

## Lecture #9 Homework #9 [2025-11-04]

**Problem 9.1.** Calculate the Landau parameters to leading order in  $\lambda_{1,2}$  for a Fermi liquid with the contact interactions

- (a)  $V(\mathbf{x} - \mathbf{x}') = \lambda_1 \delta^{(3)}(\mathbf{x} - \mathbf{x}')$ .
- (b)  $V(\mathbf{x} - \mathbf{x}') = -\lambda_2 \nabla^2 \delta^{(3)}(\mathbf{x} - \mathbf{x}')$  (so that  $V(q) = \lambda_2 q^2$  in Fourier space).
- (c) Taking the results of (a) and (b) literally, sketch the regions of the  $\lambda_1, \lambda_2$  phase diagram where the Fermi surface becomes unstable.

**Solution.** For a spin-independent two-body interaction  $V(\mathbf{q})$ , the Landau interaction function in the forward direction

$$f_{\mathbf{p}\sigma,\mathbf{p}'\sigma'} = [V(0) - V(|\mathbf{p} - \mathbf{p}'|)]\delta_{\sigma\sigma'}.$$

Decomposing into spin-symmetric  $f^s$  and spin-antisymmetric  $f^a$  parts

$$f^s(\theta) = V(0) - \frac{1}{2}V(q), \quad f^a(\theta) = -\frac{1}{2}V(q),$$

where  $q = |\mathbf{p} - \mathbf{p}'| = 2k_F \sin(\theta/2)$ ,  $\theta = \langle \mathbf{p}, \mathbf{p}' \rangle$ . Expanding in Legendre polynomials

$$f^s(\theta) = \sum_l f_l^s P_l(\cos \theta), \quad f^a(\theta) = \sum_l f_l^a P_l(\cos \theta).$$

Hence, the Landau parameters are

$$F_l^s = N(0)f_l^s, \quad F_l^a = N(0)f_l^a.$$

where  $N(0) = \frac{mk_F}{2\pi^2}$  is the density of states per spin at the Fermi level.

- (a) In the case of  $V(\mathbf{x} - \mathbf{x}') = \lambda_1 \delta^{(3)}(\mathbf{x} - \mathbf{x}')$ .

Do the Fourier transform

$$V(\mathbf{q}) = V(0) = \lambda_1,$$

then

$$f_l^s(\theta) = f_0^s = \lambda_1 - \frac{\lambda_1}{2} = \frac{\lambda_1}{2}, \quad f_l^a(\theta) = f_0^a = -\frac{\lambda_1}{2}.$$

Hence, the Landau parameters are

$$F_0^s = \frac{N(0)\lambda_1}{2}, \quad F_0^a = -\frac{N(0)\lambda_1}{2}, \quad F_{l>0} = 0.$$

- (b) In the case of  $V(\mathbf{x} - \mathbf{x}') = -\lambda_2 \nabla^2 \delta^{(3)}(\mathbf{x} - \mathbf{x}')$ .

Do the Fourier transform

$$V(\mathbf{q}) = \lambda_2 q^2, \quad \text{and} \quad V(0) = 0,$$

then

$$f^s(\theta) = f^a(\theta) = -\frac{\lambda_2}{2} q^2 \xrightarrow{q^2=2k_F^2(1-\cos\theta)} -\lambda_2 k_F^2 (1 - \cos \theta).$$

Expanding in Legendre polynomials

$$f^s(\theta) = -\lambda_2 k_F^2 + \lambda_2 k_F^2 \cos \theta = -\lambda_2 k_F^2 + \lambda_2 k_F^2 P_1(\cos \theta),$$

Then

$$f_0^s = f_0^a = -\lambda_2 k_F^2, \quad f_1^s = f_1^a = \lambda_2 k_F^2, \quad f_{l \geq 2} = 0.$$

Hence, the Landau parameters are

$$F_0^s = F_0^a = -N(0)\lambda_2 k_F^2, \quad F_1^s = F_1^a = N(0)\lambda_2 k_F^2, \quad F_{l > 1} = 0.$$

