

# COS433/Math 473: Cryptography

Mark Zhandry

Princeton University

Spring 2020

# Announcements

OH decided:

- Ben: Mondays 3pm
- Jiaxin: Wednesdays 1pm
- Me: Fridays 10am

Normal OH will start **NEXT WEEK**

This week only:

I will have OH 10am on Wednesday 2/12

# Announcements

HW1 posted on course website

- Due Feb 20, 11:59pm
- Submission instructions TBA

Previously on COS 433...

# Takeaway: Crypto is Hard

Designing crypto is hard, even experts get it wrong

- Just because I don't know how to break it doesn't mean someone else can't

Unexpected attack vectors

- Known/chosen plaintext attack
- Chosen *ciphertext* attack
- Timing attack
- Power analysis
- Acoustic cryptanalysis

# Takeaway: Need for Formalism

For most of history, cipher design and usage based largely on intuition

- Intuition in many cases false

Instead, need to formally define the usage scenario

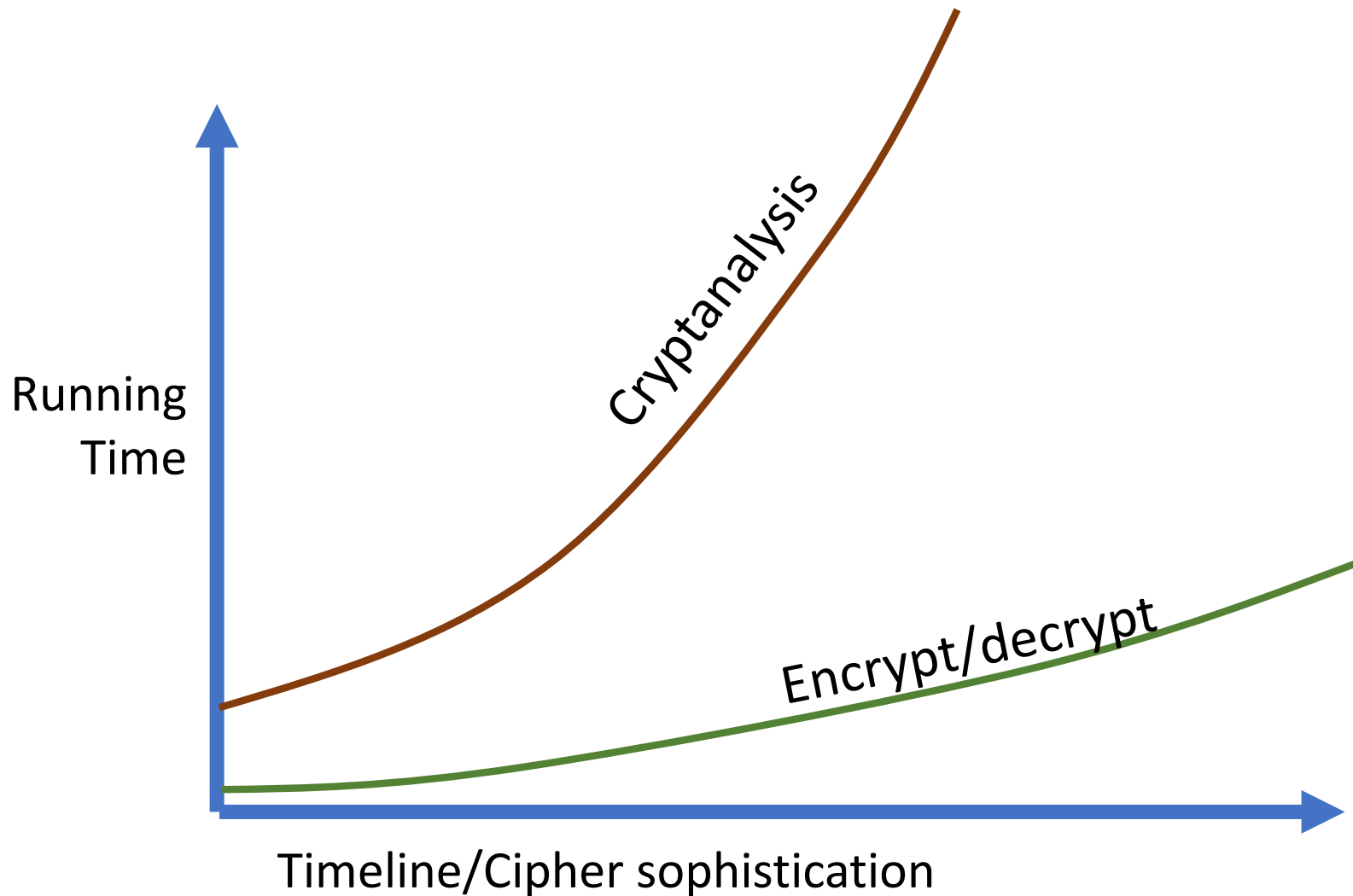
- Prove that scheme is secure in scenario
- Only use scheme in that scenario

