Chapter 6 – Architectural Design

Topics covered

- ✧ Architectural design decisions
- ✧ Architectural views
- ✧ Architectural patterns
- ✧ Application architectures

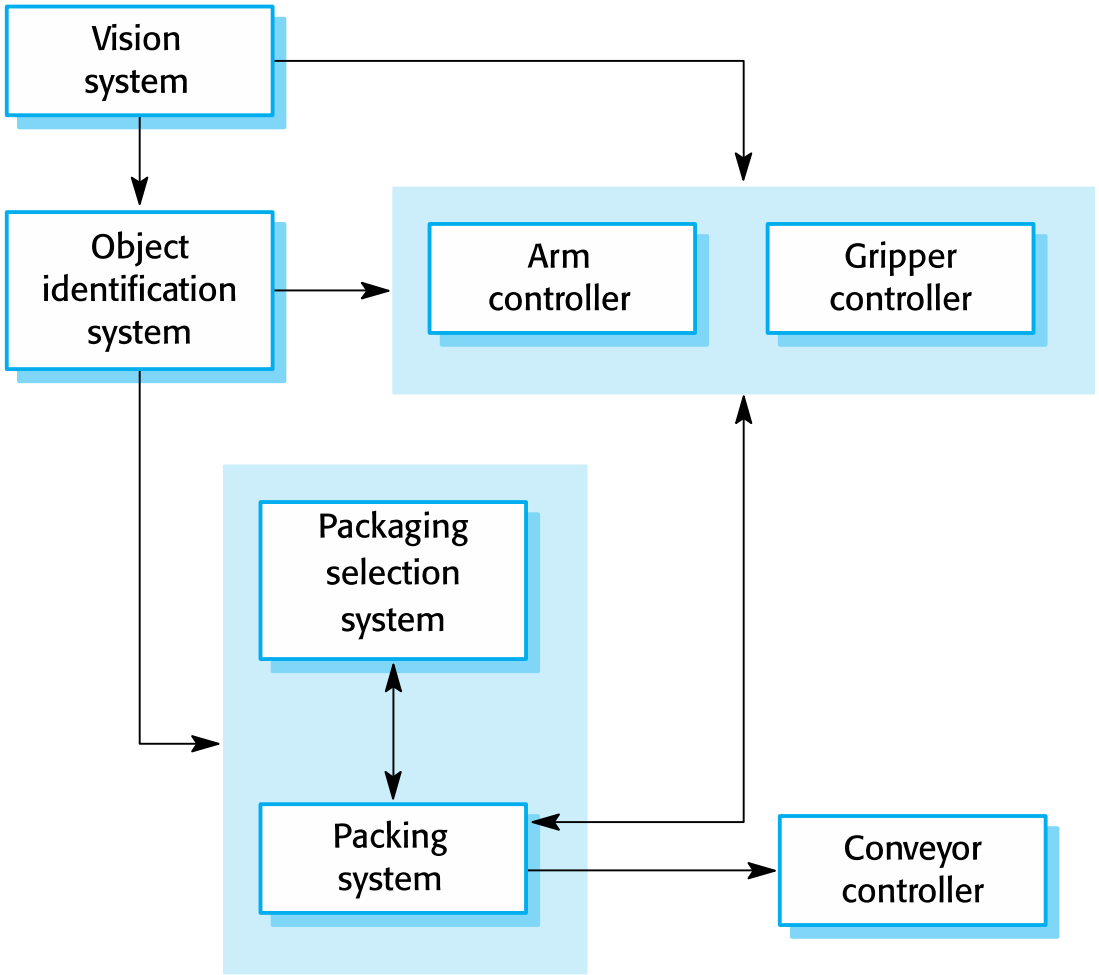
Architectural design

- Architectural design is concerned with understanding how a software system should be organized and designing the overall structure of that system.
- Architectural design is the critical link between design and requirements engineering, as it identifies the main structural components in a system and the relationships between them.
- The output of the architectural design process is an architectural model that describes how the system is organized as a set of communicating components.

Agility and architecture

- It is generally accepted that an early stage of agile processes is to design an overall systems architecture.
- Refactoring the system architecture is usually expensive because it affects so many components in the system

The architecture of a packing robot control system



Architectural abstraction

- Architecture in the small is concerned with the architecture of individual programs. At this level, we are concerned with the way that an individual program is decomposed into components.
- Architecture in the large is concerned with the architecture of complex enterprise systems that include other systems, programs, and program components. These enterprise systems are distributed over different computers, which may be owned and managed by different companies.

Advantages of explicit architecture

- ✧ Stakeholder communication
  - Architecture may be used as a focus of discussion by system stakeholders.
- ✧ System analysis
  - Means that analysis of whether the system can meet its non-functional requirements is possible.
- ✧ Large-scale reuse
  - The architecture may be reusable across a range of systems
  - Product-line architectures may be developed.

Architectural representations

- Simple, informal block diagrams showing entities and relationships are the most frequently used method for documenting software architectures.
- But these have been criticised because they lack semantics, do not show the types of relationships between entities nor the visible properties of entities in the architecture.
- Depends on the use of architectural models.The requirements for model semantics depends on how the models are used.

Box and line diagrams

- Very abstract - they do not show the nature of component relationships nor the externally visible properties of the sub-systems.
- However, useful for communication with stakeholders and for project planning.

Use of architectural models

- As a way of facilitating discussion about the system design
  - A high-level architectural view of a system is useful for communication with system stakeholders and project planning because it is not cluttered with detail. Stakeholders can relate to it and understand an abstract view of the system. They can then discuss the system as a whole without being confused by detail.
- As a way of documenting an architecture that has been designed
  - The aim here is to produce a complete system model that shows the different components in a system, their interfaces and their connections.

Architectural design decisions

Architectural design decisions

- Architectural design is a creative process so the process differs depending on the type of system being developed.
- However, a number of common decisions span all design processes and these decisions affect the non-functional characteristics of the system.

Architectural design decisions

Is there a generic application architecture that can act as a template for the system that is being designed?

How will the system be distributed across hardware cores or processors?

What architectural patterns or styles might be used?

What will be the fundamental approach used to structure the system?

?

What strategy will be used to control the operation of the components in the system?

How will the structural components in the system be decomposed into sub-components?

What architectural organization is best for delivering the non-functional requirements of the system?

How should the architecture of the system be documented?

Architecture reuse

- Systems in the same domain often have similar architectures that reflect domain concepts.
- Application product lines are built around a core architecture with variants that satisfy particular customer requirements.
- The architecture of a system may be designed around one of more architectural patterns or ‘styles’.
  - These capture the essence of an architecture and can be instantiated in different ways.

Architecture and system characteristics

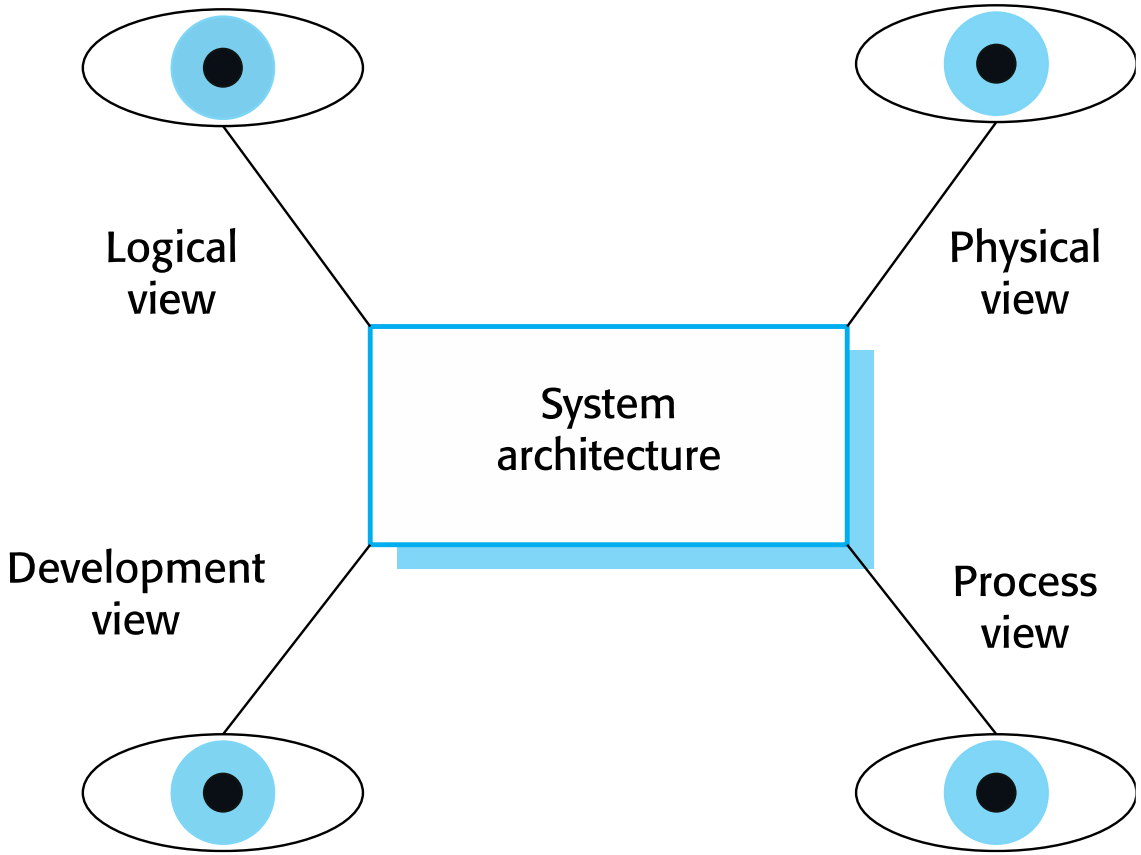
- ✧ Performance
  - Localise critical operations and minimise communications. Use large rather than fine-grain components.
- ✧ Security
  - Use a layered architecture with critical assets in the inner layers.
- ✧ Safety
  - Localise safety-critical features in a small number of sub-systems.
- ✧ Availability
  - Include redundant components and mechanisms for fault tolerance.
- ✧ Maintainability
  - Use fine-grain, replaceable components.

Architectural views

Architectural views

- What views or perspectives are useful when designing and documenting a system's architecture?
- What notations should be used for describing architectural models?
- Each architectural model only shows one view or perspective of the system.
  - It might show how a system is decomposed into modules, how the run-time processes interact or the different ways in which system components are distributed across a network. For both design and documentation, you usually need to present multiple views of the software architecture.

Architectural views



4 + 1 view model of software architecture

- A logical view, which shows the key abstractions in the system as objects or object classes.
- A process view, which shows how, at run-time, the system is composed of interacting processes.
- A development view, which shows how the software is decomposed for development.
- A physical view, which shows the system hardware and how software components are distributed across the processors in the system.
- Related using use cases or scenarios (+1)

Representing architectural views

- Some people argue that the Unified Modeling Language (UML) is an appropriate notation for describing and documenting system architectures
- I disagree with this as I do not think that the UML includes abstractions appropriate for high-level system description.
- Architectural description languages (ADLs) have been developed but are not widely used

Architectural patterns

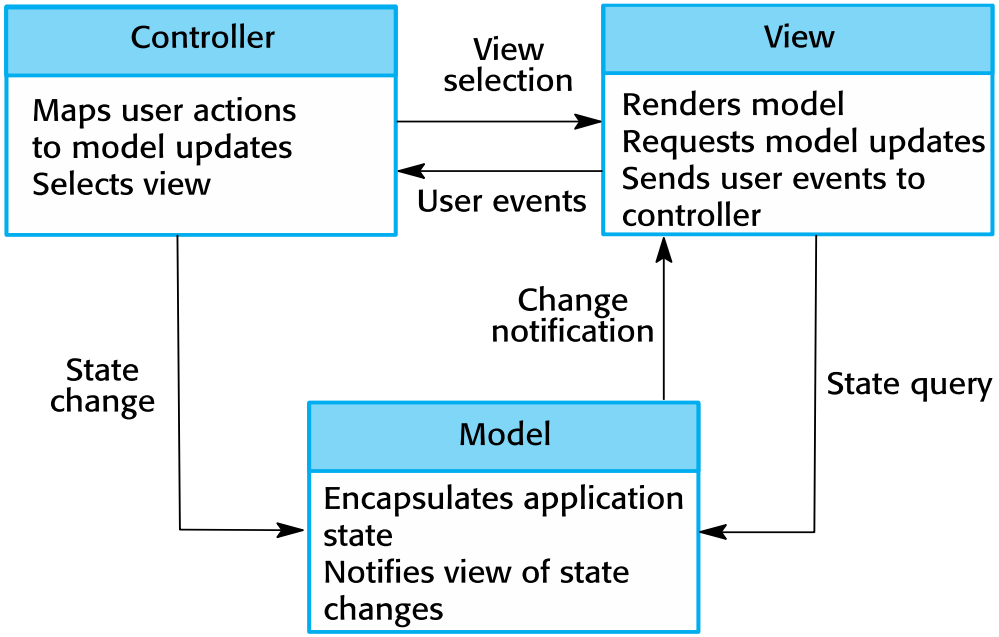
Architectural patterns

- Patterns are a means of representing, sharing and reusing knowledge.
- An architectural pattern is a stylized description of good design practice, which has been tried and tested in different environments.
- Patterns should include information about when they are and when the are not useful.
- Patterns may be represented using tabular and graphical descriptions.

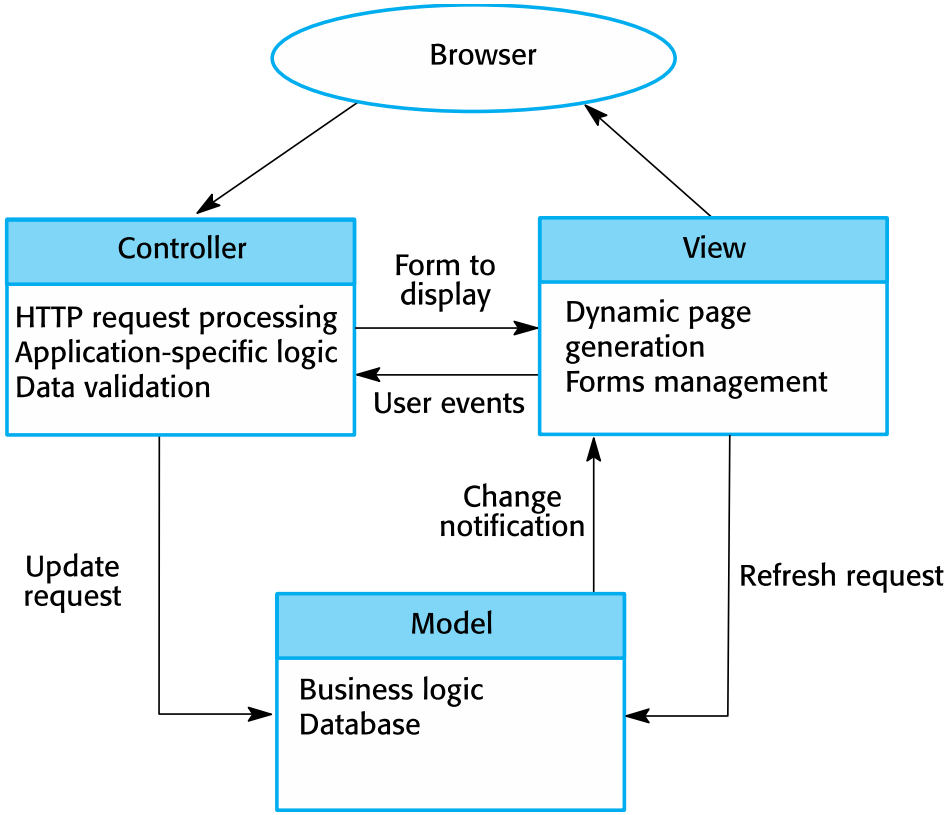
The Model-View-Controller (MVC) pattern

Name	MVC (Model-View-Controller)
Description	Separates presentation and interaction from the system data. The system is structured into three logical components that interact with each other. The Model component manages the system data and associated operations on that data. The View component defines and manages how the data is presented to the user. The Controller component manages user interaction (e.g., key presses, mouse clicks, etc.) and passes these interactions to the View and the Model. See Figure 6.3.
Example	Figure 6.4 shows the architecture of a web-based application system organized using the MVC pattern.
When used	Used when there are multiple ways to view and interact with data. Also used when the future requirements for interaction and presentation of data are unknown.
Advantages	Allows the data to change independently of its representation and vice versa. Supports presentation of the same data in different ways with changes made in one representation shown in all of them.
Disadvantages	Can involve additional code and code complexity when the data model and interactions are simple.

The organization of the Model-View-Controller



Web application architecture using the MVC pattern



Layered architecture

- Used to model the interfacing of sub-systems.
- Organises the system into a set of layers (or abstract machines) each of which provide a set of services.
- Supports the incremental development of sub-systems in different layers. When a layer interface changes, only the adjacent layer is affected.
- However, often artificial to structure systems in this way.

The Layered architecture pattern

Name	Layered architecture
Description	Organizes the system into layers with related functionality associated with each layer. A layer provides services to the layer above it so the lowest-level layers represent core services that are likely to be used throughout the system. See Figure 6.6.
Example	A layered model of a system for sharing copyright documents held in different libraries, as shown in Figure 6.7.
When used	Used when building new facilities on top of existing systems; when the development is spread across several teams with each team responsibility for a layer of functionality; when there is a requirement for multi-level security.
Advantages	Allows replacement of entire layers so long as the interface is maintained. Redundant facilities (e.g., authentication) can be provided in each layer to increase the dependability of the system.
Disadvantages	In practice, providing a clean separation between layers is often difficult and a high-level layer may have to interact directly with lower-level layers rather than through the layer immediately below it. Performance can be a problem because of multiple levels of interpretation of a service request as it is processed at each layer.

A generic layered architecture

User interface

User interface management
Authentication and authorization

Core business logic/application functionality
System utilities

System support (OS, database etc.)

The architecture of the iLearn system

Browser-based user interface | iLearn app

Configuration services

Group management | Application management | Identity management

Application services

Email | Messaging | Video conferencing | Newspaper archive

Word processing | Simulation | Video storage | Resource finder

Spreadsheet | Virtual learning environment | History archive

Utility services

Authentication | Logging and monitoring | Interfacing

User storage | Application storage | Search

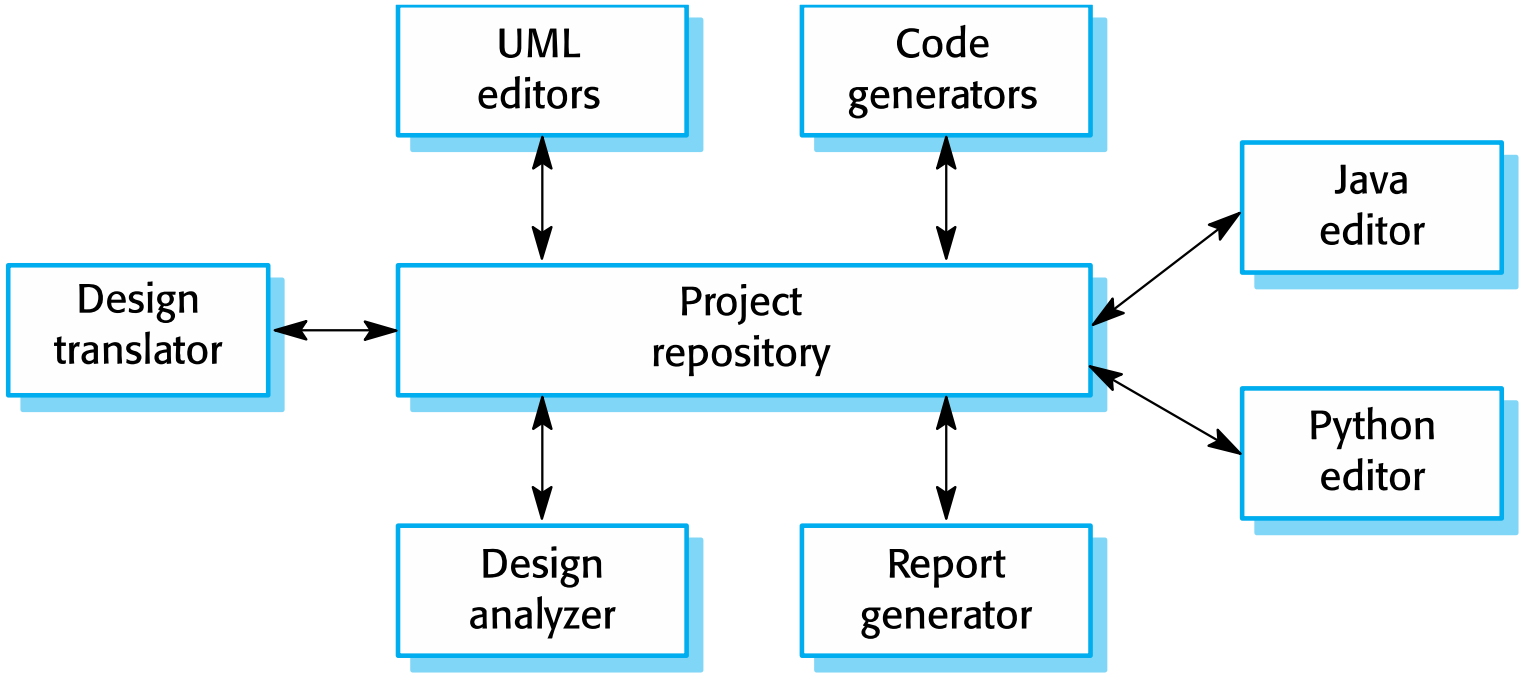
Repository architecture

- Sub-systems must exchange data. This may be done in two ways:
  - Shared data is held in a central database or repository and may be accessed by all sub-systems;
  - Each sub-system maintains its own database and passes data explicitly to other sub-systems.
- When large amounts of data are to be shared, the repository model of sharing is most commonly used a this is an efficient data sharing mechanism.

The Repository pattern

Name	Repository
Description	All data in a system is managed in a central repository that is accessible to all system components. Components do not interact directly, only through the repository.
Example	Figure 6.9 is an example of an IDE where the components use a repository of system design information. Each software tool generates information which is then available for use by other tools.
When used	You should use this pattern when you have a system in which large volumes of information are generated that has to be stored for a long time. You may also use it in data-driven systems where the inclusion of data in the repository triggers an action or tool.
Advantages	Components can be independent—they do not need to know of the existence of other components. Changes made by one component can be propagated to all components. All data can be managed consistently (e.g., backups done at the same time) as it is all in one place.
Disadvantages	The repository is a single point of failure so problems in the repository affect the whole system. May be inefficiencies in organizing all communication through the repository. Distributing the repository across several computers may be difficult.

A repository architecture for an IDE



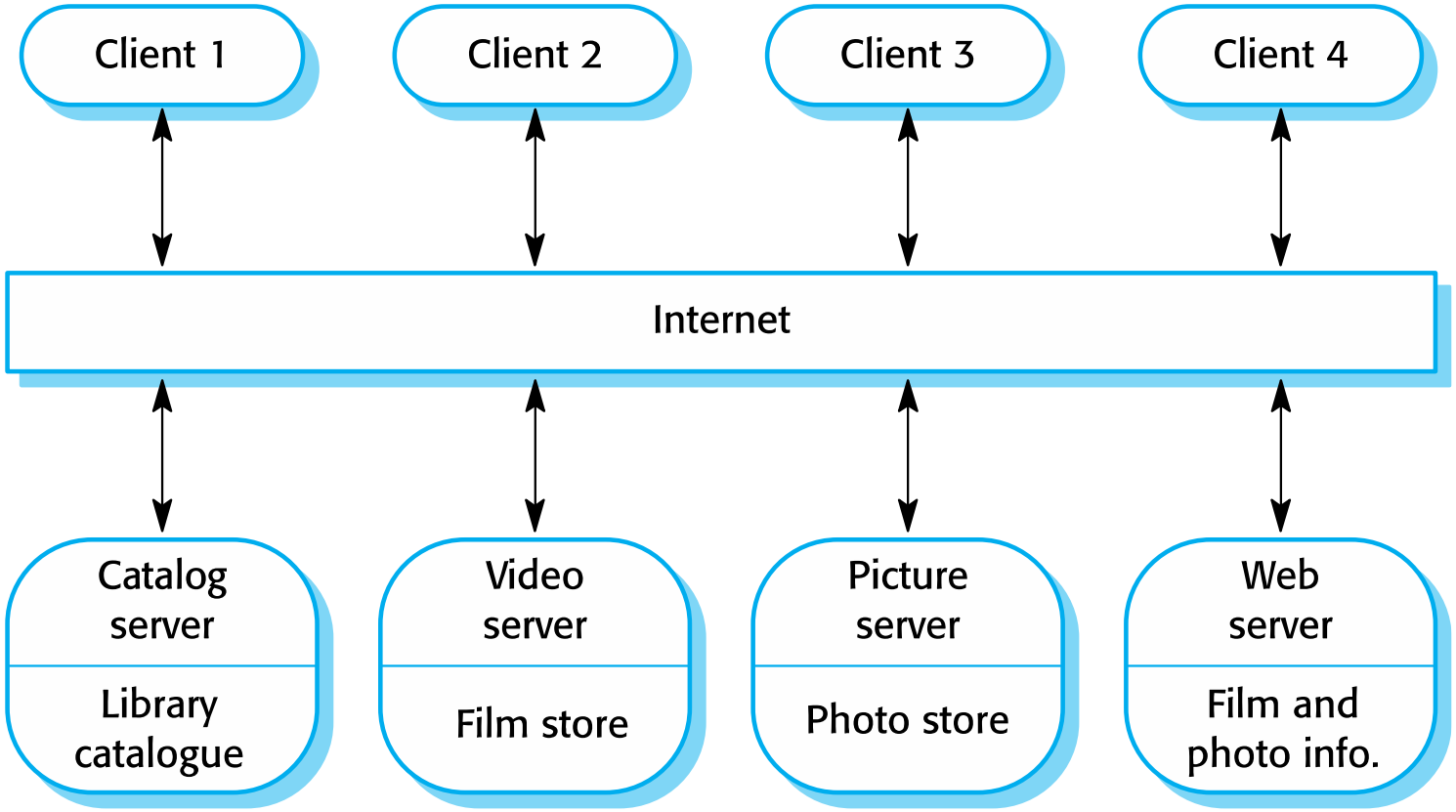
Client-server architecture

- Distributed system model which shows how data and processing is distributed across a range of components.
  - Can be implemented on a single computer.
- Set of stand-alone servers which provide specific services such as printing, data management, etc.
- Set of clients which call on these services.
- Network which allows clients to access servers.

