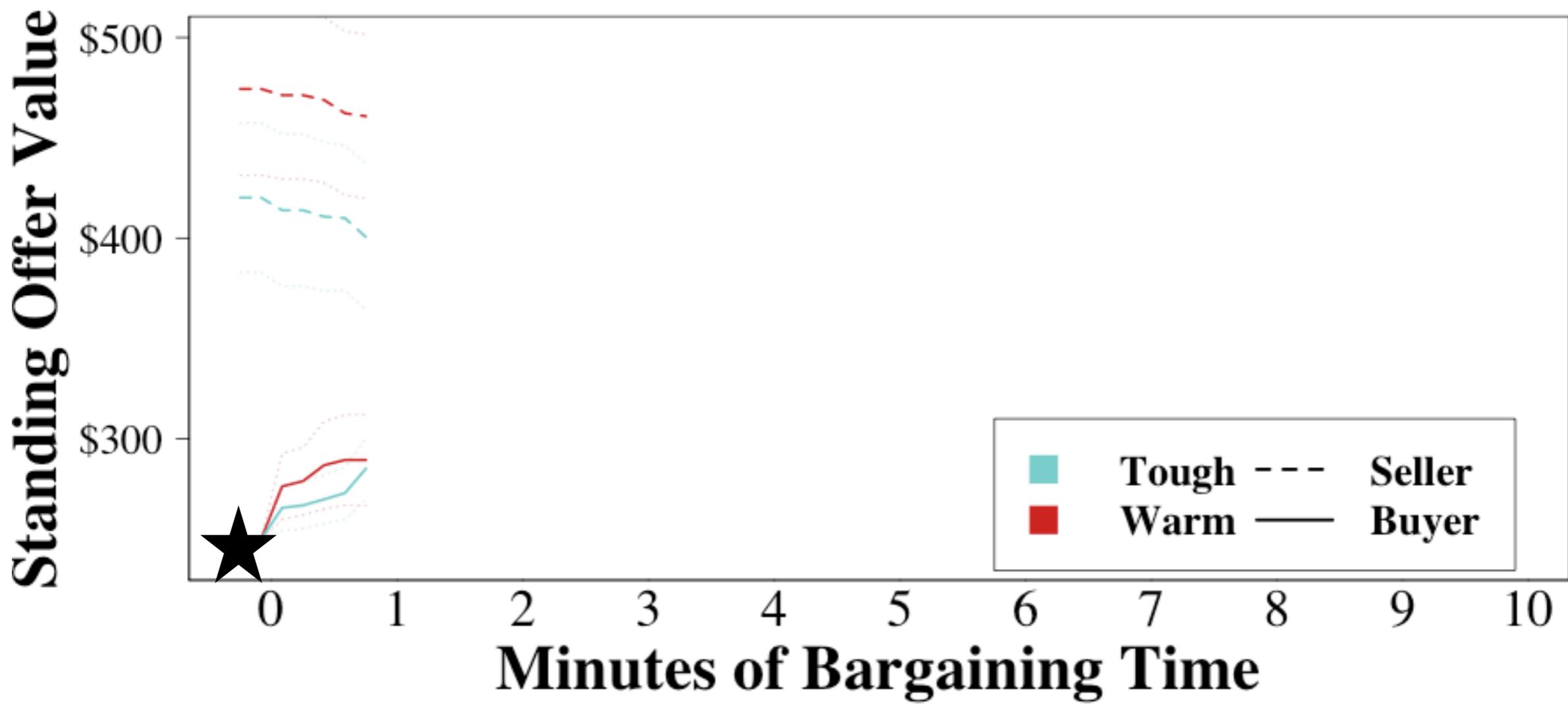


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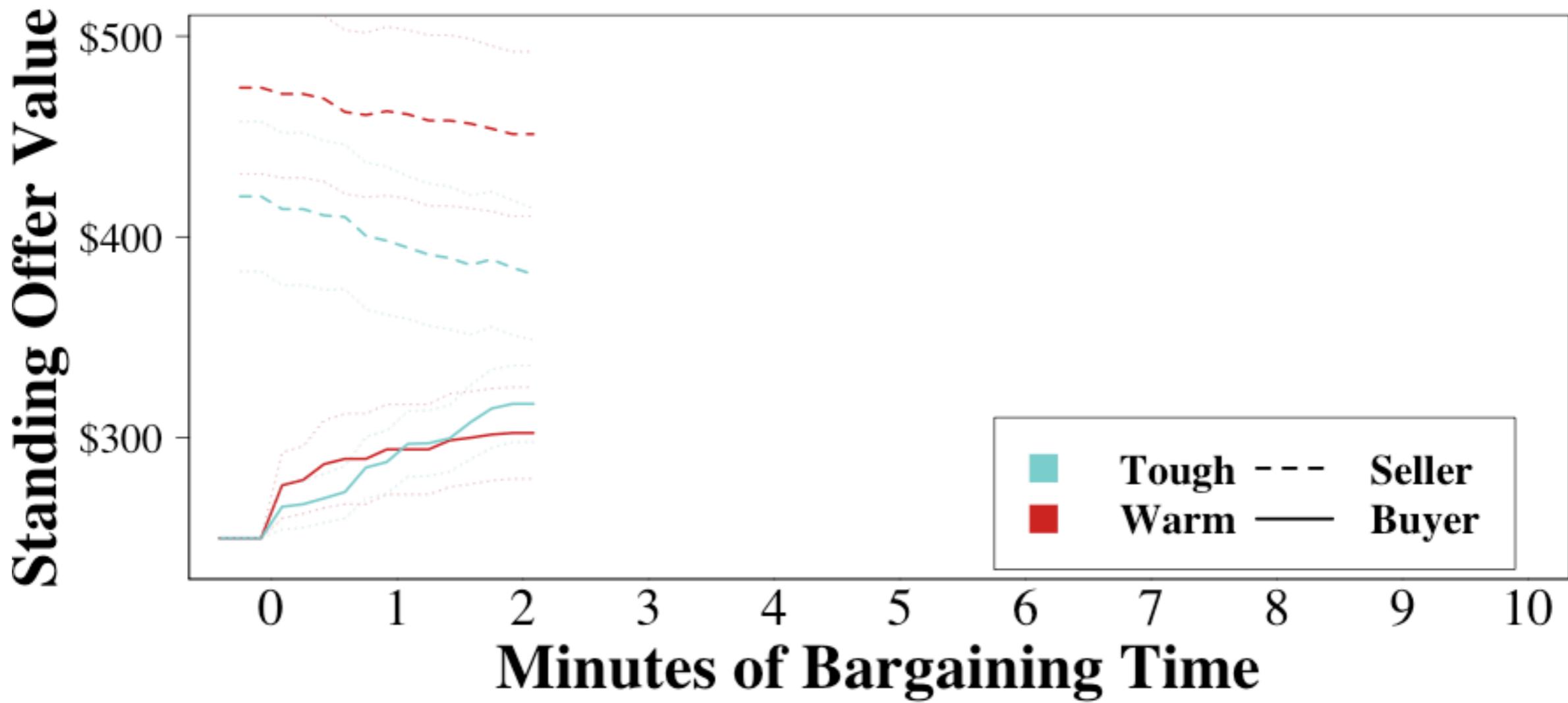


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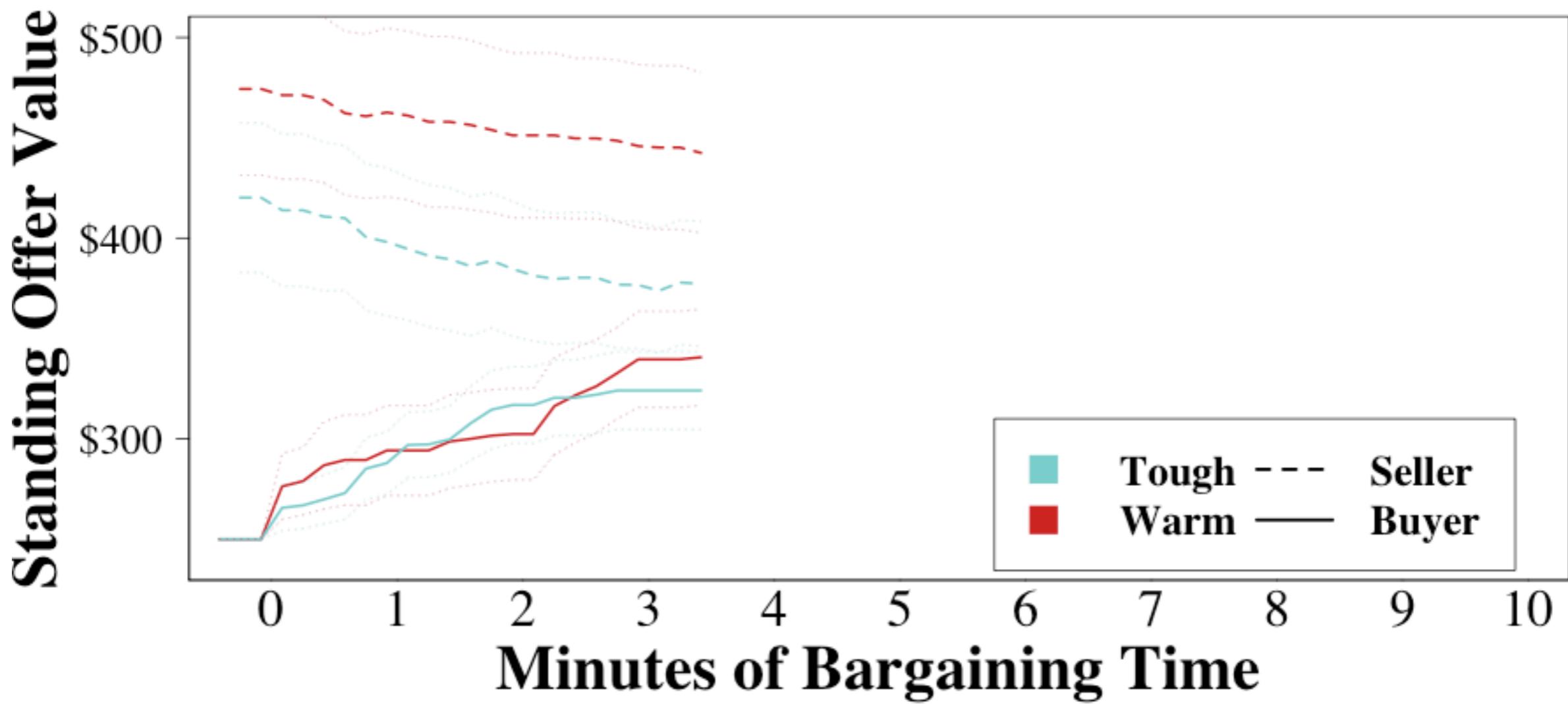


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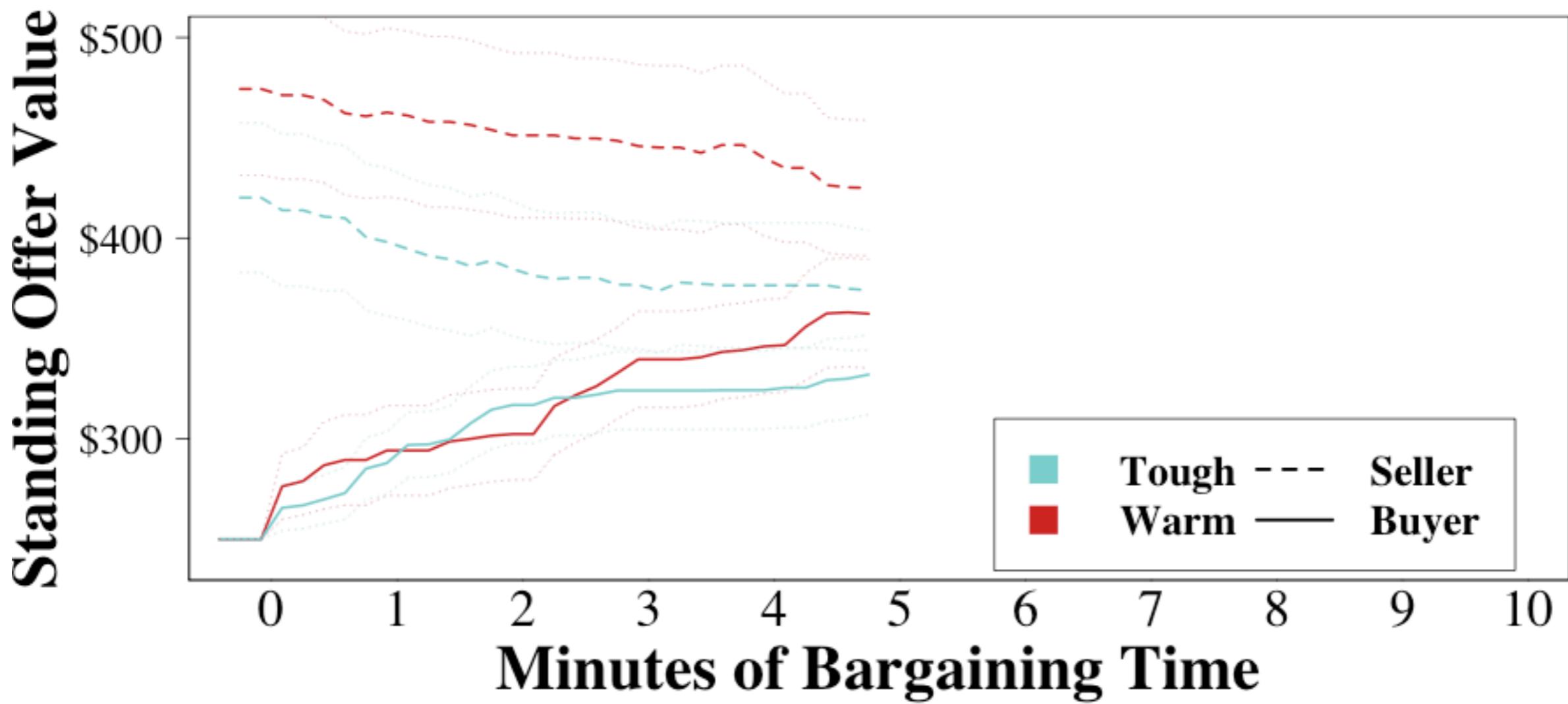


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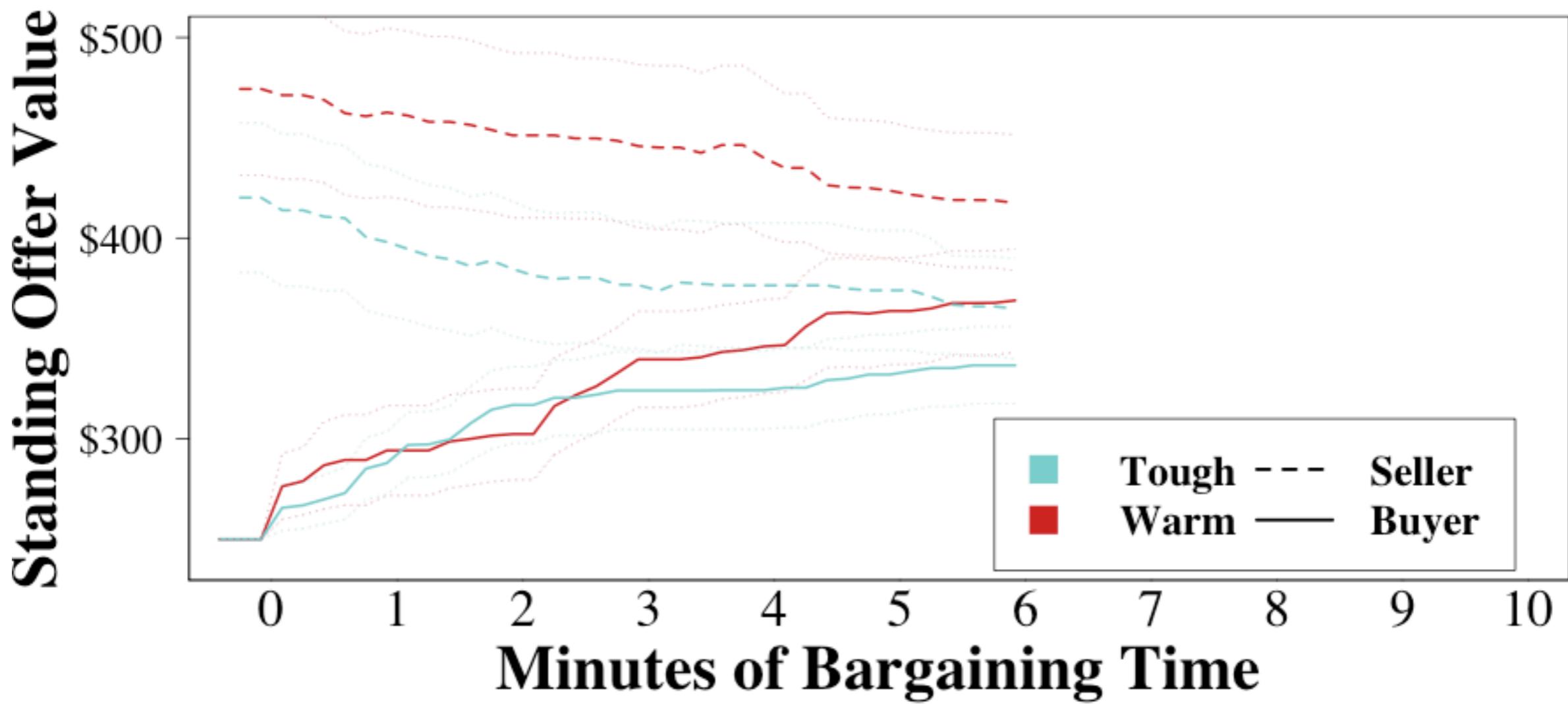


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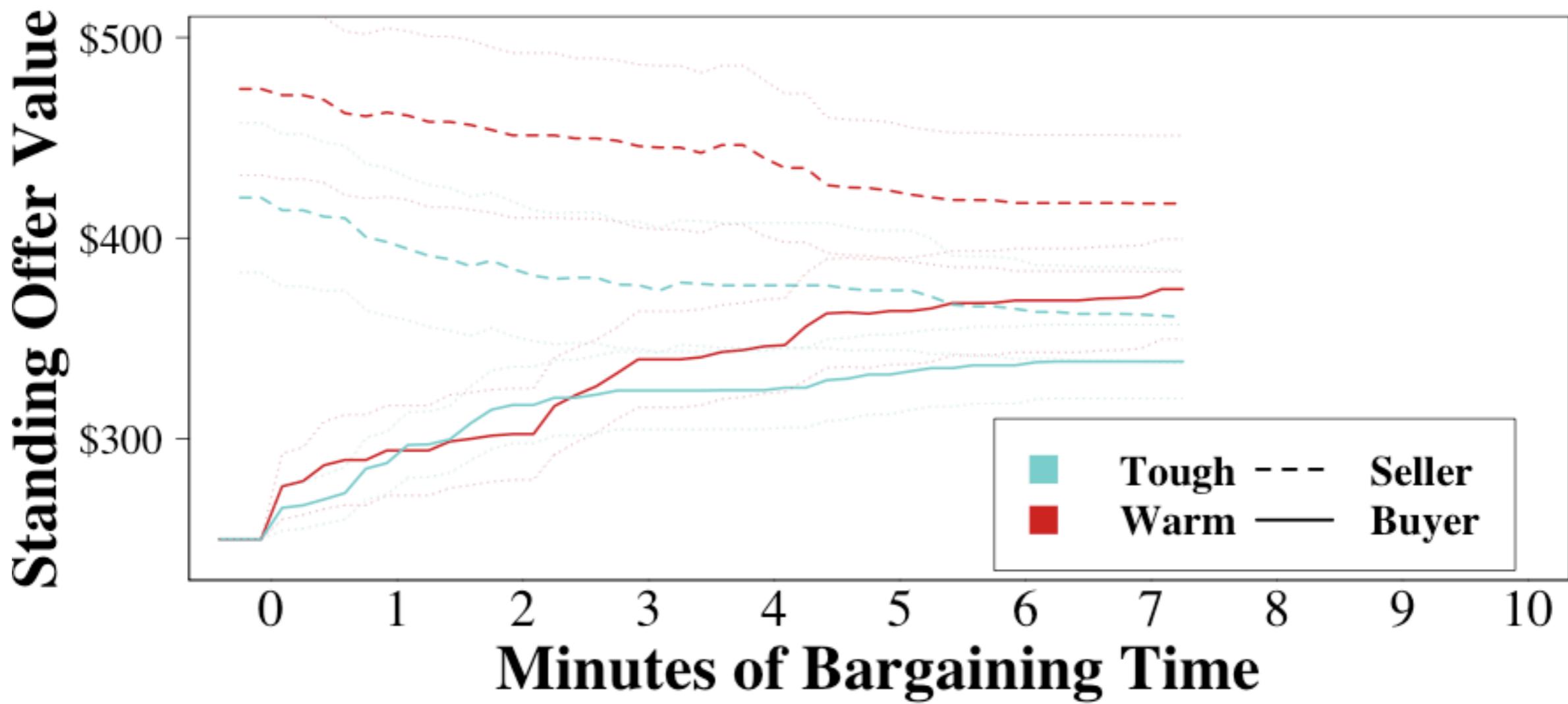


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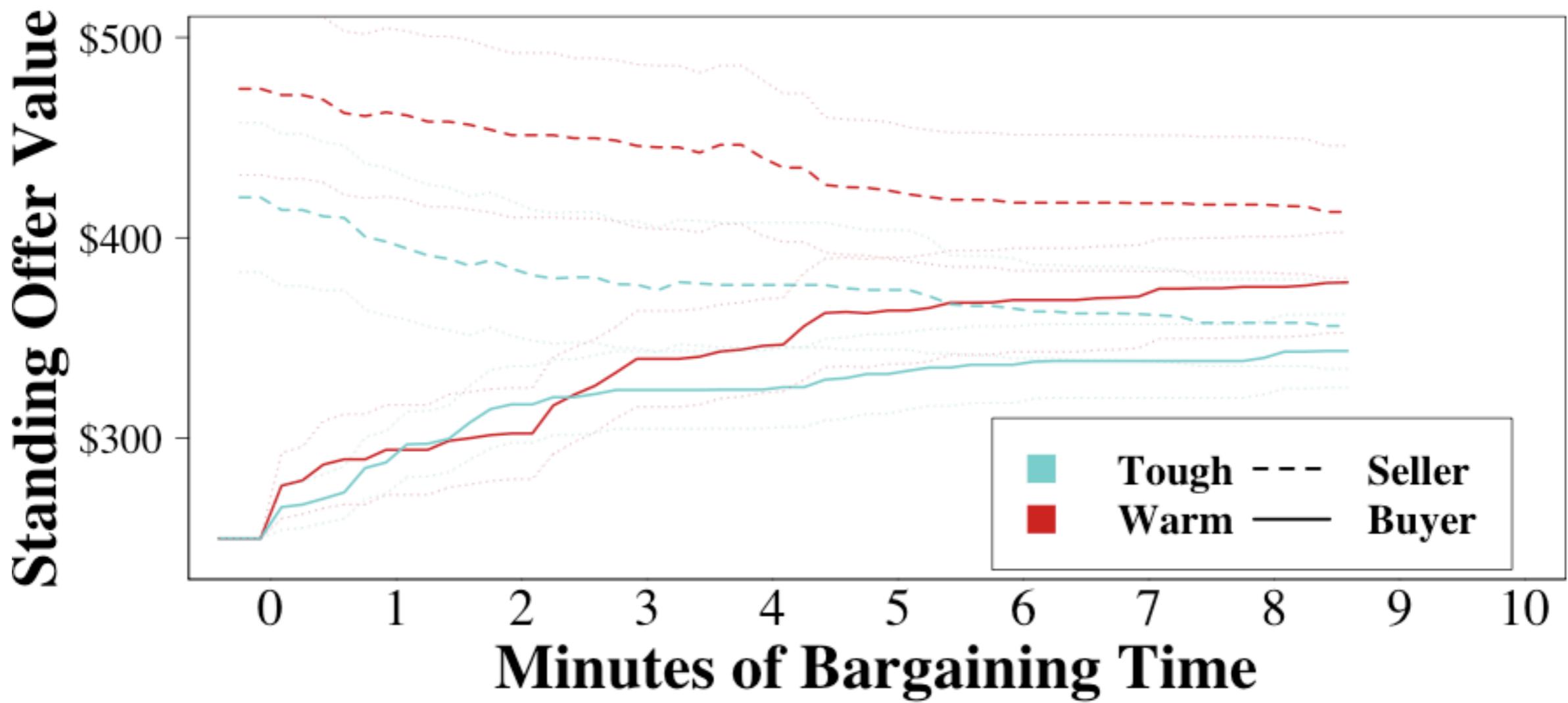


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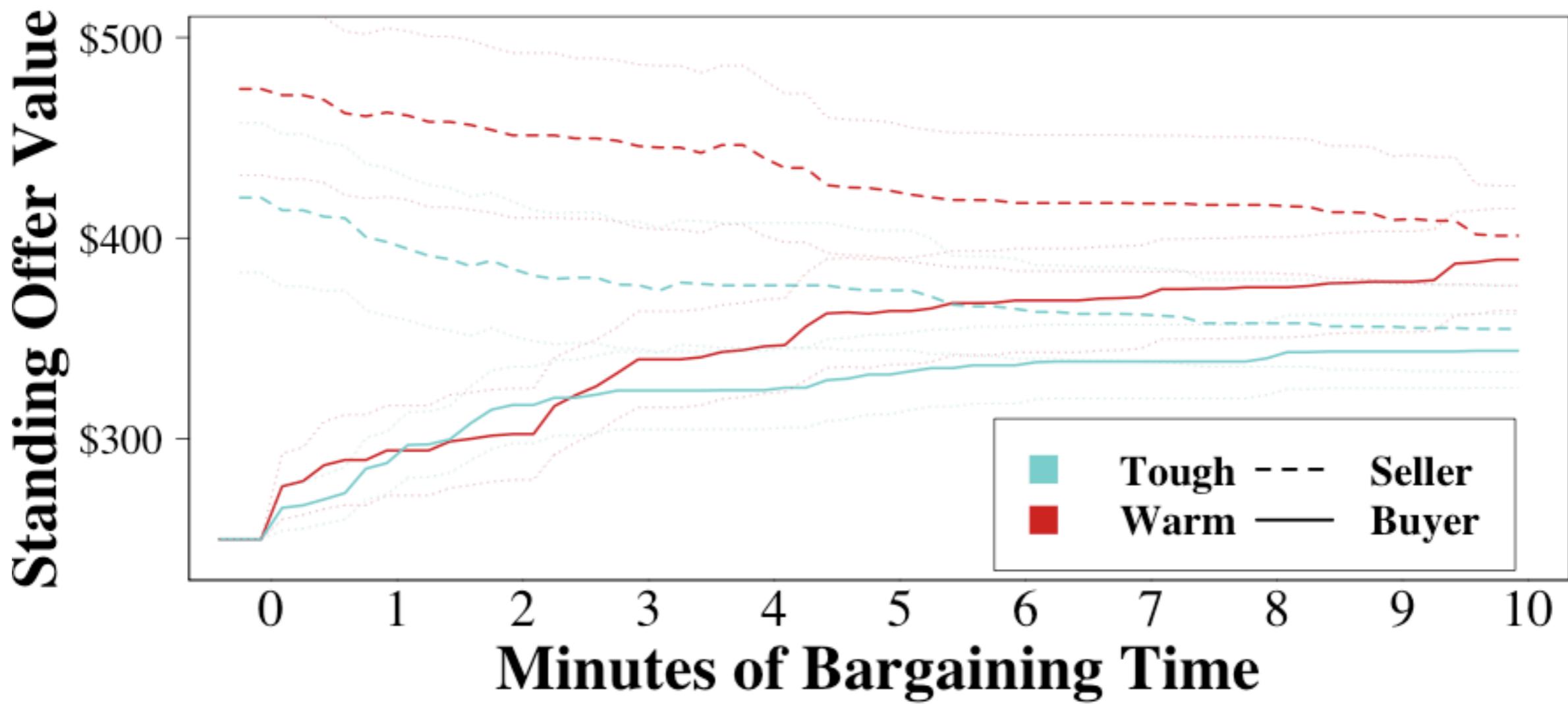


Communicating Warmth in ...

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How is Warmth Communicated?

How is Warmth Communicated?



Buyer

How is Warmth Communicated?

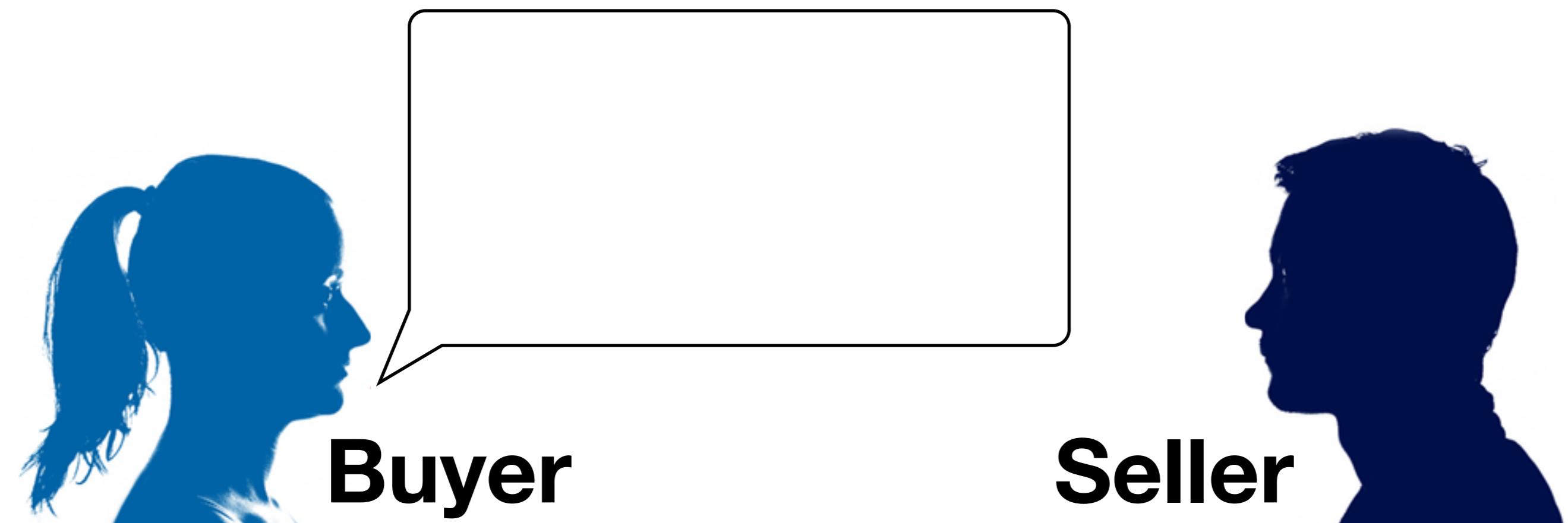


Buyer

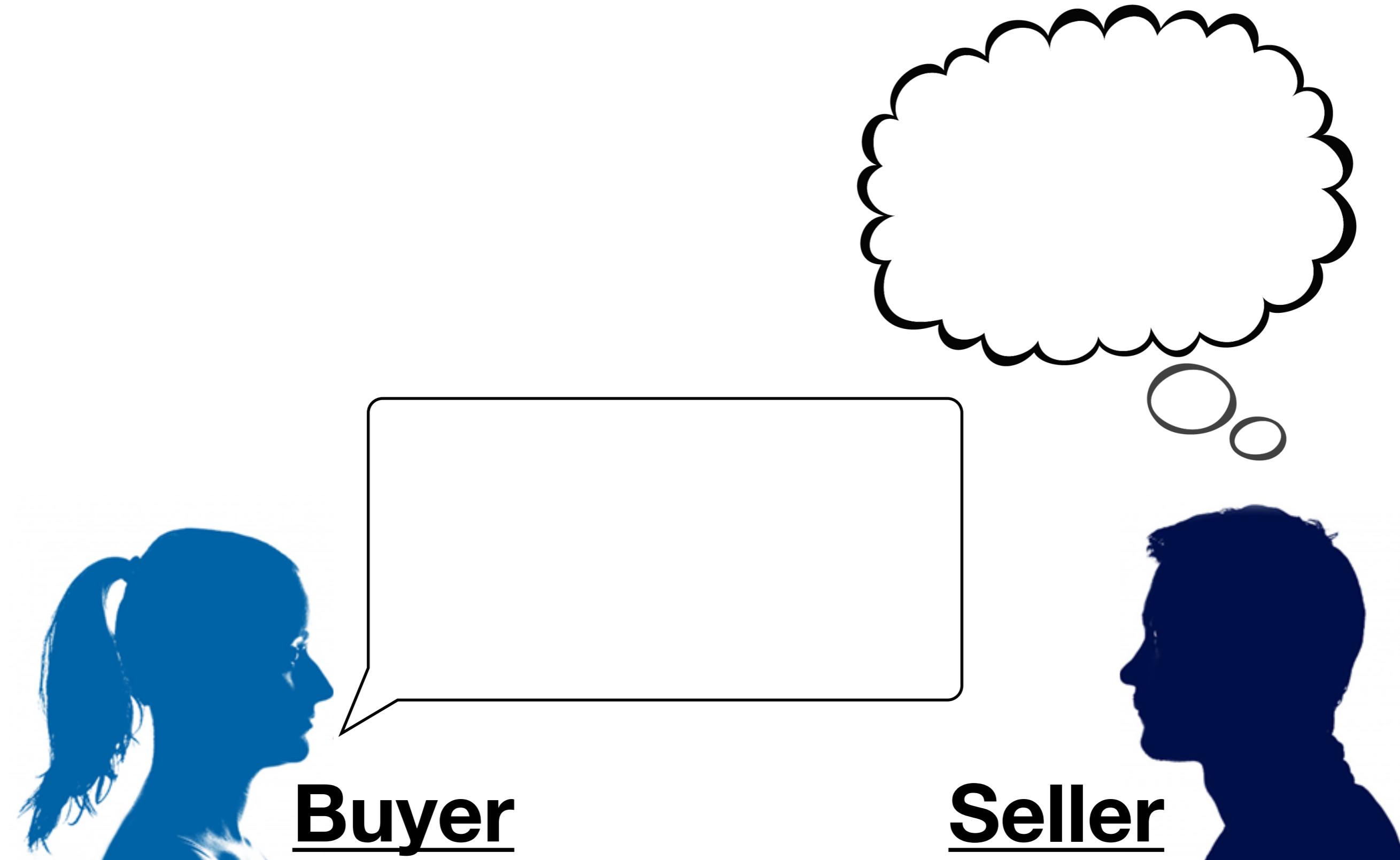


Seller

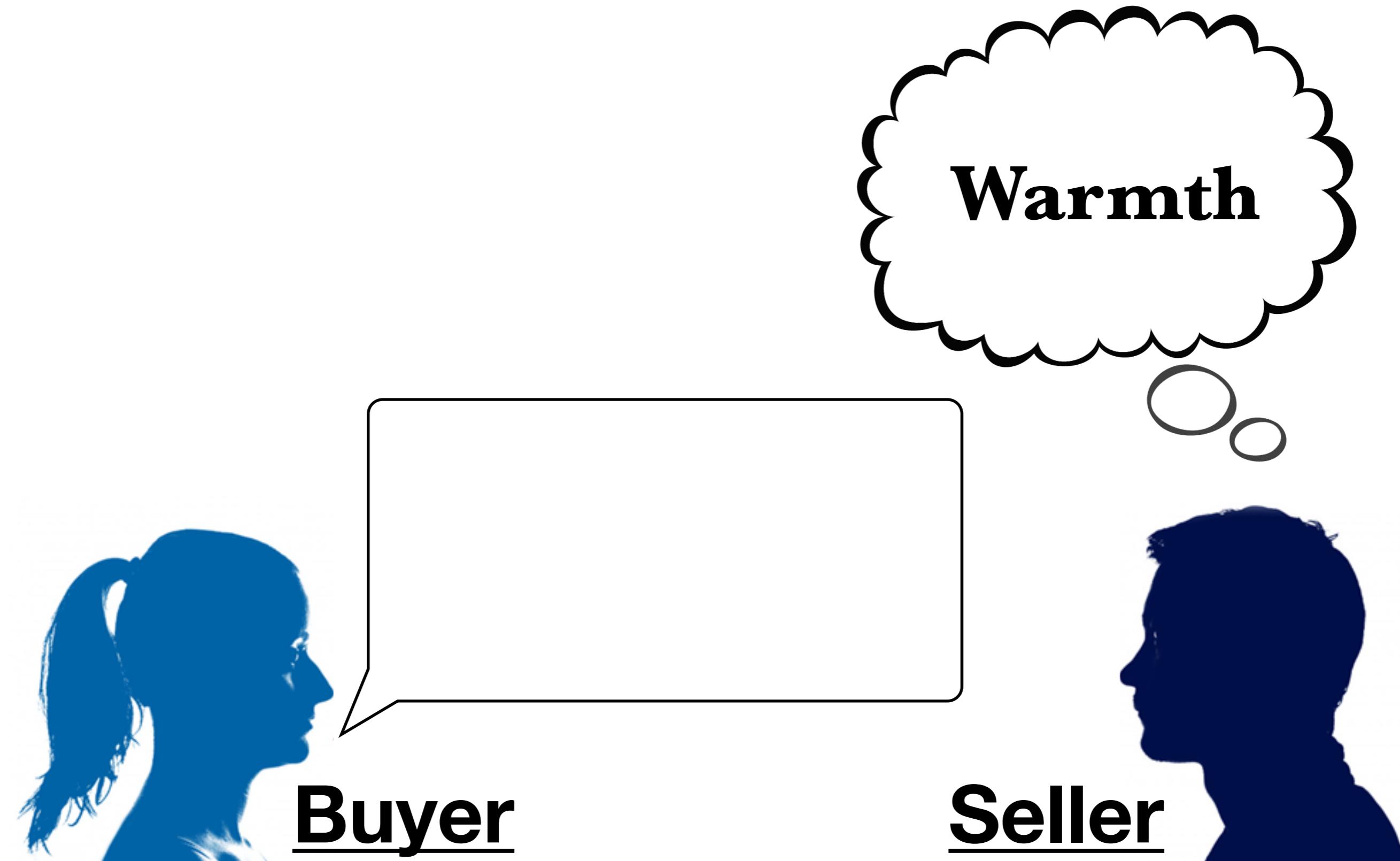
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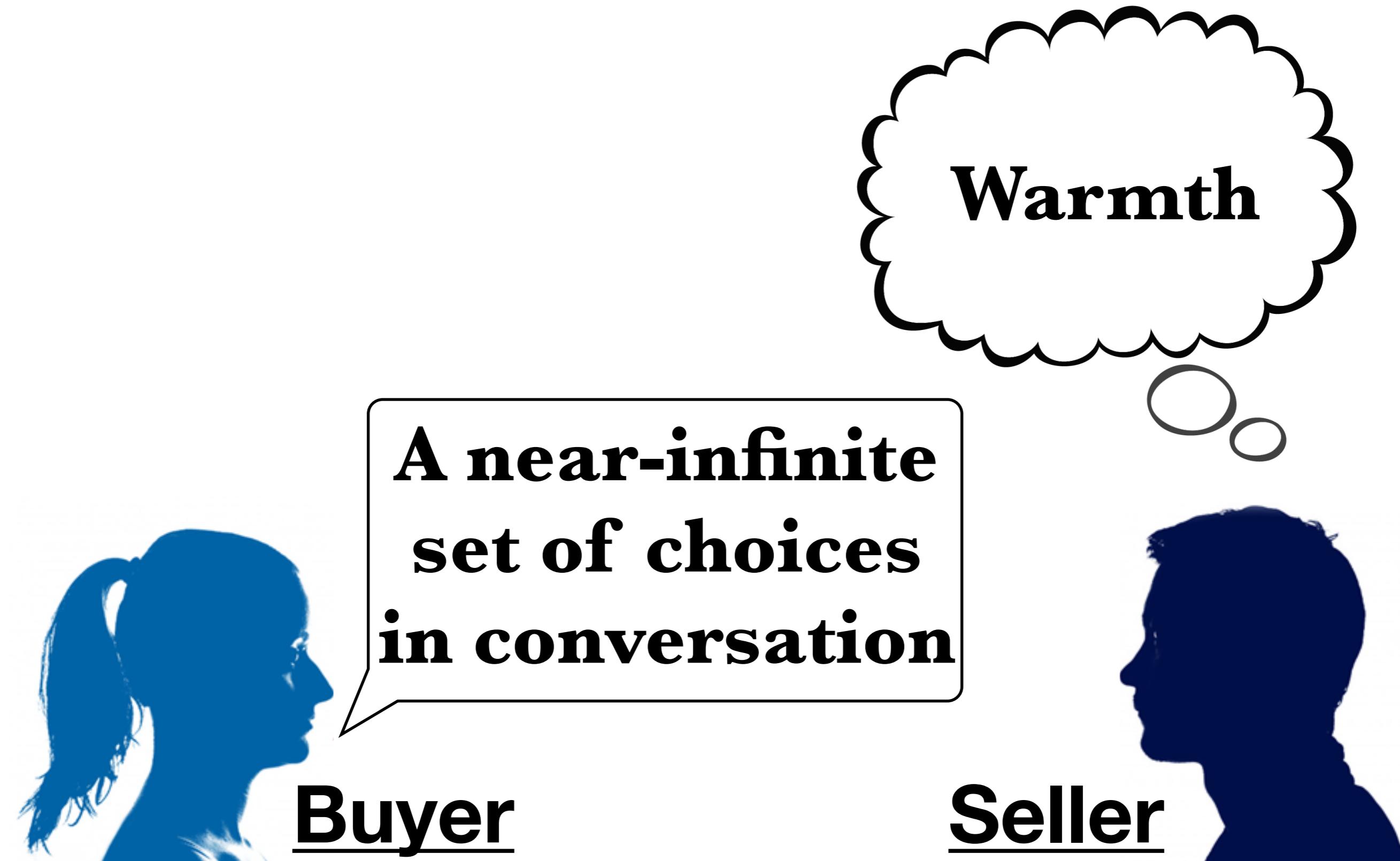
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A Linguistic Model of Warmth

1. Randomly assign negotiation strategies

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- interpretable

A Linguistic Model of Warmth

1. Randomly assign negotiation strategies
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We want to build an algorithm that is:

- scaleable
- interpretable
- valid

A Linguistic Model of Warmth

$$\hat{y} = a_0 + e$$

A Linguistic Model of Warmth

$$\hat{y} = a_0 + x_1 + x_2 + x_3 + \dots + e$$

Theory-Driven Feature Curation

Select set of observables x from literature

A Linguistic Model of Warmth

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Theory-Driven Feature Curation

Select set of observables x from literature

Empirical Feature Estimation

Determine β weights from ground-truth data

A Linguistic Model of Warmth

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Theory-Driven Feature Curation

Select set of observables x from literature

Empirical Feature Estimation

Determine β weights from ground-truth data

Actuarial Model of Politeness

(Meehl et al., 1954; Dawes, 1979; Grove et al., 1989)

Communicating Warmth in ...

mTurkers can write phone offers! (n = 355)

- encouragement design - tough vs. warm instructions
- “ground truth” is treatment effect

Message	Condition
Hi, since this is a...	0
Hi, I saw your ad...	1
Hey, I hope you are...	1
Hi, I am interested...	0
Hi, I am interested...	0
I saw your add on...	0

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Communicating Warmth in ...