# Takeaway: Kerckhoffs's Principle

**Kerckhoffs's Principle:** A cryptosystem should be secure even if everything about the system, except the key, is public knowledge.

- Leaks happen. Should only have to update key, not redesign entire system
  - Even worse, cipher can potentially be reconstructed from ciphertexts
- More eyes means more likely to be secure
- Necessary for formalizing crypto

# Takeaway: Importance of Computers





# Modern Cryptography

# Basics of Defining Crypto

Usually three pieces:

1. **Syntax:** what algorithms are there, what are the inputs/outputs
2. **Correctness/completeness:** how do the algorithms interact
3. **Security:** what should an adversary be permitted/prevented from doing

# Formalizing Encryption

## Syntax:

- Key space  $\mathbf{K}$
- Message space  $\mathbf{M}$
- Ciphertext space  $\mathbf{C}$
- **Enc:  $\mathbf{K} \times \mathbf{M} \rightarrow \mathbf{C}$**
- **Dec:  $\mathbf{K} \times \mathbf{C} \rightarrow \mathbf{M}$**

## Correctness:

- For all  $\mathbf{k} \in \mathbf{K}$ ,  $\mathbf{m} \in \mathbf{M}$ , **Dec(k, Enc(k,m)) = m**

# Example: One-Time Pad

**K?**  $\{0,1\}^n$

**M?**  $\{0,1\}^n$

**C?**  $\{0,1\}^n$


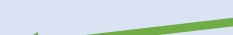
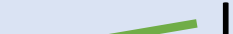
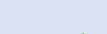
$$\text{Enc}(k,m) = m \oplus k$$


$$\text{Dec}(k,c) = c \oplus k$$

$$\text{Correctness: } m' = c \oplus k = (m \oplus k) \oplus k = m$$

# (Perfect) Semantic Security

**Definition:** A scheme **(Enc, Dec)** is **(perfectly) semantically secure** if, for all:

- Distributions **D** on **M**  Plaintext distribution
- Functions **I: M → {0,1}\***  Info adv gets
- Functions **f: M → {0,1}\***  Info adv tries to learn
- Functions **A: C × {0,1}\* → {0,1}\***  Adversary

There exists a function **S: {0,1}\* → {0,1}\***  “Simulator” such that

$$\begin{aligned} \Pr[ A( \text{Enc}(k, m) , I(m) ) = f(m) ] \\ = \Pr[ S( I(m) ) = f(m) ] \end{aligned}$$

where probabilities are taken over  $k \leftarrow K, m \leftarrow D$

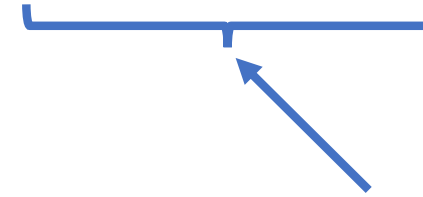
# Perfect Secrecy [Shannon'49]

**Definition:** A scheme **(Enc, Dec)** has **perfect secrecy** if, for any two messages  $\mathbf{m}_0, \mathbf{m}_1 \in \mathbf{M}$

$$\text{Enc}(\mathbf{K}, \mathbf{m}_0) \stackrel{d}{=} \text{Enc}(\mathbf{K}, \mathbf{m}_1)$$



Random variable corresponding  
to uniform distribution over  $\mathbf{K}$



Random variable corresponding  
to encrypting  $\mathbf{m}_1$  using a  
uniformly random key

