

CS 258: Quantum Cryptography

Mark Zhandry

So far in CS 258: security of classical protocols against quantum attacks

Good guy = classical

Bad guy = quantum

Rest of course: quantum protocols

Everyone = quantum

Why quantum protocols?

Possibly better security / security under milder or no assumptions
(e.g. QKD)

This week



Accomplish classically-impossible tasks
(e.g. Quantum Money)

Final week



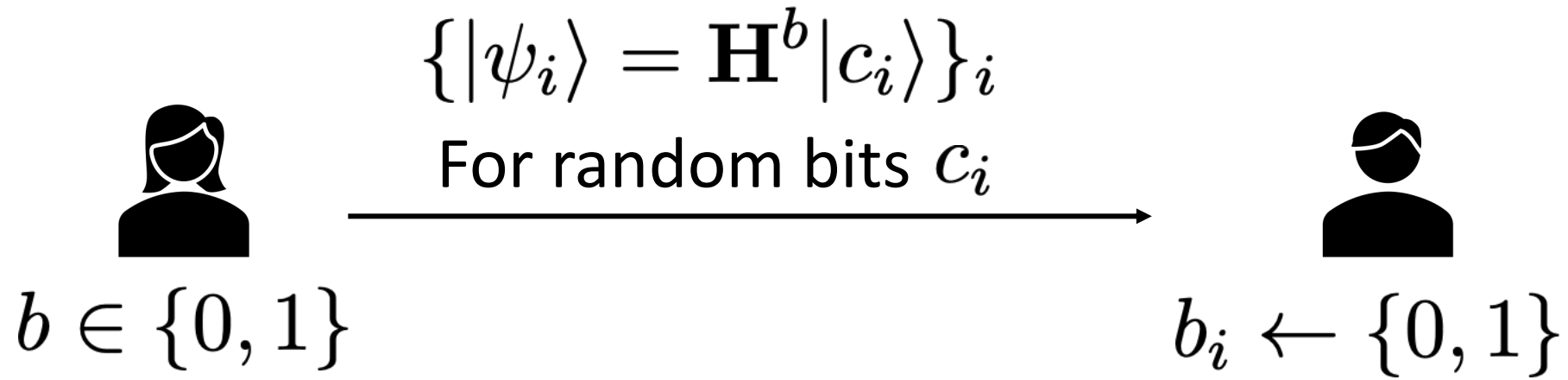
Dream inspired by QKD: maybe everything can
be made information-theoretic!

Today: unfortunately, as with classical crypto,
basically everything requires computational security

Example: quantum commitments

A protocol inspired by QKD

Commit phase:



$$\text{measure } \mathbf{H}^{b_i} |\psi\rangle = \mathbf{H}^{b_i \oplus b} |c_i\rangle \Rightarrow c'_i$$

Roughly half the b_i will be correct $\Rightarrow c'_i = c_i$

Roughly half the b_i will be incorrect $\Rightarrow c'_i$ uniform

Theorem: Protocol is (statistically) hiding

Density matrix

Consider a distribution over quantum states, where $|\phi_i\rangle$ is sampled with probability p_i . This is called a “mixed state”

$$\text{Define } \rho = \sum_i p_i |\phi_i\rangle \langle \phi_i|$$

ρ captures all statistical information about the mixed state

Examples:

$$|\phi_0\rangle = |0\rangle$$

$$|\phi_1\rangle = |1\rangle$$

$$p_0 = p_1 = \frac{1}{2}$$

$$\rho = \frac{1}{2}|0\rangle\langle 0| + \frac{1}{2}|1\rangle\langle 1| = \begin{pmatrix} 1/2 & \\ & 1/2 \end{pmatrix}$$

Called the maximally mixed state

Examples:

$$\begin{aligned} |\phi_0\rangle &= |+\rangle \\ |\phi_1\rangle &= |-\rangle \end{aligned}$$

$$p_0 = p_1 = \frac{1}{2}$$

$$\begin{aligned} \rho &= \frac{1}{2} \left[\frac{1}{2}(|0\rangle + |1\rangle)(\langle 0| + \langle 1|) \right] + \frac{1}{2} \left[\frac{1}{2}(|0\rangle - |1\rangle)(\langle 0| - \langle 1|) \right] \\ &= \begin{pmatrix} 1/2 & \\ & 1/2 \end{pmatrix} \end{aligned}$$

