# COS 433/Math 473: Cryptography

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## Announcements/Reminders

- HW1 due September 15
- PR1 due October 6

# Previously on COS 433...

### Perfect Security for Multiple Messages

**Definition:** A stateless scheme (**Enc,Dec**) has **perfect** secrecy for d messages if, for any two sequences of messages  $(\mathbf{m}_0^{(i)})_{i \in [d]}$ ,  $(\mathbf{m}_1^{(i)})_{i \in [d]} \in \mathbf{M}^d$ 

$$(Enc(K, m_0^{(i)}))_{i \in [d]} \stackrel{d}{=} (Enc(K, m_1^{(i)}))_{i \in [d]}$$

Notation:  $(f(i))_{i \in [d]} = (f(1), f(2), ..., f(d))$ 

### Randomized Encryption

#### **Syntax:**

- Key space **K** (usually  $\{0,1\}^{\lambda}$ )
- Message space M (usually {0,1}<sup>n</sup>)
- Ciphertext space C (usually {0,1}<sup>m</sup>)
- Enc: K×M → C (potentially probabilistic)
- Dec: K×C → M (usually deterministic)

#### **Correctness:**

• For all  $k \in K$ ,  $m \in M$ , Pr[ Dec(k, Enc(k,m)) = m] = 1 Theorem: No stateless randomized encryption scheme can have perfect security for multiple messages

## Proof of Easy Case

Let (Enc, Dec) be stateless, deterministic

Let 
$$\mathbf{m}_0^{(0)} = \mathbf{m}_0^{(1)}$$
  
Let  $\mathbf{m}_1^{(0)} \neq \mathbf{m}_1^{(1)}$ 

Consider distributions of encryptions:

• ( 
$$c^{(0)}$$
 ,  $c^{(1)}$  ) = (  $Enc(K, m_0^{(0)})$ ,  $Enc(K, m_0^{(1)})$ )

 $\Rightarrow c^{(0)} = c^{(1)}$  (by determinism)

• (  $c^{(0)}$  ,  $c^{(1)}$  ) = (  $Enc(K, m_1^{(0)})$ ,  $Enc(K, m_1^{(1)})$ )

 $\Rightarrow c^{(0)} \neq c^{(1)}$  (by correctness)

### Generalize to Randomized Encryption

Let (Enc, Dec) be stateless, deterministic

Let 
$$\mathbf{m}_0^{(0)} = \mathbf{m}_0^{(1)}$$
  
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Consider distributions of encryptions:

• ( 
$$c^{(0)}$$
 ,  $c^{(1)}$  ) = (  $Enc(K, m_0^{(0)})$ ,  $Enc(K, m_0^{(1)})$ )  
• (  $c^{(0)}$  ,  $c^{(1)}$  ) = (  $Enc(K, m_1^{(0)})$ ,  $Enc(K, m_1^{(1)})$ )  
•  $c^{(0)} \neq c^{(1)}$  (by correctness)

### Generalize to Randomized Encryption

$$(c^{(0)}, c^{(1)}) = (Enc(K, m), Enc(K, m))$$

$$Pr[c^{(0)} = c^{(1)}]$$
?

- Fix **k**
- Conditioned on k, ciphertexts c<sup>(0)</sup> and c<sup>(1)</sup> are two independent samples from same distribution
   Enc(k, m)

Lemma: Given any distribution D over a finite set X,  $Pr[Y=Y': Y\leftarrow D, Y'\leftarrow D] \ge 1/|X|$ 

• Therefore,  $Pr[c^{(0)} = c^{(1)}]$  is non-zero

### Generalize to Randomized Encryption

Let (Enc, Dec) be stateless, deterministic

Let 
$$\mathbf{m}_0^{(0)} = \mathbf{m}_0^{(1)}$$
  
Let  $\mathbf{m}_1^{(0)} \neq \mathbf{m}_1^{(1)}$ 

Consider distributions of encryptions:

• ( 
$$c^{(0)}$$
 ,  $c^{(1)}$  ) = (  $Enc(K, m_0^{(0)})$ ,  $Enc(K, m_0^{(1)})$ )
$$\Rightarrow Pr[c^{(0)} = c^{(1)}] > 0$$
• (  $c^{(0)}$  ,  $c^{(1)}$  ) = (  $Enc(K, m_1^{(0)})$ ,  $Enc(K, m_1^{(1)})$ )
$$\Rightarrow Pr[c^{(0)} = c^{(1)}] = 0$$

Today: Relaxing Perfect Secrecy

### What do we do now?

Tolerate tiny probability of distinguishing

• If  $Pr[c^{(0)} = c^{(1)}] = 2^{-128}$ , in reality never going to happen

### How Small Is Ok?

#### Practice:

- Something unlikely to happen in lifetime of data/person/civilization/universe
- Typically something like  $2^{-80}$ ,  $2^{-128}$ , or maybe  $2^{-256}$ 
  - Being struck by lightning twice: 2-23
  - Winning the lottery: 2-26
  - World-ending asteroid while on this slide: 2-46

### How Small Is Ok?

#### Theory:

- Maybe things will change as technology improves
- Want a more conceptual answer
- Absolute constants unsatisfactory
- Instead, use "negligible" functions

## Negligible functions

**Def:** A function  $\mathbf{f}$  is **polynomial** if  $\mathbf{f(n)} = O(\mathbf{n}^c)$  for some constant  $\mathbf{c}$ 

**Def:** A function g is super-polynomial if, for every polynomial f, f(n) = O(g(n))

**Def:** A function **p** is **inverse polynomial** if **1/p(n)** is polynomial

**Def:** A function  $\varepsilon$  is **negligible** if, for every inverse polynomial  $\mathbf{p}$ ,  $\varepsilon(\mathbf{n}) = O(\mathbf{p}(\mathbf{n}))$ 

(equivalently,  $1/\epsilon$  is super-polynomial)

### Examples

```
2<sup>n</sup>
                super-polynomial
      n<sup>-n/7</sup>
               negligible
   3-5log n
                inverse polynomial (= n^{-5log 3})
    1.5<sup>-∛n</sup>
                negligible
                super-polynomial (= n(log 8)(log2n))
    8log<sup>3</sup> n
(log n)/n inverse polynomial
```

## Security Parameter **\lambda**

Additional input to system, dictates "security level"

Key, message, ciphertext size all **polynomial** in  $\lambda$ 

Probability of adversary success is **negligible** in  $\lambda$ 

## Defining Encryption Again

#### **Syntax:**

- Key space K<sub>λ</sub>
- Message space M<sub>λ</sub>
- Ciphertext space  $C_{\lambda}$
- Enc:  $K_{\lambda} \times M_{\lambda} \rightarrow C_{\lambda}$  (potentially randomized)
- Dec:  $K_{\lambda} \times C_{\lambda} \rightarrow M_{\lambda}$

#### **Correctness:**

- $log[K_{\lambda}]$ ,  $log[M_{\lambda}]$ ,  $log[C_{\lambda}]$  polynomial in  $\lambda$
- For all  $\lambda$ ,  $k \in K_{\lambda}$ ,  $m \in M_{\lambda}$ , Pr[Dec(k, Enc(k,m)) = m] = 1

### Statistical Distance

Given two distributions  $D_1$ ,  $D_2$  over a set X, define

$$\Delta(D_1,D_2) = \frac{1}{2}\sum_{x} | Pr[D_1=x] - Pr[D_2=x] |$$

**Observations:** 

$$0 \le \Delta(D_1, D_2) \le 1$$

$$\Delta(D_1, D_2) = 0 \iff D_1 \stackrel{d}{=} D_2$$

$$\Delta(D_1, D_2) \le \Delta(D_1, D_3) + \Delta(D_3, D_2)$$

$$(\Delta \text{ is a metric})$$

### Another View of Statistical Distance

Theorem:  $\Delta(D_1,D_2) \geq \epsilon$  iff  $\exists$  (potentially randomized)  $\triangle$  s.t.