# Semantic Security = Perfect Secrecy

**Theorem:** A scheme **(Enc,Dec)** is semantically secure if and only if it has perfect secrecy

# Proper Use Case for Perfect Security

- Message can come from any distribution ✓
- Adversary can know anything about message ✓
- Encryption hides anything ✓
- But, definition only says something about an adversary that sees a single message ✗
  - ⇒ If two messages, no security guarantee
- Assumes no side-channels ✗
- Assumes key is uniformly random ✗



# Today: Weaknesses of Perfect Security

# Perfect Security of One-Time Pad

Fix any message  $\mathbf{m} \in \{0,1\}^n$ , ciphertext  $\mathbf{c} \in \{0,1\}^n$

$$\begin{aligned}\Pr_k[\text{Enc}(k, \mathbf{m}) = \mathbf{c}] &= \Pr_k[\mathbf{k} \oplus \mathbf{m} = \mathbf{c}] \\ &= \Pr_k[\mathbf{k} = \mathbf{m} \oplus \mathbf{c}] \\ &= 2^{-n}\end{aligned}$$

Therefore, for any  $\mathbf{m}$ ,  $\text{Enc}(\mathbf{K}, \mathbf{m})$  = uniform dist over  $\mathbf{C}$

In particular, for any  $\mathbf{m}_0, \mathbf{m}_1$ ,

$$\text{Enc}(\mathbf{K}, \mathbf{m}_0) \stackrel{d}{=} \text{Enc}(\mathbf{K}, \mathbf{m}_1)$$

# Variable Length Messages

# Variable-Length Messages

OTP has message-length  $\{0,1\}^n$  where  $n$  is the key length

In practice, fixing the message size is often unreasonable

So instead, will allow for smaller messages to be encrypted

# Variable-Length OTP?

Does the variable length OTP  
have perfect secrecy according  
to our definition?

# Ciphertext Size

**Theorem:** For scheme with perfect secrecy, the expected ciphertext size for any message,  $\mathbb{E}[|\text{Enc}(K,m)|]$ , is at least  $(\log_2 |M|) - 3$

# Proof

Fix a key  $\mathbf{k}$ .

Let  $\mathbf{C}_{\mathbf{k},\mathbf{m}}$  be set of ciphertexts  $\mathbf{c}$  s.t.  $\Pr[\text{Enc}(\mathbf{k},\mathbf{m})=\mathbf{c}]>0$

By correctness, each  $\mathbf{C}_{\mathbf{k},\mathbf{m}}$  as  $\mathbf{m}$  varies are disjoint and non-empty

- If  $\mathbf{c} \in \mathbf{C}_{\mathbf{k},\mathbf{m}}$  and  $\mathbf{c} \in \mathbf{C}_{\mathbf{k},\mathbf{m}'}$ , then  $\mathbf{m}' = \text{Dec}(\mathbf{k},\mathbf{c}) = \mathbf{m}$

Therefore, therefore  $|\cup_{\mathbf{m}} \mathbf{C}_{\mathbf{k},\mathbf{m}}| \geq |\mathbf{M}|$



# Proof

$$|\cup_m \mathcal{C}_{k,m}| \geq |M|$$

Therefore, if we encrypt a random message, the expected size of a ciphertext is at least

$$\sum_m \min(|c| : c \in \mathcal{C}_{k,m}) / |M|$$

$\min(|c| : c \in \mathcal{C}_{k,m}) = t$  for at most  $2^t$  different  $m$

# Proof

Let  $r = \text{floor}(\log_2 |M|)$

$$\begin{aligned} & \sum_m \min( |c| : c \in C_{k,m} ) / |M| \\ &= (1 \times 0 + 2 \times 1 + 4 \times 2 + \dots + 2^{r-1} \times (r-1) \\ & \quad + (|M| - (2^r - 1)) \times r) / |M| \\ &= (2^r(r-2) + 2 + (|M| - (2^r - 1)) \times r) / |M| \\ &= (r - 2(2^r - 1) + |M| \times r) / |M| \\ &\geq (0 - 2|M| + |M| \times r) / |M| = r - 2 \end{aligned}$$

# Proof

Therefore, for a random message, the expected ciphertext length for any key is at least  $\log_2|M|-3$

Must also be true for a random key  $k$

By perfect secrecy, for any messages  $m_0, m_1$

$$\mathbb{E}_K[ |Enc(K, m_0)| ] = \mathbb{E}_K[ |Enc(K, m_1)| ]$$

Therefore,

$$\begin{aligned} \mathbb{E}_K[ |Enc(K, m_0)| ] \\ = \mathbb{E}_{K, M}[ |Enc(K, M)| ] \geq \log_2|M|-3 \end{aligned}$$

# Variable-Length Messages

For perfect secrecy of variable length messages, must have expected ciphertext length for short messages almost as long as longest messages

In practice, very undesirable

- What if I want to either send a **100mb** attachment, or just a message “How are you?”