(c) When both interactions are present, the Landau parameters add linearly

$$F_0^s = \frac{N(0)\lambda_1}{2} - N(0)\lambda_2 k_F^2, \quad F_0^a = -\frac{N(0)\lambda_1}{2} - N(0)\lambda_2 k_F^2, \quad F_1^s = F_1^a = N(0)\lambda_2 k_F^2.$$

The Pomeranchuk stability conditions are

$$1 + \frac{F_l^s}{2l+1} > 0, \quad 1 + \frac{F_l^a}{2l+1} > 0.$$

For  $l = 0$ :  $1 + F_0^s > 0, 1 + F_0^a > 0$ ; For  $l = 1$ :  $1 + \frac{F_1^s}{3} > 0, 1 + \frac{F_1^a}{3} > 0$ . Define two coefficients  $A = \frac{N(0)}{2}, B = N(0)k_F^2$ , then

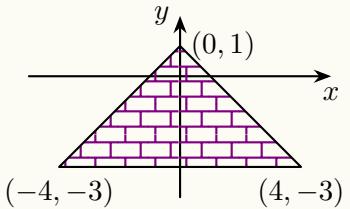
$$F_0^s = A\lambda_1 - B\lambda_2, \quad F_0^a = -A\lambda_1 - B\lambda_2, \quad F_1^s = F_1^a = B\lambda_2.$$

Let  $x = A\lambda_1, y = B\lambda_2$ , the stability conditions become

$$\text{i. } 1 + x - y > 0 \quad \text{ii. } 1 - x - y > 0 \quad \text{iii. } 1 + \frac{y}{3} > 0.$$

Do the linear programming, the stable region in the  $(x, y)$ -plane is a triangle on the right. In terms of  $\lambda_1, \lambda_2$ , the stable region is inside the triangle with vertices

$$\left(-\frac{4}{A}, -\frac{3}{B}\right), \quad \left(\frac{4}{A}, -\frac{3}{B}\right), \quad \left(0, \frac{1}{B}\right).$$



**Problem 9.2.** Test your understanding of Landau's mass renormalization formula by generalizing it to include the effect of a magnetization. Suppose we introduce a second vector potential into (6.86)

$$A(\theta) \underset{|\mathbf{k}| \rightarrow 0}{\sim} \int_{k_F - k \cos \theta}^{k_F} dq \frac{2i\pi a^2}{\omega - v_F(|\mathbf{k} + \mathbf{q}| - q)} = \frac{2i\pi a^2 k \cos \theta}{\omega - v_F k \cos \theta}. \quad (6.86)$$

that couples to the spin current, writing

$$\mathcal{H}[\mathbf{A}_N, \mathbf{W}] = \sum_{\sigma} \int d^3x \frac{1}{2m} \psi_{\sigma}^{\dagger}(x) [(-i\hbar\nabla - \mathbf{A}_N - \sigma\mathbf{W})^2] \psi_{\sigma}(x) + \hat{V}.$$

Whereas  $\mathbf{A}_N$  couples to the current of particles,  $\mathbf{W}$  couples to the ( $z$  component of the) spin current. Assume that  $V$  conserves spin current.

- (a) By comparing the bare shift of the energies

$$\delta\epsilon_{p\sigma}^{(0)} = -\frac{\mathbf{p}}{m} \cdot (\mathbf{A}_N + \sigma \mathbf{W}),$$

with the shift that results from interaction feedback,

$$\delta\epsilon_{p\sigma} = -\frac{\mathbf{p}}{m^*} \cdot \mathbf{A}_N - \sigma \frac{\mathbf{p}}{m_s^*} \cdot \mathbf{W},$$

show that there are two different mass renormalizations,

$$\frac{m}{m^*} = \frac{1}{1 + F_1^s}, \quad \frac{m}{m_s^*} = \frac{1}{1 + F_1^a}.$$

- (b) Show that, when the Fermi liquid is polarized, the masses of the “up” and “down” quasiparticles are now different, and given by

$$\frac{1}{m_\sigma^*} = \frac{1}{m} \left[ \frac{1}{1 + F_1^s} + \frac{M}{1 + F_1^a} \right], \quad (\sigma = \uparrow, \downarrow),$$

where the magnetization  $M = n_\uparrow - n_\downarrow$  is the difference of “up” and “down” densities.

