# Clustering: K-Means, Nearest Neighbors

Foundation of Data Analysis 01/30/2020

## Clustering Example



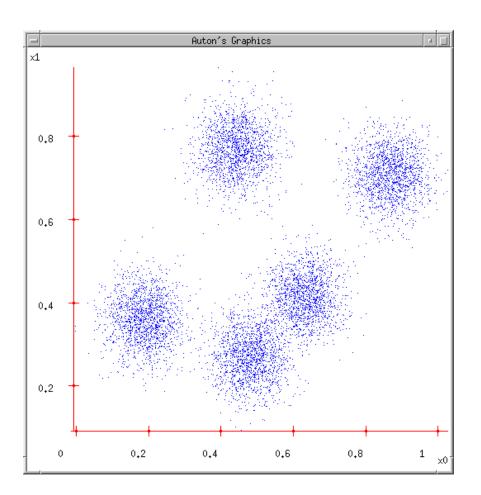


Original image

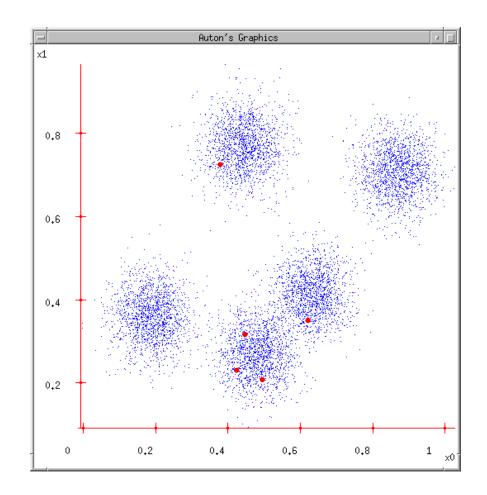
Segmented image

Divide data into different groups

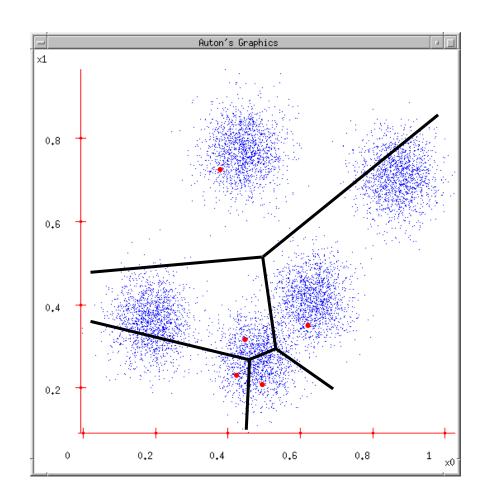
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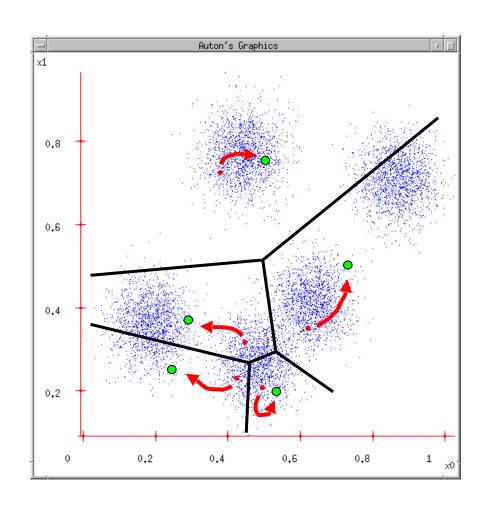
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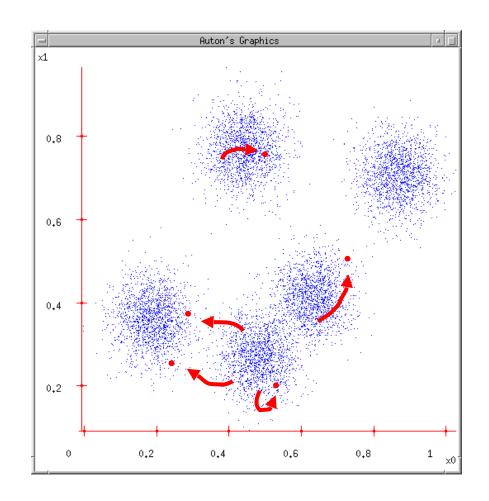
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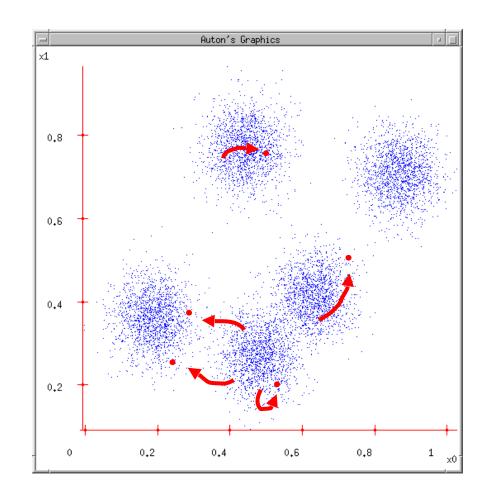
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- 6. ...Repeat steps 3-5 until terminated!