Therefore, we usually allow message length to be revealed

# (Perfect) Semantic Security for Variable Length Messages

**Definition:** A scheme **(Enc, Dec)** is **(perfectly) semantically secure** if, for all:

- Distributions **D** on **M**
- (Probabilistic) Functions **I:M→{0,1}<sup>\*</sup>**
- (Probabilistic) Functions **f:M→{0,1}<sup>\*</sup>**
- (Probabilistic) Functions **A:C×{0,1}<sup>\*</sup>→{0,1}<sup>\*</sup>**

There exists (probabilistic) func **S:{0,1}<sup>\*</sup>→{0,1}<sup>\*</sup>** s.t.

$$\begin{aligned} & \Pr[ A( \text{Enc}(k,m) , I(m) ) = f(m) ] \\ & = \Pr[ S( I(m), |m| ) = f(m) ] \end{aligned}$$

where probabilities are taken over **k←K, m←D**

# Perfect Secrecy For Variable Length Messages

**Definition:** A scheme **(Enc,Dec)** has **perfect secrecy** if, for any two messages  $m_0, m_1$  where  $|m_0| = |m_1|$ ,

$$\text{Enc}(K, m_0) \stackrel{d}{=} \text{Enc}(K, m_1)$$

Easy to adapt earlier proof to show:

**Theorem:** A scheme **(Enc,Dec)** is semantically secure if and only if it has perfect secrecy

# Variable-Length OTP

Key space  $\mathbf{K} = \{0,1\}^n$

Message space  $\mathbf{M} = \{0,1\}^{\leq n}$

Ciphertext space  $\mathbf{C} = \{0,1\}^{\leq n}$

$$\text{Enc}(k, m) = k_{[1, |m|]} \oplus m$$

$$\text{Dec}(k, c) = k_{[1, |m|]} \oplus c$$

**Theorem:** Variable-Length OTP has perfect secrecy

# Encrypting Multiple Messages



# Re-using the OTP

What if we have a **100mb** long key **k**, but encrypt only **1mb**?

Can't use first **1mb** of **k** again, but remaining **99mb** is still usable

However, basic OTP definition does not allow us to re-use the key ever

# Syntax for Stateful Encryption

## Syntax:

- Key space  $\mathbf{K}$ , Message space  $\mathbf{M}$ , Ciphertext space  $\mathbf{C}$
- State Space  $\mathbf{S}$
- **Init**:  $\{\} \rightarrow \mathbf{S}$
- **Enc**:  $\mathbf{K} \times \mathbf{M} \times \mathbf{S} \rightarrow \mathbf{C} \times \mathbf{S}$
- **Dec**:  $\mathbf{K} \times \mathbf{C} \times \mathbf{S} \rightarrow \mathbf{M} \times \mathbf{S}$

$\text{State}_0 \leftarrow \text{Init}()$

$(c_0, \text{state}_1) \leftarrow \text{Enc}(k, m_0, \text{state}_0)$

$(c_1, \text{state}_2) \leftarrow \text{Enc}(k, m_1, \text{state}_1)$

...

