

### Homework 3

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Q1:

- a. The answer will be [4, 3, 2, 6, 1, 5].
- b. This can be proved by contradiction:

Let  $\text{extracted}[]$  be the output array by this algorithm and let  $O$  denote the correct array. If they are not the same, let  $j$  denote the number which makes  $\text{extracted}[j]$  the smallest value in the  $\text{extracted}[]$  array and fulfill the requirement  $\text{extracted}[j] \neq O[j]$ . It is obvious that  $O[j]$  is either bigger than  $\text{extracted}[j]$  or smaller than  $\text{extracted}[j]$ . let  $P = \text{extracted}[j]$  and  $P^* = O[j]$

- 1. If  $P^* < P$  ( $O[j] < \text{extracted}[j]$ ):

First, we can show that  $P^*$  is not in the array  $\text{extracted}[]$ , otherwise  $P^*$  will be the smallest number in the  $\text{extracted}[]$  array makes the  $\text{extracted}[]$  incorrect. That means, we must have put  $P^*$  into the  $K_{m+1}$  set before we process  $P$ . On the other hand,  $P^*$  is in the array  $O[]$ , it must initially lies in some set  $K_i$  such that  $i \leq j$ . However, according to this algorithm, we must have processed  $P^*$  before  $P$ , since  $P^* \leq P$ . Moreover, since  $\text{extract}[j] = P$ , we know that  $\text{extracted}[j]$  must have no value before we process  $P$ , then, we could not put  $P^*$  into the  $K_{m+1}$  set, since we have not union with  $K_j$  yet.

- 2. If  $P^* > P$  ( $O[j] > \text{extracted}[j]$ ):

First, we can show that,  $P$  must initially lie in some set  $K_i$  which  $i \leq j$ , since we only union with the sets afterward. We would never make  $\text{extracted}[j] = P$ , if  $P$  lies in the set before  $K_j$ . Moreover, the  $O$  array did not choose the  $P$  for  $O[j]$  but  $P^*$ , the only possible situation is the optimal method have chosen  $P$  in  $O[q]$ , which  $q < j$ . otherwise, it must choose  $P$ , since  $P < P^*$ . But this means,  $\text{extracted}[q]$  must be larger than  $P$ , otherwise  $P$  will not be the smallest number that makes  $\text{extracted}[]$  incorrect.

However, we cannot move  $P$  to the set  $K_j$ , since our algorithm process the  $P$  before  $\text{extracted}[q]$ , and at that time  $\text{extracted}[q]$  must be empty, which means the set  $K_p$  still exists. Therefore,  $\text{extracted}[j]$  cannot equal to  $P$ .

Therefore,  $\text{extracted}[j]$  must equal to  $O[j]$  for all  $[j]$ , which can be proved inductively.

Thus, this algorithm is a correct one.

- c. The answer for the data is:

[22, 6, 3, 32, 11, 16, 30, 34, 35, 40, 45, 54, 55, 51, 60, 62, 7, 33, 38, 58, 68, 17, 28, 41, 14, 42, 46, 47, 56, 8, 63, 67, 59, 5, 29, 48, 21, 25, 37, 27, 26, 1, 15, 19, 2, 4, 18, 23, 13, 9]

The basic idea is use disjoint set to implement this algorithm. Additionally, we will need arrays which keep recoding the pairs of the number of set ( $K_1 \sim K_{m+1}$ ) and the representative of each set. Therefore, we can always find the corresponding set number for each node and update them correctly.

The implementation is shown below:

```

1. // this is the typical disjoint_set class, which is the same as the textbo
   ok shows
2. // make one disjoint set cost O(1)
3. // find_set and union cost O(Î±(n))
4. public class Disjoint_Set{
5.     private int[] parent;
6.     private int[] rank;
7.     public Disjoint_Set(int n){
8.         parent = new int[n];
9.         rank = new int[n];
10.        for (int i=0; i<n;i++){
11.            parent[i] = i;
12.            rank[i] = 0;
13.        }
14.    }
15.
16.    public int find_set(int x){
17.        if (parent[x]!= x){
18.            parent[x] = find_set(parent[x]);
19.        }
20.        return parent[x];
21.    }
22.
23.    public void union(int i, int j){
24.        i = find_set(i);
25.        j = find_set(j);
26.        if (rank[i] > rank[j])
27.            parent[j] = i;
28.        else{
29.            parent[i] = j;
30.            if(rank[i]==rank[j])
31.                rank[j]++;
32.        }
33.
34.    }
35.

```

The implementation for OFF\_LINE algorithm is:

```

1. // @para root: integer array used to store the representative of each s
   et. take set number(1~m)
2. //           as input, and return the corresponding representative.
3. // @para pos: integer array used to store the set number. it takes the
   representative
4. //           as input and return the current set number of this root.

5. // @para extracted: the array used to store the extracted number.
6.
7.
8. public class offline{
9.

