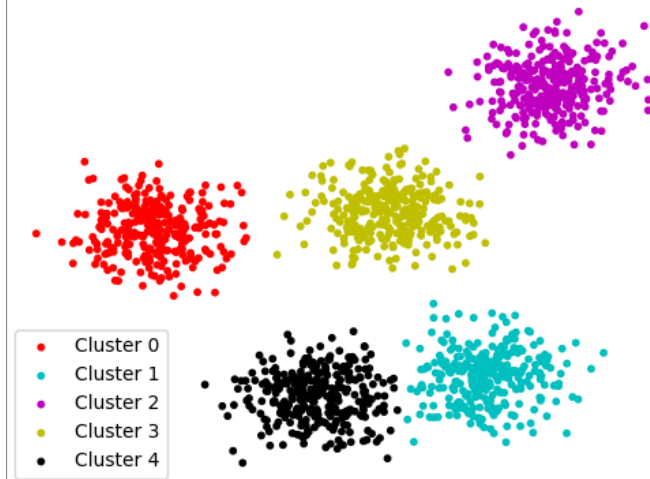


# Clustering: K-Means, Nearest Neighbors

Foundation of Data Analysis

03/02/2021

# Clustering Example



Divide data into different groups



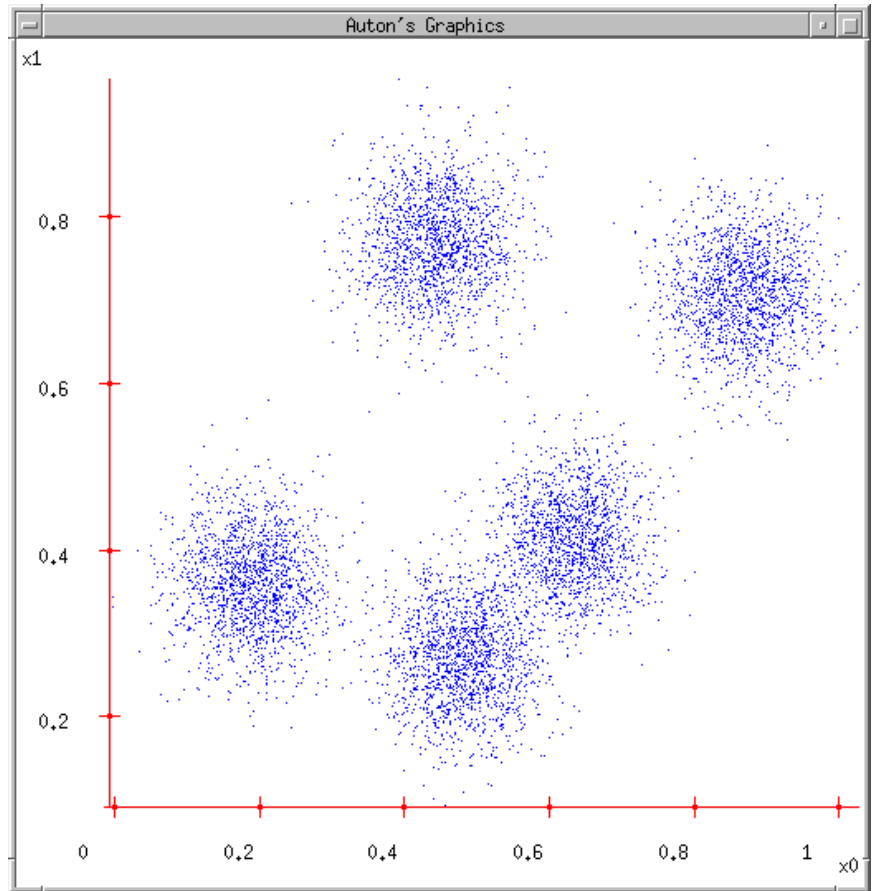
Original image



Segmented image

