



# Local Discriminant Hyperalignment for multi-subject fMRI data alignment

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# Motivation

- Modern fMRI studies of human cognition use data from multiple subjects.
- Employing the supervised information in MVP methods for functional aligning the multi-subject fMRI data.

# Outline



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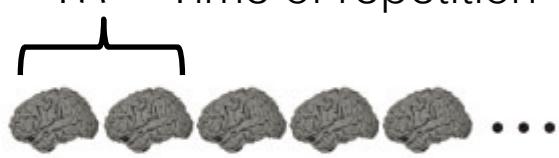
Conclusion

# fMRI Data: Vectorization

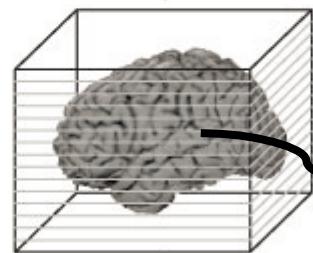


fMRI Data:

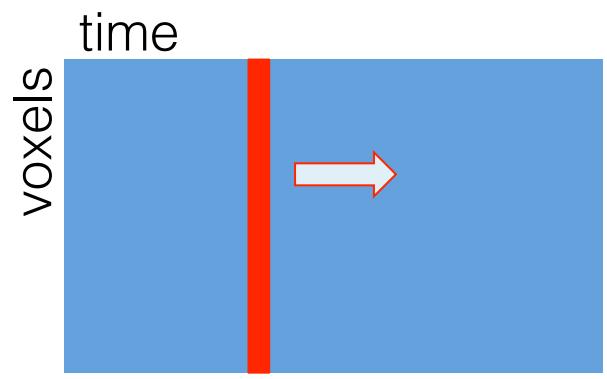
TR = Time of repetition



Volume



Vector



brain image data  
size:  $\sim 10^4$  voxels



- **Multivariate Pattern Analysis (MVP)**
  - Creating a *classification model* for new stimuli
- **Representational Similarity Analysis (RSA)**
  - Understanding new patterns by using *clustering*
- **Hyperalignment**
  - Matching generated patterns in *multi-subject* problems
- **Stimulus-model-based encoding & decoding**
  - Matching generated models for *new category of stimuli*