The Client–server pattern

Name	Client-server
Description	In a client–server architecture, the functionality of the system is organized into services, with each service delivered from a separate server. Clients are users of these services and access servers to make use of them.
Example	Figure 6.11 is an example of a film and video/DVD library organized as a client–server system.
When used	Used when data in a shared database has to be accessed from a range of locations. Because servers can be replicated, may also be used when the load on a system is variable.
Advantages	The principal advantage of this model is that servers can be distributed across a network. General functionality (e.g., a printing service) can be available to all clients and does not need to be implemented by all services.
Disadvantages	Each service is a single point of failure so susceptible to denial of service attacks or server failure. Performance may be unpredictable because it depends on the network as well as the system. May be management problems if servers are owned by different organizations.

A client–server architecture for a film library



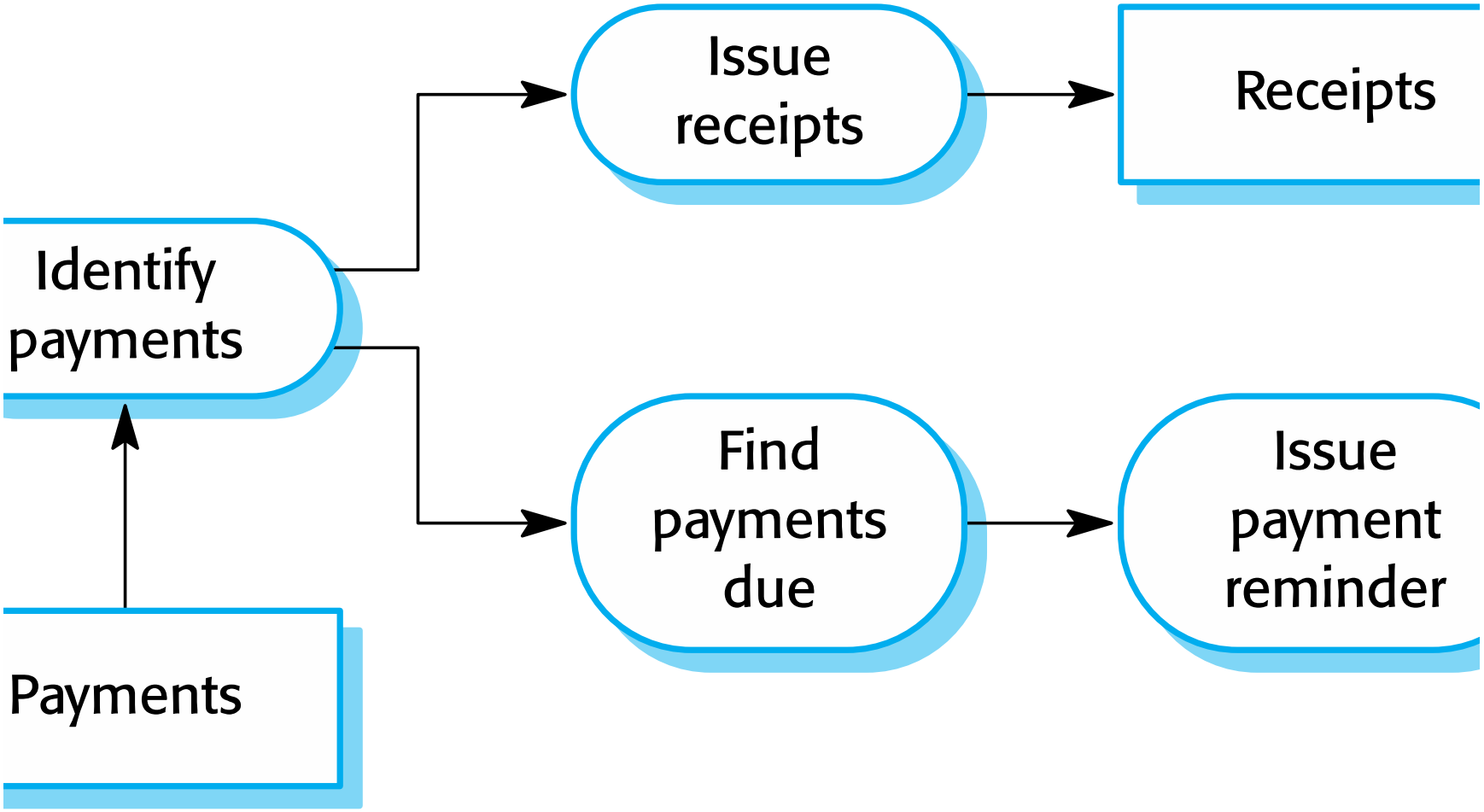
Pipe and filter architecture

- Functional transformations process their inputs to produce outputs.
- May be referred to as a pipe and filter model (as in UNIX shell).
- Variants of this approach are very common. When transformations are sequential, this is a batch sequential model which is extensively used in data processing systems.
- Not really suitable for interactive systems.

The pipe and filter pattern

Name	Pipe and filter
Description	The processing of the data in a system is organized so that each processing component (filter) is discrete and carries out one type of data transformation. The data flows (as in a pipe) from one component to another for processing.
Example	Figure 6.13 is an example of a pipe and filter system used for processing invoices.
When used	Commonly used in data processing applications (both batch- and transaction-based) where inputs are processed in separate stages to generate related outputs.
Advantages	Easy to understand and supports transformation reuse. Workflow style matches the structure of many business processes. Evolution by adding transformations is straightforward. Can be implemented as either a sequential or concurrent system.
Disadvantages	The format for data transfer has to be agreed upon between communicating transformations. Each transformation must parse its input and unparse its output to the agreed form. This increases system overhead and may mean that it is impossible to reuse functional transformations that use incompatible data structures.

An example of the pipe and filter architecture used in a payments system



Application architectures

Application architectures

- Application systems are designed to meet an organisational need.
- As businesses have much in common, their application systems also tend to have a common architecture that reflects the application requirements.
- A generic application architecture is an architecture for a type of software system that may be configured and adapted to create a system that meets specific requirements.

Use of application architectures

- As a starting point for architectural design.
- As a design checklist.
- As a way of organising the work of the development team.
- As a means of assessing components for reuse.
- As a vocabulary for talking about application types.

Examples of application types

- ✧ Data processing applications
  - Data driven applications that process data in batches without explicit user intervention during the processing.
- ✧ Transaction processing applications
  - Data-centred applications that process user requests and update information in a system database.
- ✧ Event processing systems
  - Applications where system actions depend on interpreting events from the system's environment.
- ✧ Language processing systems
  - Applications where the users' intentions are specified in a formal language that is processed and interpreted by the system.

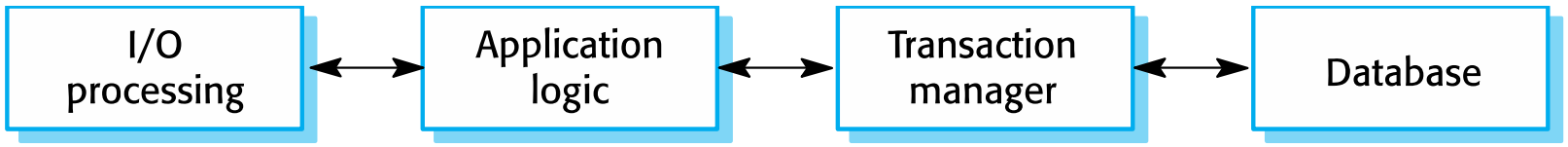
Application type examples

- Two very widely used generic application architectures are transaction processing systems and language processing systems.
- Transaction processing systems
  - E-commerce systems;
  - Reservation systems.
- Language processing systems
  - Compilers;
  - Command interpreters.

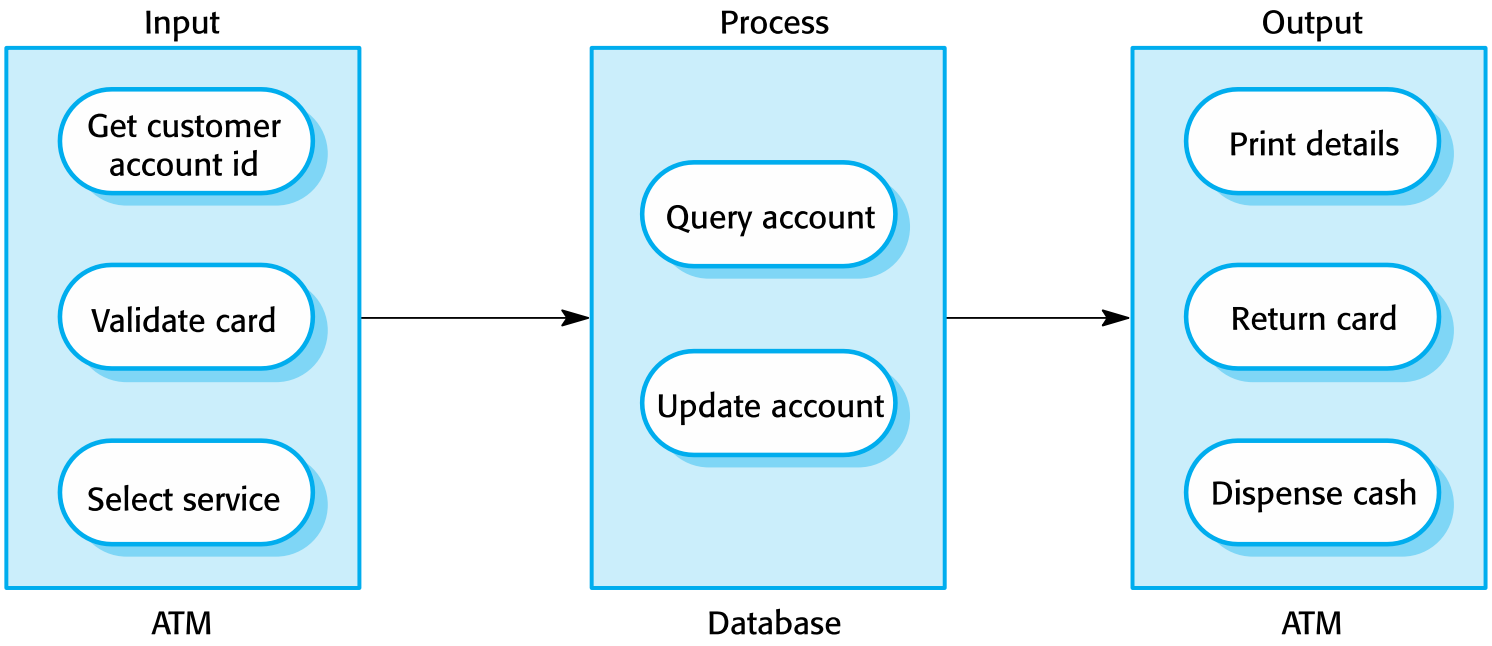
Transaction processing systems

- Process user requests for information from a database or requests to update the database.
- From a user perspective a transaction is:
  - Any coherent sequence of operations that satisfies a goal;
  - For example - find the times of flights from London to Paris.
- Users make asynchronous requests for service which are then processed by a transaction manager.

The structure of transaction processing applications



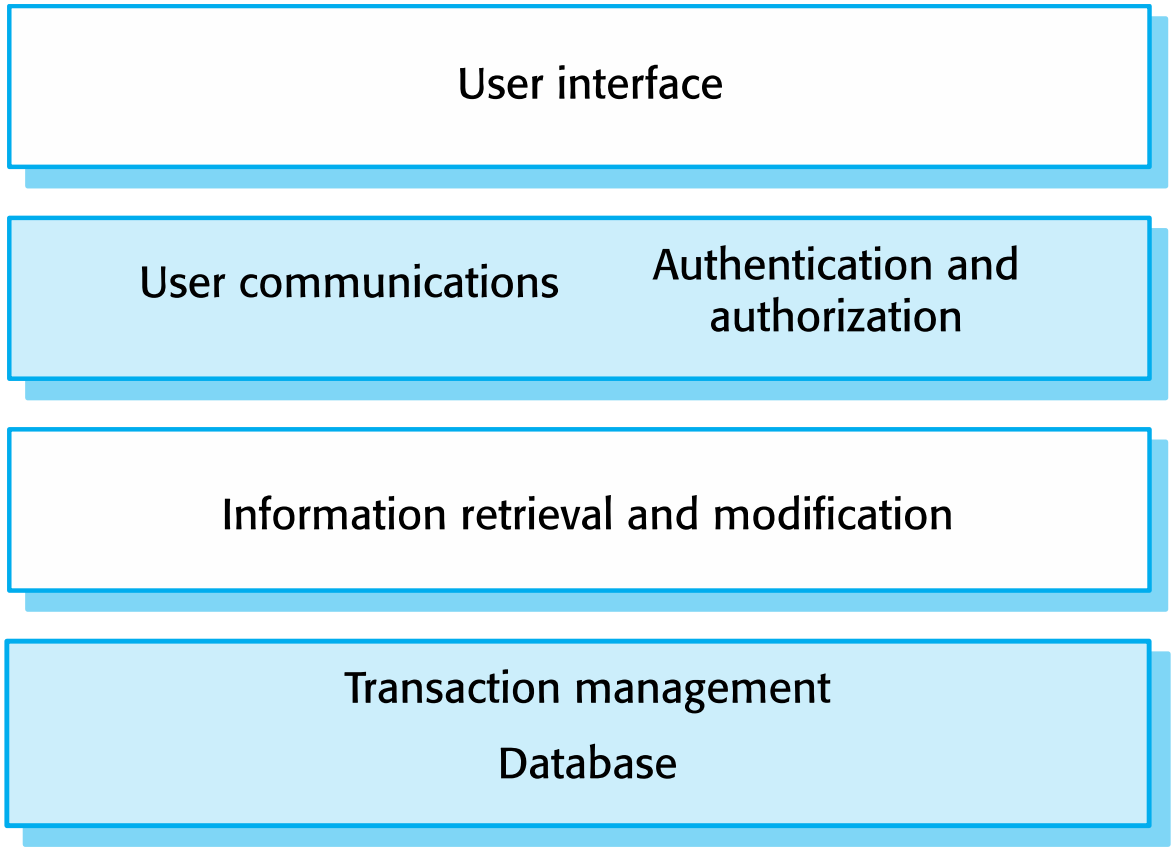
The software architecture of an ATM system



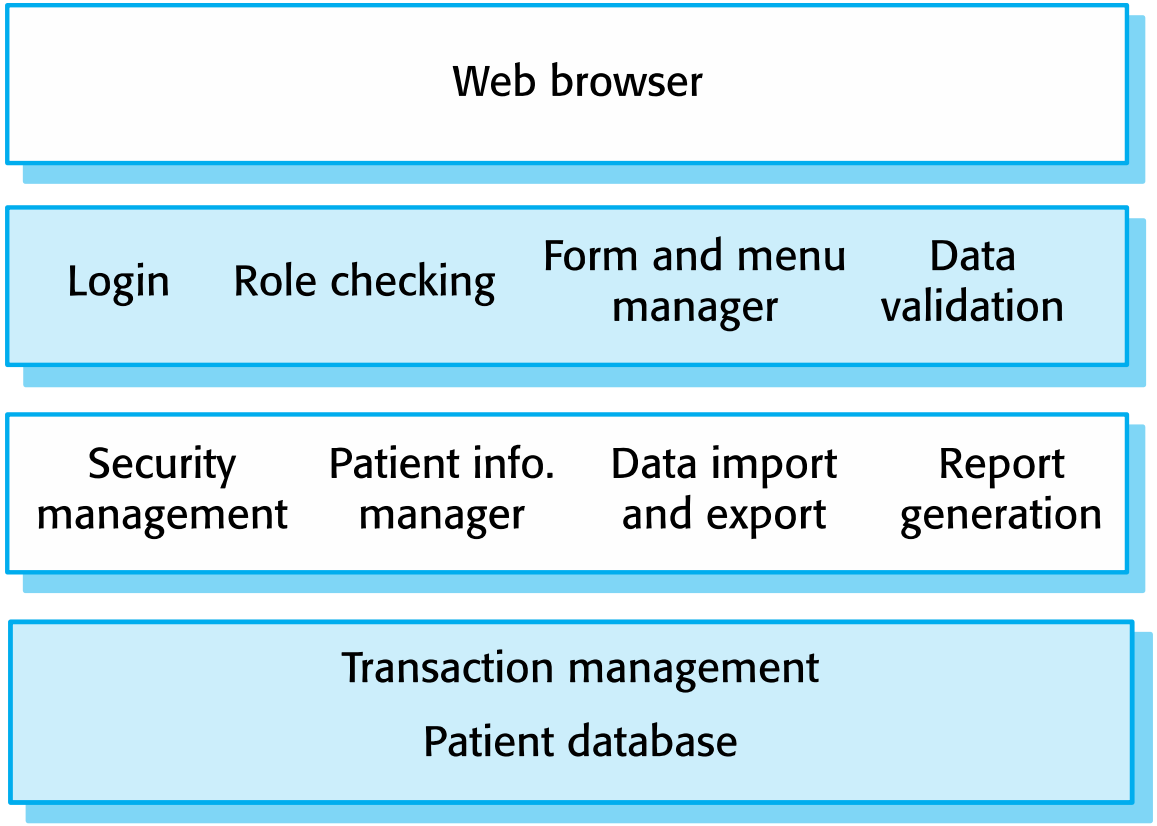
Information systems architecture

- Information systems have a generic architecture that can be organised as a layered architecture.
- These are transaction-based systems as interaction with these systems generally involves database transactions.
- Layers include:
  - The user interface
  - User communications
  - Information retrieval
  - System database

Layered information system architecture



The architecture of the Mentcare system



Web-based information systems

- Information and resource management systems are now usually web-based systems where the user interfaces are implemented using a web browser.
- For example, e-commerce systems are Internet-based resource management systems that accept electronic orders for goods or services and then arrange delivery of these goods or services to the customer.
- In an e-commerce system, the application-specific layer includes additional functionality supporting a ‘shopping cart’ in which users can place a number of items in separate transactions, then pay for them all together in a single transaction.

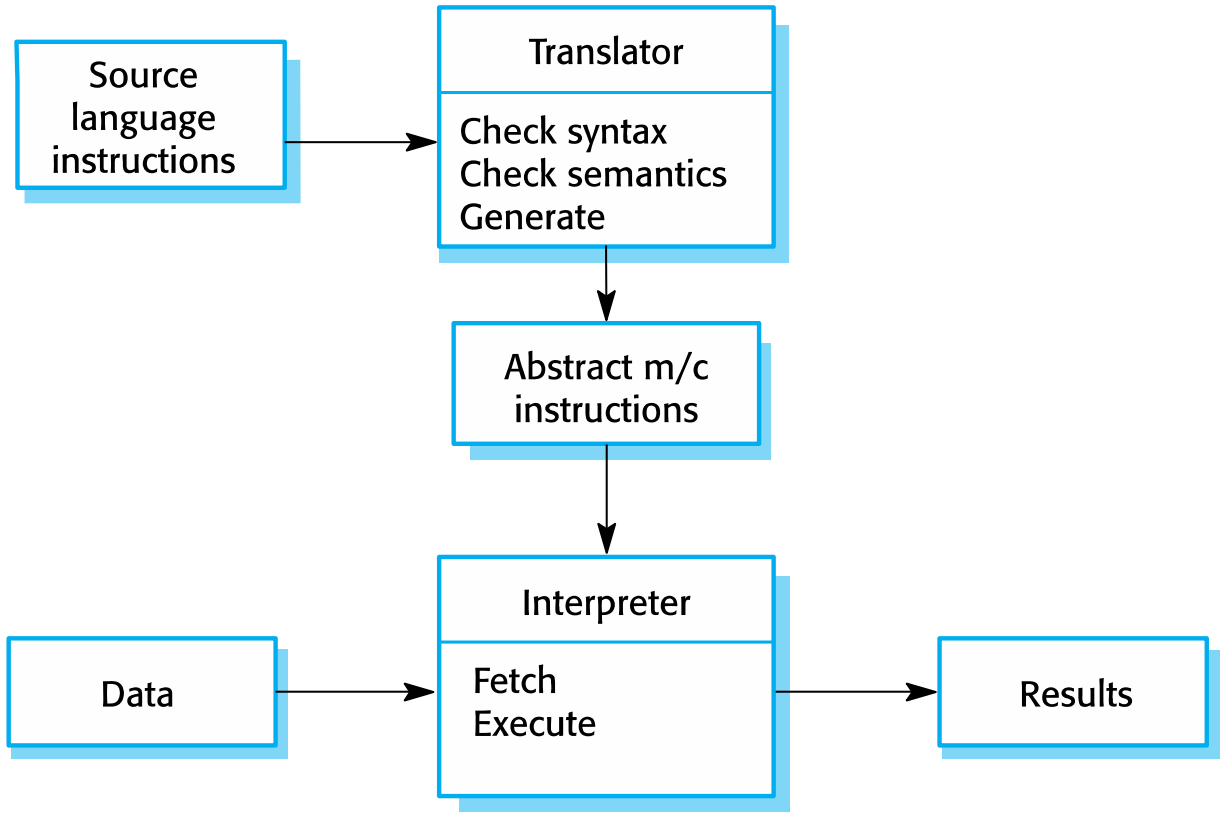
Server implementation

- These systems are often implemented as multi-tier client server/architectures (discussed in Chapter 17)
  - The web server is responsible for all user communications, with the user interface implemented using a web browser;
  - The application server is responsible for implementing application-specific logic as well as information storage and retrieval requests;
  - The database server moves information to and from the database and handles transaction management.

Language processing systems

- Accept a natural or artificial language as input and generate some other representation of that language.
- May include an interpreter to act on the instructions in the language that is being processed.
- Used in situations where the easiest way to solve a problem is to describe an algorithm or describe the system data
  - Meta-case tools process tool descriptions, method rules, etc and generate tools.

The architecture of a language processing system



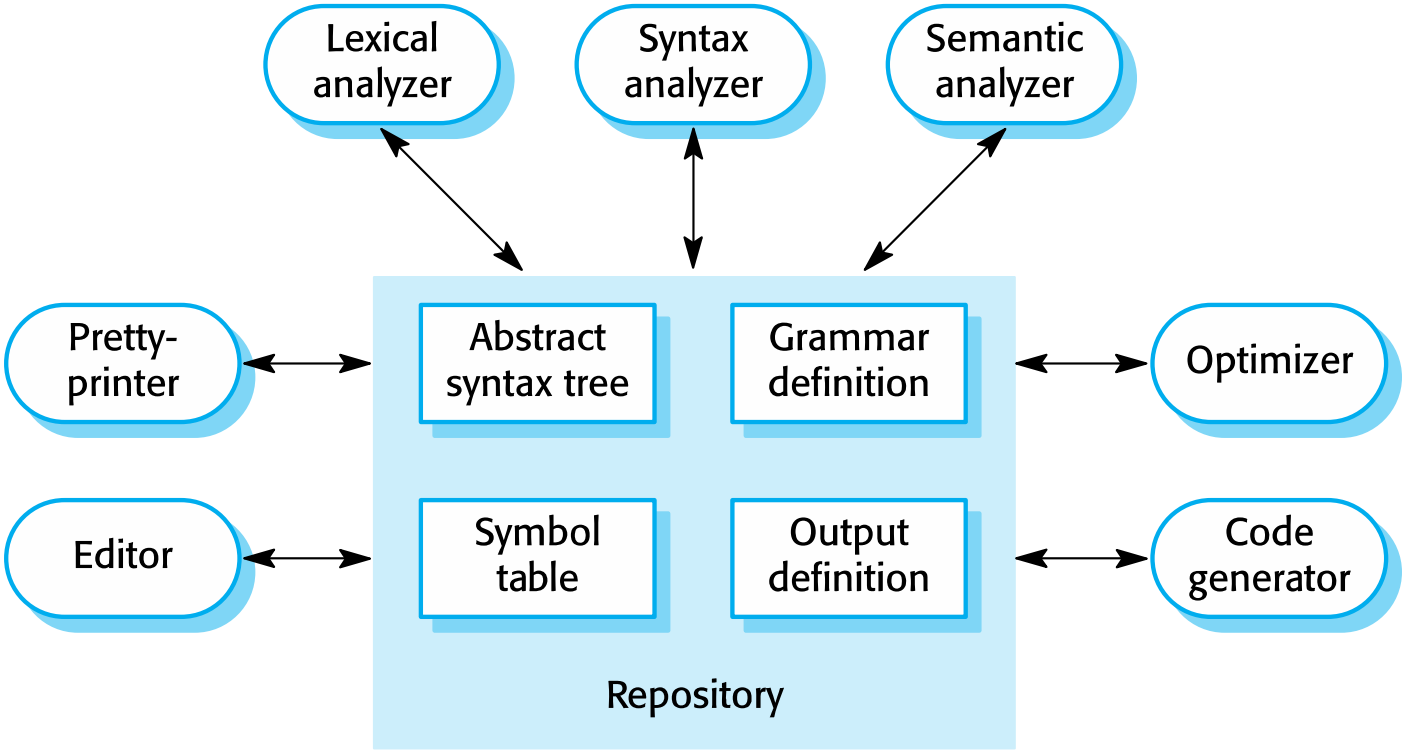
Compiler components

- A lexical analyzer, which takes input language tokens and converts them to an internal form.
- A symbol table, which holds information about the names of entities (variables, class names, object names, etc.) used in the text that is being translated.
- A syntax analyzer, which checks the syntax of the language being translated.
- A syntax tree, which is an internal structure representing the program being compiled.