### Solution.

- (a) *Proof.* In the steady state, the distribution function is a shifted Fermi sphere, then

$$\delta n_{p\sigma} = -\frac{\partial n^0}{\partial \epsilon} (\mathbf{p} \cdot \mathbf{u}_\sigma),$$

where  $\mathbf{u}_\sigma$  is the drift velocity for  $\sigma$ . Substitute it and  $\delta\epsilon_{p\sigma}^{(0)}$  to get the full quasiparticle energy shift

$$\delta\epsilon_{p\sigma} = \delta\epsilon_{p\sigma}^{(0)} + \sum_{p'\sigma'} f_{p\sigma, p'\sigma'} \delta n_{p'\sigma'} = -\frac{\mathbf{p}}{m} \cdot (\mathbf{A}_N + \sigma \mathbf{W}) - \sum_{p'\sigma'} f_{p\sigma, p'\sigma'} \frac{\partial n^0}{\partial \epsilon'} (\mathbf{p}' \cdot \mathbf{u}_{\sigma'}).$$

Due to isotropy, the interaction term simplifies. The Landau interaction function is decomposed into spin-symmetric and spin-antisymmetric parts

$$f_{p\sigma, p'\sigma'} = f^s(\theta) \delta_{\sigma\sigma'} + f^a(\theta) (\sigma\sigma'),$$

where  $\theta$  is the angle between  $\mathbf{p}$  and  $\mathbf{p}'$ . Expanding in Legendre polynomials

$$f^s(\theta) = \sum_l f_l^s P_l(\cos \theta), \quad f^a(\theta) = \sum_l f_l^a P_l(\cos \theta).$$

The Landau parameters are defined as:

$$F_l^s = N(0) f_l^s, \quad F_l^a = N(0) f_l^a,$$

where  $N(0)$  is the density of states at the Fermi level per spin. After evaluating the angular integrals, the energy shift becomes

$$\delta\epsilon_{p\sigma} = -\frac{\mathbf{p}}{m} \cdot (\mathbf{A}_N + \sigma \mathbf{W}) + \frac{1}{3} [F_1^s (\mathbf{p} \cdot \mathbf{u}_\sigma) + F_1^a \sigma (\mathbf{p} \cdot (\mathbf{u}_\uparrow - \mathbf{u}_\downarrow))].$$

In the steady state, the effective force on quasiparticles vanishes, i.e.,  $\delta\epsilon_{p\sigma} = \mathbf{p} \cdot \mathbf{u}_\sigma$ . Comparing with the formula above, we can obtain  $\mathbf{u}_\sigma$

$$\mathbf{u}_\sigma = -\frac{1}{m^*} \mathbf{A}_N - \sigma \frac{1}{m_s^*} \mathbf{W}.$$

Then, comparing coefficients yields the desired relations

$$\frac{m}{m^*} = \frac{1}{1 + F_1^s}, \quad \frac{m}{m_s^*} = \frac{1}{1 + F_1^a}.$$

- (b) *Proof.* When the system is magnetized ( $M = n_\uparrow - n_\downarrow \neq 0$ ), the Fermi surfaces for spin-up and spin-down are different. The drift velocities become

$$\mathbf{u}_\uparrow = \mathbf{u} + \mathbf{u}_s, \quad \mathbf{u}_\downarrow = \mathbf{u} - \mathbf{u}_s,$$

where  $\mathbf{u}$  is the charge drift velocity and  $\mathbf{u}_s$  is the spin drift velocity. The energy shifts are

$$\delta\epsilon_{p\uparrow} = -\frac{\mathbf{p}}{m^*} \cdot \mathbf{A}_N - \frac{\mathbf{p}}{m_s^*} \cdot \mathbf{W}, \quad \delta\epsilon_{p\downarrow} = -\frac{\mathbf{p}}{m^*} \cdot \mathbf{A}_N + \frac{\mathbf{p}}{m_s^*} \cdot \mathbf{W}.$$