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Hello, I am interested in purchasing this phone for work purposes but unfortunately have a spending limit of \$115 per my company's budget. Is there any way that you would be willing to work with me on this? I really appreciate your time and consideration. Thank You, Ashleigh.

Communicating Warmth in ...

mTurkers can write phone offers! (n = 355)

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Hello, Hope you are doing well. I recently saw your posting for the iPhone 6 Plus for sale and I am interested. I would love to come by and buy it from you today. I can pay you in cash. Would you be willing to sell it to me for \$115 paid in cash today? Thanks so much. I really appreciate it.

Communicating Warmth in ...

mTurkers can write phone offers! (n = 355)

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Come on. The price you are offering on a product that ISN'T NEW is unreasonable. Now, I for one am very interested in getting this item. BUT, I will only pay \$115. I am not paying a penny more.

Communicating Warmth in ...

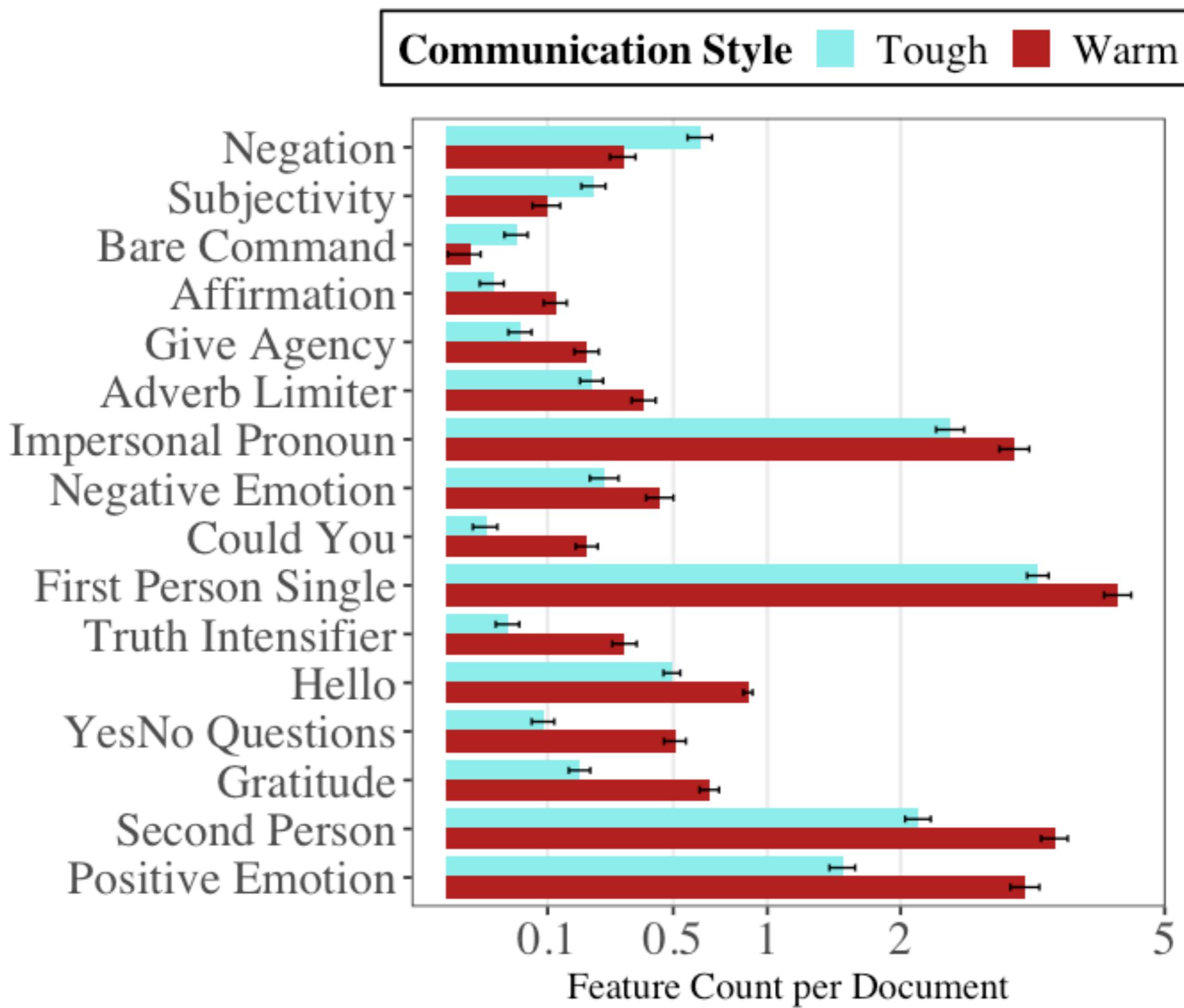
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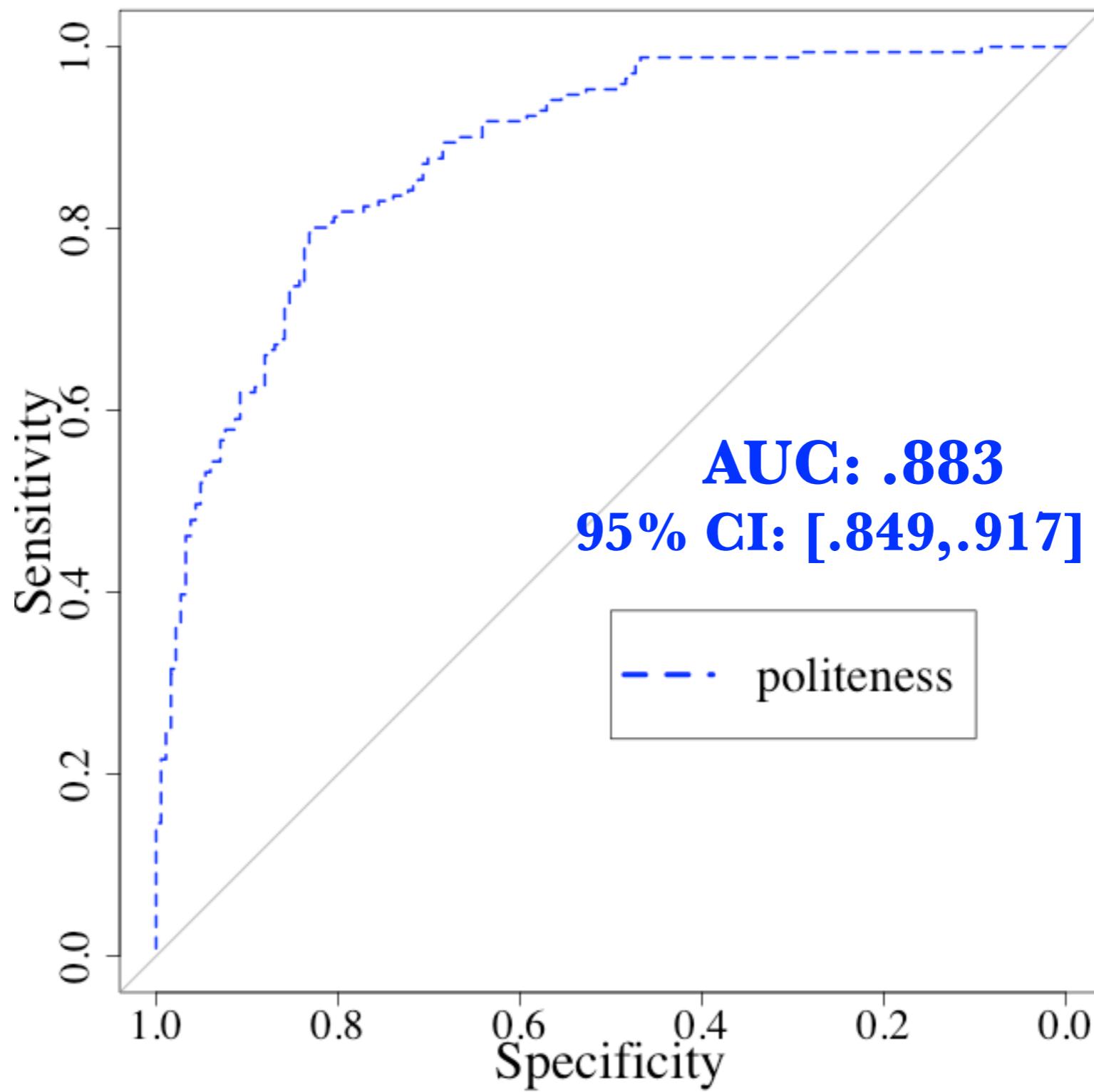
I will buy the phone as is for \$115. I don't want to pay more than the amount that I stated. If you accept my price please contact me within 24 hours. If I don't hear from you in the next 24 hours I will take it that you will accept my price.

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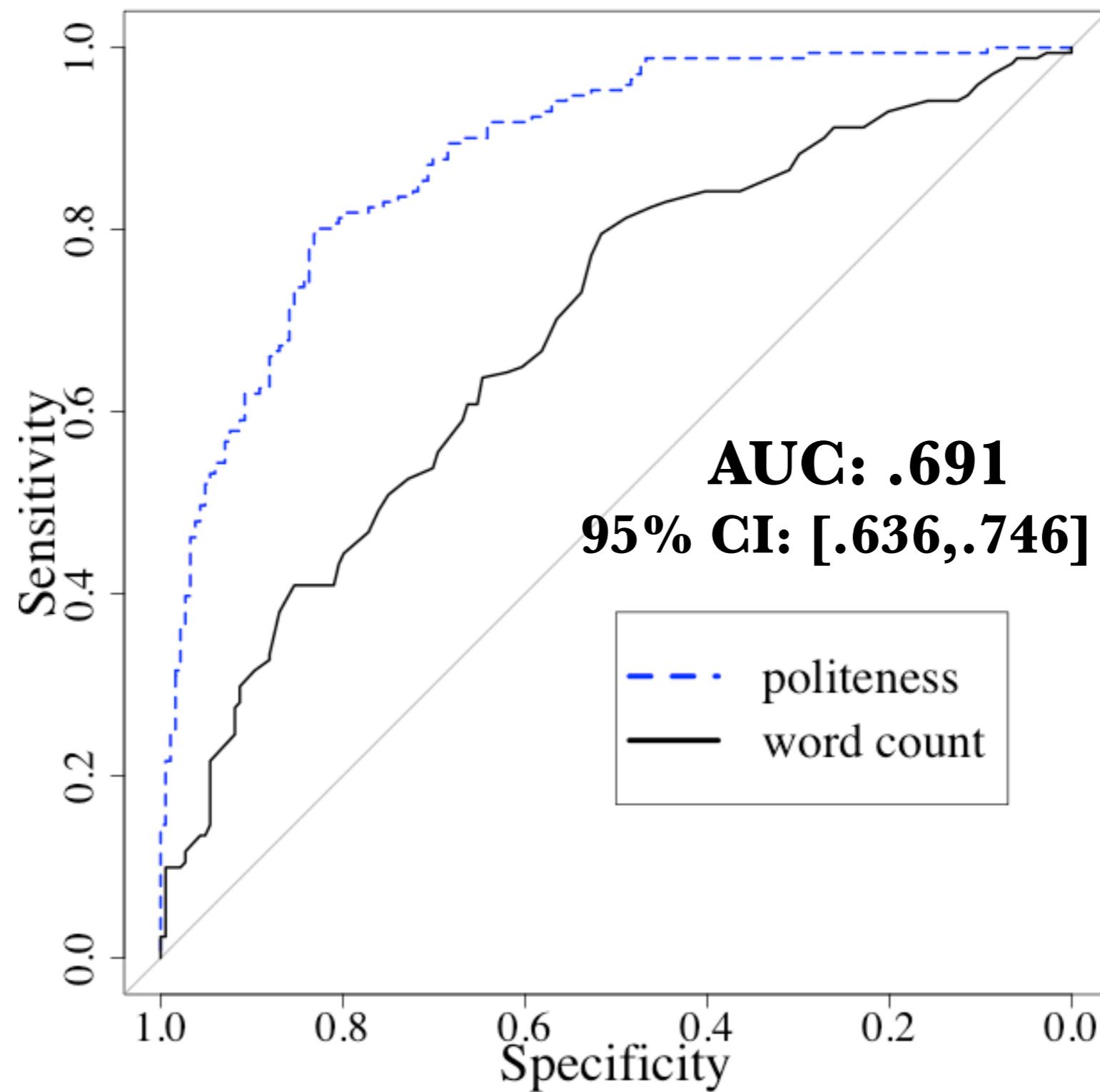
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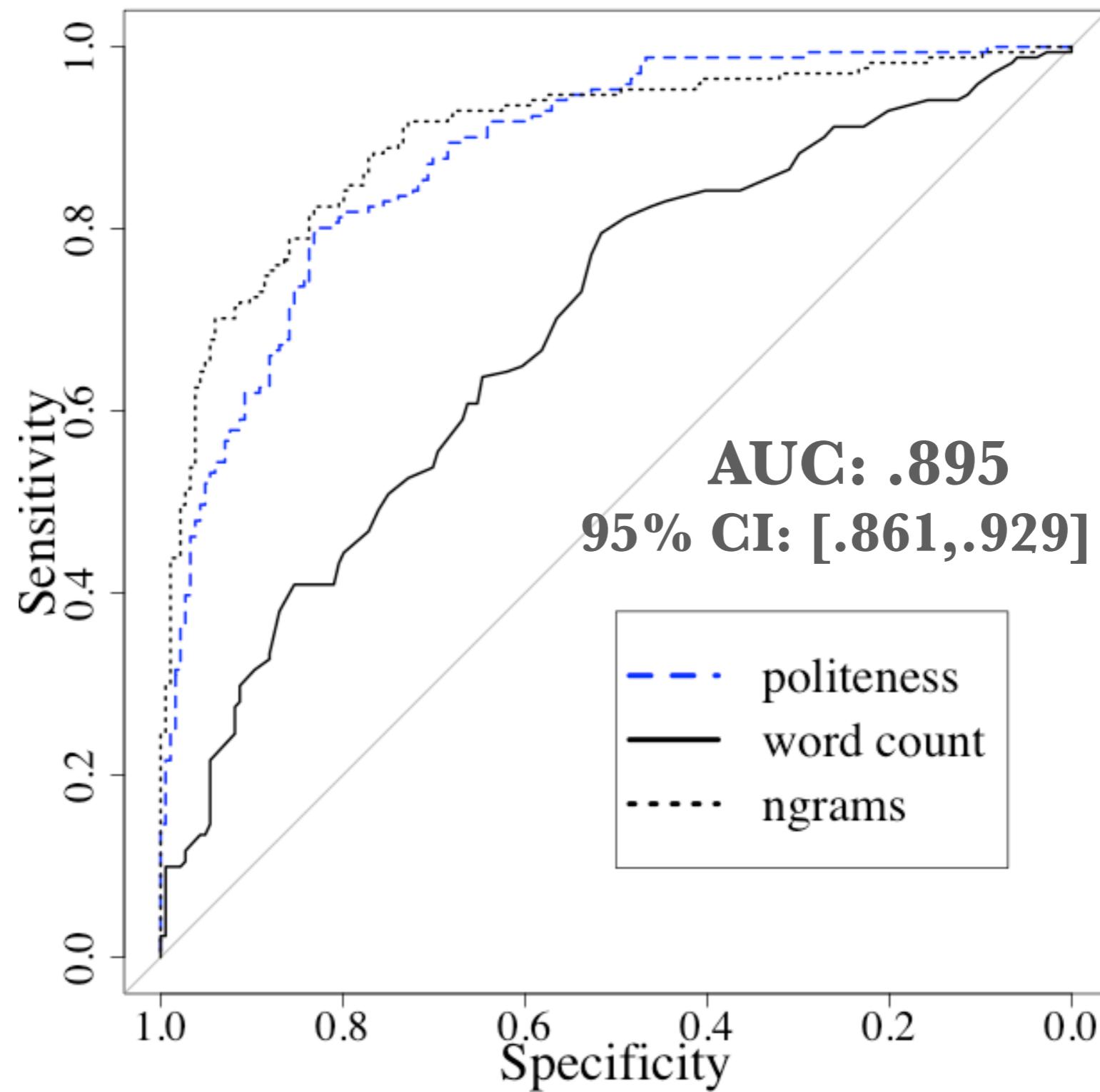
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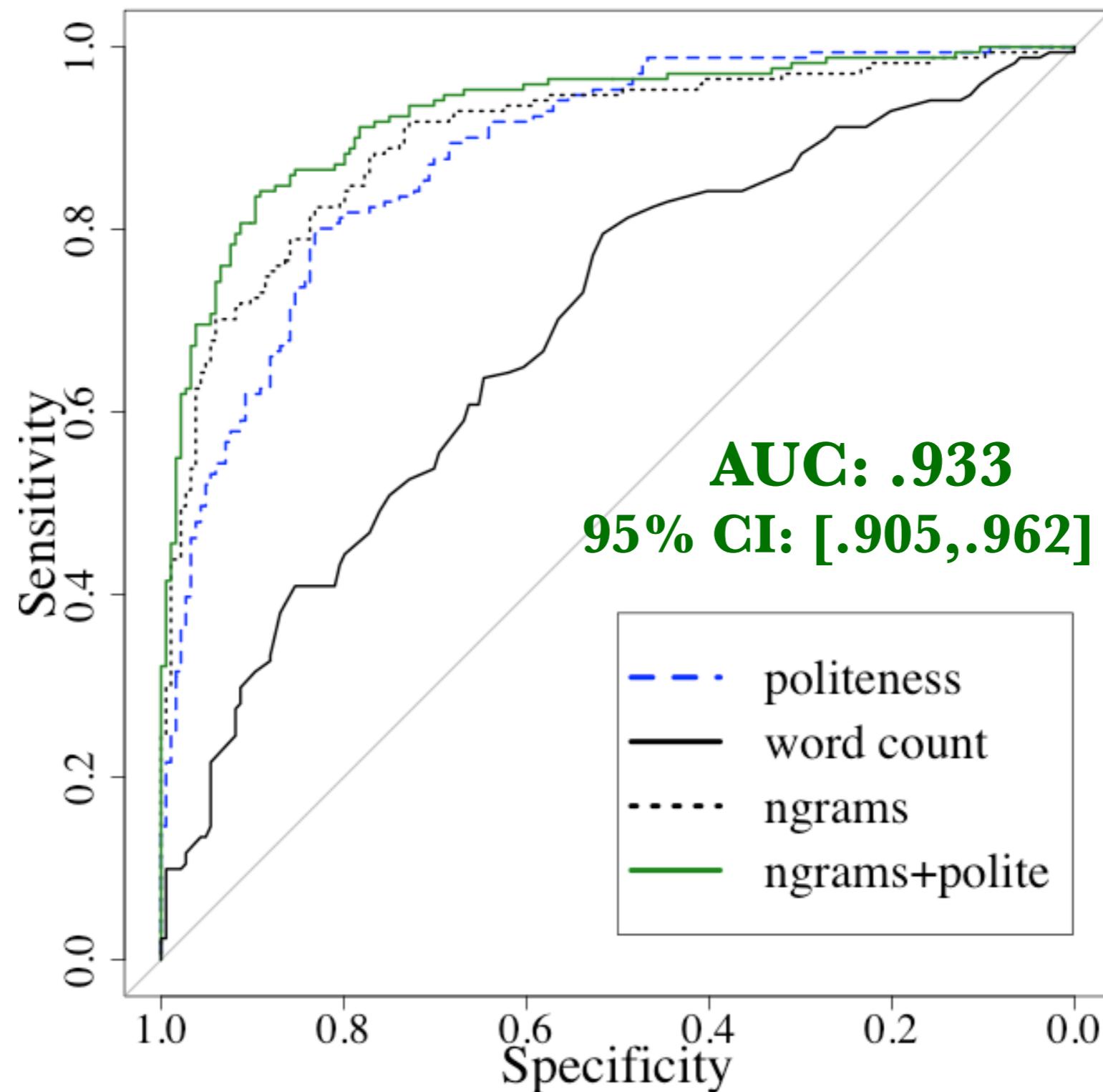
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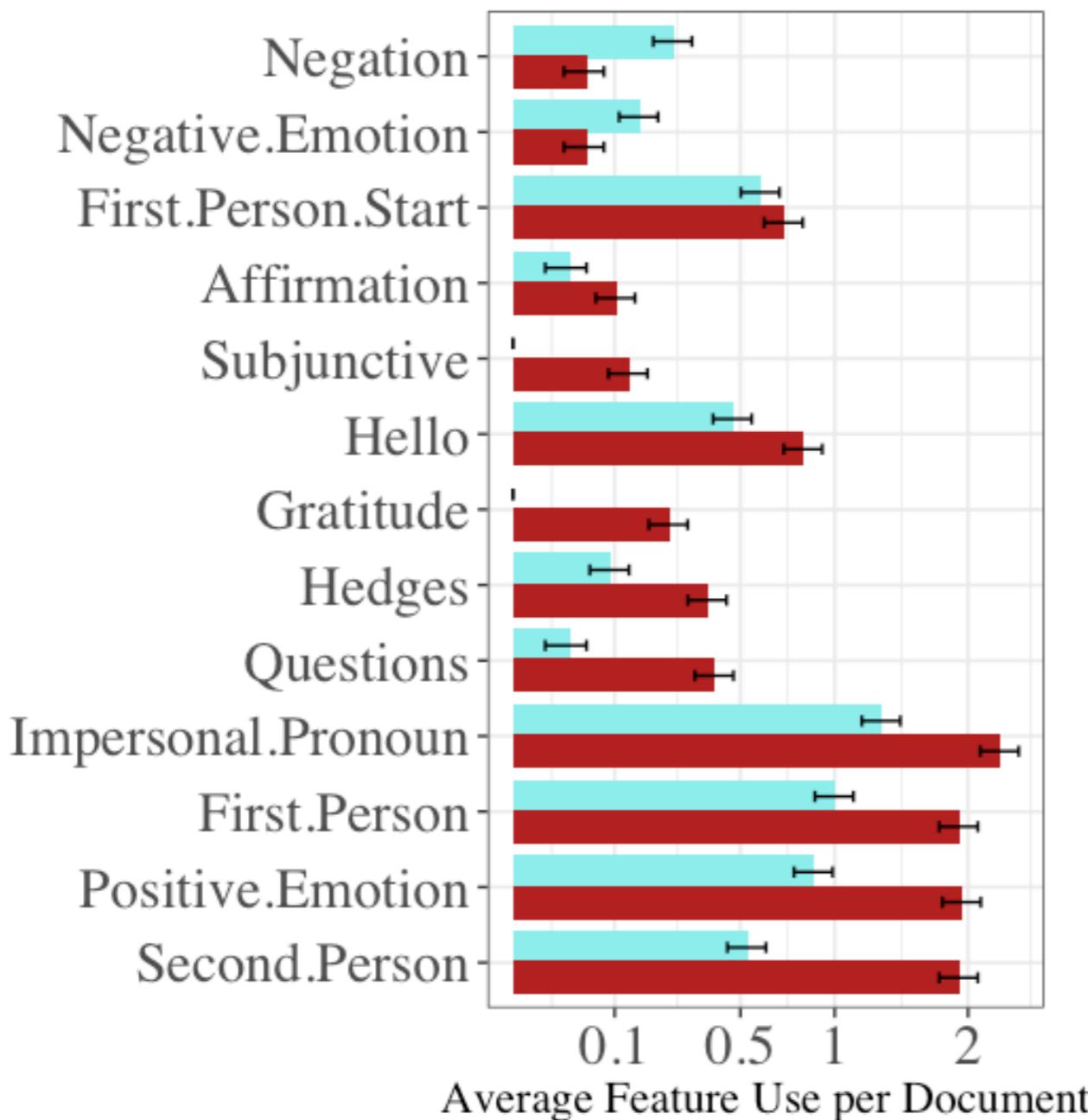
Communicating Warmth in ...



Communicating Warmth in ...

Lab Study Buyer

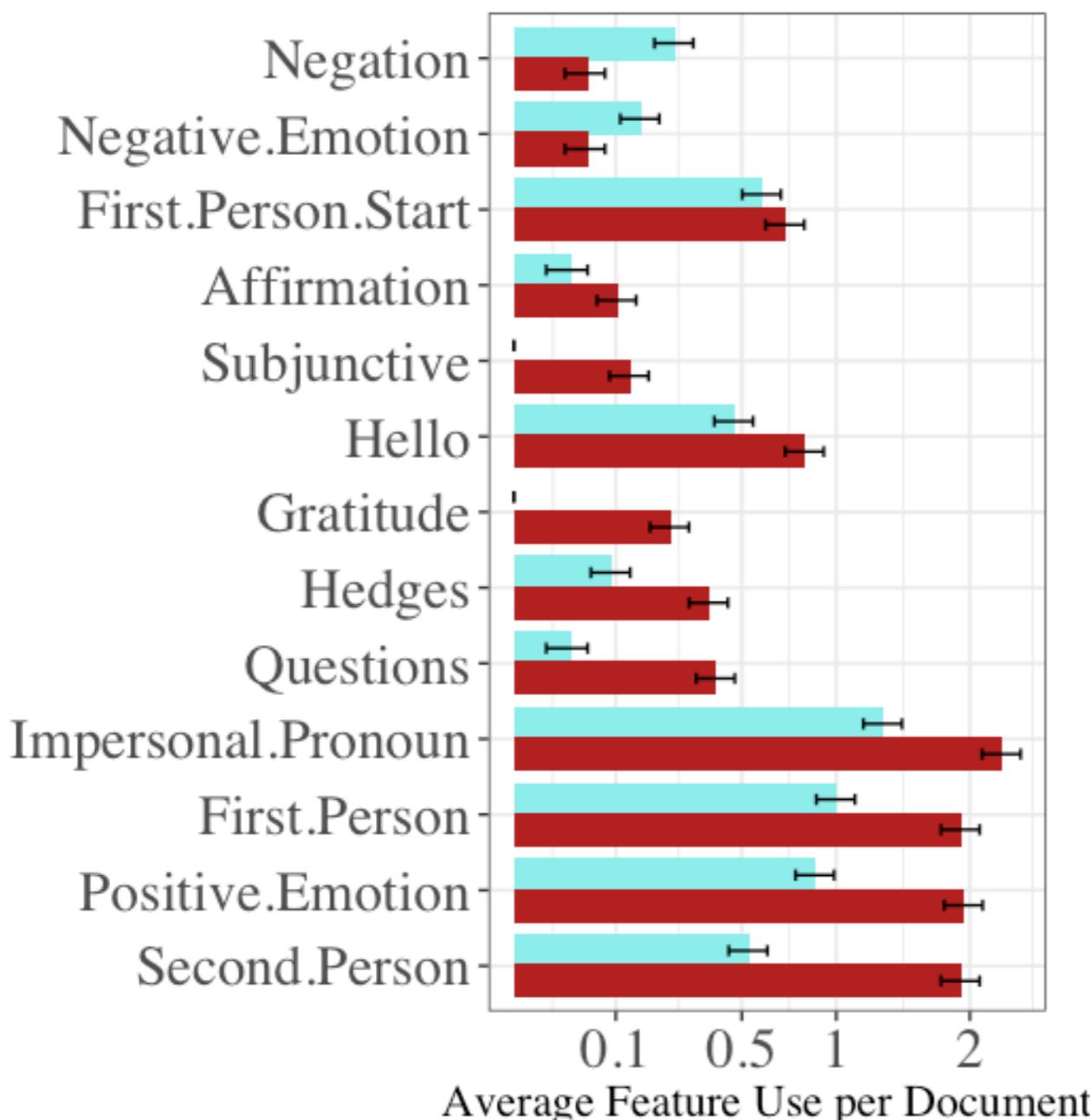
Buyer Style █ Tough █ Warm



Communicating Warmth in ...

Lab Study Buyer

Buyer Style █ Tough █ Warm

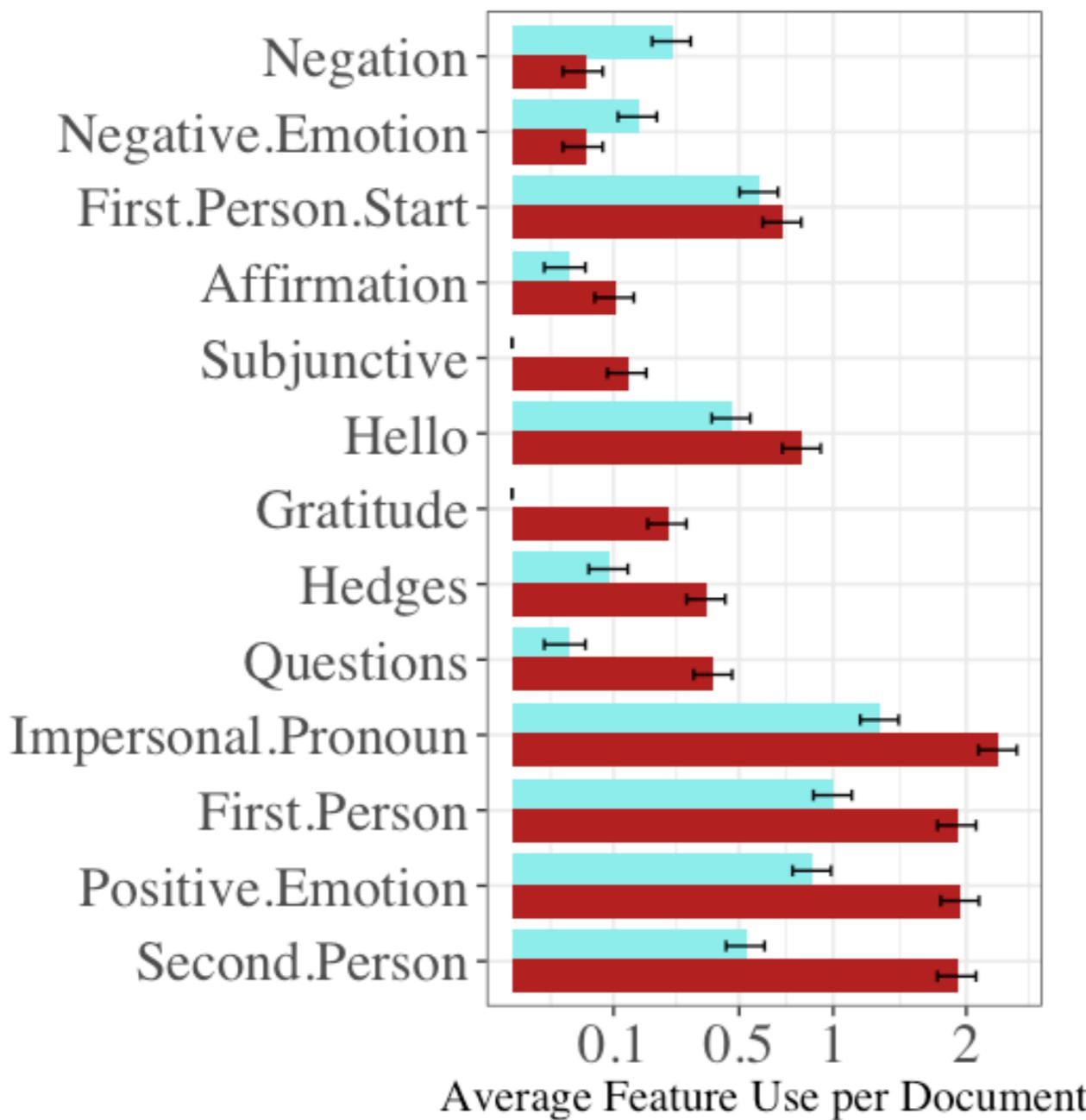


AUC = .887 [.810, .963]

Communicating Warmth in ...

Lab Study Buyer

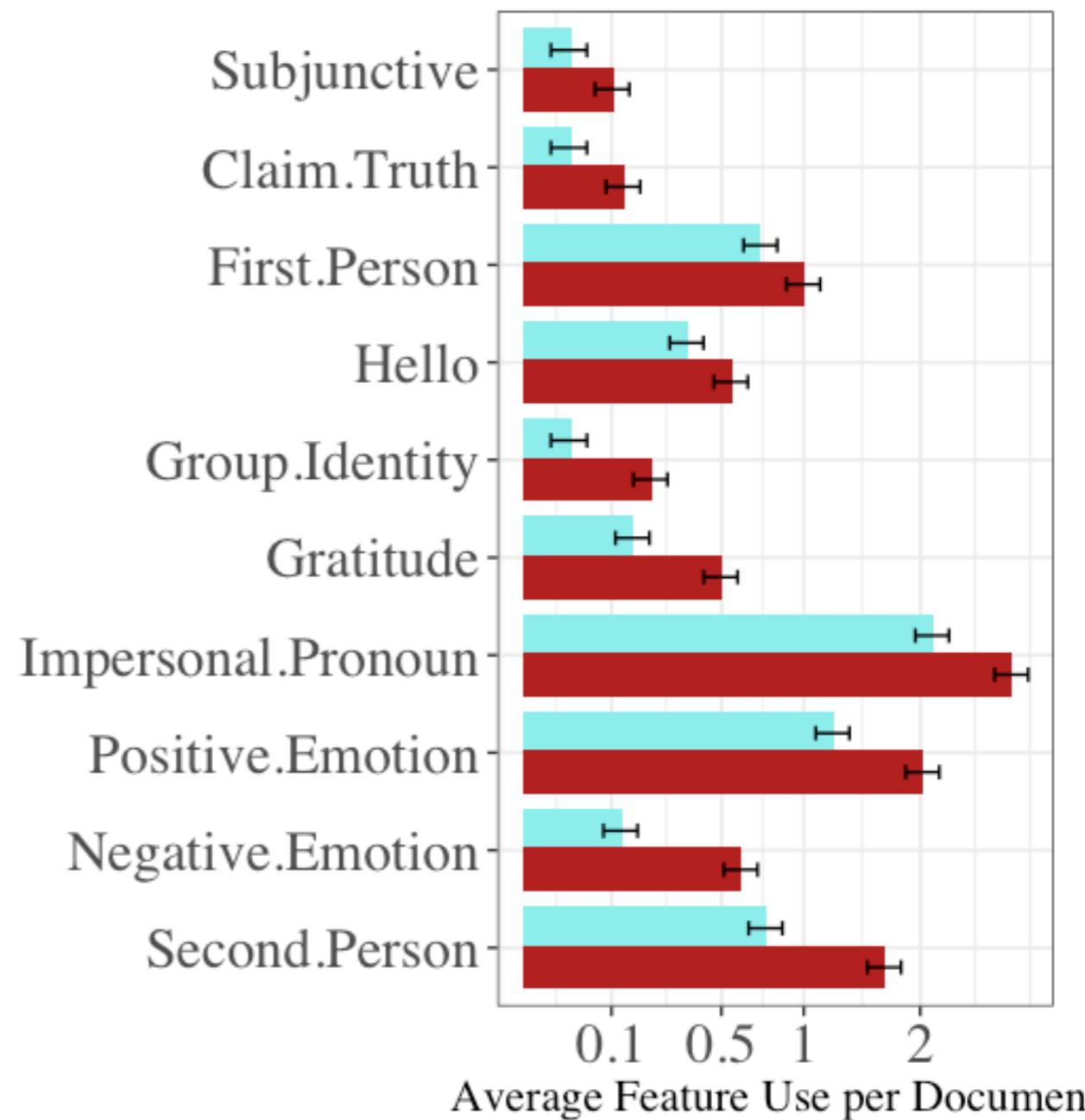
Buyer Style █ Tough █ Warm



AUC = .887 [.810, .963]

Lab Study Seller

Buyer Style █ Tough █ Warm



AUC = .764 [.651, .877]

Markers of Politeness

Goffman, 1967; Lakoff, 1973; Brown & Levinson, 1987

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“universal dimension in human communication”

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Positive Politeness

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Positive Politeness

Bolstering listener's self-image

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Positive Politeness

Bolstering listener's self-image

- + Gratitude
- + Complements
- + Open-ended Questions
- + In-Group Identity
- + Formal Titles
- Informal Titles

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Negative Politeness

Upholding listener's autonomy

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- Informal Titles

Negative Politeness

Upholding listener's autonomy

- + Minimizing
- + Indirect requests
- + Apologies
- + Hedging
- Negations
- Bare Commands

Markers of Politeness

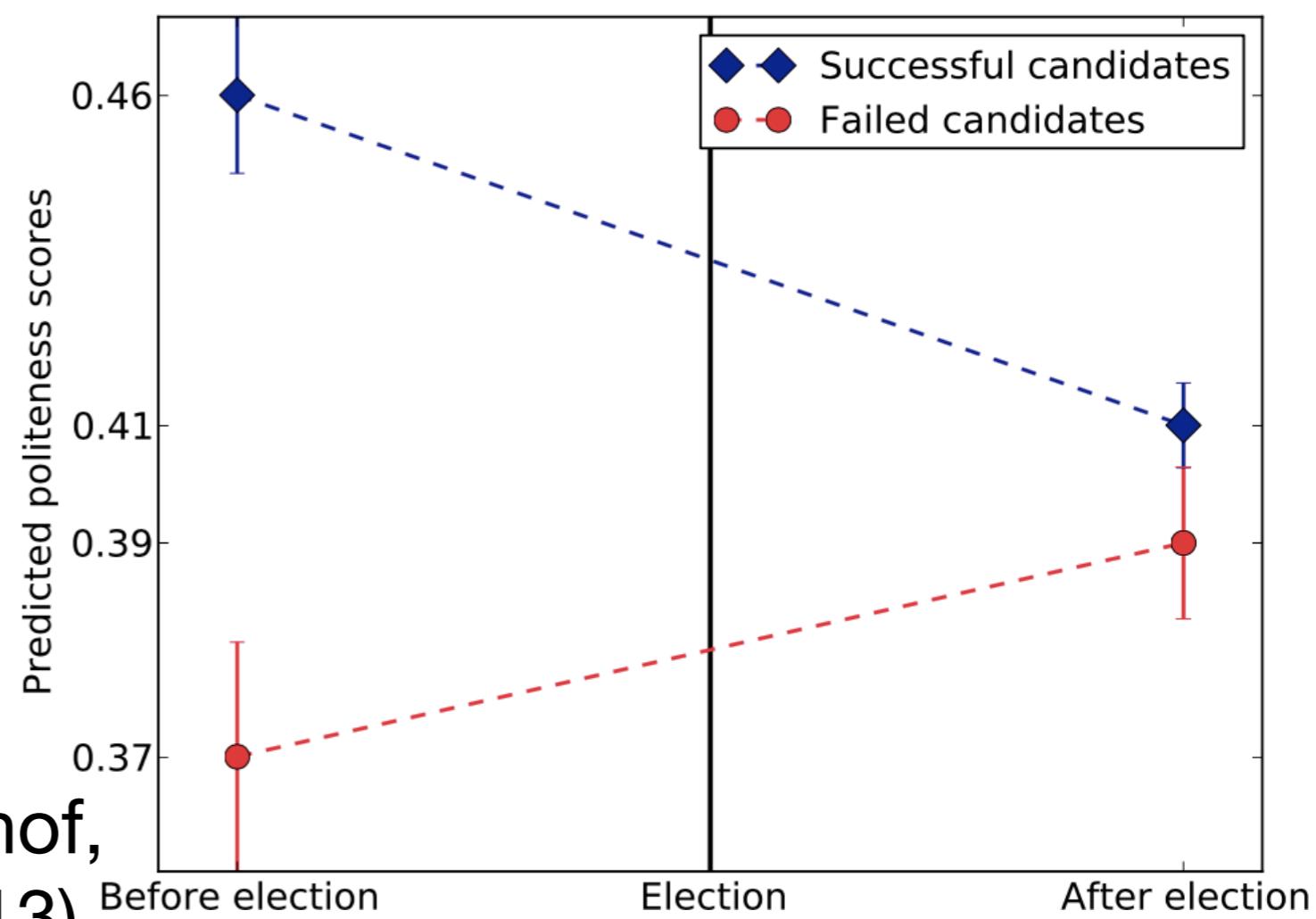
**A Computational Approach to Politeness
with Applications to Social Factors**



