

# CS 258: Quantum Cryptography

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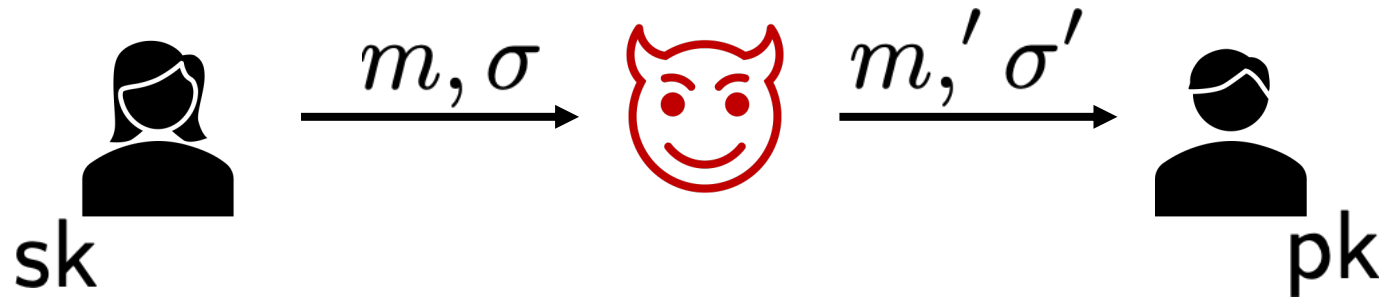
A long long time ago...

# Signatures



# Signatures

$$(sk, pk) \leftarrow \text{Gen}()$$



$$\sigma \leftarrow \text{Sign}(sk, m)$$

$$0/1 \leftarrow \text{Ver}(pk, m', \sigma')$$

Adversary who sees  $pk$  and a signed message  $m, \sigma$  cannot produce another signed message that verifies

# Schnorr Signatures

Assume a “good” hash function  $H$

$$\text{sk} = s$$

$$\text{pk} = g^s$$

$\text{Sign}(\text{sk}, m)$  : choose random  $x$

$$a = g^x$$

$$c = H(m, a)$$

$$r = x + cs$$

$$\sigma = (a, c, r)$$

$\text{Ver}(\text{pk}, m, (a, c, r))$  : check:

$$c = H(m, a)$$

$$g^r = a \times \text{pk}^c$$

# Intuition for security

$$a = g^z \qquad c = H(m, a) \qquad r = z + cs$$

$$\sigma = (a, c, r)$$

The hash enforces that challenge formed after  $m, a$

Otherwise, pick  $r, c$ , let  $a = g^r \times \text{pk}^{-c}$  by construction,  
 $g^r = a \times \text{pk}^c$

find  $m$  s.t  $c = H(m, a)$

# Schnorr for Group Actions

Assume a “good” hash function  $H$

$$\text{sk} = s \qquad \text{pk} = s * x_0$$

$\text{Sign}(\text{sk}, m)$  : choose random  $z_1, \dots, z_\lambda$

$$a_i = z_i * x_0$$

$$c = H(m, a_1, \dots, a_\lambda)$$

$$c \in \{0, 1\}^\lambda \quad r_i = (1 - c_i)z_i + c_i s$$

$$\sigma = (a_1, \dots, a_\lambda, c, r_1, \dots, r_\lambda)$$

Question for today: How do we formally argue security?

In particular, what does it mean for a hash function  
to be “good” in this context?

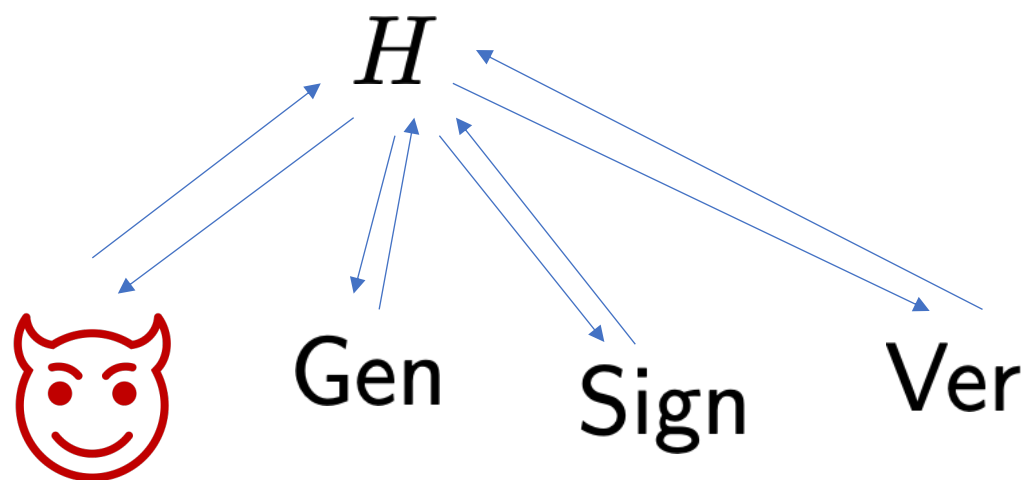


Problem: in general, we have no idea how to prove security of Schnorr from “typical” properties of hash functions

But in real world, nevertheless seems secure. So what do we do?