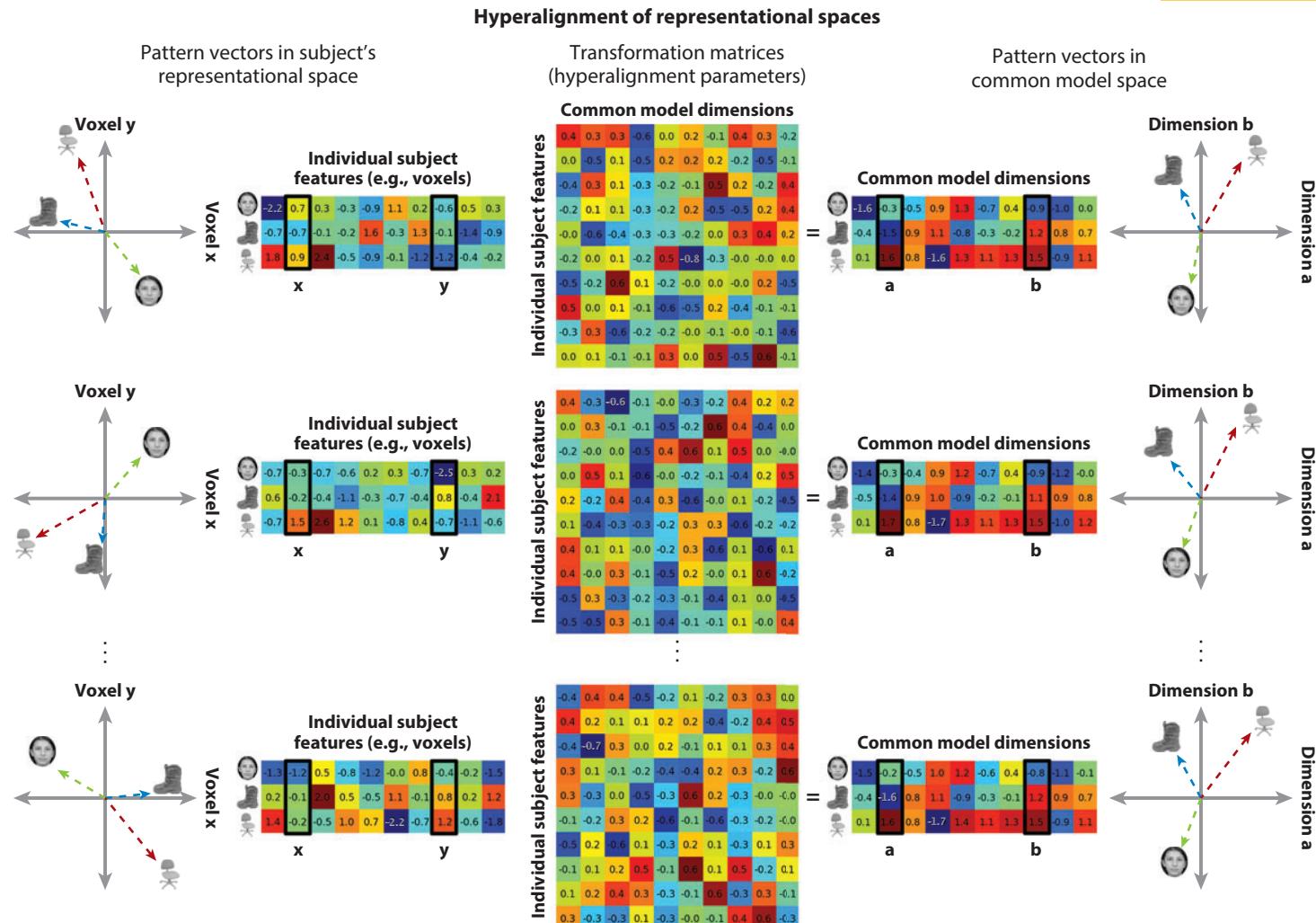


# Main research areas in the human brain decoding

- **Multivariate Pattern Analysis (MVP)**
  - Creating a classification model for new stimuli
- **Representation Learning**
  - Unsupervised learning
- **Classification**
  - **Supervised Hyperalignment** utilized for MVP classification problems
- **Stimulus Decoding**
  - Matching neural activity patterns to new stimuli



# Hyperalignment: Representational Space



[Haxby et al. 2014]

Local Discriminant Hyperalignment for multi-subject fMRI data alignment



# Inter-Subject Correlation (ISC)

$$\text{ISC}(\mathbf{X}^{(i)}, \mathbf{X}^{(j)}) = (1/V)\text{tr}((\mathbf{X}^{(i)})^\top \mathbf{X}^{(j)}) =$$

$$\frac{1}{V} \sum_{n=1}^V (\mathbf{x}_{\cdot n}^{(i)})^\top \mathbf{x}_{\cdot n}^{(j)} = \frac{1}{V} \sum_{m=1}^V \sum_{n=1}^V \mathbf{x}_{mn}^{(i)} \mathbf{x}_{mn}^{(j)}$$

- **For  $i$ -th subject:**  $X^{(i)} = \{\mathbf{x}_{mn}^{(i)}\} \in \mathbb{R}^{T \times V}$ , where T denotes the number of time point in units of TRs, V is number of voxels.
- **The column representation of functional activities in  $n$ -th voxel:**

$$\mathbf{x}_{\cdot n}^{(i)} \in \mathbb{R}^T = \left\{ \mathbf{x}_{mn}^{(i)} \mid \mathbf{x}_{mn}^{(i)} \in \mathbf{X}^{(i)} \text{ and } m = 1:T \right\}$$



# Hyperalignment based on ISC function

$$\begin{aligned}\rho &= \arg \max_{i,j=1:S} \sum_{i < j} \text{ISC}(\mathbf{X}^{(i)} \mathbf{R}^{(i)}, \mathbf{X}^{(j)} \mathbf{R}^{(j)}) \\ &= \arg \max_{i,j=1:S} \sum_{i < j} \sum_{m=1}^V \sum_{n=1}^V \mathbf{x}_{mn}^{(i)} \mathbf{r}_{nm}^{(i)} \mathbf{x}_{mn}^{(j)} \mathbf{r}_{nm}^{(j)}\end{aligned}$$

- where  $R^{(i)} = \{r_{mn}^{(i)}\} \in \mathbb{R}^{V \times V}$  is the HA solution for  $i - th$  subject.
- If the functional activities are column-wise standardized  $\mathbf{X}^{(i)} \sim \mathcal{N}(0, 1)$ , the ISC lies in  $[-1, +1]$ , where the large values represent better alignment.
- The general assumption in the basic hyperalignment is that the  $R^{(i)}$  are noisy 'rotation' of a common template.