$$| Pr[A(D_1) = 1] - Pr[A(D_2) = 1] | \ge \varepsilon$$

Terminology: for any A,  $|Pr[A(D_1) = 1] - Pr[A(D_2) = 1]|$ is called the "advantage" of A in distinguishing  $D_1$  and  $D_2$ 

### Another View of Statistical Distance

Theorem:  $\Delta(D_1,D_2) \geq \epsilon$  iff  $\exists$  (potentially randomized)  $\triangle$  s.t.

$$Pr[A(D_1) = 1] - Pr[A(D_2) = 1] \ge \epsilon$$

To lower bound  $\Delta$ , just need to show adversary  $\mathbf{A}$  with that advantage

## Examples

 $D_1$  = Uniform distribution over  $\{0,1\}^n$ 

$$\cdot \Pr[D_1 = x] = 2^{-n}$$

 $D_2$  = Uniform conditioned on even parity

•  $Pr[D_2=x] = 2^{-(n-1)}$  if x has even parity, 0 otherwise

$$\Delta(D_{1},D_{2}) = \frac{1}{2} \sum_{\text{even } x} |2^{-n} - 2^{-(n-1)}| + \frac{1}{2} \sum_{\text{odd } x} |2^{-n} - 0| = \frac{1}{2} \sum_{\text{even } x} 2^{-n} + \frac{1}{2} \sum_{\text{odd } x} 2^{-n} = \frac{1}{2}$$

## Examples

```
D_1 = Uniform over \{1,...,n\}
D_2 = Uniform over \{1,...,n+1\}
\Delta(D_1,D_2) = \frac{1}{2}\sum_{x=1}^{n} |1/n - 1/(n+1)|
                         + \frac{1}{2} |0 - \frac{1}{(n+1)}|
                   = \frac{1}{2} \sum_{k=1}^{n} \frac{1}{n(n+1)} + \frac{1}{2} \frac{1}{(n+1)}
                   = \frac{1}{(n+1)} + \frac{1}{(n+1)} = \frac{1}{(n+1)}
```

## Statistical Security (Concrete)

Definition: A scheme (Enc,Dec) has ε-statistical secrecy for d messages if  $\forall$  two sequences of messages  $(m_0^{(i)})_{i \in [d]}$ ,  $(m_1^{(i)})_{i \in [d]} \in M^d$   $\Delta \big[ \left( \text{Enc}(K, m_0^{(i)}) \right)_{i \in [d]}, \left( \text{Enc}(K, m_1^{(i)}) \right)_{i \in [d]}, \right] < \epsilon$ 

We will call such a scheme  $(d,\epsilon)$  statistically secure

## Statistical Security (Asymptotic)

```
Definition: A scheme (Enc,Dec) has statistical secrecy for d messages if \exists negligible \epsilon such that \forall two sequences (m_0^{(i)})_{i \in [d]}, (m_1^{(i)})_{i \in [d]} \in M_\lambda^d, \Delta \big[ \left( \text{Enc}(K_\lambda, \, m_0^{(i)} \, ) \right)_{i \in [d]},  \left( \text{Enc}(K_\lambda, \, m_1^{(i)} \, ) \right)_{i \in [d]} \big] < \epsilon(\lambda)
```

We will call such a scheme **d**-time statistically secure

### Stateless Encryption with Multiple Messages

Ex:

$$M = C$$
 $K = Perms(M)$ 
 $Enc(K, m) = K(m)$ 
 $Dec(K, c) = K^{-1}(c)$ 

Q: Is this statistically secure for two messages?

