

## Technical Paper

# OpenGeoSys: An open source initiative for numerical simulation of thermo-hydro-mechanical/chemical (THM/C) processes in porous media

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**Abstract** In this paper we describe the OpenGeoSys (OGS) project, which is a scientific open source initiative for numerical simulation of thermo-hydro-mechanical-chemical (THMC) processes in porous media. The basic concept is to provide a flexible numerical framework (using primarily the Finite Element Method (FEM)) for solving multi-field problems in porous and fractured media for applications in geoscience and hydrology. To this purpose OGS is based on an object-oriented FEM concept including a broad spectrum of interfaces for pre- and post-processing. The OGS idea has been in development since the mid eighties. We provide a short historical note about the continuous process of concept and software development having evolved through Fortran, C, and C++ implementations. The idea behind OGS is to provide an open platform to the community, outfitted with professional software engineering tools such as platform-independent compiling and automated benchmarking. A comprehensive benchmarking book has been prepared for publication. Benchmarking has been proven to be a valuable tool for cooperation between different developer teams, e.g. for code comparison and validation purposes (DEVOVALEX and CO2 BENCH projects). On one hand, object-orientation (OO) provides a suitable framework for distributed code development; however the parallelization of OO codes still lacks efficiency. High-performance-computing (HPC) efficiency of OO codes is subject to future research.

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## 1 INTRODUCTION

### 1.1 Background

Coupled process modeling has been considered in various engineering problems and geo-scientific applications since the computation method was introduced for problems of soil consolidation, dam construction and oil/gas field exploration in early 1970. However, substantial progress in experimental and theoretical studies regarding the fully coupled effects of temperature, hydraulics and mechanics, as well as chemistry, in fractured porous media was just made in the last two decades due mainly to demands from the performance and safety assessment of high-level nuclear waste repositories. Numerical methods and computer codes have been developed successfully within the international DECOVALEX project ([www.decovallex.com](http://www.decovallex.com)). Meanwhile a wider range of applications associated with THMC coupled problems such as geothermal reservoir engineering, CO<sub>2</sub> and energy storage, construction of underground repositories etc. can be found in different international conferences, e.g. GeoProc ([www.mech.uwa.edu.au/research/geoproc](http://www.mech.uwa.edu.au/research/geoproc)), ComGeo ([www.com-geo.org/](http://www.com-geo.org/)).

For a long-term performance and safety assessment of a nuclear waste repository in a deep geological formation, an important issue is to guarantee the isolation of an underground repository. To answer this question, solute transport processes under the coupled conditions involving mechanical stability, ther-

mal loading from the high-level waste, and chemistry in the groundwater should be predicted numerically. Also, for construction planning of such a complex and the implementation of experimental data gained from in situ tests, a multiple process coupled code is required.

Through the rapid development of computer technology, complicated geoscientific problems can be analyzed in a coupled manner using modern numerical codes. However, the understanding of the complicated coupled processes based on the experimental data available and implementation of the developed algorithm into the numerical codes are major challenge for scientists, which require interdisciplinary and interactive cooperation.

Quality management is nowadays a standard tool for production and development to ensure the high quality of a produced result. A numerical code dealing with the coupled THMC process is a highly complicated software product since the different processes have different characteristic features, e.g. time and spatial scales, nonlinearities, and interaction degree etc. To maintain a high quality of the developed code, benchmark testing is therefore necessary, especially in the case that scientists from different disciplines and organizations are working on the same code. Therefore, code verification and validation of selected test cases are documented during the code development, and finally a benchmarking book for the code development is produced and quality ensured (1).

## 1.2 Historical note

Considerable efforts have been made in the past for porous media code development to address above mentioned problems in geosciences and hydrology, e.g. TOUGH ((2), (3)), STOMP (4), HydroGeoSphere (5), FEFLOW (6), SUTRA (7) (8), DUMUX (9; 10), MIN3P (11), MT3D (12) or in particle hydrodynamics (13). In the abstract we describe the continuous development of OGS beginning in the eighties.

### *RockFlow/FEFLOW-F:*

In the mid eighties there was a request by the Federal Institute of Geosciences (BGR) to the Institute of Hydromechanics (University of Hannover) concerning the development of a simulation program for fractured rock. The idea of RockFlow (RF) was then born and the development of a computer code based on multi-dimensional FEM in order to represent flow processes in complex geological structures. At the same time at the Academy of Sciences (Chemnitz) the FEFLOW

code was being developed for density-dependent flow processes in porous media (14) (15). The pioneering work of RF-1 was done in a series of doctoral dissertations (16), (17), (18), (19). Both codes FEFLOW and RockFlow were implemented with FORTRAN at that time.

The next stage in the early nineties was related to the coupling of the individual RF-1 modules through file interfaces and the improvement of computational efficiency, e.g. by introducing an iterative equation solver. RF-2 was successfully used in several application projects in the fields of waste deposition and geothermal energy (20),(21). A scientific "market" for RF in Applied Geoscience was opened.

### *RockFlow-C:*

It was soon determined that the coupling of the different RF modules via file interface was inefficient. Moreover, for the use of grid-adaptive methods, dynamic data structures were necessary. Consequently, in the late nineties a complete re-organization of RF was started. The implementation of RF-3 was started in C (22), (23). Major research topics of the RF group were multi-phase flow (24), grid adaptation (25), reactive transport (26), and deformation processes (27). Besides the numerical parts, geometric modeling and meshing methods became more and more important for real-world applications (28), (29).