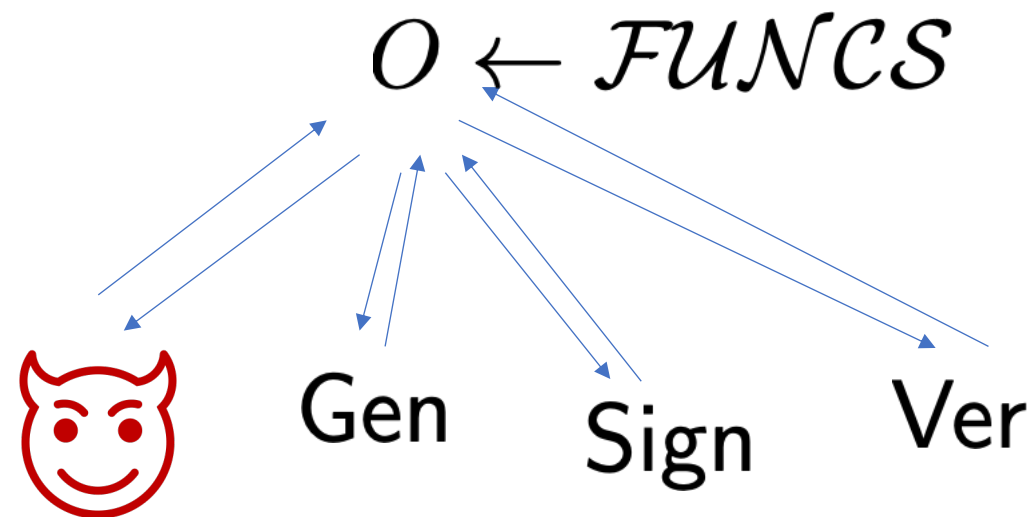
# The (Classical) Random Oracle Model

In real world:



Adversary may do more, but in typical attacks all it does is evaluate  $H$

Random oracle model:



Treat hash function as a truly random function that everyone queries

The random oracle model is **not** an assumption about  $H$

$H$  is clearly distinguishable from a random function, since we have efficient code that evaluates it

Instead, it's an assumption that attacks don't do anything which depends on the code except evaluate. Sometimes called a "heuristic"

The heuristic is known to fail in certain contrived settings. But for "practical" applications, seems to be reasonable

It turns out that the random oracle model is  
the only way we know how to justify the  
security of many of the cryptosystems we  
currently use today

This continues to be true in the quantum setting

# Random oracles in a quantum world

Consider running Grover search on a hash function  $H$

This requires applying the unitary  $U_H$

Just given classical queries to  $H$ , there is no way to apply  $U_H$

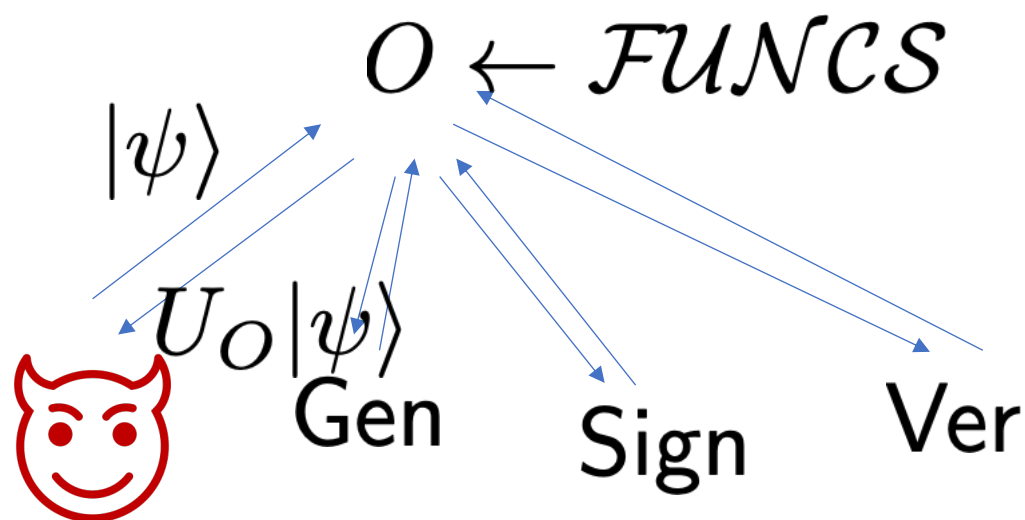
The classical ROM fails to capture standard quantum algorithms!