(Danescu-Niculescu-Mizil, Sudhof,
Jurafsky, Leskovec & Potts, 2013)

Markers of Politeness

A Computational Approach to Politeness with Applications to Social Factors



(Danescu-Niculescu-Mizil, Sudhof,
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Markers of Politeness

**Language from Police
Body Camera Footage
shows Racial
Disparities in
Officer Respect**



(Voigt, Camp, Prabakharan,
Hamilton, Hetey, Griffiths, Jurgens,
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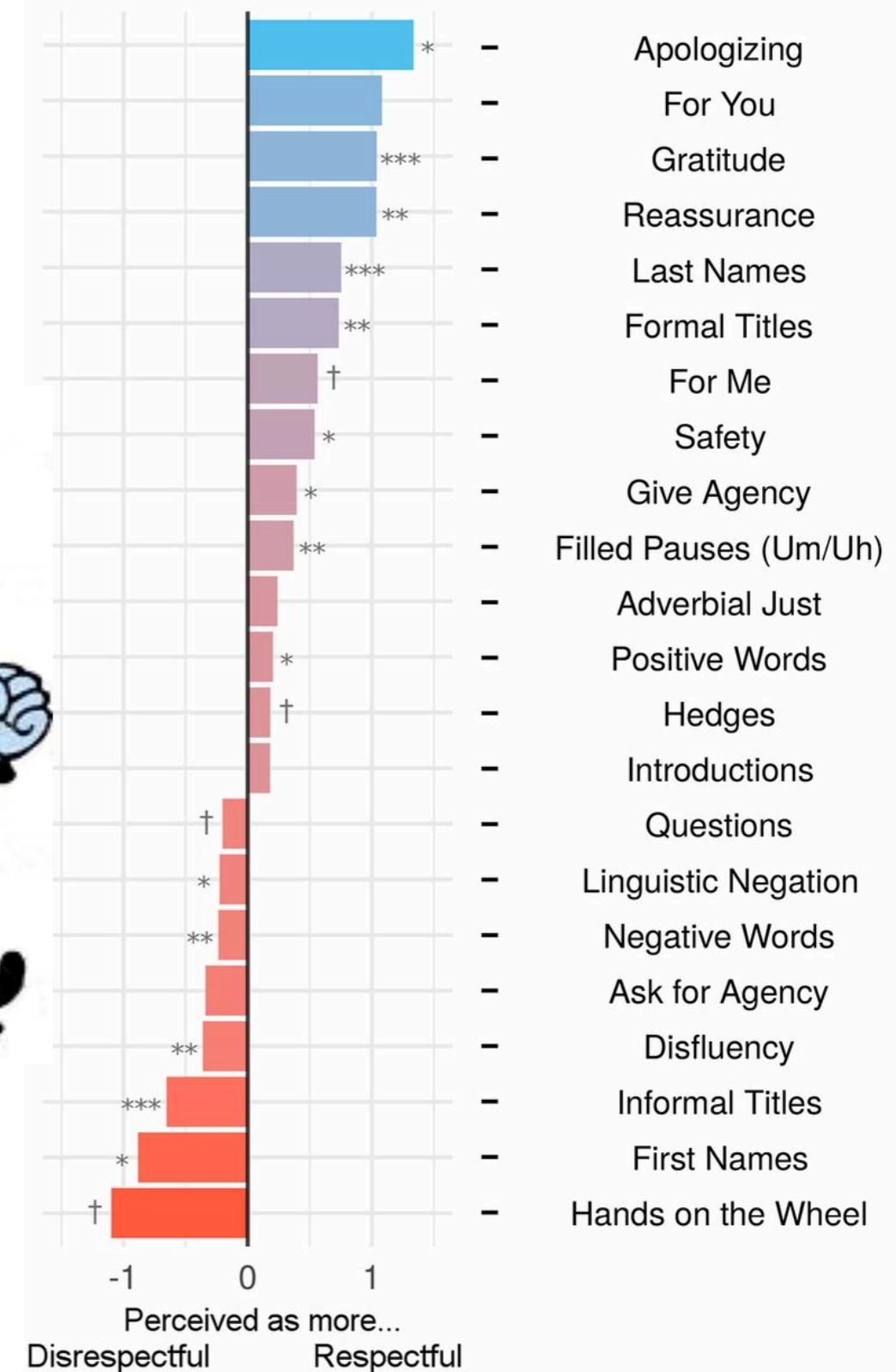
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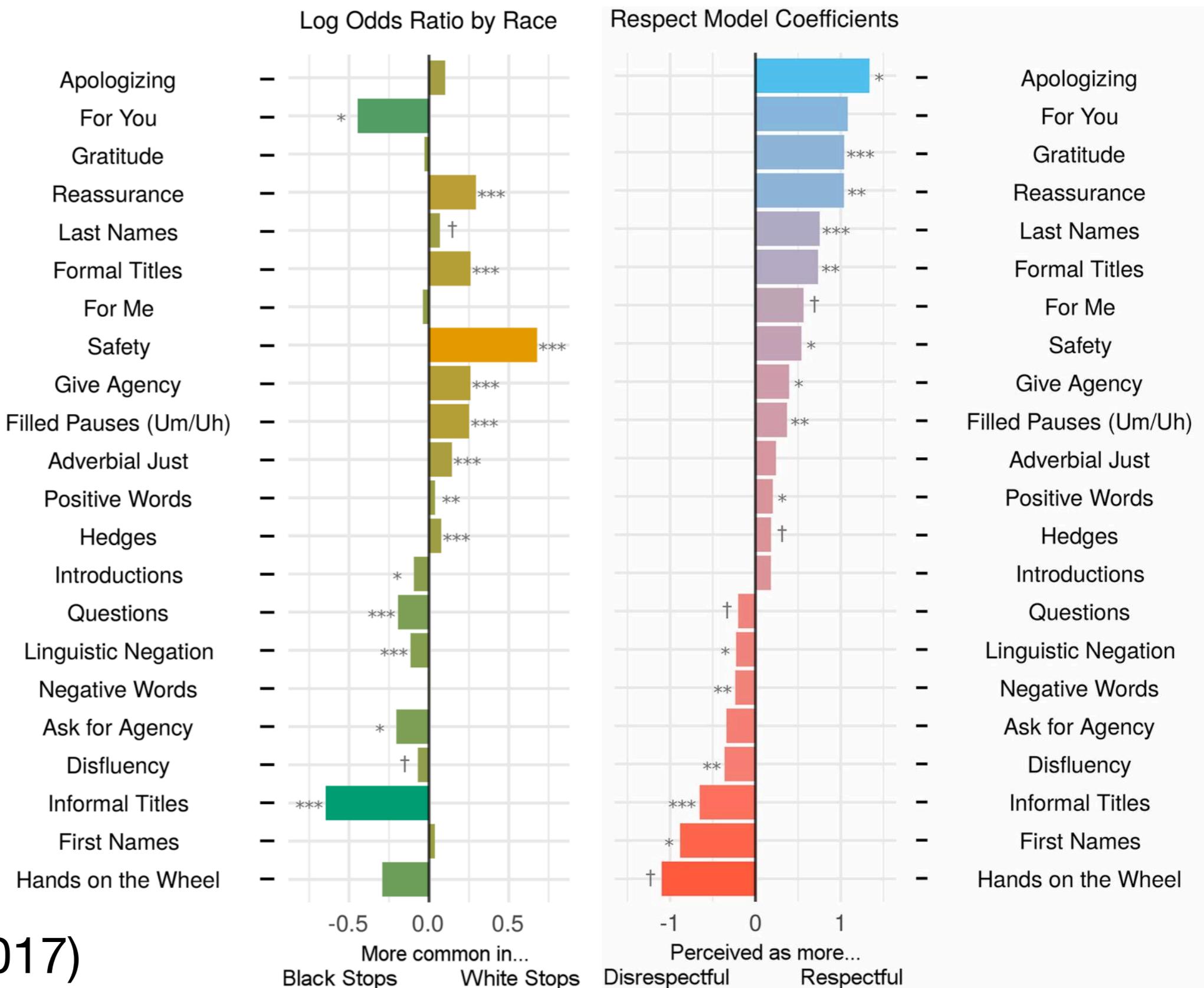


(Voigt, Camp, Prabakharan,
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Respect Model Coefficients



Markers of Politeness



(Voigt et al., 2017)

Markers of Politeness

EXAMPLE		RESPECT SCORE
FIRST NAME 	ASK FOR AGENCY 	QUESTIONS
 [name], can I see that driver's license again? 	 It- it's showing suspended. Is that- that's you? 	
DISFLUENCY	NEGATIVE WORD	DISFLUENCY
INFORMAL TITLE 	ASK FOR AGENCY 	ADVERBIAL "JUST"
 All right, my man. Do me a favor. Just keep your hands on the steering wheel real quick. 		

(Voigt et al., 2017)

Markers of Politeness

APOLOGY

Sorry to stop you. My name's Officer [name]
with the Police Department.

INTRODUCTION

LAST NAME

0.84

FORMAL TITLE

SAFETY PLEASE

1.21

There you go, ma'am. Drive safe, please.

ADVERBIAL "JUST"

FILLED PAUSE

REASSURANCE

It just says that, uh, you've fixed it. No problem.

2.07

Thank you very much, sir.

GRATITUDE

FORMAL TITLE

(Voigt et al., 2017)

Markers of Politeness

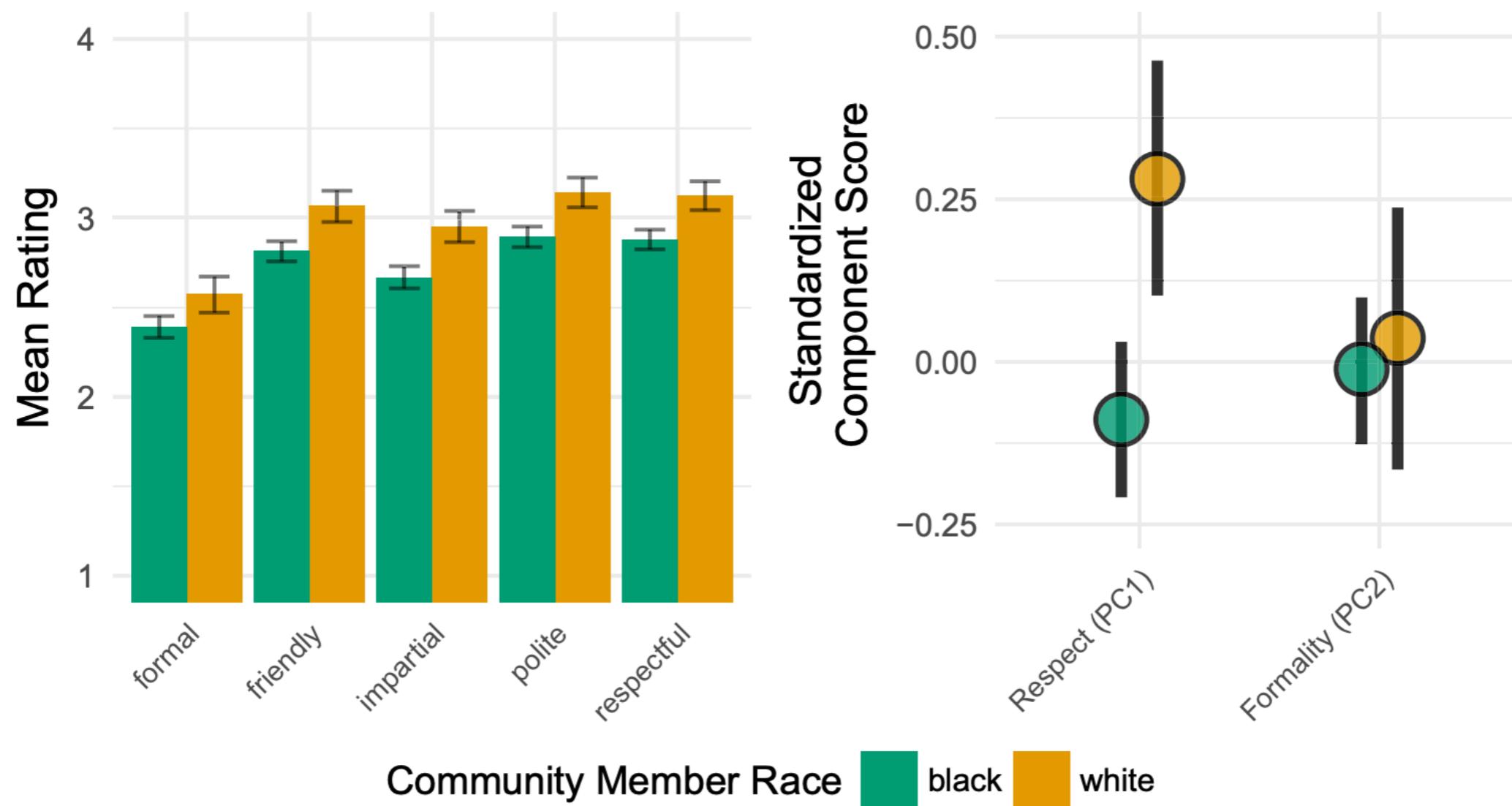
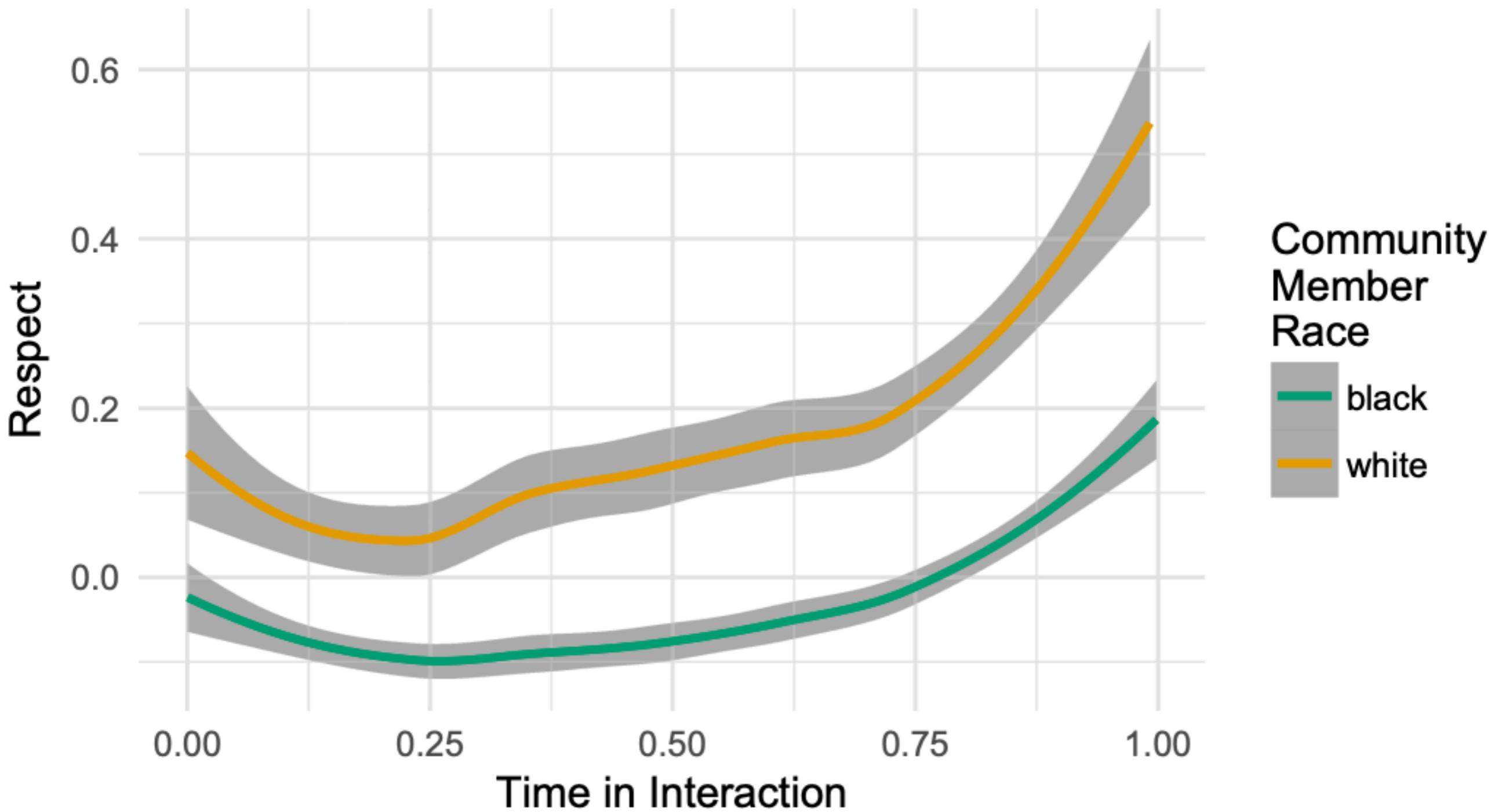


Fig. 1. (Left) Differences in raw participant ratings between interactions with black and white community members. (Right) When collapsed to two uncorrelated components, Respect and Formality, we find a significant difference for Respect but none for Formality. Error bars represent 95% confidence intervals. PC, principal component.

(Voigt et al., 2017)

Markers of Politeness



(Voigt et al., 2017)

Markers of Politeness

Apologies

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Apologies

"I apologize for my behavior"

Markers of Politeness

Apologies

"I apologize for my behavior"

"I apologise for my behaviour"

Markers of Politeness

Apologies

"I apologize for my behavior"

"I apologise for my behaviour"

"Not until you apologise for your behaviour"

Markers of Politeness

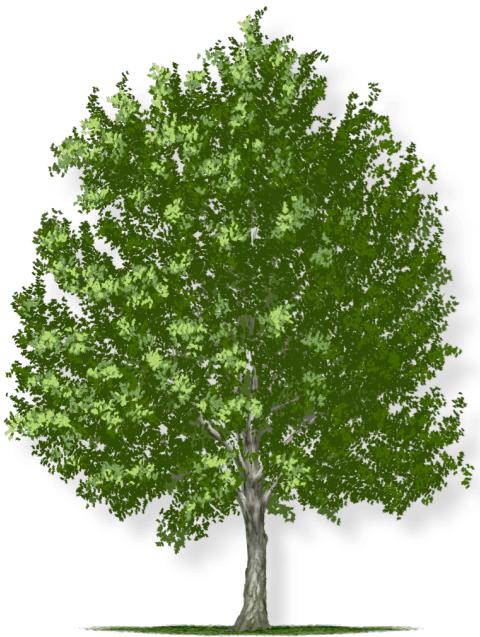
Apologies

"I apologize for my behavior"

"I apologise for my behaviour"

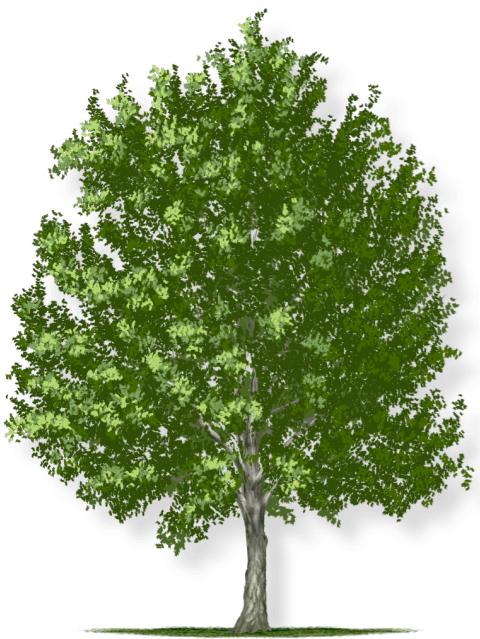
"Not until you apologise for your behaviour"

"I sincerely apologise for my behaviour"



Dependency Trees

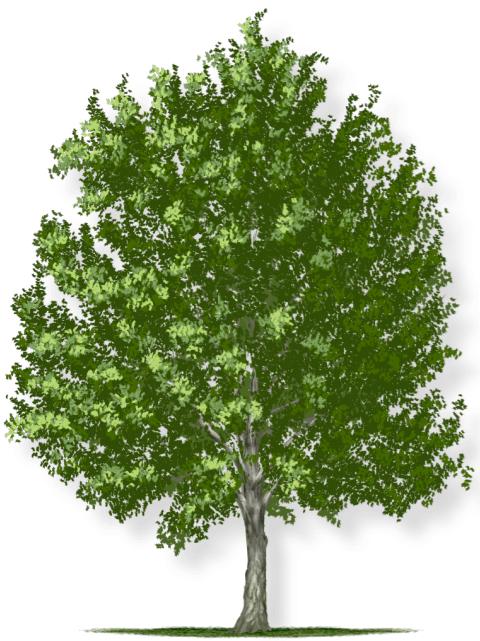
I sincerely apologise for my behaviour



Dependency Trees

I sincerely apologise for my behaviour

PRON ADV VERB ADP DET NOUN



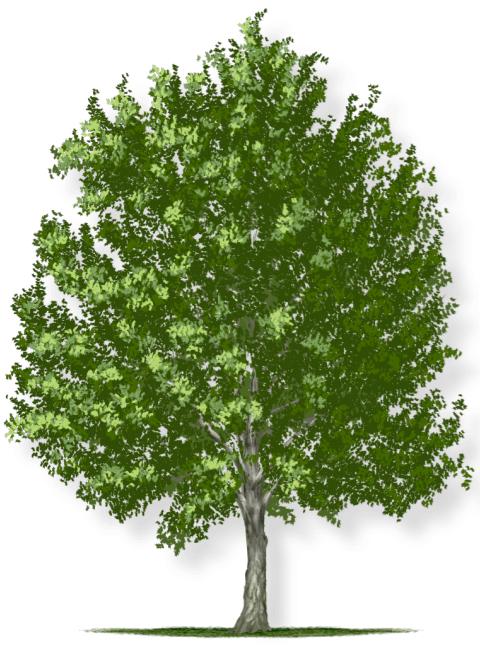
Dependency Trees

The "root"



I sincerely apologise for my behaviour

PRON ADV VERB ADP DET NOUN

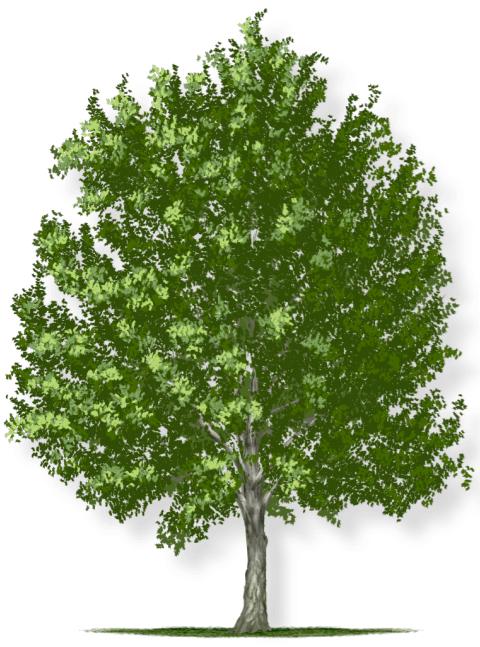


Dependency Trees

nsubj

I sincerely apologise for my behaviour

PRON ADV VERB ADP DET NOUN



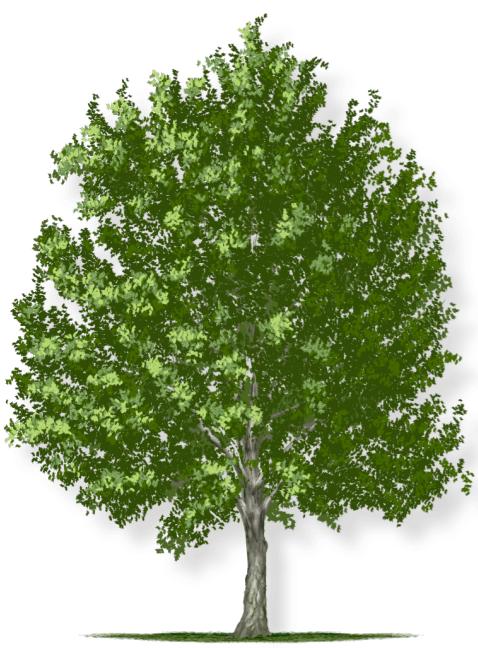
Dependency Trees

nsubj

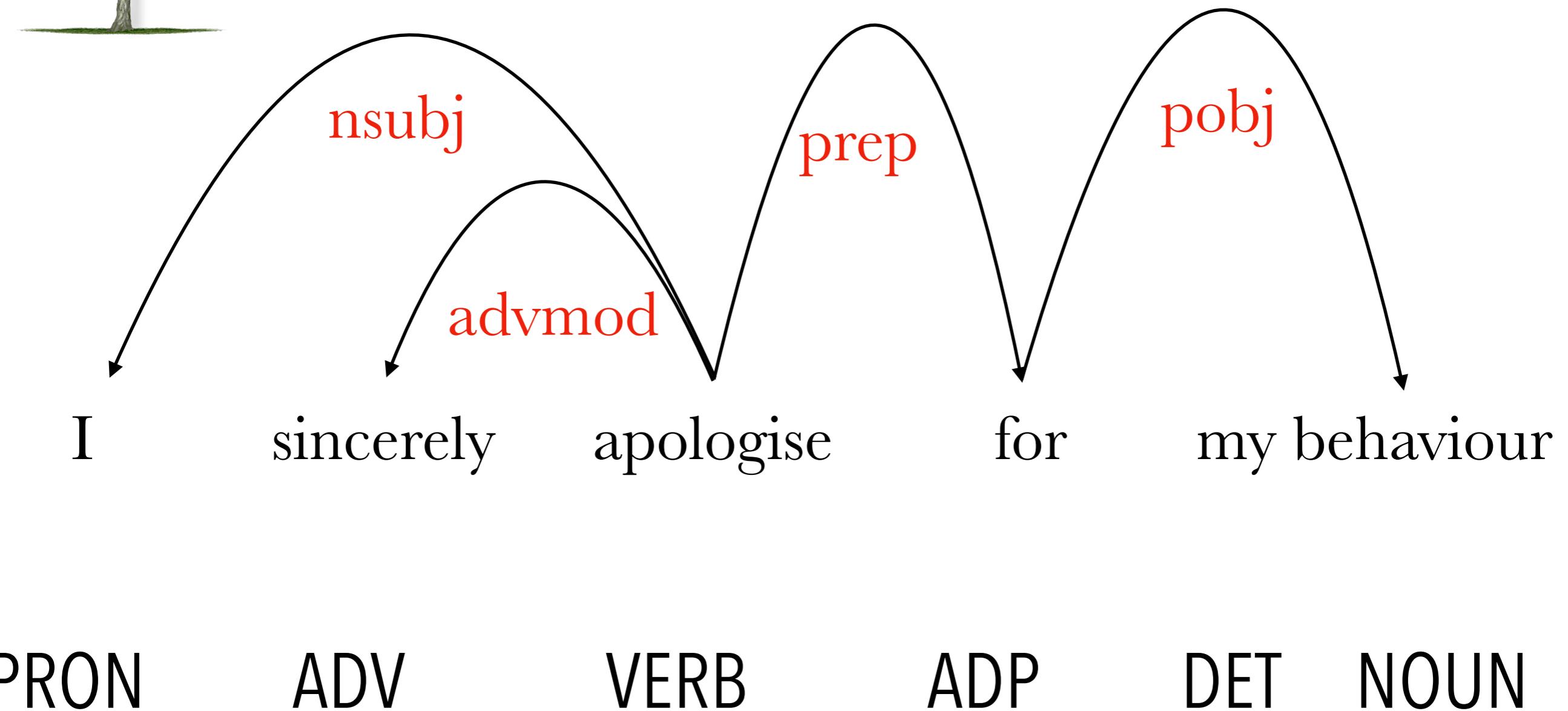
advmod

I sincerely apologise for my behaviour

PRON ADV VERB ADP DET NOUN

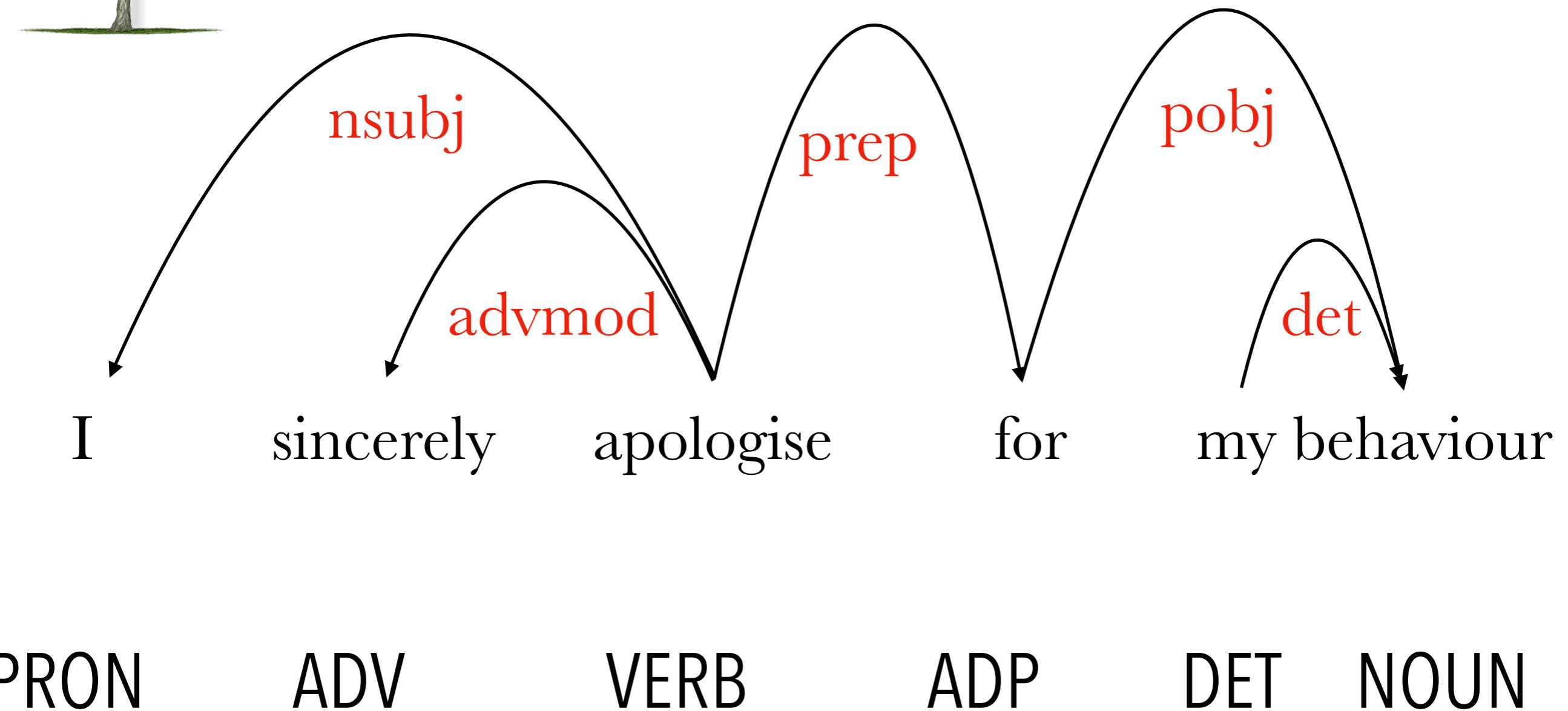


Dependency Trees



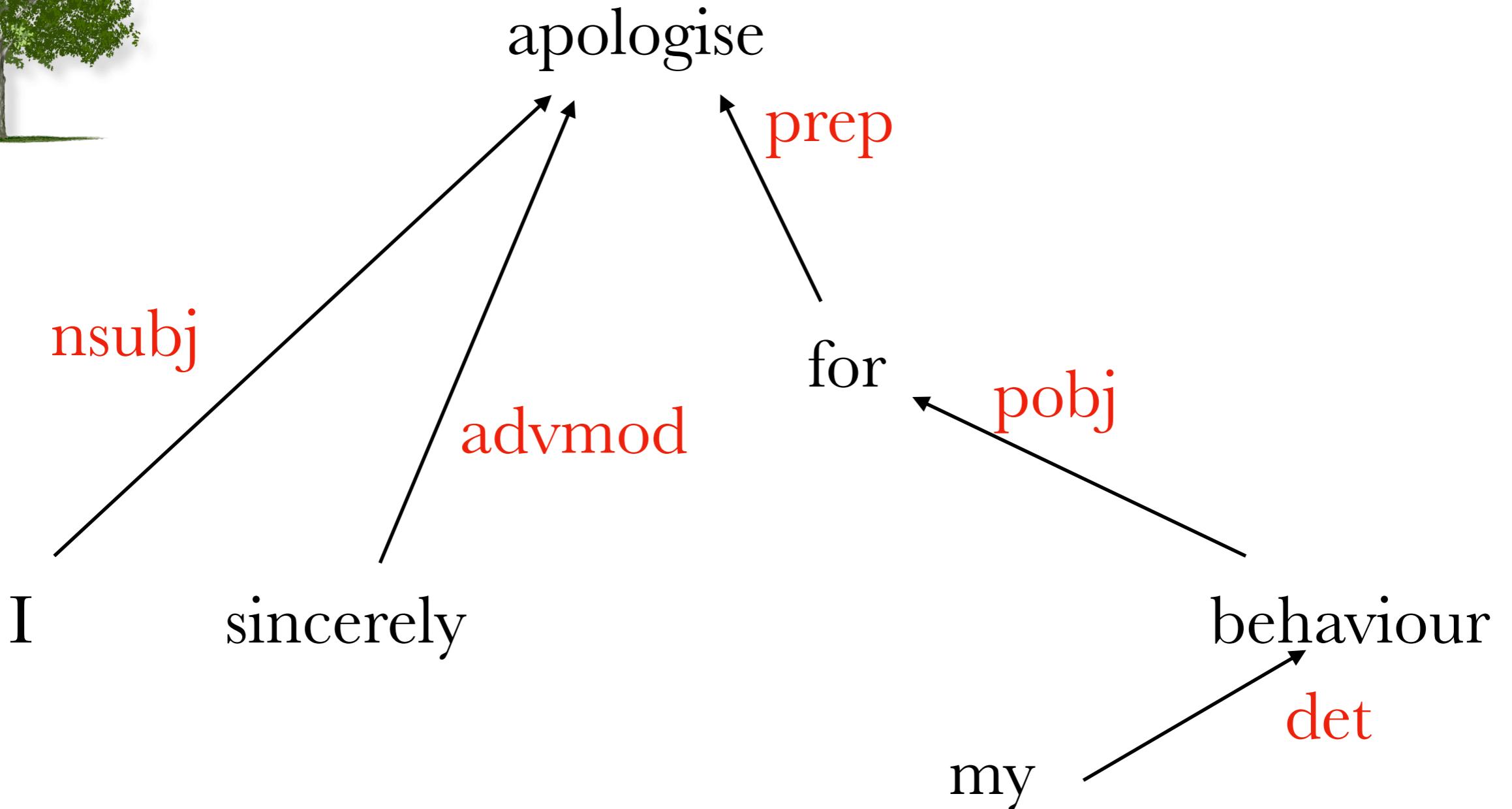


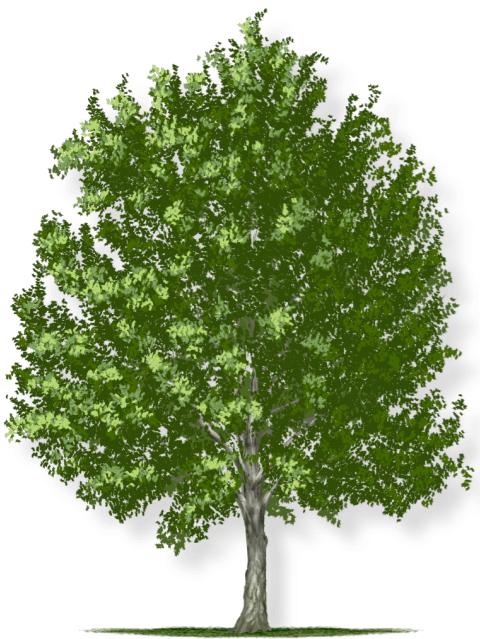
Dependency Trees





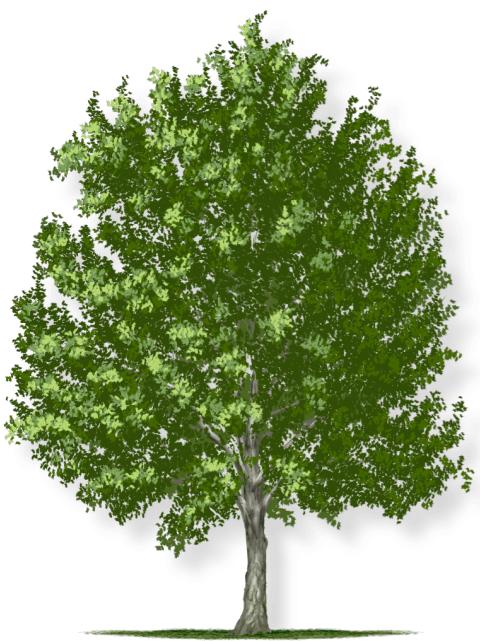
Dependency Trees





Dependency Trees

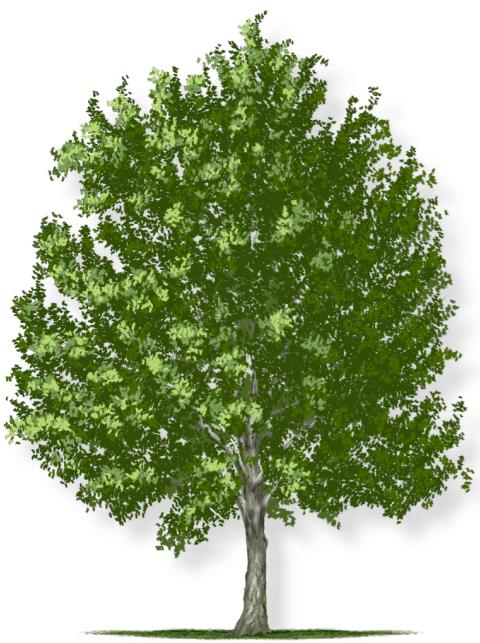
	Word
1	I
2	sincerely
3	apologise
4	for
5	my
6	behaviour



Dependency Trees

spaCy

Word	
1	I
2	sincerely
3	apologise
4	for
5	my
6	behaviour



Dependency Trees

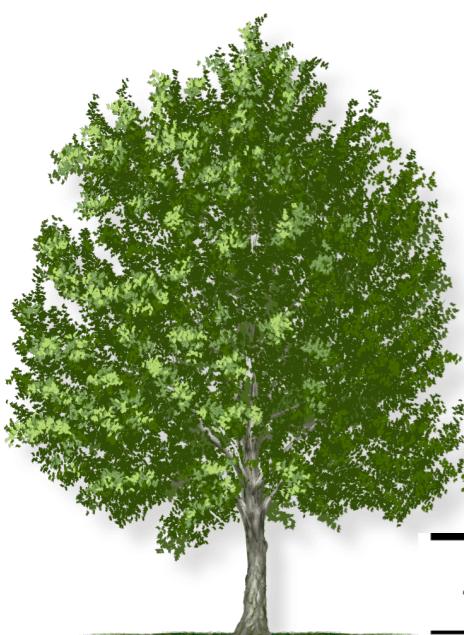
spaCy

	Word	Part of Speech	Head	Relation	Dependency Pair
1	I	PRON	3	nsubj	nsubj(apologize, I)
2	sincerely	ADV	3	advmod	advmod(apologize, sincerely)
3	apologise	VERB	3	ROOT	ROOT(--,apologise)
4	for	ADP	3	prep	prep(apologise,for)
5	my	DET	6	poss	det(behaviour, my)
6	behaviour	NOUN	4	pobj	pobj(for, behaviour)



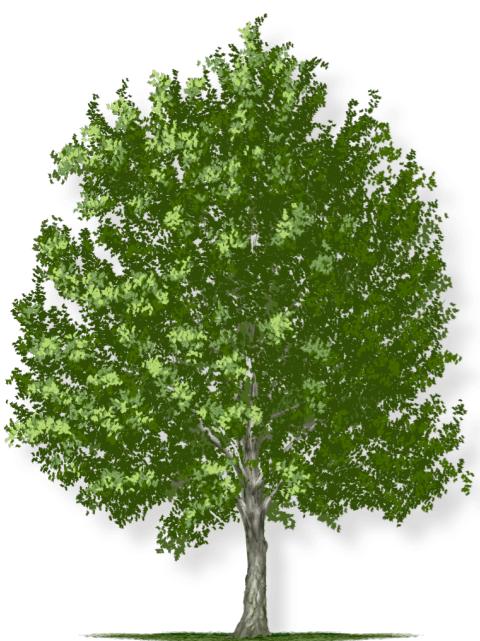
Dependency Trees

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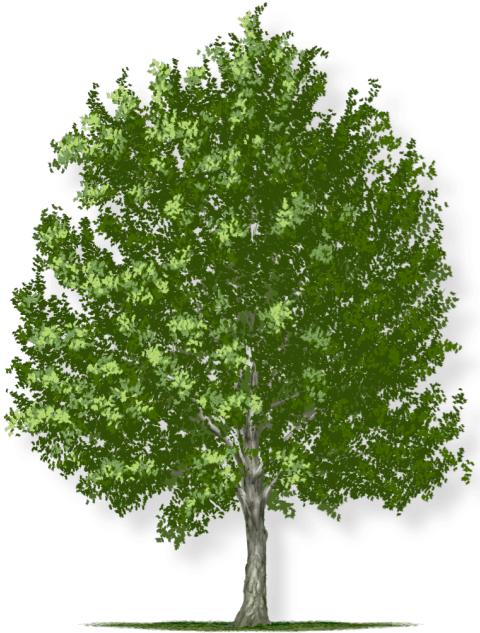


Dependency Trees

Syntactic tag	%	Gloss	Syntactic tag	%	Gloss
acl	1.89	adjectival clause	expl	0.00	expletive
advcl	0.70	adverbial clause modifier	foreign	0.01	foreign words
advmmod	2.12	adverbial modifier	goeswith	0.08	goes with
amod	8.34	adjectival modifier	iobj	0.22	indirect object
appos	1.69	appositional modifier	list	0.00	list
aux	4.35	auxiliary	mark	3.59	marker
auxpass	0.71	passive auxiliary	mwe	0.32	multi-word expression
case	9.80	case marking	name	1.56	name
cc	3.09	coordinating conjunction	neg	0.30	negation modifier
ccomp	1.03	clausal complement	nmod	17.05	nominal modifier
compound	3.02	compound	nsubj	5.97	nominal subject
conj	3.80	conjunct	nsubjpass	0.65	passive nominal subject
cop	1.41	copula	nummod	2.05	numeric modifier
csubj	0.12	clausal subject	parataxis	1.47	parataxis
csubjpass	0.03	clausal passive subject	punct	12.86	punctuation
dep	0.01	unspecified dependency	remnant	0.14	remnant in ellipsis
det	0.98	determiner	root	4.51	root
discourse	0.71	discourse element	vocative	0.00	vocative
dislocated	0.01	dislocated elements	xcomp	1.50	open clausal complement
dobj	3.92	direct object			

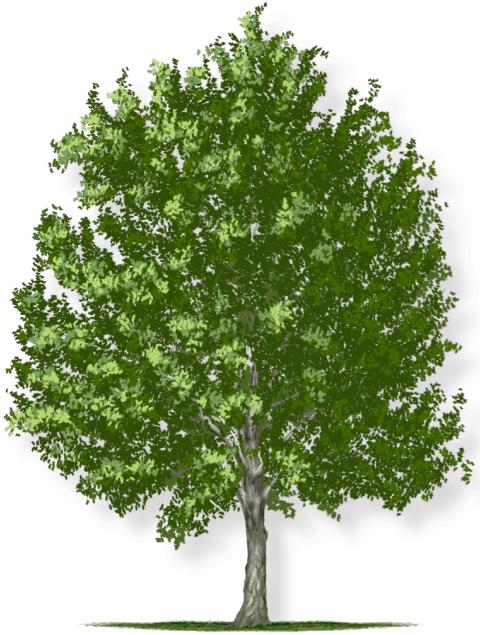


Dependency Trees



Dependency Trees

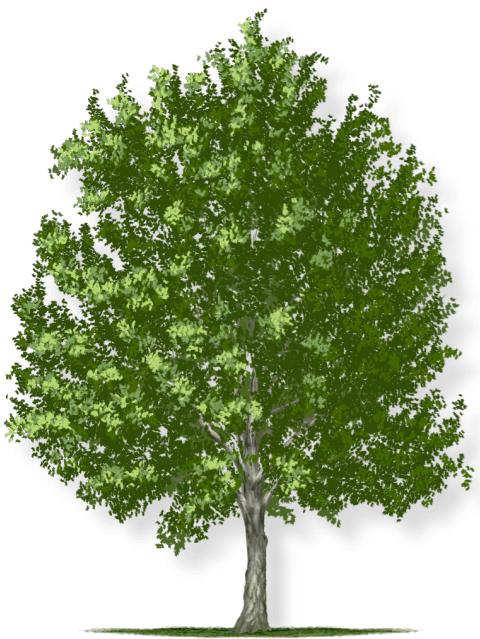
But why though?