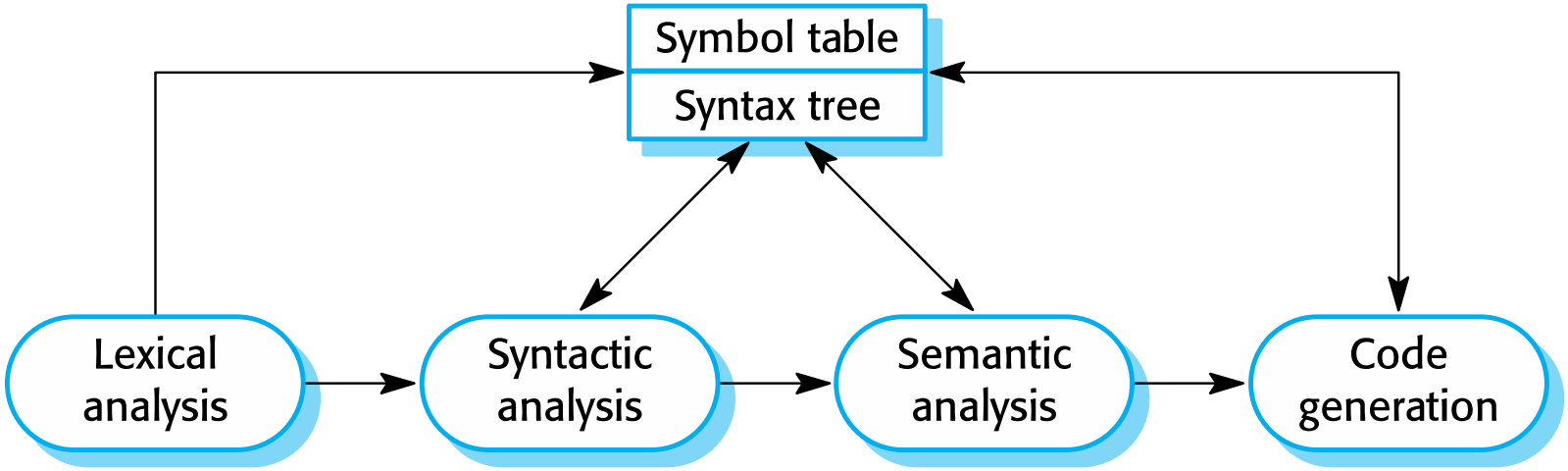
Compiler components

- A semantic analyzer that uses information from the syntax tree and the symbol table to check the semantic correctness of the input language text.
- A code generator that ‘walks’ the syntax tree and generates abstract machine code.

A repository architecture for a language processing system



A pipe and filter compiler architecture



Key points

- A software architecture is a description of how a software system is organized.
- Architectural design decisions include decisions on the type of application, the distribution of the system, the architectural styles to be used.
- Architectures may be documented from several different perspectives or views such as a conceptual view, a logical view, a process view, and a development view.
- Architectural patterns are a means of reusing knowledge about generic system architectures. They describe the architecture, explain when it may be used and describe its advantages and disadvantages.

Key points

- Models of application systems architectures help us understand and compare applications, validate application system designs and assess large-scale components for reuse.
- Transaction processing systems are interactive systems that allow information in a database to be remotely accessed and modified by a number of users.
- Language processing systems are used to translate texts from one language into another and to carry out the instructions specified in the input language. They include a translator and an abstract machine that executes the generated language.

Chapter 7 – Design and Implementation

Topics covered

- ✧ Object-oriented design using the UML
- ✧ Design patterns
- ✧ Implementation issues
- ✧ Open source development

Design and implementation

- Software design and implementation is the stage in the software engineering process at which an executable software system is developed.
- Software design and implementation activities are invariably inter-leaved.
  - Software design is a creative activity in which you identify software components and their relationships, based on a customer's requirements.
  - Implementation is the process of realizing the design as a program.

Build or buy

- In a wide range of domains, it is now possible to buy off-the-shelf systems (COTS) that can be adapted and tailored to the users' requirements.
  - For example, if you want to implement a medical records system, you can buy a package that is already used in hospitals. It can be cheaper and faster to use this approach rather than developing a system in a conventional programming language.
- When you develop an application in this way, the design process becomes concerned with how to use the configuration features of that system to deliver the system requirements.

Object-oriented design using the UML

An object-oriented design process

- Structured object-oriented design processes involve developing a number of different system models.
- They require a lot of effort for development and maintenance of these models and, for small systems, this may not be cost-effective.
- However, for large systems developed by different groups design models are an important communication mechanism.

Process stages

- There are a variety of different object-oriented design processes that depend on the organization using the process.
- Common activities in these processes include:
  - Define the context and modes of use of the system;
  - Design the system architecture;
  - Identify the principal system objects;
  - Develop design models;
  - Specify object interfaces.
- Process illustrated here using a design for a wilderness weather station.

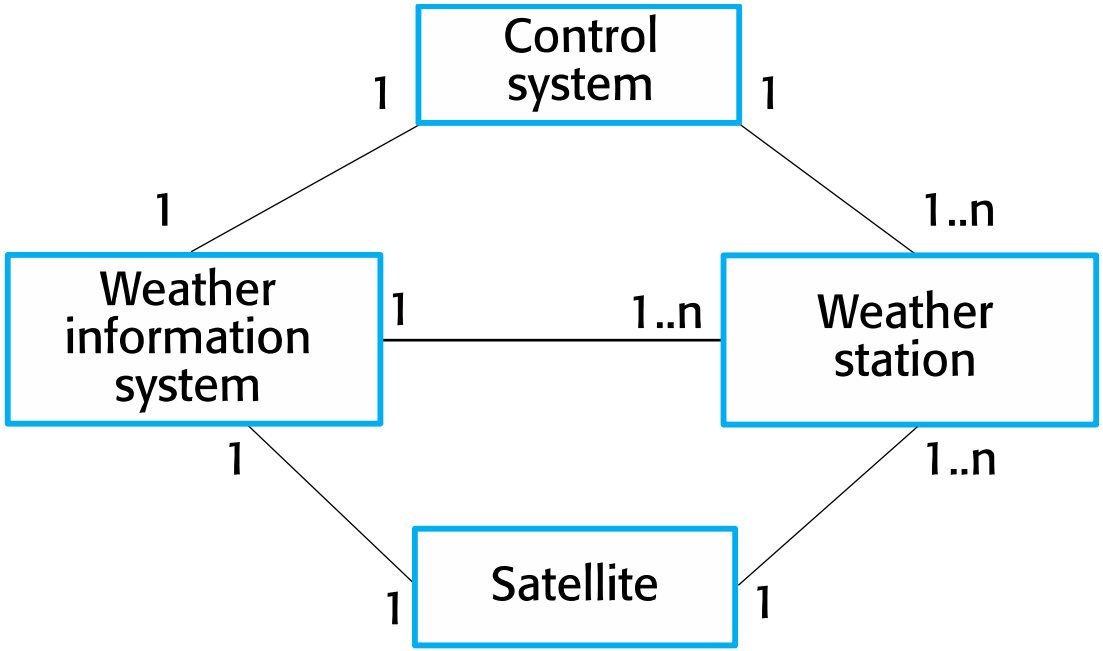
System context and interactions

- Understanding the relationships between the software that is being designed and its external environment is essential for deciding how to provide the required system functionality and how to structure the system to communicate with its environment.
- Understanding of the context also lets you establish the boundaries of the system. Setting the system boundaries helps you decide what features are implemented in the system being designed and what features are in other associated systems.

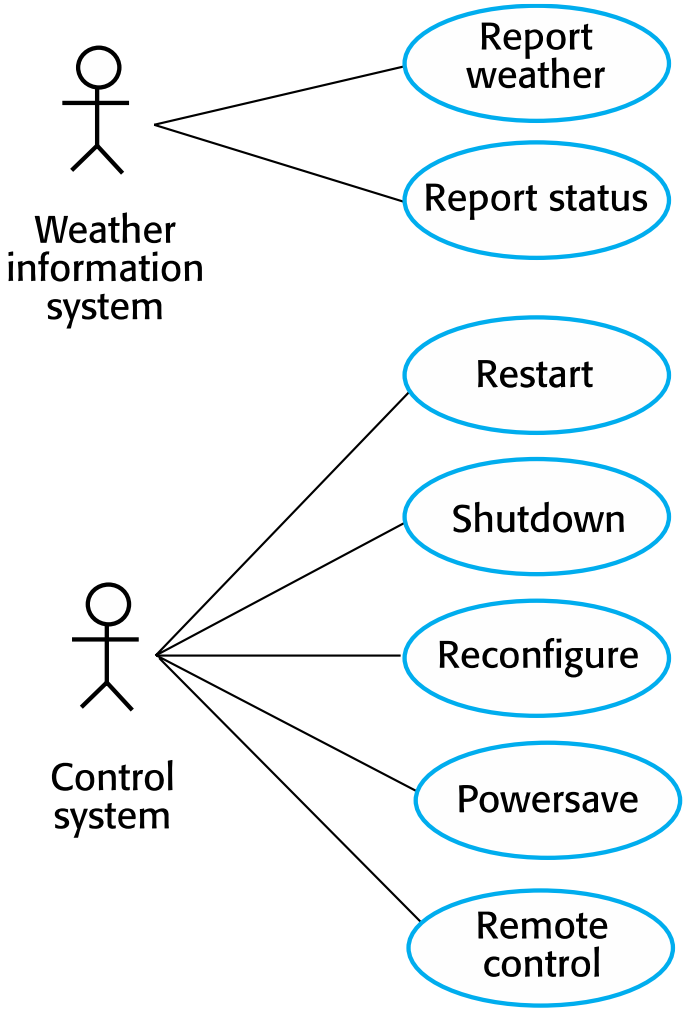
Context and interaction models

- A system context model is a structural model that demonstrates the other systems in the environment of the system being developed.
- An interaction model is a dynamic model that shows how the system interacts with its environment as it is used.

System context for the weather station



Weather station use cases



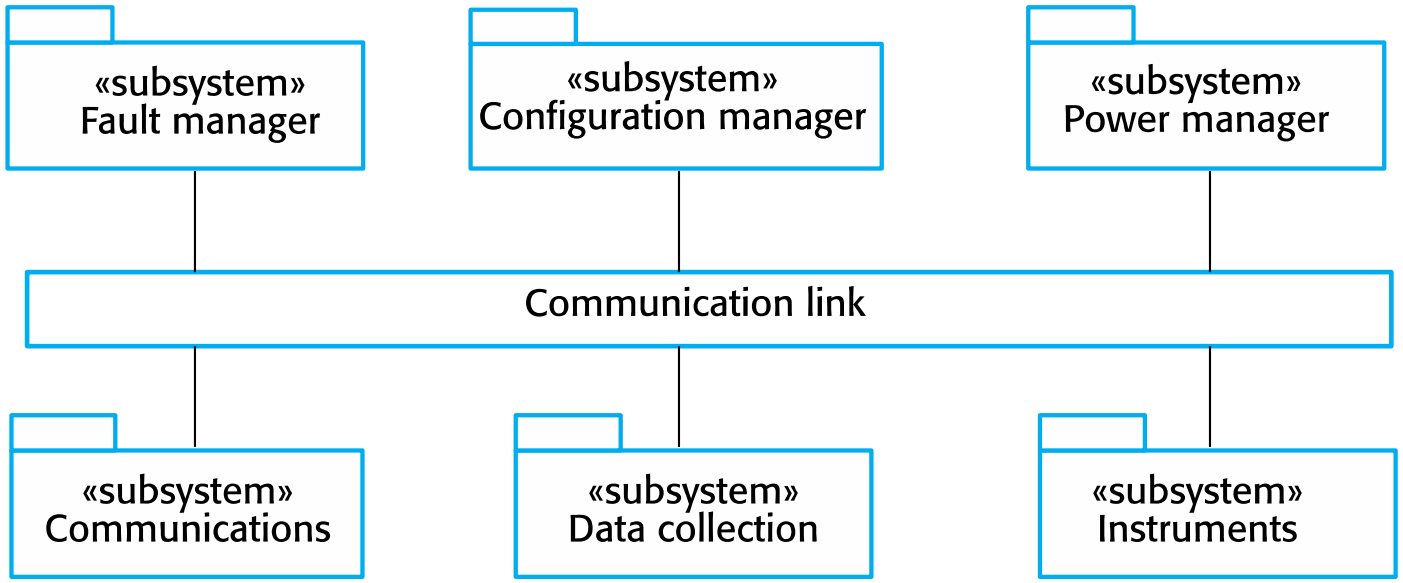
Use case description—Report weather

System	Weather station
Use case	Report weather
Actors	Weather information system, Weather station
Description	The weather station sends a summary of the weather data that has been collected from the instruments in the collection period to the weather information system. The data sent are the maximum, minimum, and average ground and air temperatures; the maximum, minimum, and average air pressures; the maximum, minimum, and average wind speeds; the total rainfall; and the wind direction as sampled at five-minute intervals.
Stimulus	The weather information system establishes a satellite communication link with the weather station and requests transmission of the data.
Response	The summarized data is sent to the weather information system.
Comments	Weather stations are usually asked to report once per hour but this frequency may differ from one station to another and may be modified in the future.

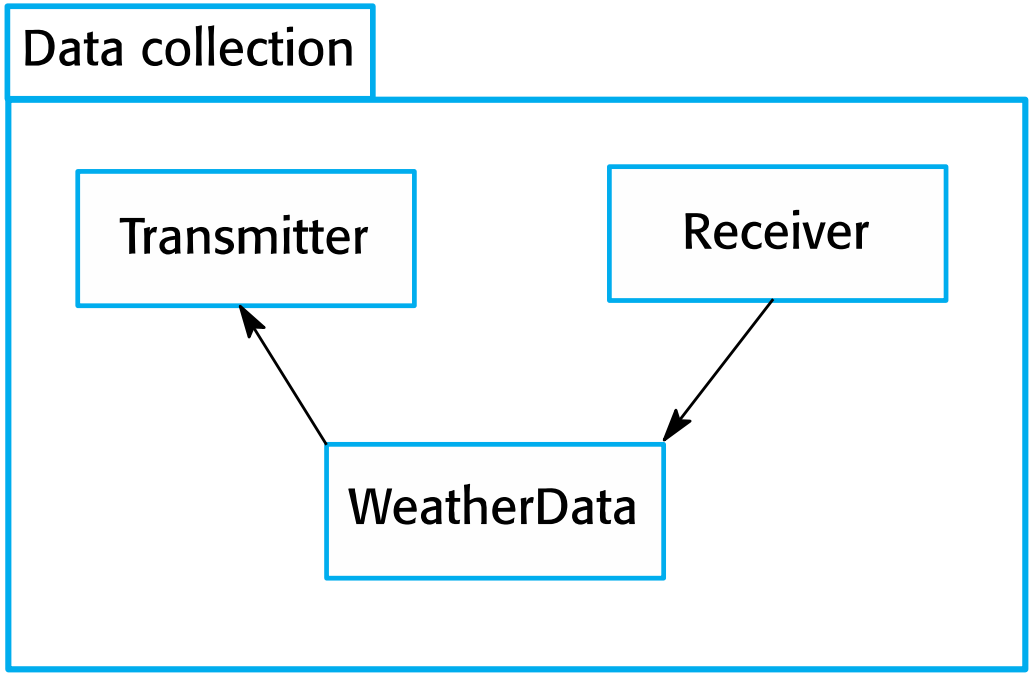
Architectural design

- Once interactions between the system and its environment have been understood, you use this information for designing the system architecture.
- You identify the major components that make up the system and their interactions, and then may organize the components using an architectural pattern such as a layered or client-server model.
- The weather station is composed of independent subsystems that communicate by broadcasting messages on a common infrastructure.

High-level architecture of the weather station



Architecture of data collection system



Object class identification

- Identifying object classes is often a difficult part of object oriented design.
- There is no 'magic formula' for object identification. It relies on the skill, experience and domain knowledge of system designers.
- Object identification is an iterative process. You are unlikely to get it right first time.

Approaches to identification

- Use a grammatical approach based on a natural language description of the system.
- Base the identification on tangible things in the application domain.
- Use a behavioural approach and identify objects based on what participates in what behaviour.
- Use a scenario-based analysis. The objects, attributes and methods in each scenario are identified.

Weather station object classes

- Object class identification in the weather station system may be based on the tangible hardware and data in the system:
  - Ground thermometer, Anemometer, Barometer
    - Application domain objects that are 'hardware' objects related to the instruments in the system.
  - Weather station
    - The basic interface of the weather station to its environment. It therefore reflects the interactions identified in the use-case model.
  - Weather data
    - Encapsulates the summarized data from the instruments.

Weather station object classes

reportWeather ( )
reportStatus ( )
powerSave (instruments)
remoteControl (commands)
reconfigure (commands)
restart (instruments)
shutdown (instruments)

groundTemperatures
windSpeeds
windDirections
pressures
rainfall

collect ( )
summarize ( )

Ground thermometer

gt_Ident
temperature

Anemometer

an_Ident
windSpeed
windDirection

Barometer

bar_Ident
pressure
height

Design models

- Design models show the objects and object classes and relationships between these entities.
- There are two kinds of design model:
  - Structural models describe the static structure of the system in terms of object classes and relationships.
  - Dynamic models describe the dynamic interactions between objects.

Examples of design models

- Subsystem models that show logical groupings of objects into coherent subsystems.
- Sequence models that show the sequence of object interactions.
- State machine models that show how individual objects change their state in response to events.
- Other models include use-case models, aggregation models, generalisation models, etc.

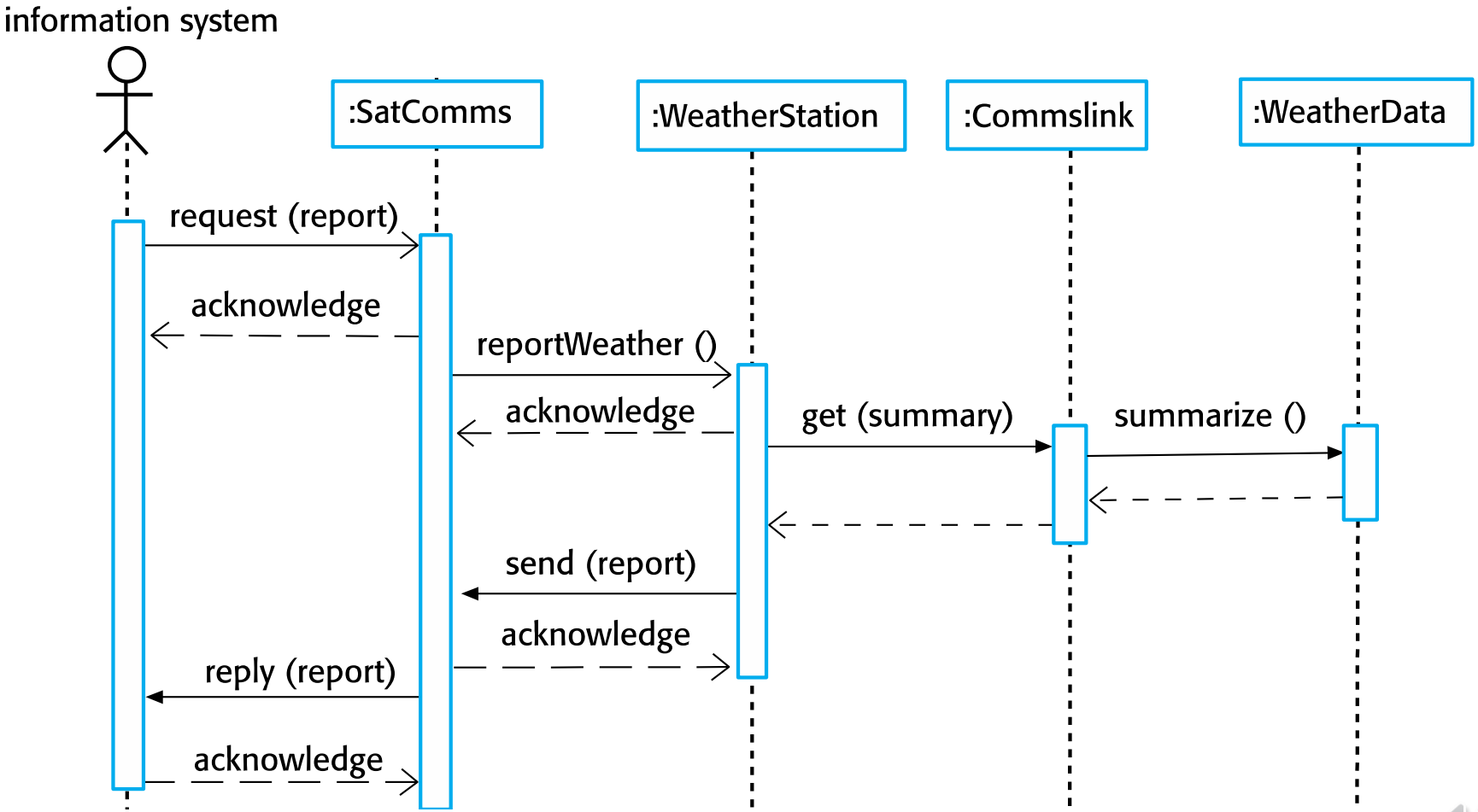
Subsystem models

- Shows how the design is organised into logically related groups of objects.
- In the UML, these are shown using packages - an encapsulation construct. This is a logical model. The actual organisation of objects in the system may be different.

Sequence models

- Sequence models show the sequence of object interactions that take place
  - Objects are arranged horizontally across the top;
  - Time is represented vertically so models are read top to bottom;
  - Interactions are represented by labelled arrows, Different styles of arrow represent different types of interaction;
  - A thin rectangle in an object lifeline represents the time when the object is the controlling object in the system.

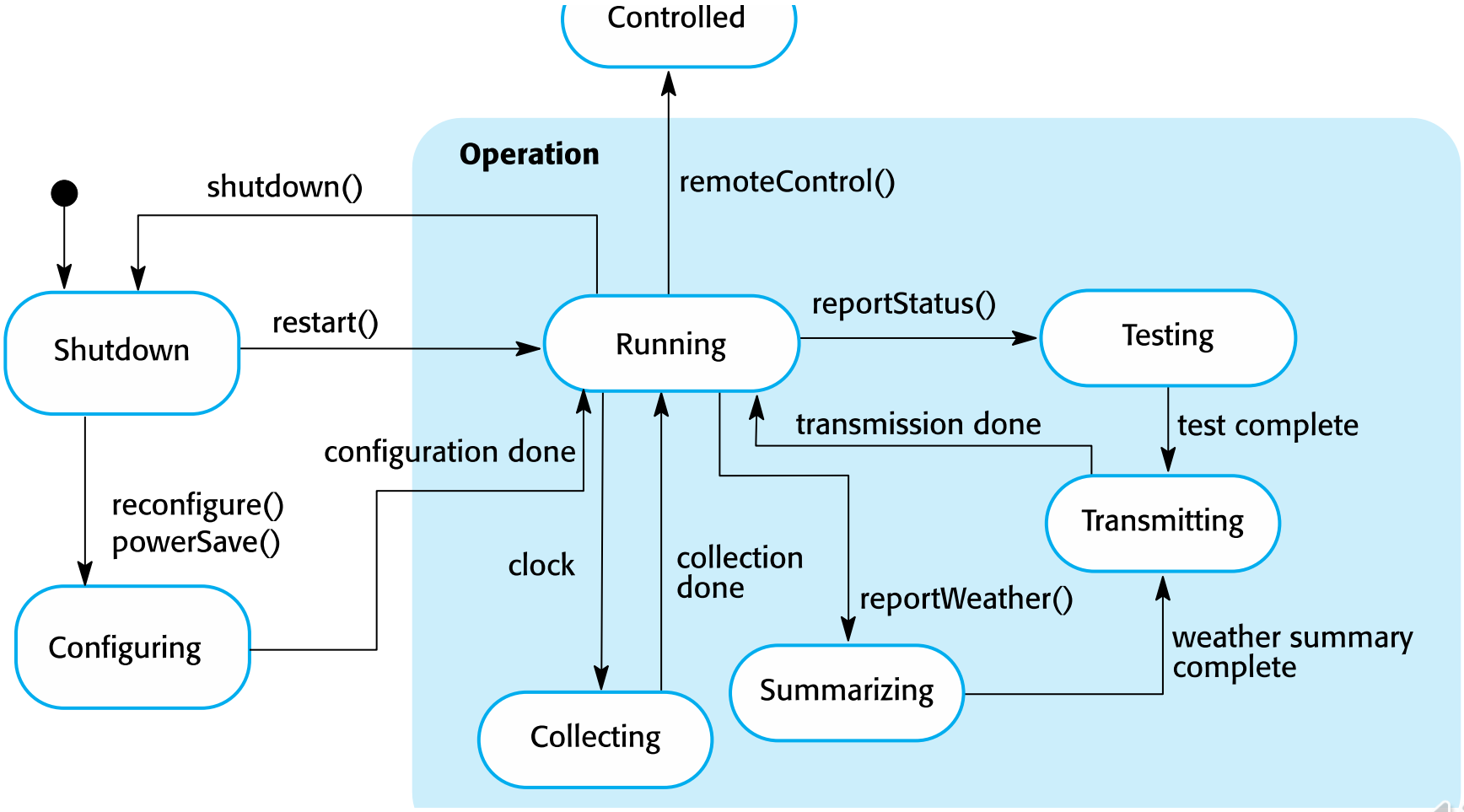
Sequence diagram describing data collection



State diagrams

- State diagrams are used to show how objects respond to different service requests and the state transitions triggered by these requests.
- State diagrams are useful high-level models of a system or an object's run-time behavior.
- You don't usually need a state diagram for all of the objects in the system. Many of the objects in a system are relatively simple and a state model adds unnecessary detail to the design.