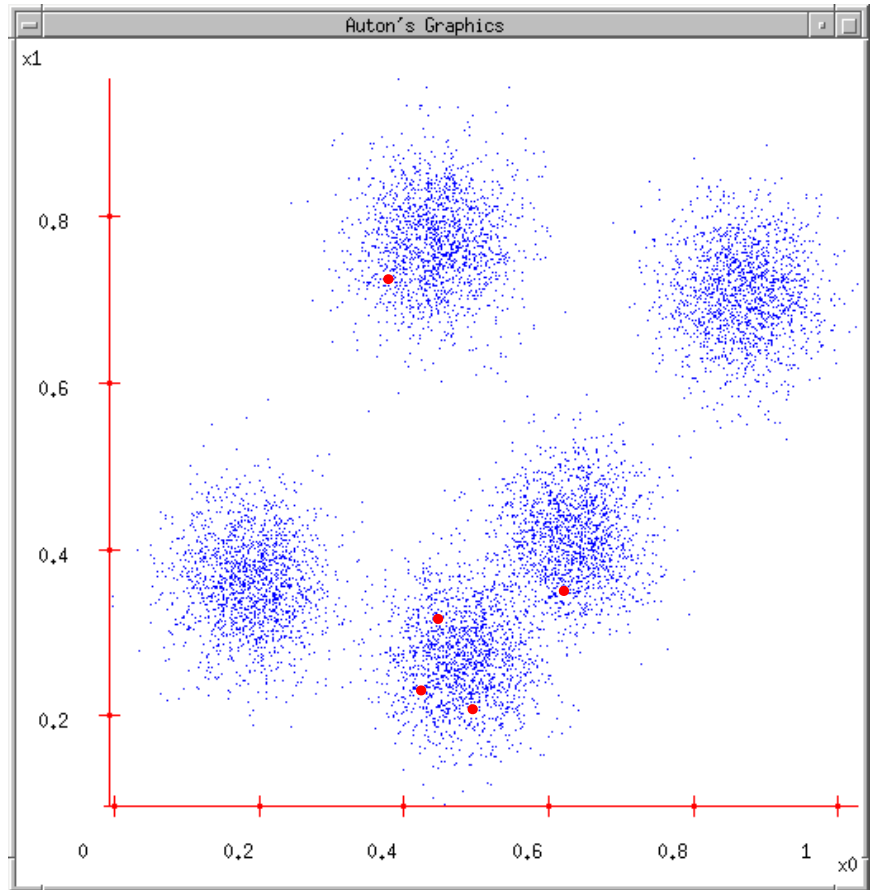
# Clustering: K-means

1. Ask user how many clusters they'd like (e.g.  $k=5$ )



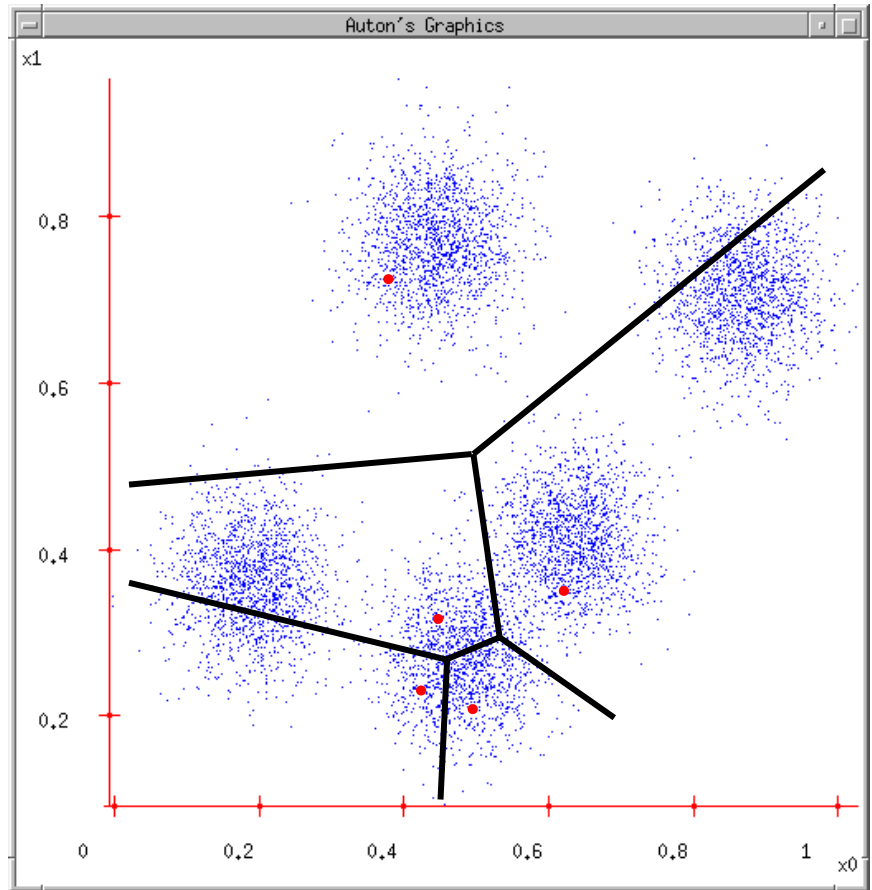
# Clustering: K-means

1. Ask user how many clusters they'd like (e.g.  $k=5$ )
