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KS091201  
MATEMATIKA DISKRIT  
(DISCRETE  
MATHEMATICS )

**Discrete Basic  
Structure:  
Sequences and  
Summations**

Discrete Math Team

# Outline

- Definition of Sequence
- Sequence Examples
- Arithmetic Vs Geometric Sequences
- Fibonacci Sequence
- Determining Sequence Formula
- Useful Sequences
- Summations
- Evaluating Sequences
- Summation of A Geometric Series
- Double Summation
- Cardinality



# Definitions of Sequence

- Sequence: an ordered list of elements
  - Like a set, but:
    - Elements can be duplicated
    - Elements are ordered
- A sequence is a function from a subset of  $\mathbf{Z}$  to a set  $S$ 
  - Usually from the positive or non-negative ints
  - $a_n$  is the image of  $n$
- $a_n$  is a term in the sequence
- $\{a_n\}$  means the entire sequence
  - The same notation as sets!

# Sequence examples

- $a_n = 3n$ 
  - The terms in the sequence are  $a_1, a_2, a_3, \dots$
  - The sequence  $\{a_n\}$  is  $\{3, 6, 9, 12, \dots\}$
- $b_n = 2^n$ 
  - The terms in the sequence are  $b_1, b_2, b_3, \dots$
  - The sequence  $\{b_n\}$  is  $\{2, 4, 8, 16, 32, \dots\}$
- Note that generally sequences are indexed from 1

# Arithmetic vs. Geometric Sequences

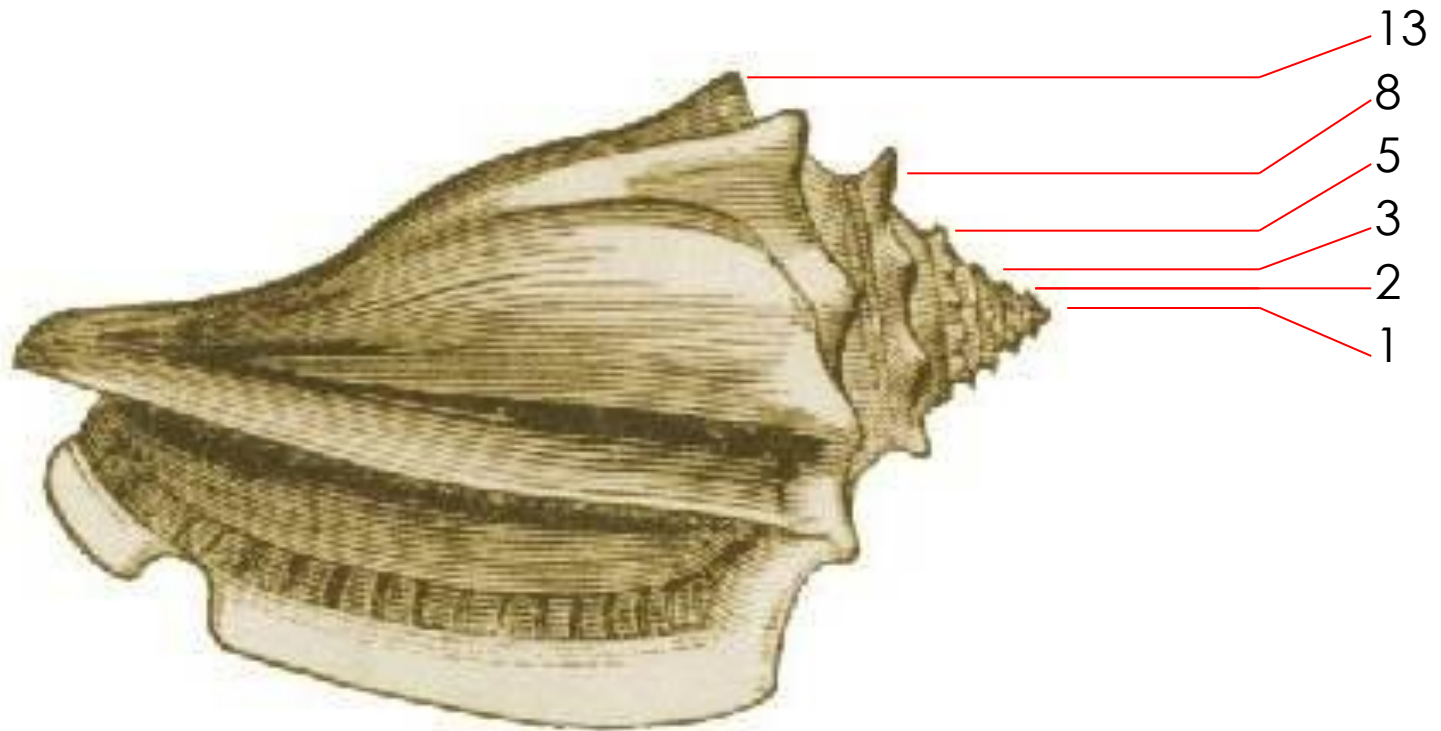
- Arithmetic sequences increase by a constant *amount*
  - $a_n = 3n$
  - The sequence  $\{a_n\}$  is  $\{3, 6, 9, 12, \dots\}$
- Arithmetic Progression
  - $a, a+d, a+2d, \dots, a+nd, \dots$
  - $a_n = a + (n-1)d$
  - Discrete analogue of linear function  $f(x) = dx + a$
- Geometric sequences increase by a constant *factor*
  - $b_n = 2^n$
  - The sequence  $\{b_n\}$  is  $\{2, 4, 8, 16, 32, \dots\}$
- Geometric Progression
  - $a, ar, ar^2, ar^3, \dots, ar^{n-1}, \dots$
  - $a_n = ar^{n-1}$
  - Discrete analogue of exponential function  $f(x) = ar^x$