Examples:

$$\begin{aligned} |\phi_0\rangle &= |+\rangle \\ |\phi_1\rangle &= |-\rangle \end{aligned}$$

$$p_0 = p_1 = \frac{1}{2}$$

$$\begin{aligned} \rho &= \frac{1}{2} \left[\frac{1}{2}(|0\rangle + |1\rangle)(\langle 0| + \langle 1|) \right] + \frac{1}{2} \left[\frac{1}{2}(|0\rangle - |1\rangle)(\langle 0| - \langle 1|) \right] \\ &= \begin{pmatrix} 1/2 & \\ & 1/2 \end{pmatrix} \end{aligned}$$

Examples:

$$|\phi_0\rangle = |0\rangle \quad p_0 = 1/4$$

$$|\phi_1\rangle = |+\rangle \quad p_1 = 1/4$$

$$|\phi_2\rangle = |-\rangle \quad p_2 = 1/2$$

$$\begin{aligned} \rho &= \frac{1}{4}|0\rangle\langle 0| + \frac{1}{4}|+\rangle\langle +| + \frac{1}{2}|-\rangle\langle -| \\ &= \begin{pmatrix} 5 & -1 \\ -1 & 3 \end{pmatrix} / 8 \end{aligned}$$

Observations

Hermitian $\rho^\dagger = \left(\sum_i p_i |\phi_i\rangle \langle \phi_i| \right)^\dagger = \sum_i p_i |\phi_i\rangle \langle \phi_i| = \rho$

Positive semi-definite

$$\text{Tr}(\rho) = \text{Tr} \left(\sum_i p_i |\phi_i\rangle \langle \phi_i| \right) = \sum_i p_i \text{Tr}(|\phi_i\rangle \langle \phi_i|) = \sum_i p_i \text{Tr}(\langle \phi_i | \phi_i \rangle) = \sum_i p_i = 1$$

Classical probabilities distributions correspond to diagonal

$$\rho = \sum_i p_i |i\rangle \langle i|$$

Density matrix also captures individual systems of entangled states

$$|\psi\rangle_{\mathcal{A},\mathcal{B}} = \sum_{x,y} \alpha_{x,y} |x,y\rangle$$

System \mathcal{A} has density matrix, which can be captured by imagining measuring \mathcal{B} , and taking the probability measurement over outcomes

(Density matrix well-defined even if \mathcal{B} not measured)

$$|\psi\rangle_{\mathcal{A},\mathcal{B}} = \sum_{x,y} \alpha_{x,y} |x, y\rangle$$

Probability measurement gives y : $p_y = \sum_x |\alpha_{x,y}|^2$

Post-measurement state: $|\psi_y\rangle = \frac{1}{\sqrt{p_y}} \sum_x \alpha_{x,y} |x\rangle$

Density matrix:

$$\rho = \sum_y p_y |\psi_y\rangle \langle \psi_y| = \sum_{x,x',y} \alpha_{x,y} \alpha_{x',y}^\dagger |x\rangle \langle x'|$$

Examples:

$$|\psi\rangle_{\mathcal{A},\mathcal{B}} = \frac{1}{\sqrt{2}}|0,0\rangle + \frac{1}{\sqrt{2}}|1,1\rangle$$

Probability measuring \mathcal{B} gives b : $p_0 = p_1 = 1/2$

Post-measurement state for \mathcal{A} : $|\psi_b\rangle = |b\rangle$

$$\rho = \begin{pmatrix} 1/2 & \\ & 1/2 \end{pmatrix}$$

Examples:

$$|\psi\rangle = \frac{1}{2}|0, 0\rangle + \frac{1}{4}|0, 1\rangle + \frac{1}{4}|1, 1\rangle$$

Probability measuring \mathcal{B} gives b : $p_0 = p_1 = 1/2$

Post-measurement state for \mathcal{A} : $|\phi_0\rangle = |0\rangle$
 $|\phi_1\rangle = |+\rangle$

$$\rho = \begin{pmatrix} 3 & 1 \\ 1 & 1 \end{pmatrix} / 4$$

Lemma: If $\rho = \rho'$, then no test can distinguish distributions

Proof: Suppose we apply a unitary U and measure.
Probability of observing x is:

$$\begin{aligned}\sum_i p_i |\langle x|U|\phi_i\rangle|^2 &= \sum_i \langle x|U|\phi_i\rangle \langle \phi_i|U^\dagger|x\rangle \\ &= \langle x|U \left(\sum_i p_i |\phi_i\rangle \langle \phi_i| \right) U^\dagger|x\rangle \\ &= \langle x|U\rho U^\dagger|x\rangle\end{aligned}$$