Dependency Trees

But why though?

The actual structure of communication



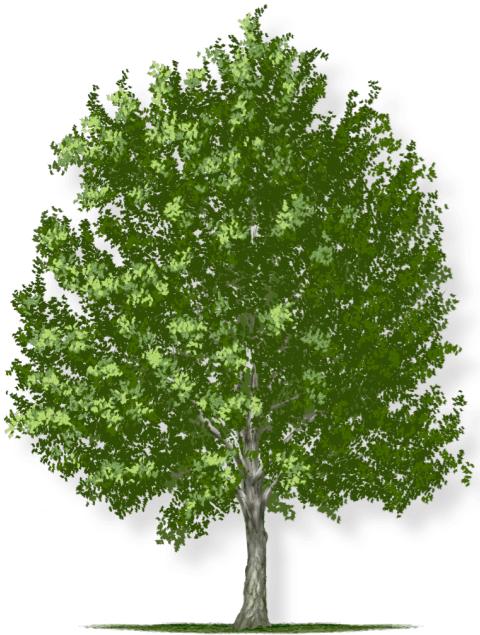
Dependency Trees

But why though?

The actual structure of communication

Word order matters!

"I don't understand you" vs. "I understand you don't"



Dependency Trees

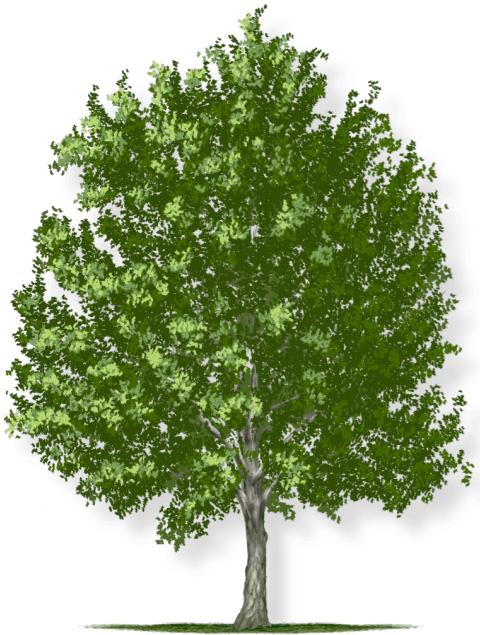
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Dependency Trees

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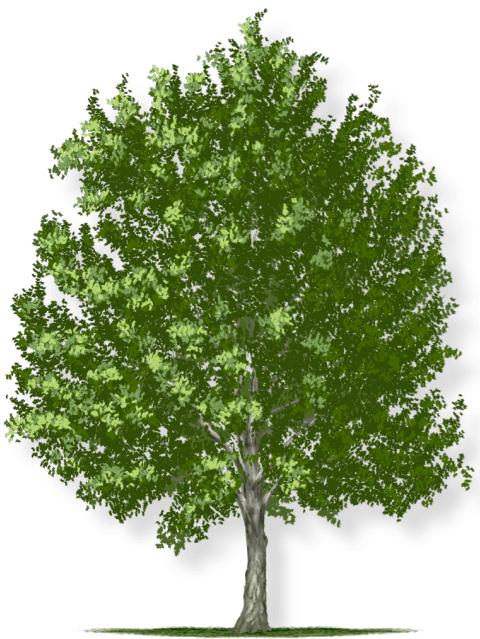
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Word order matters!

"I don't understand you" vs. "I understand you don't"

Distinguish homonyms ("I like you" vs. "It's like trash")

Not in LIWC, dictionaries, topic models, word2vec...

What about neural nets? (BERT, GPT-3...)

Emergent linguistic structure in artificial neural networks trained by self-supervision

Christopher D. Manning^{a,1} , Kevin Clark^a, John Hewitt^a , Urvashi Khandelwal^a, and Omer Levy^b

^aComputer Science Department, Stanford University, Stanford, CA 94305; and ^bFacebook Artificial Intelligence Research, Facebook Inc., Seattle, WA 98109

Edited by Matan Gavish, Hebrew University of Jerusalem, Jerusalem, Israel, and accepted by Editorial Board Member David L. Donoho April 13, 2020
(received for review June 3, 2019)

This paper explores the knowledge of linguistic structure learned by large artificial neural networks, trained via self-supervision, whereby the model simply tries to predict a masked word in a given context. Human language communication is via sequences of words, but language understanding requires constructing rich hierarchical structures that are never observed explicitly. The mechanisms for this have been a prime mystery of human language acquisition, while engineering work has mainly proceeded by supervised learning on treebanks of sentences hand labeled for this latent structure. However, we demonstrate that modern deep contextual language models learn major aspects of this structure, without any explicit supervision. We develop methods for identifying linguistic hierarchical structure emergent in artificial neural networks and demonstrate that components in these models focus on syntactic grammatical relationships and anaphoric coreference. Indeed, we show that a linear transformation of learned embeddings in these models captures parse tree distances to a surprising degree, allowing approximate reconstruction of the sentence tree structures normally assumed by linguists. These results help explain why these models have brought such large improvements across many language-understanding tasks.

own supervised learning problems by choosing to interpret some of the data as a “label” to be predicted.[†] The canonical case for human language is the language-modeling task of trying to predict the next word in an utterance based on the temporally preceding words (Fig. 2). Variant tasks include the masked language-modeling task of predicting a masked word in a text [a.k.a. the cloze task (11)] and predicting the words likely to occur around a given word (12, 13). Autoencoders (14) can also be thought of as self-supervised learning systems. Since no explicit labeling of the data is required, self-supervised learning is a type of unsupervised learning, but the approach of self-generating supervised learning objectives differentiates it from other unsupervised learning techniques such as clustering.

One might expect that a machine-learning model trained to predict the next word in a text will just be a giant associative learning machine, with lots of statistics on how often the word restaurant is followed by kitchen and perhaps some basic abstracted sequence knowledge such as knowing that adjectives are commonly followed by nouns in English. It is not at all clear that such a system can develop interesting knowledge of the linguistic structure of whatever human language the system is trained on. Indeed, this has been the dominant perspective in linguistics, where language models have long been seen as inadequate

Emergent linguistic structure in artificial neural networks trained by self-supervision

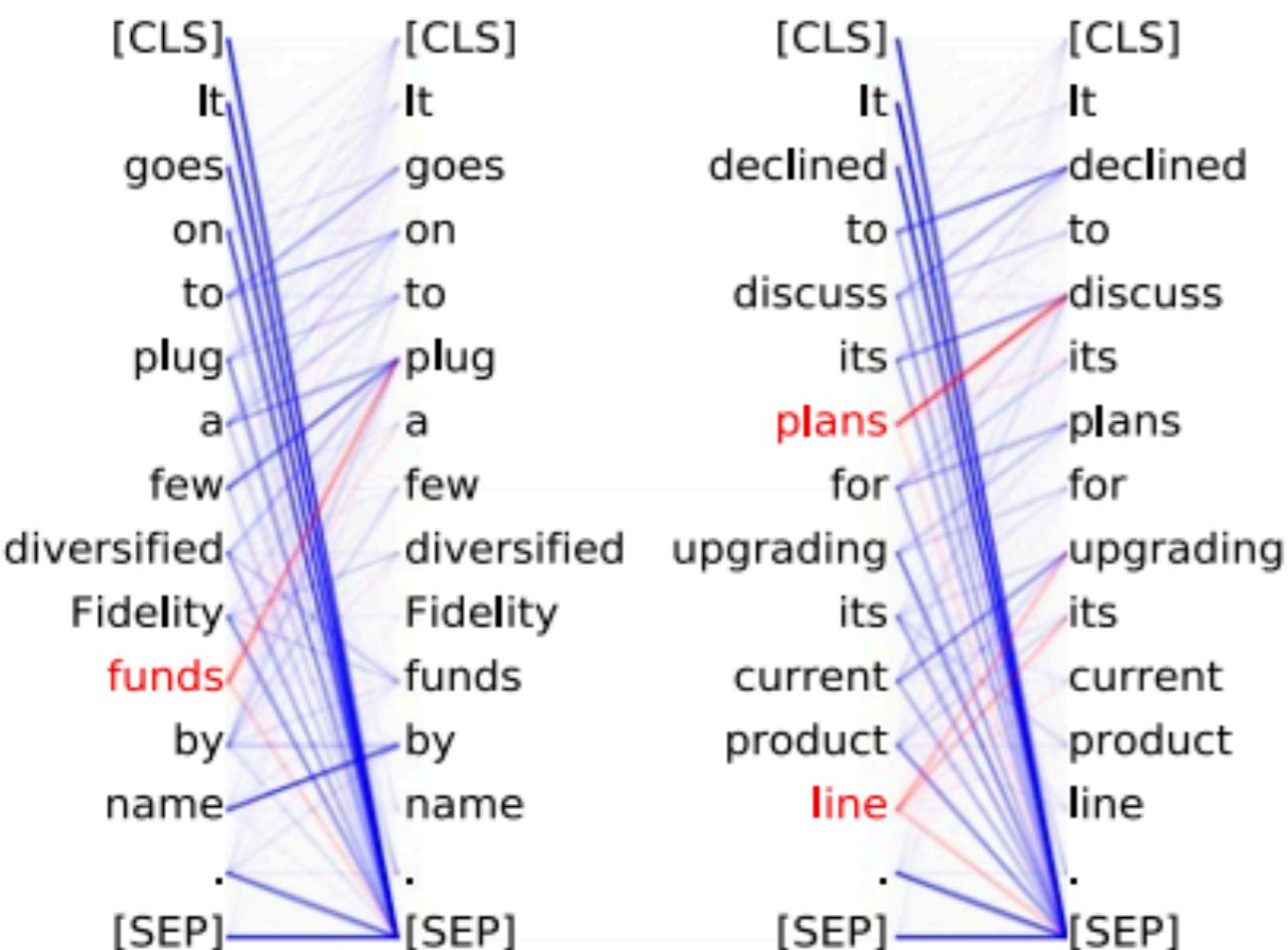
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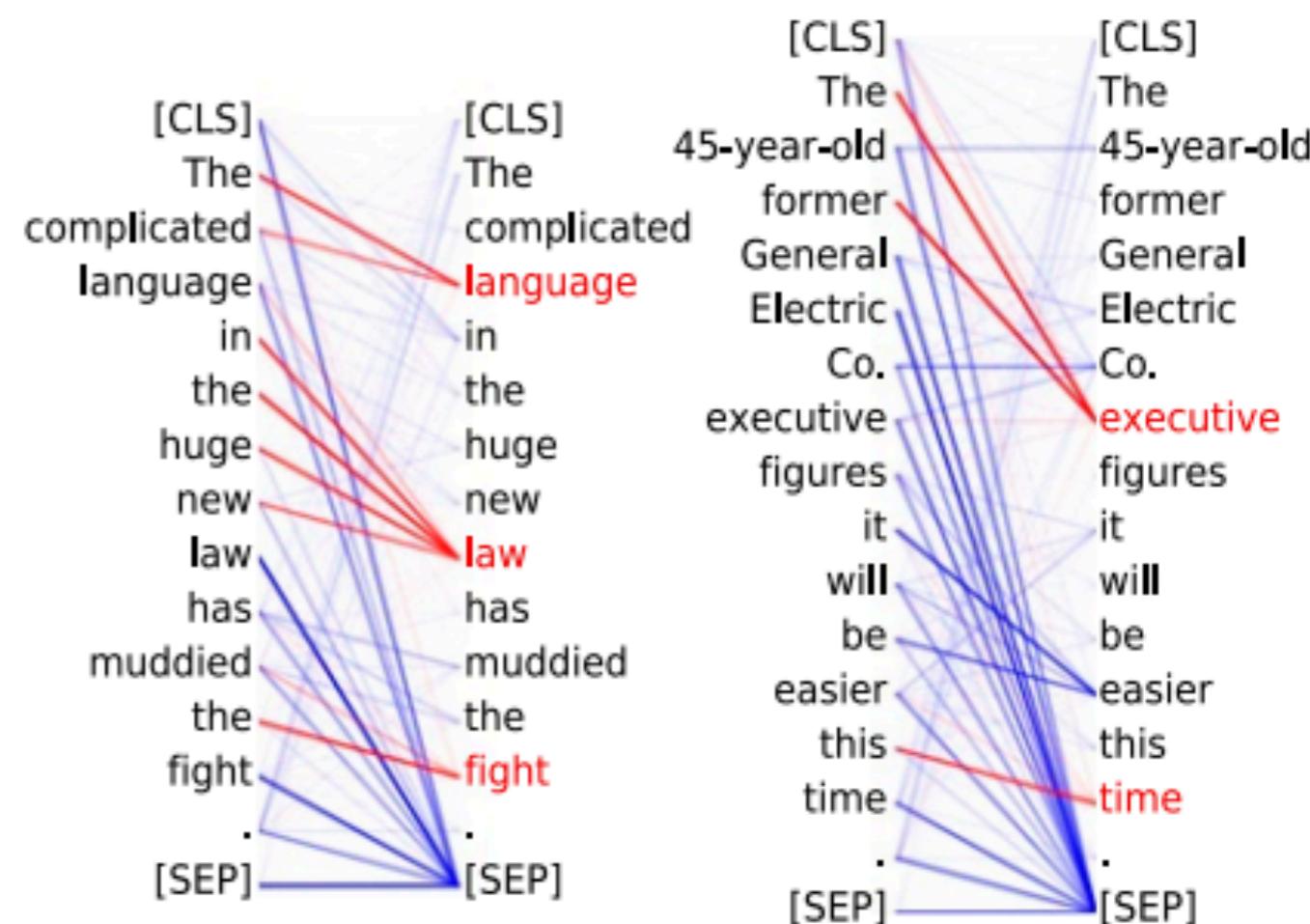
Head 8-10

Direct objects most attend to their verbs 86.8% of the time.

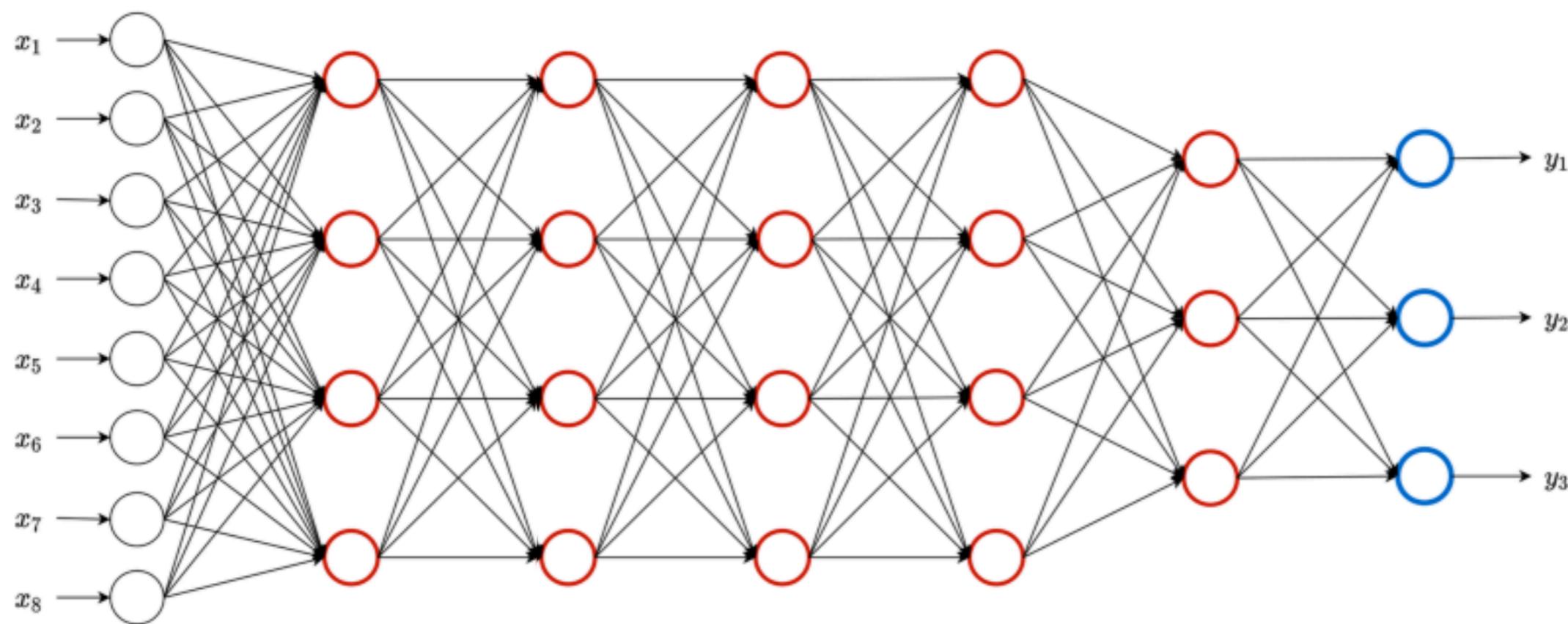


Head 8-11

Noun premodifiers attend to their noun. Determiners most attend to their noun 94.3% of the time.

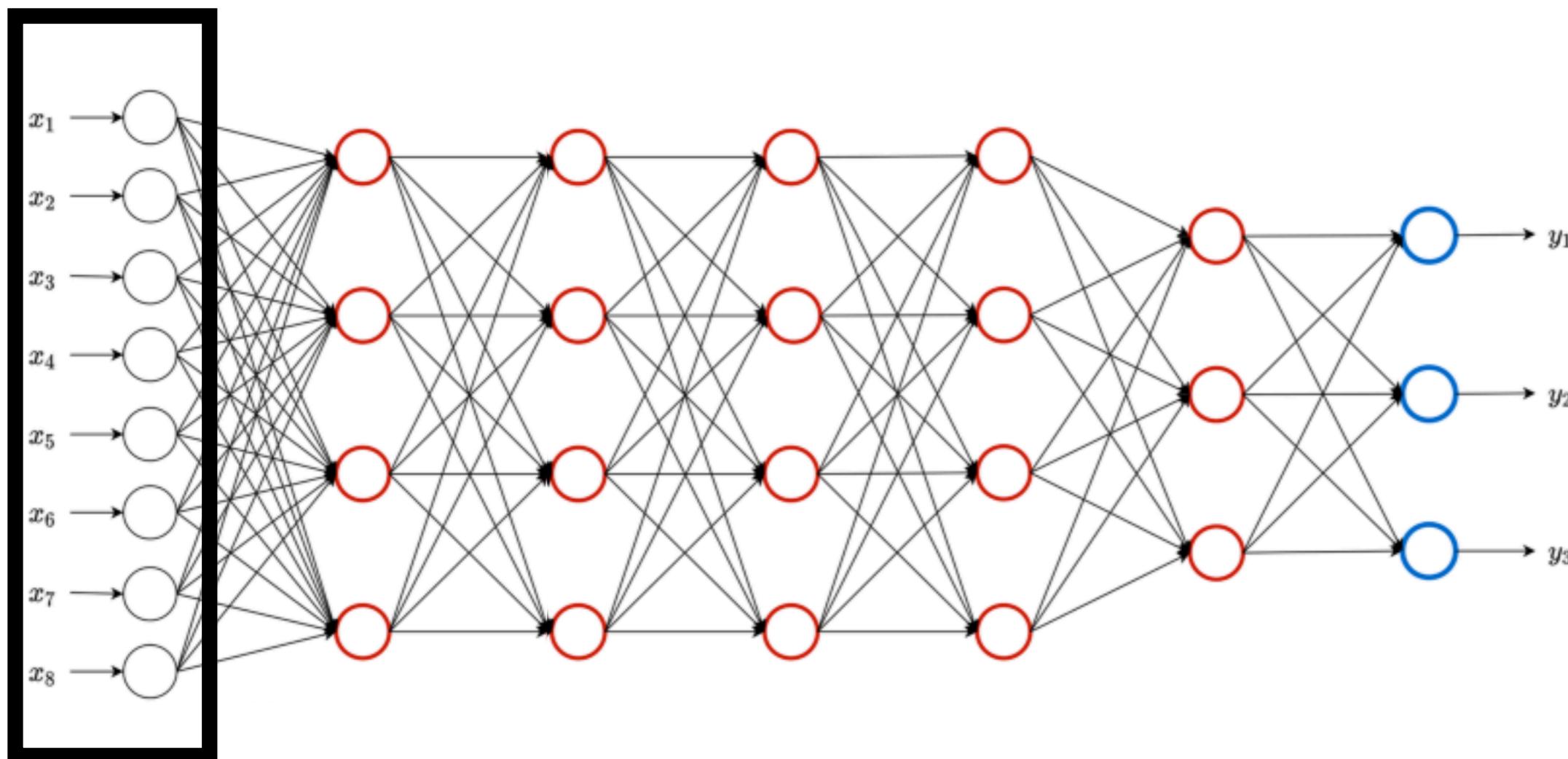


Pre-Trained Models



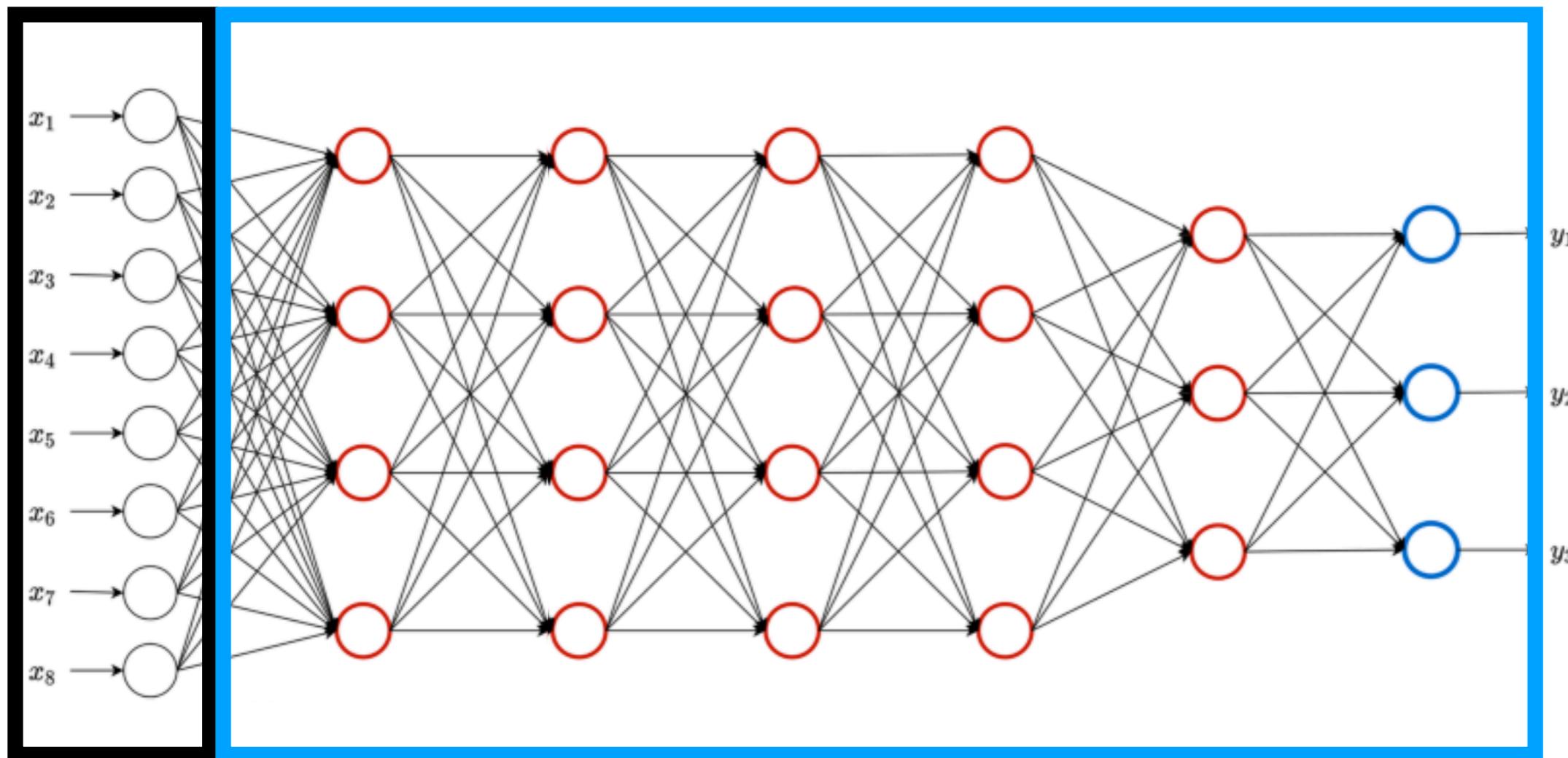
Pre-Trained Models

Words in a Document



Pre-Trained Models

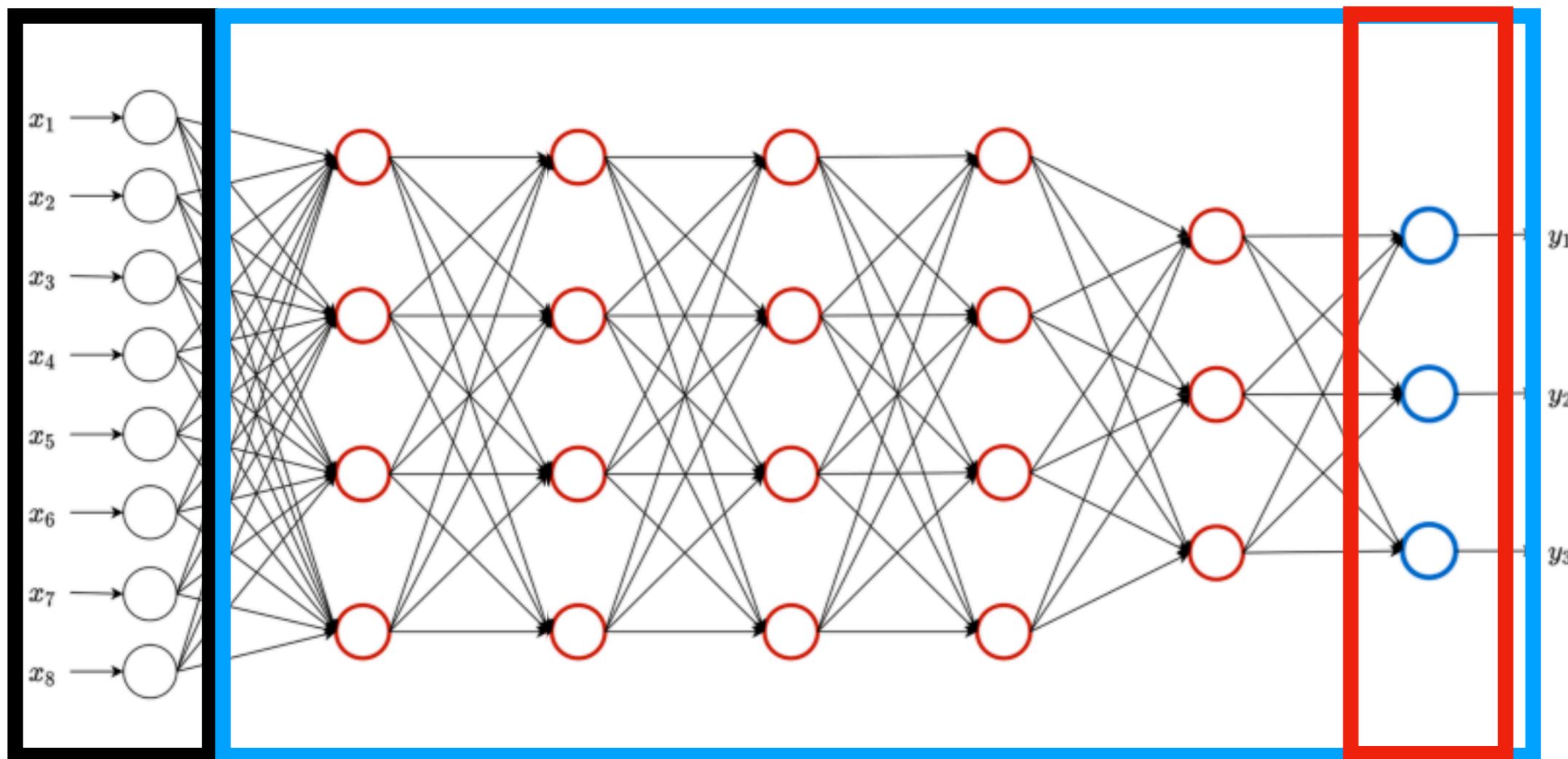
spaCy



Pre-Trained Models

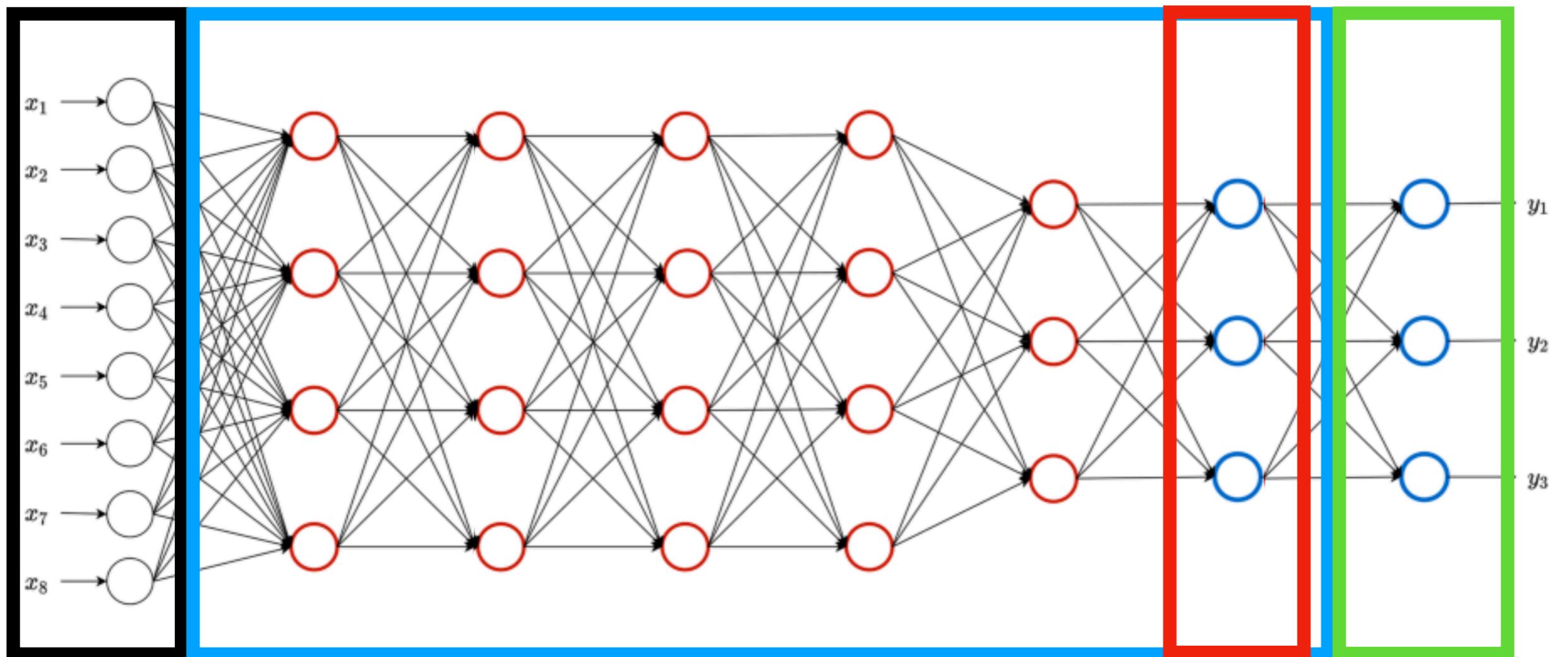
spaCy

Grammar
Labels



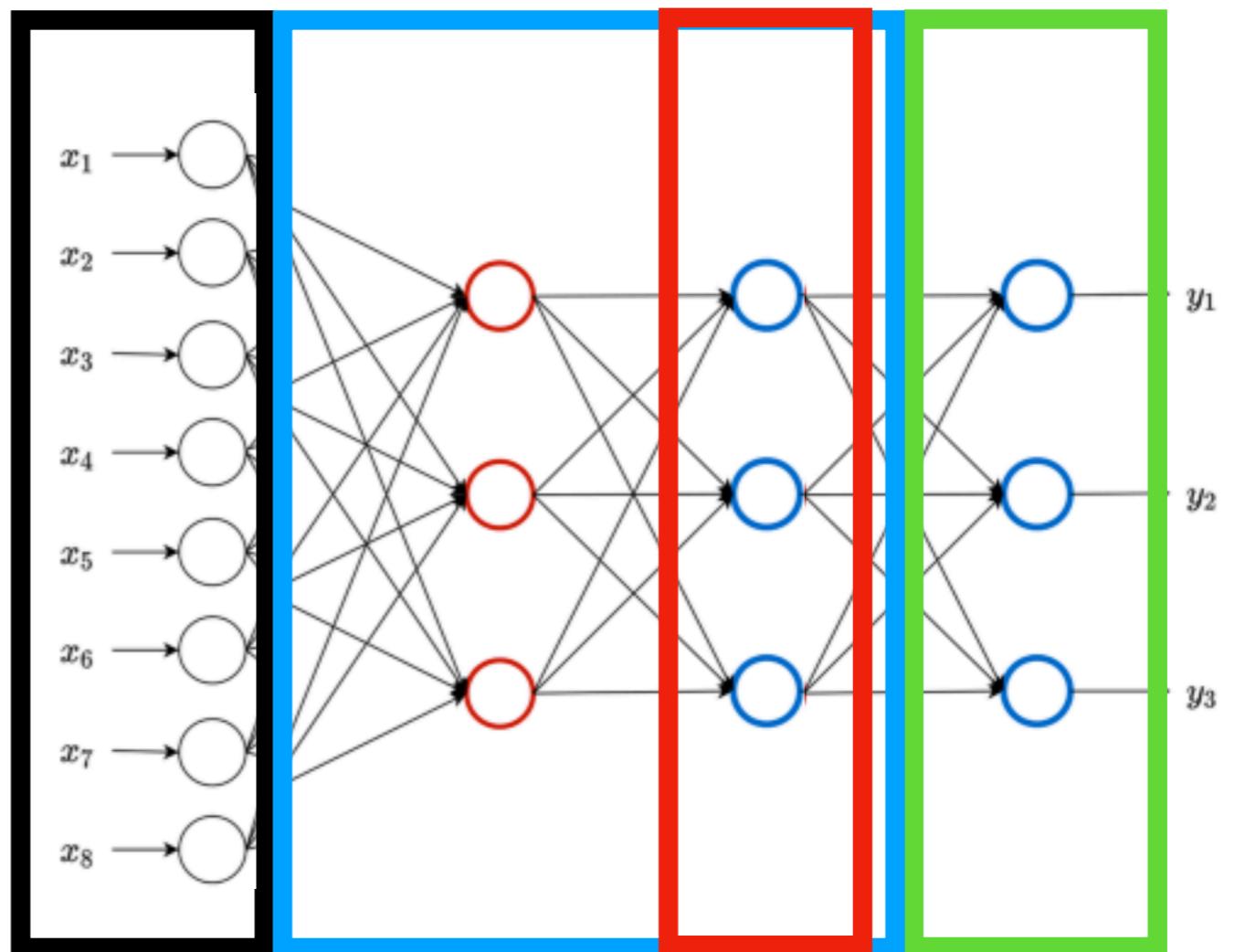
Pre-Trained Models

**New quantity
of interest**



Pre-Trained Models

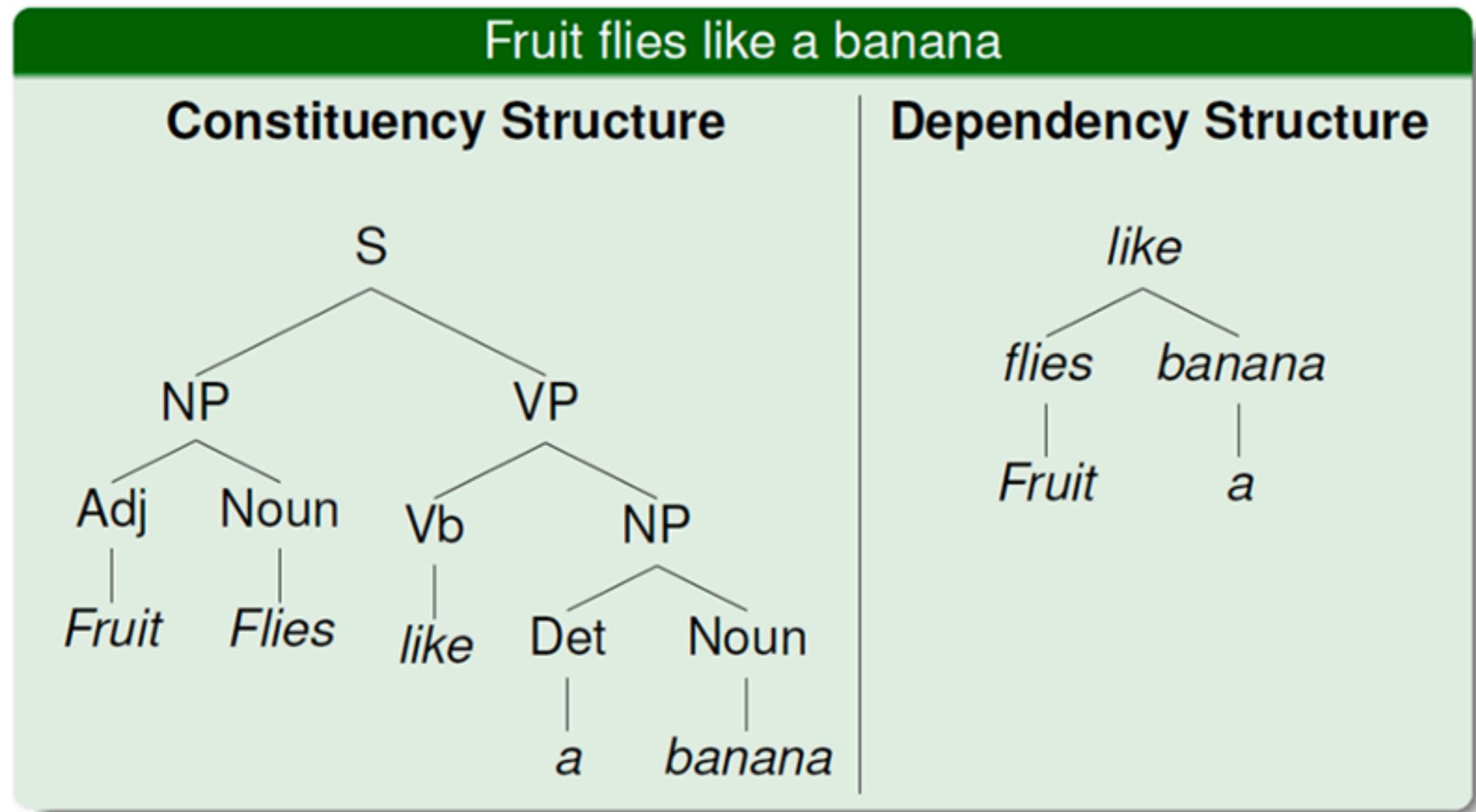
**New quantity
of interest**



Pre-Trained Models

Two Types of Structure

spaCy



Pre-Trained Models

Part of Speech Tagging

spaCy

Open class ("content") words

Nouns

Proper

Janet
Italy

Common

cat, cats
mango

Verbs

Main

eat
went

Adjectives

old green tasty

Adverbs

slowly yesterday

Numbers

122,312
one

Interjections

Ow hello

... more

Closed class ("function")

Determiners

the some

Auxiliary

can
had

Prepositions

to with

Conjunctions

and or

Particles

off up

... more

Pronouns

they its

Pre-Trained Models

Part of Speech Tagging

spaCy

Roughly 15% of word types are ambiguous

- Hence 85% of word types are unambiguous
- *Janet* is always PROPN, *hesitantly* is always ADV

Pre-Trained Models

Part of Speech Tagging

spaCy

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But those 15% tend to be very common.