# Fibonacci Sequence

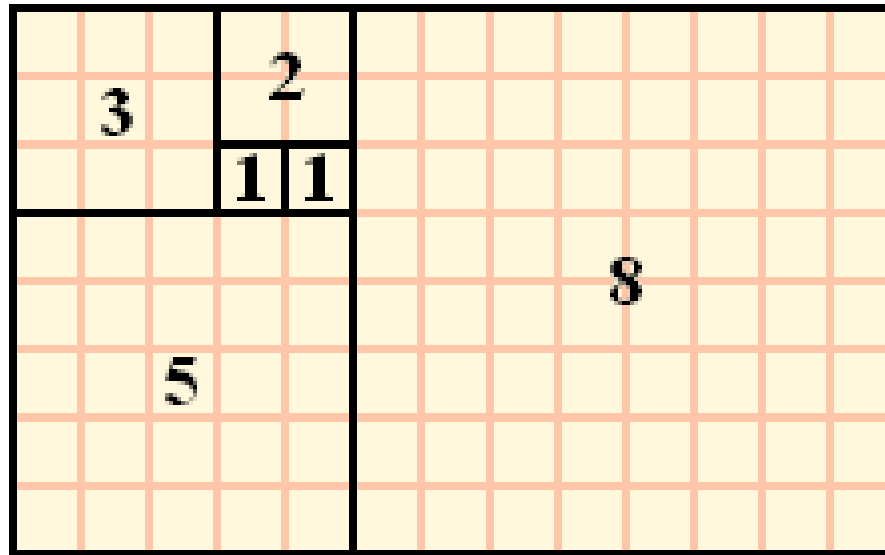
- Sequences can be neither geometric nor arithmetic
  - $F_n = F_{n-1} + F_{n-2}$ , where the first two terms are 1
    - Alternative,  $F(n) = F(n-1) + F(n-2)$
  - Each term is the sum of the previous two terms
  - Sequence: { 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, ... }
  - This is the Fibonacci sequence

- Full formula: 
$$F(n) = \frac{(1 + \sqrt{5})^n - (1 - \sqrt{5})^n}{\sqrt{5} \cdot 2^n}$$