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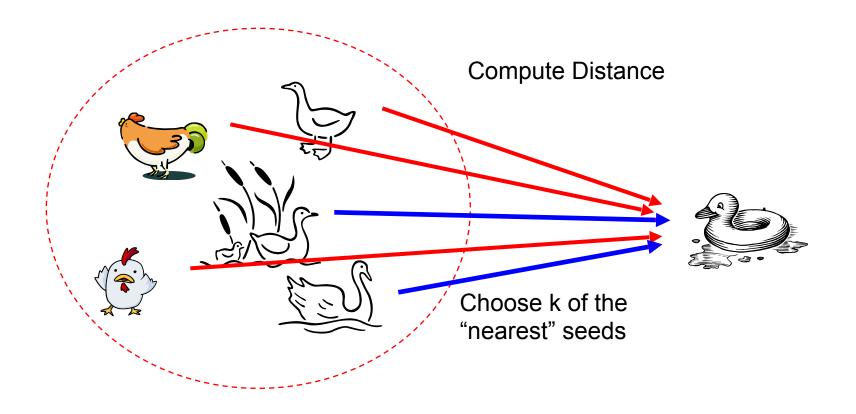
Hard assignment for labels might lead to misgrouping

Random guess for initialization might be a hassle

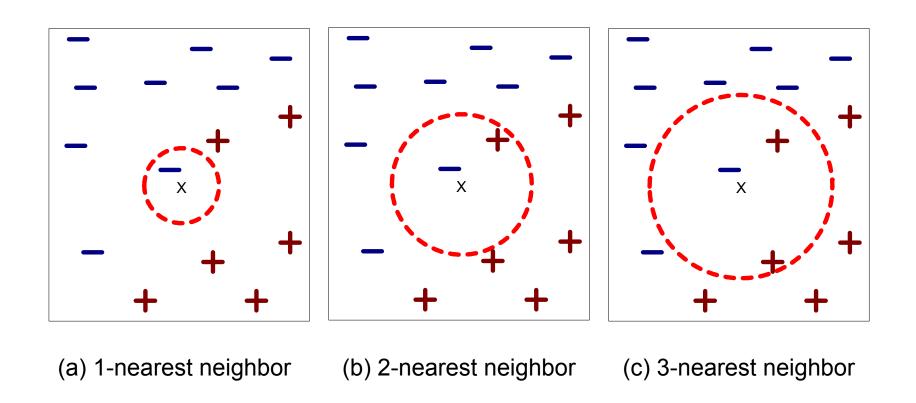
 Nearest Neighbors: (Un)supervised Learning (non-parametric model)

### Nearest Neighbors: Unsupervised Learning

- Basic idea:
  - If it walks like a duck, quacks like a duck, then it's probably a duck



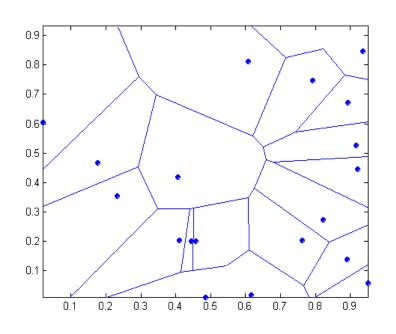
### **Nearest Neighbors**

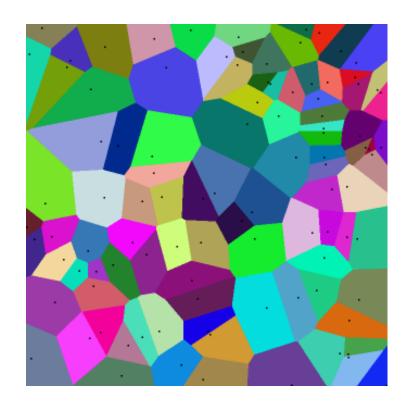


K-nearest neighbors of seed x: data points that have the k smallest distance to x.

### **Nearest Neighbor**

### Voronoi Diagram



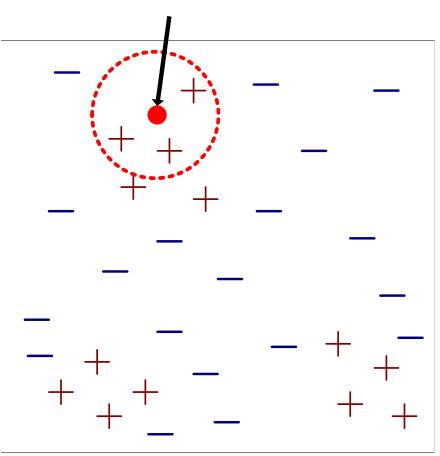


- Partitions space into regions
- boundary: points at the same distance from two different training examples