### *GeoSys/RockFlow-C++:*

Due to the increasing functionality, the RF code became more and more sophisticated and difficult to handle. Consequently, the introduction of object-oriented methods was necessary. RF-4 or now GeoSys was (again) completely re-designed and rewritten in C++ (30), (31). Several doctoral theses have been completed in the fields of geotechnical simulation (DECOVALEX project, (32), (33), (34)), contaminant hydrology (Virtual Aquifer project, (35), (36), (37)), geothermal reservoir modelling (Urach Spa project, (38)). Alongside computational mechanics, progress had been made in the pre-processing for numerical analysis (39), (40), (41). First GeoSys/RockFlow habilitations had been completed (42), (43), (44). As mentioned in the beginning, it is impossible to cite everything. Other important works during the Tübingen time are e.g. (45), (46), (47) concerning particle tracking, coupled hydrosystems, and reactive transport simulation.

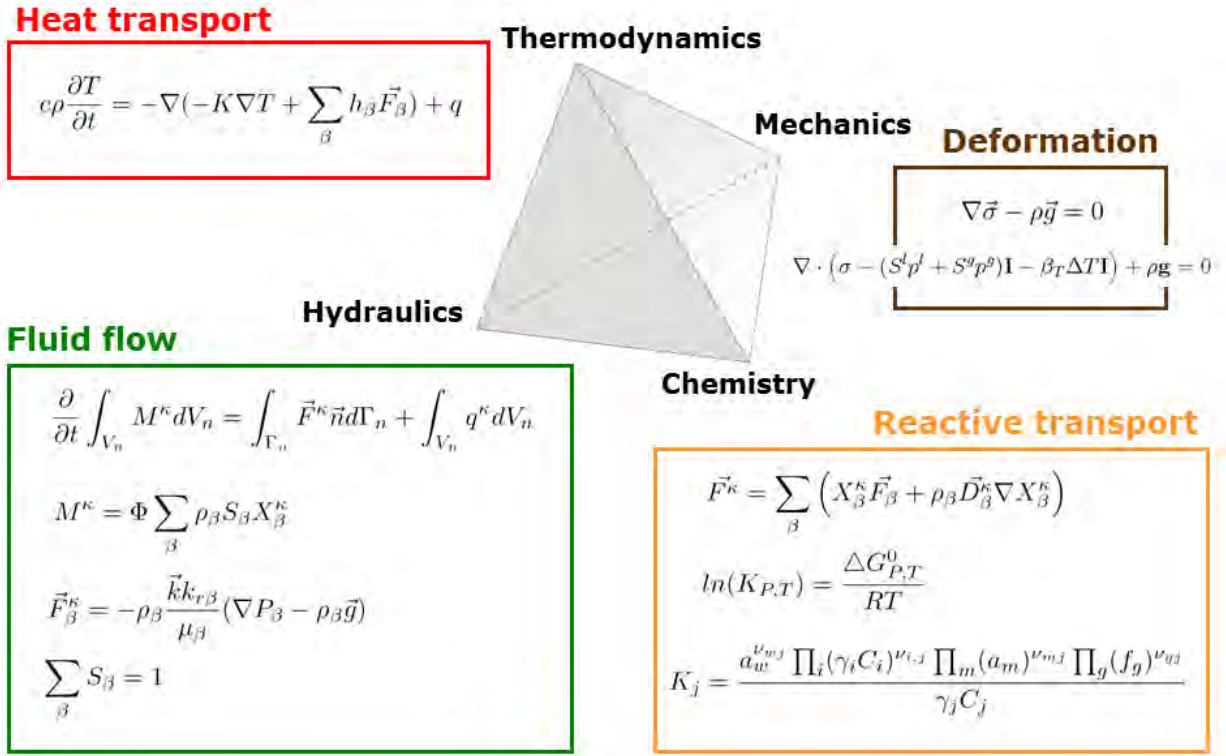


Fig. 1: Mathematical framework for coupled THMC modeling

1 *OpenGeoSys*:

2 The new challenge for GeoSys is to continue its devel-  
 3 opment as a distributed open-source project, i.e. shar-  
 4 ing and widening the knowledge, as people from the  
 5 GeoSys group receive offers and move to other places,  
 6 and as the number of GeoSys partners increases. At  
 7 the Helmholtz Centre for Environmental Research in  
 8 Leipzig a new research platform TESSIN is available,  
 9 which combines high-performance-computing (HPCLab)  
 10 and high-end visualization facilities (VISLab). Post-  
 11 processing becomes more and more important as more  
 12 and more information becomes available, due to high-  
 13 resolution measurement techniques and HPC itself. A  
 14 first medium-size HPC application has been realized  
 15 in geotechnical modeling (48). The next PhD genera-  
 16 tion has grown up within the open-source framework  
 17 showing the large variety of OGS applicability in hy-  
 18 drology (49), reactive transport in geotechnics (50),  
 19 groundwater optimization (51), geothermal reservoir  
 20 analysis (52), as well as high-resolution modelling of  
 21 the water uptake in root systems (53).