2. Randomly guess  $k$  cluster Center locations



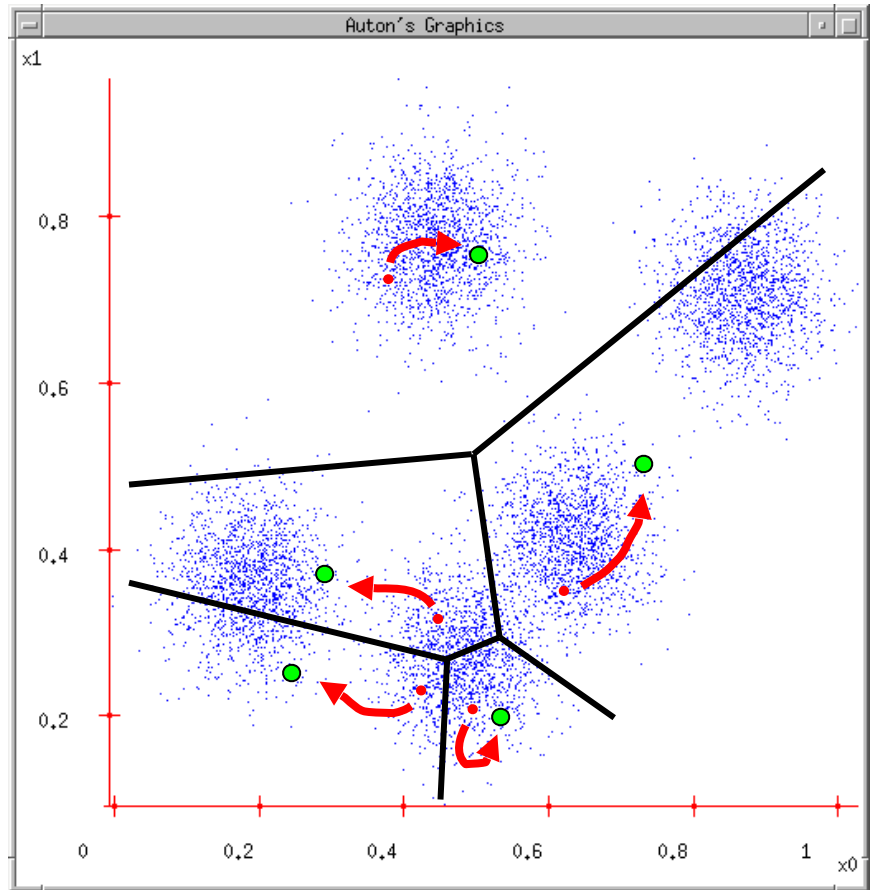
# Clustering: K-means

1. Ask user how many clusters they'd like (e.g.  $k=5$ )
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3. Each datapoint finds out which Center it's closest to.



