

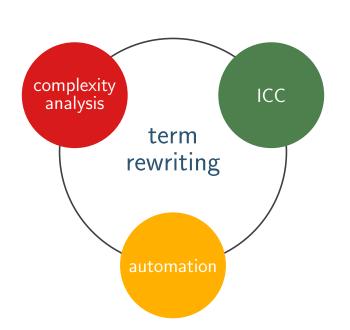
# Verifying Polytime Computability Automatically

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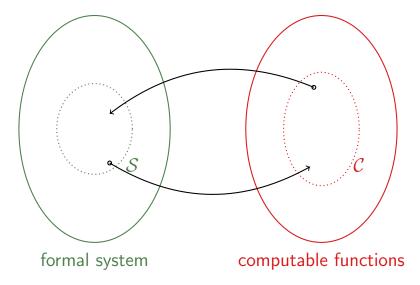


### Outline

- preliminaries
- path orders
  - for runtime complexity analysis
  - applications in ICC
- 3 automation
- invariance theorem

# Implicit Computational Complexity

implicit characterisations of complexity classes



in a nutshell

### Example

*term rewrite system* (TRS)  $\mathcal{R}_{rev}$  consists of rules

```
1: [] @ ys \rightarrow ys 2: rev([]) \rightarrow []
```

 $3 \colon (x \colon xs) \otimes ys \to x \colon (xs \otimes ys) \qquad 4 \colon \operatorname{rev}(x \colon xs) \to \operatorname{rev}(xs) \otimes (x \colon [\,])$ 

in a nutshell

### Example

term rewrite system (TRS)  $\mathcal{R}_{rev}$  consists of rules

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1: [] @ ys \rightarrow ys 2: rev([]) \rightarrow []
```

 $3: (x:xs) @ ys \rightarrow x: (xs @ ys) \qquad 4: \quad \operatorname{rev}(x:xs) \rightarrow \operatorname{rev}(xs) @ (x:[])$ 

### rewriting

```
rev(1:(2:(3:[]))) \rightarrow_{\mathcal{R}_{rev}} rev(2:(3:[])) @ (1:[])
```

in a nutshell

### Example

term rewrite system (TRS)  $\mathcal{R}_{rev}$  consists of rules

```
1: [] @ ys \rightarrow ys 2: rev([]) \rightarrow [] 3: (x:xs) @ ys \rightarrow x: (xs @ ys) 4: rev(x:xs) \rightarrow rev(xs) @ (x:[])
```

### rewriting

```
\begin{split} \operatorname{rev}(1:(2:(3:[]))) \to_{\mathcal{R}_{\operatorname{rev}}} \operatorname{rev}(2:(3:[])) & @ (1:[]) \\ \to_{\mathcal{R}_{\operatorname{rev}}} & \cdots \\ \to_{\mathcal{R}_{\operatorname{rev}}} & 3:(2:(1:[])) \end{split}
```

in a nutshell

### Example

term rewrite system (TRS)  $\mathcal{R}_{rev}$  consists of rules

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1: [] @ ys \rightarrow ys 2: rev([]) \rightarrow [] 3: (x:xs) @ ys \rightarrow x:(xs @ ys) 4: rev(x:xs) \rightarrow rev(xs) @ (x:[])
```

```
rewriting ≡ computation
```

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in a nutshell

### Example

term rewrite system (TRS)  $\mathcal{R}_{rev}$  consists of rules

```
1: [] @ ys \rightarrow ys 2: rev([]) \rightarrow []
```

3: (x:xs) @  $ys \rightarrow x:(xs$  @ ys) 4:  $rev(x:xs) \rightarrow rev(xs)$  @ (x:[])

constructor TRS

```
rewriting ≡ computation
```

```
\operatorname{rev}(1:(2:(3:[]))) \to_{\mathcal{R}_{\operatorname{rev}}} \operatorname{rev}(2:(3:[])) @ (1:[])
\to_{\mathcal{R}_{\operatorname{rev}}} \cdots
\to_{\mathcal{R}_{\operatorname{rev}}} 3:(2:(1:[]))
\operatorname{constructor terms} \equiv \operatorname{values}
```

in a nutshell

### Example

term rewrite system (TRS)  $\mathcal{R}_{rev}$  consists of rules

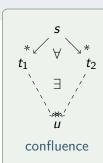
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1: [] @ ys \rightarrow ys 2: rev([]) \rightarrow []
```

 $3: (x:xs) @ ys \rightarrow x: (xs @ ys) \qquad 4: \quad \operatorname{rev}(x:xs) \rightarrow \operatorname{rev}(xs) @ (x:[])$ 