# Hyperalignment: Formulation

$$\rho = \arg \min_{i,j=1:S} \sum_{i < j} \|\mathbf{X}^{(i)} \mathbf{R}^{(i)} - \mathbf{X}^{(j)} \mathbf{R}^{(j)}\|_F^2$$

$$\text{subject to } (\mathbf{R}^{(\ell)})^\top \mathbf{A}^{(\ell)} \mathbf{R}^{(\ell)} = \mathbb{I}, \quad \ell = 1:S$$

- $\mathbf{A}^{(\ell)}, \ell = 1:S$  are symmetric and positive definite.
- $\mathbf{A}^{(\ell)} = \mathbb{I}$  : we have hyperalignment or a **multi-set orthogonal Procrustes problem**, which is commonly used in share analysis
- $\mathbf{A}^{(\ell)} = (\mathbf{X}^{(\ell)})^\top \mathbf{X}^{(\ell)}$  : we have a form of **multi-set Canonical Correlation Analysis (CCA)**.



# Hyperalignment: Formulation (cont.)

**Lemma 1.** *The equation (4) is equivalent to:*

$$\rho = \arg \min \sum_{i=1}^S \|\mathbf{X}^{(i)} \mathbf{R}^{(i)} - \mathbf{G}\|_F^2$$

subject to  $(\mathbf{R}^{(\ell)})^\top \mathbf{A}^{(\ell)} \mathbf{R}^{(\ell)} = \mathbb{I}, \quad \ell = 1:S$

where  $\mathbf{G} \in \mathbb{R}^{T \times V}$  is the HA template:

$$\mathbf{G} = \frac{1}{S} \sum_{j=1}^S \mathbf{X}^{(j)} \mathbf{R}^{(j)}$$

- **HA template ( $\mathbf{G}$ ) can be used for functional alignment in the test stage before MVP analysis.**
- **Most of previous studies used CCA for finding this template.**



# Hyperalignment: Formulation (cont.)

**Lemma 2.** Canonical Correlation Analysis (CCA) finds an optimum solution for solving (4) by exploiting the objective function  $\max_{i,j=1:S} \left( (\mathbf{R}^{(i)})^\top \mathbf{C}^{(i,j)} \mathbf{R}^{(j)} \right)$ , and then  $\mathbf{G}$  also can be calculated based on (6). Briefly, the CCA solution can be formulated as follows:

$$\rho = \arg \max_{i,j=1:S} \left( \frac{(\mathbf{R}^{(i)})^\top \mathbf{C}^{(i,j)} \mathbf{R}^{(j)}}{\sqrt{((\mathbf{R}^{(i)})^\top \mathbf{C}^{(i)} \mathbf{R}^{(i)})((\mathbf{R}^{(j)})^\top \mathbf{C}^{(j)} \mathbf{R}^{(j)})}} \right) \quad (7)$$

where  $\mathbf{C}^{(i)} \in \mathbb{R}^{V \times V} = \mathbb{E}\left[(\mathbf{X}^{(i)})^\top \mathbf{X}^{(i)}\right] = (\mathbf{X}^{(i)})^\top \mathbf{X}^{(i)}$ ,  $\mathbf{C}^{(j)} \in \mathbb{R}^{V \times V} = \mathbb{E}\left[(\mathbf{X}^{(j)})^\top \mathbf{X}^{(j)}\right] = (\mathbf{X}^{(j)})^\top \mathbf{X}^{(j)}$ , and  $\mathbf{C}^{(i,j)} \in \mathbb{R}^{V \times V} = \mathbb{E}\left[(\mathbf{X}^{(i)})^\top \mathbf{X}^{(j)}\right] = (\mathbf{X}^{(i)})^\top \mathbf{X}^{(j)}$ . The solution of CCA can be obtained by computing a generalized eigenvalue decomposition problem