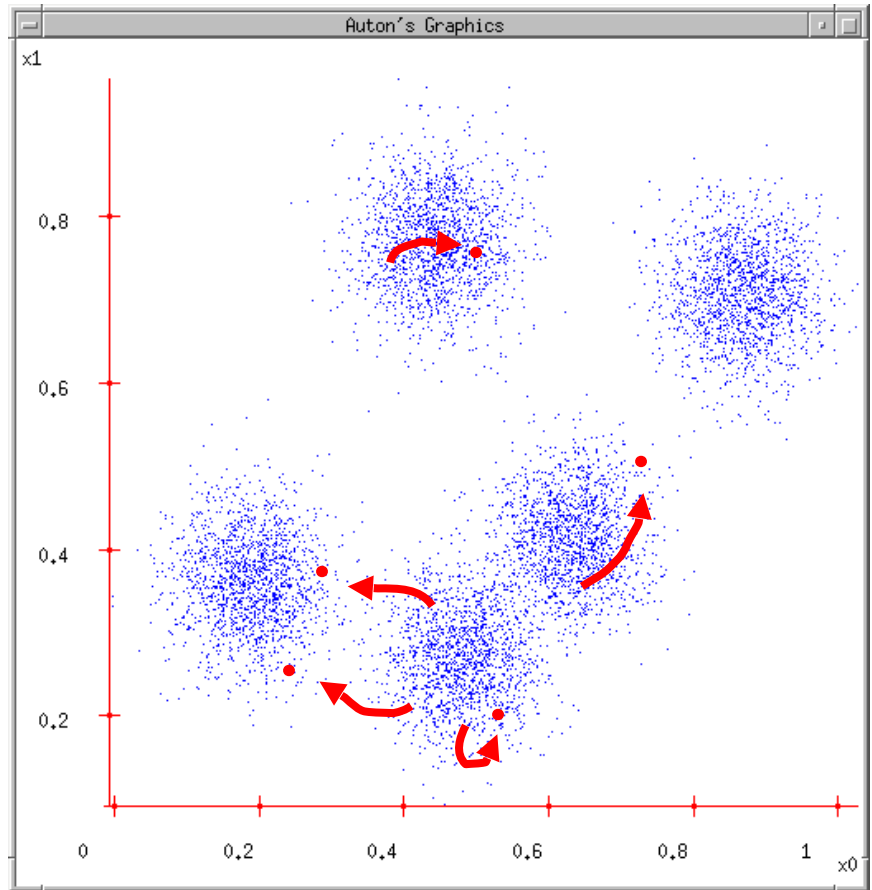
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4. Each Center finds the centroid of the points it owns...



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2. Randomly guess  $k$  cluster Center locations
3. Each datapoint finds out which Center it's closest to.
4. Each Center finds the centroid of the points it owns...
5. ...and jumps there
6. ...Repeat until terminated!



# Disadvantages of K-means

- Does not work efficiently with complex geometrical shaped data (mostly non-linear)
- Hard assignment for labels might lead to misgrouping