 K-Nearest Neighbor (KNN) classification - supervised learning

### **KNN Classifiers**

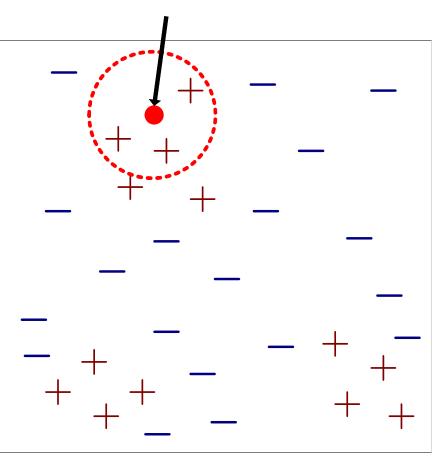
#### Unknown seed



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- Requires three things
  - The set of stored records
  - Distance metric
  - The value of k, the number of nearest neighbors to retrieve
- To classify an unknown seed:
  - Compute distance to other training seeds
  - Identify k nearest neighbors
  - Use class labels of nearest neighbors to determine the class label of unknown seed (e.g., by taking majority vote)

### Nearest Neighbor Classification

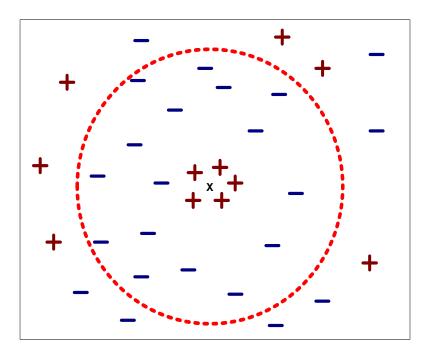
- Compute distance between two points:
  - Euclidean distance (L2 norm)

$$d(p,q) = \sqrt{\sum_{i} (p_{i} - q_{i})^{2}}$$

- Determine the class from nearest neighbor list
  - take the majority vote of class labels among the k-nearest neighbors
  - Weight the vote according to distance
    - weight factor, w = 1/d²

## Nearest Neighbor Classification...

- Choosing the value of k:
  - If k is too small, sensitive to noise points
  - If k is too large, neighborhood may include points from other classes

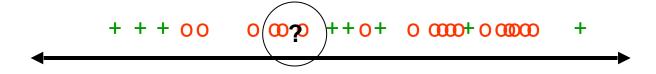


### Issues of Nearest Neighbor Classification

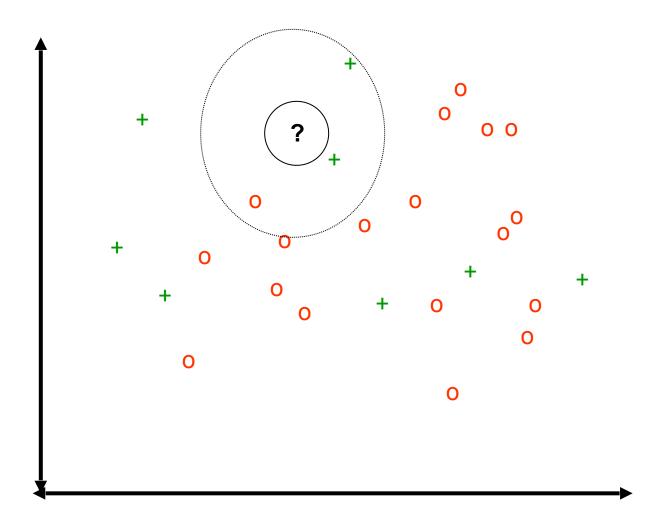
### Scaling issues

- Attributes may have to be scaled to prevent distance measures from being dominated by one of the attributes
- Example:
  - height of a person may vary from 1.5m to 1.8m
  - weight of a person may vary from 90lb to 300lb
  - income of a person may vary from \$10K to \$1M

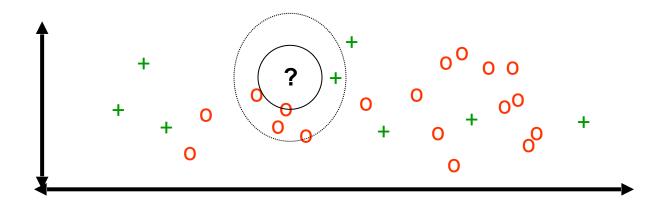
### K-NN and Irrelevant Features



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### Issues of Nearest Neighbor Classification

- Problem with Euclidean measure:
  - High dimensional data
    - curse of dimensionality
  - Can produce counter-intuitive results

Solution: Normalize the vectors to unit length.

### K-NN Algorithm

- Training:
  - Save the training examples
- At prediction:
  - Find the k training examples  $(x_1, y_1), ..., (x_k, y_k)$  that are closest to the test example x
  - Predict the most frequent class among those  $y_i$ 's.

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- Improvements:
  - Weighting examples from the neighborhood
  - Measuring "closeness"
  - Finding "close" examples in a large training set quickly

#### Tricks with Fast k-NN

### K-means using r-NN

- 1. Pick k points  $c_1 = x_1, \dots, c_k = x_k$  as centers
- 2. For each  $x_i$ , find  $D_i$ =Neighborhood( $x_i$ )
- 3. For each  $x_i$ , let  $c_i$ =mean( $D_i$ )
- 4. Go to step 2....