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# Remark

- Consider fMRI time series included visual stimuli, where **two subjects** watch two photos of cats as well as two photos of human faces:  
**Stimuli sequence:** [cat1, face1, cat2, face2]
- The unsupervised solution finds two mappings to maximize the correlation in the voxel-level, where **the voxels for each subject are only compared with the voxels for other subjects with the same locations.**
- **Unsupervised HA solution** is shown by:  
 $(S1:\text{cat1} \uparrow S2:\text{cat1}) ; (S1:\text{face1} \uparrow S2:\text{face1});$   
 $(S1:\text{cat2} \uparrow S2:\text{cat2}) ; (S1:\text{face2} \uparrow S2:\text{face2})$



# Remark (cont.)

- The CCA solution here just **maximized the correlation for the stimuli in the same locations**, while they must also **maximize the correlation between all stimuli in the same category and minimize the correlation between different categories of stimuli**.
- Our approach for solving mentioned issues can be shown by:

$(S1:\text{cat1}, 2 \uparrow S2:\text{cat1}, 2); (S1:\text{face1}, 2 \uparrow S2 : \text{face1}, 2);$   
 $(S1:\text{cat1}, 2 \downarrow S2:\text{face1}, 2); (S1:\text{face1}, 2 \downarrow S2:\text{cat1}, 2)$



# Remark (cont.)

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**within-class terms**

$$\begin{aligned} & (S1:\text{cat1}, 2 \uparrow S2:\text{cat1}, 2); (S1:\text{face1}, 2 \uparrow S2 : \text{face1}, 2); \\ & (S1:\text{cat1}, 2 \downarrow S2:\text{face1}, 2); (S1:\text{face1}, 2 \downarrow S2:\text{cat1}, 2) \end{aligned}$$



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$(S_1:\text{cat1}, 2 \downarrow S_2:\text{face1}, 2); (S_1:\text{face1}, 2 \downarrow S_2:\text{cat1}, 2)$

**between-classes terms**

# Local Discriminant Hyperalignment (LDHA)



- This paper proposes Local Discriminant Hyperalignment (LDHA), which combines the idea of locality into CCA.
- Since unaligned (before applying the HA method) functional activities in different subjects cannot be directly compared with each other, the neighborhoods matrix  $\alpha$  is defined as follows:

$$\alpha_{nm} = \alpha_{mn} = \begin{cases} 0 & \mathbf{y}_m \neq \mathbf{y}_n \\ 1 & \mathbf{y}_m = \mathbf{y}_n \end{cases}, \quad m, n = 1:T, m < n$$

where  $Y = \{\mathbf{y}_m\} \in \mathbb{R}^T$  is class labels in the train-set.

# LDHA (cont.)



- **Within-class neighborhoods**  $W^{(i,j)} = \{w_{mn}^{(i,j)}\} \in \mathbb{R}^{V \times V}$ :

$$w_{mn}^{(i,j)} = \sum_{\ell=1}^T \sum_{k=1}^T \alpha_{\ell k} \mathbf{x}_{\ell m}^{(i)} \mathbf{x}_{kn}^{(j)} + \alpha_{\ell k} \mathbf{x}_{\ell n}^{(i)} \mathbf{x}_{km}^{(j)}$$

- **Between-classes neighborhoods**  $B^{(i,j)} = \{b_{mn}^{(i,j)}\} \in \mathbb{R}^{V \times V}$ :

$$b_{mn}^{(i,j)} = \sum_{\ell=1}^T \sum_{k=1}^T (1 - \alpha_{\ell k}) \mathbf{x}_{\ell m}^{(i)} \mathbf{x}_{kn}^{(j)} + (1 - \alpha_{\ell k}) \mathbf{x}_{\ell n}^{(i)} \mathbf{x}_{km}^{(j)}$$

# LDHA (cont.)



- **Supervised Covariance matrix:**

$$\tilde{\mathbf{C}}^{(i,j)} = \mathbf{W}^{(i,j)} - \left(\frac{\eta}{T^2}\right) \mathbf{B}^{(i,j)}$$