22 Currently we are intensively working on improving  
 23 the software-engineering for platform independence  
 24 (CMake) and software quality, e.g. by automated com-

25 piling and benchmarking with a continuous integra-  
 26 tion server (Jenkins). The graphical-user-interface (GUI)  
 27 has become a valuable tool for visual data manage-  
 28 ment and analysis (54). Visualization provides a sci-  
 29 entific tool for insight into large and complex data sets  
 30 (55). A considerable amount of time is spent to pre-  
 31 pare OGS for student teaching and training courses,  
 32 e.g. in the HIGRADE graduate school program. Code  
 33 comparison as a means of cooperation has a long tradi-  
 34 tion in the OGS development, e.g. (56) (57) (58) (59).  
 35 Meanwhile those works belong to the most cited OGS  
 36 papers. Meanwhile OGS is profiting a lot from the  
 37 community support, e.g. concerning the development  
 38 of pre- and postprocessing tools by the Federal Insti-  
 39 tute for Geosciences and Natural Resources (BGR),  
 40 GINA development, (60) and German Research Cen-  
 41 tre for Geosciences (GFZ) (61; 62).

## 42 2 CONCEPTS

43 Originally OGS was intended to be a flexible finite ele-  
 44 ment simulator for solving multi-field problems. There-  
 45 fore, the current version is strongly based on object-  
 46 oriented concepts for numerical purposes (sec. 2.1).  
 47 At the same time, dealing with complex, real world

problems requires the development of interfaces for advanced pre- and post-processing purposes, e.g. for geometrical modeling, meshing and visualization (sec. 2.2).

## 2.1 Object-Oriented (OO) FEM

Fig. 1 shows the mathematical framework to be solved when dealing with THMC processes in porous media.

- T process: Heat transport in multiphase systems including phase changes (e.g. evaporation, condensation, latent heat),
- H process: Non-isothermal multiphase flow of liquids and gases as well as supercritical fluids,
- M process: Non-isothermal elastic and in-elastic deformations,
- C Process: Multi-componental mass transport as well as bio/geochemical reactions.

The resulting balance equations for mass, momentum and energy conservation have to be completed by corresponding equations of state (EoS) and constitutive laws (e.g. plasticity and creep of clay and salt rock, respectively). The tetrahedron in Fig. 1 illustrates the strong degree of coupling between the different processes which requires adequate numerical methods for coupling partial differential equations.

The general idea behind object-orientation of processes is that the basic steps of the solution procedure: calculation of element contributions, assembly of equation system (including treatment of boundary conditions and source terms), solution of the equation systems, linearization methods and calculation of secondary variables, are independent of the specific problem (e.g. flow, transport, deformation processes). The process (PCS) class provides basic methods in order to solve a PDE in a very general way. Fig. 2 depicts the concept of object-orientation for the finite element method (OO FEM) implemented in OGS (63). The PCS object is designed to manage the complete solution algorithm in order to build the global equation system (EQS). In fact, the PCS object 'only' administrates references to geometric (GEO) objects (points, polylines, surfaces, volumes); MSH objects (mesh nodes, elements and mesh topology), node-related data such as initial (IC) and boundary (BC) conditions as well as source terms (ST); material data of porous media (fluid (MFP), solid (MSP), medium (MMP) and chemical (MCP) properties); parameters of the different numerical methods (NUM).

## 2.2 Pre- and Post

Professional pre- and post-processing methods and tools have become more and more important for numerical analysis as the complexity of models, as well as the availability of high-resolution data, has increased significantly. OGS is trying to address these challenges in different ways.

- Development of an OGS data explorer for visual data processing (Fig. 3),
- Providing interfaces to a large variety of specialized software and common interfaces (Fig. 4),
- Utilizing scientific visualization for model development, computational steering and outreaching research results (Fig. 12),
- Using platform independent software-engineering technologies for distributed code development and maintenance,
- Fostering open source activities - which is a basic philosophy behind the OGS project.

The user Interface of OGS *Data Explorer* (OGS-DE) is depicting the integration of a typical data set for hydrological modeling in Fig. 3. A raster file and the boundary of the model region have been imported into the software along with boreholes and precipitation data. Also shown are detailed views of a time series curve and a borehole stratigraphy from the investigation site in the Middle East. In addition to typical GIS tools, based on data integration OGS-DE can generate models or numerical analysis (e.g. groundwater models) and represent the computational results in the geographical / geological context. Graphical methods are based on VTK and are suitable for 3D visualization.