The effective masses for each spin species are then:

$$\frac{1}{m_\sigma^*} = \frac{1}{m} \left[ \frac{1}{1 + F_1^s} + \sigma \frac{M}{1 + F_1^a} \right], \quad \text{for } \sigma = \uparrow, \downarrow,$$

where  $M$  is the magnetization. □

## Lecture #10 Homework #10 [2025-11-11]

**Problem 10.1.** Interpreting the Fermi liquid via a Schrieffer-Wolff transformation. We start from a translationally invariant, spin-1/2 fermion system

$$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_{\text{int}},$$

where

$$\mathcal{H}_0 = \sum_{k,\sigma} \epsilon_k c_{k\sigma}^\dagger c_{k\sigma}, \quad \mathcal{H}_{\text{int}} = \frac{1}{2V} \sum_{k,k',q,\sigma,\sigma'} V_q c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'} c_{k\sigma}.$$

The Fermi sea  $|\text{FS}\rangle$  is the ground state of  $\mathcal{H}_0$ , with all states  $|k| < k_F$  filled. We assume  $V_q$  is weak and smooth near  $q = 0$ .

To do a canonical transformation for a Fermi liquid state, we want to integrate out (cancel out) **off-shell** excitations. This is different from previous cases, where the original interacting terms are canceled out entirely. Instead, for a Fermi liquid state, we write  $H_{\text{int}}$  schematically as

$$\mathcal{H}_{\text{int}} = \mathcal{H}_{\text{diag}} + H_{\text{off}},$$

where

- $\mathcal{H}_{\text{diag}}$  conserves the number of quasiparticles near the Fermi surface (i.e., forward scattering, density-density type).
- $\mathcal{H}_{\text{off}}$  mixes sectors with different numbers of particle-hole pairs (e.g., it creates or destroys particle-hole pairs relative to the Fermi sea).

Formally,

$$\mathcal{H}_{\text{diag}} = \frac{1}{2V} \sum_{\substack{k,k',q \\ \text{both } k, k+q \text{ near } k_F}} V_q c_{k+q}^\dagger c_{k'-q}^\dagger c_{k'} c_k,$$

and  $\mathcal{H}_{\text{off}}$  is the rest.

**Such separation can be formally done via the semi-classical variational method** A simplified version is written

$$\mathcal{H}_{\text{diag}} = \frac{1}{2V} \sum_{\substack{k,k',q \\ \text{both } k = |k+q| = |k'-q| = |k'| = k_F, q \rightarrow 0}} V_q c_{k+q}^\dagger c_{k'-q}^\dagger c_{k'} c_k.$$

So you can see  $\mathcal{H}_{\text{off}}$  is just  $\mathcal{H}_{\text{int}}$  with at least one momentum that is away from the Fermi surface. We can just keep it in the form of  $\mathcal{H}_{\text{int}}$ .

We choose  $\mathcal{S}$  such that

$$\mathcal{H}_{\text{off}} + [\mathcal{S}, \mathcal{H}_0] = 0.$$

This means  $\mathcal{S}$  is chosen to cancel the leading-order off-diagonal part of  $\mathcal{H}_{\text{int}}$  under the transformation.

Then the transformed Hamiltonian becomes

$$\mathcal{H}' = \mathcal{H}_0 + \mathcal{H}_{\text{diag}} + \frac{1}{2}[\mathcal{S}, \mathcal{H}_{\text{off}}] + \mathcal{O}(V^3),$$

where the new effective Hamiltonian is block-diagonal up to order  $V^2$ .

Constructing  $\mathcal{S}$  explicitly.