Weather station state diagram



Interface specification

- Object interfaces have to be specified so that the objects and other components can be designed in parallel.
- Designers should avoid designing the interface representation but should hide this in the object itself.
- Objects may have several interfaces which are viewpoints on the methods provided.
- The UML uses class diagrams for interface specification but Java may also be used.

Weather station interfaces

«interface» Reporting
weatherReport (WS-Ident): Wreport statusReport (WS-Ident): Sreport

«interface» Remote Control
startInstrument(instrument): iStatus stopInstrument (instrument): iStatus collectData (instrument): iStatus provideData (instrument): string

Design patterns

Design patterns

- A design pattern is a way of reusing abstract knowledge about a problem and its solution.
- A pattern is a description of the problem and the essence of its solution.
- It should be sufficiently abstract to be reused in different settings.
- Pattern descriptions usually make use of object-oriented characteristics such as inheritance and polymorphism.

Patterns

- *Patterns and Pattern Languages are ways to describe best practices, good designs, and capture experience in a way that it is possible for others to reuse this experience.*

Pattern elements

- ✧ Name
  - A meaningful pattern identifier.
- ✧ Problem description.
- ✧ Solution description.
  - Not a concrete design but a template for a design solution that can be instantiated in different ways.
- ✧ Consequences
  - The results and trade-offs of applying the pattern.

The Observer pattern

- Name
  - Observer.
- Description
  - Separates the display of object state from the object itself.
- Problem description
  - Used when multiple displays of state are needed.
- Solution description
  - See slide with UML description.
- Consequences
  - Optimisations to enhance display performance are impractical.

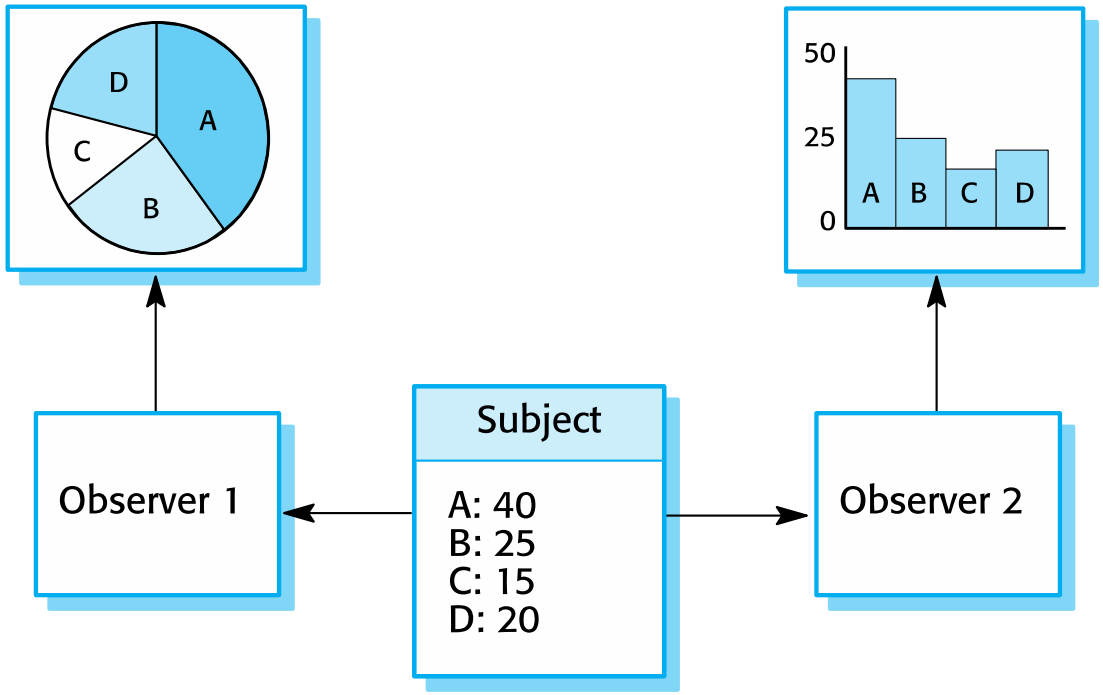
The Observer pattern (1)

Pattern name	Observer
Description	Separates the display of the state of an object from the object itself and allows alternative displays to be provided. When the object state changes, all displays are automatically notified and updated to reflect the change.
Problem description	In many situations, you have to provide multiple displays of state information, such as a graphical display and a tabular display. Not all of these may be known when the information is specified. All alternative presentations should support interaction and, when the state is changed, all displays must be updated. This pattern may be used in all situations where more than one display format for state information is required and where it is not necessary for the object that maintains the state information to know about the specific display formats used.

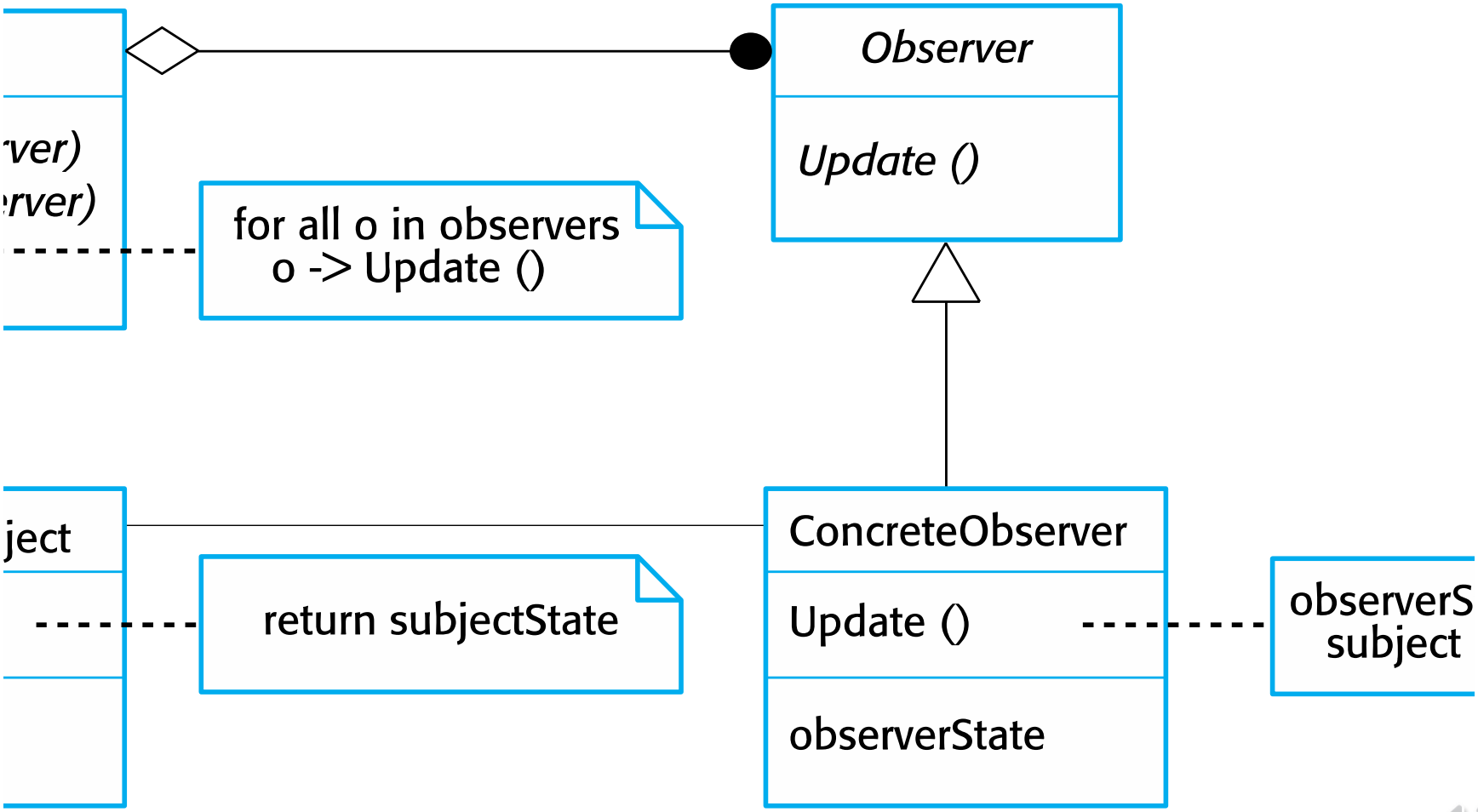
The Observer pattern (2)

Pattern name	Observer
Solution description	This involves two abstract objects, Subject and Observer, and two concrete objects, ConcreteSubject and ConcreteObject, which inherit the attributes of the related abstract objects. The abstract objects include general operations that are applicable in all situations. The state to be displayed is maintained in ConcreteSubject, which inherits operations from Subject allowing it to add and remove Observers (each observer corresponds to a display) and to issue a notification when the state has changed. The ConcreteObserver maintains a copy of the state of ConcreteSubject and implements the Update() interface of Observer that allows these copies to be kept in step. The ConcreteObserver automatically displays the state and reflects changes whenever the state is updated.
Consequences	The subject only knows the abstract Observer and does not know details of the concrete class. Therefore there is minimal coupling between these objects. Because of this lack of knowledge, optimizations that enhance display performance are impractical. Changes to the subject may cause a set of linked updates to observers to be generated, some of which may not be necessary.

Multiple displays using the Observer pattern



A UML model of the Observer pattern



Design problems

- To use patterns in your design, you need to recognize that any design problem you are facing may have an associated pattern that can be applied.
  - Tell several objects that the state of some other object has changed (Observer pattern).
  - Tidy up the interfaces to a number of related objects that have often been developed incrementally (Façade pattern).
  - Provide a standard way of accessing the elements in a collection, irrespective of how that collection is implemented (Iterator pattern).
  - Allow for the possibility of extending the functionality of an existing class at run-time (Decorator pattern).

Implementation issues

Implementation issues

- Focus here is not on programming, although this is obviously important, but on other implementation issues that are often not covered in programming texts:
  - Reuse Most modern software is constructed by reusing existing components or systems. When you are developing software, you should make as much use as possible of existing code.
  - Configuration management During the development process, you have to keep track of the many different versions of each software component in a configuration management system.
  - Host-target development Production software does not usually execute on the same computer as the software development environment. Rather, you develop it on one computer (the host system) and execute it on a separate computer (the target system).

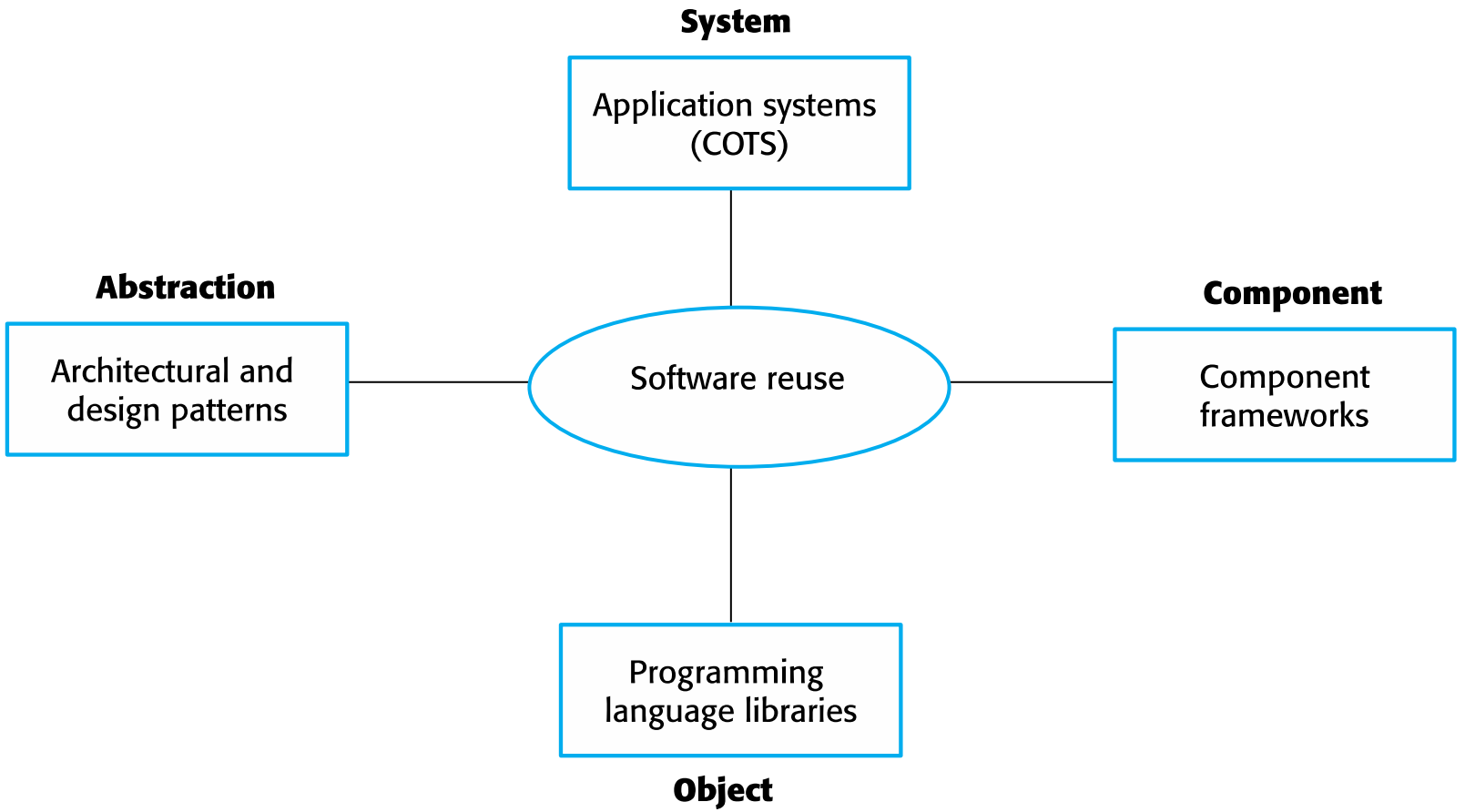
Reuse

- From the 1960s to the 1990s, most new software was developed from scratch, by writing all code in a high-level programming language.
  - The only significant reuse or software was the reuse of functions and objects in programming language libraries.
- Costs and schedule pressure mean that this approach became increasingly unviable, especially for commercial and Internet-based systems.
- An approach to development based around the reuse of existing software emerged and is now generally used for business and scientific software.

Reuse levels

- The abstraction level
  - At this level, you don't reuse software directly but use knowledge of successful abstractions in the design of your software.
- The object level
  - At this level, you directly reuse objects from a library rather than writing the code yourself.
- The component level
  - Components are collections of objects and object classes that you reuse in application systems.
- The system level
  - At this level, you reuse entire application systems.

Software reuse



Reuse costs

- The costs of the time spent in looking for software to reuse and assessing whether or not it meets your needs.
- Where applicable, the costs of buying the reusable software. For large off-the-shelf systems, these costs can be very high.
- The costs of adapting and configuring the reusable software components or systems to reflect the requirements of the system that you are developing.
- The costs of integrating reusable software elements with each other (if you are using software from different sources) and with the new code that you have developed.

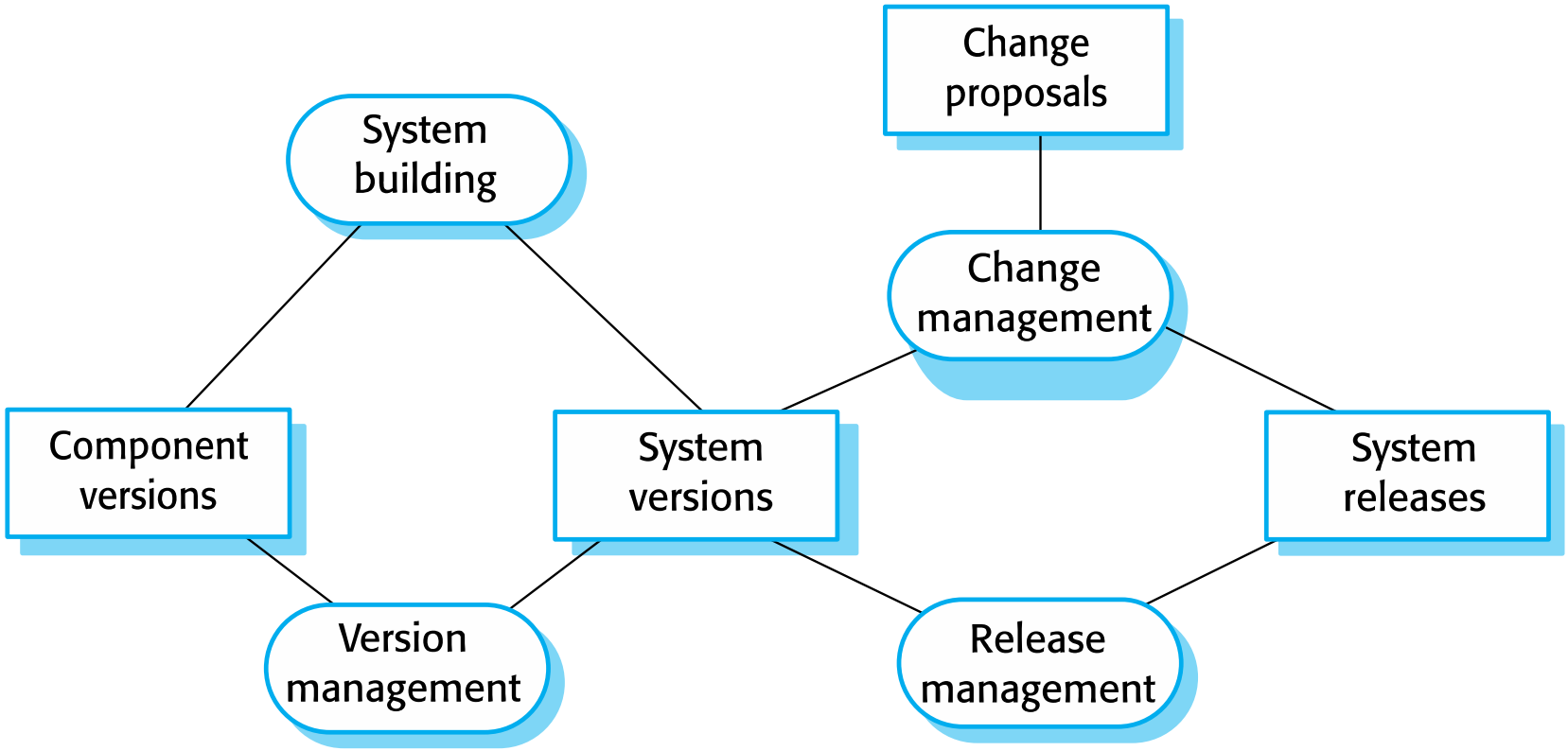
Configuration management

- Configuration management is the name given to the general process of managing a changing software system.
- The aim of configuration management is to support the system integration process so that all developers can access the project code and documents in a controlled way, find out what changes have been made, and compile and link components to create a system.
- See also Chapter 25.

Configuration management activities

- Version management, where support is provided to keep track of the different versions of software components. Version management systems include facilities to coordinate development by several programmers.
- System integration, where support is provided to help developers define what versions of components are used to create each version of a system. This description is then used to build a system automatically by compiling and linking the required components.
- Problem tracking, where support is provided to allow users to report bugs and other problems, and to allow all developers to see who is working on these problems and when they are fixed.

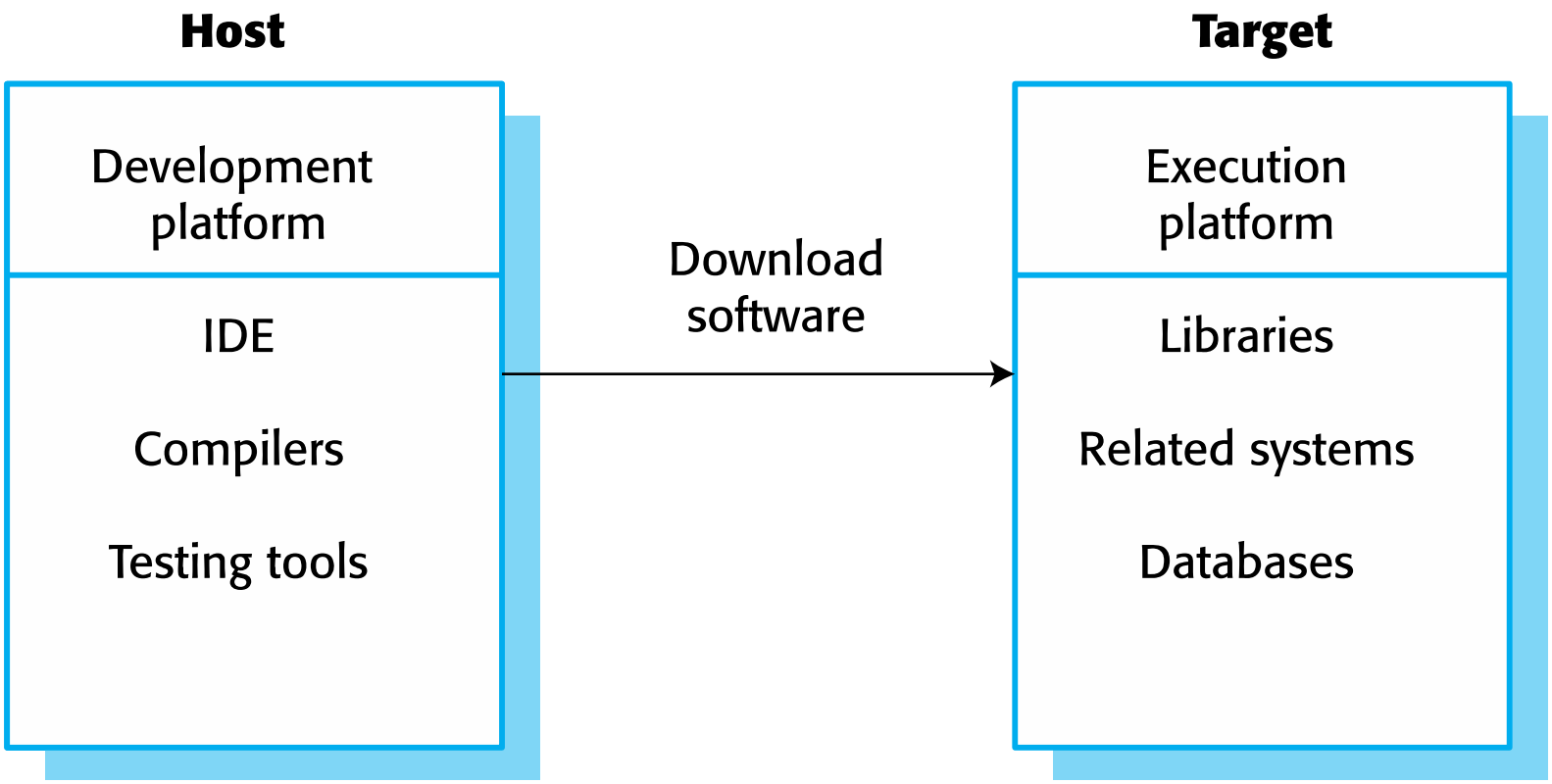
Configuration management tool interaction



Host-target development

- Most software is developed on one computer (the host), but runs on a separate machine (the target).
- More generally, we can talk about a development platform and an execution platform.
  - A platform is more than just hardware.
  - It includes the installed operating system plus other supporting software such as a database management system or, for development platforms, an interactive development environment.
- Development platform usually has different installed software than execution platform; these platforms may have different architectures.