Fig. 4 shows the implemented interfaces for data-input (top row), data-output (bottom row) and native OGS files. Native files are currently in the process of being converted to XML to ensure easy validation of files and conversion to other file formats. OGS supports many standard file formats such as GeoTiff and image formats for raster data, as well as ESRI shape files for vector data. In addition, a number of hydrological and geological software formats can be imported (e.g. GMS, Petrel, GOCAD) as well as the scientific open source data format netCDF which might be very useful for code comparison purposes and for 3D data visualization. The native file formats of our software are largely compliant with XML specification. While taking into account existing standards such as the GML-standard by the Open Geospatial Consortium or Google's KML, the file format used by OGS is much more compact for geographical information. It also includes additional information needed

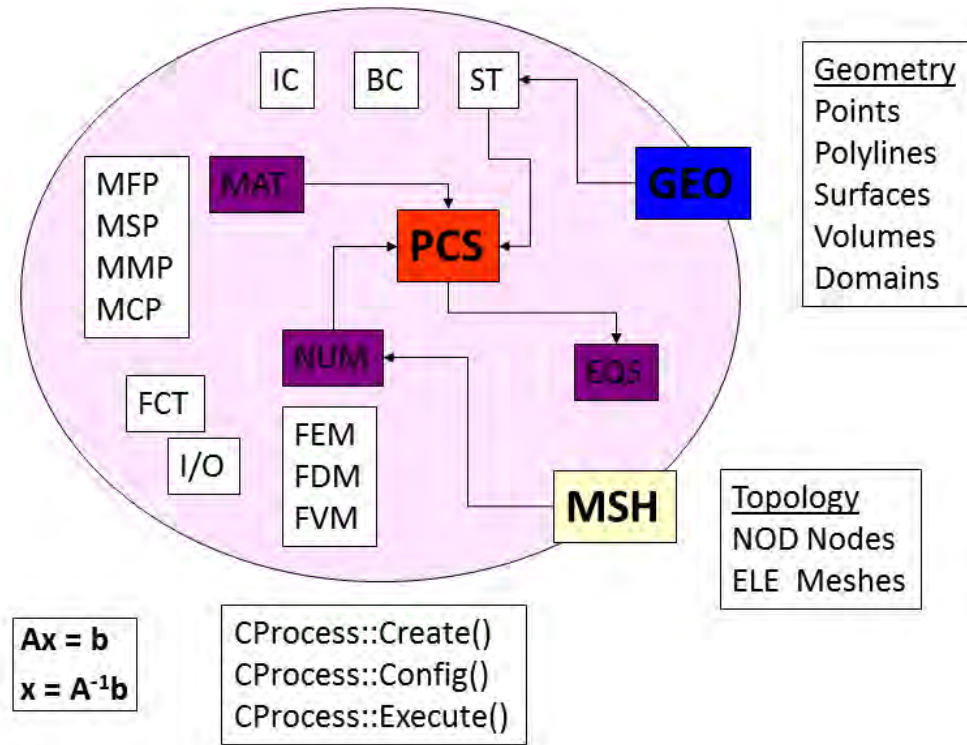


Fig. 2: Object-oriented concept for numerical solution of THMC coupled problems

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1 for simulation processes which is not covered in the  
 2 standards mentioned above. This way, we keep all the  
 3 advantages of the XML specification such as the easy  
 4 validation of files, extensibility and mapping to other  
 5 standards via XSLT, etc., while using a file format  
 6 specific to our needs. Furthermore, OGS employs a  
 7 database interface that supports all established sys-  
 8 tems.

### 9 3 APPLICATIONS

10 We briefly introduce the potential of THM/C mod-  
 11 eling in geotechnics and hydrology, as well as energy  
 12 extraction and energy storage.

#### 13 3.1 Geotechnics

14 Physical coupling phenomena of thermal (T), hydraulic  
 15 (H), and mechanical (M) processes are fundamental  
 16 for the analysis of deep geosystems under high tem-  
 17 perature, pressure and stress conditions. Sound under-  
 18 standing and predictability of THM processes includ-  
 19 ing chemical reactions (C process) are important to a

20 large variety of geotechnical applications such as nu-  
 21 clear and chemo-toxic waste disposal, geothermal en-  
 22 ergy, carbon capture and storage as well as gas and oil  
 23 production. These geoscientific applications all share  
 24 the same physio-chemical basics which emphasizes the  
 25 importance of reliable THM/C codes. Specific exam-  
 26 ples include:

- 27 – the geological environment and different rock types,  
 28 i.e. crystalline rocks, volcanic rocks, sandstones,  
 29 clay, bentonite, ...
- 30 – the geofluids, i.e. water, brines, vapour, methane,  
 31 carbon dioxide, gas hydrates ...
- 32 – the thermodynamic conditions, i.e. temperature,  
 33 stress, pressure, salinity, ...

34 Fig. 5 illustrates the application area - nuclear  
 35 waste disposal. Several concepts exist concerning host  
 36 rocks for the disposal of hazardous waste in deep geo-  
 37 logical media, i.e. crystalline, salt, sediment, and vol-  
 38 canic formations. Different buffer systems are under  
 39 discussion as geotechnical barriers for waste isolation  
 40 i.e. crushed salt, bentonite, and bentonite/sand mix-  
 41 ture. THM/C coupled modeling is required for the  
 42 long-term risk assessment of possible processes which  
 43 might result in a release of contaminants from the  
 44 repository (64). The crucial question is how long it