# The Quantum Query Model

A quantum query to a function  $O$  just means that we get to press a button, and  $U_O$  will be applied to our state. No need to implement it ourselves

Grover search (and collision finding) work in this model, and are known to be optimal in this model

## Quantum random oracle model



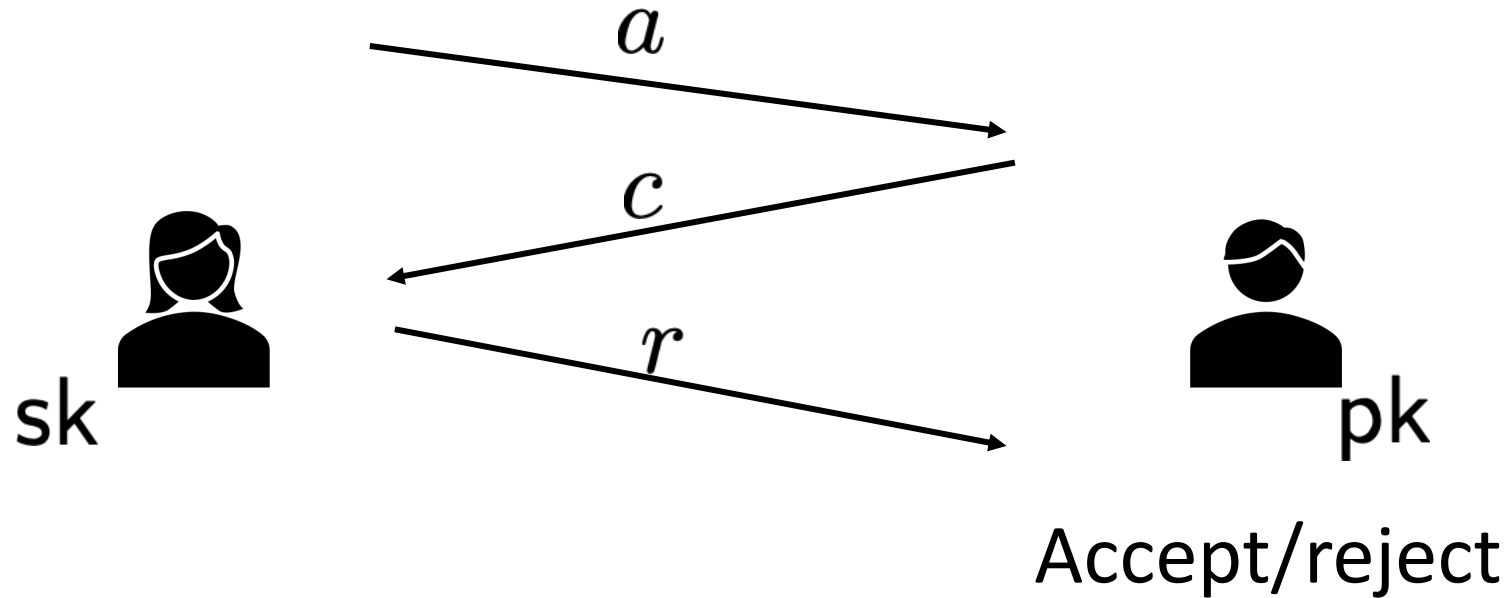
Typically, the “honest” algorithms will still only make classical queries

Now let's see a classical security proof, and how it fails when we move to the quantum setting