# Reusing the OTP

k

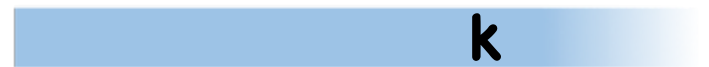
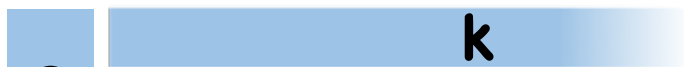
m



k



# Reusing the OTP



# Reusing the OTP

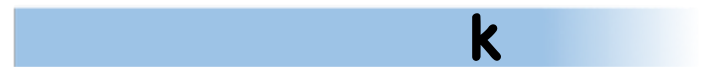
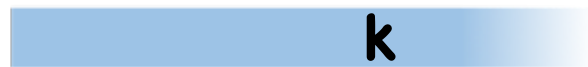
k

k

c



# Reusing the OTP



# Reusing the OTP

k



k

c



# Reusing the OTP

k



k





# Reusing the OTP

k



k



# Reusing the OTP

k



k

m'



# Reusing the OTP

$k$



$\oplus$   $k$

$m'$



$c'$



# Reusing the OTP

k



c'



k



# Reusing the OTP

k

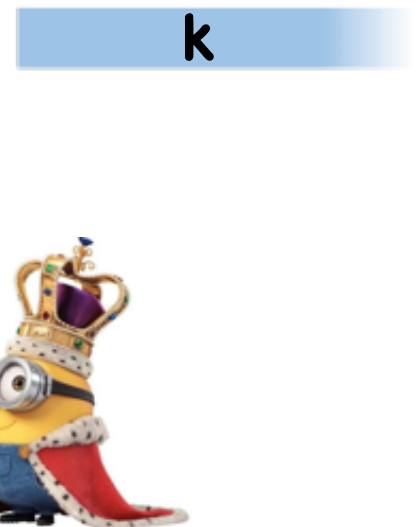
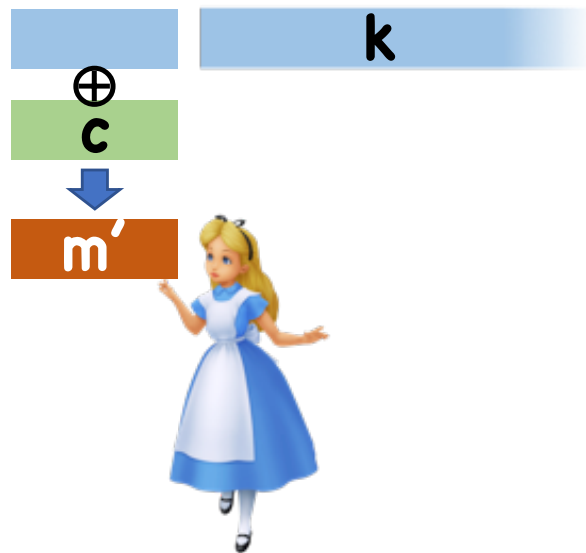
c'



k



# Reusing the OTP



# Problem

In real world, messages aren't always synchronous

What happens if Alice and Bob try to send message at the same time?

**They will both use the same part of the key!**

# Problem

k

m



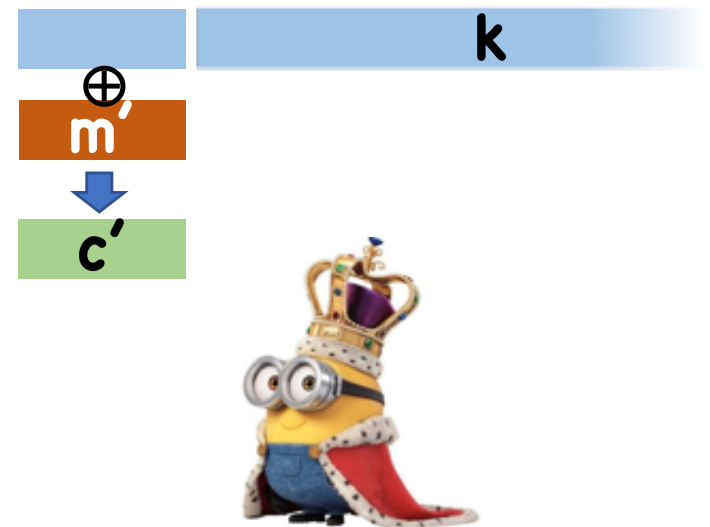
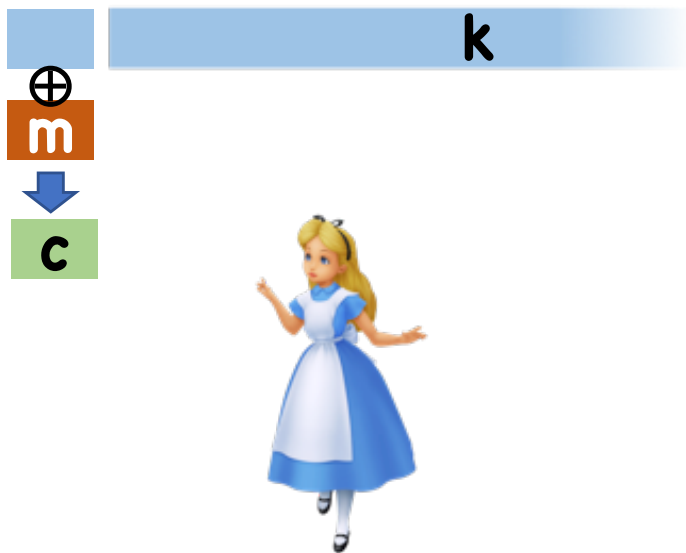
k