# Fibonacci Sequence in Nature



# Fibonacci Sequence Example



- Fibonacci references from [http://en.wikipedia.org/wiki/Fibonacci\\_sequence](http://en.wikipedia.org/wiki/Fibonacci_sequence)



# Determining the Sequence Formula

- Given values in a sequence, how do you determine the formula?
- Steps to consider:
  - Is it an arithmetic progression (each term a constant amount from the last)?
  - Is it a geometric progression (each term a factor of the previous term)?
  - Does the sequence repeat (or cycle)?
  - Does the sequence combine previous terms?
  - Are there runs of the same value?

# Determining the Sequence Formula

- 1, 0, 1, 1, 0, 0, 1, 1, 1, 0, 0, 0, 1, ...
  - The sequence alternates 1's and 0's, increasing the number of 1's and 0's each time
- 1, 2, 2, 3, 4, 4, 5, 6, 6, 7, 8, 8, ...
  - This sequence increases by one, but repeats all even numbers once
- 1, 0, 2, 0, 4, 0, 8, 0, 16, 0, ...
  - Each term is twice the cube of  $n$ . The non-0 numbers are a geometric sequence ( $2^n$ ) interspersed with zeros
- 3, 6, 12, 24, 48, 96, 192, ...
  - Each term is twice the previous: geometric progression
  - $a_n = 3 \cdot 2^{n-1}$

# Determining the sequence formula

- 15, 8, 1, -6, -13, -20, -27, ...
  - Each term is 7 less than the previous term
  - $a_n = 22 - 7n$
- 3, 5, 8, 12, 17, 23, 30, 38, 47, ...
  - The difference between successive terms increases by one each time
  - $a_1 = 3, a_n = a_{n-1} + n$
  - $a_n = n(n+1)/2 + 2$
- 2, 16, 54, 128, 250, 432, 686, ...
  - Each term is twice the cube of  $n$
  - $a_n = 2 * n^3$
- 2, 3, 7, 25, 121, 721, 5041, 40321
  - Each successive term is about  $n$  times the previous
  - $a_n = n! + 1$
  - Alternatively:  $a_n = a_{n-1} * n - n + 1$

# Useful Sequences

- $n^2 = 1, 4, 9, 16, 25, 36, \dots$
- $n^3 = 1, 8, 27, 64, 125, 216, \dots$
- $n^4 = 1, 16, 81, 256, 625, 1296, \dots$
- $2^n = 2, 4, 8, 16, 32, 64, \dots$
- $3^n = 3, 9, 27, 81, 243, 729, \dots$
- $n! = 1, 2, 6, 24, 120, 720, \dots$

# Summations

- A summation:

$\sum_{j=m}^n a_j$  or  $\sum_{j=m}^n a_j$

upper limit

lower limit

index of summation

- is like a for loop:

```
int sum = 0;
for ( int j = m; j <= n; j++ )
    sum += a(j);
```

# Evaluating Sequences

- $\sum_{k=1}^5 (k+1)$   
 $= 2 + 3 + 4 + 5 + 6 = 20$
- $\sum_{k=0}^4 (-2)^k$   
 $= (-2)^0 + (-2)^1 + (-2)^2 + (-2)^3 + (-2)^4 = 11$
- $\sum_{k=1}^{10} 3$   
 $= 3 + 3 + 3 + 3 + 3 + 3 + 3 + 3 + 3 + 3 = 30$
- $\sum_{k=1}^{10} (2^k - 2^{k-1})$   
 $= (2^1 - 2^0) + (2^2 - 2^1) + (2^3 - 2^2) + \dots + (2^{10} - 2^9) = 1023$ 
  - Note that each term (except the first and last) is cancelled by another term