# Sigma Protocols

$$(\text{sk}, \text{pk}) \leftarrow \text{Gen}(1^\lambda)$$

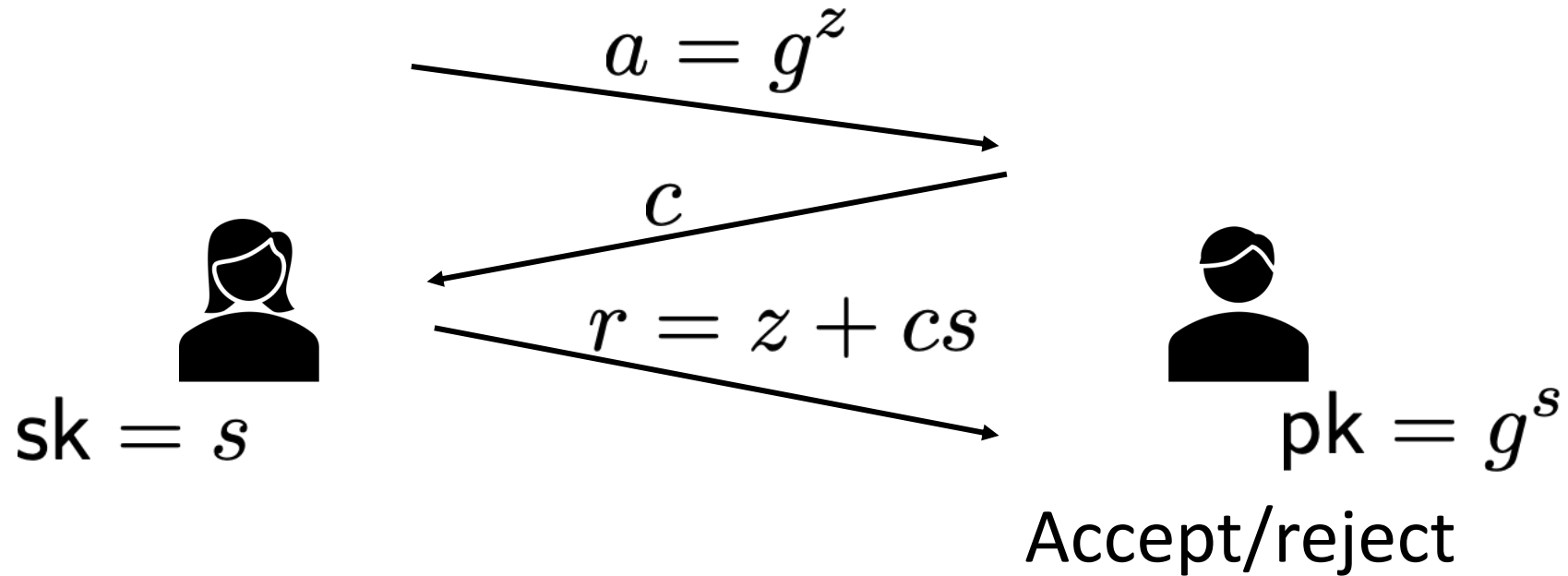


**Public coin:**  $c$  is just a random string

**Soundness:** impossible for adversary to impersonate Alice

**Zero-knowledge:** Anyone can sample  $(a, c, r)$  for themselves

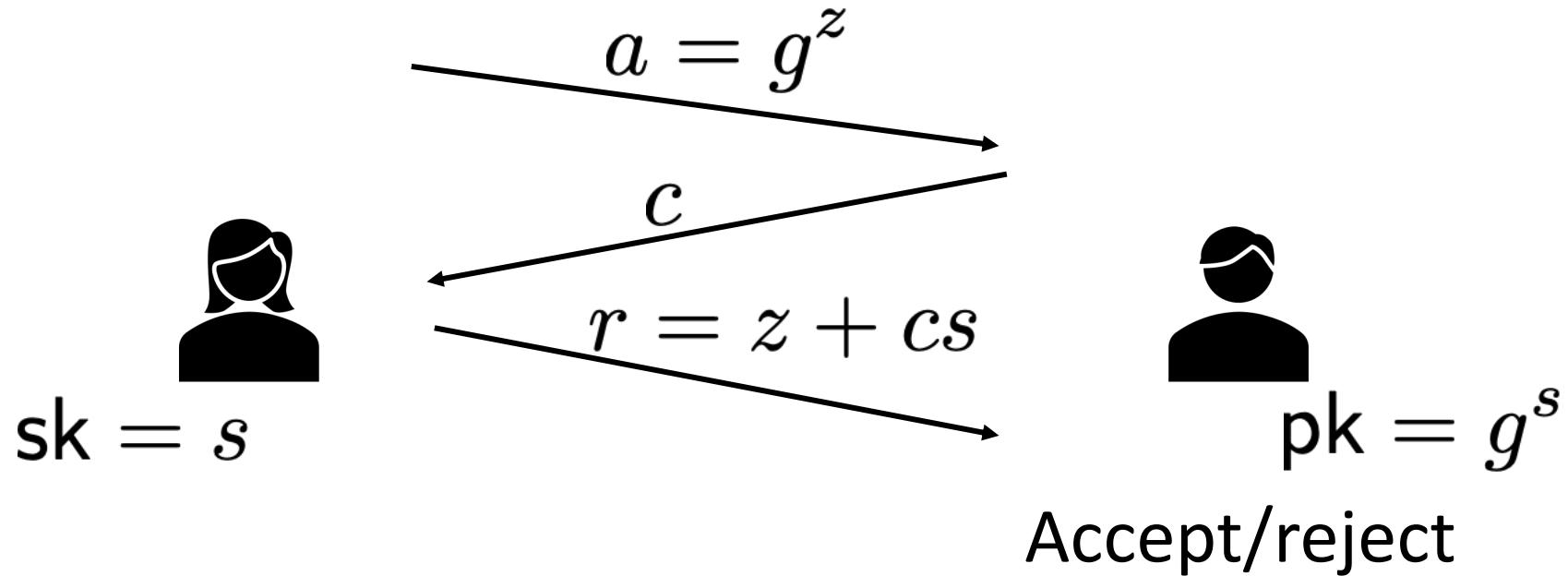
# Schnorr Identification



**Lemma:** Under Dlog assumption, protocol is sound

**Proof idea:** Dlog implies hard to find  $(a, c_1, r_1), (a, c_2, r_2)$  with  $c_1 \neq c_2$ . Using rewinding to extract such a “collision” from an impersonator