**Theorem:** For any  $\varepsilon < 1$ , no stateless deterministic encryption scheme can have  $\varepsilon$ -statistical security for 2 messages

(Proof basically the same as before)

Importantly: proof does **not** hold for randomized schemes for  $\varepsilon > 0$ 

### Stateless Encryption with Multiple Messages

Ex:

```
C = M \times R  r \leftarrow R

K = Perms(C)

Enc(K, m) = K(m,r)

Dec(K, c) = (m',r') \leftarrow K^{-1}(c), output m'
```

Q: Is this statistically secure for two messages?

Q: Is it practical?

### Example

A more efficient example:

```
M = \mathbb{Z}_p (p a prime of size 2^{\lambda}, \lambda=128)
C = \mathbb{Z}_p^2
K = \mathbb{Z}_p^2
Enc((a,b), m) = (r, (ar+b) + m)
Dec((a,b), (r,c)) = c - (ar+b)
```

## Proof of Example

```
Let D_h be distribution of ( Enc(k,m_b^{(i)}) )<sub>i={0.1}</sub>
Let \mathbf{D_h}' be the following:
          1. Run (c_0,c_1)\leftarrow Db
          2. If \mathbf{r}_0 = \mathbf{r}_1, output \perp
          3. Else output (c_0,c_1)
Fix r_0 \neq r_1, m_0, m_1, c_0, c_1
Pr[ar_0+b+m_0=c_0, ar_1+b+m_1=c_1] = 1/p^2
(a,b)
So D_0' = D_1' (\Delta(D_0', D_1') = 0)
```

## The Symbol ⊥ ("bot")

Represents an abort/reject/bad outcome

Augments whatever set we are talking about

• Ex: support of  $D_b = C^2$ , so support of  $D_b' = C^2 \cup \{\bot\}$ 

## Proof of Example

Lemma:  $\Delta(D_1,D_2) \leq \frac{1}{2} \Pr[bad|D_1] + \frac{1}{2} \Pr[bad|D_2] + \Delta(D_1',D_2')$ 

#### Where:

- "bad" is some event
- $Pr[bad|D_b]$  is probability "bad" when sampling from  $D_b$
- D<sub>b</sub>' is D<sub>b</sub>, except outputs ⊥ on "bad"

### Proof of Lemma

$$\begin{split} &\Delta(D_{1},D_{2})=22\sum_{x}|\Pr[D_{1}=x]-\Pr[D_{2}=x]|\\ &=22\sum_{x:bad}|\Pr[D_{1}=x]-\Pr[D_{2}=x]|\\ &+22\sum_{x:good}|\Pr[D_{1}=x]-\Pr[D_{2}=x]|\\ &\leq 22\sum_{x:bad}|\Pr[D_{1}=x]|+22\sum_{x:bad}|\Pr[D_{2}=x]|\\ &+22\sum_{x:good}|\Pr[D_{1}=x]-\Pr[D_{2}=x]|\\ &=22\sum_{x:bad}|\Pr[D_{1}=x]|+22\sum_{x:bad}|\Pr[D_{2}=x]|\\ &+22\sum_{x:bad}|\Pr[D_{1}=x]-\Pr[D_{2}=x]|\\ &+22\sum_{x}|\Pr[D_{1}'=x]-\Pr[D_{2}'=x]|\\ &=22\sum_{x:bad}|\Pr[D_{1}'=x]-\Pr[D_{2}'=x]|\\ &=22\sum_{x:bad}|\Pr[D_{1}'=x]-\Pr[D_{2}'=x]|$$

## Proof of Example

```
Let D_b be distribution of ( Enc(k,m_b^{(i)}) )_{i \in \{0,1\}}
Let bad be when r_0=r_1
Let D_b be the following:
```