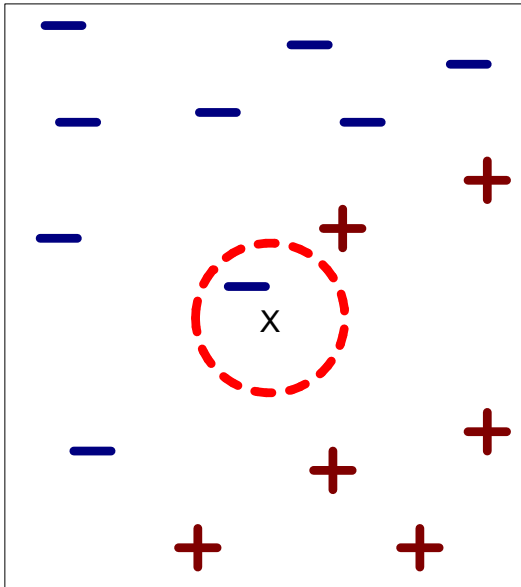


# Disadvantages of K-means

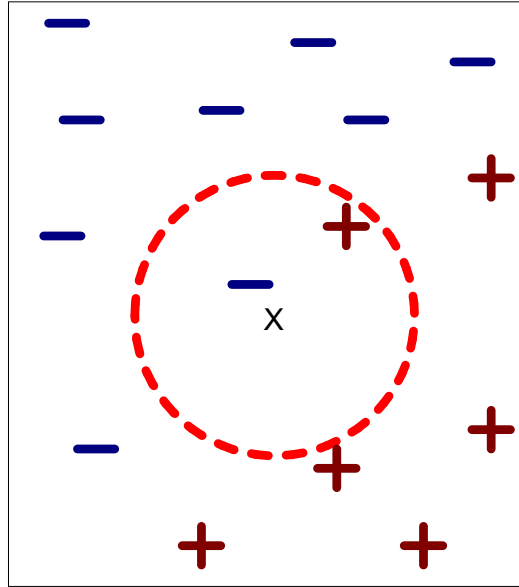
- Does not work efficiently with complex geometrical shaped data (mostly non-linear)
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- Nearest Neighbors: (Un)supervised Learning (non-parametric model)

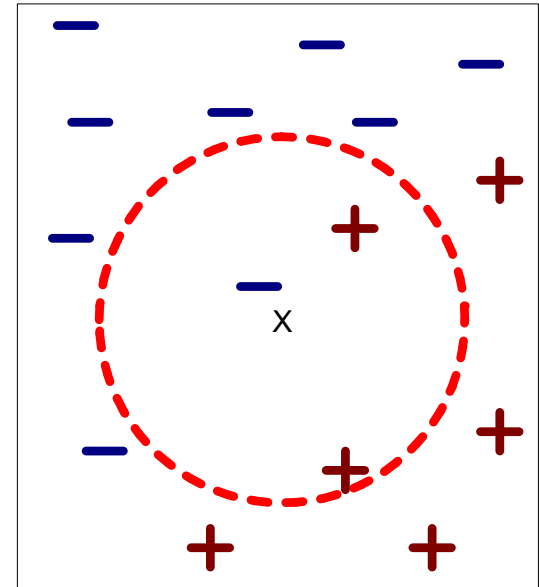
# Nearest Neighbors



(a) 1-nearest neighbor



(b) 2-nearest neighbor



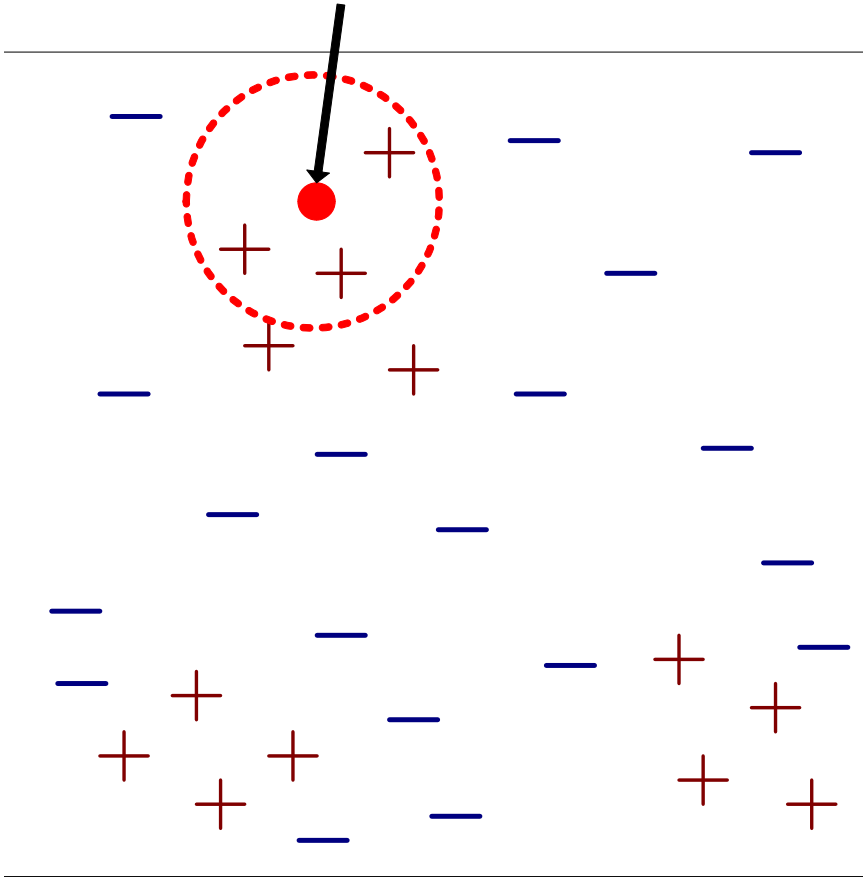
(c) 3-nearest neighbor

K-nearest neighbors of **seed x**: data points that have the k smallest distance to x.