# Evaluating Sequences

- Let  $S = \{ 1, 3, 5, 7 \}$
- What is  $\sum_{j \in S} j$ 
  - $1 + 3 + 5 + 7 = 16$
- What is  $\sum_{j \in S} j^2$ 
  - $1^2 + 3^2 + 5^2 + 7^2 = 84$
- What is  $\sum_{j \in S} (1/j)$ 
  - $1/1 + 1/3 + 1/5 + 1/7 = 176/105$
- What is  $\sum_{j \in S} 1$ 
  - $1 + 1 + 1 + 1 = 4$

# Summation of A Geometric Series

- Sum of a geometric series:

$$\sum_{j=0}^n ar^j = \begin{cases} \frac{ar^{n+1} - a}{r - 1} & \text{if } r \neq 1 \\ (n+1)a & \text{if } r = 1 \end{cases}$$

- Example:

$$\sum_{j=0}^{10} 2^n = \frac{2^{10+1} - 1}{2 - 1} = \frac{2048 - 1}{1} = 2047$$



# Proof

- If  $r = 1$ , then the sum is:

$$S = \sum_{j=0}^n a = (n+1)a$$

$$S = \sum_{j=0}^n ar^j$$

$$rS = r \sum_{j=0}^n ar^j = \sum_{j=0}^n ar^{j+1}$$

$$= \sum_{k=1}^{n+1} ar^k$$

Shifting the index  
with  $k = j+1$

$$= \sum_{k=0}^n ar^k + (ar^{n+1} - a)$$

$$rS = S + (ar^{n+1} - a)$$

$$rS - S = (ar^{n+1} - a)$$

$$S(r-1) = (ar^{n+1} - a)$$

$$S = \frac{(ar^{n+1} - a)}{r-1}$$

# Double Summations

- Like a nested for loop

$$\sum_{i=1}^4 \sum_{j=1}^3 ij$$

- Is equivalent to:

```
int sum = 0;
for ( int i = 1; i <= 4; i++ )
    for ( int j = 1; j <= 3; j++ )
        sum += i*j;
```

# Cardinality

- For finite sets (only), cardinality is the number of elements in the set
- For finite and infinite sets, two sets  $A$  and  $B$  have the same cardinality if there is a one-to-one correspondence from  $A$  to  $B$

# Cardinality

- Example on finite sets:
  - Let  $S = \{ 1, 2, 3, 4, 5 \}$
  - Let  $T = \{ a, b, c, d, e \}$
  - There is a one-to-one correspondence between the sets
  
- Example on infinite sets:
  - Let  $S = \mathbf{Z}^+$
  - Let  $T = \{ x \mid x = 2k \text{ and } k \in \mathbf{Z}^+ \}$
  - One-to-one correspondence:
 

$1 \leftrightarrow 2$	$2 \leftrightarrow 4$	$3 \leftrightarrow 6$	$4 \leftrightarrow 8$
$5 \leftrightarrow 10$	$6 \leftrightarrow 12$	$7 \leftrightarrow 14$	$8 \leftrightarrow 16$

Etc.
  - Note that here the ' $\leftrightarrow$ ' symbol means that there is a correspondence between them, not the biconditional