```

```

10.     public static void main(String[] args){
11.         int n = 100;
12.         int m = 50;
13.         int[] root = new int[m+2];
14.         int[] pos = new int[n+1];
15.         Disjoint_Set ds = new Disjoint_Set(n+1);
16.         // read in the file. read In.class
17.         In in = new In("hw3test.txt");
18.         String current = in.readLine();
19.         int re = 0; // re is the representative
20.         int count = 0; // count the number of extract
21.         // this while loop read in all the number and E, then
22.         // it initialize the disjoint set, and set the representative

23.         // of each set to the first incoming number of each set.
24.         // this will take the O(n) running time.
25.         while(current!=null){
26.             if(current.charAt(0)=='E'){
27.                 count++;
28.                 root[count]=re;
29.                 pos[re]=count;
30.                 re=0;
31.             }
32.             else{
33.                 int temp=Integer.parseInt(current);
34.                 if(re==0)
35.                     re=temp;
36.                 ds.union(re,temp); // in initialization, this
// will take constant time,
37.             } // since re and temp are a
// ll representative of each set.
38.             current=in.readLine();
39.         }
40.         count++;
41.         root[count]=re;
42.         pos[re]=count;
43.         re=0;
44.         // the algorithm described in the textbook. the algorithm takes O
(3n * Î±(n)) running time.

45.
46.         int[] extracted = new int[m];
47.         for(int i=1;i<=n;i++){
48.             int r=ds.find_set(i); //O(n*Î±(n))
49.             int j=pos[r];
50.             // set extract and get the next non-empty set
51.             if(j<m+1){
52.                 extracted[j-1]=i;
53.                 int k=j+1;
54.                 while(k<=m){
55.                     if(root[k]!=-1)