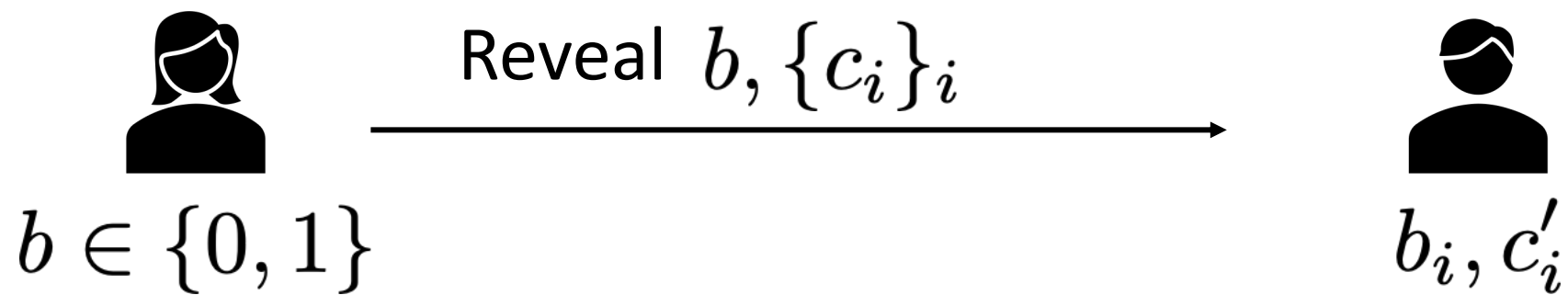
Theorem: Protocol is (statistically) hiding

Proof: Let's look at density matrix for each $|\psi_i\rangle$

$$\begin{aligned}\rho_b &= \frac{1}{2} \sum_{c_i=0}^1 \mathbf{H}^b |c_i\rangle \langle c_i| \mathbf{H}^b = \frac{1}{2} \mathbf{H}^b \left(\sum_{c_i} |c_i\rangle \langle c_i| \right) \mathbf{H}^b \\ &= \frac{1}{2} \mathbf{H}^b \begin{pmatrix} 1 & \\ & 1 \end{pmatrix} \mathbf{H}^b = \frac{1}{2} \begin{pmatrix} 1 & \\ & 1 \end{pmatrix}\end{aligned}$$

Independent of b , so no test can distinguish
 $b = 0$ from $b = 1$

Reveal phase:



Check that when $b_i = b$, then $c'_i = c_i$

Theorem: Protocol is (statistically) binding????

Proof: Let's suppose Alice commits to $b = 0$ and wants to open to $b = 1$

Wherever $b_i = 1$, she has to send c_i matching Bob's c'_i

But Bob's c'_i is a random bit entirely independent of Alice's view (because it is the result of measuring $\mathbf{H}|c_i\rangle$)

Prob. of this happening for all such i is exponentially small

Problem: a malicious Alice doesn't have to commit honestly

EPR Attack

Commit phase:



Send n halves of EPR pairs,
keep other halves for herself

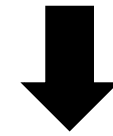


Recall: $|\text{EPR}\rangle = \frac{1}{\sqrt{2}} (|00\rangle + |11\rangle)$

$$\begin{aligned}
\mathbf{H}^{\otimes 2}|\text{EPR}\rangle &= \mathbf{H}^{\otimes 2} \frac{1}{\sqrt{2}} \sum_b |b, b\rangle \\
&= \frac{1}{\sqrt{8}} \sum_{b,c,c'} |c, c'\rangle (-1)^{bc+bc'} \\
&= \frac{1}{\sqrt{2}} \sum_c |c, c\rangle = |\text{EPR}\rangle
\end{aligned}$$

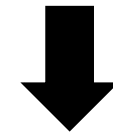
Equivalently, Alice applying \mathbf{H} is equivalent to Bob applying \mathbf{H}

Bob's verification: $|\text{EPR}\rangle = \frac{1}{\sqrt{2}} (|00\rangle + |11\rangle)$



