

1.3 Laws of Limits

Suppose $\lim_{x \rightarrow c} f(x) = L$ and $\lim_{x \rightarrow c} g(x) = M$, where L and M are real numbers. Then the following apply:

1. $\lim_{x \rightarrow c} (f(x) + g(x)) = L + M$

2. $\lim_{x \rightarrow c} (f(x) - g(x)) = L - M$

3. $\lim_{x \rightarrow c} (kf(x)) = kL$

4. $\lim_{x \rightarrow c} (f(x) \cdot g(x)) = LM$
5. $\lim_{x \rightarrow c} \left(\frac{f(x)}{g(x)}\right) = \frac{L}{M}, M \neq 0$

6. $\lim_{x \rightarrow c} (f(x))^n = L^n, n > 0$

7. $\lim_{x \rightarrow c} (\sqrt[n]{f(x)}) = L^{\frac{1}{n}}, n > 0$

Begin with: Let f and g be functions from $\mathbb{Z}^+ \rightarrow \mathbb{R}$

$\Theta(n)$, $\Omega(n)$, $\Theta(n)$ Definition Limit

- $f(n) \in \Theta(g(n))$ iff $\exists c \in \mathbb{R}$ and $\exists n_0 \in \mathbb{Z}^+ \ni f(n) \leq cg(n), \forall n \geq n_0$
- $f(n) \in \Omega(g(n))$ iff $\exists c \in \mathbb{R}$ and $\exists n_0 \in \mathbb{Z}^+ \ni f(n) \geq cg(n), \forall n \geq n_0$
- $f(n) \in \Theta(g(n))$ iff $\exists c \in \mathbb{R}$ and $\exists n_0 \in \mathbb{Z}^+ \ni cg(n) \leq f(n) \leq cg(n), \forall n \geq n_0$
- $f(n) \in \Theta(g(n)) \iff \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = K, K \in [0, \infty)$
- $f(n) \in \Omega(g(n)) \iff \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = K, K \in (0, \infty)$
- $f(n) \in \Theta(g(n)) \iff \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = K, K \neq 0, \infty$

$\Theta(n)$, $\omega(n)$ Definition Limit

- $f(n) \in \Theta(g(n))$ iff $\forall c \in \mathbb{R} \exists n_0 \in \mathbb{Z}^+ \ni f(n) < cg(n), \forall n \geq n_0$
- $f(n) \in \omega(g(n))$ iff $\forall c \in \mathbb{R} \exists n_0 \in \mathbb{Z}^+ \ni f(n) > cg(n), \forall n \geq n_0$
- $f(n) \in \Theta(g(n)) \iff \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$
- $f(n) \in \omega(g(n)) \iff \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$

- For the growth rate function of an algorithm:
 - You can ignore lower terms $\Theta(n^3 + 4n^2 + 3n) = \Theta(n^3)$
 - You can ignore coefficients $\Theta(5n^3) = \Theta(n^3)$
 - They are additive $\Omega(n^2) + \Omega(n) = \Omega(n^2 + n) = \Omega(n^2)$

$\mathcal{O}(f(n))$	Complexity Class
$\mathcal{O}(\log n)$	Logarithmic
$\mathcal{O}(n)$	Linear
$\mathcal{O}(n \log n)$	log-linear
$\mathcal{O}(n^b)$	Polynomial
$\mathcal{O}(b^n)$, where $b > 1$	Exponential
$\mathcal{O}(n!)$	Factorial

reduce and conquer vs divide and conquer

in-place, stability, order-optimal (secondary space) (keys are same) (best algorithm of type)

Selection Sort Visual Presentation

$(n-1) + (n-2) + \dots + 2 + 1 = \frac{n(n-1)}{2} \in \mathcal{O}(n^2)$

Insertion Sort

$1 + 2 + \dots + n - 1$

Binary Search

$T(n) = 1 + T\left(\frac{n-1}{2}\right)$
 $T(n) = 2 + T\left(\frac{n-3}{2}\right)$
 $T(n) = 3 + T\left(\frac{n-7}{2}\right)$
...
 $T(n) = k + T\left(\frac{n-2^k+1}{2}\right)$