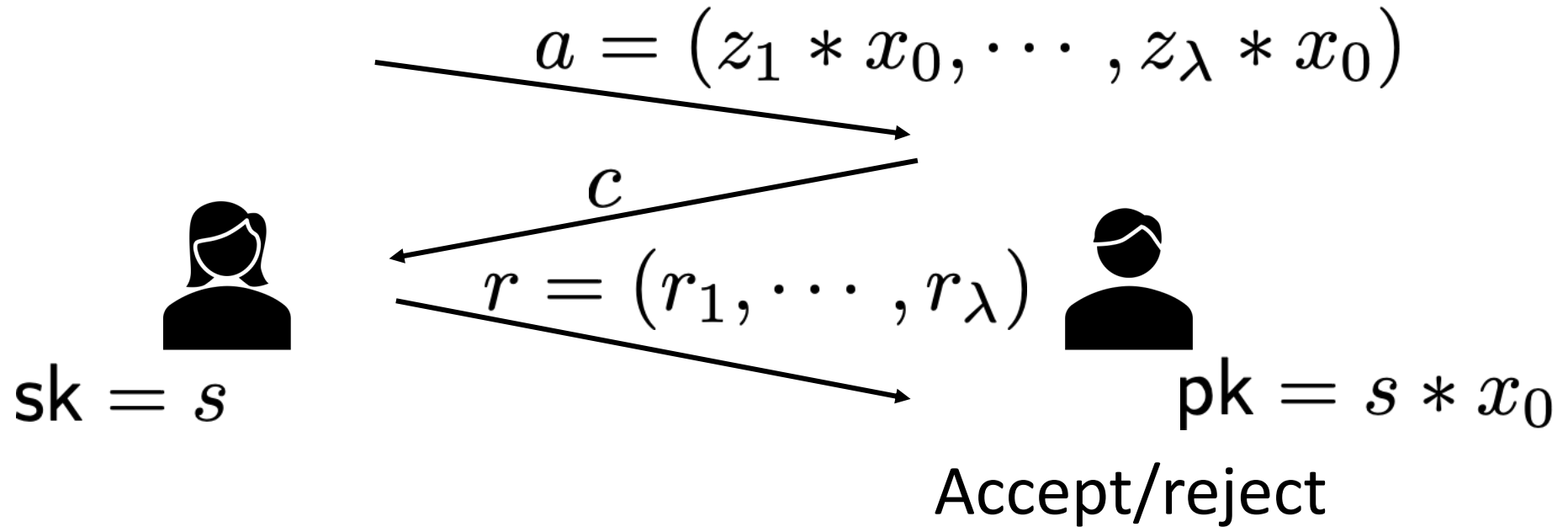
# Schnorr Identification



**Lemma:** Protocol is zero knowledge

**Proof:** Choose random  $c, r$ , set  $a = g^r \times pk^{-c}$

# Group Action-based Identification

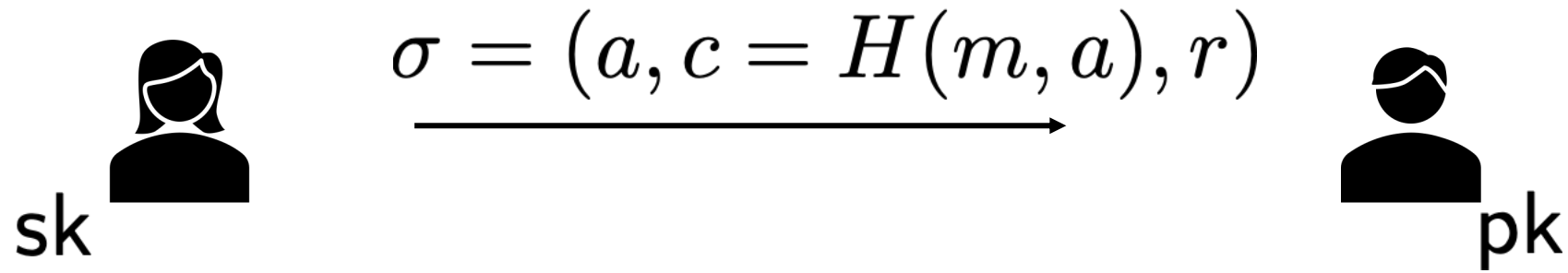


$$r_i = (1 - c_i)z_i + c_i s$$

**Lemma:** Under GA-Dlog assumption, protocol is sound

**Lemma:** Protocol is zero knowledge

# The Fiat-Shamir Transform



Shnorr signatures = Schnorr identification + Fiat-Shamir

GA-based signatures = GA-based identification + Fiat-Shamir

**Thm (informal):** Assuming Sigma protocol is sound, impossible for efficient **classical** adversary to forge a signature in ROM

**Proof idea:**

$$O \begin{array}{c} \xleftarrow{(m_i, a_i)} \\ \xrightarrow{c_i} \end{array} \text{👹} \sigma = (a, c = O(m, a), r)$$

Assume without loss of generality that  $(m, a)$  was some query

Choose random query  $i \in [1, q + 1]$

Choose random function  $O'$



For all queries except  $i$ , answer with  
 $(m_j, a_j) \mapsto O'(m_j, a_j)$

For  $j = i$ , send  $a_i$ , receive  $c_i$   
re-program  $O'(m_i, a_i) = c_i$   
answer with  $c_i$

(future queries use re-programmed oracle)

Upon output  $\sigma = (a, c, r)$ , send  $r$

$a_i$

$c_i$

$r$

**Thm (informal):** Assuming Sigma protocol is sound, impossible for efficient **classical** adversary to forge a signature in ROM

**Proof idea:**

If we happen to correctly guess  $i$  to be the first time  $(m, a)$  was queried, then adversary sees truly random oracle  $O$

Before query  $i$ , we were answering with  $O'(m_i, a_i) \neq c_i$ .

But by assumption, no such query made

$$O(m_i, a_i) = c_i$$
$$(m_j, a_j) = O'(m_j, a_j) \text{ for } j \neq i$$



**Thm (informal):** Assuming Sigma protocol is sound, impossible for efficient **classical** adversary to forge a signature in ROM

**Proof idea:** Where to get  $O'$ ? Problem: exponential-sized object

Solution: lazy sampling. Answer each query randomly, but keep track of previous queries to ensure same answer if same query made twice