- **$\eta$  is the number of non-zero cells in the matrix  $\alpha$ , and  $T$  is the number of time points in unites of TRs.**
- **LDHA objective function is denoted as follows:**

$$\rho = \arg \max_{i,j=1:S, i < j} \frac{(\mathbf{R}^{(i)})^\top \tilde{\mathbf{C}}^{(i,j)} \mathbf{R}^{(j)}}{\sqrt{((\mathbf{R}^{(i)})^\top \mathbf{C}^{(i)} \mathbf{R}^{(i)})( (\mathbf{R}^{(j)})^\top \mathbf{C}^{(j)} \mathbf{R}^{(j)})}}$$

subject to     $(\mathbf{R}^{(\ell)})^\top \mathbf{C}^{(\ell)} \mathbf{R}^{(\ell)} = \mathbb{I}, \quad \ell = 1:S$



# LDHA Algorithm

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## Algorithm 1 Local Discriminate Hyperalignment (LDHA)

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**Input:** Data points  $\mathbf{X}^{(i)}$  and  $\mathbf{X}^{(j)}$ , class labels  $\mathbf{Y}$ :

**Output:** Hyperalignment parameters  $\mathbf{R}^{(i)}$  and  $\mathbf{R}^{(j)}$ :

**Method:**

1. Generate  $\alpha$  by (9).

2. Calculate  $\mathbf{W}^{(i,j)}$ ,  $\mathbf{B}^{(i,j)}$  by using (10) and (11).

3. Calculate  $\widetilde{\mathbf{C}}^{(i,j)}$ .

4. Compute  $\mathbf{H}^{(i,j)} = \left(\mathbf{C}^{(i)}\right)^{-1/2} \widetilde{\mathbf{C}}^{(i,j)} \left(\mathbf{C}^{(j)}\right)^{-1/2}$ .

5. Perform SVD:  $\mathbf{H}^{(i,j)} = \mathbf{P}^{(i,j)} \Lambda^{(i,j)} \left(\mathbf{Q}^{(i,j)}\right)^\top$ .

6. Return  $\mathbf{R}^{(i)} = \left(\mathbf{C}^{(i)}\right)^{-1/2} \mathbf{P}^{(i,j)}$

and  $\mathbf{R}^{(j)} = \left(\mathbf{C}^{(j)}\right)^{-1/2} \mathbf{Q}^{(i,j)}$ .

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# A MVP template based on LHDA

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**Algorithm 2** A general template for MVP analysis by using Local Discriminate Hyperalignment (LDHA)

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**Input:** Train Set  $\mathbf{X}^{(i)}, i = 1:S$ , Test Set  $\widehat{\mathbf{X}}^{(j)}, j = 1:\hat{S}$ :

**Output:** Classification Performance ( $ACC, AUC$ ):

**Method:**

01. Initiate  $\mathbf{R}^{(i)}, i = 1:S$ .
02. **Do**
03. **Foreach** subject  $\mathbf{X}^{(i)}, i = 1:S$ :
04. Update  $\mathbf{R}^{(i)}$  by Alg. 1 and  $\mathbf{X}^{(\ell)}, \ell = i+1:S$ .
05. **End Foreach**
06. **Until**  $\mathbf{X}^{(i)}\mathbf{R}^{(i)}, i = 1:S$  do not change in this step.
07. Train a classifier by  $\mathbf{X}^{(i)}\mathbf{R}^{(i)}, i = 1:S$
08. Initiate  $\widehat{\mathbf{R}}^{(j)}, j = 1:\hat{S}$ .
09. Generate  $\mathbf{G}$  based on (6) by using  $\mathbf{R}^{(i)}, i = 1:S$
10. **Foreach** subject  $\widehat{\mathbf{X}}^{(j)}, j = 1:\hat{S}$ :
11. Compute  $\widehat{\mathbf{R}}^{(j)}$  by *classical HA* (Eq. 5,7) and  $\mathbf{G}$ .
12. **End Foreach**
13. Evaluate the classifier by using  $\widehat{\mathbf{X}}^{(j)}\widehat{\mathbf{R}}^{(j)}, j = 1:\hat{S}$ .