Host-target development



Development platform tools

- An integrated compiler and syntax-directed editing system that allows you to create, edit and compile code.
- A language debugging system.
- Graphical editing tools, such as tools to edit UML models.
- Testing tools, such as Junit that can automatically run a set of tests on a new version of a program.
- Project support tools that help you organize the code for different development projects.

Integrated development environments (IDEs)

- Software development tools are often grouped to create an integrated development environment (IDE).
- An IDE is a set of software tools that supports different aspects of software development, within some common framework and user interface.
- IDEs are created to support development in a specific programming language such as Java. The language IDE may be developed specially, or may be an instantiation of a general-purpose IDE, with specific language-support tools.

Component/system deployment factors

- If a component is designed for a specific hardware architecture, or relies on some other software system, it must obviously be deployed on a platform that provides the required hardware and software support.
- High availability systems may require components to be deployed on more than one platform. This means that, in the event of platform failure, an alternative implementation of the component is available.
- If there is a high level of communications traffic between components, it usually makes sense to deploy them on the same platform or on platforms that are physically close to one other. This reduces the delay between the time a message is sent by one component and received by another.

Open source development

Open source development

- Open source development is an approach to software development in which the source code of a software system is published and volunteers are invited to participate in the development process
- Its roots are in the Free Software Foundation (www.fsf.org), which advocates that source code should not be proprietary but rather should always be available for users to examine and modify as they wish.
- Open source software extended this idea by using the Internet to recruit a much larger population of volunteer developers. Many of them are also users of the code.

Open source systems

- The best-known open source product is, of course, the Linux operating system which is widely used as a server system and, increasingly, as a desktop environment.
- Other important open source products are Java, the Apache web server and the mySQL database management system.

Open source issues

- Should the product that is being developed make use of open source components?
- Should an open source approach be used for the software's development?

Open source business

- More and more product companies are using an open source approach to development.
- Their business model is not reliant on selling a software product but on selling support for that product.
- They believe that involving the open source community will allow software to be developed more cheaply, more quickly and will create a community of users for the software.

Open source licensing

- A fundamental principle of open-source development is that source code should be freely available, this does not mean that anyone can do as they wish with that code.
  - Legally, the developer of the code (either a company or an individual) still owns the code. They can place restrictions on how it is used by including legally binding conditions in an open source software license.
  - Some open source developers believe that if an open source component is used to develop a new system, then that system should also be open source.
  - Others are willing to allow their code to be used without this restriction. The developed systems may be proprietary and sold as closed source systems.

License models

- The GNU General Public License (GPL). This is a so-called 'reciprocal' license that means that if you use open source software that is licensed under the GPL license, then you must make that software open source.
- The GNU Lesser General Public License (LGPL) is a variant of the GPL license where you can write components that link to open source code without having to publish the source of these components.
- The Berkley Standard Distribution (BSD) License. This is a non-reciprocal license, which means you are not obliged to re-publish any changes or modifications made to open source code. You can include the code in proprietary systems that are sold.

License management

- Establish a system for maintaining information about open-source components that are downloaded and used.
- Be aware of the different types of licenses and understand how a component is licensed before it is used.
- Be aware of evolution pathways for components.
- Educate people about open source.
- Have auditing systems in place.
- Participate in the open source community.

Key points

- Software design and implementation are inter-leaved activities. The level of detail in the design depends on the type of system and whether you are using a plan-driven or agile approach.
- The process of object-oriented design includes activities to design the system architecture, identify objects in the system, describe the design using different object models and document the component interfaces.
- A range of different models may be produced during an object-oriented design process. These include static models (class models, generalization models, association models) and dynamic models (sequence models, state machine models).
- Component interfaces must be defined precisely so that other objects can use them. A UML interface stereotype may be used to define interfaces.

Key points

- When developing software, you should always consider the possibility of reusing existing software, either as components, services or complete systems.
- Configuration management is the process of managing changes to an evolving software system. It is essential when a team of people are cooperating to develop software.
- Most software development is host-target development. You use an IDE on a host machine to develop the software, which is transferred to a target machine for execution.
- Open source development involves making the source code of a system publicly available. This means that many people can propose changes and improvements to the software.

Artifact Traceability

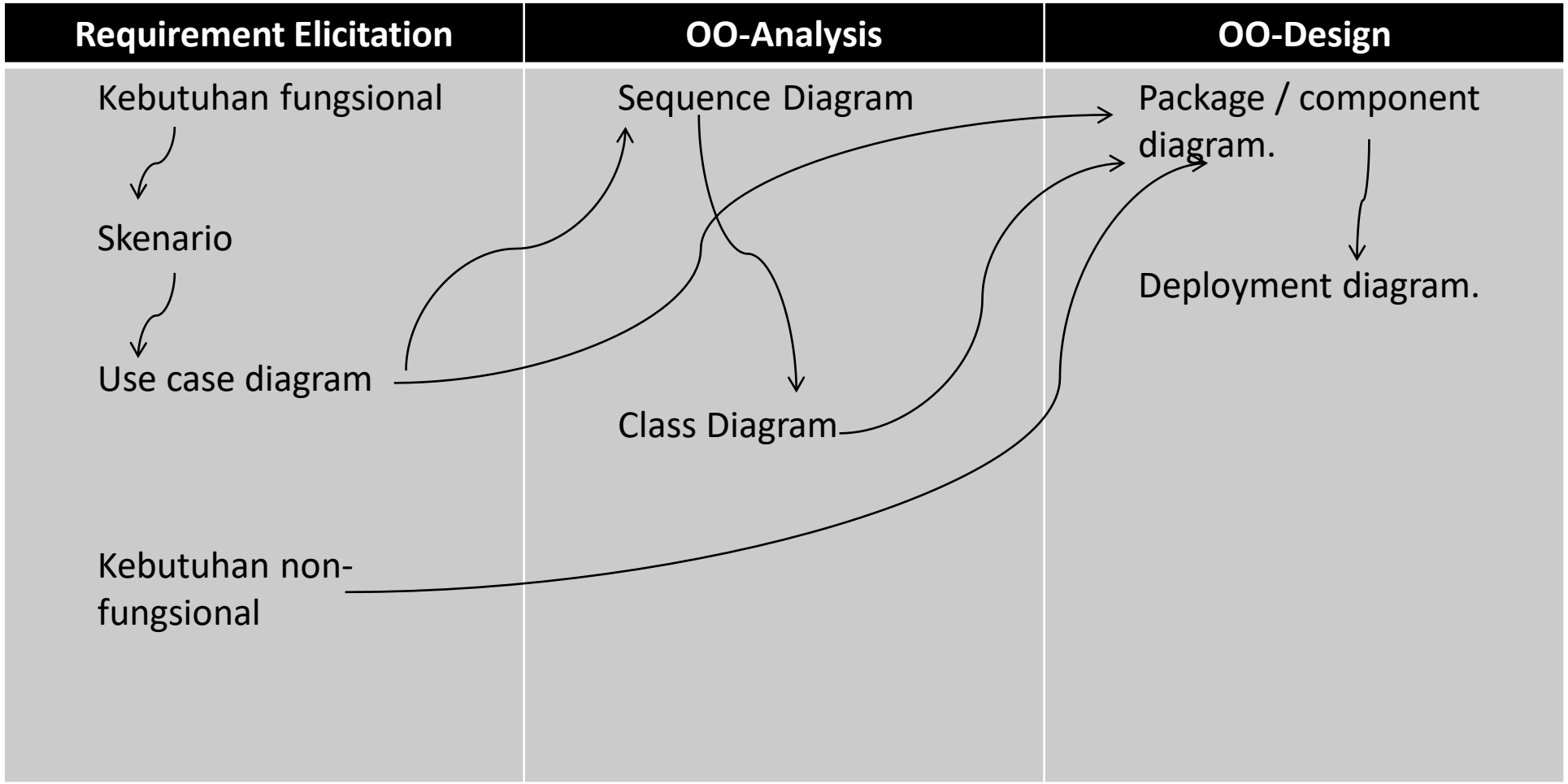
Agenda

- Artifact Traceability (AT)
- AT untuk OO
- AT untuk Konvensional

Artifact Traceability

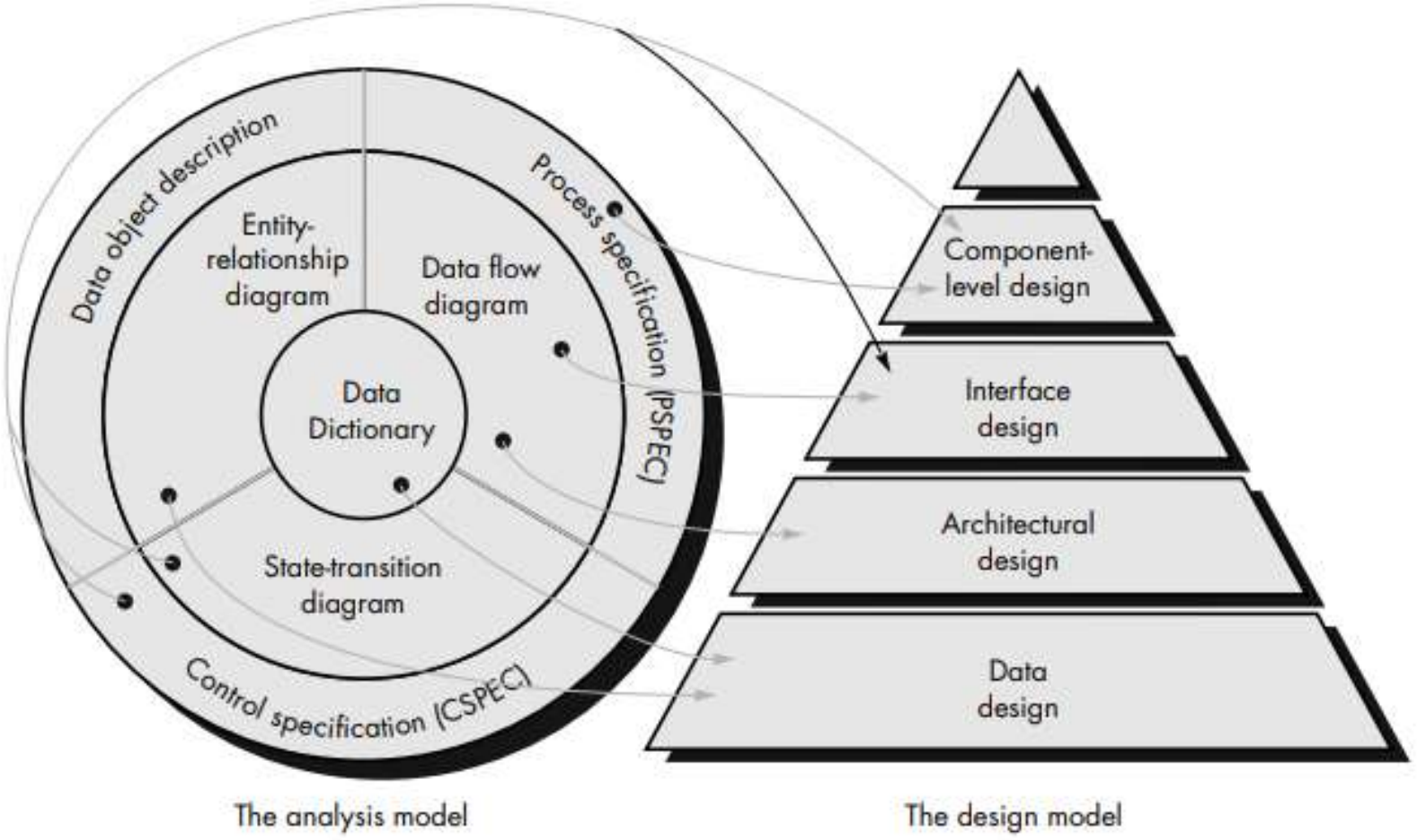
- Setiap fase pengembangan perangkat lunak, menghasilkan artifact.
- Setiap artifact dari satu fase menjadi acuan dari fase yang lainnya.
- Perubahan pada satu artifact akan mempengaruhi artifact yang lainnya.
- Traceability merupakan kempuan artifact yang dibangun untuk dilacak dari dari satu fase ke fase yang lain.

AT untuk OO



Sumber: Bruegge & Dutoit (2010), Object Oriented Software Engineering, Prentice Hall

AT untuk Konvensional



Sumber: Pressman, Software Engineering 5th edition, Prentice Hall

Latihan

- @lihat dokumen

Chapter 8 – Software Testing

Topics covered

- ✧ Development testing
- ✧ Test-driven development
- ✧ Release testing
- ✧ User testing

Program testing

- Testing is intended to show that a program does what it is intended to do and to discover program defects before it is put into use.
- When you test software, you execute a program using artificial data.
- You check the results of the test run for errors, anomalies or information about the program's non-functional attributes.
- Can reveal the presence of errors NOT their absence.
- Testing is part of a more general verification and validation process, which also includes static validation techniques.

Program testing goals

- To demonstrate to the developer and the customer that the software meets its requirements.
  - For custom software, this means that there should be at least one test for every requirement in the requirements document. For generic software products, it means that there should be tests for all of the system features, plus combinations of these features, that will be incorporated in the product release.
- To discover situations in which the behavior of the software is incorrect, undesirable or does not conform to its specification.
  - Defect testing is concerned with rooting out undesirable system behavior such as system crashes, unwanted interactions with other systems, incorrect computations and data corruption.

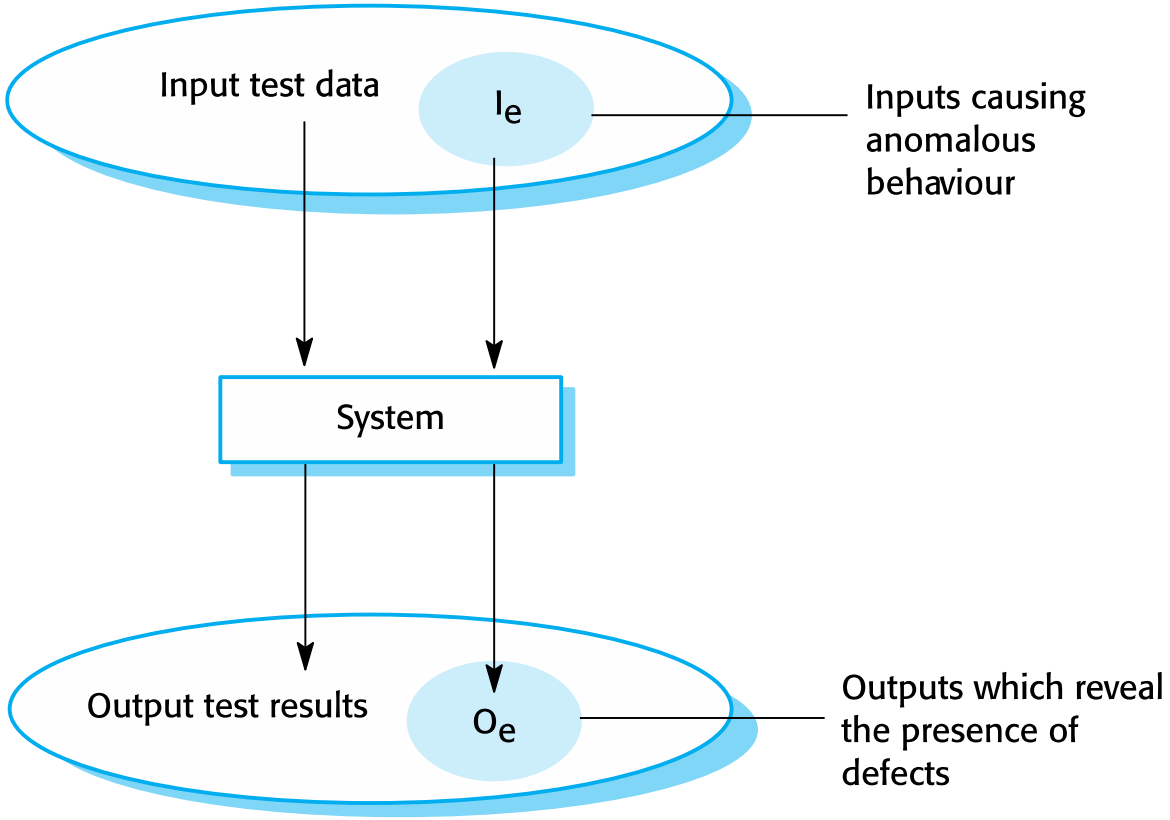
Validation and defect testing

- ✧ The first goal leads to validation testing
  - You expect the system to perform correctly using a given set of test cases that reflect the system's expected use.
- ✧ The second goal leads to defect testing
  - The test cases are designed to expose defects. The test cases in defect testing can be deliberately obscure and need not reflect how the system is normally used.

Testing process goals

- Validation testing
  - To demonstrate to the developer and the system customer that the software meets its requirements
  - A successful test shows that the system operates as intended.
- Defect testing
  - To discover faults or defects in the software where its behaviour is incorrect or not in conformance with its specification
  - A successful test is a test that makes the system perform incorrectly and so exposes a defect in the system.

An input-output model of program testing



Verification vs validation

- Verification:
  "Are we building the product right".
- The software should conform to its specification.
- Validation:
  "Are we building the right product".
- The software should do what the user really requires.

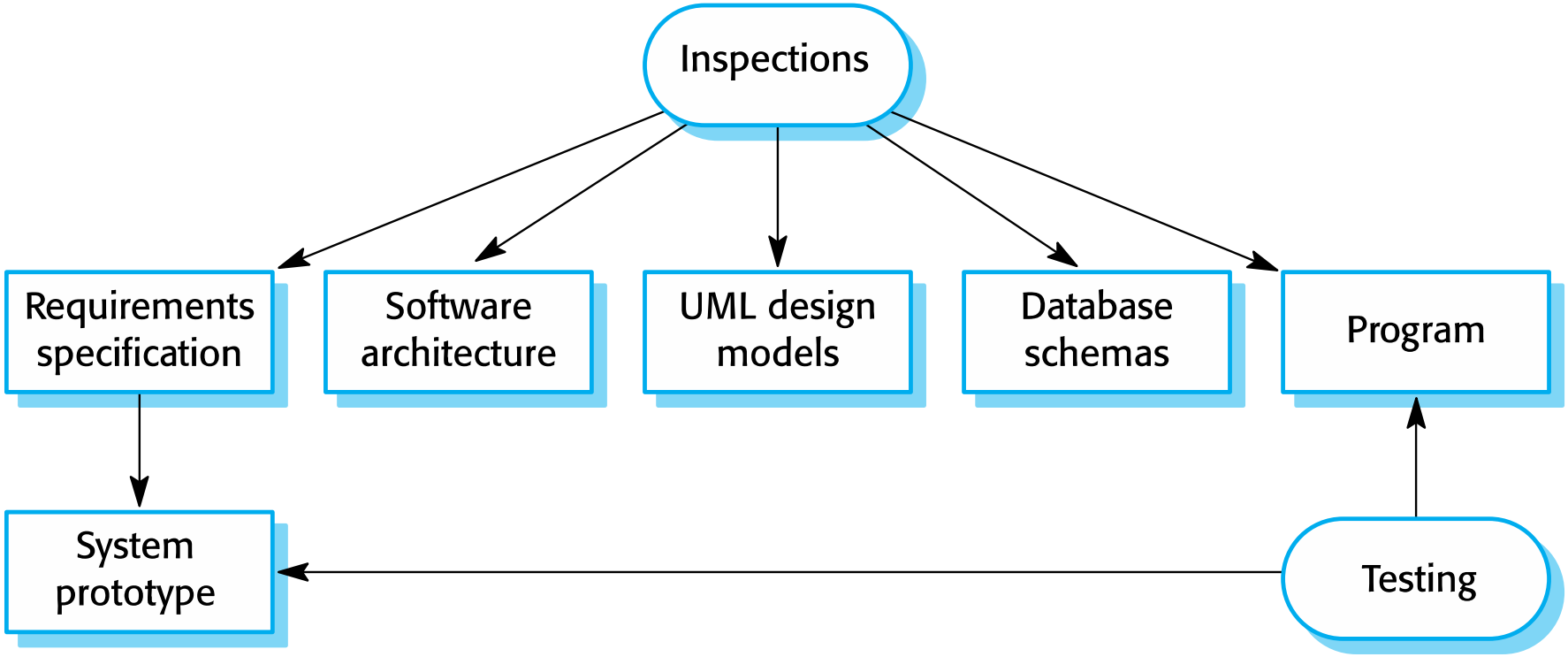
V & V confidence

- Aim of V & V is to establish confidence that the system is 'fit for purpose'.
- Depends on system's purpose, user expectations and marketing environment
  - Software purpose
    - The level of confidence depends on how critical the software is to an organisation.
  - User expectations
    - Users may have low expectations of certain kinds of software.
  - Marketing environment
    - Getting a product to market early may be more important than finding defects in the program.

Inspections and testing

- Software inspections Concerned with analysis of the static system representation to discover problems (static verification)
  - May be supplement by tool-based document and code analysis.
  - Discussed in Chapter 15.
- Software testing Concerned with exercising and observing product behaviour (dynamic verification)
  - The system is executed with test data and its operational behaviour is observed.

Inspections and testing



Software inspections

- These involve people examining the source representation with the aim of discovering anomalies and defects.
- Inspections not require execution of a system so may be used before implementation.
- They may be applied to any representation of the system (requirements, design,configuration data, test data, etc.).
- They have been shown to be an effective technique for discovering program errors.

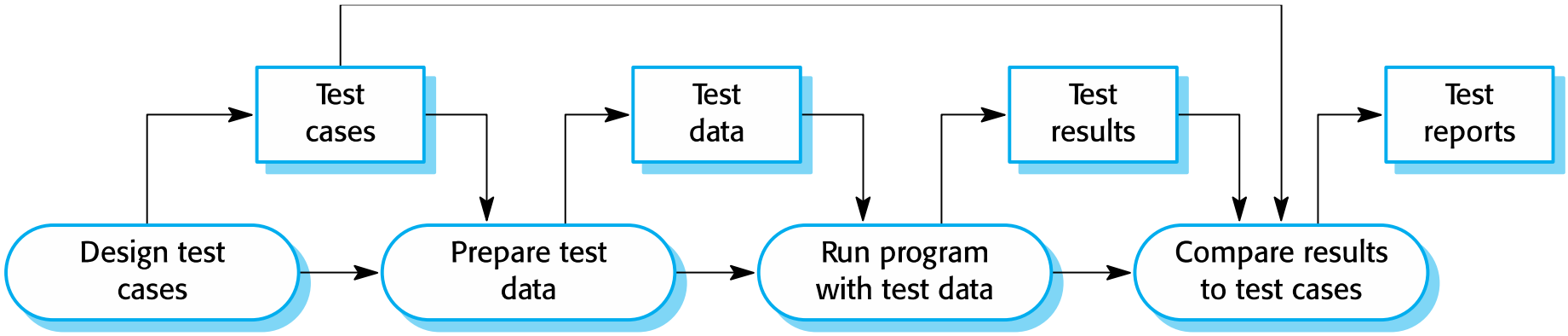
Advantages of inspections

- During testing, errors can mask (hide) other errors. Because inspection is a static process, you don't have to be concerned with interactions between errors.
- Incomplete versions of a system can be inspected without additional costs. If a program is incomplete, then you need to develop specialized test harnesses to test the parts that are available.
- As well as searching for program defects, an inspection can also consider broader quality attributes of a program, such as compliance with standards, portability and maintainability.

Inspections and testing

- Inspections and testing are complementary and not opposing verification techniques.
- Both should be used during the V & V process.
- Inspections can check conformance with a specification but not conformance with the customer's real requirements.
- Inspections cannot check non-functional characteristics such as performance, usability, etc.

A model of the software testing process



Stages of testing

- Development testing, where the system is tested during development to discover bugs and defects.
- Release testing, where a separate testing team test a complete version of the system before it is released to users.
- User testing, where users or potential users of a system test the system in their own environment.

Development testing

Development testing

- Development testing includes all testing activities that are carried out by the team developing the system.
  - Unit testing, where individual program units or object classes are tested. Unit testing should focus on testing the functionality of objects or methods.
  - Component testing, where several individual units are integrated to create composite components. Component testing should focus on testing component interfaces.
  - System testing, where some or all of the components in a system are integrated and the system is tested as a whole. System testing should focus on testing component interactions.

Unit testing

- Unit testing is the process of testing individual components in isolation.
- It is a defect testing process.
- Units may be:
  - Individual functions or methods within an object
  - Object classes with several attributes and methods
  - Composite components with defined interfaces used to access their functionality.

Object class testing

- Complete test coverage of a class involves
  - Testing all operations associated with an object
  - Setting and interrogating all object attributes
  - Exercising the object in all possible states.
- Inheritance makes it more difficult to design object class tests as the information to be tested is not localised.

The weather station object interface

WeatherStation
identifier
reportWeather ( ) reportStatus ( ) powerSave (instruments) remoteControl (commands) reconfigure (commands) restart (instruments) shutdown (instruments)

Weather station testing

- Need to define test cases for reportWeather, calibrate, test, startup and shutdown.
- Using a state model, identify sequences of state transitions to be tested and the event sequences to cause these transitions
- For example:
  - Shutdown -> Running-> Shutdown
  - Configuring-> Running-> Testing -> Transmitting -> Running
  - Running-> Collecting-> Running-> Summarizing -> Transmitting -> Running

Automated testing

- Whenever possible, unit testing should be automated so that tests are run and checked without manual intervention.
- In automated unit testing, you make use of a test automation framework (such as JUnit) to write and run your program tests.
- Unit testing frameworks provide generic test classes that you extend to create specific test cases. They can then run all of the tests that you have implemented and report, often through some GUI, on the success of otherwise of the tests.