# Moving to quantum

Problem 1: how to simulate random oracle?

- “Keep track of previous queries” implies writing the queries down; observer effect  $\rightarrow$  changes adversary’s state

Problem 2: How to get  $a_i$ ?

- Adversary’s query is superposition. Perform a measurement?

# Simulating Random Oracles

**Lemma:** A  $2q$ -wise independent function is perfectly indistinguishable from a random function

**Def:** A family  $\mathcal{H}$  of functions  $h : \{0, 1\}^n \rightarrow \{0, 1\}^m$  is  $k$ -wise independent if, for all tuples of  $k$  *distinct* points

$$(x_1, \dots, x_k) \in (\{0, 1\}^n)^k$$

and all tuples of  $k$  (possibly non-distinct) points

$$(y_1, \dots, y_k) \in (\{0, 1\}^m)^k$$

We have that

$$\Pr_{h \leftarrow \mathcal{H}} [h(x_i) = y_i \forall i \in [k]] = 2^{-km}$$

# Solving Problem 2

First idea: same as classical, but measure query  $i$  to get  $m_i, a_i$

Choose random query  $i \in [1, q + 1]$

Choose random function  $O'$



For all queries except  $i$ , answer with

$U_{O'}$

For  $j = i$ , **measure query to get**  $m_i, a_i$

send  $a_i$ , receive  $c_i$

re-program  $O'(m_i, a_i) = c_i$

answer with reprogrammed  $U_{O'}$

(future queries use re-programmed oracle)

Upon output  $\sigma = (a, c, r)$ , send  $r$

$a_i$

$c_i$

$r$

Unfortunately, doesn't work. By observer effect, measuring it with query messes up adversary's state

Miraculously, however, a small change actually does work, despite observer effect

Choose random query  $i \in [1, q + 1]$ ,  $b \in \{0, 1\}$

Choose random function  $O'$



For all queries except  $i$ , answer with

$U_{O'}$

For  $j = i$ , measure query to get  $m_i, a_i$

send  $a_i$ , receive  $c_i$

$b = 0 \left\{ \begin{array}{l} \text{re-program } O'(m_i, a_i) = c_i \\ \text{answer with re-programmed } U_{O'} \end{array} \right.$

(future queries use re-programmed oracle)