```

```

56.             break;
57.             k++;
58.         }
59.         // union two sets and update the pos and representative a
    ccordingly.
60.         if(root[k]!=0){
61.             ds.union(root[k],r); //O(n*I±(n))
62.             int updated_root=ds.find_set(r); //O(n*I±(n))
63.             root[k] = updated_root;
64.             pos[updated_root]=k;
65.             root[j]=-1;
66.         }
67.         else{
68.             root[k]=r;
69.             pos[r]=k;
70.             root[j]=-1;
71.         }
72.     }
73. }
74.
75.     for (int num=0;num<m;num++)
76.         System.out.println(extracted[num]);
77.
78. }
79.
80.
81. }

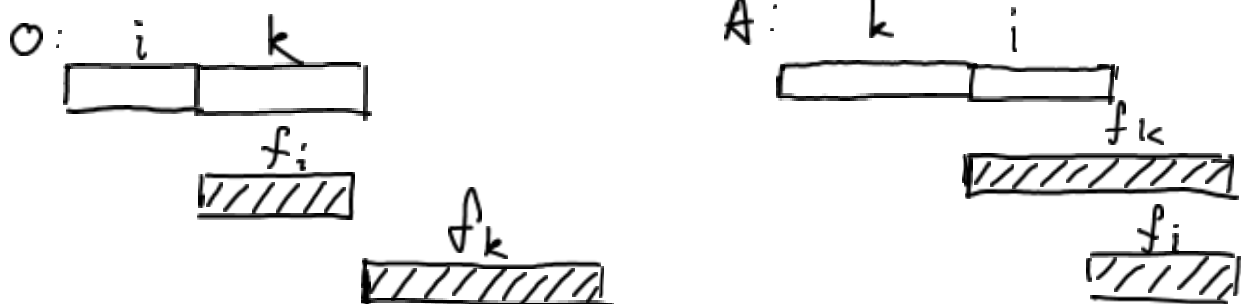
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Since, we call find\_set( )  $2n$  times, the union( )  $n$  times, build\_set( )  $n$  times. The total running time will be  $O(n + 3n * \alpha(n)) = O(\alpha(n))$ .

Q2.

Schedule: we should run jobs in the order of decreasing finish time  $f_i$ .

Proof: let A be the schedule produced by our algorithm and O be the schedule generate be the optimal algorithm. I want to show by “exchange argument” that, A will be no worse than O. Thus, the A will be the optimal schedule.



Suppose in the O, job  $J_i$  and  $J_k$  are neighboring jobs, which  $J_i$  is scheduled before  $J_k$ , but  $f_k \geq f_i$ .

The finishing time for these two jobs in O will be  $T(o) = P_i + P_k + f_k$ .

In schedule A, the finish time for these two jobs has two possibilities:

$$T(a) = P_k + f_k \text{ or } T(a) = P_k + P_i + f_i.$$

If  $T(a) = P_k + f_k$ , then we know that  $T(a) = T(o) - P_i < T(o)$

If  $T(a) = P_k + P_i + f_i$ , then we know that  $T(a) \leq P_k + P_i + f_k = T(o)$

Thus, in either case, the finishing time of these two jobs in A is no worse than it in optimal schedule O. moreover, it is obvious that the finishing time for other jobs will remain the same.

Therefore, by exchanging the jobs  $J_i$  and  $J_k$  in O, the total finishing time will stay the same (when there are other jobs that finished after these two) or become smaller. Therefore, by keep exchanging, we can get A. so that, A is no worse than O, thus an optimal schedule.

Meanwhile, if job  $J_i$  and  $J_k$  are not neighboring jobs in O, we can find that, for every jobs  $J_m$  between  $J_i$  and  $J_k$ . it can either switch with job  $J_i$  or job  $J_k$ . thus we can always switch the neighboring jobs first.

The running time of the algorithm to find this schedule basically depends on the sorting time. Thus, it will be a polynomial running time of n.

Q3.

This problem can be solved by Dijkstra's algorithm. Assume  $s$  is the starting node and we use  $d(u)$  to denote the minimum time we can reach node  $u$  from  $s$ .  $S$  be the set of nodes that we have explored.  $V$  is the set for all nodes. Therefore, the algorithm is:

*Initially,  $S = \{s\}$  and  $d(s) = 0$ .*

*While  $S \neq V$ :*

*Select a node  $v \notin S$  with at least one edge from  $S$  for which:*

$$d'(v) = \min_{e=(u,v); u \in S} f_e(d(u))$$

*Try all the possible  $v$  to make this formula as small as possible.*

*Add  $v$  to  $S$  and  $d(v) = d'(v)$  ;  $v.\pi = u$*

*End*

The  $v.\pi$  is used to track the path, which will return the last node we should choose to get to  $v$ , so that we can know the exact path that takes the shortest time.

Pseudo code:

for each vertex  $v \in G.v$ :

    Insert( $v, \infty$ )

$v.\pi = \text{Nil}$

end

Decrease\_key( $s, 0$ )

$S = \emptyset$   $Q = G \cdot V$

While  $Q \neq \emptyset$ :

$u = \text{Extract\_min}()$

$S = S \cup \{u\}$

for each vertex  $v \in G \text{ adj } \{u\}$ :

    if  $v.\text{key} > f_e(u.\text{key})$ :

        Decrease\_key ( $v, f_e(u.\text{key})$ )

$v.\pi = u$

    end

end for

end while

Running time: this can be implemented by the Fibonacci Heap, in which:

we call: Insert( )  $|V|$  times

Extract\_min( )  $|V|$  times

Decrease\_Key ( )  $|E|$  times

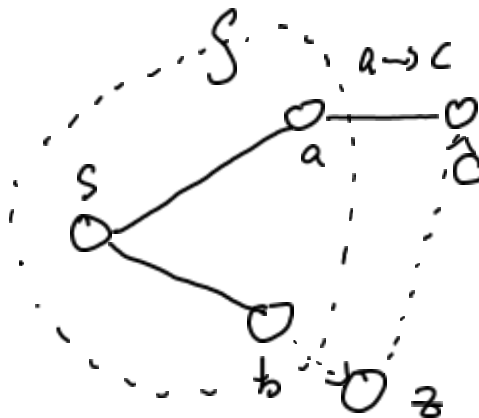
Thus the total running time will be  $O(|V| \cdot \lg |V| + |E|)$ .

Since  $|E| < |V|^2$ , suppose  $|V| = n$ , the running time will be  $O(n^2)$ , which is polynomial of  $n$ .

Proof:

This can be proved by induction:

1. When  $|S| = 1$ , it apparently holds.
2. Suppose when  $|S| = k$ , it holds, then consider the situation for  $|S| = k + 1$ :



As shown above, suppose we are going to choose path  $(a \rightarrow c)$  and node  $c$  as the next destination. That means, we have determined that the shortest path to  $c$  from  $|S|$  is through  $a$ . if there exist another road, then, there must be at least a node out of the  $S$ , let's say  $z$ . so the path will be  $b \rightarrow z \rightarrow c$ , which makes  $f_{(b,z)}(t_b) + f_{(z,c)}(t_c)$  smaller than  $f_{(a,c)}(t_a)$ . However, if  $z$  exists, the algorithm will include  $z$  to  $|S|$  instead of  $c$ . contradiction found. Therefore, when  $|S| = k + 1$ , it still holds

3. Therefore, finally when we reach the destination, it will be the shortest path we can find.