So ~60% of word tokens are ambiguous

Pre-Trained Models

Part of Speech Tagging

spaCy

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But those 15% tend to be very common.

So ~60% of word tokens are ambiguous

E.g., *back*

earnings growth took a **back**/ADJ seat

a small building in the **back**/NOUN

a clear majority of senators **back**/VERB the bill

enable the country to buy **back**/PART debt

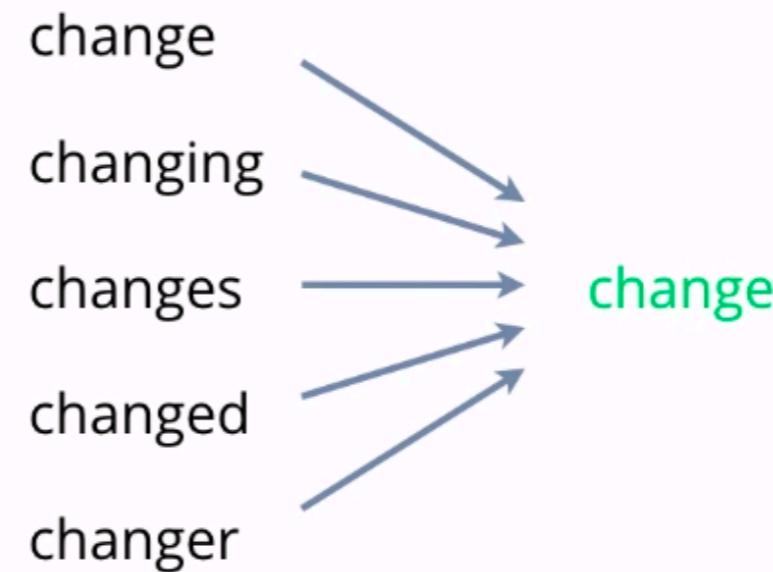
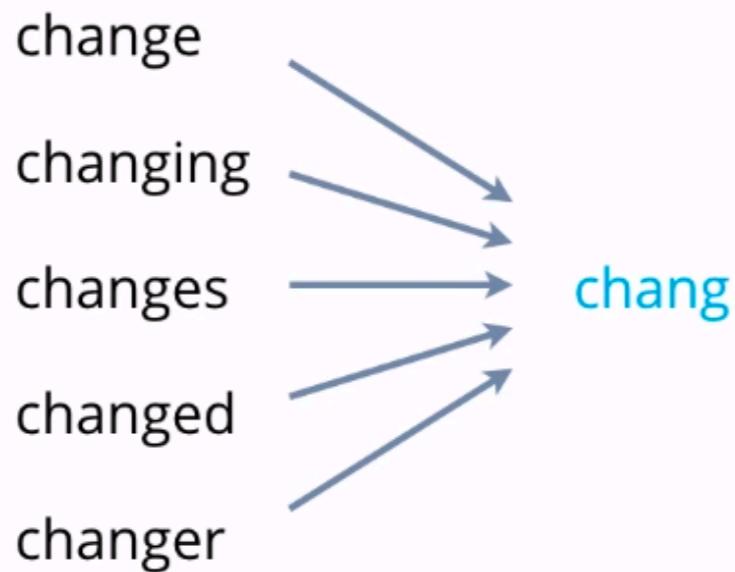
I was twenty-one **back**/ADV then

Pre-Trained Models

Lemmatization

spaCy

Stemming vs Lemmatization



Pre-Trained Models

Lemmatization

spaCy

Stemming

adjustable → adjust
formality → formaliti
formaliti → formal
airliner → airlin

Lemmatization

was → (to) be
better → good
meeting → meeting

Pre-Trained Models

Named Entity Recognition

spaCy

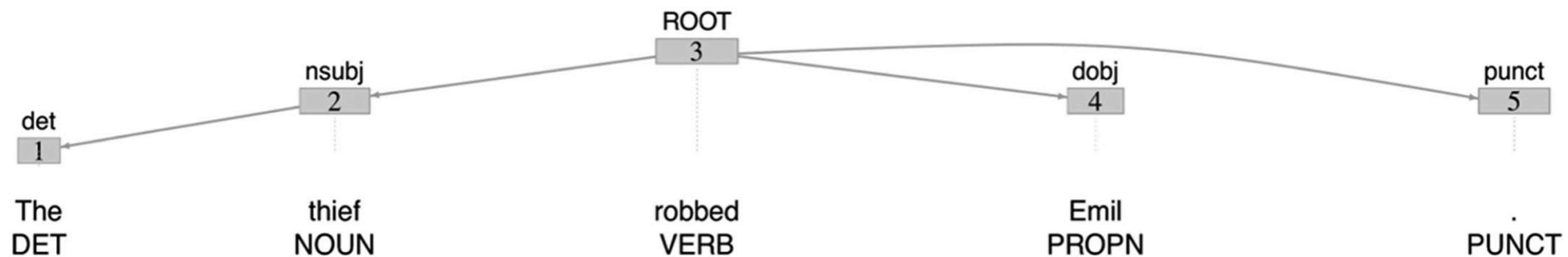
Barack Obama Person the 44th President of the United States Title , was born in Honolulu, Hawaii Location . He graduated from Columbia University Org and Harvard Law School Org . In 2009 Date , Obama was elected as the first African American Ethnicity President of the United States Location . During his presidency, Obama implemented the Affordable Care Act Law and strengthened diplomatic relations with Cuba Location . He served two terms in office before being succeeded by President Donald Trump Title in 2017 Date .

Pre-Trained Models

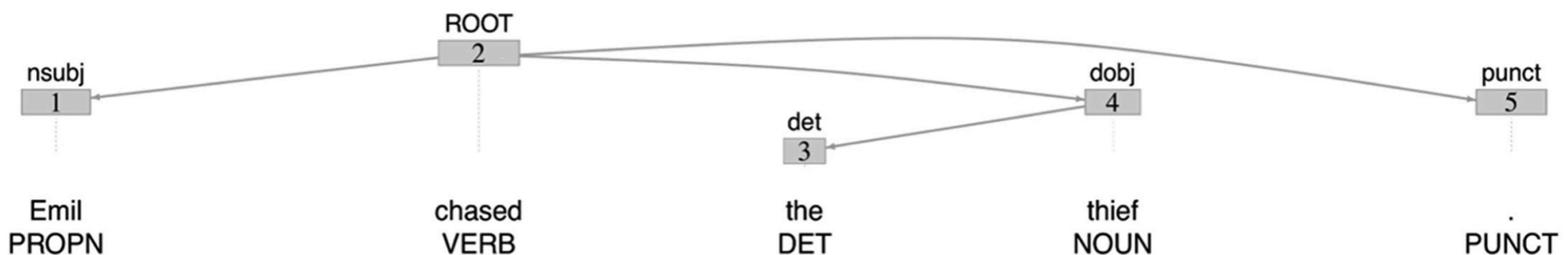
Knowledge Extraction

spaCy

A



B



Pre-Trained Models

Knowledge Extraction

spaCy

actions of an entity

treatments of an entity

agents acting upon an entity

patients acted upon by an entity

characterizations of an entity

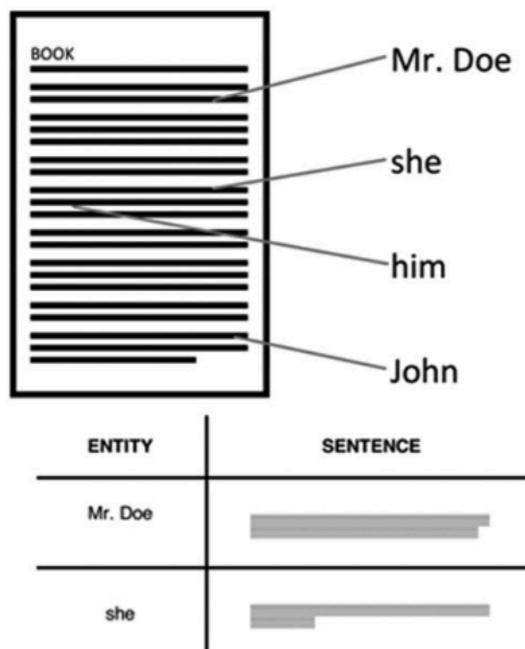
possessions of an entity

Pre-Trained Models

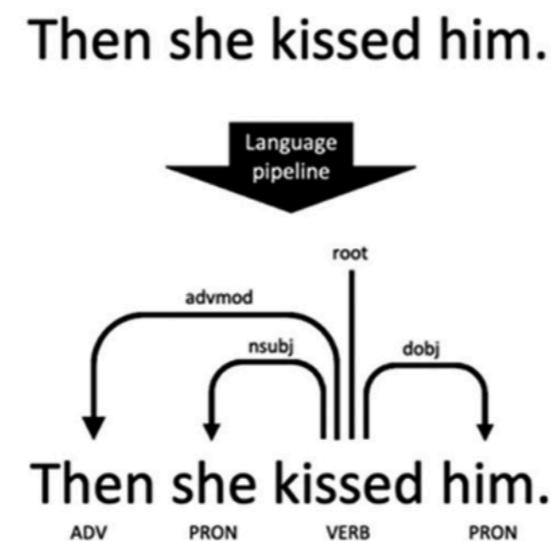
Knowledge Extraction

spaCy

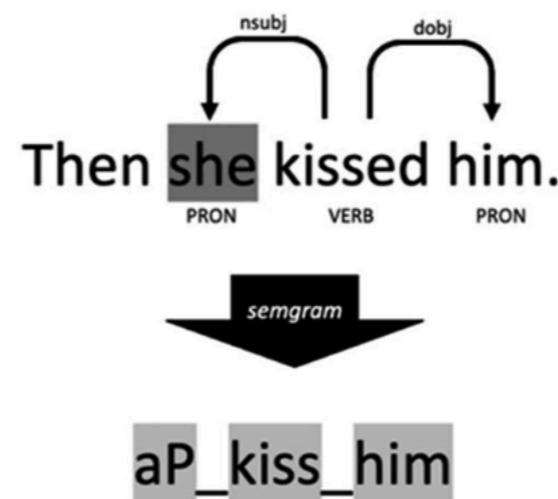
1. Identify gendered entities



2. Annotate syntactic relations and POS tags



3. Extract semantic motifs



4. Represent gendered interaction in a book

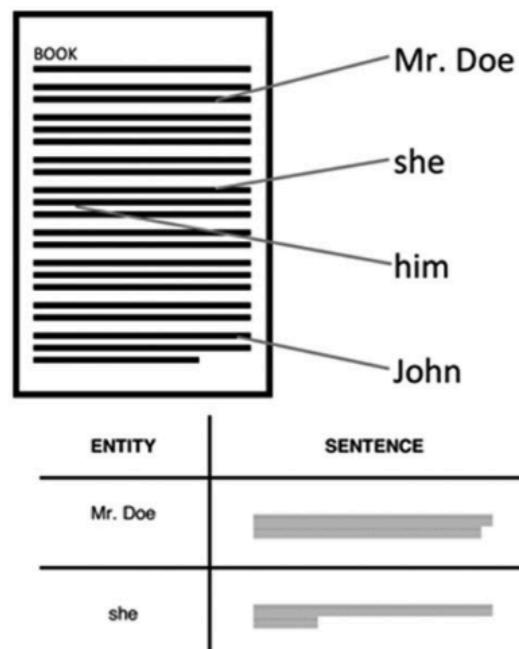
BOOK	kiss	motif_2	motif_3
FEMALE → MALE	1	0	...
FEMALE → FEMALE	0	2	...
MALE → FEMALE	0	0	...
MALE → MALE	0	1	...

Pre-Trained Models

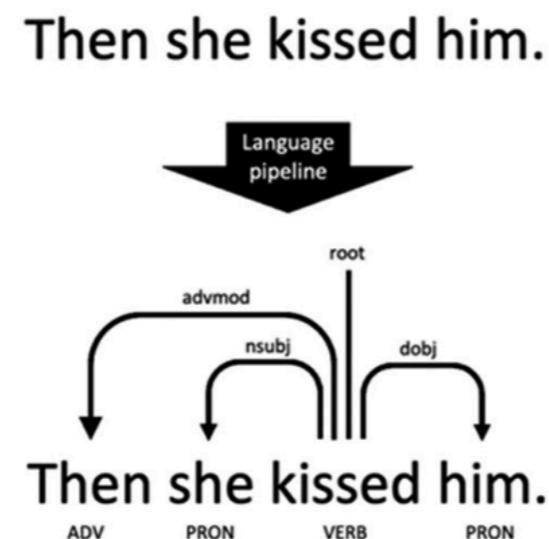
Knowledge Extraction

spaCy

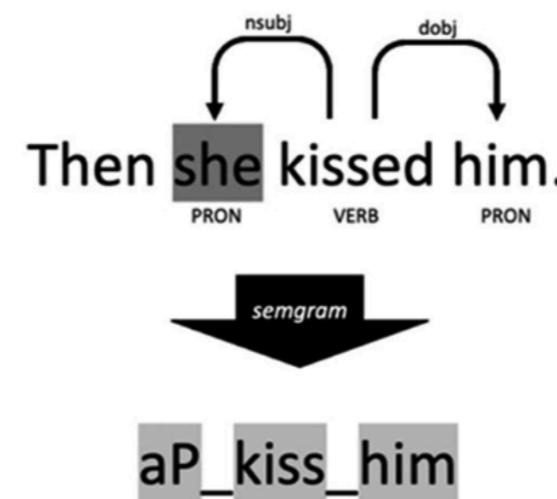
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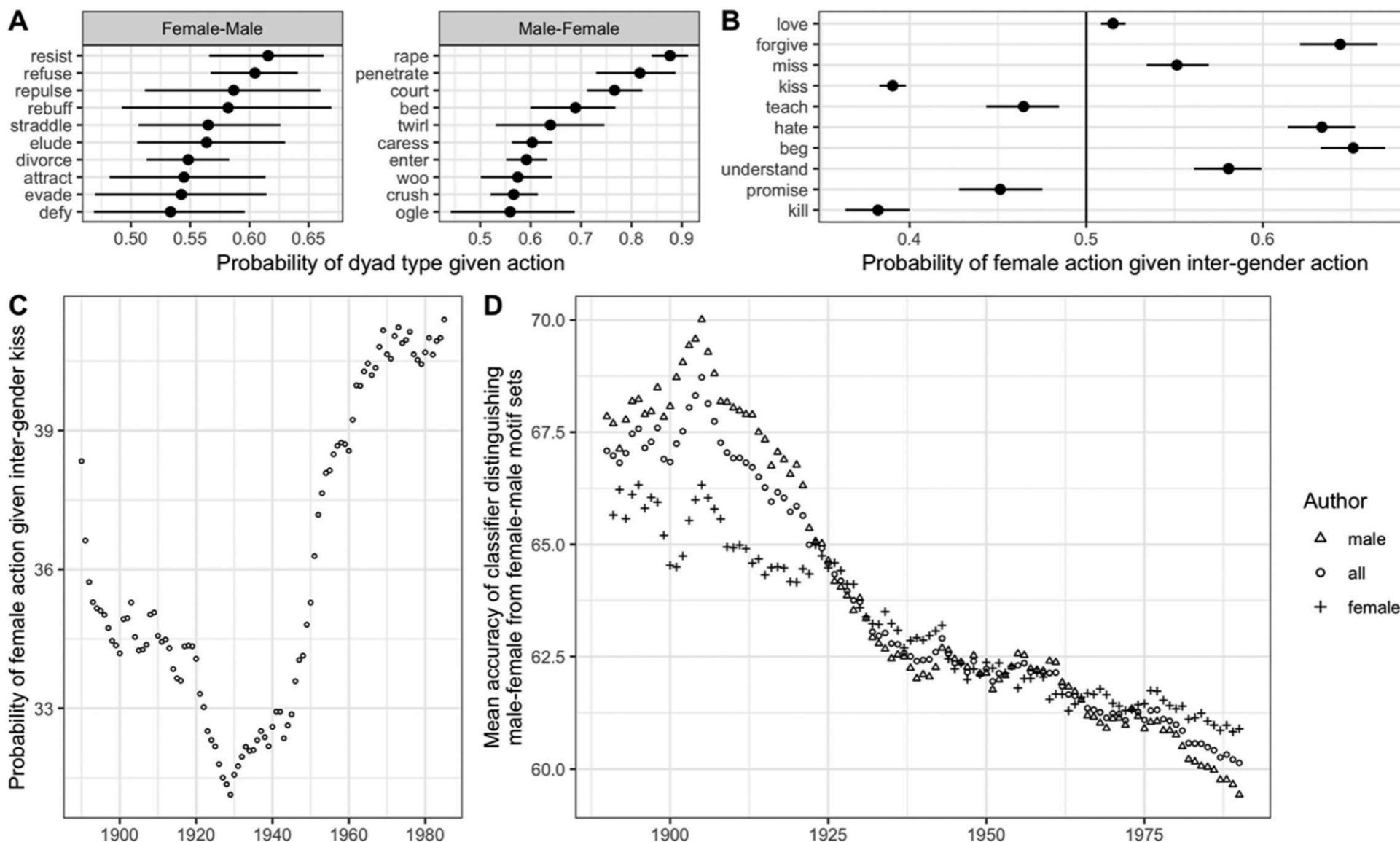
	Male patient	Female patient	Total
Male actions	17.3%	39.1%	56.4%
Female actions	32.2%	11.5%	43.7%
Total	49.5%	50.6%	

Stuhler, 2022

Pre-Trained Models

Knowledge Extraction

spaCy



Stuhler, 2022

Pre-Trained Models

Knowledge Extraction

spaCy

Most Frequent Subject-Modal-Verb Tuples

<u>Subject - Modal - Verb</u>	<u>Subject - Modal - Verb</u>	<u>Subject - Modal - Verb</u>
agreement_shall_be	employee_shall_be	employee_shall_receive
arbitrator_shall_have	employee_shall_be_allow	employee_shall_retain
board_shall_have	employee_shall_be_consider	employee_will_be
case_may_be	employee_shall_be_entitle	employee_will_be_allow
committee_shall_meet	employee_shall_be_give	employee_will_be_entitle
company_shall_pay	employee_shall_be_grant	employee_will_be_give
company_shall_provide	employee_shall_be_lay_off	employee_will_be_grant
company_will_pay	employee_shall_be_pay	employee_will_be_pay
company_will_provide	employee_shall_be_require	employee_will_be_require
decision_shall_be	employee_shall_continue	employee_will_have
employee_may_request	employee_shall_lose	employer_shall_grant

Pre-Trained Models

Knowledge Extraction

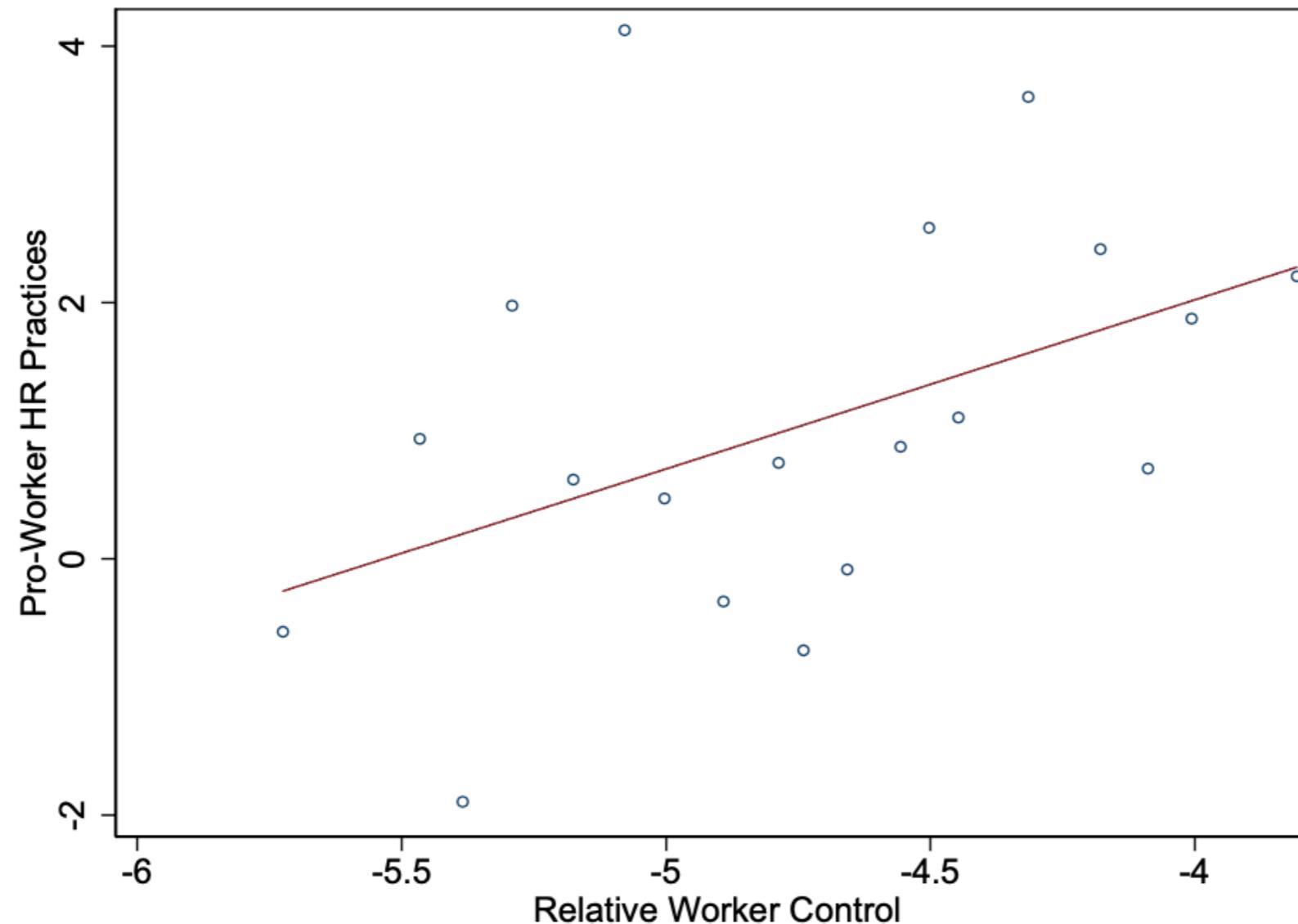
spaCy

Categorization Logic	Examples
<u>Obligations</u>	
Positive, Strict Modal, Active Verb	shall be, shall provide, shall include, shall notify, shall continue
Positive, Strict Modal, Obligation Verb	shall be required, shall be expected, shall be obliged
Positive, Non-Modal, Obligation Verb	is required, is expected
<u>Prohibitions</u>	
Negative, Any Modal, Active Verb	shall not exceed, shall not use, shall not apply, shall not discriminate
Negative, Permission Verb	shall not be allowed, is not permitted
Positive, Strict Modal, Constraint Verb	shall be prohibited, shall be restricted
<u>Permissions</u>	
Positive, Non-Modal, Permission Verb	is allowed, is permitted, is authorized
Positive, Strict Modal, Permission Verb	shall be allowed, shall be permitted
Positive, Permissive Modal, Active Verb	may be, may request, may use, may require, may apply
Negative, Any Modal, Constraint Verb	shall not be restricted, shall not be prohibited
<u>Entitlements</u>	
Strict Modal, Passive Verb	shall be paid, shall be given, shall not be discharged
Positive, Strict Modal, Entitlement Verb	shall have, shall receive, shall retain
Negative, Any Modal, Obligation Verb	may not be required

Pre-Trained Models

Knowledge Extraction

spaCy



Ash et al., 2019

Single-Voice Documents

Product
Reviews

News
Articles

Books

Speeches

Legal
Documents

Scientific
Articles

Writing Samples

Survey
Responses

Memory
Recollections

Press Releases

Song Lyrics

Social Media
Posts

Reviews of SVD Analysis

Psychology

Pennebaker, Mehl & Niederhoffer (2003)

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The SVD Model of Conversations

Dump all text into a single document

Sometimes separating each speaker, sometimes not

“Everything, everywhere, all at once”

The SVD Model of Conversations

Dump all text into a single document

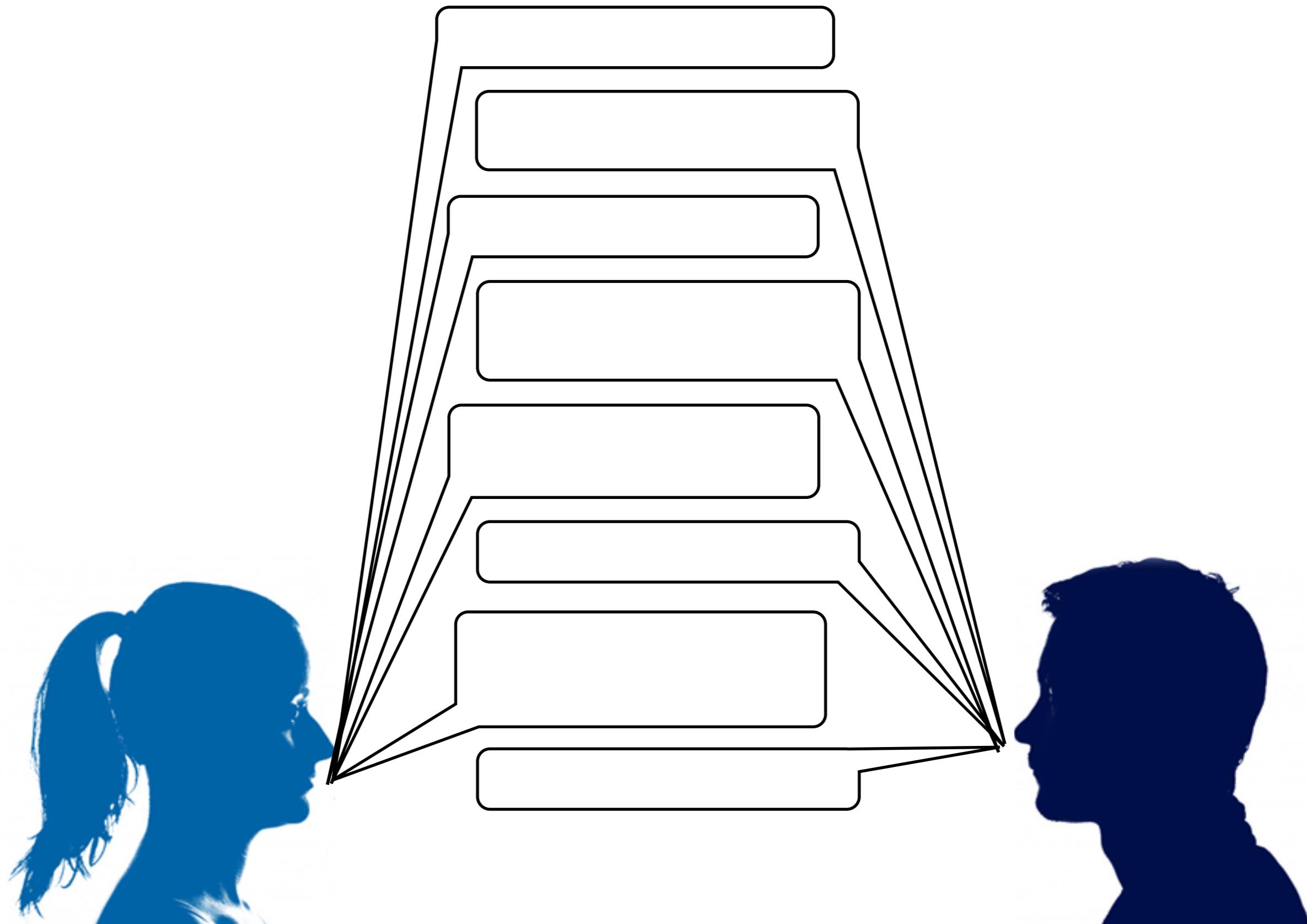
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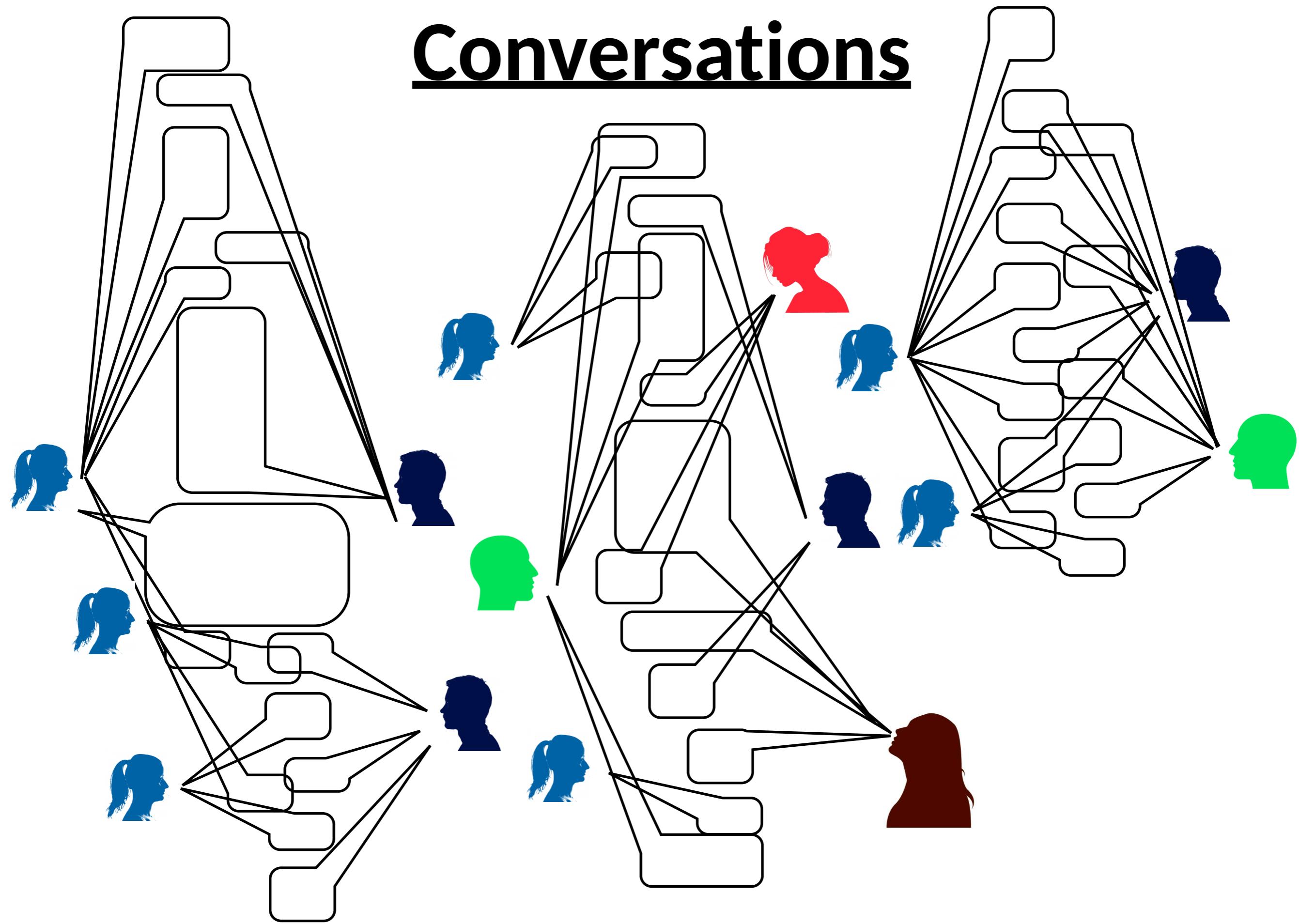
Analyse it using tools built for SVDs

Dictionaries, topic models, word counts, etc.