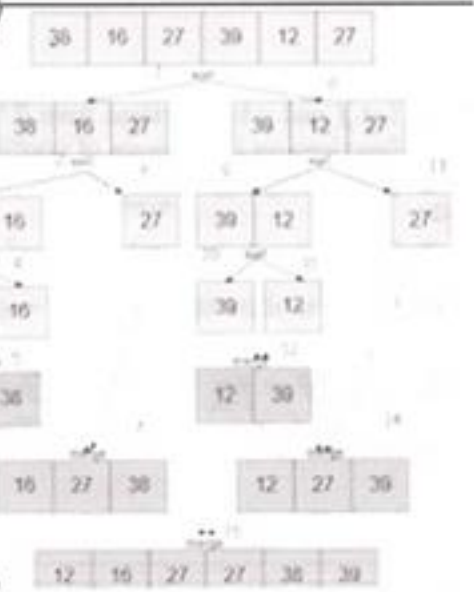
Algorithm	Worst case	Average case
Selection sort	n^2	n^2
Bubble sort	n^2	n^2
Insertion sort	n^2	n^2
Merge sort	$n \lg n$	$n \lg n$
Quick sort	n^2	$n \lg n$
Radix sort	n	n
Treesort	n^2	$n \lg n$
Heapsort	$n \log n$	$n \lg n$

5	3	2	6	4	1	7
5	3	2	5	4	6	7
5	2	3	5	4	6	7
5	2	3	3	4	6	7
5	2	3	3	1	6	7
5	2	3	3	4	6	7
5	2	3	5	4	6	7
5	2	3	5	4	1	7

3.1 Quick Sort

```
void sort(Item[] array, int from, int to)
{
    if (from >= to) {return;}
    p <- partition(array, from, to)
    sort(array, from, p);
    sort(array, p+1, to);
}
```

best: $T(n) = 2T(n/2) + \alpha n$
worst: $n = (n-1) + (n-2) + \dots + 2$
or $T(n) = T(n-1) + \alpha n, T(1) = 1$



$T(n) = 2T(n/2) + \alpha n \log n$

The claim is true.

A proof using the definition of Θ -notation.

Proof:

- A. **Definition 1.** Let f and g be functions from \mathbb{Z}^+ to \mathbb{R}^+ - that is, positive real-valued functions on the domain of positive integers. If $f(n) \in \Theta(g(n))$, then $g(n)$ is said to be an asymptotic tight bound for $f(n)$. Mathematically, there are constants $c_1 > 0$, $c_2 > 0$ and an integer constant $n_0 \geq 1$ such that $c_1 g(n) \leq f(n) \leq c_2 g(n) \forall n \geq n_0$.
- B. Let $f(n) = (n^3 + 4n - 5)^2$ and $g(n) = n^6$.
- C. I want to find c_1 , c_2 and n_0 such that $c_1 n^6 < (n^3 + 4n - 5)^2 < c_2 n^6$ where $n > n_0$.
- D. Proving the first half of the inequality:
 - (a) $n^3 \leq n^3, n \geq 1$
 - (b) $0 < 4n - 5, n \geq 2$
 - (c) Using the additive property of inequality and combining D.(a) and D.(b) and using the intersection of the half open intervals, we get $n^3 \leq n^3 + 4n - 5, n \geq 2$.
 - (d) Squaring both sides of the inequality in D(c), we get $n^6 < (n^3 + 4n - 5)^2, n \geq 2$.
- E. Proving the second half of the inequality:
 - (a) $n^3 < n^3, n > 1$
 - (b) $4n - 5 \leq 4n^3, n \geq 1$
 - (c) Using the additive property of inequality and combining E.(a) and E.(b) and using the intersection of the half open intervals, we get $n^3 + 4n - 5 \leq 5n^3, n \geq 1$.
 - (d) Squaring both sides of the inequality in E(c), we get $(n^3 + 4n - 5)^2 < 25n^6, n > 1$.
- F. Combining D.(d) and E.(d) and using the intersection of the half open intervals, we get $n^6 < (n^3 + 4n - 5)^2 < 25n^6, n \geq 2$. For $c_1 = 1$, $c_2 = 25$ and $n_0 = 2$, we get $c_1 g(n) < f(n) < c_2 g(n), n \geq n_0$. Therefore $(n^3 + 4n - 5)^2 \in \Theta(n^6)$.

Claim 2. $3n \lg n \in \Theta(n^2)$

The claim is false.

Proof:

- A. Let f and g be functions from \mathbb{Z}^+ to \mathbb{R}^+ - that is, positive real-valued functions on the domain of positive integers.
 $f(n) \in \Theta(g(n)) \Leftrightarrow \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c, 0 < c < \infty$.
- B. Let $f(n) = 3n \lg n$ and $g(n) = n^2$.
- C. I want to show that $\lim_{n \rightarrow \infty} \frac{3n \lg n}{n^2} = c, 0 < c < \infty$ is impossible.
- D. $\lim_{n \rightarrow \infty} \frac{3n \lg n}{n^2} = \lim_{n \rightarrow \infty} \frac{\lg n}{n} = \lim_{n \rightarrow \infty} \frac{(\lg n)'}{(n)'} = \lim_{n \rightarrow \infty} \frac{1}{n} = \lim_{n \rightarrow \infty} \frac{1}{n} = 0$
 For $c = 0, 0 < c < \infty$ is false. Therefore $3n \lg n \notin \Theta(n^2)$.