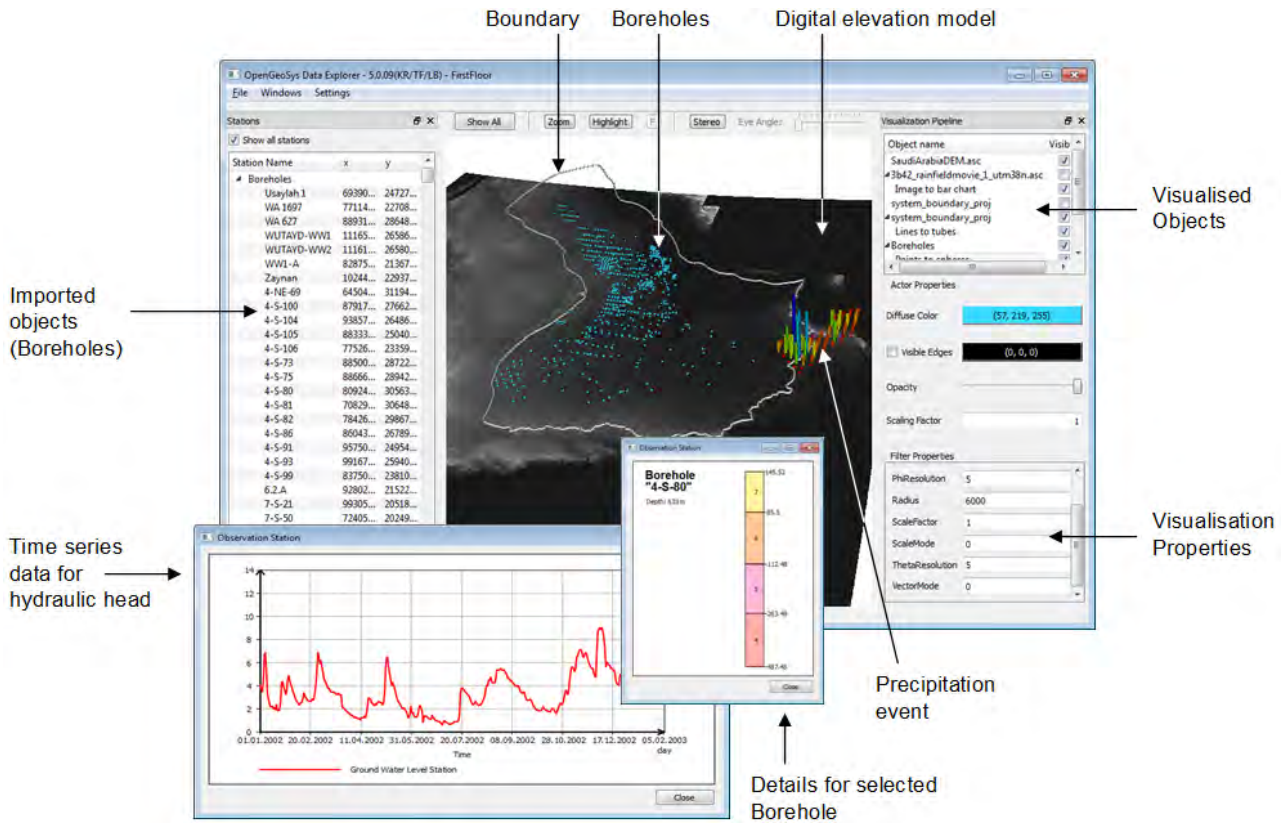


Fig. 3: Outline of the OGS graphical user interface for data exploration and integration (OGS-DE)