Bob applies \mathbf{H}^{b_i}

$$\mathbf{I} \otimes \mathbf{H}^{b_i} |\text{EPR}\rangle = \mathbf{H}^{b_i} \otimes \mathbf{I} |\text{EPR}\rangle$$

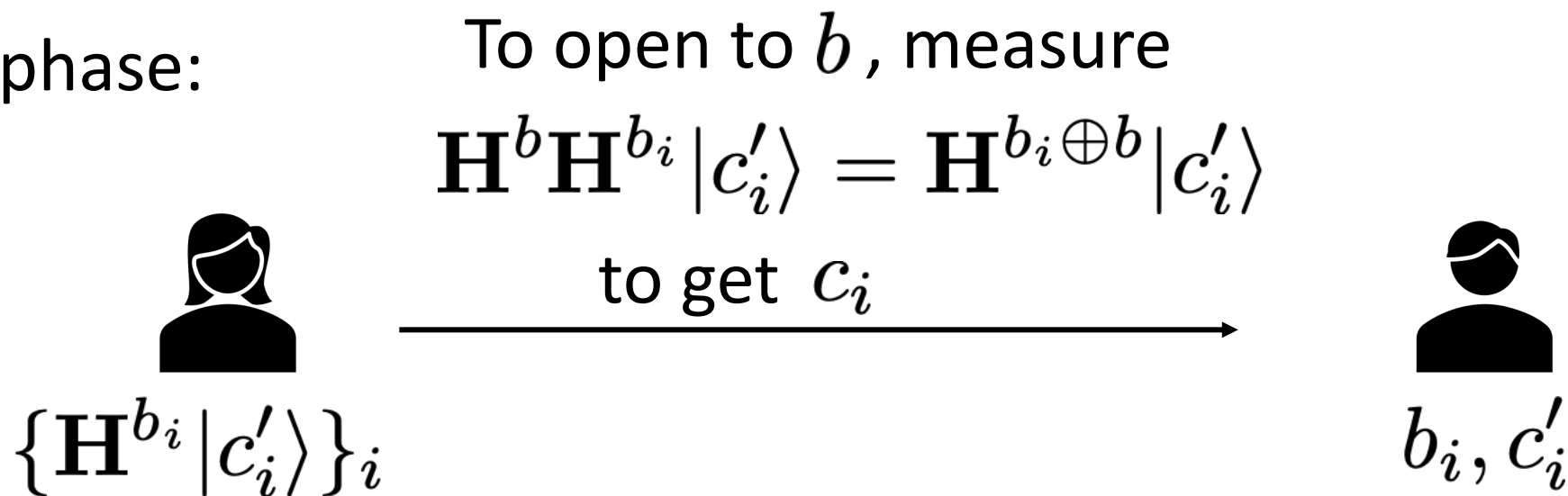


Bob measures to get c'_i

Alice's state collapses to $\mathbf{H}^{b_i} |c'_i\rangle$

Note that Alice still doesn't know b_i or c'_i

Reveal phase:



Roughly half the b_i will be correct $\Rightarrow c'_i = c_i$

Roughly half the b_i will be incorrect $\Rightarrow c'_i$ uniform

Thus, a malicious Alice can perfectly simulate the correct view of Bob for any choice of b

But it gets worse...

Theorem: No commitment can be both statistically binding and hiding

To make proof simpler, we will assume:

- Commitment is a single message from Alice to Bob
- Hiding is **perfect**

Both of these conditions can be relaxed, with more work

Canonical commitment

Commit phase:



Register \mathcal{B}



Alice prepares $|\psi_b\rangle_{\mathcal{A},\mathcal{B}}$

Reveal phase:

b , Register \mathcal{A}



Checks if joint system is $|\psi_b\rangle$

Lemma: Any single-message perfectly hiding commitment can be transformed into a canonical perfectly hiding commitment

Step 1: delay all of Alice's measurements until end

$$|\phi_b\rangle = \sum_{x,y,m_1,m_2} \alpha_{x,y,m_1,m_2} |x, m_1, y, m_2\rangle$$

Step 2: “copy” m_2

$$\sum_{x,y,m_1,m_2} \alpha_{x,y,m_1,m_2} |x, m_1, m_2\rangle_{\mathcal{A}} |y, m_2\rangle_{\mathcal{B}}$$

Don't actually perform measurement

In general, “copying” value is indistinguishable from measuring it

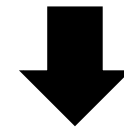
$$\sum_{x,y} \alpha_{x,y} |x, y\rangle$$