```
rewriting \equiv {\color{red} computation}
```

```
\operatorname{rev}(\mathbf{1}:(\mathbf{2}:(\mathbf{3}:[]))) \to_{\mathcal{R}_{\operatorname{rev}}} \operatorname{rev}(\mathbf{2}:(\mathbf{3}:[])) @ (1:[])
\to_{\mathcal{R}_{\operatorname{rev}}} \cdots
\to_{\mathcal{R}_{\operatorname{rev}}} \mathbf{3}:(\mathbf{2}:(\mathbf{1}:[]))
```

constructor terms  $\equiv$  values



constructor TRS

in a nutshell

### Example

*term rewrite system* (TRS)  $\mathcal{R}_{rev}$  consists of rules

```
1: [] @ ys \rightarrow ys 2: rev([]) \rightarrow []
```

$$3: (x:xs) @ ys \rightarrow x: (xs @ ys) \qquad 4: \quad \operatorname{rev}(x:xs) \rightarrow \operatorname{rev}(xs) @ (x:[])$$

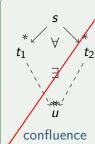
5: 
$$\operatorname{elem}(x:xs) \to x$$
 6:  $\operatorname{elem}(x:xs) \to \operatorname{elem}(xs)$ 

rewriting  $\equiv$  computation

$$rev(1:(2:(3:[]))) \rightarrow_{\mathcal{R}_{rev}} rev(2:(3:[])) @ (1:[])$$

$$\to_{\mathcal{R}_{\text{rev}}} 3: (2:(1:[]))$$

constructor terms  $\equiv$  values



constructor TRS

# Complexity of Term Rewrite Systems

lacktriangle derivation height of term t with respect to relation o

$$\mathsf{dh}(t, \rightarrow) = \mathsf{max}\{\textcolor{red}{\ell} \mid \exists (t_1, \dots, t_\ell). \ t \rightarrow t_1 \rightarrow \dots \rightarrow t_{\textcolor{red}{\ell}}\}$$

f 1 runtime complexity of TRS  $\cal R$ 