Upon output  $\sigma = (a, c, r)$ , send  $r$

$a_i$

$c_i$

$r$

Choose random query  $i \in [1, q + 1]$ ,  $b \in \{0, 1\}$

Choose random function  $O'$

For all queries except  $i$ , answer with

$$U_{O'}$$

For  $j = i$ , measure query to get  $m_i, a_i$

send  $a_i$ , receive  $c_i$

$b = 1$  { answer with existing  $U_{O'}$   
re-program  $O'(m_i, a_i) = c_i$

(future queries use re-programmed oracle)

Upon output  $\sigma = (a, c, r)$ , send  $r$





# Why on earth does this work?!

Possible to evaluate by direct calculation, but I'm going to attempt to give an intuitive explanation

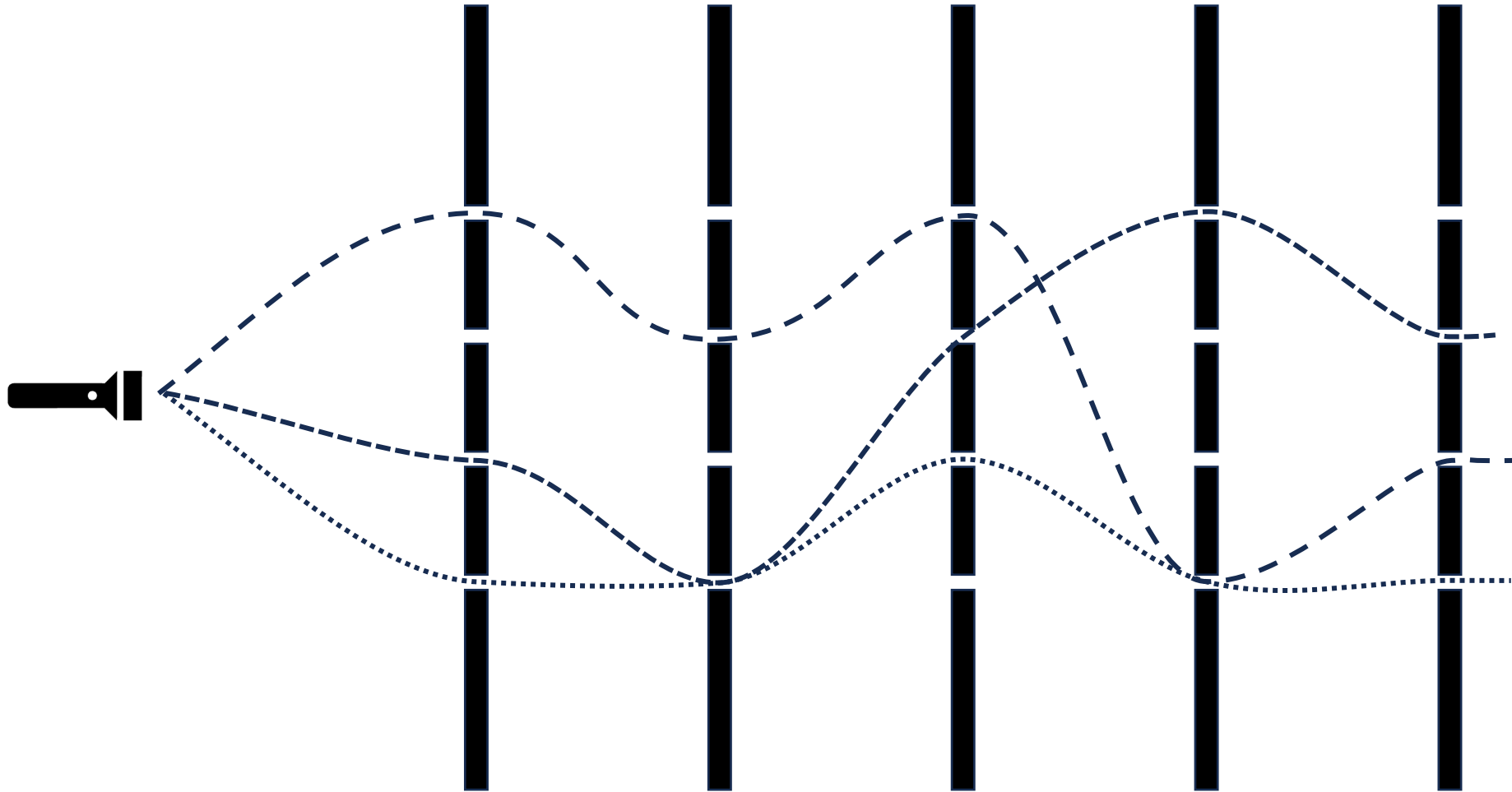
# Phase queries

$$V_f|x, z\rangle = |x, z\rangle(-1)^{z \cdot f(x)}$$

$$\begin{aligned} & (\mathbf{I} \otimes \mathbf{H}^{\otimes m})V_f(\mathbf{I} \otimes \mathbf{H}^{\otimes m})|x, y\rangle \\ &= (\mathbf{I} \otimes \mathbf{H}^{\otimes m})V_f|x\rangle \frac{1}{\sqrt{2^m}} \sum_z |x, z\rangle(-1)^{z \cdot y} \\ &= (\mathbf{I} \otimes \mathbf{H}^{\otimes m}) \frac{1}{\sqrt{2^m}} \sum_z |x, z\rangle(-1)^{z \cdot (y \oplus f(x))} \\ &= |x, y \oplus f(x)\rangle = U_f|x, y\rangle \end{aligned}$$