- 1. Run  $(c_0,c_1)\leftarrow Db$
- 2. If **bad**, output  $\perp$
- 3. Else output  $(c_0,c_1)$

$$Pr[bad|D_b] = 1/p$$

$$\Delta(D_0', D_1') = 0$$

Therefore,  $\Delta(D_0, D_1) \le 1/p \approx 2^{-\lambda}$ 

### Summary so Far

Stateless encryption for multiple messages

/

But, key length grows with number of messages

X

And, key length grows with length of message



## Limits of Statistical Security

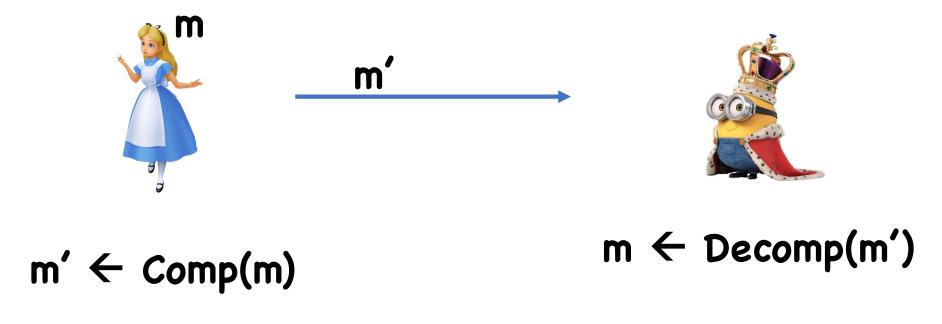
Theorem: Suppose (Enc,Dec) has plaintext space  $M = \{0,1\}^n$  and key space  $K = \{0,1\}^t$ . Moreover, assume it is (d, 0.4999)-secure. Then:

t 2 d n

In other words, the key must be at least as long as the total length of all messages encrypted

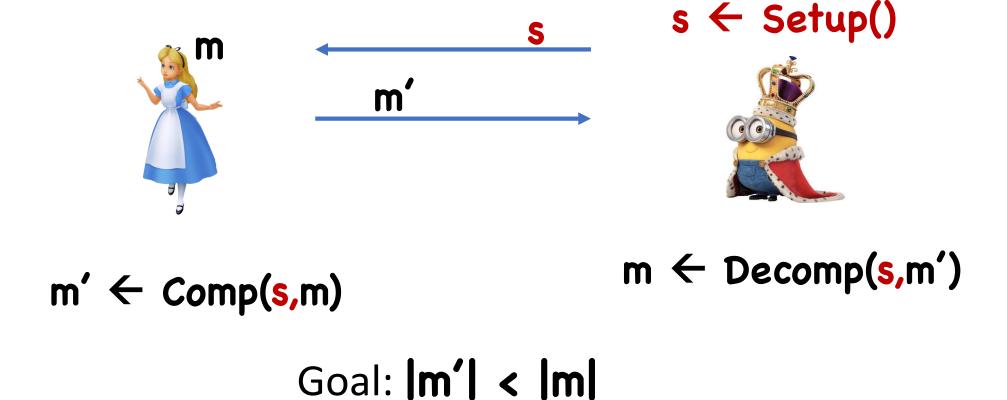
# Proof Idea: Compression

Use an encryption protocol to build a compression protocol



Goal: |m'| < |m|

## For Now: Easier Goal



## The Protocol

Let  $\mathbf{m}_{\mathbf{0}}$  be some arbitrary message in  $\mathbf{M}$ 

### Setup():

- Choose random  $k_0 \leftarrow K$
- Let  $c_1 \leftarrow Enc(k_0, m_0), ..., c_d \leftarrow Enc(k_0, m_0)$
- Output (c<sub>1</sub>,...,c<sub>d</sub>)

## Comp( $(c_1,...,c_d)$ , $(m_1,...,m_d)$ ):

- Find  $k,r_1,...,r_d$  such that  $c_i = Enc(k,m_i; r_i) \forall i$
- If no such values exist, abort
- Output k