Automated test components

- A setup part, where you initialize the system with the test case, namely the inputs and expected outputs.
- A call part, where you call the object or method to be tested.
- An assertion part where you compare the result of the call with the expected result. If the assertion evaluates to true, the test has been successful if false, then it has failed.

Choosing unit test cases

- The test cases should show that, when used as expected, the component that you are testing does what it is supposed to do.
- If there are defects in the component, these should be revealed by test cases.
- This leads to 2 types of unit test case:
  - The first of these should reflect normal operation of a program and should show that the component works as expected.
  - The other kind of test case should be based on testing experience of where common problems arise. It should use abnormal inputs to check that these are properly processed and do not crash the component.

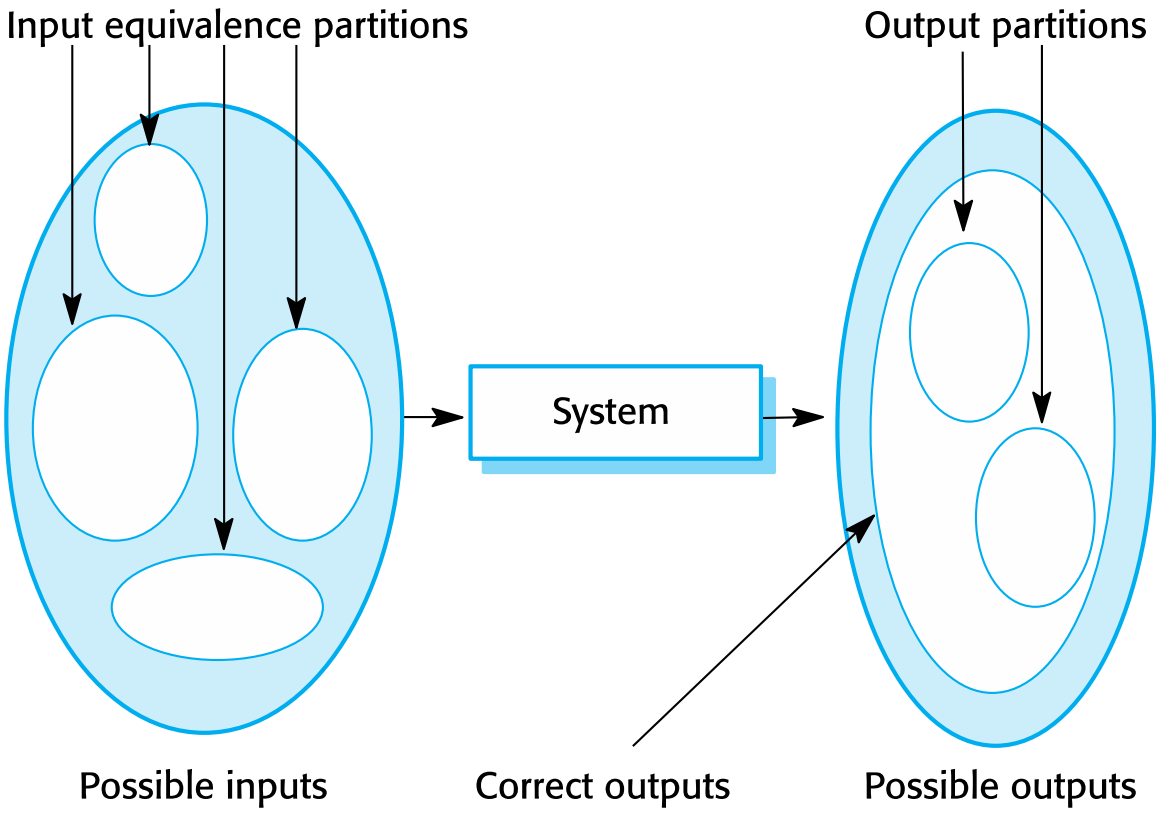
Testing strategies

- Partition testing, where you identify groups of inputs that have common characteristics and should be processed in the same way.
  - You should choose tests from within each of these groups.
- Guideline-based testing, where you use testing guidelines to choose test cases.
  - These guidelines reflect previous experience of the kinds of errors that programmers often make when developing components.

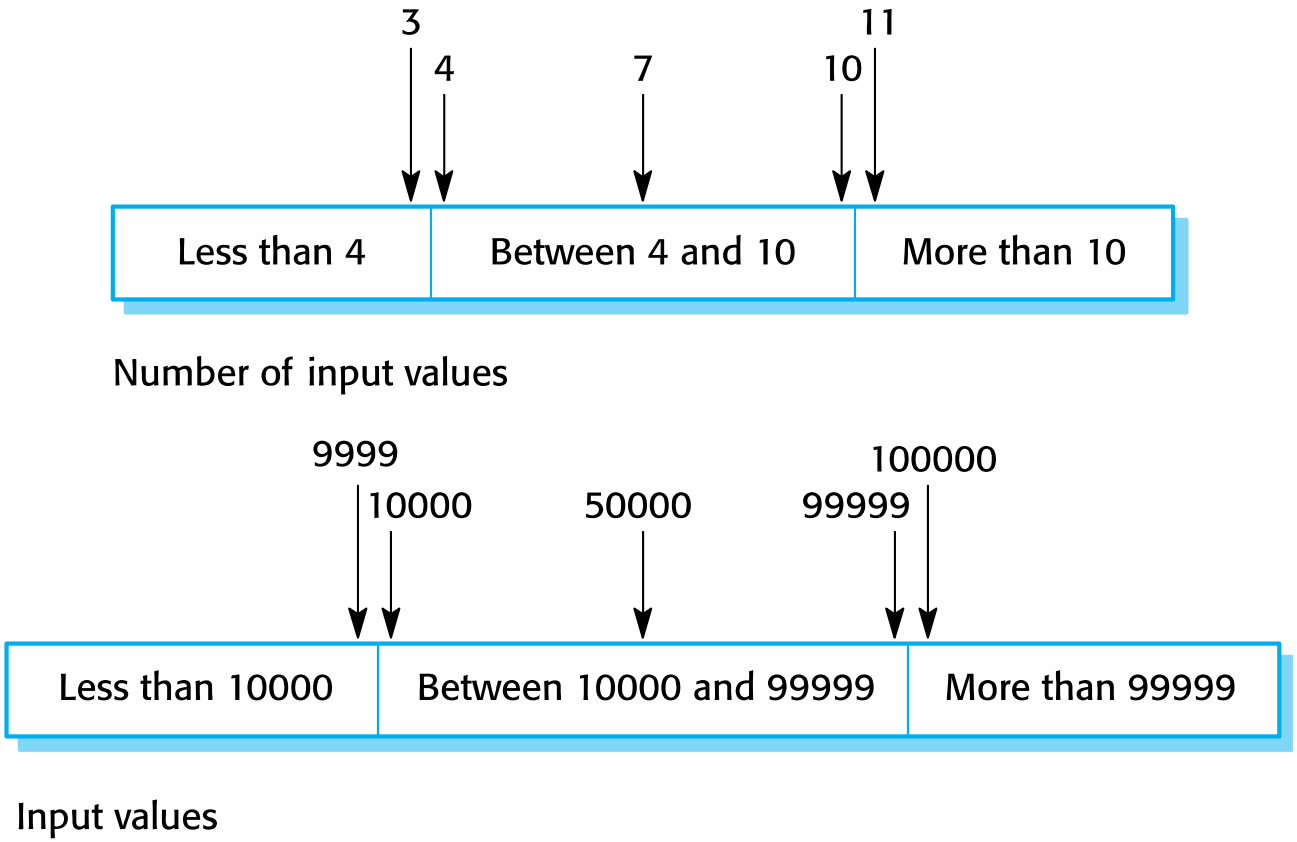
Partition testing

- Input data and output results often fall into different classes where all members of a class are related.
- Each of these classes is an equivalence partition or domain where the program behaves in an equivalent way for each class member.
- Test cases should be chosen from each partition.

Equivalence partitioning



Equivalence partitions



Testing guidelines (sequences)

- Test software with sequences which have only a single value.
- Use sequences of different sizes in different tests.
- Derive tests so that the first, middle and last elements of the sequence are accessed.
- Test with sequences of zero length.

General testing guidelines

- Choose inputs that force the system to generate all error messages
- Design inputs that cause input buffers to overflow
- Repeat the same input or series of inputs numerous times
- Force invalid outputs to be generated
- Force computation results to be too large or too small.

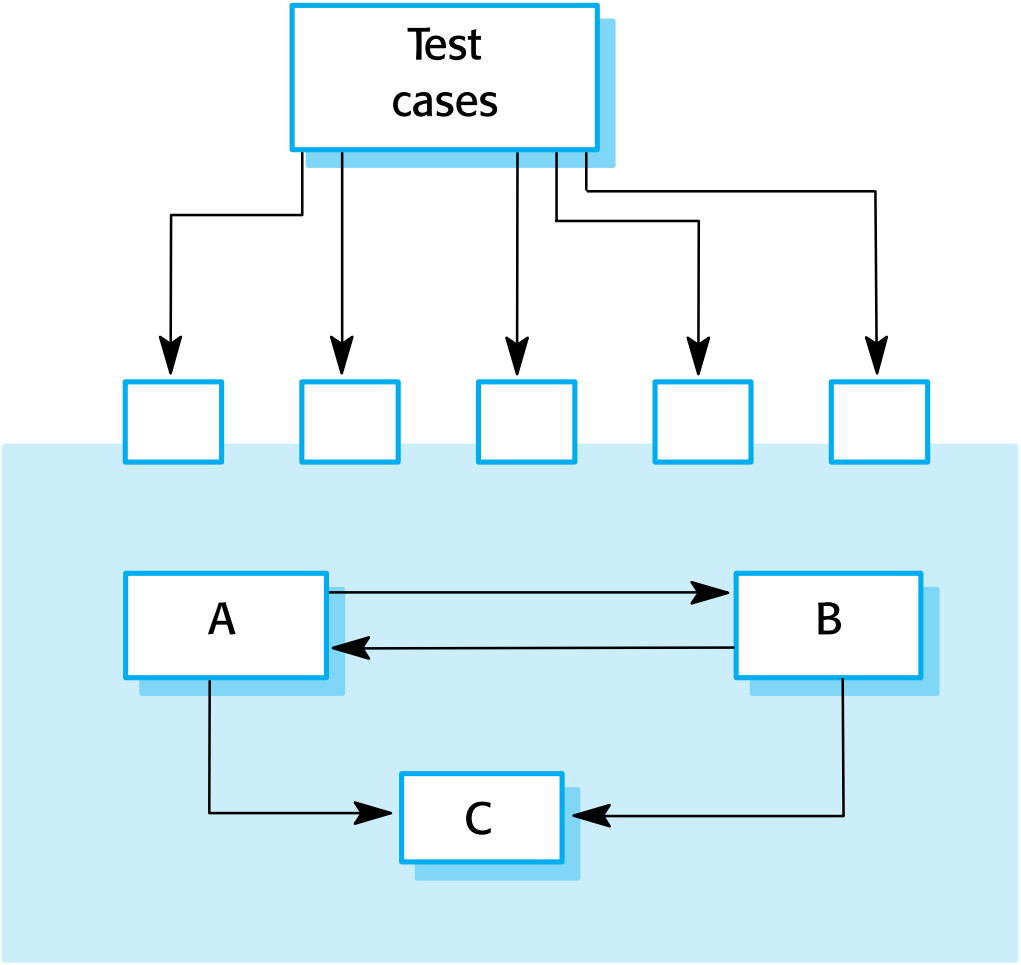
Component testing

- Software components are often composite components that are made up of several interacting objects.
  - For example, in the weather station system, the reconfiguration component includes objects that deal with each aspect of the reconfiguration.
- You access the functionality of these objects through the defined component interface.
- Testing composite components should therefore focus on showing that the component interface behaves according to its specification.
  - You can assume that unit tests on the individual objects within the component have been completed.

Interface testing

- Objectives are to detect faults due to interface errors or invalid assumptions about interfaces.
- Interface types
  - Parameter interfaces Data passed from one method or procedure to another.
  - Shared memory interfaces Block of memory is shared between procedures or functions.
  - Procedural interfaces Sub-system encapsulates a set of procedures to be called by other sub-systems.
  - Message passing interfaces Sub-systems request services from other sub-systems

Interface testing



Interface errors

- Interface misuse
  - A calling component calls another component and makes an error in its use of its interface e.g. parameters in the wrong order.
- Interface misunderstanding
  - A calling component embeds assumptions about the behaviour of the called component which are incorrect.
- Timing errors
  - The called and the calling component operate at different speeds and out-of-date information is accessed.

Interface testing guidelines

- Design tests so that parameters to a called procedure are at the extreme ends of their ranges.
- Always test pointer parameters with null pointers.
- Design tests which cause the component to fail.
- Use stress testing in message passing systems.
- In shared memory systems, vary the order in which components are activated.

System testing

- System testing during development involves integrating components to create a version of the system and then testing the integrated system.
- The focus in system testing is testing the interactions between components.
- System testing checks that components are compatible, interact correctly and transfer the right data at the right time across their interfaces.
- System testing tests the emergent behaviour of a system.

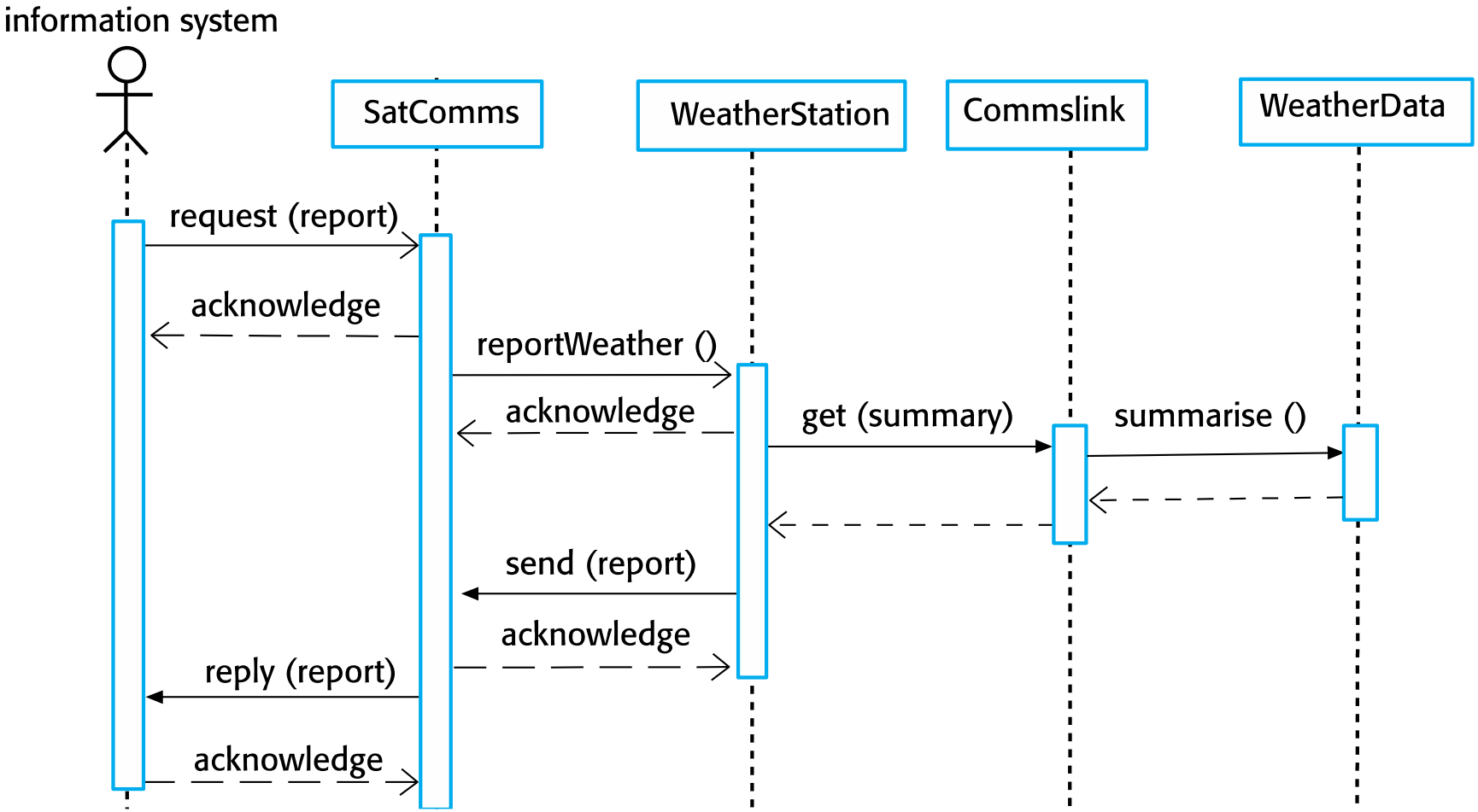
System and component testing

- During system testing, reusable components that have been separately developed and off-the-shelf systems may be integrated with newly developed components. The complete system is then tested.
- Components developed by different team members or sub-teams may be integrated at this stage. System testing is a collective rather than an individual process.
  - In some companies, system testing may involve a separate testing team with no involvement from designers and programmers.

Use-case testing

- The use-cases developed to identify system interactions can be used as a basis for system testing.
- Each use case usually involves several system components so testing the use case forces these interactions to occur.
- The sequence diagrams associated with the use case documents the components and interactions that are being tested.

Collect weather data sequence chart



Test cases derived from sequence diagram

- An input of a request for a report should have an associated acknowledgement. A report should ultimately be returned from the request.
  - You should create summarized data that can be used to check that the report is correctly organized.
- An input request for a report to WeatherStation results in a summarized report being generated.
  - Can be tested by creating raw data corresponding to the summary that you have prepared for the test of SatComms and checking that the WeatherStation object correctly produces this summary. This raw data is also used to test the WeatherData object.

Testing policies

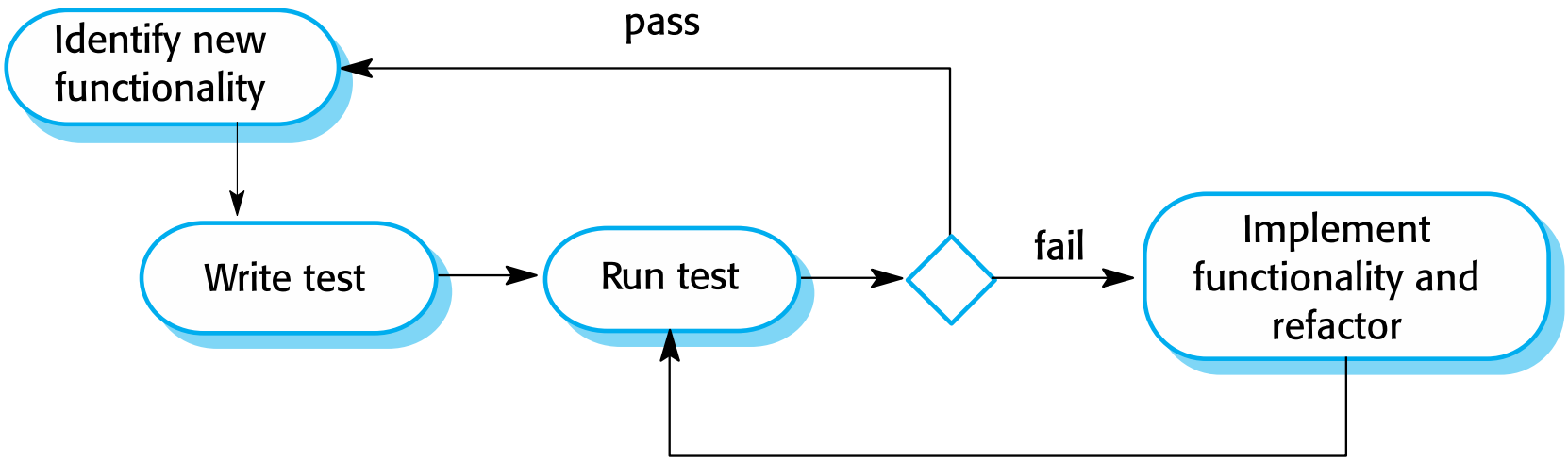
- Exhaustive system testing is impossible so testing policies which define the required system test coverage may be developed.
- Examples of testing policies:
  - All system functions that are accessed through menus should be tested.
  - Combinations of functions (e.g. text formatting) that are accessed through the same menu must be tested.
  - Where user input is provided, all functions must be tested with both correct and incorrect input.

Test-driven development

Test-driven development

- Test-driven development (TDD) is an approach to program development in which you inter-leave testing and code development.
- Tests are written before code and ‘passing’ the tests is the critical driver of development.
- You develop code incrementally, along with a test for that increment. You don’t move on to the next increment until the code that you have developed passes its test.
- TDD was introduced as part of agile methods such as Extreme Programming. However, it can also be used in plan-driven development processes.

Test-driven development



TDD process activities

- Start by identifying the increment of functionality that is required. This should normally be small and implementable in a few lines of code.
- Write a test for this functionality and implement this as an automated test.
- Run the test, along with all other tests that have been implemented. Initially, you have not implemented the functionality so the new test will fail.
- Implement the functionality and re-run the test.
- Once all tests run successfully, you move on to implementing the next chunk of functionality.

Benefits of test-driven development

- ✧ Code coverage
  - Every code segment that you write has at least one associated test so all code written has at least one test.
- ✧ Regression testing
  - A regression test suite is developed incrementally as a program is developed.
- ✧ Simplified debugging
  - When a test fails, it should be obvious where the problem lies. The newly written code needs to be checked and modified.
- ✧ System documentation
  - The tests themselves are a form of documentation that describe what the code should be doing.

Regression testing

- Regression testing is testing the system to check that changes have not ‘broken’ previously working code.
- In a manual testing process, regression testing is expensive but, with automated testing, it is simple and straightforward. All tests are rerun every time a change is made to the program.
- Tests must run ‘successfully’ before the change is committed.

Release testing

Release testing

- Release testing is the process of testing a particular release of a system that is intended for use outside of the development team.
- The primary goal of the release testing process is to convince the supplier of the system that it is good enough for use.
  - Release testing, therefore, has to show that the system delivers its specified functionality, performance and dependability, and that it does not fail during normal use.
- Release testing is usually a black-box testing process where tests are only derived from the system specification.

Release testing and system testing

- ✧ Release testing is a form of system testing.
- ✧ Important differences:
  - A separate team that has not been involved in the system development, should be responsible for release testing.
  - System testing by the development team should focus on discovering bugs in the system (defect testing). The objective of release testing is to check that the system meets its requirements and is good enough for external use (validation testing).

Requirements based testing

- Requirements-based testing involves examining each requirement and developing a test or tests for it.
- Mentcare system requirements:
  - If a patient is known to be allergic to any particular medication, then prescription of that medication shall result in a warning message being issued to the system user.
  - If a prescriber chooses to ignore an allergy warning, they shall provide a reason why this has been ignored.

Requirements tests

- Set up a patient record with no known allergies. Prescribe medication for allergies that are known to exist. Check that a warning message is not issued by the system.
- Set up a patient record with a known allergy. Prescribe the medication to that the patient is allergic to, and check that the warning is issued by the system.
- Set up a patient record in which allergies to two or more drugs are recorded. Prescribe both of these drugs separately and check that the correct warning for each drug is issued.
- Prescribe two drugs that the patient is allergic to. Check that two warnings are correctly issued.
- Prescribe a drug that issues a warning and overrule that warning. Check that the system requires the user to provide information explaining why the warning was overruled.

A usage scenario for the Mentcare system

George is a nurse who specializes in mental healthcare. One of his responsibilities is to visit patients at home to check that their treatment is effective and that they are not suffering from medication side effects.

On a day for home visits, George logs into the Mentcare system and uses it to print his schedule of home visits for that day, along with summary information about the patients to be visited. He requests that the records for these patients be downloaded to his laptop. He is prompted for his key phrase to encrypt the records on the laptop.

One of the patients that he visits is Jim, who is being treated with medication for depression. Jim feels that the medication is helping him but believes that it has the side effect of keeping him awake at night. George looks up Jim's record and is prompted for his key phrase to decrypt the record. He checks the drug prescribed and queries its side effects. Sleeplessness is a known side effect so he notes the problem in Jim's record and suggests that he visits the clinic to have his medication changed. Jim agrees so George enters a prompt to call him when he gets back to the clinic to make an appointment with a physician. George ends the consultation and the system re-encrypts Jim's record.

After, finishing his consultations, George returns to the clinic and uploads the records of patients visited to the database. The system generates a call list for George of those patients who He has to contact for follow-up information and make clinic appointments.

Features tested by scenario

- ✧ Authentication by logging on to the system.
- ✧ Downloading and uploading of specified patient records to a laptop.
- ✧ Home visit scheduling.
- ✧ Encryption and decryption of patient records on a mobile device.
- ✧ Record retrieval and modification.
- ✧ Links with the drugs database that maintains side-effect information.
- ✧ The system for call prompting.