So we can assume adversary is making phase queries

# A path view



Each wall corresponds to phase query

Each slit corresponds to basis state  $| (m_j, a_j), z_j \rangle$

For a path  $P$ , define  $\text{Parity}(P)$  as function

$$\text{Parity}(P)(m_j, a_j) = \bigoplus_{((m_j, a_j), z_j) \in P} z_j$$

General computation:  $\mathbf{U}_q V_O \mathbf{U}_{q-1} V_O \cdots \mathbf{U}_2 V_O \mathbf{U}_1 |0\rangle$

$V_O$  imparts a phase to each path equal to

$$(-1)^{\sum_{m,a} O(m,a) \cdot \text{Parity}(P)(m,a)}$$

### Key observations:

- Initially,  $\text{Parity}(P)(m, a) = 0$  everywhere
- If  $\text{Parity}(P)(m, a) = 0$ , adversary learns nothing about  $O(m, a)$
- So we can assume at end, adversary's paths have
$$\text{Parity}(P)(m, a) \neq 0$$
- All paths must have transitioned from zero to non-zero at some query

**Another Gentle Measurement Lemma:** if an intermediate measurement gives only  $t$  possible outcomes,

$$\Pr[x|\text{with measurement}] \geq \Pr[x|\text{without measurement}]/t$$

$$\sum_y |\alpha_{x,y}|^2 \geq \left| \sum_y \alpha_{x,y} \right|^2 / t$$

Constructive interference can only amplify by the  
the number of different paths

Idea: if we measure a query and get  $(m, a)$ , we are only separating paths by the query number that went from

$$\begin{array}{l} \text{Parity}(P)(m, a) = 0 \\ \text{to} \quad \text{Parity}(P)(m, a) \neq 0 \end{array}$$

Intuitively, if this partitions the paths,  
then we can apply Gentle Measurements  
to show that success probability didn't  
decrease too much

Define set of paths  $Q_i$  = set of paths  $P$  where

$\text{Parity}(P)(m, a) = 0$  just prior to query  $i$

$\text{Parity}(P)(m, a) \neq 0$  just after to query  $i$

$(m, a)$  = final output

Notice that for paths in  $Q_i$ , can re-program  $O(m, a)$  at query  $i$

This is essentially what the first attempt was doing



Problem: some paths may be in multiple  $Q_i$

Basically, if path goes back and forth between  
 $\text{Parity}(P)(m, a) = 0$  and  $\text{Parity}(P)(m, a) \neq 0$

So not a partition, and therefore cannot  
use Gentle Measurements

Define set of paths  $R_i$  = set of paths  $P$  where

$\text{Parity}(P)(m, a) \neq 0$  just prior to query  $i$

$\text{Parity}(P)(m, a) = 0$  just after to query  $i$

Notice that for paths in  $R_i$ , can re-program  $O(m, a)$  immediately **after** query  $i$

Also note that these paths must transition back to  $\text{Parity}(P)(m, a) \neq 0$  at some point

Observe: for each path  $P$

$$\#\{i : P \in Q_i\} = 1 + \#\{i : P \in R_i\}$$

Second, correct attempt is measuring a path in  $\{Q_i\}_i \cup \{R_i\}_i$

This works because the  $R_i$  exactly capture overcounting in  $Q_i$

Note: This analysis assumes that we get the actual  $(m, a)$  when we measure. That is, that we guessed the correct query  $i$  where we transitioned from  $\text{Parity}(P)(m, a) = 0$  to  $\text{Parity}(P)(m, a) \neq 0$ .

In reality, only correctly guess with probability  $1/q$

Overall success probability:  $O(1/q^2)$

Where did we use Zero Knowledge?

We didn't; ZK is used to prove security  
even against an adversary that sees many  
signed messages

Intuitively, why did we need a new proof? After all, the model of security for signatures didn't change (signature security is still just PPT vs QPT)

But it did! In the ROM/QRom, the model includes the queries made to the RO. For QRom, not only do we have to worry about quantum computing, but we also have to worry about superposition queries

Next time: quantum protocols, applications and limitations