Conversations



Conversations



Single-Voice Documents

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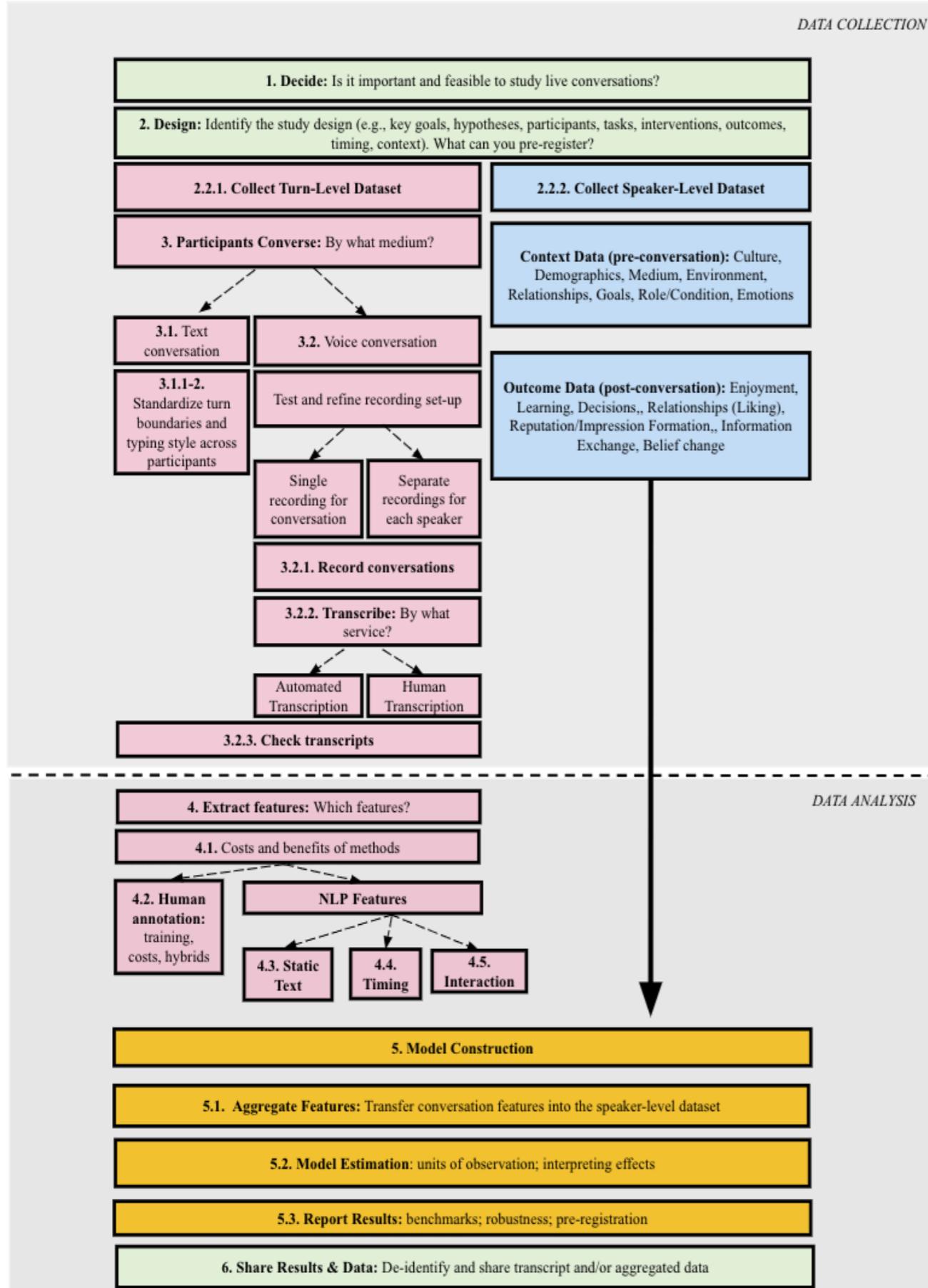
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The Whole Paper in one Figure



**A Practical Guide
To Conversation
Research**

(Yeomans, Boland,
Collins, Abi-Esber &
Brooks, 2023)

Conversation Data - Two tables

Conversation Data - Two tables

Turn-Level dataset - words, speaker/convo ID, timestamps, features

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Turn-Level dataset - words, speaker/convo ID, timestamps, features

One row for every turn in conversation

Conversation Data - Two tables

Turn-Level dataset - words, speaker/convo ID, timestamps, features
One row for every turn in conversation

	A	B	C	D	E	F	G	H	I
1	conversation_id	turn	start_time	end_time	participant_id	text	question	laughter	wordcount
2		1	1	0:00:01	0:00:03	A1 Hey, how are you? My name is Bruce, but my friends call me Barry.	1	0	14
3		1	2	0:00:04	0:00:06	B1 Nice to meet you Bruce, I'm Sarah. Where are you from?	1	0	11
4		1	3	0:00:06	0:00:12	A1 Thanks for asking! I'm from a small town outside of Chicago actually, you probably haven't heard of it. What about you?	1	0	21
5		1	4	0:00:13	0:00:19	B1 Probably not [laughter]. I've never been to Chicago. I'm from upstate Portland, Oregon. Have you ever been to Portland?	1	1	19
6		1	5	0:00:20	0:00:22	A1 No, I haven't! I've been to Seattle, but that's all.	0	0	10
7		1	6	0:00:22	0:00:27	B1 Seattle is ok. In Portland, we actually call it Vancouver's shoe [laughter].	0	1	12
8		1	7	0:00:27	0:00:28	A1 [laughter] That's funny.	0	1	3
9		1	8	0:00:29	0:00:36	B1 Umm. What's your favourite food?	1	0	5
10		1	9	0:00:37	0:00:45	A1 Hmm. That's a hard question, I really like all different foods. I made this really good stew the other day that I think might be the best thing I've eaten lately. But I'm always partial to a good hamburger.	0	0	39
11		1	10	0:00:46	0:00:55	B1 Cool. What was in your stew?	1	0	6
12		2	1	0:00:01	0:00:05	A2 Hi, nice to meet you. My name is Darla and I'm from Boston. Though I was born in Texas.	0	0	19
13		2	2	0:00:06	0:00:10	B2 Hey, I'm Tony. I'm also from Boston, but I've never been to Texas. When did you leave Texas?	1		18
14		2	3	0:00:06	0:00:12	A2 When I was really young, I think maybe 3 or 4. So I don't really remember it. But I've been back to visit once or twice.	0	0	26
15		2	4	0:00:13	0:00:19	B2 Cool. I'd love to visit someday	0	0	6

Conversation Data - Two tables

Person-Level dataset - speaker/convo ID,
feature summaries from self and partner,
metadata on goals/demographics/outcomes/condition etc

Conversation Data - Two tables

Person-Level dataset - *speaker/convo ID,
feature summaries from self and partner,
metadata on goals/demographics/outcomes/condition etc*

One row for each speaker in each conversation
(multiple rows per conversation, and perhaps speaker as well)

Conversation Data - Two tables

Person-Level dataset - speaker/convo ID,
feature summaries from self and partner,
metadata on goals/demographics/outcomes/condition etc

One row for each speaker in each conversation
(multiple rows per conversation, and perhaps speaker as well)

person_id	convo_id	partner_id	turns	condition	laughter	questions	liking	partner_likin	gender	partner_gender
100001	100001	100002	16	control	2	4	5	1	male	female
100002	100001	100001	17	control	0	1	1	5	female	male
100001	100002	100003	43	treated	1	7	6	3	male	male
100003	100002	100001	42	treated	4	2	3	6	male	male
100004	100003	100005	35	control	3	3	6	5	female	female
100005	100003	100004	35	control	3	0	5	6	female	female
100006	100004	100002	22	treated	6	1	5	6	male	female
100002	100004	100006	22	treated	0	5	6	5	female	male

Conversation Data - Two tables

Person-Level dataset - speaker/convo ID,
feature summaries from self and partner,
metadata on goals/demographics/outcomes/condition etc

One row for each speaker in each conversation
(multiple rows per conversation, and perhaps speaker as well)

person_id	convo_id	turn	laughter
100001	100001	1	0
100002	100001	2	0
100001	100001	3	1
100002	100001	4	0
100001	100001	5	1
100002	100001	6	0
100001	100001	7	0
100002	100001	8	0

Conversation Data - Two tables

Person-Level dataset - speaker/convo ID,
feature summaries from self and partner,
metadata on goals/demographics/outcomes/condition etc

One row for each speaker in each conversation
(multiple rows per conversation, and perhaps speaker as well)

person_id	convo_id	turn	laughter
100001	100001	1	0
100002	100001	2	0
100001	100001	3	1
100002	100001	4	0
100001	100001	5	1
100002	100001	6	0
100001	100001	7	0
100002	100001	8	0

```
group_by(person_id) %>%  
summarize(laughter=sum(laughter))
```

Conversation Data - Two tables

Person-Level dataset - speaker/convo ID,
feature summaries from self and partner,
metadata on goals/demographics/outcomes/condition etc

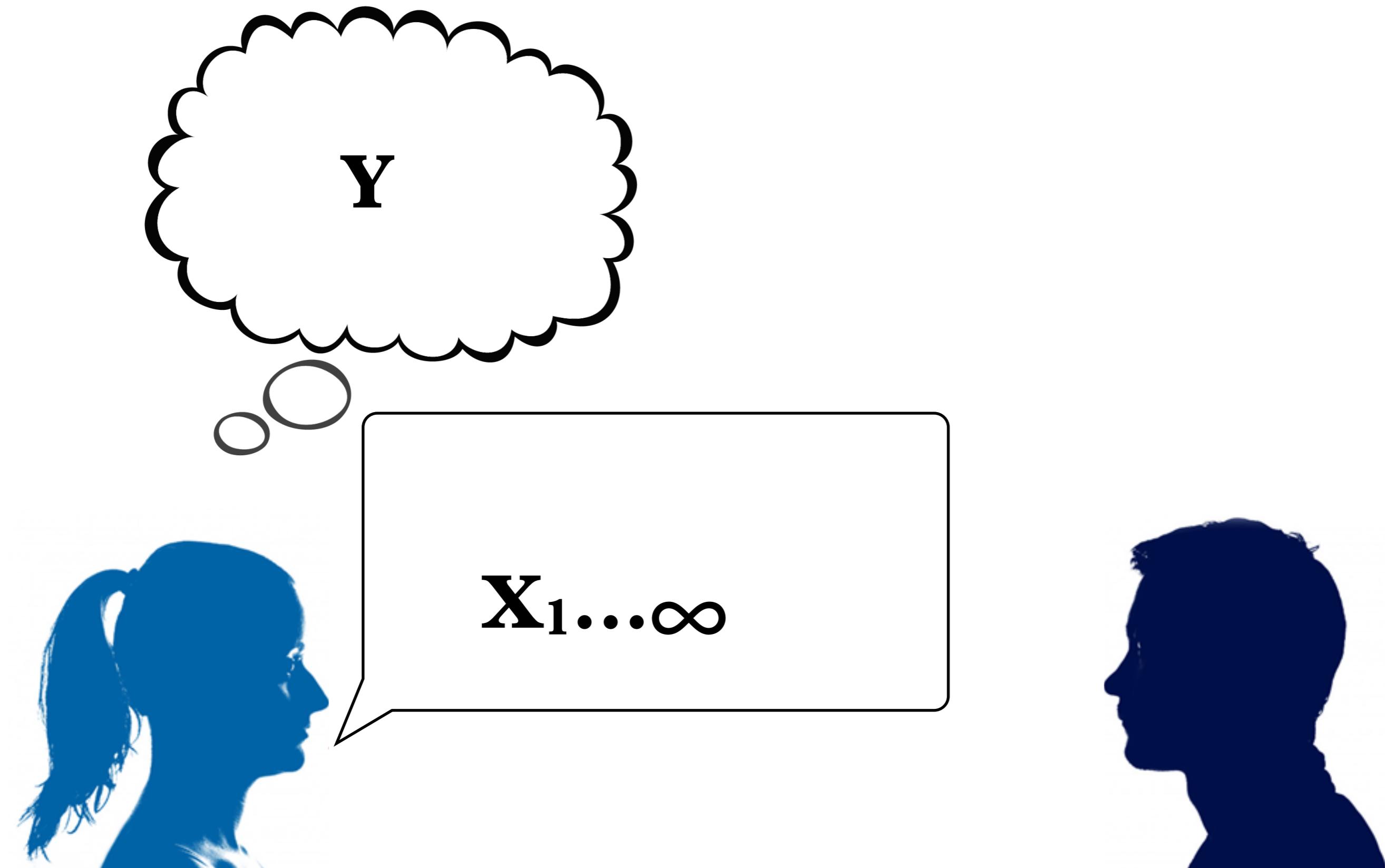
One row for each speaker in each conversation
(multiple rows per conversation, and perhaps speaker as well)

person_id	convo_id	turn	laughter
100001	100001	1	0
100002	100001	2	0
100001	100001	3	1
100002	100001	4	0
100001	100001	5	1
100002	100001	6	0
100001	100001	7	0
100002	100001	8	0

```
group_by(person_id) %>%  
summarize(laughter=sum(laughter))
```

person_id	partner_id	convo_id	laughter
100001	100001	100001	2
100002	100002	100001	0
100001	100001	100002	1
100003	100003	100002	1
100004	100004	100003	3
100005	100005	100003	1
100006	100006	100004	0
100002	100002	100004	4

Effect of Own Features



Conversation Data - Two tables

Person-Level dataset - speaker/convo ID,
feature summaries from self and partner,
metadata on goals/demographics/outcomes/condition etc

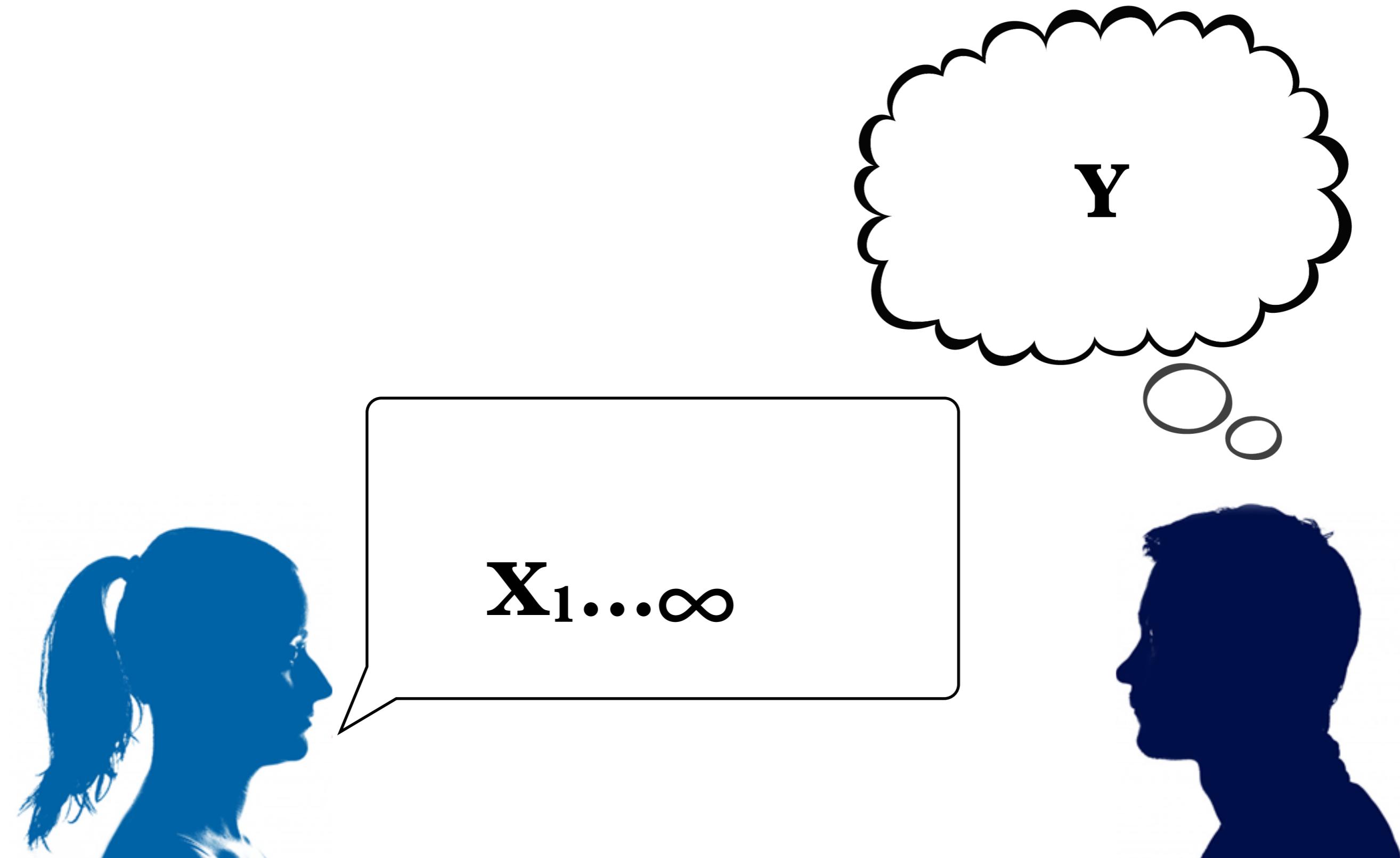
One row for each speaker in each conversation
(multiple rows per conversation, and perhaps speaker as well)

person_id	convo_id	turn	laughter
100001	100001	1	0
100002	100001	2	0
100001	100001	3	1
100002	100001	4	0
100001	100001	5	1
100002	100001	6	0
100001	100001	7	0
100002	100001	8	0

```
rename(partner_id="person_id") %>%  
group_by(partner_id) %>%  
summarize(laughter=sum(laughter))
```

person_id	partner_id	convo_id	laughter	partner_laughter
100001	100001	100001	2	0
100002	100002	100001	0	2
100001	100001	100002	1	1
100003	100003	100002	1	1
100004	100004	100003	3	1
100005	100005	100003	1	3
100006	100006	100004	0	4
100002	100002	100004	4	0

Effect of Partner Features



Conversations versus SVDs

1. Multiple speakers: each with different goals, personalities, styles, etc

Why do we converse?

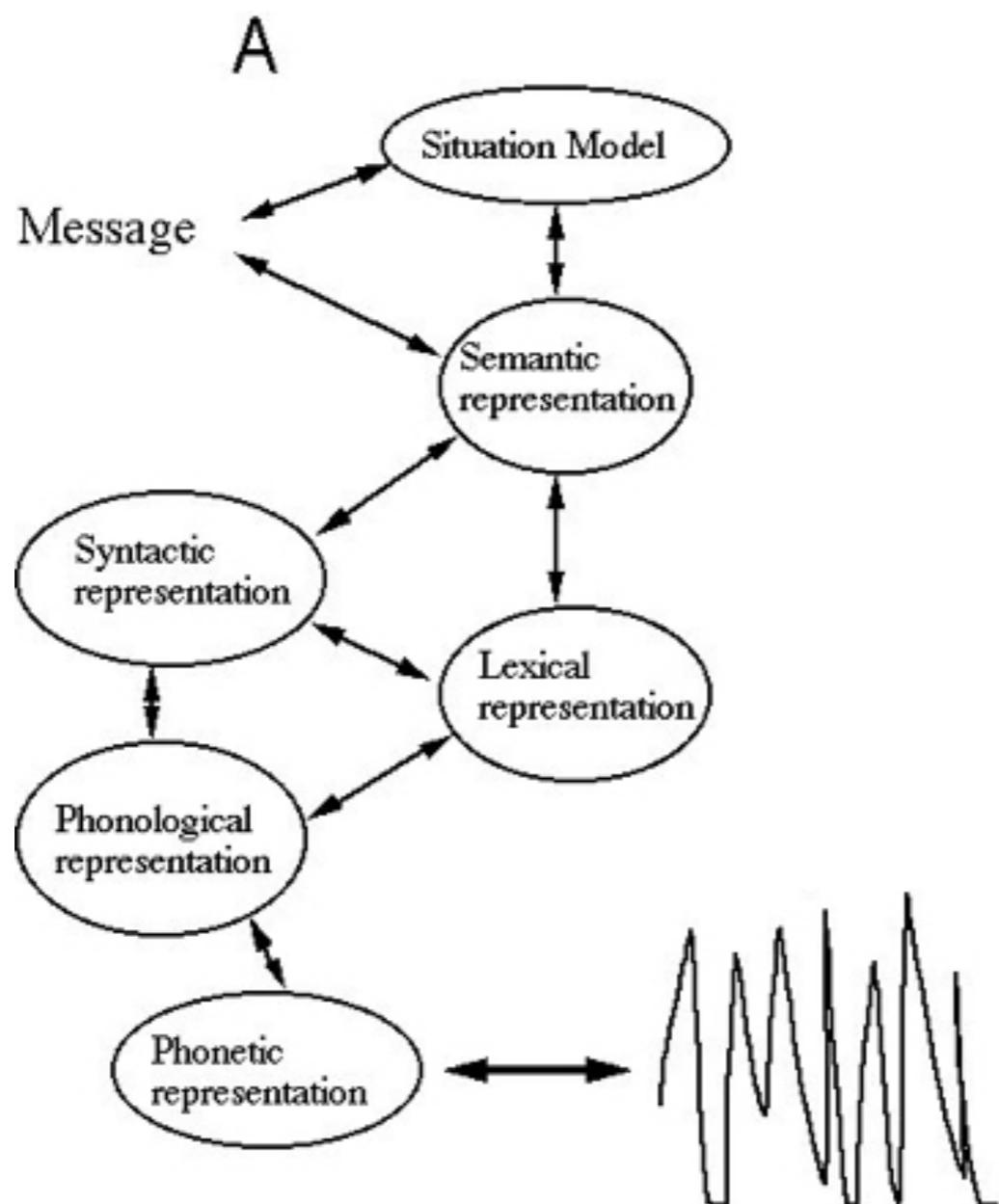
Why do we converse?

Traditionally: generate and maintain common ground

Toward A Mechanistic Psychology of Dialogue
(Pickering & Garrod, 2004)

Why do we converse?

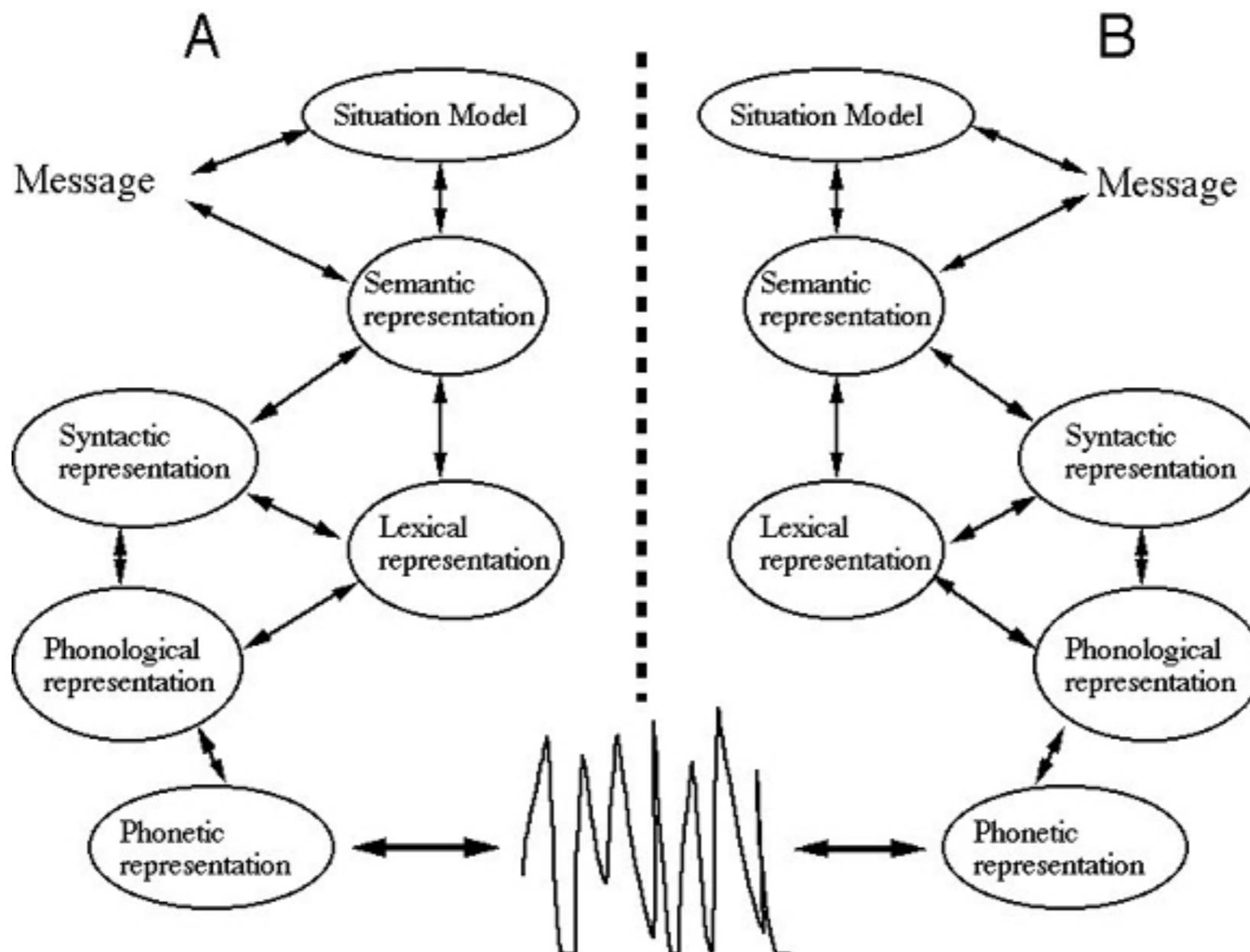
Traditionally: generate and maintain common ground



Toward A Mechanistic Psychology of Dialogue
(Pickering & Garrod, 2004)

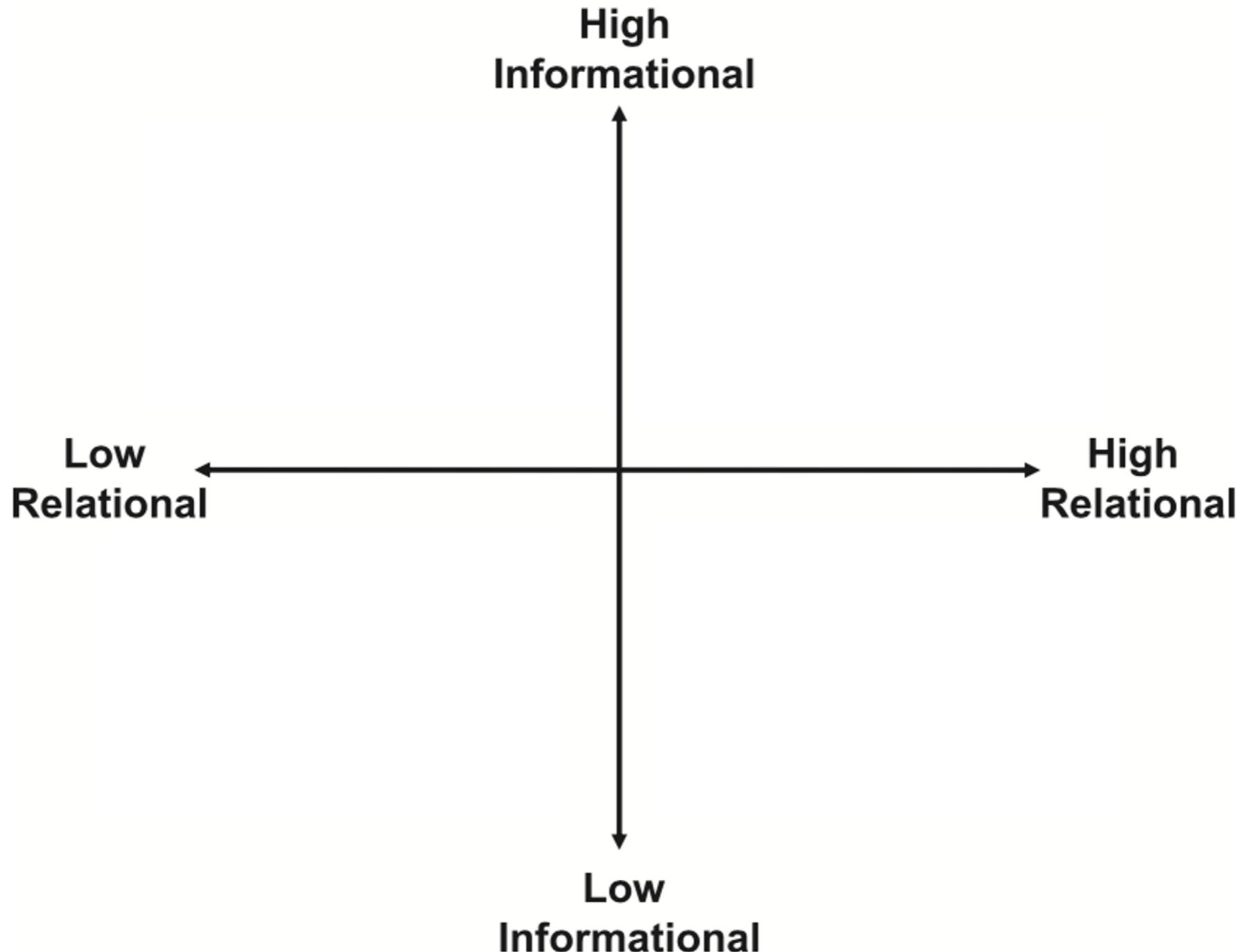
Why do we converse?

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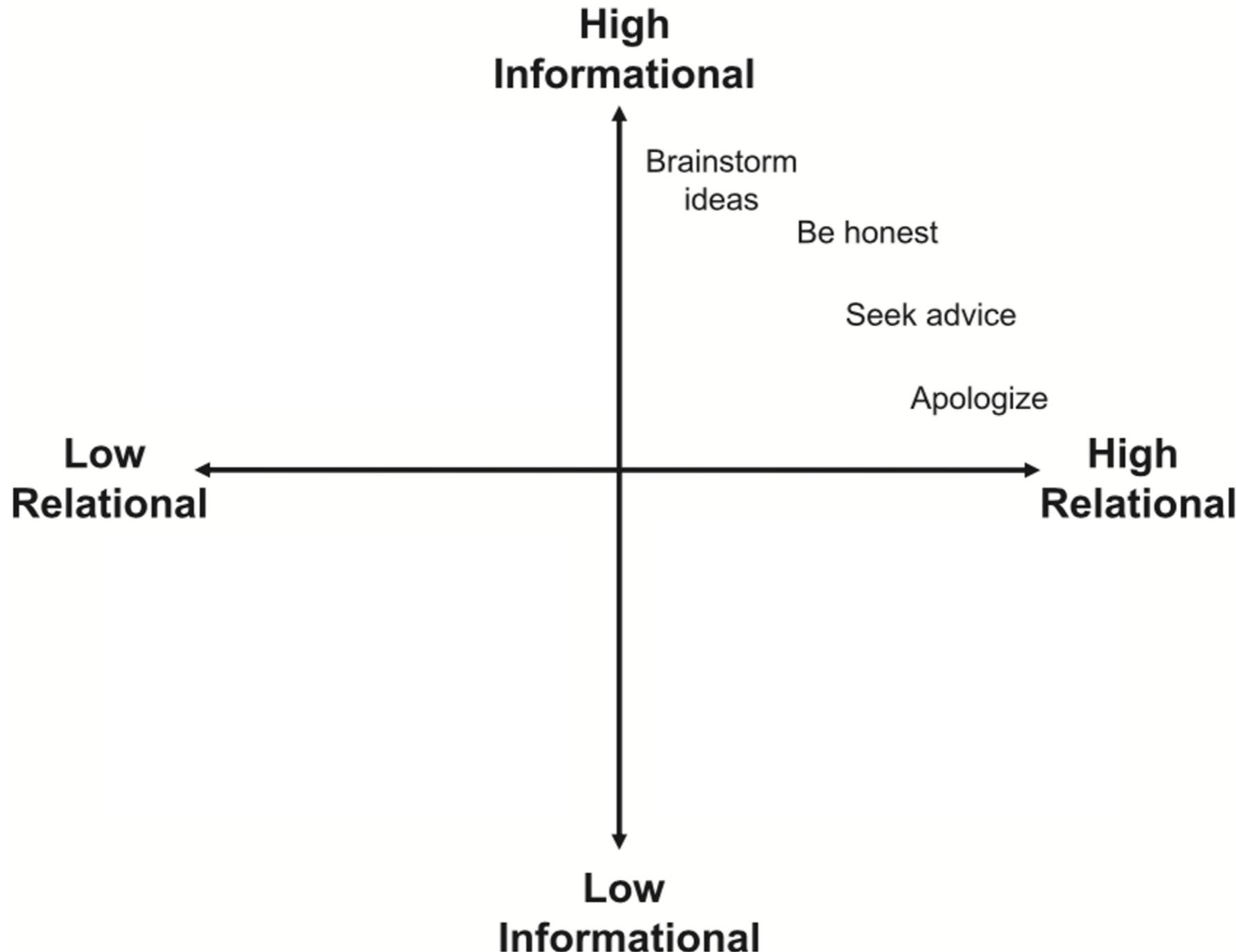
Toward A Mechanistic Psychology of Dialogue
(Pickering & Garrod, 2004)

Goals of Conversation



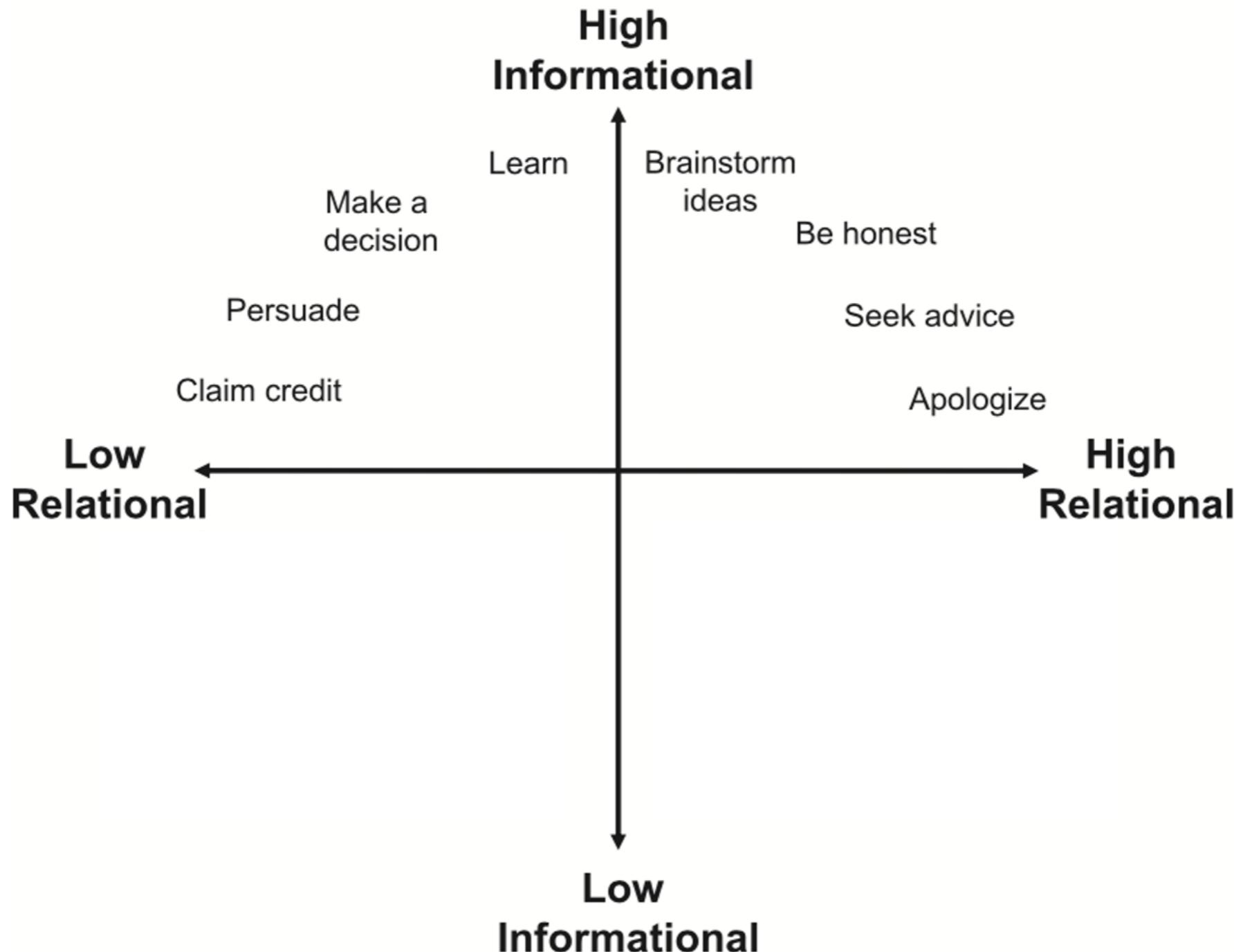
The Conversational Circumplex
(Yeomans, Schweitzer & Brooks, 2022)

Goals of Conversation



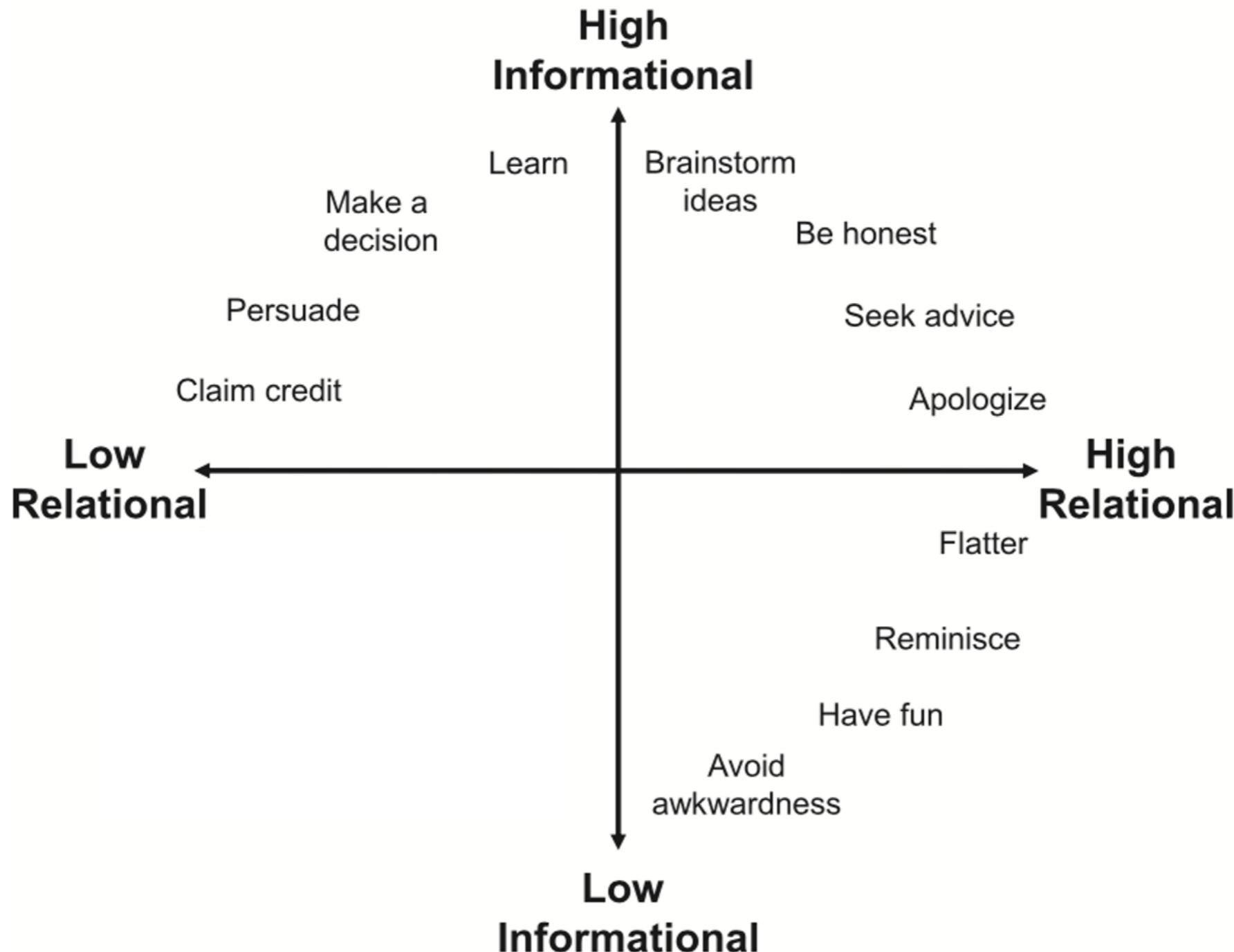
The Conversational Circumplex
(Yeomans, Schweitzer & Brooks, 2022)

Goals of Conversation



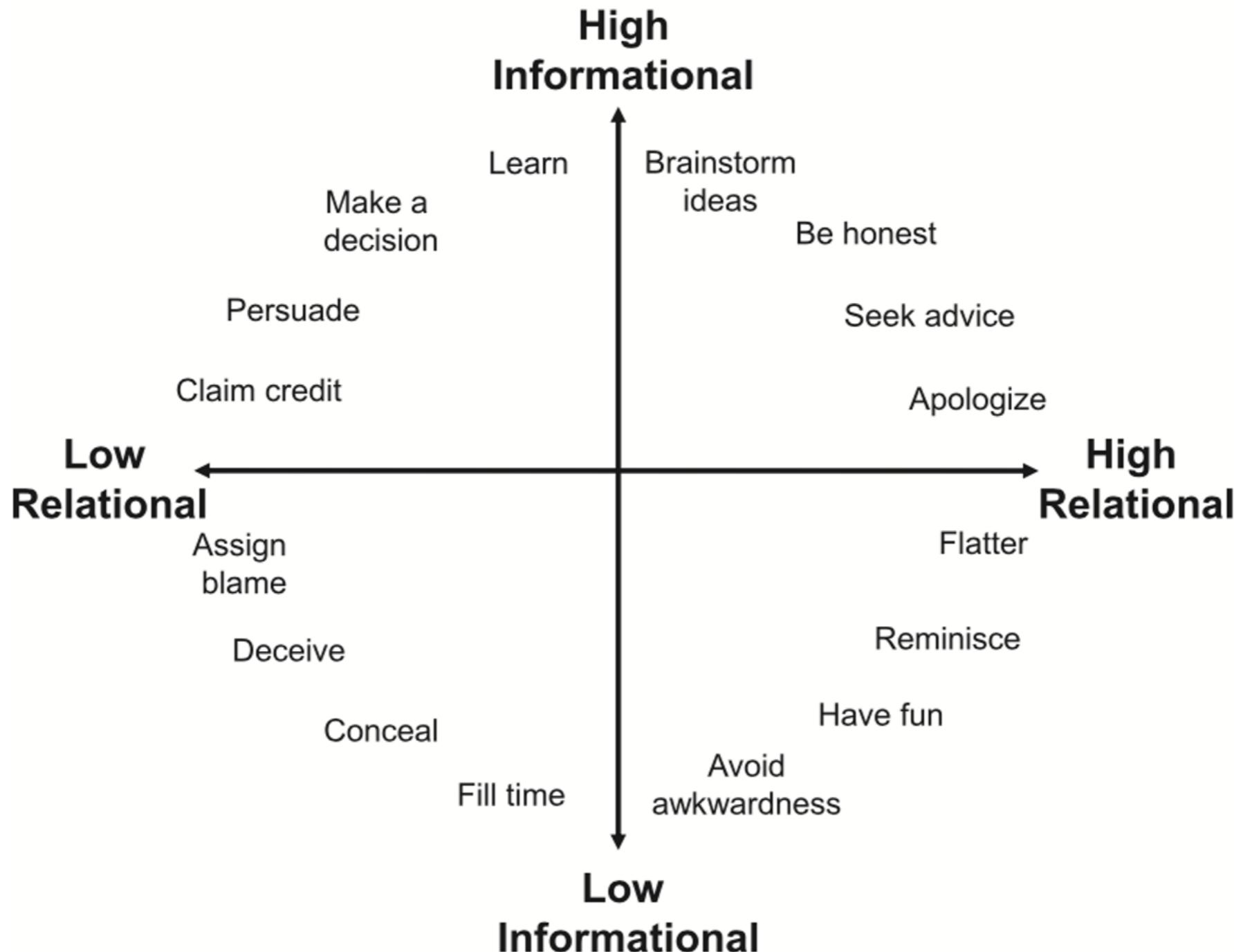
The Conversational Circumplex
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Goals of Conversation



The Conversational Circumplex
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Goals of Conversation