The commutator  $[\mathcal{S}, \mathcal{H}_0]$  acts as

$$[\mathcal{S}, \mathcal{H}_0] = \sum_{\alpha\beta} S_{\alpha\beta}(\epsilon_\alpha - \epsilon_\beta) c_\alpha^\dagger c_\beta,$$

so to satisfy  $[\mathcal{S}, \mathcal{H}_0] = -\mathcal{H}_{\text{off}}$ , we can write

$$\mathcal{S} = \sum_{\alpha\beta} \frac{(\mathcal{H}_{\text{off}})_{\alpha\beta}}{\epsilon_\alpha - \epsilon_\beta}.$$

This is the Schrieffer-Wolff generator, which mixes states differing in unperturbed energy. For the present problem,  $\mathcal{S}$  connects a bare fermion state  $c_{k\alpha}^\dagger |\text{FS}\rangle$  to configurations with one additional particle-hole pair.

**Now, answer the following questions.**

- (a) Evaluate  $[\mathcal{S}, c_{k\sigma}^\dagger]$ ;
- (b) Transforming the creation operator - “ressing” the bare fermion. The transformed fermion creation operator is

$$\tilde{c}_{k\sigma}^\dagger = e^{\mathcal{S}} c_{k\sigma}^\dagger e^{-\mathcal{S}} = c_{k\sigma}^\dagger + [\mathcal{S}, c_{k\sigma}^\dagger] + \frac{1}{2}[\mathcal{S}, [\mathcal{S}, c_{k\sigma}^\dagger]] + \dots$$

to the first order in  $V$

$$\tilde{c}_{k\sigma}^\dagger \approx c_{k\sigma}^\dagger + [\mathcal{S}, c_{k\sigma}^\dagger].$$

- (c) Compute  $\tilde{c}_{k\sigma}^\dagger |\text{FS}\rangle$ .
- (d) Compute quasiparticle weight  $Z_k \equiv |\langle \text{FS} | \tilde{c}_k \tilde{c}_k^\dagger | \text{FS} \rangle|^2$  from the canonical transformation.

**Solution.**

- (a) Starting from the identity of  $\mathcal{S}$ ,

$$[\mathcal{S}, \mathcal{H}_0] = -\mathcal{H}_{\text{off}}.$$

To get the matrix elements of  $\mathcal{S}$ , substitute the commutator above into the states  $\langle m |$  and  $| n \rangle$  ( $m \neq n$ )

$$-\langle m | \mathcal{H}_{\text{off}} | n \rangle = \langle m | [\mathcal{S}, \mathcal{H}_0] | n \rangle = \langle m | \mathcal{S} | n \rangle E_n - E_m \langle m | \mathcal{S} | n \rangle = (E_n - E_m) \langle m | \mathcal{S} | n \rangle,$$

where  $\langle m | \mathcal{H}_0 = \langle m | E_m$  and  $\mathcal{H}_0 | n \rangle = E_n | n \rangle$ . Then,

$$\langle m | \mathcal{S} | n \rangle = \frac{\langle m | \mathcal{H}_{\text{off}} | n \rangle}{E_m - E_n} \quad \text{for } m \neq n,$$

where we set the diagonal elements  $\langle n|\mathcal{S}|n\rangle = 0$ . By inserting  $|m\rangle\langle n|$  to form the identity  $\mathbb{1}$ , the operator  $\mathcal{S}$  in Dirac notation can be expressed as

$$\mathcal{S} = \sum_m \sum_n |m\rangle \frac{\langle m|\mathcal{H}_{\text{off}}|n\rangle}{E_m - E_n} \langle n|$$

So, we can generate  $\mathcal{S}$  from the four operators in  $\mathcal{H}_{\text{off}}$ , which is equivalent to the four operators in  $\mathcal{H}_{\text{int}}$  but without the diagonal elements, that is

$$\mathcal{S} = \sum_{k,k',q,\sigma,\sigma'} S_{kk'q}^{\sigma\sigma'} c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'} c_{k\sigma},$$