# More Definitions

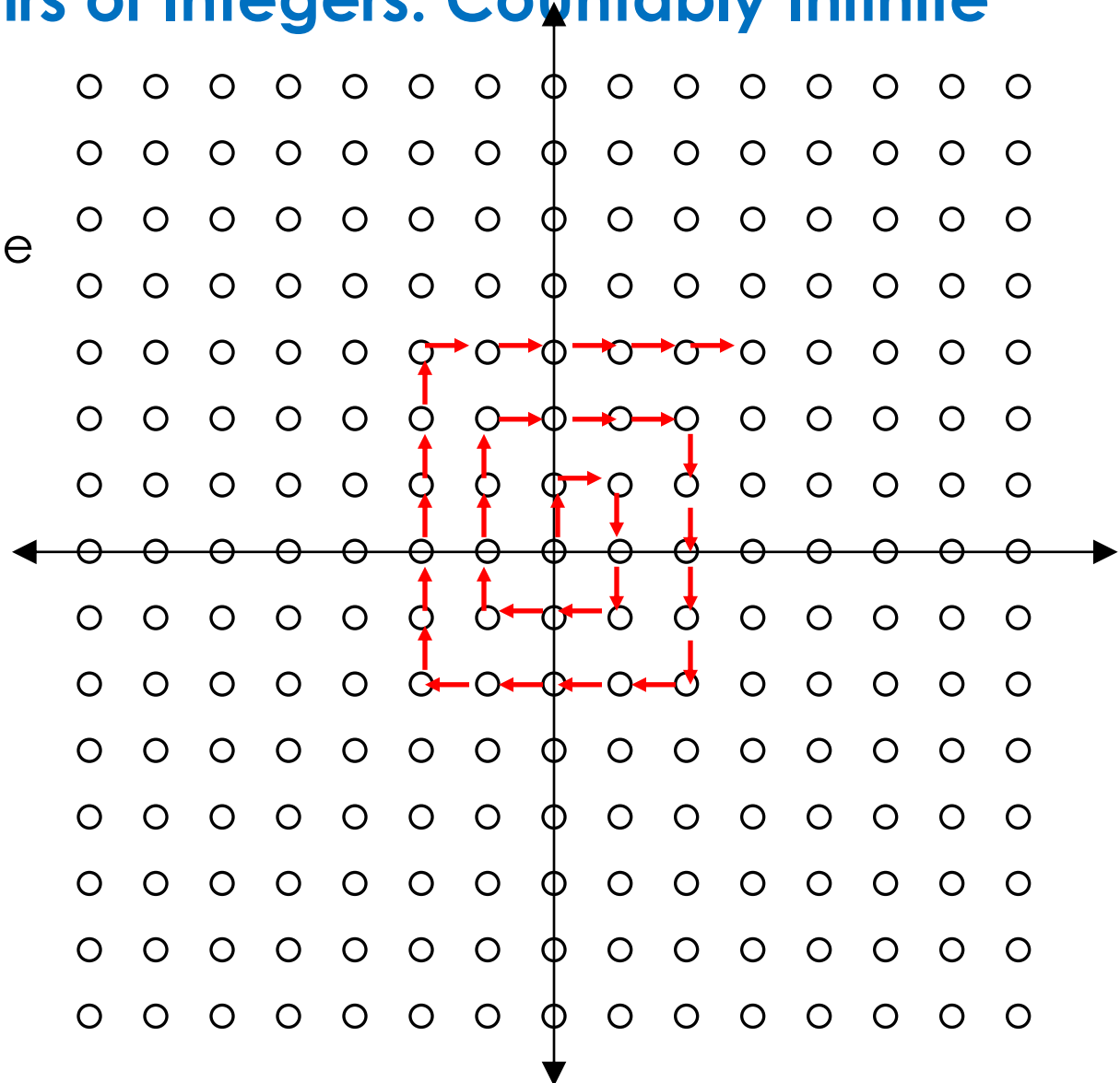
- A set that is either finite or has the same cardinality as the set of  $\mathbf{Z}^+$  is called **countable**.
- A set that is not countable is called **uncountable**.
- **Countably infinite**: elements can be listed
  - Anything that has the same cardinality as the positive integer
  - Example: rational numbers, odd integers, all integers
- **Uncountably infinite**: elements cannot be listed
  - Example: real numbers
- When an infinite set  $S$  is countable, we denote the cardinality of  $S$  by  $\aleph_0$  (aleph null) --  $|S| = \aleph_0$

# Showing a Set is Countably Infinite

- Done by showing there is a one-to-one correspondence between the set and the positive integers
- Examples
  - Even numbers
    - Shown two slides ago
  - Rational numbers
    - Shown next two slides
  - Ordered pairs of integers
    - Shown next slide

# Ordered Pairs of Integers: Countably Infinite

A one-to-one  
correspondence



# Show that the rational numbers are countably infinite

- First, let's show the positive rationals are countable
- See diagram:
- Can easily add 0 (add one column to the left)
- Can add negative rationals as well