## The Protocol

Let  $\mathbf{m_0}$  be some message in  $\mathbf{M}$ 

```
Comp( (c_1,...,c_d), (m_1,...,m_d)):
```

- Find  $k,r_1,...,r_d$  such that  $c_i = Enc(k,m_i; r_i) \forall i$
- If no such values exist, abort
- Output k

```
Decomp((c_1,...,c_d), k):
```

- Compute  $m_i = Dec(k,c_i)$
- Output (m<sub>1</sub>,...,m<sub>d</sub>)

# Analysis of Protocol

If **Comp** succeeds, **Decomp** must succeed by correctness

• Since c<sub>i</sub>=Enc(k,m<sub>i</sub>; r<sub>i</sub>), Dec(k,c<sub>i</sub>) must give m<sub>i</sub>

Therefore, must figure out when **Comp** succeeds

Claim: For any sequence of messages  $m_1,...,m_d$ , Comp succeeds with probability at least  $1-\varepsilon$ 

(Probability over the randomness used by **Setup()** )

Claim: For any sequence of messages  $m_1,...,m_d$ , Comp succeeds with probability at least  $1-\varepsilon$ 

#### Proof:

- Suppose Comp succeeds with probability 1-p for messages m<sub>1</sub>,...,m<sub>d</sub>
- Let  $A(c_1,...,c_d)$  be the algorithm that runs  $Comp((c_1,...,c_d), (m_1,...,m_d))$  and outputs 1 if Comp succeeds
- If  $c_i = \text{Enc}(k_0, m_i)$ , then  $\text{Pr}[A(c_1, ..., c_d)=1] = 1$ • If  $c_i = \text{Enc}(k_0, m_0)$ , then  $\text{Pr}[A(c_1, ..., c_d)=1] = 1-p$
- By (d,ε)-statistical security of Enc, p must be ≤ε

Claim: For any sequence of messages  $m_1,...,m_d$ , Comp succeeds with probability at least  $1-\varepsilon$ 

Claim: For a random sequence of messages  $m_1,...,m_d$ , Comp succeeds with prob at least  $1-\varepsilon$ 

(Probability over the randomness used by **Setup()** and the random choices of  $\mathbf{m_1, ..., m_d}$ )

# Next step: Removing Setup

We know:

Pr[Comp succeeds: 
$$\binom{(c_1,...,c_d)}{m_i \in M} \leftarrow Setup(), \ ] \ge 1-\epsilon$$

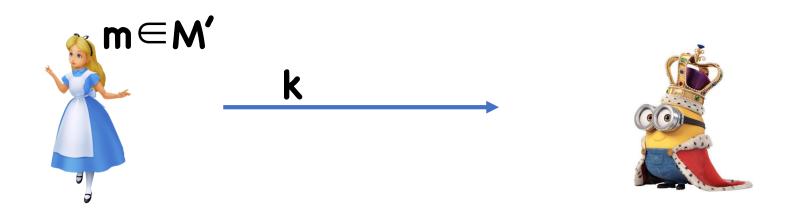
 $\Rightarrow$  there must exist some  $(c_1^*,...,c_d^*)$  such that