Measure y

$$\rho = \sum_{x,x',y} \alpha_{x,y} \alpha_{x',y}^\dagger |x, y\rangle \langle x', y|$$

“copy” y , then view subsystem

$$\sum_{x,y} \alpha_{x,y} |x, y\rangle |y\rangle$$



$$\rho = \sum_{x,x',y} \alpha_{x,y} \alpha_{x',y}^\dagger |x, y\rangle \langle x', y|$$

Lemma: For any perfectly hiding canonical commitment, Alice has a perfect attack on binding

Let ρ_b be density matrix for system \mathcal{B} of $|\psi_b\rangle_{\mathcal{A},\mathcal{B}}$

By perfect hiding, $\rho_0 = \rho_1$

$$|\psi_0\rangle = \sum_{x,y} \alpha_{x,y} |x, y\rangle$$

Assemble $\alpha_{x,y}$ into matrix

$$M_0 = \begin{pmatrix} \alpha_{1,1} & \alpha_{1,2} & \alpha_{1,3} & \cdots \\ \alpha_{2,1} & \alpha_{2,2} & \alpha_{2,3} & \cdots \\ \alpha_{3,1} & \alpha_{3,2} & \alpha_{3,3} & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

Singular Value Decomposition

$$M_0 = U_0 D_0 V_0^T$$

Where: U_0, V_0 unitary
 D_0 diagonal, real, and non-negative

Moreover, $\text{Tr}[D_0^2] = 1$

$$1 = \text{Tr}[M_0^\dagger M_0] = \text{Tr}[V_0^* D_0 U_0^\dagger U_0 D_0 V_0^T] = \text{Tr}[V_0^* D_0^2 V_0^T] = \text{Tr}[V_0^T V_0^* D_0^2] = \text{Tr}[D_0^2]$$

$$M_0 = U_0 D_0 V_0^T$$

Equivalently: $M_0 = \sum_i \sqrt{d_i^0} |\tau_i^0\rangle \langle (\gamma_i^0)^*|$

Where $\sum_i d_i^0 = 1$ $\{|\tau_i^0\rangle\}_i$ orthonormal
 $\{|\gamma_i^0\rangle\}_i$ orthonormal

Equivalently: $|\psi_0\rangle = \sum_i \sqrt{d_i^0} |\tau_i^0\rangle |\gamma_i^0\rangle$

(called Shmidt decomposition)

What is Bob's density matrix?

Applying U_0^\dagger to Alice's state doesn't affect Bob's state

$$\longrightarrow \sum_i \sqrt{d_i^0} |i\rangle |\gamma_i^0\rangle$$

Density matrix for Bob is therefore

$$\rho_0 = \sum_i d_i^0 |\gamma_i^0\rangle \langle \gamma_i^0|$$

Now perform same calculation for $b = 1$

$$\rho_1 = \sum_i d_i^1 |\gamma_i^1\rangle \langle \gamma_i^1|$$

Perfect hiding:
$$\sum_i d_i^1 |\gamma_i^1\rangle \langle \gamma_i^1| = \sum_i d_i^0 |\gamma_i^0\rangle \langle \gamma_i^0|$$

Insight: Left and right sides are eigen-decompositions of same matrix

$$\longrightarrow d_i^0 = d_i^1 \quad |\gamma_i^0\rangle = |\gamma_i^1\rangle$$

$$|\psi_0\rangle = \sum_i \sqrt{d_i} |\tau_i^0\rangle |\gamma_i\rangle \qquad |\psi_1\rangle = \sum_i \sqrt{d_i} |\tau_i^1\rangle |\gamma_i\rangle$$

Since $\{|\tau_i^0\rangle\}_i$ and $\{|\tau_i^1\rangle\}_i$ are each orthonormal sets, there exists a unitary W mapping between them

We actually already almost worked it out: $W = U_1 U_0^\dagger$

Alice's Binding Attack

- Commit to 0
- Later open to 1 by applying W

It turns out that, just like in the classical world, for almost anything we would like to do in cryptography, computational security remains necessary

Intuition: with enough “information” observed, secrets revealed even if information is quantum

Next time: While quantum doesn't usually eliminate assumptions, it can make them milder

In particular, while classical cryptography cannot exist if $P=NP$, quantum cryptography *might* still exist if $NP \subseteq BQP$