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# Simple Tasks Analysis

Table 1: Accuracy of Classification Methods

Data Sets	$\nu$ -SVM	HA	KHA	SCCA	SVD-HA	LDHA
DS005 (2 classes)	71.65±0.97	81.27±0.59	83.06±0.36	85.29±0.49	90.82±1.23	<b>94.32±0.16</b>
DS105 (8 classes)	22.89±1.02	30.03±0.87	32.62±0.52	37.14±0.91	40.21±0.83	<b>54.04±0.09</b>
DS107 (4 classes)	38.84±0.82	43.01±0.56	46.82±0.37	52.69±0.69	59.54±0.99	<b>74.73±0.19</b>
DS117 (2 classes)	73.32±1.67	77.93±0.29	84.22±0.44	83.32±0.41	<b>95.62±0.83</b>	95.07±0.27

Table 2: Area Under the ROC Curve (AUC) of Classification Methods

Data Sets	$\nu$ -SVM	HA	KHA	SCCA	SVD-HA	LDHA
DS005 (2 classes)	68.37±1.01	70.32±0.92	82.22±0.42	80.91±0.21	88.54±0.71	<b>93.25±0.92</b>
DS105 (8 classes)	21.76±0.91	28.91±1.03	30.35±0.39	36.23±0.57	37.61±0.62	<b>53.86±0.17</b>
DS107 (4 classes)	36.84±1.45	40.21±0.33	43.63±0.61	50.41±0.92	57.54±0.31	<b>72.03±0.37</b>
DS117 (2 classes)	70.17±0.59	76.14±0.49	81.54±0.92	80.92±0.28	92.14±0.42	<b>94.23±0.94</b>

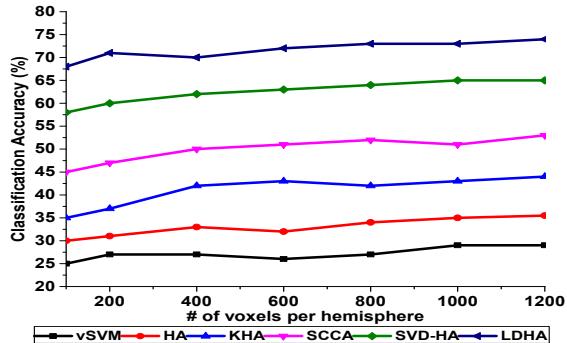
DS005: Mixed-gambles task

DS105: Visual Object Recognition

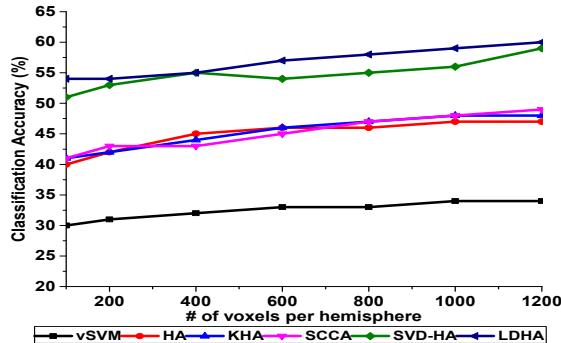
DS107: Word and Object Processing

DS117: Multi-subject, multi-modal human neuroimaging dataset

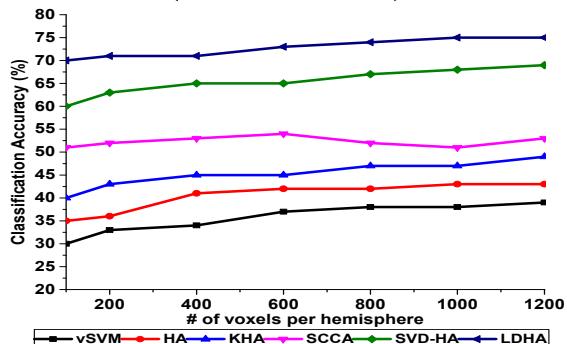
# Complex Tasks Analysis



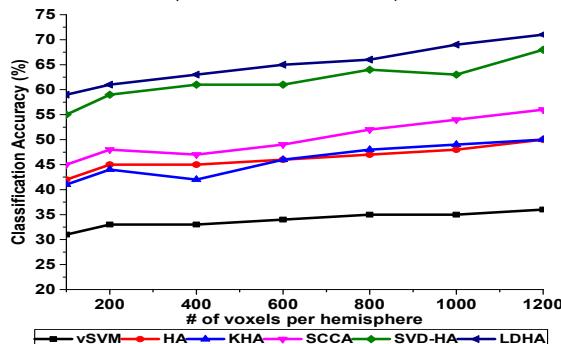
(a) Forrest Gump  
(TRs = 100)