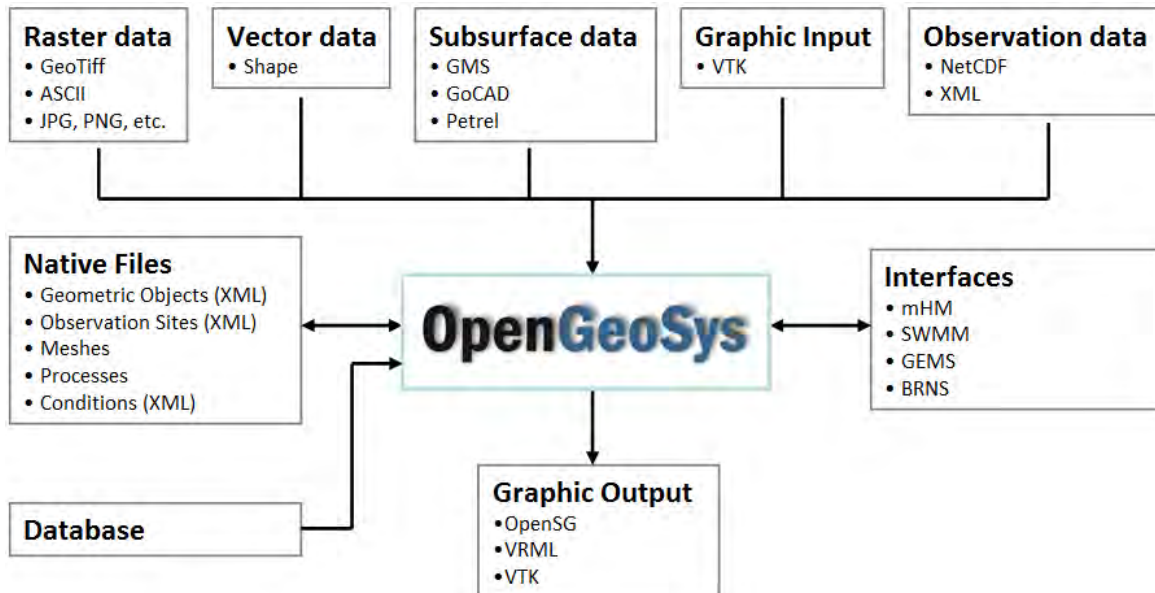


Fig. 4: Overview of OGS interfaces for data import and 3D visualization

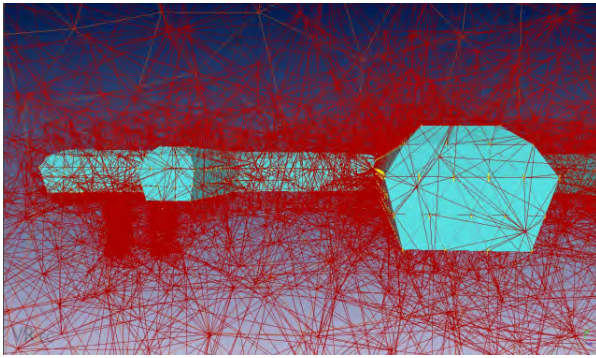


Fig. 5: THM modeling in a tunnel system (Visualization by B. Zehner)

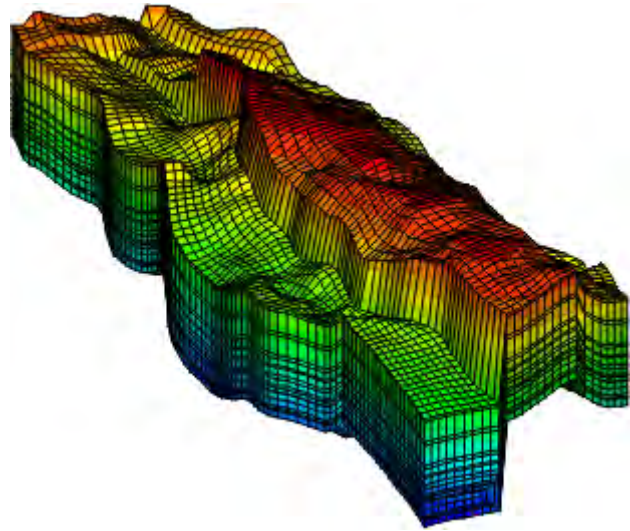


Fig. 6: Subsurface reservoir for CO<sub>2</sub> storage

1 would take - in the worst case - until contaminants  
2 could appear in the biosphere. Modeling is an impor-  
3 tant tool for risk assessment.

4 Fig. 6 depicts the application area - carbon capture  
5 and storage (CCS). The question is how to safely de-  
6 posit CO<sub>2</sub> from power plants by liquefying and inject-  
7 ing it into the subsurface for long-term storage. Two  
8 basic concepts for geological storage are under discus-  
9 sion: depleted gas reservoirs and deep saline aquifers.  
10 After many years of operation numerous former gas  
11 reservoirs are depleted. These reservoirs are in an under-  
12 pressurized status and can take up large volumes of  
13 fluids. Keeping the reservoir under-pressurized, im-  
14 pervious cap rocks and borehole sealing are impor-  
15 tant considerations for storage. THM/C modeling is  
16 required in order to calculate possible fluid storage  
17 capacity, to assess risks and to better understand the  
18 highly coupled processes in the CO<sub>2</sub> injection area as  
19 well as their consequences for optimal storage con-  
20 cepts (65).

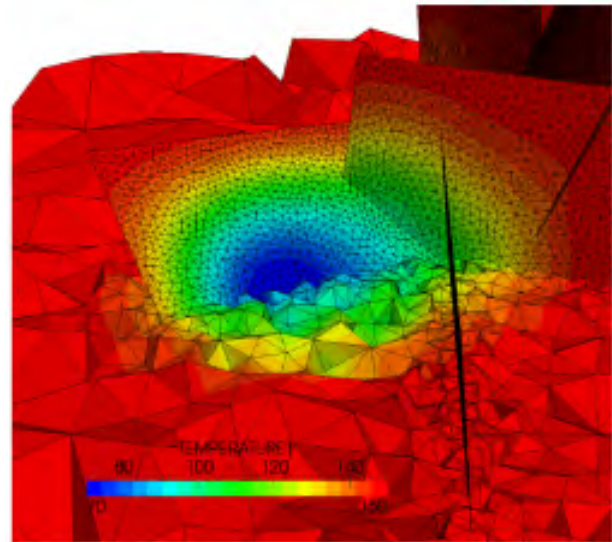


Fig. 7: Simulated temperature field of water reinjection into a geothermal reservoir

21 Fig. 7 shows the application area geothermal en-  
22 ergy, which is one of the alternative future energy  
23 resources under consideration. So-called shallow and  
24 deep geothermal systems can be exploited. Shallow  
25 systems are already commercially used, e.g. for heat-  
26 ing purposes. Deep geothermal reservoirs can be used  
27 for electric power production as high temperatures up  
28 to 200°C can be produced. THM/C modeling is re-  
29 quired to design these geothermal power plants, e.g. in  
30 order to optimize production efficiency and reservoir  
31 lifetime. The significant cooling of the reservoir due  
32 to fluid reinjection gives rise to thermo-mechanical ef-  
33 fects which need to be controlled in order to avoid  
34 reservoir damage (52).