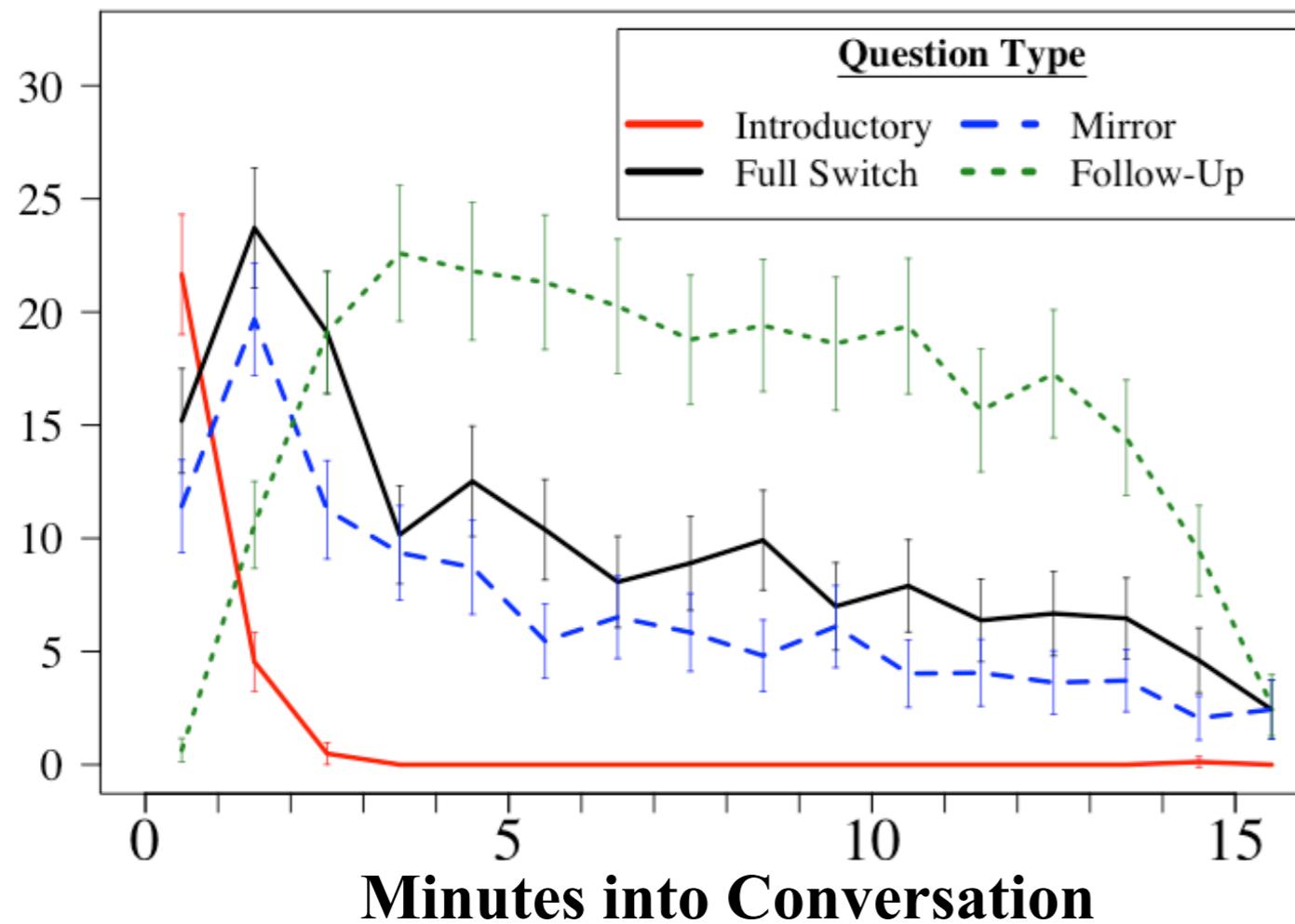


The Conversational Circumplex
(Yeomans, Schweitzer & Brooks, 2022)

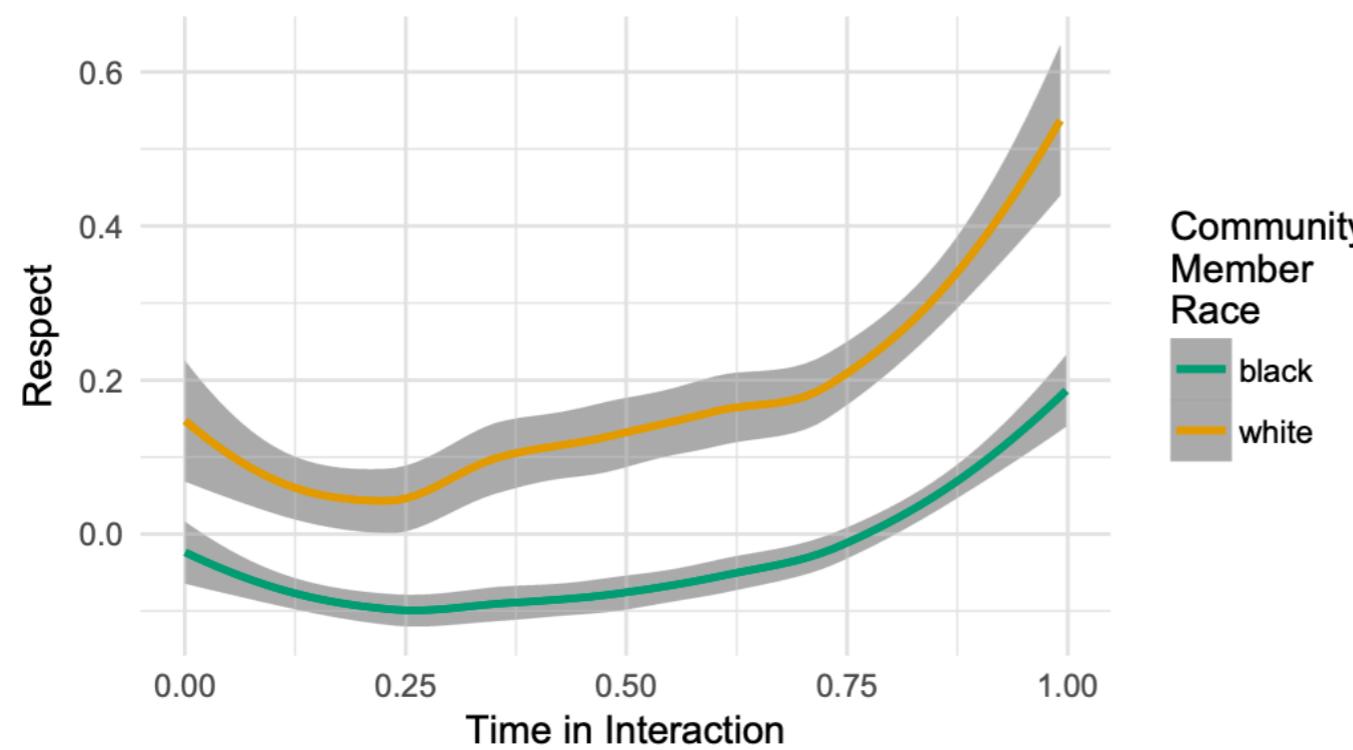
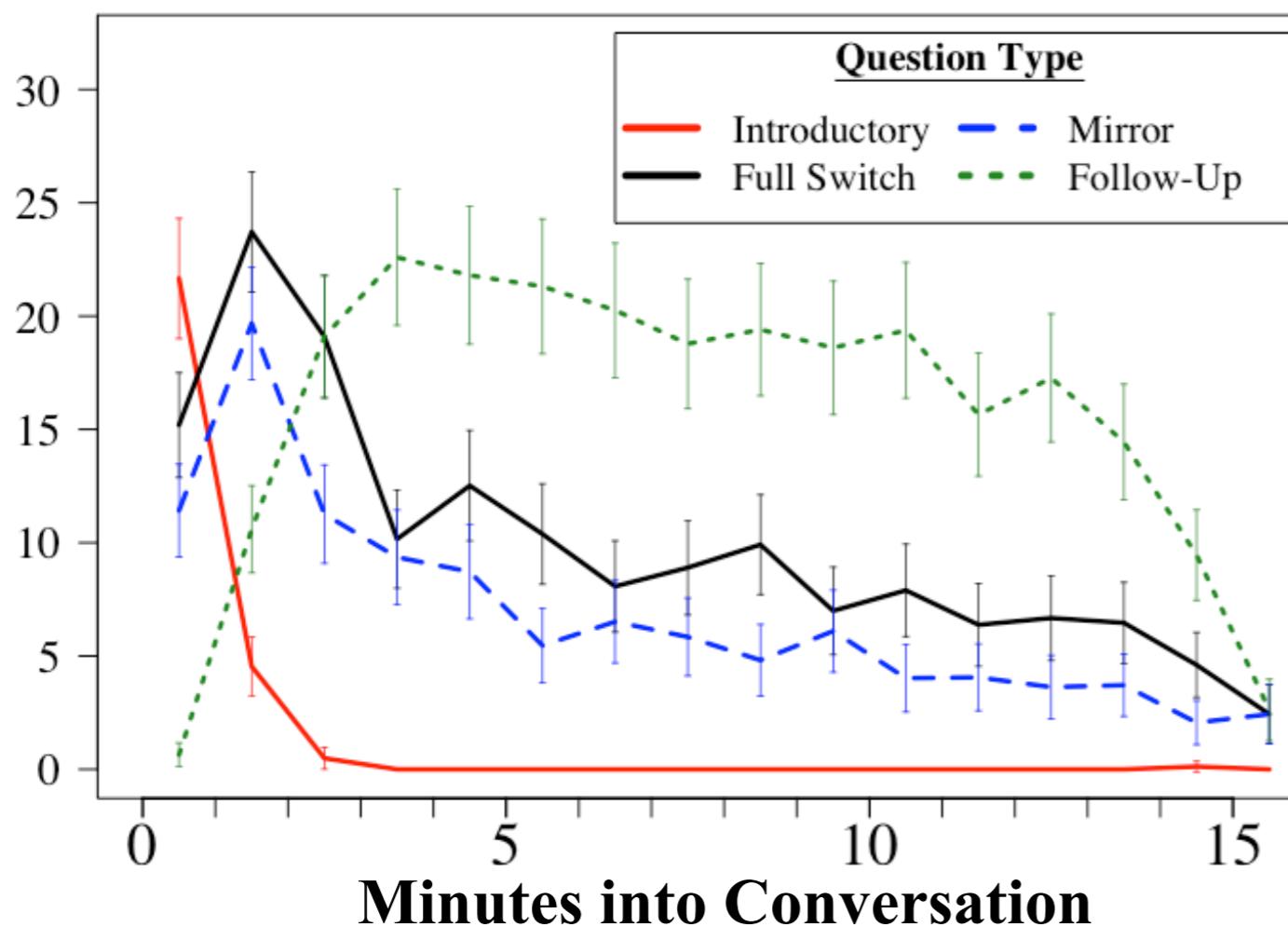
Conversations versus SVDs

1. Multiple speakers: each with different goals, personalities, styles, etc
2. Generated responsively over time: transcripts can contain causes, correlates, and/or outcomes

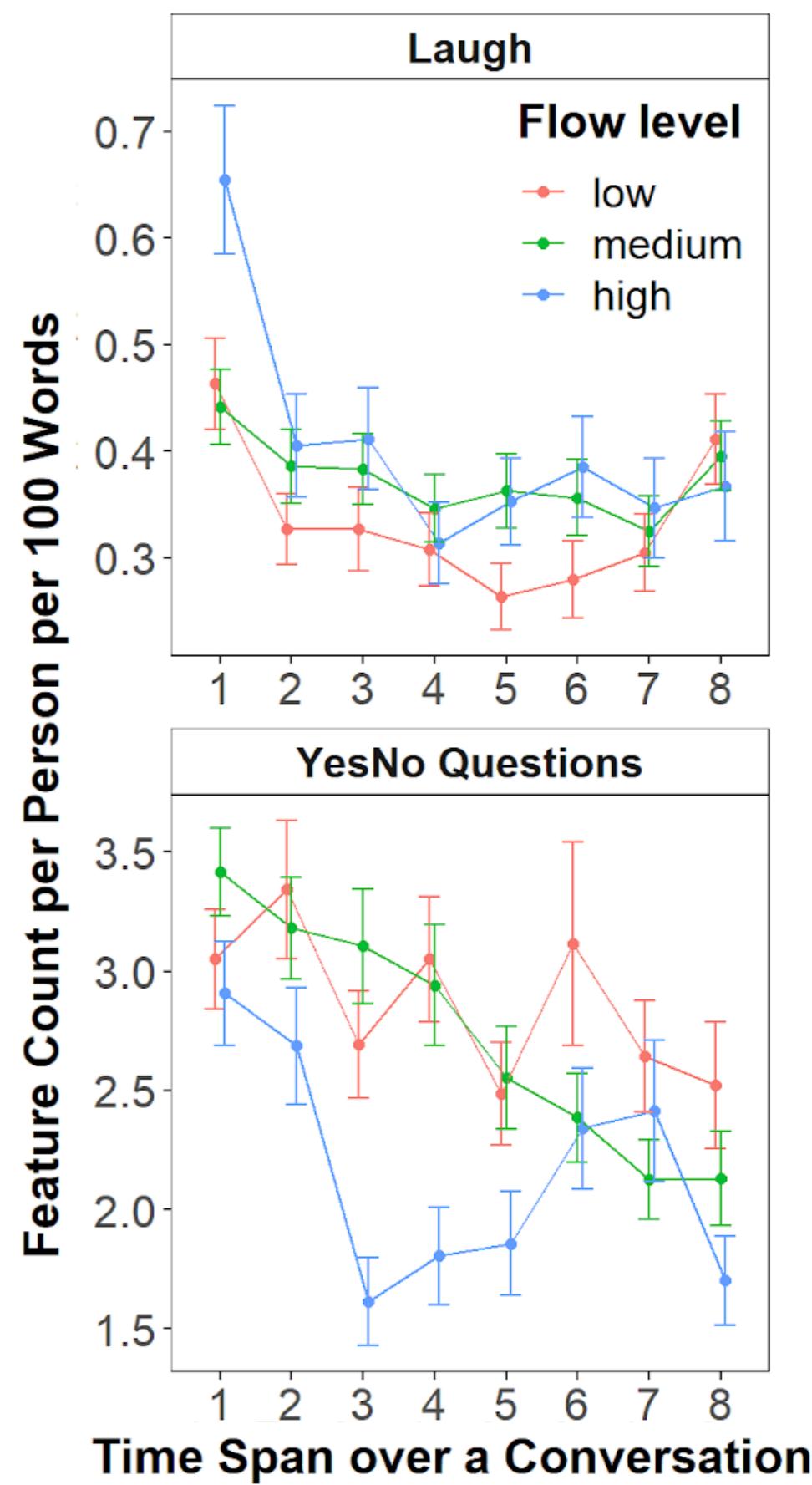
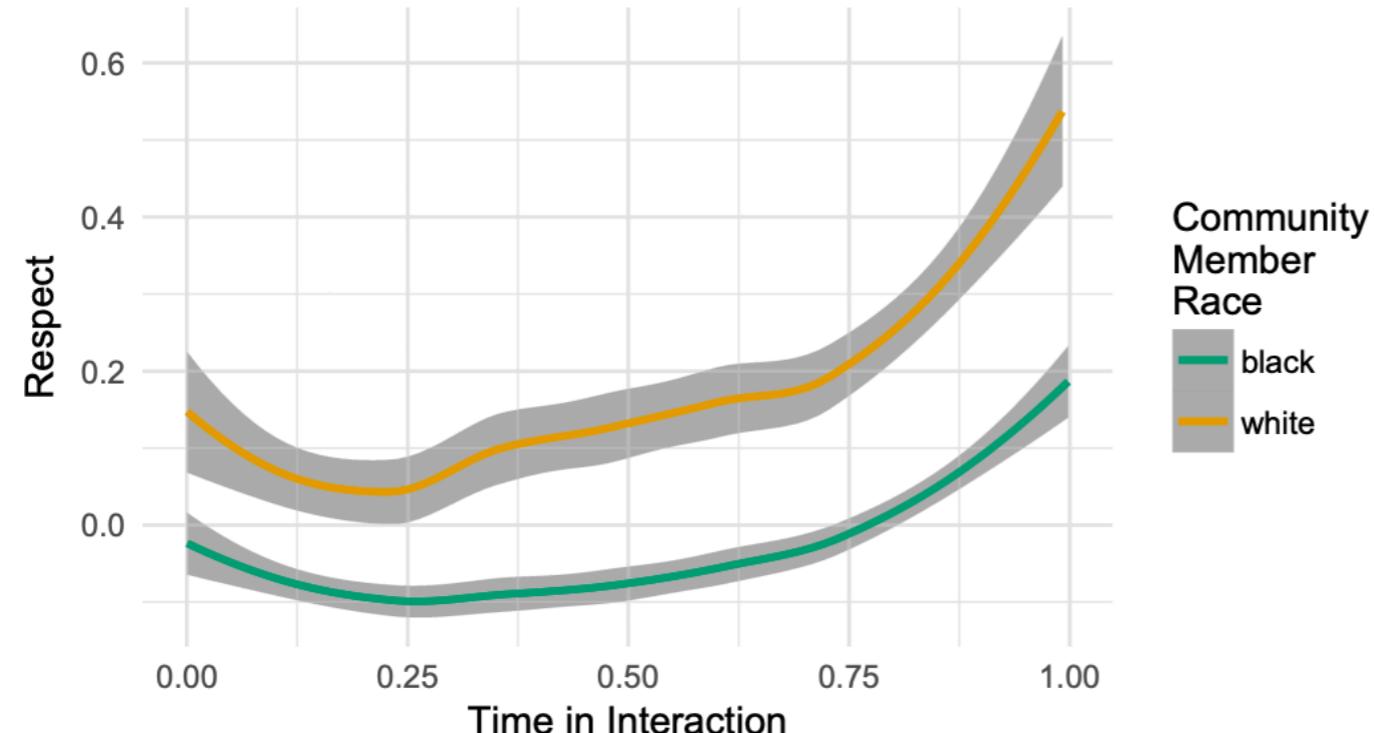
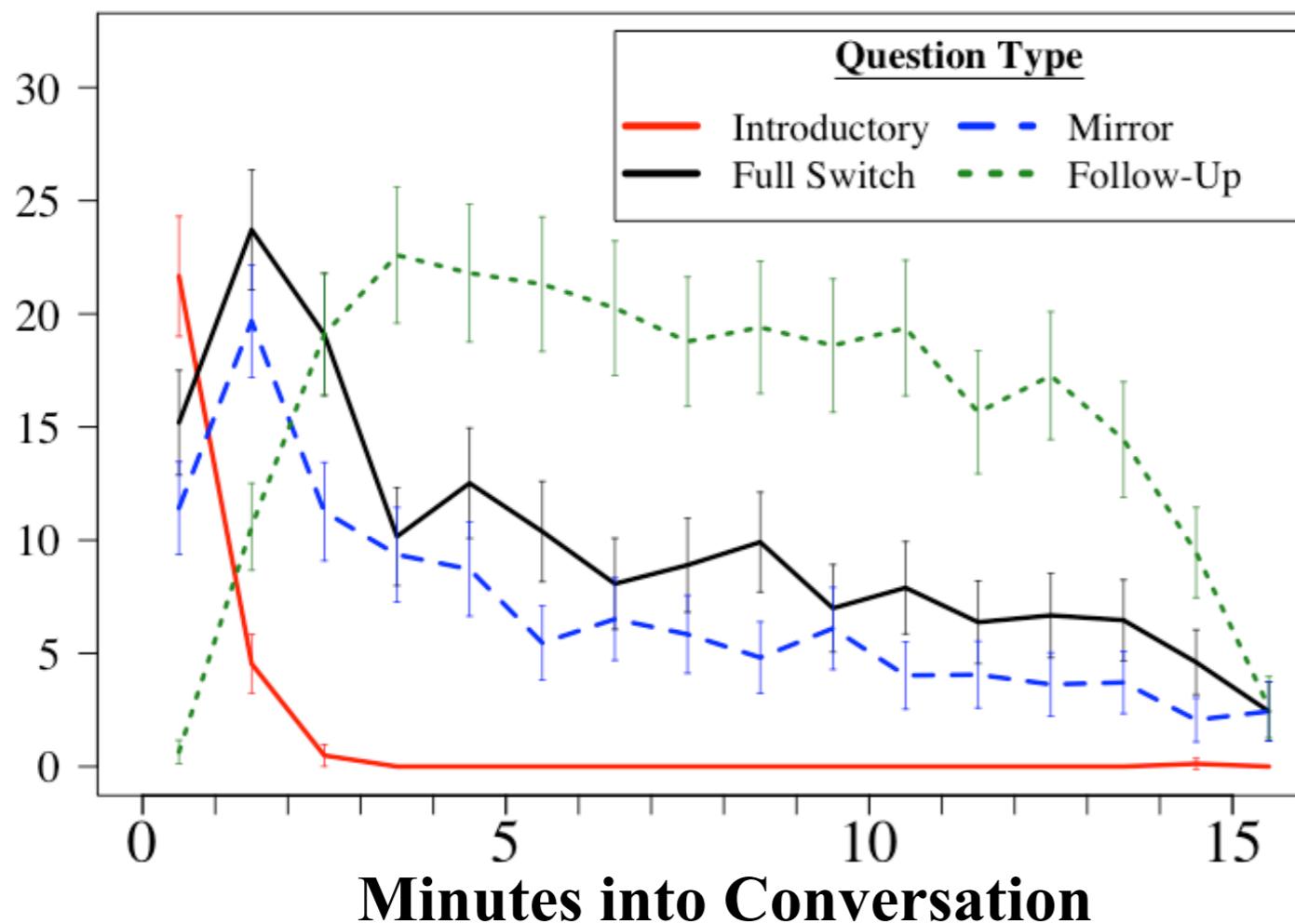
The Time Course of Conversation



The Time Course of Conversation



The Time Course of Conversation



Conversations versus SVDs

1. Multiple speakers: each with different goals, personalities, styles, etc
2. Generated responsively over time: transcripts can contain causes, correlates, and/or outcomes
3. Less formal: more pronouns, disfluencies, interruptions, backchannels, repairs, etc.

Conversations versus SVDs

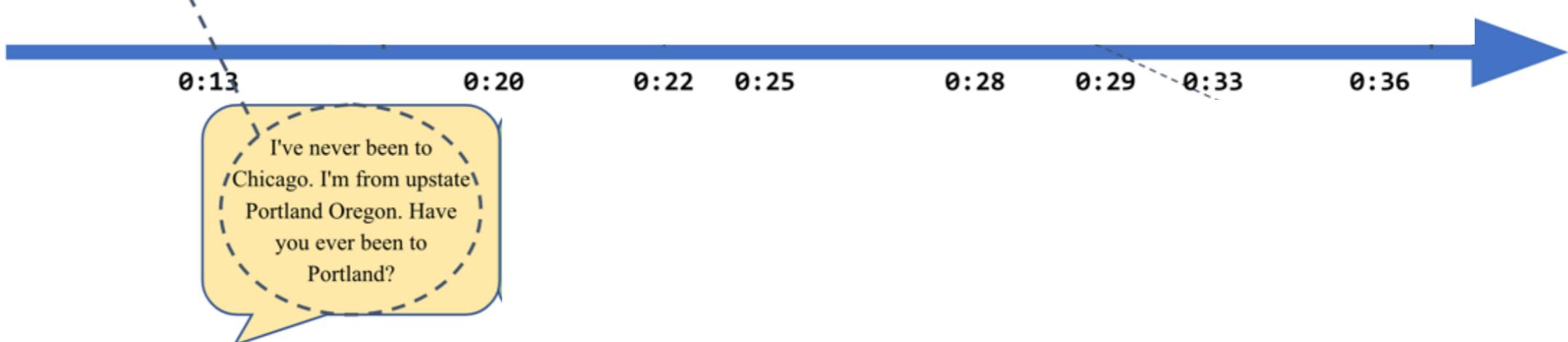
1. Multiple speakers: each with different goals, personalities, styles, etc
2. Generated responsively over time: transcripts can contain causes, correlates, and/or outcomes
3. Less formal: more pronouns, disfluencies, interruptions, backchannels, repairs, etc.
4. Dialogue acts: questions & answers, topic switching, agreement, affirmation, orders, acknowledgment, etc

Conversation Features

4.3. Static Text

Features

- Word Counts
- Dictionaries
- Sentence Structure
- Embeddings

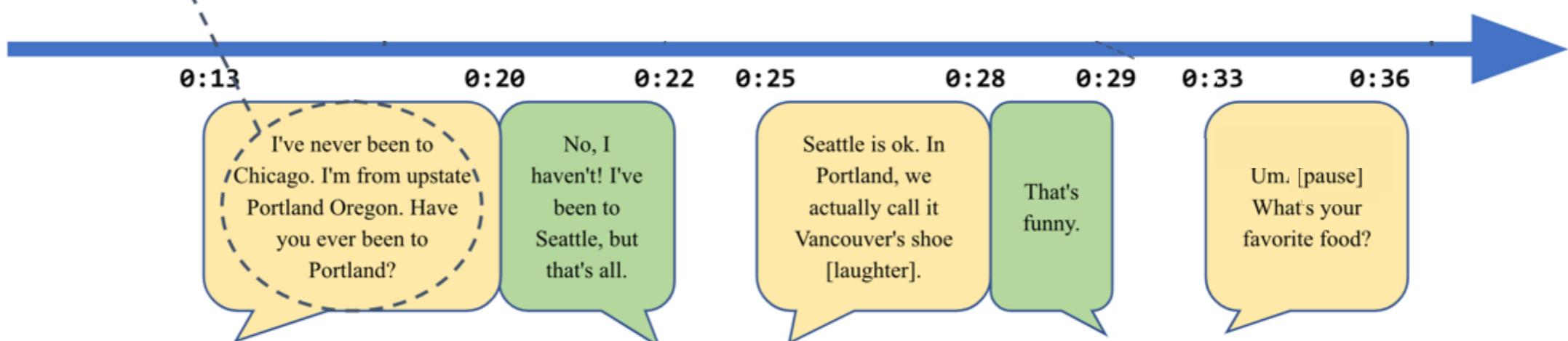


Conversation Features

4.3. Static Text

Features

Word Counts
Dictionaries
Sentence Structure
Embeddings

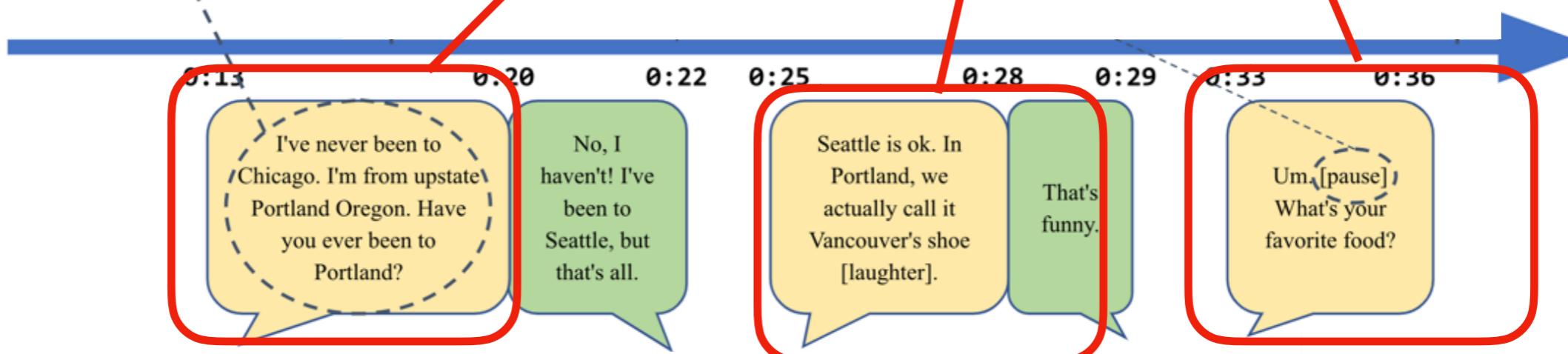


Conversation Features

4.3. Static Text

Features

Word Counts
Dictionaries
Sentence Structure
Embeddings

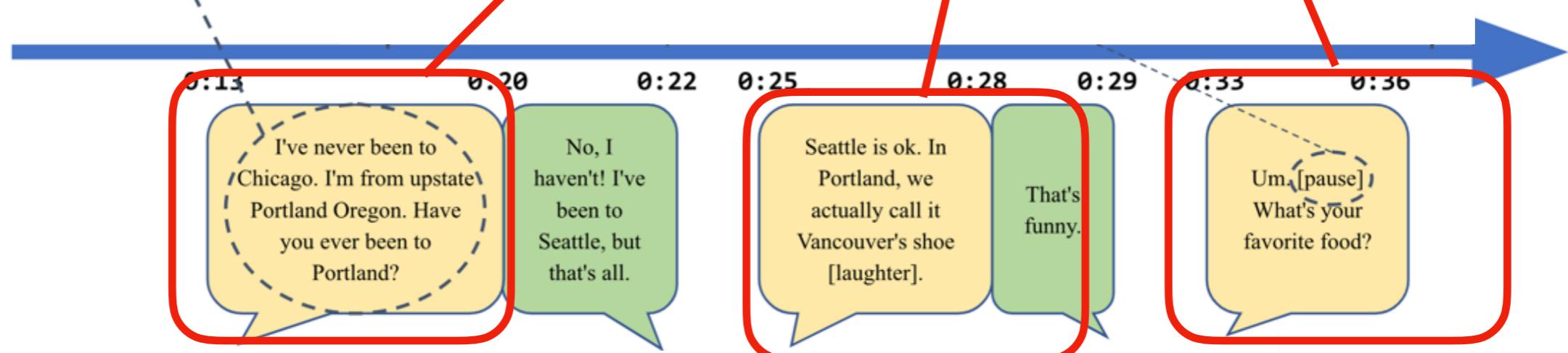


Conversation Features

4.3. Static Text

Features

Word Counts
Dictionaries
Sentence Structure
Embeddings



“Everything, Everywhere, All At Once”

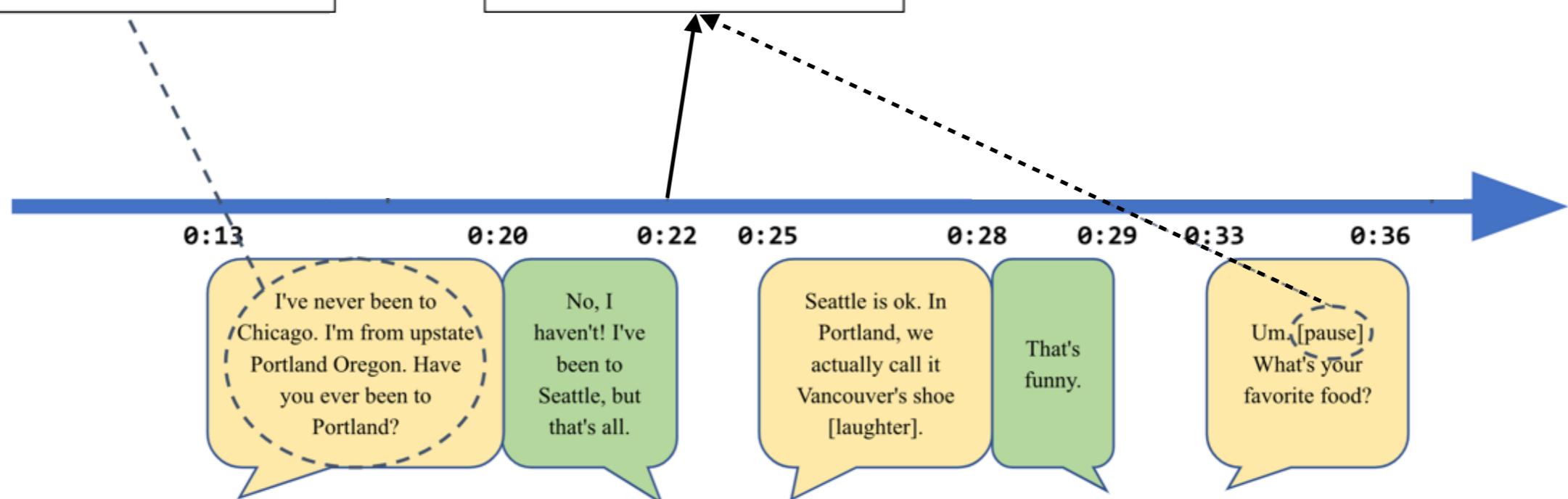
Conversation Features

4.3. Static Text Features

Word Counts
Dictionaries
Sentence Structure
Embeddings

4.4. Timing Features

Pauses
Interruptions
Airtime



Conversation Features

4.3. Static Text Features

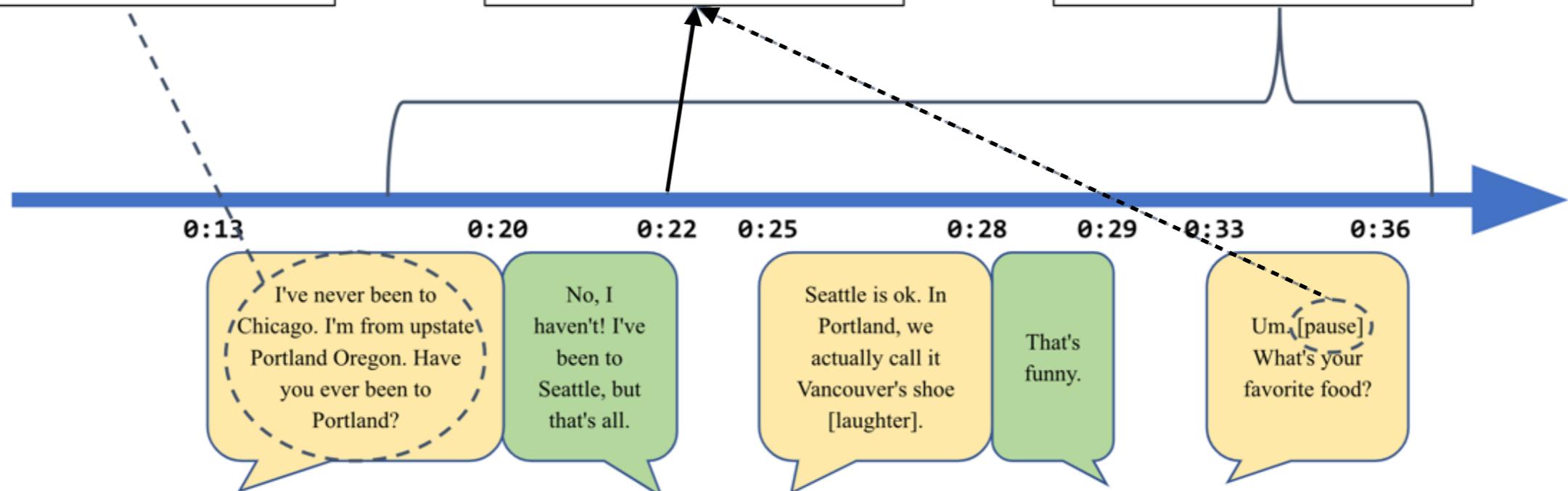
Word Counts
Dictionaries
Sentence Structure
Embeddings

4.4. Timing Features

Pauses
Interruptions
Airtime

4.5. Interactive Features

Backchannels
Dialogue Acts
Accommodation
Topics



Conversation Features

4.3. Static Text Features

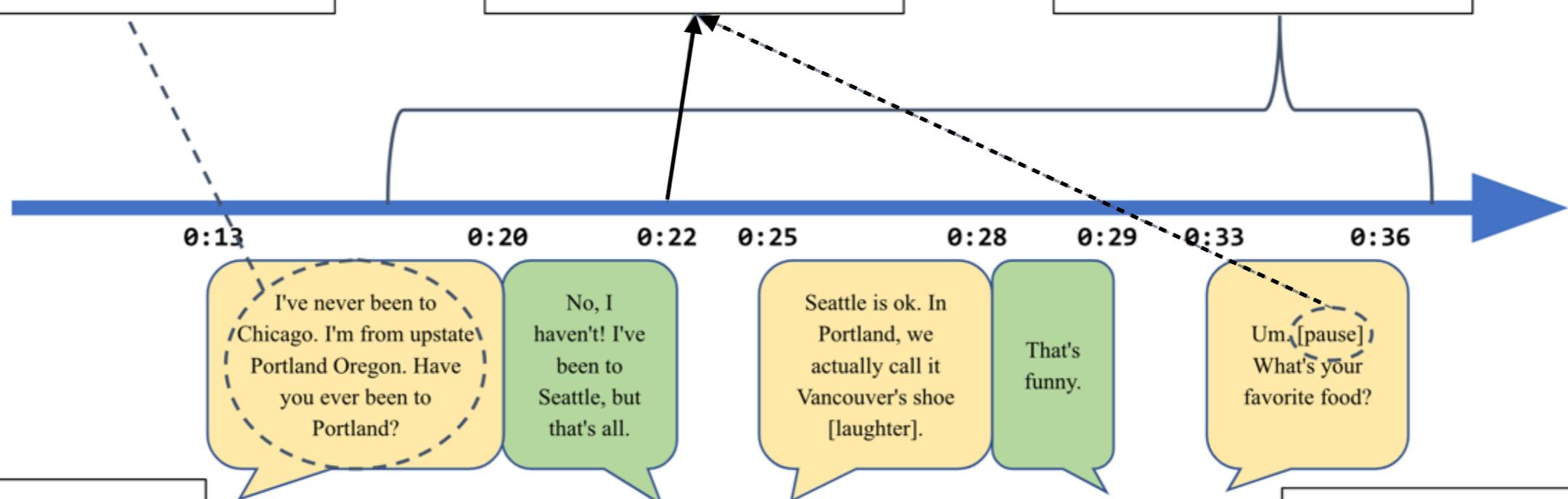
Word Counts
Dictionaries
Sentence Structure
Embeddings

4.4. Timing Features

Pauses
Interruptions
Airtime

4.5. Interactive Features

Backchannels
Dialogue Acts
Accommodation
Topics



Context

Culture
Demographics
Medium
Environment
Relationships
Goals
Role/Condition

Outcomes

Enjoyment
Learning
Decisions
Relationships
Reputations

Dialogue Acts

Tag	Example	%
STATEMENT	<i>Me, I'm in the legal department.</i>	36%
BACKCHANNEL/ACKNOWLEDGE	<i>Uh-huh.</i>	19%
OPINION	<i>I think it's great</i>	13%
ABANDONED/UNINTERPRETABLE	<i>So, -/</i>	6%
AGREEMENT/ACCEPT	<i>That's exactly it.</i>	5%
APPRECIATION	<i>I can imagine.</i>	2%
YES-NO-QUESTION	<i>Do you have to have any special training?</i>	2%
NON-VERBAL	<i><Laughter>, <Throat-clearing></i>	2%
YES ANSWERS	<i>Yes.</i>	1%
CONVENTIONAL-CLOSING	<i>Well, it's been nice talking to you.</i>	1%
WH-QUESTION	<i>What did you wear to work today?</i>	1%
NO ANSWERS	<i>No.</i>	1%
RESPONSE ACKNOWLEDGMENT	<i>Oh, okay.</i>	1%
HEDGE	<i>I don't know if I'm making any sense or not.</i>	1%
DECLARATIVE YES-NO-QUESTION	<i>So you can afford to get a house?</i>	1%
OTHER	<i>Well give me a break, you know.</i>	1%
BACKCHANNEL-QUESTION	<i>Is that right?</i>	1%
QUOTATION	<i>You can't be pregnant and have cats</i>	.5%
SUMMARIZE/REFORMULATE	<i>Oh, you mean you switched schools for the kids.</i>	.5%
AFFIRMATIVE NON-YES ANSWERS	<i>It is.</i>	.4%
ACTION-DIRECTIVE	<i>Why don't you go first</i>	.4%
COLLABORATIVE COMPLETION	<i>Who aren't contributing.</i>	.4%
REPEAT-PHRASE	<i>Oh, fajitas</i>	.3%
OPEN-QUESTION	<i>How about you?</i>	.3%
RHETORICAL-QUESTIONS	<i>Who would steal a newspaper?</i>	.2%
HOLD BEFORE ANSWER/AGREEMENT	<i>I'm drawing a blank.</i>	.3%
REJECT	<i>Well, no</i>	.2%
NEGATIVE NON-NO ANSWERS	<i>Uh, not a whole lot.</i>	.1%
SIGNAL-NON-UNDERSTANDING	<i>Excuse me?</i>	.1%
OTHER ANSWERS	<i>I don't know</i>	.1%
CONVENTIONAL-OPENING	<i>How are you?</i>	.1%
OR-CLAUSE	<i>or is it more of a company?</i>	.1%
DISPREFERRED ANSWERS	<i>Well, not so much that.</i>	.1%
3RD-PARTY-TALK	<i>My goodness, Diane, get down from there.</i>	.1%
OFFERS, OPTIONS & COMMITS	<i>I'll have to check that out</i>	.1%
SELF-TALK	<i>What's the word I'm looking for</i>	.1%
DOWNPLAYER	<i>That's all right.</i>	.1%
MAYBE/ACCEPT-PART	<i>Something like that</i>	<.1%
TAG-QUESTION	<i>Right?</i>	<.1%
DECLARATIVE WH-QUESTION	<i>You are what kind of buff?</i>	<.1%
APOLOGY	<i>I'm sorry.</i>	<.1%
THANKING	<i>Hey thanks a lot</i>	<.1%

Stolcke et al., 2000

Distinctive Question Features

hello, what things do you enjoy doing?

i love travelling

me too

are you a boston native ?

no, I am from Colombia. and you?