Performance testing

- Part of release testing may involve testing the emergent properties of a system, such as performance and reliability.
- Tests should reflect the profile of use of the system.
- Performance tests usually involve planning a series of tests where the load is steadily increased until the system performance becomes unacceptable.
- Stress testing is a form of performance testing where the system is deliberately overloaded to test its failure behaviour.

User testing

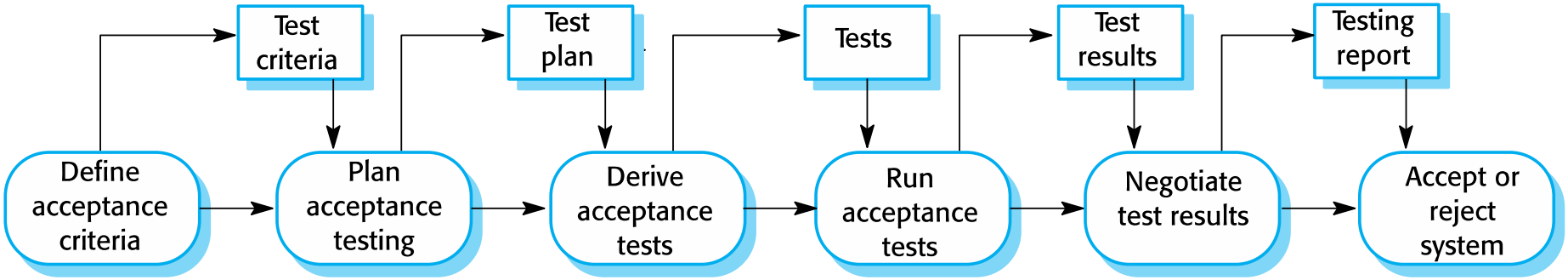
User testing

- User or customer testing is a stage in the testing process in which users or customers provide input and advice on system testing.
- User testing is essential, even when comprehensive system and release testing have been carried out.
  - The reason for this is that influences from the user's working environment have a major effect on the reliability, performance, usability and robustness of a system. These cannot be replicated in a testing environment.

Types of user testing

- Alpha testing
  - Users of the software work with the development team to test the software at the developer's site.
- Beta testing
  - A release of the software is made available to users to allow them to experiment and to raise problems that they discover with the system developers.
- Acceptance testing
  - Customers test a system to decide whether or not it is ready to be accepted from the system developers and deployed in the customer environment. Primarily for custom systems.

The acceptance testing process



Stages in the acceptance testing process

- ✧ Define acceptance criteria
- ✧ Plan acceptance testing
- ✧ Derive acceptance tests
- ✧ Run acceptance tests
- ✧ Negotiate test results
- ✧ Reject/accept system

Agile methods and acceptance testing

- In agile methods, the user/customer is part of the development team and is responsible for making decisions on the acceptability of the system.
- Tests are defined by the user/customer and are integrated with other tests in that they are run automatically when changes are made.
- There is no separate acceptance testing process.
- Main problem here is whether or not the embedded user is ‘typical’ and can represent the interests of all system stakeholders.

Key points

- Testing can only show the presence of errors in a program. It cannot demonstrate that there are no remaining faults.
- Development testing is the responsibility of the software development team. A separate team should be responsible for testing a system before it is released to customers.
- Development testing includes unit testing, in which you test individual objects and methods component testing in which you test related groups of objects and system testing, in which you test partial or complete systems.

Key points

- When testing software, you should try to ‘break’ the software by using experience and guidelines to choose types of test case that have been effective in discovering defects in other systems.
- Wherever possible, you should write automated tests. The tests are embedded in a program that can be run every time a change is made to a system.
- Test-first development is an approach to development where tests are written before the code to be tested.
- Scenario testing involves inventing a typical usage scenario and using this to derive test cases.
- Acceptance testing is a user testing process where the aim is to decide if the software is good enough to be deployed and used in its operational environment.

Chapter 9 – Software Evolution

Topics covered

- ✧ Evolution processes
- ✧ Legacy systems
- ✧ Software maintenance

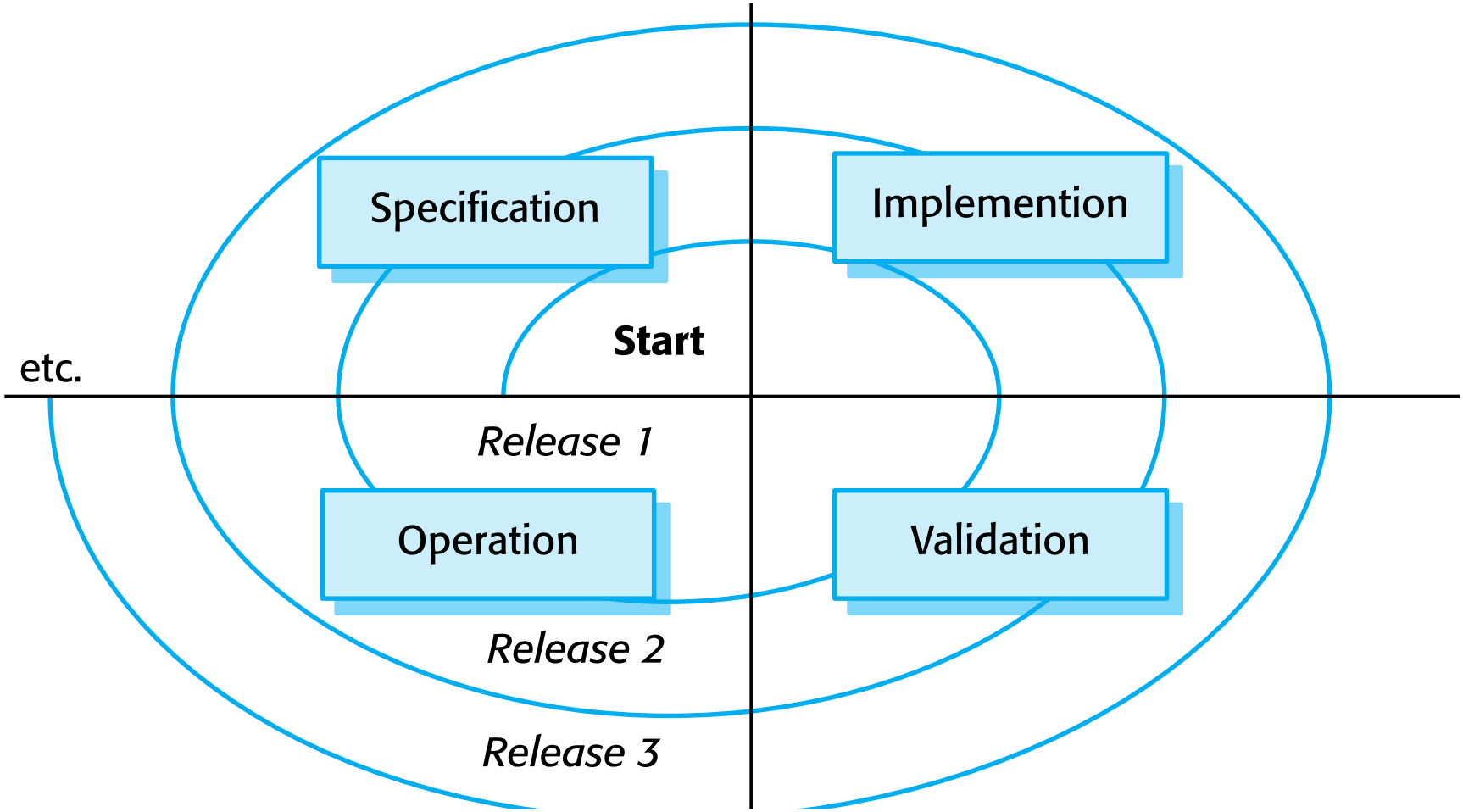
Software change

- Software change is inevitable
  - New requirements emerge when the software is used;
  - The business environment changes;
  - Errors must be repaired;
  - New computers and equipment is added to the system;
  - The performance or reliability of the system may have to be improved.
- A key problem for all organizations is implementing and managing change to their existing software systems.

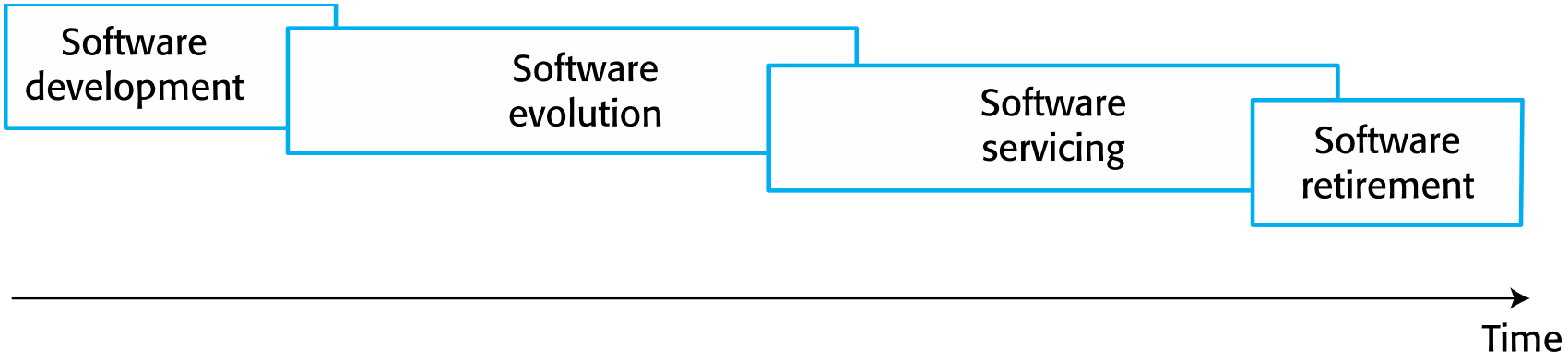
Importance of evolution

- Organisations have huge investments in their software systems - they are critical business assets.
- To maintain the value of these assets to the business, they must be changed and updated.
- The majority of the software budget in large companies is devoted to changing and evolving existing software rather than developing new software.

A spiral model of development and evolution



Evolution and servicing



Evolution and servicing

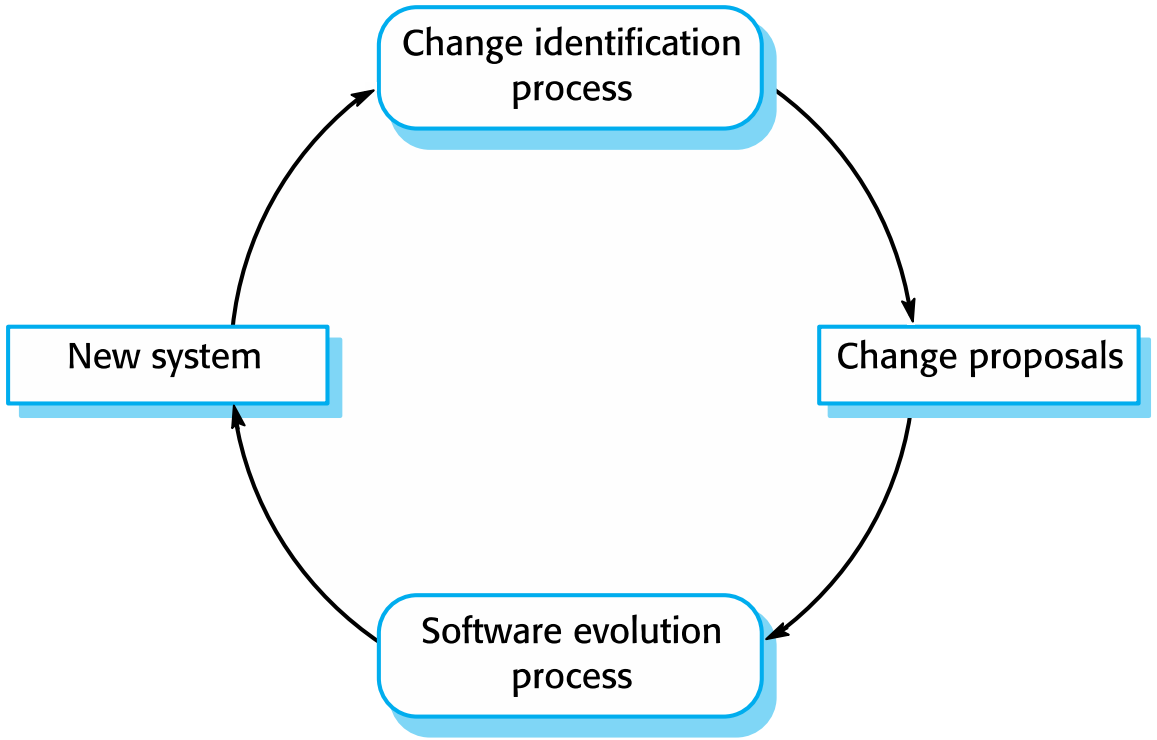
- Evolution
  - The stage in a software system's life cycle where it is in operational use and is evolving as new requirements are proposed and implemented in the system.
- Servicing
  - At this stage, the software remains useful but the only changes made are those required to keep it operational i.e. bug fixes and changes to reflect changes in the software's environment. No new functionality is added.
- Phase-out
  - The software may still be used but no further changes are made to it.

Evolution processes

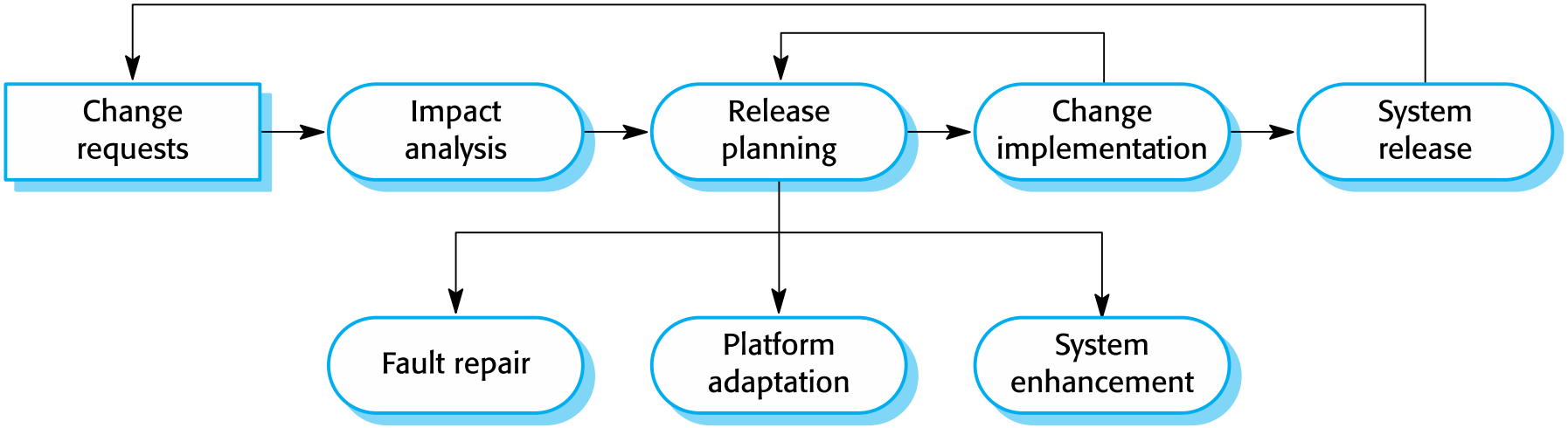
Evolution processes

- Software evolution processes depend on
  - The type of software being maintained;
  - The development processes used;
  - The skills and experience of the people involved.
- Proposals for change are the driver for system evolution.
  - Should be linked with components that are affected by the change, thus allowing the cost and impact of the change to be estimated.
- Change identification and evolution continues throughout the system lifetime.

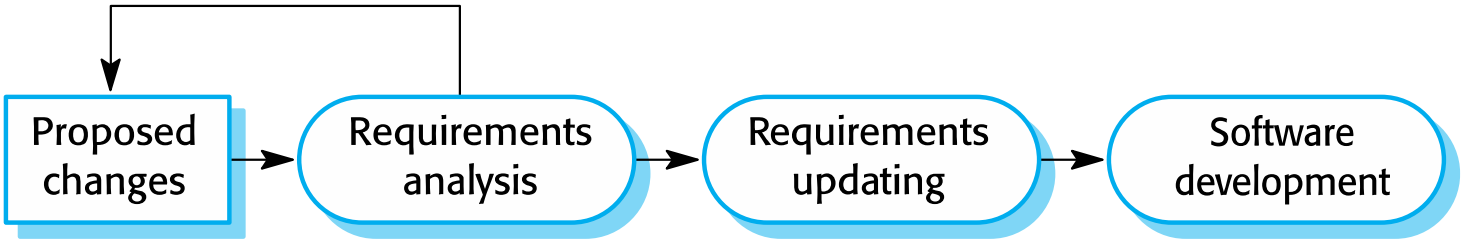
Change identification and evolution processes



The software evolution process



Change implementation



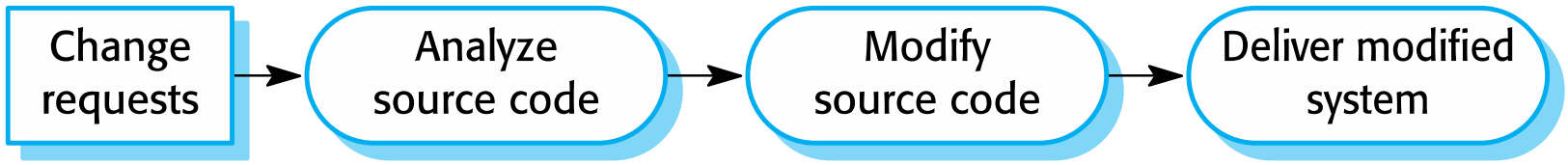
Change implementation

- Iteration of the development process where the revisions to the system are designed, implemented and tested.
- A critical difference is that the first stage of change implementation may involve program understanding, especially if the original system developers are not responsible for the change implementation.
- During the program understanding phase, you have to understand how the program is structured, how it delivers functionality and how the proposed change might affect the program.

Urgent change requests

- Urgent changes may have to be implemented without going through all stages of the software engineering process
  - If a serious system fault has to be repaired to allow normal operation to continue;
  - If changes to the system's environment (e.g. an OS upgrade) have unexpected effects;
  - If there are business changes that require a very rapid response (e.g. the release of a competing product).

The emergency repair process



Agile methods and evolution

- Agile methods are based on incremental development so the transition from development to evolution is a seamless one.
  - Evolution is simply a continuation of the development process based on frequent system releases.
- Automated regression testing is particularly valuable when changes are made to a system.
- Changes may be expressed as additional user stories.

Handover problems

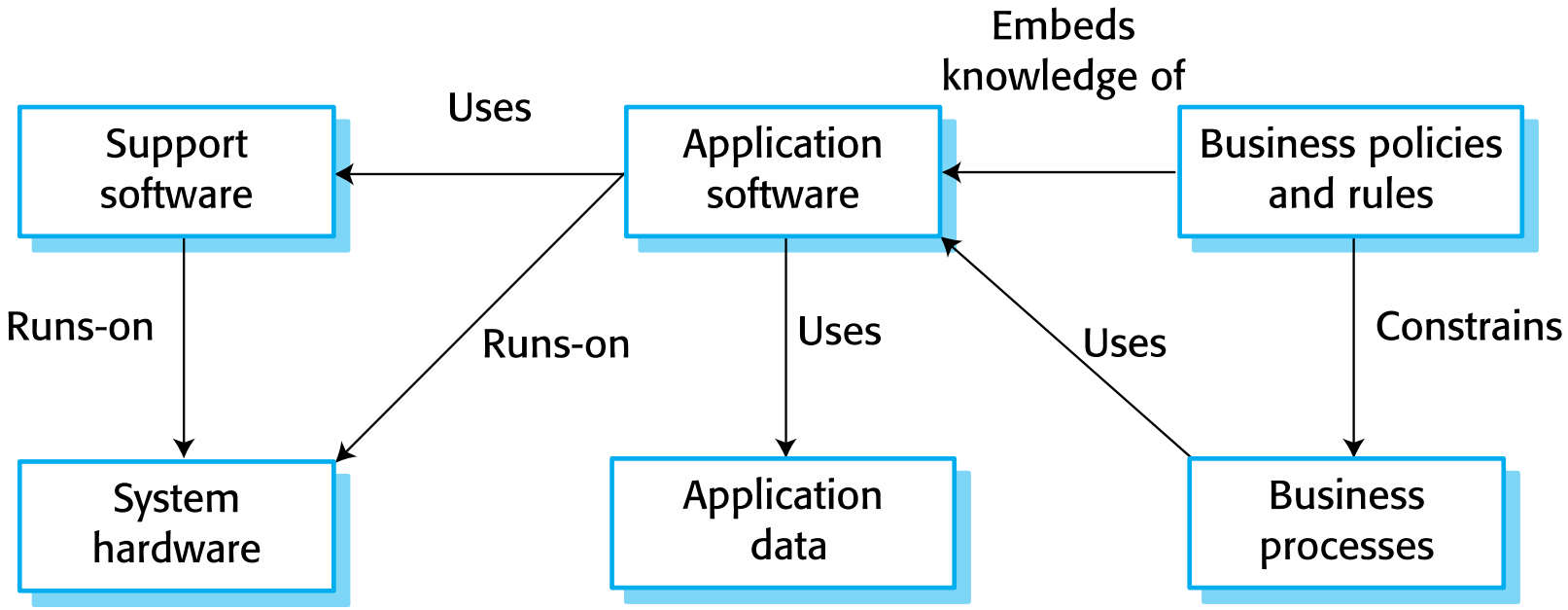
- Where the development team have used an agile approach but the evolution team is unfamiliar with agile methods and prefer a plan-based approach.
  - The evolution team may expect detailed documentation to support evolution and this is not produced in agile processes.
- Where a plan-based approach has been used for development but the evolution team prefer to use agile methods.
  - The evolution team may have to start from scratch developing automated tests and the code in the system may not have been refactored and simplified as is expected in agile development.

Legacy systems

Legacy systems

- Legacy systems are older systems that rely on languages and technology that are no longer used for new systems development.
- Legacy software may be dependent on older hardware, such as mainframe computers and may have associated legacy processes and procedures.
- Legacy systems are not just software systems but are broader socio-technical systems that include hardware, software, libraries and other supporting software and business processes.

The elements of a legacy system



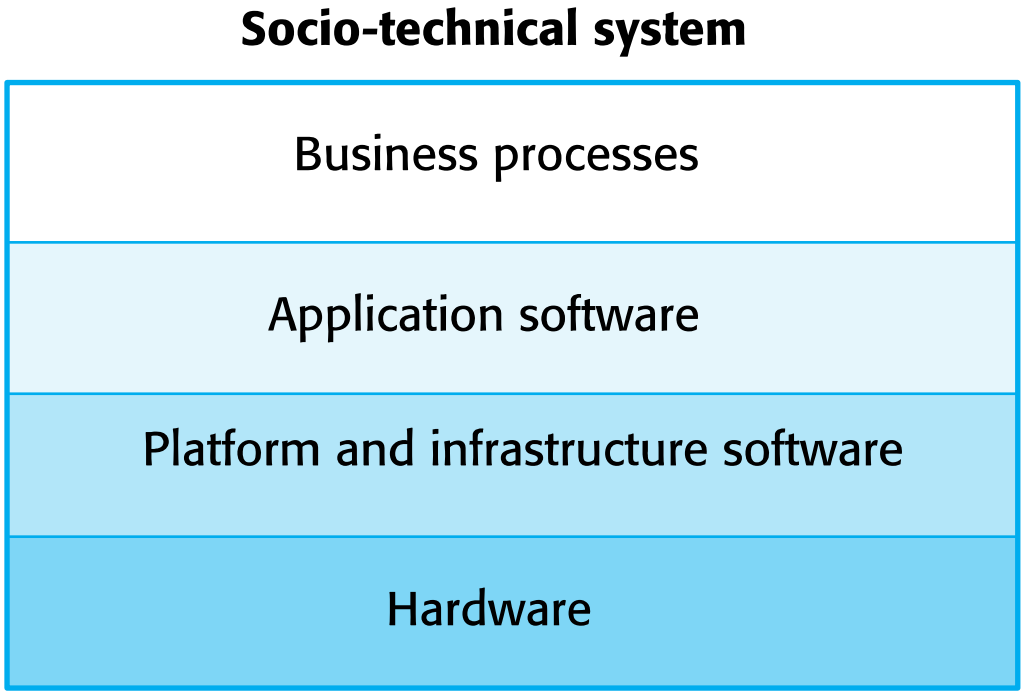
Legacy system components

- *System hardware* Legacy systems may have been written for hardware that is no longer available.
- *Support software* The legacy system may rely on a range of support software, which may be obsolete or unsupported.
- *Application software* The application system that provides the business services is usually made up of a number of application programs.
- *Application data* These are data that are processed by the application system. They may be inconsistent, duplicated or held in different databases.

Legacy system components

- *Business processes* These are processes that are used in the business to achieve some business objective.
- Business processes may be designed around a legacy system and constrained by the functionality that it provides.
- *Business policies and rules* These are definitions of how the business should be carried out and constraints on the business. Use of the legacy application system may be embedded in these policies and rules.