- heap: complete binary tree
- insert: put bottom, then trickle up if necessary
- remove: last node swapped with root, trickle down if necessary
- height: $\text{floor}(\lg n)$
- insert: $O(\lg n)$ because height
- delete: $3(\text{floor}(\lg(n-1))+1)$ is $O(\lg n)$

Pre-order: +LR
In-order: L+R
Post-order: LR+

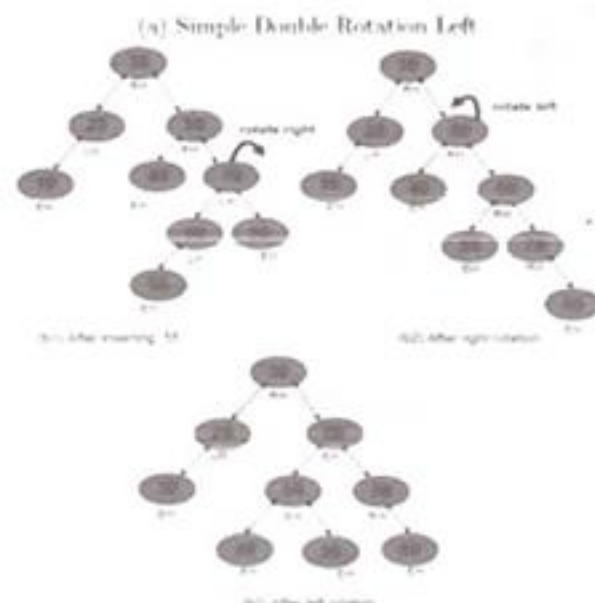
in-order predecessor: left, rightmost
in-order successor: right, leftmost

$$\phi = (1 + \sqrt{5})/2$$

$$\text{fibonacci}(n) = (\phi^n - (-\phi)^{-n})/\sqrt{5}$$

$$(n/2)\lg(n/2) = (n/2)(\lg n - \lg 2) = (n/2)(\lg n - (1/2)\lg n) \quad n=4$$

$$(n/4)\lg n \quad c=1/4$$



(b) Complex Double Rotation Left

Figure 7: Double Rotation Left

3. Right of Left: A subtree of a tree that is left high becomes right high.

leaf node: just remove it
only left/right node: replace w/ child
both: successor/predecessor node

Determine x, y and z for the tri node restructure operation:



Definition 10. The height of a tree is the number of edges on the longest path from the root to a leaf. An empty tree has a height of -1; a tree with only one node has a height of 0.

Definition 16. A perfect binary tree is a binary tree of height h with no missing nodes. All leaves are at level n and all other nodes each have two children.

Definition 17. A full binary tree is a binary tree in which each node has 0 or two children.

Definition 18. A complete binary tree is a binary tree of height h that is perfect to level $h-1$ and has level h filled in from left to right.

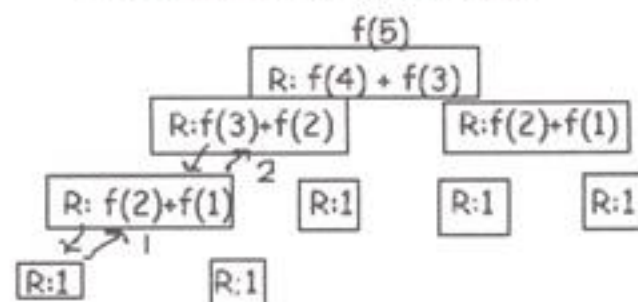
Definition 19. A balanced binary tree is a binary tree in which the left and right subtrees of any node have heights that differ by at most 1.

tail-recursion: your last call is to the function ex:
return $n * f(n-1)$

non-tail-recursion: not the top ex: return $n * f'(n)$

mutual recursion: ex: the even odd thing

box method trace of recursion



memoization: top-down
 $f(5) = 5 * f(4) = 5 * 4 * f(3)$

tabulization: bottom-up
 $1 * 2 * 3 * 4 * 5 \dots$
1, 2, 6, 24, 120, ...

fractional(continuous) knapsack: greedy
discrete (0/1) knapsack:

$$V[i, j] = \begin{cases} \max(V[i-1, j], V[i-1, j-w_i] + v_i), & w_i \leq W \\ V[i-1, j], & \text{otherwise} \end{cases}$$