Distinctive Question Features

Contextual Feature	Follow-Up	Switch	Intro	Mirror
Word Count of turn	.20	—	—	—
Time into Conversation	.25	—	-1.34	—
Question in askee's last turn	—	—	- .43	.61
Pre-question statement in turn	- .10	—	—	.37
Question in asker's last turn	.30	.12	- .33	- .12
Multiple questions in the turn	.08	- .04	—	—

Distinctive Question Features

Do you play an instrument or enjoy listening to music?

I played the piano pretty seriously for about 13 years

whoa that's awesome. Did you like that?

Distinctive Question Features

Do you play an instrument or enjoy listening to music?

I played the piano pretty seriously for about 13 years

whoa that's awesome. Did you like that?

I don't play that much anymore, just occasionally when I go home.
Yeah I loved it. Only classical music but I still enjoyed it so much. And
now obviously I still really like listening to music, and producing it.

Classical? or other genres

you should try out Snarky Puppy. it's an instrumental based music.
my favorite band

Cool! I'll definitely check it out. What kind of music do you mostly
produce? And how'd you get started doing it?

The Detection Model

Q1
Q2
Q3
Q4
Q5

The Detection Model

	who	what	when	why	how	hobbies
Q1	0	1	0	0	0	0
Q2	0	0	1	0	0	0
Q3	0	1	0	1	1	1
Q4	1	0	0	1	0	1
Q5	0	0	0	0	0	0

**Ngram
Features**

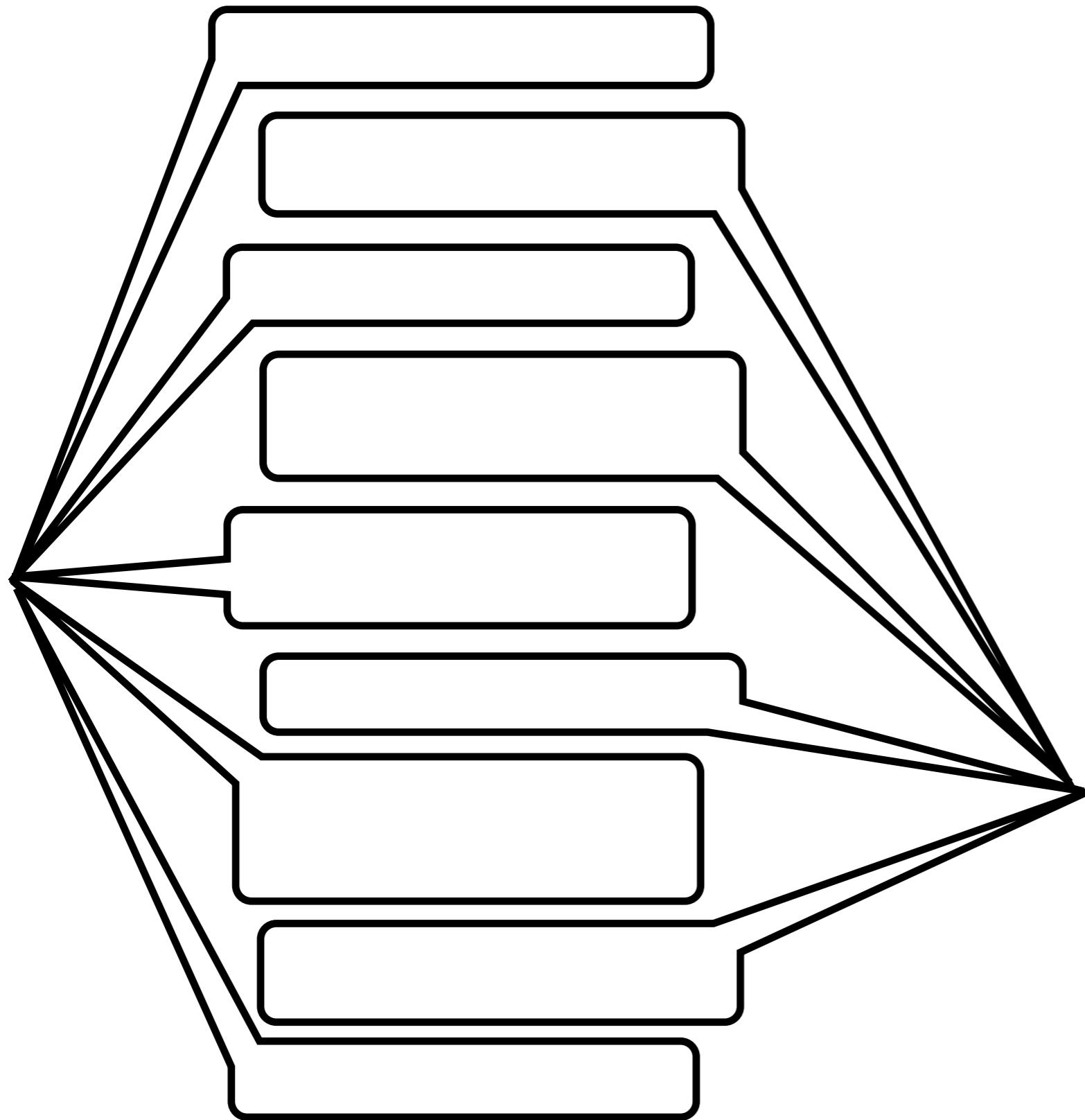
The Detection Model

	who	what	when	why	how	hobbies	Word count	prevQ asker	prevQ askee	turn Q count
Q1	0	1	0	0	0	0	11	0	0	1
Q2	0	0	1	0	0	0	26	0	1	2
Q3	0	1	0	1	1	1	8	1	0	1
Q4	1	0	0	1	0	1	15	1	0	1
Q5	0	0	0	0	0	0	19	0	0	2

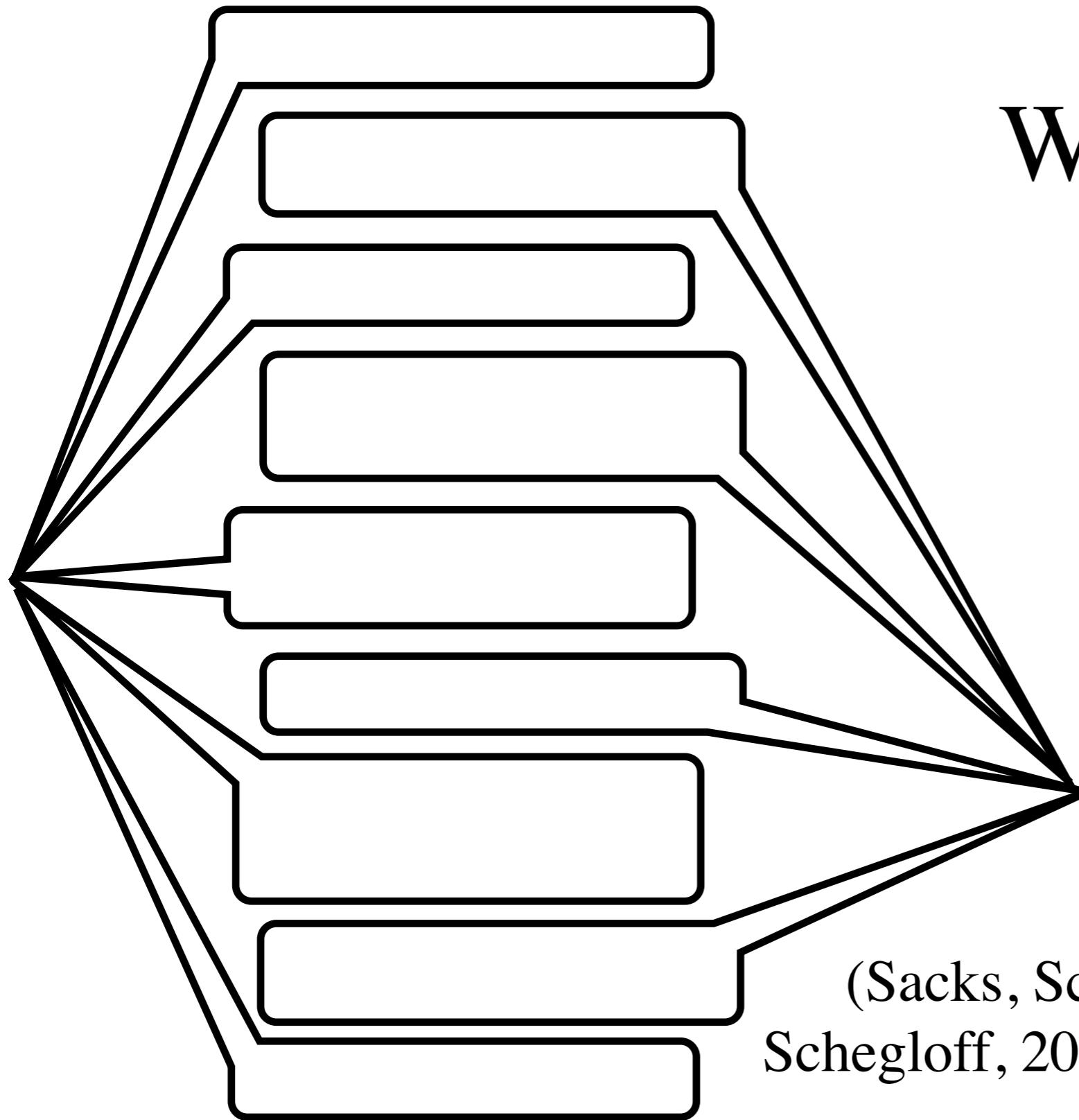
**Ngram
Features**

**Context
Features**

The Structure of Conversation



The Structure of Conversation

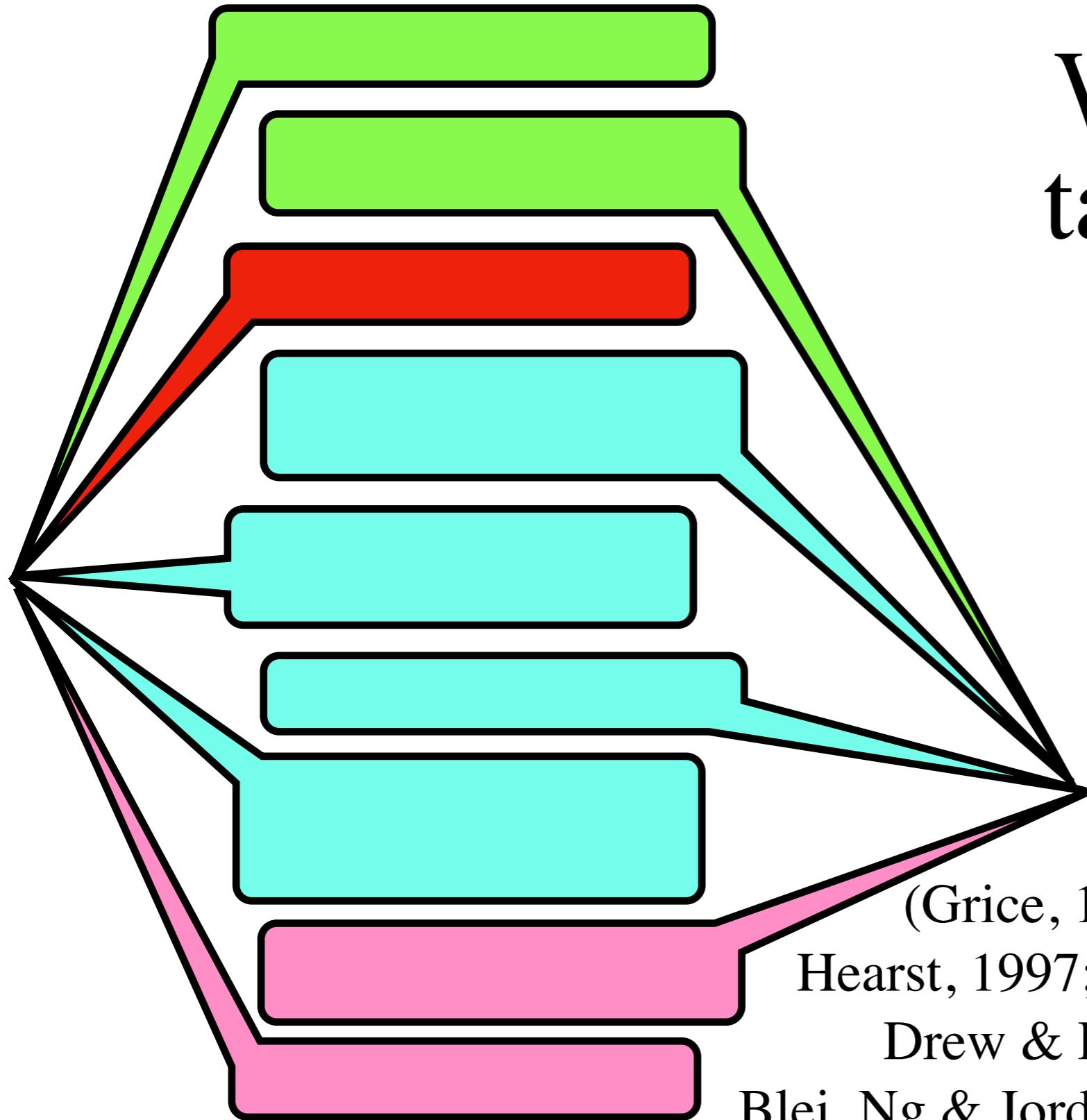


Who is talking

Turn-Taking

(Sacks, Schegloff & Jackson, 1979;
Schegloff, 2007; Jurafsky & Martin, 2019)

The Structure of Conversation



What we are
talking about

Topic Selection

(Grice, 1975; Hardin & Higgins, 1996;
Hearst, 1997; Passonneau & Litman, 1997;
Drew & Holt, 1998; Galley et al., 2003;
Blei, Ng & Jordan, 2003; Nguyen et al., 2014)

Topic Detection

Topics

gene 0.04
dna 0.02
genetic 0.01

life 0.02
evolve 0.01
organism 0.01

brain	0.04
neuron	0.02
nerve	0.01

data 0.02
number 0.02
computer 0.01

Documents

Seeking Life's Bare (Genetic) Necessities

COLD SPRING HARBOR, NEW YORK— How many genes does an organism need to survive? Last week at the genome meeting here,⁸ two genome researchers with radically different approaches presented complementary views of the basic genes needed for life. One research team, using computer analyses to compare known genomes, concluded that today's organisms can be sustained with just 250 genes, and that the earliest life forms required a mere 128 genes. The other researcher mapped genes in a simple parasite and estimated that for this organism, 800 genes are plenty to do the job—but that anything short of 100 wouldn't be enough.

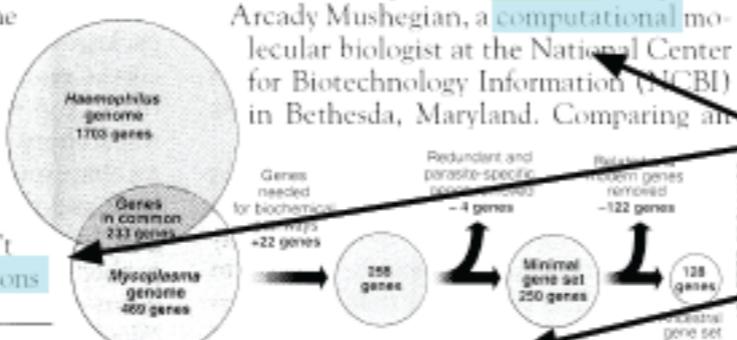
Although the numbers don't match precisely, those predictions

* Genome Mapping and Sequencing, Cold Spring Harbor, New York, May 8 to 12.

SCIENCE • VOL. 272 • 24 MAY 1996

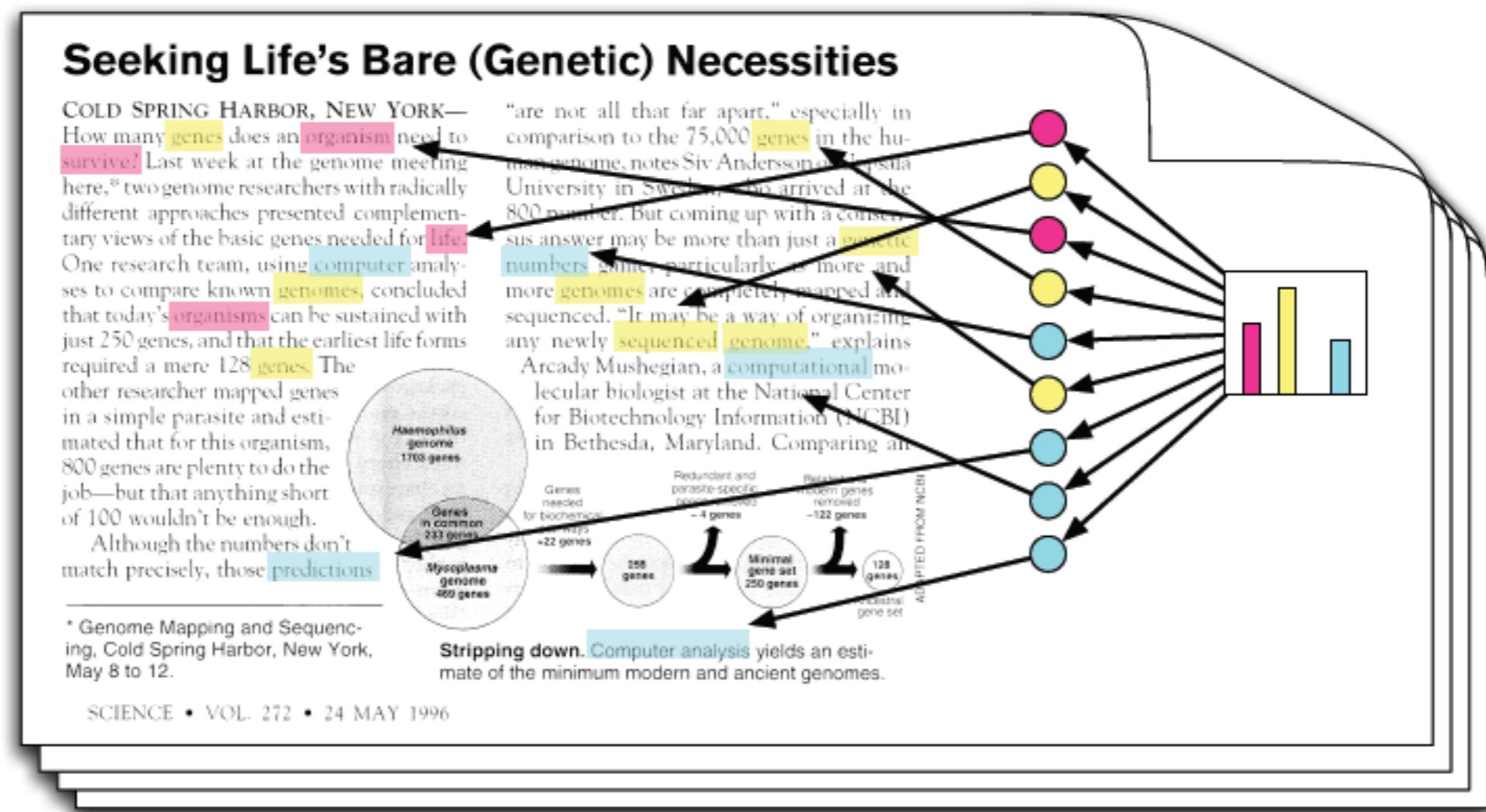
"are not all that far apart," especially in comparison to the 75,000 genes in the human genome, notes Siv Andersson of Uppsala University in Sweden. "We arrived at the 800 number. But coming up with a consensus answer may be more than just a genetic numbers game, particularly as more and more genomes are completely mapped and sequenced. "It may be a way of organizing any newly sequenced genome," explains

Arcady Mushegian, a computational molecular biologist at the National Center for Biotechnology Information (NCBI) in Bethesda, Maryland. Comparing an



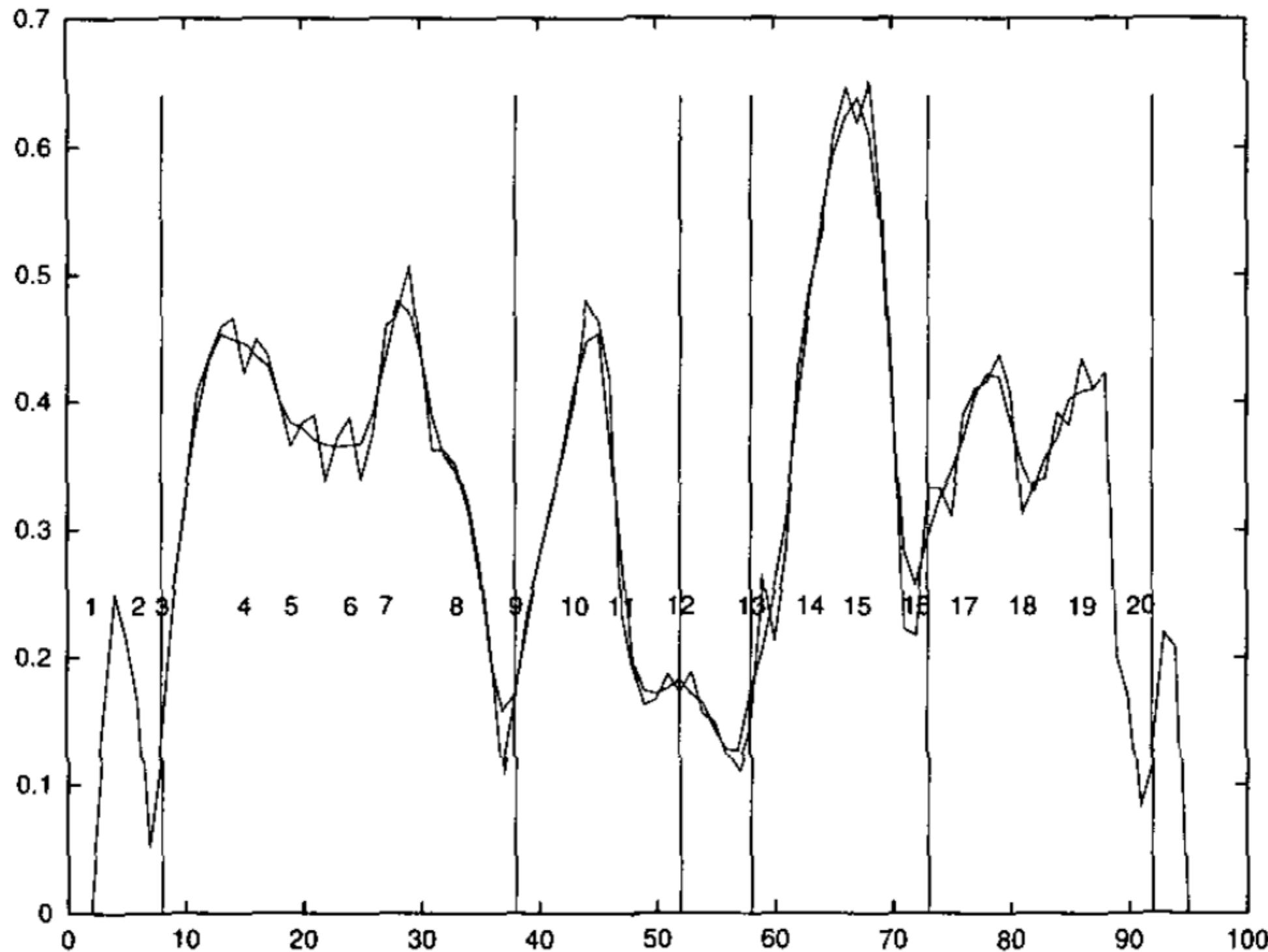
Stripping down. Computer analysis yields an estimate of the minimum modern and ancient genomes

Topic proportions and assignments



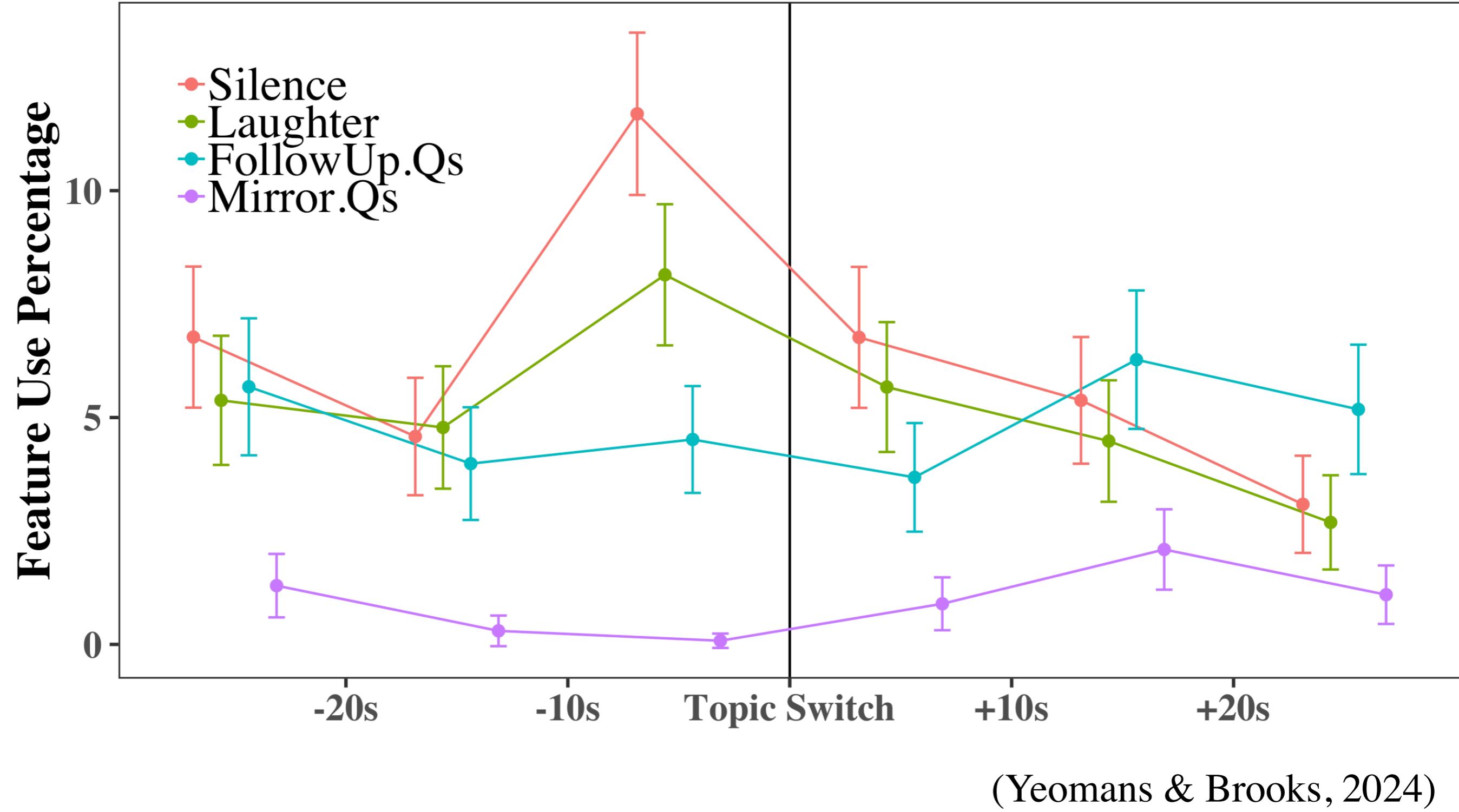
(Blei, Ng & Jordan, 2003; Blei & Lafferty, 2007)

Topic Segmentation



(Hearst, 1997; Passonneau & Litman, 1997; Drew & Holt, 1998;
Galley et al., 2003; Purver, 2011; Nguyen et al., 2014)

Topic Transitions



Open vs Structured Domain

Open domain

Speed date

Social media

Dinner with friends

Work meeting

Open vs Structured Domain

Open domain

Speed date

Social media

Dinner with friends

Work meeting

Structured domain

Open vs Structured Domain

Open domain

Speed date

Social media

Dinner with friends

Work meeting

Structured domain

Booking agents

Sales calls

Open vs Structured Domain

Open domain

Speed date
Social media
Dinner with friends
Work meeting



Structured domain

Booking agents
Sales calls



Open vs Structured Domain

Open domain

- Speed date
- Social media
- Dinner with friends
- Work meeting

Structured domain

- Booking agents
- Sales calls
- Customer service
- Crisis hotlines

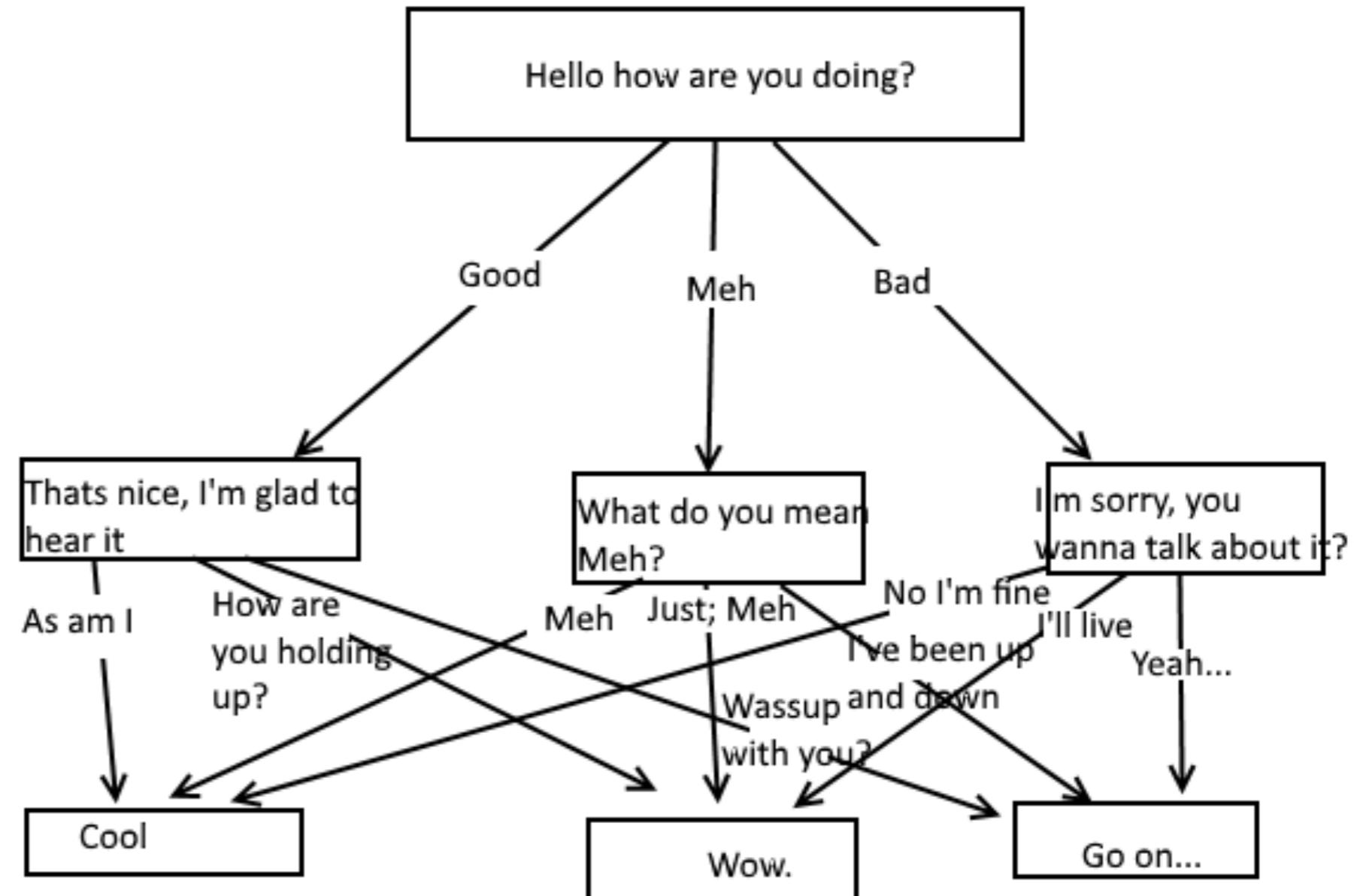
Open vs Structured Domain

Open domain

Speed date
Social media
Dinner with friends
Work meeting

Structured domain

Booking agents
Sales calls
Customer service
Crisis hotlines



Open vs Structured Domain

Open domain

- Speed date
- Social media
- Dinner with friends
- Work meeting

Structured domain

- Booking agents
- Sales calls
- Customer service
- Crisis hotlines
- Interviews?
- Negotiations?
- Alexa?

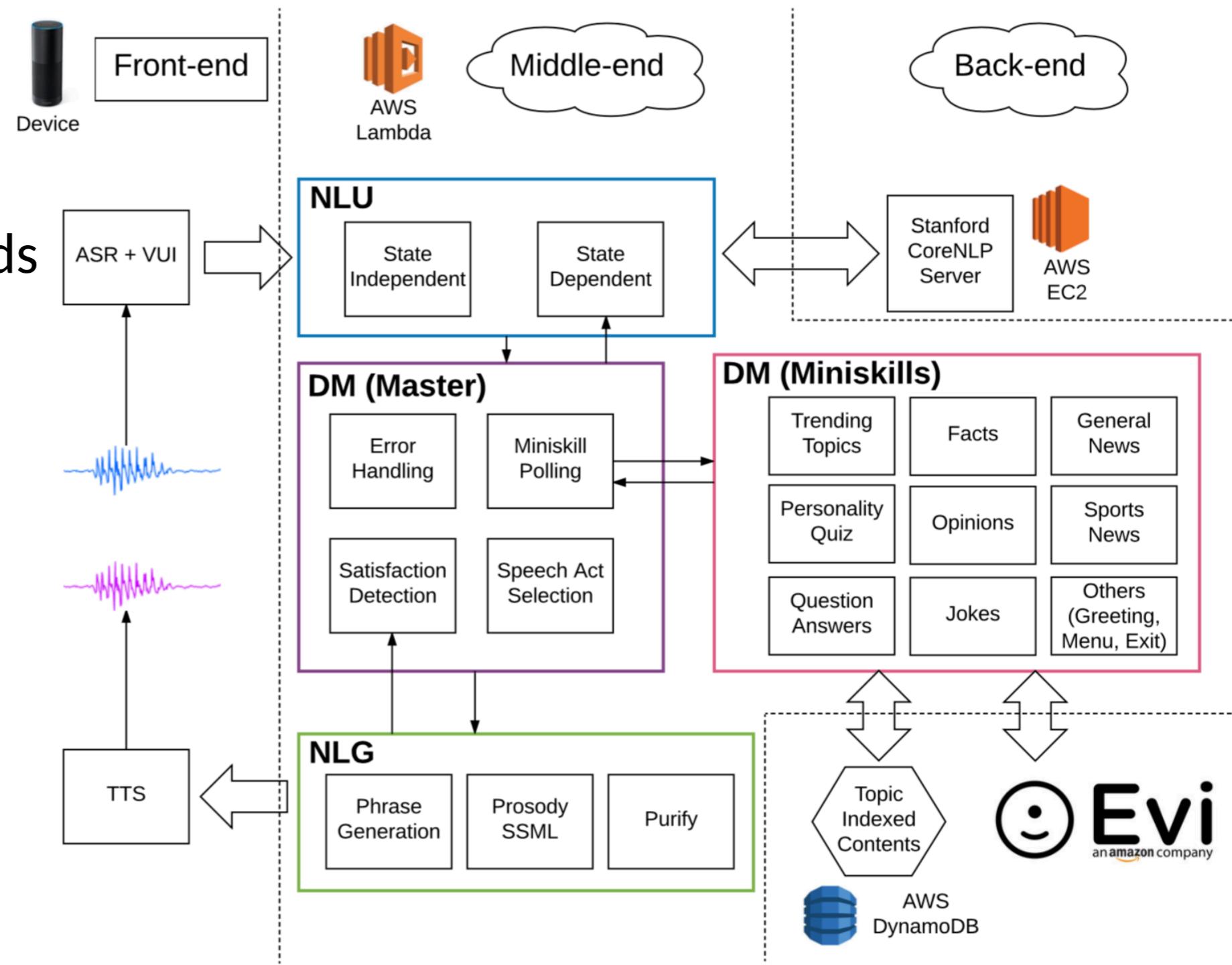
Open vs Structured Domain

Open domain

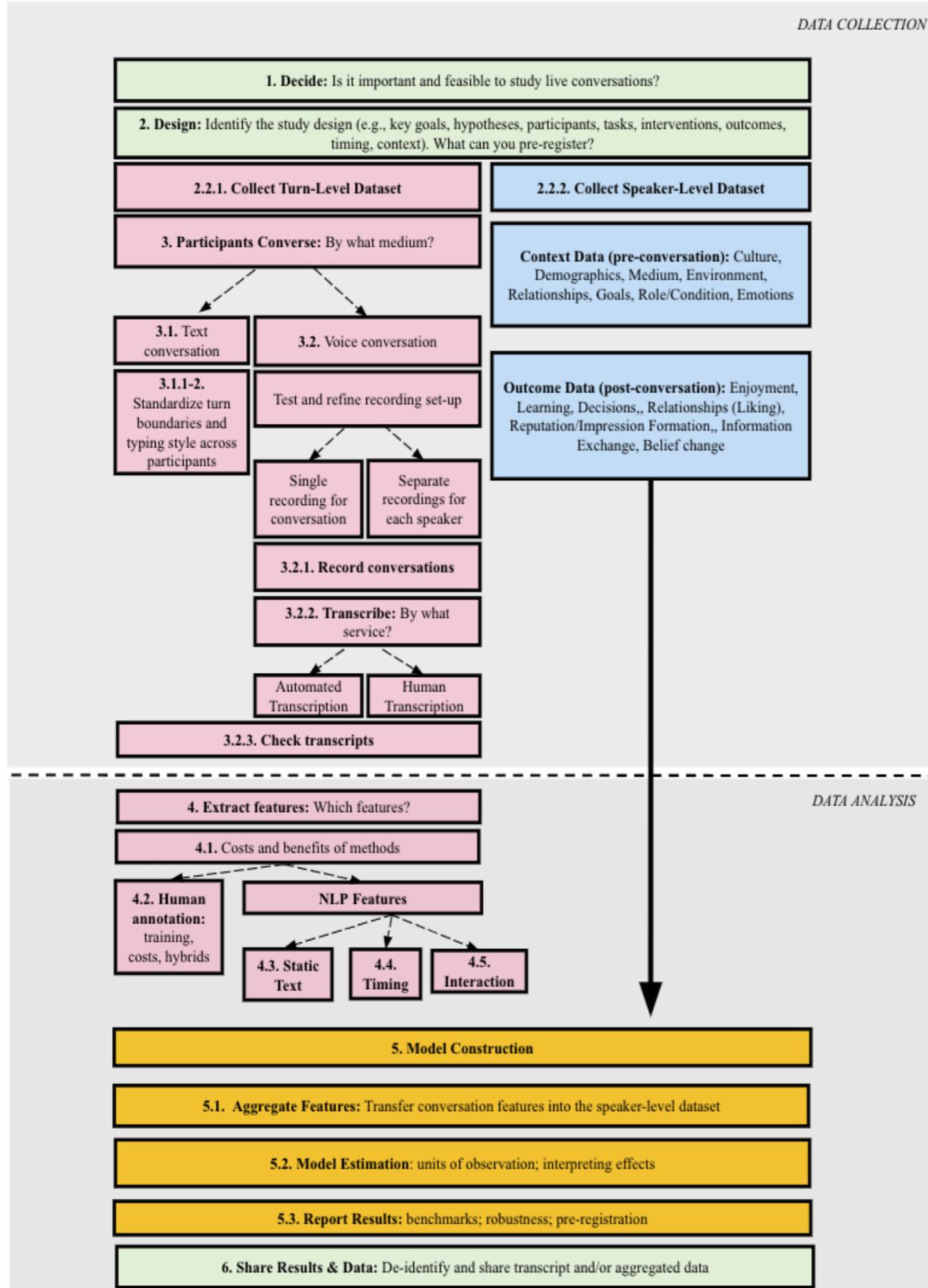
Speed date
Social media
Dinner with friends
Work meeting

Structured domain

Booking agents
Sales calls
Customer service
Crisis hotlines
Interviews?
Negotiations?
Alexa?



The Whole Paper in one Figure



A Practical Guide To Conversation Research

(Yeomans, Boland,
Collins, Abi-Esber &
Brooks, 2023)

Conversations are Everywhere



Relationships As Conversations Over Time



Let's keep the conversation going

m.yeomans@imperial.ac.uk

www.mikeyeomans.info