Legacy system layers



Legacy system replacement

- Legacy system replacement is risky and expensive so businesses continue to use these systems
- System replacement is risky for a number of reasons
  - Lack of complete system specification
  - Tight integration of system and business processes
  - Undocumented business rules embedded in the legacy system
  - New software development may be late and/or over budget

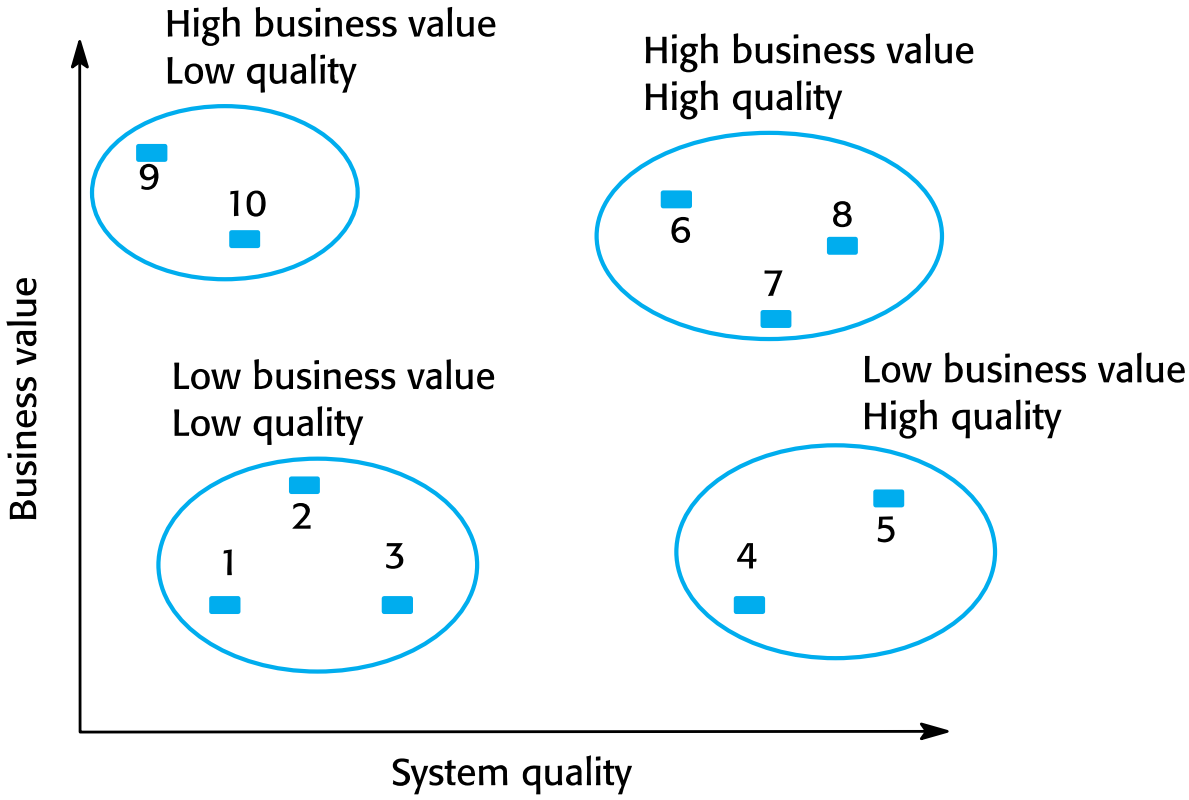
Legacy system change

- Legacy systems are expensive to change for a number of reasons:
  - No consistent programming style
  - Use of obsolete programming languages with few people available with these language skills
  - Inadequate system documentation
  - System structure degradation
  - Program optimizations may make them hard to understand
  - Data errors, duplication and inconsistency

Legacy system management

- Organisations that rely on legacy systems must choose a strategy for evolving these systems
  - Scrap the system completely and modify business processes so that it is no longer required;
  - Continue maintaining the system;
  - Transform the system by re-engineering to improve its maintainability;
  - Replace the system with a new system.
- The strategy chosen should depend on the system quality and its business value.

Figure 9.13 An example of a legacy system assessment



Legacy system categories

- Low quality, low business value
  - These systems should be scrapped.
- Low-quality, high-business value
  - These make an important business contribution but are expensive to maintain. Should be re-engineered or replaced if a suitable system is available.
- High-quality, low-business value
  - Replace with COTS, scrap completely or maintain.
- High-quality, high business value
  - Continue in operation using normal system maintenance.

Business value assessment

- Assessment should take different viewpoints into account
  - System end-users;
  - Business customers;
  - Line managers;
  - IT managers;
  - Senior managers.
- Interview different stakeholders and collate results.

Issues in business value assessment

- The use of the system
  - If systems are only used occasionally or by a small number of people, they may have a low business value.
- The business processes that are supported
  - A system may have a low business value if it forces the use of inefficient business processes.
- System dependability
  - If a system is not dependable and the problems directly affect business customers, the system has a low business value.
- The system outputs
  - If the business depends on system outputs, then the system has a high business value.

System quality assessment

- Business process assessment
  - How well does the business process support the current goals of the business?
- Environment assessment
  - How effective is the system's environment and how expensive is it to maintain?
- Application assessment
  - What is the quality of the application software system?

Business process assessment

- Use a viewpoint-oriented approach and seek answers from system stakeholders
  - Is there a defined process model and is it followed?
  - Do different parts of the organisation use different processes for the same function?
  - How has the process been adapted?
  - What are the relationships with other business processes and are these necessary?
  - Is the process effectively supported by the legacy application software?
- Example - a travel ordering system may have a low business value because of the widespread use of web-based ordering.

Factors used in environment assessment

Factor	Questions
Supplier stability	Is the supplier still in existence? Is the supplier financially stable and likely to continue in existence? If the supplier is no longer in business, does someone else maintain the systems?
Failure rate	Does the hardware have a high rate of reported failures? Does the support software crash and force system restarts?
Age	How old is the hardware and software? The older the hardware and support software, the more obsolete it will be. It may still function correctly but there could be significant economic and business benefits to moving to a more modern system.
Performance	Is the performance of the system adequate? Do performance problems have a significant effect on system users?

Factors used in environment assessment

Factor	Questions
Support requirements	What local support is required by the hardware and software? If there are high costs associated with this support, it may be worth considering system replacement.
Maintenance costs	What are the costs of hardware maintenance and support software licences? Older hardware may have higher maintenance costs than modern systems. Support software may have high annual licensing costs.
Interoperability	Are there problems interfacing the system to other systems? Can compilers, for example, be used with current versions of the operating system? Is hardware emulation required?

Factors used in application assessment

Factor	Questions
Understandability	How difficult is it to understand the source code of the current system? How complex are the control structures that are used? Do variables have meaningful names that reflect their function?
Documentation	What system documentation is available? Is the documentation complete, consistent, and current?
Data	Is there an explicit data model for the system? To what extent is data duplicated across files? Is the data used by the system up to date and consistent?
Performance	Is the performance of the application adequate? Do performance problems have a significant effect on system users?

Factors used in application assessment

Factor	Questions
Programming language	Are modern compilers available for the programming language used to develop the system? Is the programming language still used for new system development?
Configuration management	Are all versions of all parts of the system managed by a configuration management system? Is there an explicit description of the versions of components that are used in the current system?
Test data	Does test data for the system exist? Is there a record of regression tests carried out when new features have been added to the system?
Personnel skills	Are there people available who have the skills to maintain the application? Are there people available who have experience with the system?

System measurement

- You may collect quantitative data to make an assessment of the quality of the application system
  - The number of system change requests; The higher this accumulated value, the lower the quality of the system.
  - The number of different user interfaces used by the system; The more interfaces, the more likely it is that there will be inconsistencies and redundancies in these interfaces.
  - The volume of data used by the system. As the volume of data (number of files, size of database, etc.) processed by the system increases, so too do the inconsistencies and errors in that data.
  - Cleaning up old data is a very expensive and time-consuming process

Software maintenance

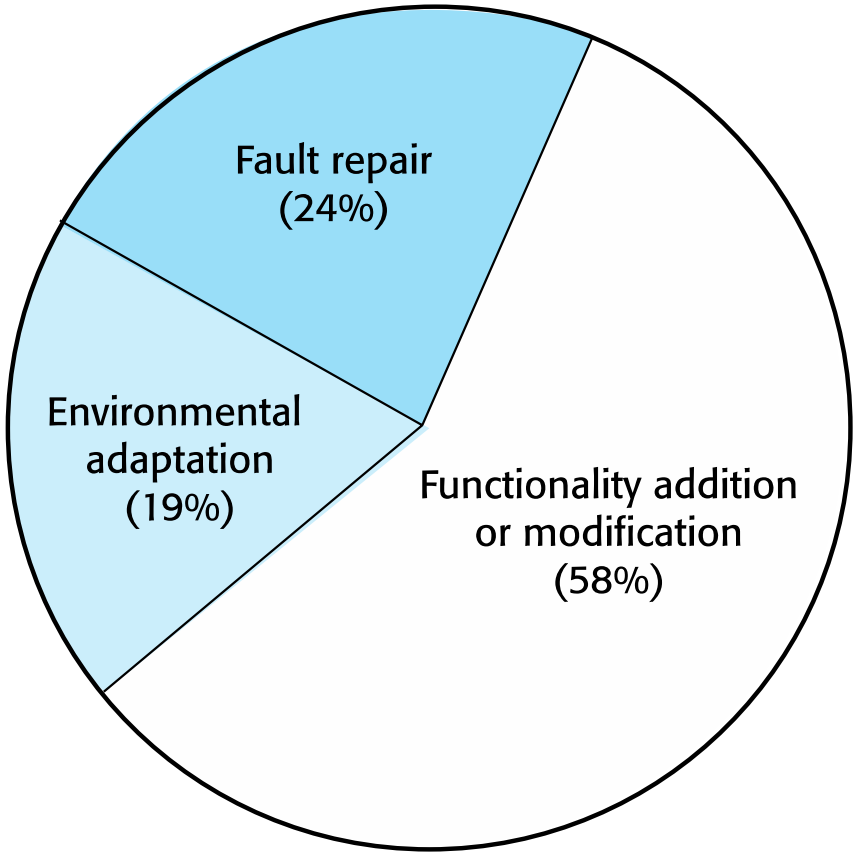
Software maintenance

- Modifying a program after it has been put into use.
- The term is mostly used for changing custom software. Generic software products are said to evolve to create new versions.
- Maintenance does not normally involve major changes to the system's architecture.
- Changes are implemented by modifying existing components and adding new components to the system.

Types of maintenance

- ✧ Fault repairs
  - Changing a system to fix bugs/vulnerabilities and correct deficiencies in the way meets its requirements.
- ✧ Environmental adaptation
  - Maintenance to adapt software to a different operating environment
  - Changing a system so that it operates in a different environment (computer, OS, etc.) from its initial implementation.
- ✧ Functionality addition and modification
  - Modifying the system to satisfy new requirements.

Maintenance effort distribution



Maintenance costs

- Usually greater than development costs (2* to 100* depending on the application).
- Affected by both technical and non-technical factors.
- Increases as software is maintained. Maintenance corrupts the software structure so makes further maintenance more difficult.
- Ageing software can have high support costs (e.g. old languages, compilers etc.).

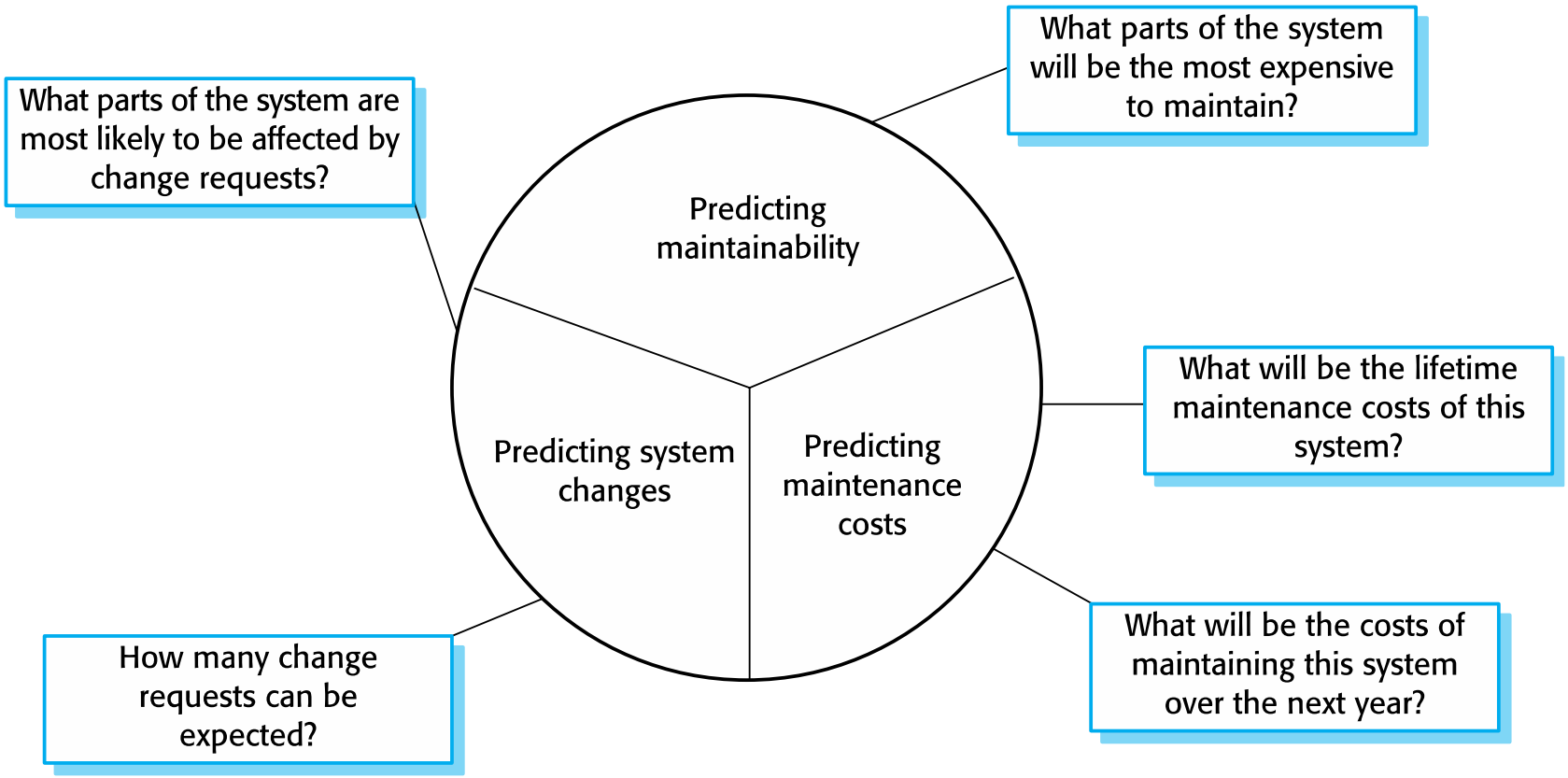
Maintenance costs

- It is usually more expensive to add new features to a system during maintenance than it is to add the same features during development
  - A new team has to understand the programs being maintained
  - Separating maintenance and development means there is no incentive for the development team to write maintainable software
  - Program maintenance work is unpopular
    - Maintenance staff are often inexperienced and have limited domain knowledge.
  - As programs age, their structure degrades and they become harder to change

Maintenance prediction

- Maintenance prediction is concerned with assessing which parts of the system may cause problems and have high maintenance costs
  - Change acceptance depends on the maintainability of the components affected by the change;
  - Implementing changes degrades the system and reduces its maintainability;
  - Maintenance costs depend on the number of changes and costs of change depend on maintainability.

Maintenance prediction



Change prediction

- Predicting the number of changes requires and understanding of the relationships between a system and its environment.
- Tightly coupled systems require changes whenever the environment is changed.
- Factors influencing this relationship are
  - Number and complexity of system interfaces;
  - Number of inherently volatile system requirements;
  - The business processes where the system is used.

Complexity metrics

- Predictions of maintainability can be made by assessing the complexity of system components.
- Studies have shown that most maintenance effort is spent on a relatively small number of system components.
- Complexity depends on
  - Complexity of control structures;
  - Complexity of data structures;
  - Object, method (procedure) and module size.

Process metrics

- Process metrics may be used to assess maintainability
  - Number of requests for corrective maintenance;
  - Average time required for impact analysis;
  - Average time taken to implement a change request;
  - Number of outstanding change requests.
- If any or all of these is increasing, this may indicate a decline in maintainability.

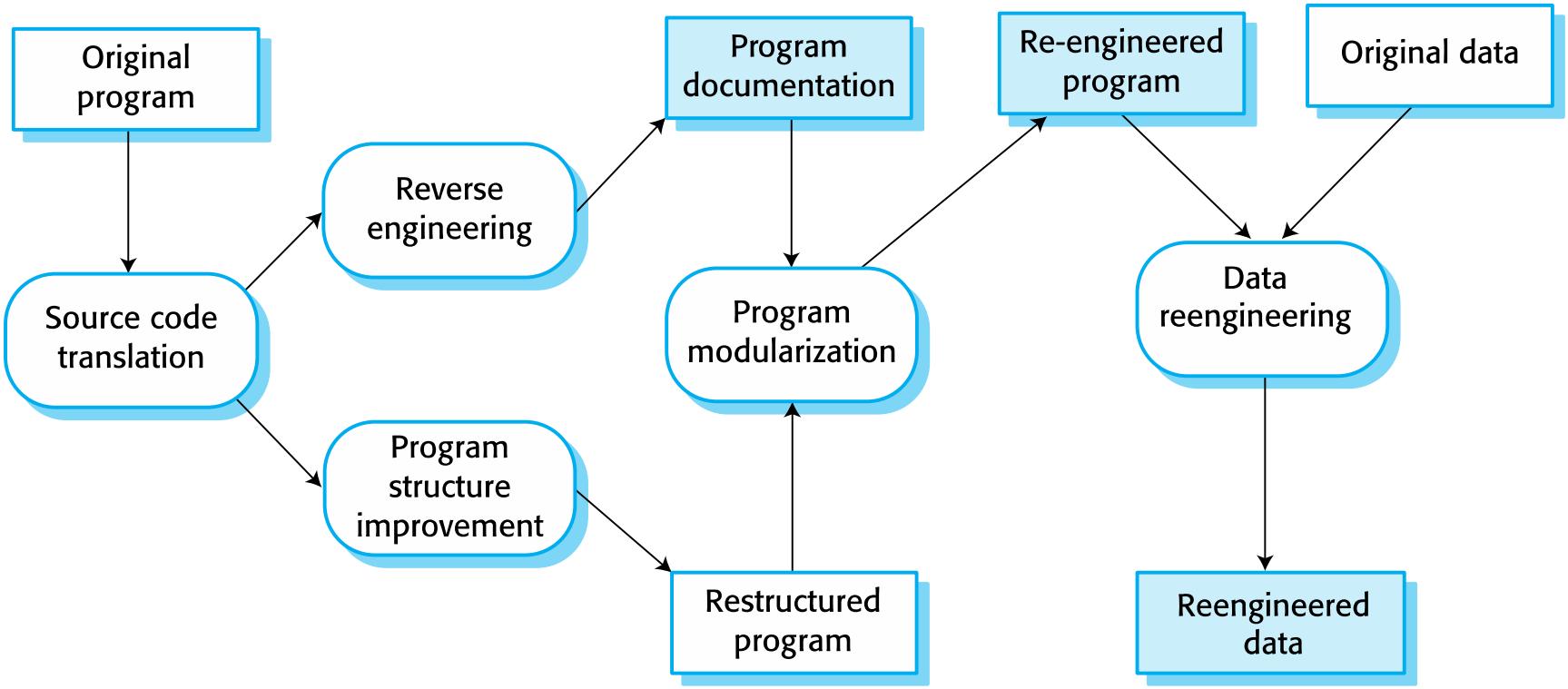
Software reengineering

- Restructuring or rewriting part or all of a legacy system without changing its functionality.
- Applicable where some but not all sub-systems of a larger system require frequent maintenance.
- Reengineering involves adding effort to make them easier to maintain. The system may be re-structured and re-documented.

Advantages of reengineering

- ✧ Reduced risk
  - There is a high risk in new software development. There may be development problems, staffing problems and specification problems.
- ✧ Reduced cost
  - The cost of re-engineering is often significantly less than the costs of developing new software.

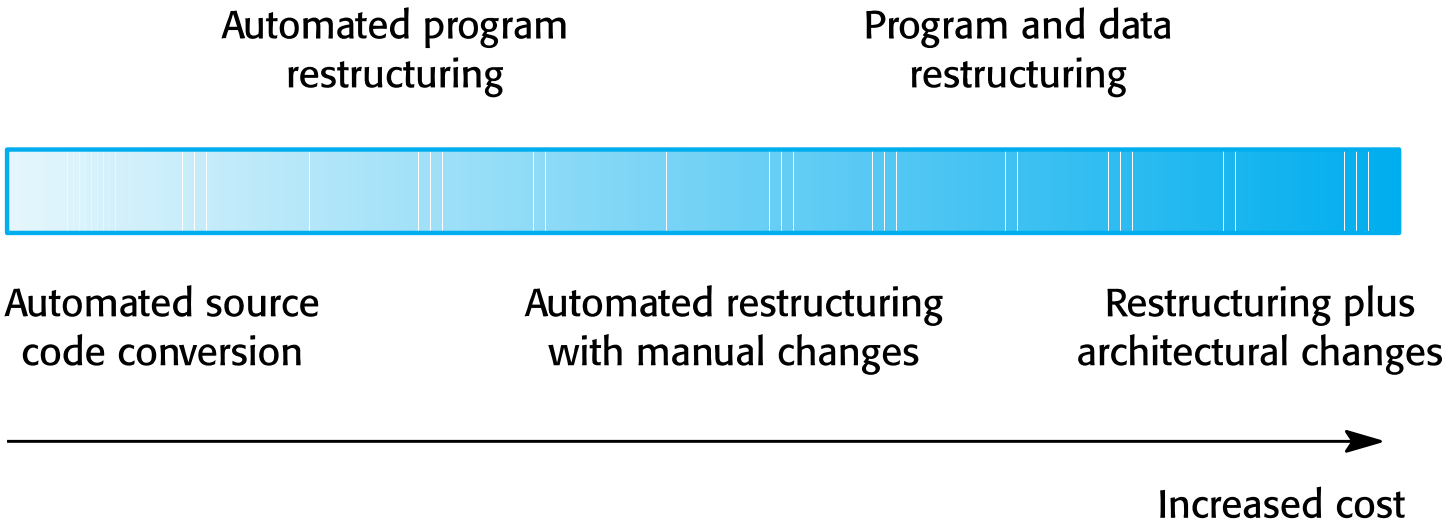
The reengineering process



Reengineering process activities

- ✧ Source code translation
  - Convert code to a new language.
- ✧ Reverse engineering
  - Analyse the program to understand it;
- ✧ Program structure improvement
  - Restructure automatically for understandability;
- ✧ Program modularisation
  - Reorganise the program structure;
- ✧ Data reengineering
  - Clean-up and restructure system data.

Reengineering approaches



Reengineering cost factors

- The quality of the software to be reengineered.
- The tool support available for reengineering.
- The extent of the data conversion which is required.
- The availability of expert staff for reengineering.
  - This can be a problem with old systems based on technology that is no longer widely used.

Refactoring

- Refactoring is the process of making improvements to a program to slow down degradation through change.
- You can think of refactoring as ‘preventative maintenance’ that reduces the problems of future change.
- Refactoring involves modifying a program to improve its structure, reduce its complexity or make it easier to understand.
- When you refactor a program, you should not add functionality but rather concentrate on program improvement.

Refactoring and reengineering

- Re-engineering takes place after a system has been maintained for some time and maintenance costs are increasing. You use automated tools to process and re-engineer a legacy system to create a new system that is more maintainable.
- Refactoring is a continuous process of improvement throughout the development and evolution process. It is intended to avoid the structure and code degradation that increases the costs and difficulties of maintaining a system.

‘Bad smells’ in program code

- ✧ Duplicate code
  - The same or very similar code may be included at different places in a program. This can be removed and implemented as a single method or function that is called as required.
- ✧ Long methods
  - If a method is too long, it should be redesigned as a number of shorter methods.
- ✧ Switch (case) statements
  - These often involve duplication, where the switch depends on the type of a value. The switch statements may be scattered around a program. In object-oriented languages, you can often use polymorphism to achieve the same thing.

‘Bad smells’ in program code

- ✧ Data clumping
  - Data clumps occur when the same group of data items (fields in classes, parameters in methods) re-occur in several places in a program. These can often be replaced with an object that encapsulates all of the data.
- ✧ Speculative generality
  - This occurs when developers include generality in a program in case it is required in the future. This can often simply be removed.

Key points

- Software development and evolution can be thought of as an integrated, iterative process that can be represented using a spiral model.
- For custom systems, the costs of software maintenance usually exceed the software development costs.
- The process of software evolution is driven by requests for changes and includes change impact analysis, release planning and change implementation.
- Legacy systems are older software systems, developed using obsolete software and hardware technologies, that remain useful for a business.

Key points

- It is often cheaper and less risky to maintain a legacy system than to develop a replacement system using modern technology.
- The business value of a legacy system and the quality of the application should be assessed to help decide if a system should be replaced, transformed or maintained.
- There are 3 types of software maintenance, namely bug fixing, modifying software to work in a new environment, and implementing new or changed requirements.

Key points

- Software re-engineering is concerned with re-structuring and re-documenting software to make it easier to understand and change.
- Refactoring, making program changes that preserve functionality, is a form of preventative maintenance.