### 35 3.2 Hydrology

36 The second application area for coupled process sim-  
37 ulation is hydrology (66). River basins or catchments  
38 are also subject to THM/C coupled processes, but in-  
39 clude however a completely different range of ther-  
40 modynamic conditions than deep geological systems.  
41 Hydrological processes are very complex to describe  
42 as they vary highly in time and space. The evaluation  
43 of groundwater recharge is vital to a sustainable water  
44 resources management (so called safe yield). To this  
45 purpose, i.e. the understanding of small scale phenom-  
46 ena such as root / soil water interaction is of tremen-  
47 dous significance (53). Typically groundwater mod-

els are used for management purposes, particularly in semi-arid areas such as the Jordan Valley in the Middle East (67).

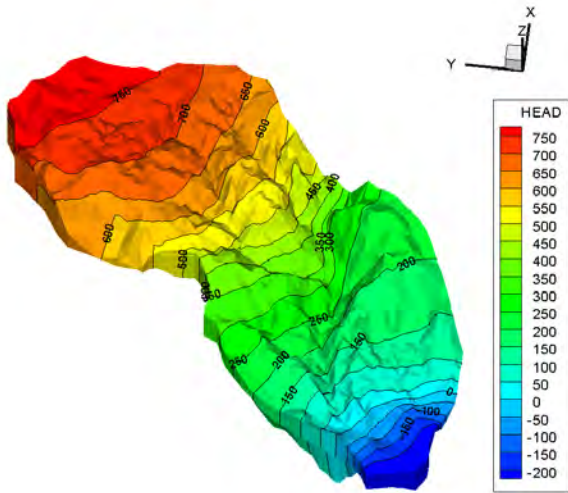


Fig. 8: Groundwater model for the Wadi Kafrein catchment in Jordan

Because water availability is an important issue in semi-arid and arid regions, groundwater quality deterioration is a critical concern in many urban areas of the world. Fig. 9 shows as an example part of a groundwater quality model prepared for the Nankou basin in the greater Beijing area. The idea of this modeling project is to identify possible sources of nitrate contamination originating from intense agriculture and fertilizer production (68). Land use and climate changes will impact the availability and quality of water resources to a large degree in the future. The modeling should help to develop scenarios for improving the groundwater quality in the long term. Areas subject to large groundwater extraction are also subject to severe land subsidence.

### 3.3 Energy storage

A very recent research area for THM/C modeling has become energy storage. The economy and feasibility of renewable energy sources will depend a large degree on efficient energy storage systems. Fig. 10 shows the numerical simulation of flow and heat distribution in a solid thermal energy storage block, which will be used to store solar energy collected during the daytime for use at night (so called solar-thermics). The long term

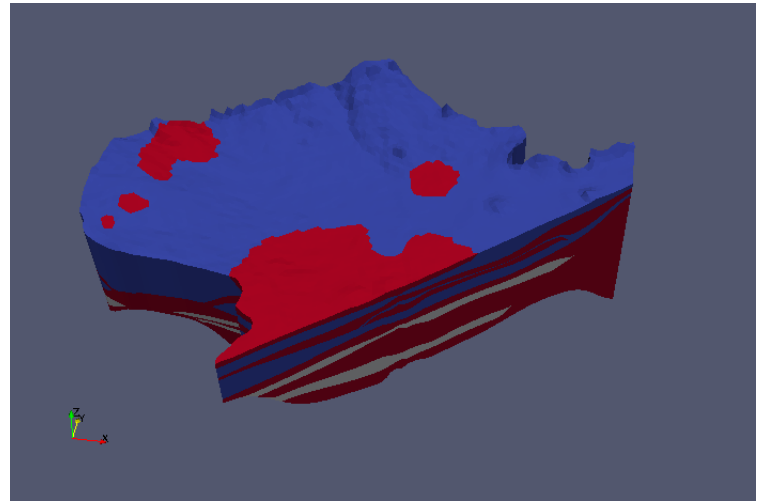


Fig. 9: Nankou groundwater quality model (68)

stability and efficiency of those energy storage devices can be optimized using THM/C modeling (i.e. solving the inverse geothermal problem). In addition to thermal storage, thermo-chemical concepts are under development, i.e. storing thermal energy by triggering endothermic reactions and gaining thermal energy back on demand with the reverse reaction (exothermic).

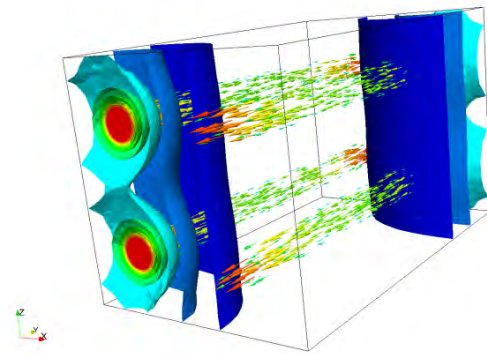


Fig. 10: Optimizing energy storage concepts by modeling (OGS simulation by Wenqing Wang)

## 4 OUTLOOK

We presented OGS as a scientific open source project which offers a platform for joint research activities in a large variety of geoscientific and hydrological disciplines. The success of the OGS idea will depend mainly on the community effort with regards to:



- Continuous contributions to the repository,
- Software-engineering concept, coding conventions,
- Participating in code debugging, providing new benchmarks for code testing,
- Organizing of training courses, teaching activities,
- Joint research activities, joint publications (scientific impact is most important),
- ... unexpected ideas to make the OGS initiative more attractive.