- K-Nearest Neighbor (KNN) classification - supervised learning

# KNN Classifiers

Unknown seed



- Requires three things
  - The set of stored records
  - Distance metric
  - The value of  $k$ , the number of nearest neighbors to retrieve
- To classify an unknown seed:
  - Compute distance to other training seeds
  - Identify  $k$  nearest neighbors
  - Use class labels of nearest neighbors to determine the class label of unknown seed (e.g., by taking majority vote)

# Nearest Neighbor Classification

- Compute distance between two points:

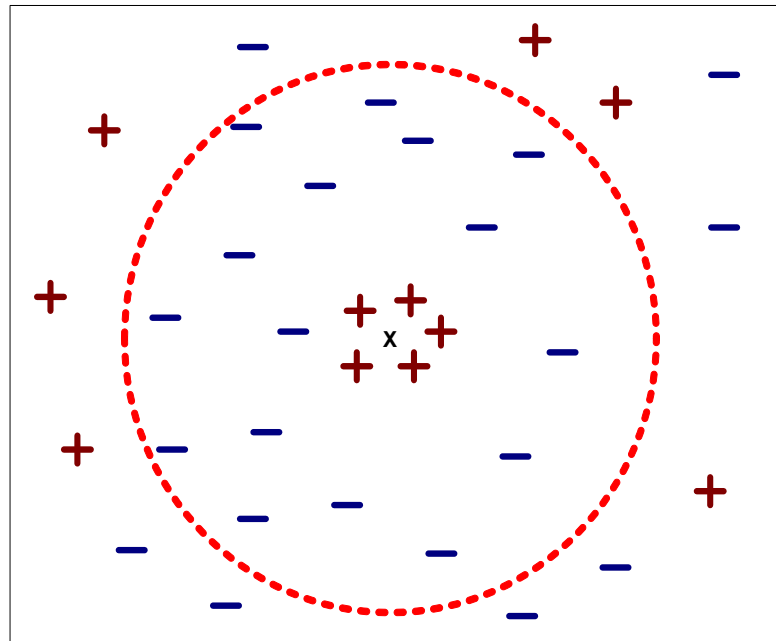
- Euclidean distance

$$d(p, q) = \sqrt{\sum_{i=1}^d (p^i - q^i)^2}$$

- Determine the class from nearest neighbor list
  - take the majority vote of class labels among the k-nearest neighbors
  - optionally weight the vote according to distance
    - weight factor,  $w = 1/d^2$

# Nearest Neighbor Classification...

- Choosing the value of  $k$ :
  - If  $k$  is too small, sensitive to noise
  - If  $k$  is too large, neighborhood may include points from other classes



# Issues of Nearest Neighbor Classification

- Scaling issues
  - Attributes (features) may have to be scaled to prevent distance measures from being dominated by one of the attributes
  - Example:
    - height of a person may vary from 1.5m to 1.8m
    - weight of a person may vary from 90lb to 300lb
    - income of a person may vary from \$10K to \$1M



# K-NN Algorithm

- Training:
  - Save the training examples
- At prediction:
  - Find the  $k$  training examples  $(x_1, y_1), \dots, (x_k, y_k)$  that are closest to the test example  $x$
  - Predict the most frequent class among those  $y_i$ 's.

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- Improvements:
  - Weighting examples from the neighborhood
  - Measuring “closeness”
  - Finding “close” examples in a large training set quickly

# Tricks with Fast k-NN

## K-means using r-NN

1. Pick  $k$  points  $c_1=x_1, \dots, c_k=x_k$  as centers
2. For each  $x_i$ , find  $D_i = \text{Neighborhood}(x_i)$
3. For each  $x_i$ , let  $c_i = \text{mean}(D_i)$
4. Go to step 2....