Pr[Comp succeeds:  $m_i \leftarrow M$ ]  $\geq 1-\epsilon$ 

Fix  $(c_1^*,...,c_d^*)$ , define:  $M' = \{(m_1,...,m_d): Comp$  succeeds $\}$ 

• Note that  $|M'| \ge (1-\epsilon) |M|^d$ 

### The Protocol



Find  $k,r_1,...,r_d$  such that  $c_i^*=Enc(k,m_i; r_i) \forall i$ 

For each i, Let  $m_i \leftarrow Dec(k,c_i^*)$ Output  $(m_1,...,m_d)$ 

By previous analysis,

- Alice always successfully compresses
- Bob always successfully decompresses

## Final Touches

Can compress messages in M' into keys in K

Therefore, it must be that |M'| ≤ |K|

```
Meaning t = log |K|

\geq log |M'|

\geq log [ (1-\epsilon) |M|^d ]

= d log |M| + log [1-\epsilon]

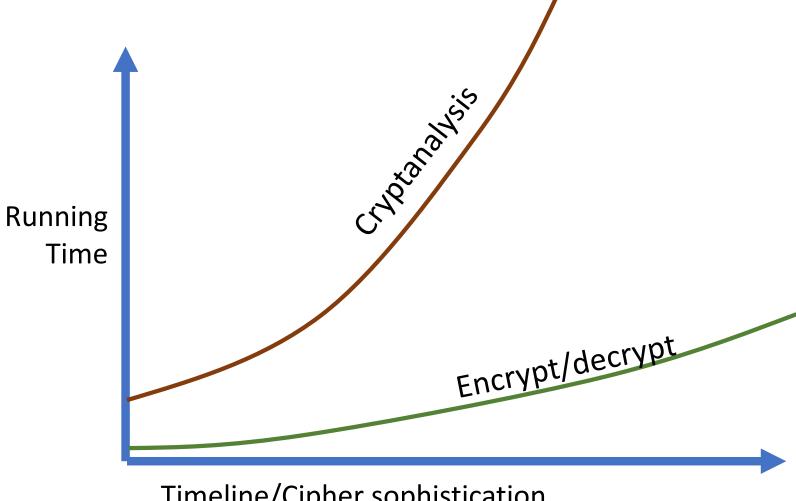
= dn + log [1-\epsilon]

\geq dn (as long as \epsilon < 1/2)
```

# Takeaway

If you don't want to physically exchange keys frequently, you cannot obtain statistical security

So, now what?



Timeline/Cipher sophistication

# Computational Security

We are ok if adversary takes a really long time

Only considered attack for adversaries that don't take too long

# How Long Is Ok?

#### Practice:

- Lifetime of data/person/civilization/universe
- Typically something like 280, 2128, or maybe 2256
  - Lifetime of universe in nanoseconds: 2<sup>58</sup>
  - Number of atoms in known universe: 2<sup>265</sup>

## How Long Is Ok?

### Theory:

- Maybe things will change as technology improves
- Want a more conceptual answer
- Absolute constants unsatisfactory
- Instead, consider an attack if time bounded by polynomial function

## Brute Force Attacks

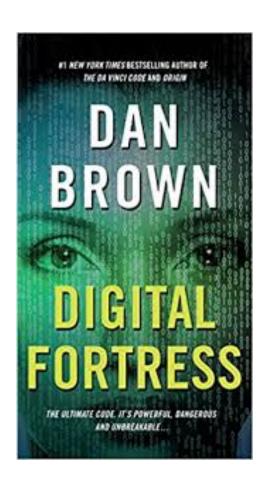
Simply try every key until find right one

If keys have length  $\lambda$ ,  $2^{\lambda}$  is upper bound on attack

Not always applicable – requires being able to test when guess was correct

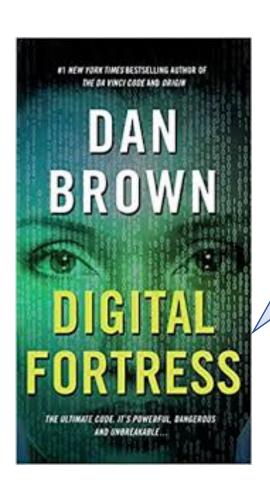
Always applicable when | key | ≤ | message |

# Holiwudd Criptoe!



[TRANSLTR]'s three million processors would all work in parallel ... trying every new permutation as they went

# Holiwudd Criptoe!



"What's the longest you've ever seen TRANSLTR take to break a code?"

"About an hour, but it had a ridiculously long key—ten thousand bits"

## Reminders

- HW1 due September 15
- PR1 due October 6