Then, substitute it into the condition  $[\mathcal{S}, \mathcal{H}_0] = -\mathcal{H}_{\text{off}}$  to determine  $S_{kk'q}^{\sigma\sigma'}$ . To distinguish the indices, we need to write  $\mathcal{H}_0$  as

$$\mathcal{H}_0 = \sum_{p,\tau} \epsilon_p c_{p\tau}^\dagger c_{p\tau}$$

Then, the commutator is

$$[\mathcal{S}, \mathcal{H}_0] = \sum_{k,k',q,\sigma,\sigma'} S_{kk'q}^{\sigma\sigma'} \sum_{p,\tau} \epsilon_p [c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'} c_{k\sigma}, c_{p\tau}^\dagger c_{p\tau}].$$

Compute the kernel first

$$\begin{aligned} [c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'} c_{k\sigma}, c_{p\tau}^\dagger c_{p\tau}] &= [c_{k+q,\sigma}^\dagger, c_{p\tau}^\dagger c_{p\tau}] c_{k'-q,\sigma'}^\dagger c_{k'\sigma'} c_{k\sigma} + c_{k+q,\sigma}^\dagger [c_{k'-q,\sigma'}^\dagger, c_{p\tau}^\dagger c_{p\tau}] c_{k'\sigma'} c_{k\sigma} \\ &\quad + c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger [c_{k'\sigma'}, c_{p\tau}^\dagger c_{p\tau}] c_{k\sigma} + c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'} [c_{k\sigma}, c_{p\tau}^\dagger c_{p\tau}]. \end{aligned}$$

The four terms

- |  |   |
|--|---|
| i. $[c_{k+q,\sigma}^\dagger, c_{p\tau}^\dagger c_{p\tau}] = -c_{p\tau}^\dagger \delta_{p,k+q} \delta_{\tau,\sigma}$      | iii. $[c_{k'\sigma'}, c_{p\tau}^\dagger c_{p\tau}] = c_{p\tau} \delta_{k'p} \delta_{\sigma'\tau}$ |
| ii. $[c_{k'-q,\sigma'}^\dagger, c_{p\tau}^\dagger c_{p\tau}] = -c_{p\tau}^\dagger \delta_{p,k'-q} \delta_{\tau,\sigma'}$ | iv. $[c_{k\sigma}, c_{p\tau}^\dagger c_{p\tau}] = c_{p\tau} \delta_{kp} \delta_{\sigma\tau}$      |

where we used the anti-commutative properties of the Fermions

$$\{c_{i,j}, c_{i',j'}^\dagger\} = \delta_{i,i'} \delta_{j,j'}, \quad \{c_{i,j}, c_{i',j'}\} = \{c_{i,j}, c_{i',j'}\} = 0.$$

Substitute them into the second sum of the commutator

$$\begin{aligned} \sum_{p,\tau} \epsilon_p [c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'} c_{k\sigma}, c_{p\tau}^\dagger c_{p\tau}] &= - \sum_{p,\tau} \epsilon_p c_{p\tau}^\dagger \delta_{p,k+q} \delta_{\tau,\sigma} c_{k'-q,\sigma'}^\dagger c_{k'\sigma'} c_{k\sigma} \\ &\quad - \sum_{p,\tau} \epsilon_p c_{k+q,\sigma}^\dagger c_{p\tau}^\dagger \delta_{p,k'-q} \delta_{\tau,\sigma'} c_{k'\sigma'} c_{k\sigma} \\ &\quad + \sum_{p,\tau} \epsilon_p c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger c_{p\tau} \delta_{k'p} \delta_{\sigma'\tau} c_{k\sigma} \\ &\quad + \sum_{p,\tau} \epsilon_p c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'} c_{p\tau} \delta_{kp} \delta_{\sigma\tau}. \end{aligned}$$

Due to the sifting property of the  $\delta$ -function, the second sum of the commutator becomes (here take the minus sign out since  $[\mathcal{S}, \mathcal{H}_0] = -\mathcal{H}_{\text{off}}$ )

$$\sum_{p,\tau} \epsilon_p [c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'} c_{k\sigma}, c_{p\tau}^\dagger c_{p\tau}] = -(\epsilon_{k+q} + \epsilon_{k'-q} - \epsilon_{k'} - \epsilon_k) c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'} c_{k\sigma}.$$