m'





# Problem



# Problem

k

c

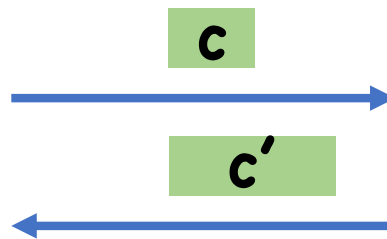
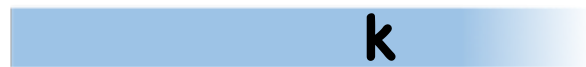


k

c'



# Problem



# Problem

k



c

c'

k



# Problem

k



k



Solution?

# Reusing the OTP

$k_{A \rightarrow B}$

$k_{B \rightarrow A}$



$k_{A \rightarrow B}$

$k_{B \rightarrow A}$



# Still A Problem

In real world, messages aren't always synchronous

Also, sometimes messages arrive out of order or get dropped

- Need to be very careful to make sure decryption succeeds

These difficulties exist in any stateful encryption

- For this course, we will generally consider only **stateless** encryption schemes



# Perfect Security for Multiple Messages?

# Stateless Encryption with Multiple Messages

Ex:

$$M = C$$

$$K = \text{Perms}(M) \text{ (never mind that key is enormous)}$$

$$\text{Enc}(K, m) = K(m)$$

$$\text{Dec}(K, c) = K^{-1}(c)$$

Q: Is this perfectly secure for two messages?

**Theorem:** No stateless deterministic encryption scheme can have perfect security for multiple messages

# Randomized Encryption

## Syntax:

- Key space  $\mathbf{K}$
- Message space  $\mathbf{M}$
- Ciphertext space  $\mathbf{C}$
- **Enc**:  $\mathbf{K} \times \mathbf{M} \rightarrow \mathbf{C}$ , potentially probabilistic
- **Dec**:  $\mathbf{K} \times \mathbf{C} \rightarrow \mathbf{M}$  (usually deterministic)

## Correctness:

- ~~• For all  $k \in \mathbf{K}$ ,  $m \in \mathbf{M}$ ,  $\text{Dec}(k, \text{Enc}(k, m)) = m$~~

# Randomized Encryption

## Syntax:


- Key space  $\mathbf{K}$
- Message space  $\mathbf{M}$
- Ciphertext space  $\mathbf{C}$
- **Enc**:  $\mathbf{K} \times \mathbf{M} \rightarrow \mathbf{C}$ , potentially probabilistic
- **Dec**:  $\mathbf{K} \times \mathbf{C} \rightarrow \mathbf{M}$  (usually deterministic)

## Correctness:

- For all  $\mathbf{k} \in \mathbf{K}$ ,  $\mathbf{m} \in \mathbf{M}$ ,  
$$\Pr[ \text{Dec}(\mathbf{k}, \text{Enc}(\mathbf{k}, \mathbf{m})) = \mathbf{m} ] = 1$$

# Stateless Encryption with Multiple Messages

Ex:

$$\begin{aligned} C &= M \times R \\ K &= \text{Perms}(C) \\ \text{Enc}(K, m) &= K(m, r) \\ \text{Dec}(K, c) &= (m', r') \leftarrow K^{-1}(c), \text{ output } m' \end{aligned}$$


Q: Is this perfectly secure for two messages?