O/1 Knapsack Dynamic Programming

Total $w = 7$

	val	wt	0	1	2	3	4	5	6	7	wt	val
(1)	1	0	0	1	1	1	1	1	1	1	1	1
(4)	3	0	0	1	1	4	5	5	5	5	3	4
(5)	4	0	0	1	1	4	5	6	6	9	4	5
(7)	5	0	0	1	1	4	5	7	8	9	5	7

claim $3 \ln n + 4 \in \Theta(n)$

claim is true

A.) Definition: Let f and g be functions from $\mathbb{Z}^+ \rightarrow \mathbb{R}^+$

$f(n) \in \Theta(g(n))$ iff $\forall c \in \mathbb{R}^+ \exists n_0 \in \mathbb{Z}^+ \exists f(n) < c g(n), \forall n \geq n_0$

B.) $f(n) \in O(g(n)) \iff \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = K, K=0$

C.) Let $f(n) = 3 \ln n + 4$ and $g(n) = n$. I want to show

$$D.) \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{3 \ln n + 4}{n} = K, K=0$$

$$E.) \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = K=0$$

$$\therefore f(n) \in O(g(n)) \Rightarrow 3 \ln n + 4 \in O(n)$$

claim: Let f, g, h be functions from $\mathbb{Z}^+ \rightarrow \mathbb{R}^+$

If $f(n) \in O(g(n))$ and $g(n) \in O(h(n))$ then $f(n) \in O(h(n))$

A proof using limits

Proof:

A. Let f and g be functions from $\mathbb{Z}^+ \rightarrow \mathbb{R}^+$ that is, positive real valued functions on the domain of positive integers.

$$f(n) \in \Theta(g(n)) \iff \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c, 0 < c < \infty$$

B. Let $f(n) = (n^3 + 4n - 5)^2$ and $g(n) = n^6$

C. I want to show that $\lim_{n \rightarrow \infty} \frac{(n^3 + 4n - 5)^2}{n^6} = c, 0 < c < \infty$

$$D. \lim_{n \rightarrow \infty} \frac{(n^3 + 4n - 5)^2}{n^6} = \lim_{n \rightarrow \infty} \left(\frac{n^3 + 4n - 5}{n^3} \right)^2 = \lim_{n \rightarrow \infty} \left(\frac{n^3}{n^3} + \frac{4n}{n^3} - \frac{5}{n^3} \right)^2 =$$

$$\left[\lim_{n \rightarrow \infty} \left(\frac{n^3}{n^3} + \frac{4n}{n^3} - \frac{5}{n^3} \right) \right]^2 = (1 + 0 - 0)^2 = 1$$

$$\text{For } c = 1, \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c, 0 < c < \infty. \text{ Therefore } (n^3 + 4n - 5)^2 \in \Theta(n^6)$$

Prove the following theorem using the definition of the Big-O asymptotic notation

Theorem 3.

Suppose d, e, f and g are functions from $\mathbb{Z}^+ \rightarrow \mathbb{R}^+$. If $d(n) \in O(e(n))$ and $f(n) \in O(g(n))$, then $d(n) + f(n) \in O(e(n) + g(n))$

Proof:

A. Given $d(n) \in O(e(n)) \iff d(n) \leq c_1 e(n) \forall n \geq n_1$, where $c_1 \in \mathbb{R}^+$ and $n_1 \in \mathbb{Z}^+$

B. Given $f(n) \in O(g(n)) \iff f(n) \leq c_2 g(n) \forall n \geq n_2$, where $c_2 \in \mathbb{R}^+$ and $n_2 \in \mathbb{Z}^+$

C. I want to find $c \in \mathbb{R}^+$ and $n_0 \in \mathbb{Z}^+$ such that $d(n) + f(n) \leq c(e(n) + g(n)) \forall n \geq n_0$, where $c \in \mathbb{R}^+$ and $n_0 \in \mathbb{Z}^+$.

D. Using the additive property of inequality on the inequalities in A and B and using the intersection of the half open intervals defined in A and B, we get: $d(n) + f(n) \leq c_1 e(n) + c_2 g(n) \forall n \geq \max(n_1, n_2)$

E. Using the inequality in D, the fact that $c_1 e(n) + c_2 g(n) \leq \max(c_1, c_2)(e(n) + g(n)) \forall n \geq \max(n_1, n_2)$ and the transitive property of inequality, we get $d(n) + f(n) \leq \max(c_1, c_2)(e(n) + g(n)) \forall n \geq \max(n_1, n_2)$

F. For $c = \max(c_1, c_2)$ and $n_0 = \max(n_1, n_2)$, we get $d(n) + f(n) \leq c(e(n) + g(n)) \forall n \geq n_0 \Rightarrow d(n) + f(n) \in O(e(n) + g(n))$. Therefore, if $d(n) \in O(e(n))$ and $f(n) \in O(g(n))$, then $d(n) + f(n) \in O(e(n) + g(n))$.