Benchmarking has been recognized as an efficient tool for scientific collaboration. Recently, PNNL, LBNL, UFZ and others have begun establishing workshop series to foster the benchmarking idea: setting up test cases with increasing complexity for method development and code comparison (Fig. 11). In addition to representing model complexity, one of the key efforts is to develop codes which are suitable for modern HPC platforms such as PetaFlop supercomputers. It has been realized that those challenges are beyond single team capabilities. Some of these on-going initiatives are e.g. HydroBench (for hydrological concepts), CO2BENCH and CO2FRAME (for CO<sub>2</sub> storage).

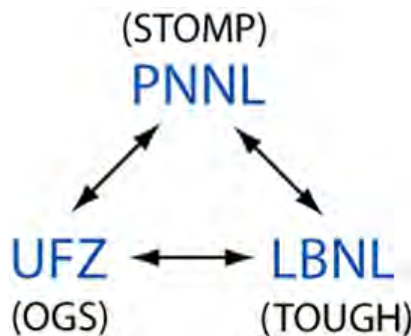


Fig. 11: International benchmarking initiative

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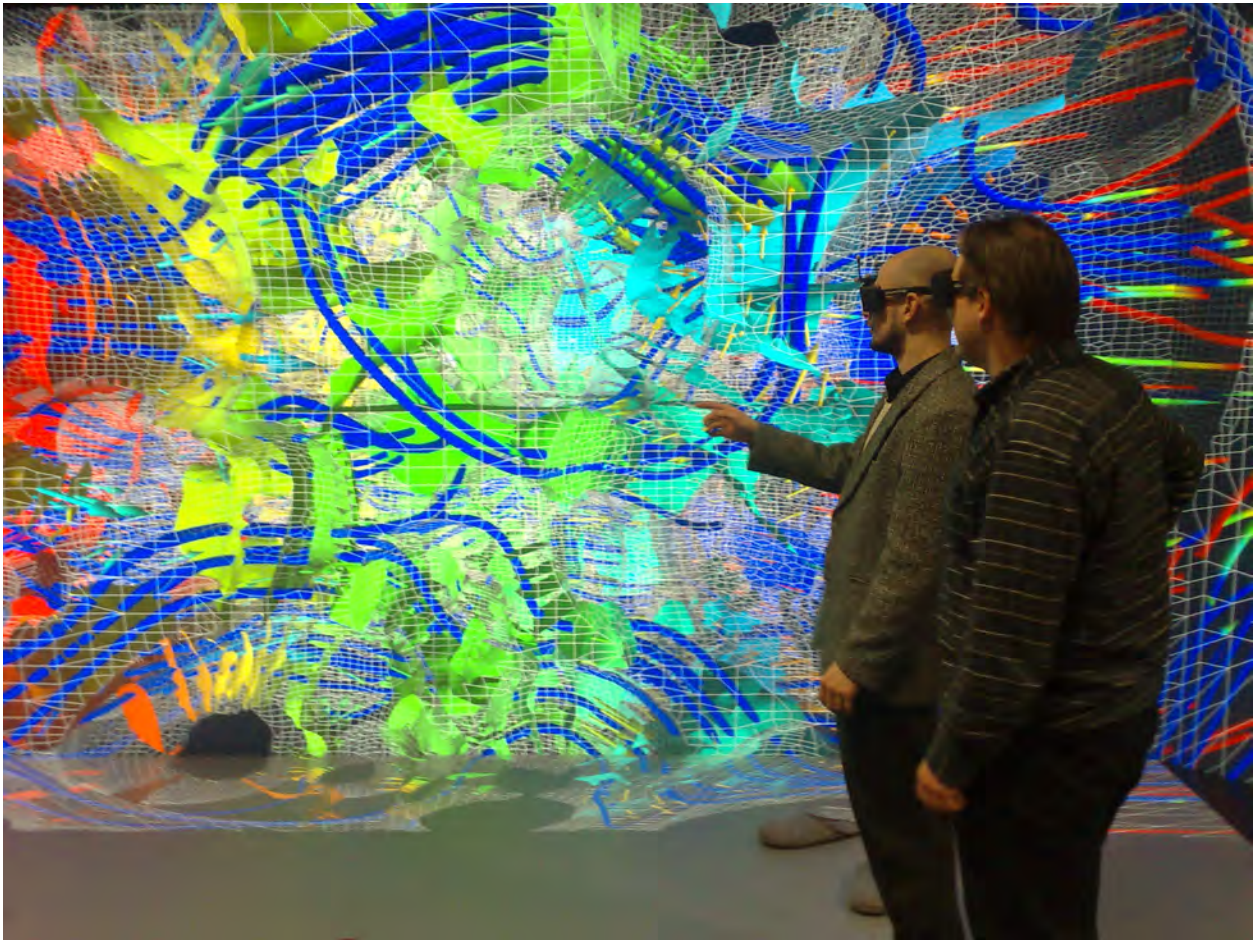


Fig. 12: Visual inspection of a porous medium model in the UFZ VISLab, data: GFZ, photo: Kolditz

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