# Proof of Easy Case

Let **(Enc, Dec)** be stateless, deterministic

Let  $\mathbf{m}_0^{(0)} = \mathbf{m}_0^{(1)}$

Let  $\mathbf{m}_1^{(0)} \neq \mathbf{m}_1^{(1)}$

Consider distributions of encryptions:

- $(\mathbf{c}^{(0)}, \mathbf{c}^{(1)}) = (\text{Enc}(\mathbf{K}, \mathbf{m}_0^{(0)}), \text{Enc}(\mathbf{K}, \mathbf{m}_0^{(1)}))$   
 $\Rightarrow \mathbf{c}^{(0)} = \mathbf{c}^{(1)}$  (by determinism)
- $(\mathbf{c}^{(0)}, \mathbf{c}^{(1)}) = (\text{Enc}(\mathbf{K}, \mathbf{m}_1^{(0)}), \text{Enc}(\mathbf{K}, \mathbf{m}_1^{(1)}))$   
 $\Rightarrow \mathbf{c}^{(0)} \neq \mathbf{c}^{(1)}$  (by correctness)

# Generalize to Randomized Encryption

Let **(Enc, Dec)** be stateless, ~~deterministic~~

Let  $\mathbf{m}_0^{(0)} = \mathbf{m}_0^{(1)}$

Let  $\mathbf{m}_1^{(0)} \neq \mathbf{m}_1^{(1)}$

Consider distributions of encryptions:

$$\bullet (\mathbf{c}^{(0)}, \mathbf{c}^{(1)}) = (\text{Enc}(\mathbf{K}, \mathbf{m}_0^{(0)}), \text{Enc}(\mathbf{K}, \mathbf{m}_0^{(1)})) \\ \Rightarrow \text{????}$$

$$\bullet (\mathbf{c}^{(0)}, \mathbf{c}^{(1)}) = (\text{Enc}(\mathbf{K}, \mathbf{m}_1^{(0)}), \text{Enc}(\mathbf{K}, \mathbf{m}_1^{(1)})) \\ \Rightarrow \mathbf{c}^{(0)} \neq \mathbf{c}^{(1)} \quad (\text{by correctness})$$



# Generalize to Randomized Encryption

$$(c^{(0)}, c^{(1)}) = (\text{Enc}(K, m), \text{Enc}(K, m))$$

**$\Pr[c^{(0)} = c^{(1)}]$  ?**

- Fix  **$k$**
- Conditioned on  **$k$** ,  **$c^{(0)}$** ,  **$c^{(1)}$**  are two independent samples from same distribution  **$\text{Enc}(k, m)$**

**Lemma:** Given any distribution  **$\mathbf{D}$**  over a finite set  **$\mathbf{X}$** ,  **$\Pr[Y=Y': Y \leftarrow \mathbf{D}, Y' \leftarrow \mathbf{D}] \geq 1/|\mathbf{X}|$**

- Therefore,  **$\Pr[c^{(0)} = c^{(1)}]$**  is non-zero

# Generalize to Randomized Encryption

Let **(Enc, Dec)** be stateless, deterministic

Let  $\mathbf{m}_0^{(0)} = \mathbf{m}_0^{(1)}$

Let  $\mathbf{m}_1^{(0)} \neq \mathbf{m}_1^{(1)}$

Consider distributions of encryptions:

- $(\mathbf{c}^{(0)}, \mathbf{c}^{(1)}) = (\text{Enc}(\mathbf{K}, \mathbf{m}_0^{(0)}), \text{Enc}(\mathbf{K}, \mathbf{m}_0^{(1)}))$   
 $\Rightarrow \Pr[\mathbf{c}^{(0)} = \mathbf{c}^{(1)}] > 0$
- $(\mathbf{c}^{(0)}, \mathbf{c}^{(1)}) = (\text{Enc}(\mathbf{K}, \mathbf{m}_1^{(0)}), \text{Enc}(\mathbf{K}, \mathbf{m}_1^{(1)}))$   
 $\Rightarrow \Pr[\mathbf{c}^{(0)} = \mathbf{c}^{(1)}] = 0$

What do we do now?

# Reminders

Normal OH will start **NEXT WEEK**

This week only:

I will have OH 10am on Wednesday 2/12

HW1 posted on course website

- Due Feb 20, 11:59pm
- Submission instructions TBA