Hence, the commutator  $[\mathcal{S}, \mathcal{H}_0]$  becomes

$$-\mathcal{H}_{\text{off}} = [\mathcal{S}, \mathcal{H}_0] = - \sum_{k,k',q,\sigma,\sigma'} S_{kk'q}^{\sigma\sigma'} (\epsilon_{k+q} + \epsilon_{k'-q} - \epsilon_{k'} - \epsilon_k) c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'} c_{k\sigma}$$

To compare with the expression of  $\mathcal{H}_{\text{off}}$

$$\mathcal{H}_{\text{off}} = \frac{1}{2V} \sum_{k,k',q,\sigma,\sigma'} V_q c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'} c_{k\sigma} \quad \text{where } k \neq k', \sigma \neq \sigma'.$$

Then, we arrive at

$$\begin{aligned} \sum_{k,k',q,\sigma,\sigma'} S_{kk'q}^{\sigma\sigma'} c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'} c_{k\sigma} &= \mathcal{S} \\ &= \frac{1}{2V} \sum_{k,k',q,\sigma,\sigma'} \frac{V_q}{\epsilon_{k+q} + \epsilon_{k'-q} - \epsilon_k - \epsilon_{k'}} c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'} c_{k\sigma}. \end{aligned}$$

This is the second-quantized form of  $\mathcal{S}$ . Now, calculate the commutator  $[\mathcal{S}, c_{k\sigma}^\dagger]$ . Calculate the kernel

$$[c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'} c_{k\sigma}, c_{k\sigma}^\dagger]$$

first. To distinguish the indices, we take  $c_{k\sigma}^\dagger \rightarrow c_{p\tau}^\dagger$

$$\begin{aligned} [c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'} c_{k\sigma}, c_{p\tau}^\dagger] &= c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger (c_{k'\sigma'} \{c_{k\sigma}, c_{p\tau}^\dagger\} - \{c_{k'\sigma'}, c_{p\tau}^\dagger\} c_{k\sigma}) \\ &= c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'} \delta_{kp} \delta_{\sigma\tau} - c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger \delta_{k'p} \delta_{\sigma'\tau} c_{k\sigma}, \end{aligned}$$

where  $c_{p\tau}^\dagger$  only “knock” on  $c_{k'\sigma'}$  and  $c_{k\sigma}$  effectively due to the anti-commutative properties of the Fermions. We define the function

$$\Phi(p_1, p_2, q) = \frac{1}{\epsilon_{p_1+q} + \epsilon_{p_2-q} - \epsilon_{p_1} - \epsilon_{p_2}}.$$

Then, the commutator becomes

$$\begin{aligned} [\mathcal{S}, c_{p\tau}^\dagger] &= \sum_{k,k',q,\sigma,\sigma'} \frac{V_q \Phi(k, k', q)}{2V} (c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'} \delta_{kp} \delta_{\sigma\tau} - c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger \delta_{k'p} \delta_{\sigma'\tau} c_{k'\sigma'}) \\ &= \sum_{k',q,\sigma'} \frac{V_q \Phi(p, k', q)}{2V} c_{p+q,\tau}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'} - \sum_{k,q,\sigma} \frac{V_q \Phi(k, p, q)}{2V} c_{k+q,\sigma}^\dagger c_{p-q,\tau}^\dagger c_{k,\sigma}. \end{aligned}$$

Swap dummy variables in the second sum:  $k \rightarrow k'$ ,  $\sigma \rightarrow \sigma'$ ,  $q \rightarrow -q$ , and use  $c_{p+q}^\dagger c_{k'-q}^\dagger = -c_{k'-q}^\dagger c_{p+q}^\dagger$

$$[\mathcal{S}, c_{p\tau}^\dagger] = \sum_{k',q,\sigma'} \frac{V_q \Phi(p, k', q)}{2V} c_{p+q,\tau}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'} + \sum_{k,q,\sigma} \frac{V_{-q} \Phi(k', p, -q)}{2V} c_{p+q,\tau}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'},$$