(b) Raiders of the Lost Ark  
(TRs = 100)

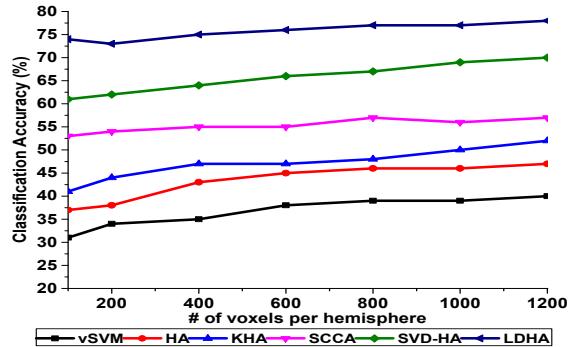


(c) Forrest Gump  
(TRs = 200)

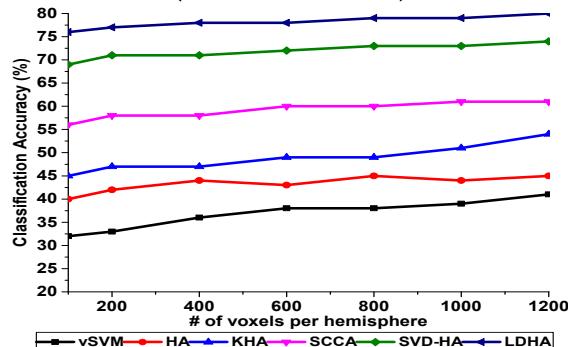


(d) Raiders of the Lost Ark  
(TRs = 200)

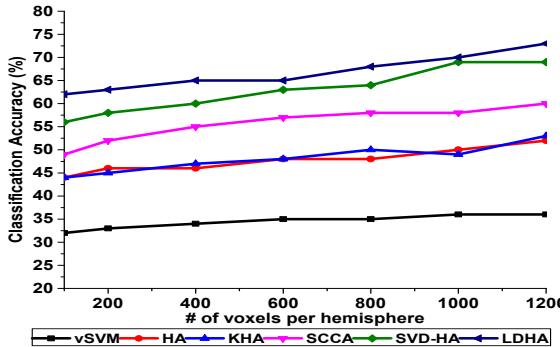
# Complex Tasks Analysis (cont.)



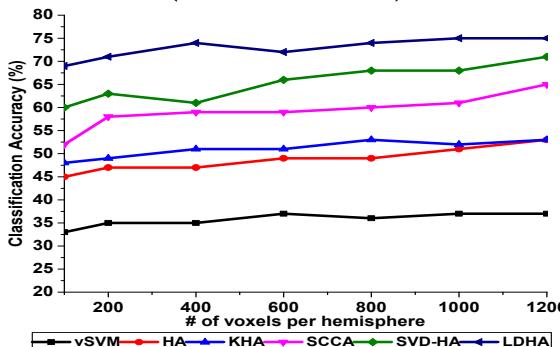
(e) Forrest Gump  
(TRs = 400)



(g) Forrest Gump  
(TRs = 2000)



(f) Raiders of the Lost Ark  
(TRs = 400)



(h) Raiders of the Lost Ark  
(TRs = 2000)

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# Conclusion

- We propose LDHA method for MVP classification by combining the idea of locality into CCA.
- Experimental studies on multi-subject MVP analysis demonstrate that the LDHA method achieves superior performance to other state-of-the-art HA algorithms.
- We will plan to develop:
  - ✓ A kernel-based version of LDHA.
  - ✓ Whole-brain hyperalignment approach based on LDHA.

# Thanks for your attention!



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