where  $\Phi(p, k', q) = \Phi(k', p, -q)$ . Hence,

$$[\mathcal{S}, c_{p\tau}^\dagger] = \sum_{k',q,\sigma'} \frac{V_q + V_{-q}}{2V} \frac{c_{p+q,\tau}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'}}{\epsilon_{p+q} + \epsilon_{k'-q} - \epsilon_p - \epsilon_{k'}}, \quad [\mathcal{S}, c_{k\sigma}^\dagger] = \sum_{k',q,\sigma'} \frac{V_q + V_{-q}}{2V} \frac{c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'}}{\epsilon_{k+q} + \epsilon_{k'-q} - \epsilon_k - \epsilon_{k'}}.$$

(b) Substitute the result from (a) directly

$$\tilde{c}_{k\sigma}^\dagger \approx c_{k\sigma}^\dagger + \sum_{k',q,\sigma'} \frac{V_q + V_{-q}}{2V} \frac{c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'}}{\epsilon_{k+q} + \epsilon_{k'-q} - \epsilon_k - \epsilon_{k'}}.$$

(c) Substitute the result from (b) directly

$$\tilde{c}_{k\sigma}^\dagger |\text{FS}\rangle = c_{k\sigma}^\dagger |\text{FS}\rangle + \sum_{k',q,\sigma'} \frac{V_q + V_{-q}}{2V} \frac{c_{k+q,\sigma}^\dagger c_{k'-q,\sigma'}^\dagger c_{k'\sigma'}}{\epsilon_{k+q} + \epsilon_{k'-q} - \epsilon_k - \epsilon_{k'}} |\text{FS}\rangle$$

(d) Evaluate the overlap  $\langle \text{FS} | c_k \tilde{c}_k^\dagger | \text{FS} \rangle$  term by term

i. The zeroth order  $\mathcal{O}(V^0)$

$$\langle \text{FS} | c_k c_k^\dagger | \text{FS} \rangle = 1.$$

Here assume  $|k| > k_F$ , so  $c_k c_k^\dagger = 1$  in the Fermi sea.

ii. Denote the operator

$$\chi_k \equiv [\mathcal{S}, c_k^\dagger].$$

The first order  $\mathcal{O}(V^1)$

$$\langle \text{FS} | c_k \chi_k | \text{FS} \rangle = \langle \text{FS} | c_k [\mathcal{S}, c_k^\dagger] | \text{FS} \rangle = 0,$$

where  $\chi_k$  creates a *two-particle, one-hole state* relative to the Fermi sea. Applying  $c_k$  still leaves a net excitation, and the matrix element vanishes since the states are orthogonal ( $\mathcal{S}$  is off-diagonal).

iii. The second order  $\mathcal{O}(V^2)$

$$\frac{1}{2} \langle \text{FS} | c_k [\mathcal{S}, \chi_k] | \text{FS} \rangle = \frac{1}{2} \langle \text{FS} | c_k [\mathcal{S}, [\mathcal{S}, c_k^\dagger]] | \text{FS} \rangle = -\frac{1}{2} \langle \text{FS} | \chi_k^\dagger \chi_k | \text{FS} \rangle = -\frac{1}{2} |\chi_k | \text{FS} \rangle|^2.$$

Since the generator  $\mathcal{S}$  is anti-Hermitian ( $\mathcal{S}^\dagger = -\mathcal{S}$ ), the second-order projection simplifies to  $-\frac{1}{2} \langle \chi_k^\dagger \chi_k \rangle_{\text{FS}}$ . Substituting the explicit form of  $\chi_k$  into the weight, we obtain

$$Z_k = 1 - \frac{1}{4V^2} \sum_{k',q,\sigma'} \frac{|V_q + V_{-q}| \theta(k_F - |k'|) \theta(|k+q| - k_F) \theta(|k'-q| - k_F)}{(\epsilon_{k+q} + \epsilon_{k'-q} - \epsilon_k - \epsilon_{k'})^2} < 1,$$

which brings a quasiparticle weight less than 1.