```
rc_{\mathcal{R}}(n) = max\{ dh(f(\vec{s}), \rightarrow_{\mathcal{R}}) \mid f(\vec{s}) \text{ and } \vec{s} \text{ are values of size up to } n \}
```

# Complexity of Term Rewrite Systems

ightharpoonup derivation height of term t with respect to relation ightarrow

$$\mathsf{dh}(t, \rightarrow) = \mathsf{max}\{\ell \mid \exists (t_1, \dots, t_\ell). \ t \rightarrow t_1 \rightarrow \dots \rightarrow t_\ell\}$$

f 1 runtime complexity of TRS  $\cal R$ 

$$rc_{\mathcal{R}}(n) = max\{ dh(f(\vec{s}), \rightarrow_{\mathcal{R}}) \mid f(\vec{s}) \text{ and } \vec{s} \text{ are values of size up to } n \}$$

 $oldsymbol{2}$  innermost runtime complexity of TRS  ${\cal R}$ 

$$\operatorname{rc}_{\mathcal{R}}^{i}(n) = \max\{\operatorname{dh}(f(\vec{s}), \overrightarrow{j}_{\mathcal{R}}) \mid f(\vec{s}) \text{ and } \vec{s} \text{ are values of size up to } n\}$$

innermost rewriting  $\approx \operatorname{eager reduction}$ 

# Part I

# Runtime Complexity Analysis

- Small Polynomial Path Order
- Exponential Polynomial Path Order

### Presented at...



### M. Avanzini and N. Eguchi and G. Moser

A Path Order for Rewrite Systems that Compute Exponential Time Functions.

Proc. of 22<sup>nd</sup> RTA, LIPIcs, pages 123–138, 2011



### M. Avanzini and N. Eguchi and G. Moser

A New Order-theoretic Characterisation of the Polytime Computable Functions.

Proc. of 10<sup>th</sup> APLAS, LNCS, pages 280–295, 2012

#### basics

▶ TRS  $\mathcal{R}$  compatible with  $>_{\mathsf{spop}*}$  if

$$\mathcal{R}\subseteq >_{\mathsf{spop}*}$$

 $l>_{\mathsf{spop}*} r \quad \mathsf{for all rules} \ l \to r \in \mathcal{R}$ 

#### basics

lacktriangle TRS  ${\cal R}$  compatible with  $>_{\sf spop*}$  if

$$\mathcal{R}\subseteq >_{\mathsf{spop}*}$$

$$l >_{\mathsf{spop}*} r$$
 for all rules  $l \to r \in \mathcal{R}$ 

 $oldsymbol{1}$  ensures termination of  $\mathcal R$ 

#### basics

▶ TRS  $\mathcal{R}$  compatible with  $>_{\mathsf{spop}*}$  if

$$\mathcal{R} \subseteq >_{\mathsf{spop}*}$$

$$l >_{\mathsf{spop}*} r$$
 for all rules  $l \to r \in \mathcal{R}$ 

- $oldsymbol{0}$  ensures termination of  $\mathcal{R}$
- $oldsymbol{2}$  embodies predicative recursion on  $\mathcal R$



Stephen Bellantoni and Stephen A. Cook

A New Recursion-Theoretic Characterization of the Polytime Functions.

Computational Complexity, Vol. 2, pages 97–110, 1992

#### basics

ightharpoonup TRS  ${\cal R}$  compatible with  $>_{\sf spop*}$  if

$$\mathcal{R}\subseteq >_{\mathsf{spop}*}$$

$$l >_{\mathsf{spop}*} r$$
 for all rules  $l \to r \in \mathcal{R}$ 

- $oldsymbol{0}$  ensures termination of  $\mathcal{R}$
- $oldsymbol{2}$  embodies predicative recursion on  $\mathcal R$

### ingredients

• precedence > on function symbols

constructors are minimal

$$f > g$$
  $\approx$  "f uses g"

2 separation of arguments

$$f(\underbrace{n_1,\ldots,n_l}_{normal};\underbrace{n_{l+1},\ldots,n_{l+k}}_{safe})$$

### with parameter substitution

#### **Definition**

$$s = f(s_1, \dots, s_k; s_{k+1}, \dots, s_{k+l}) >_{\text{spop}^*} t \text{ if }$$

- **1**  $s_i \geqslant_{\text{spop}^*} t$  for some argument  $s_i$
- 2  $t = g(t_1, ..., t_m; t_{m+1}, ..., t_{m+n})$  where f > g
  - $f(s_1, \ldots, s_k; s_{k+1}, \ldots, s_{k+l}) \triangleright_n t_j$  for all normal arguments  $t_j$
  - $f(s_1, \ldots, s_k; s_{k+1}, \ldots, s_{k+l}) >_{\text{spop}^*} t_j$  for all safe arguments  $t_j$
  - t contains symbol f at most once
- 3  $t = f(t_1, \ldots, t_k; t_{k+1}, \ldots, t_{k+l})$  where  $f \in \mathcal{D}_{rec}$ 
  - $\langle s_1, \ldots, s_k \rangle >_{\mathsf{spop}^*}^{\mathsf{prod}} \langle t_1, \ldots, t_k \rangle$
  - $s>_{\mathsf{spop}^*} t_{k+1}, \ldots, s>_{\mathsf{spop}^*} t_{k+l}$ , f does not occur in  $t_{k+1}, \ldots, t_{k+l}$ .

main result

#### **Theorem**

Suppose  $\mathcal R$  is constructor TRS compatible with or  $>_{\mathsf{spop}^*}$ .

Then

▶ the *innermost runtime complexity* of  $\mathcal{R}$  is polynomially bounded.

#### main result

#### Theorem

Suppose  $\mathcal R$  is *constructor* TRS compatible with or  $>_{\mathsf{spop}^*}$ . Then

- ▶ the *innermost runtime complexity* of  $\mathcal{R}$  is polynomially bounded.
- ▶ degree of the polynomial is given by the maximal depth of recursion.

"counts nestings of recursive definitions"

applications in ICC

### Definition

constructor TRS R is predicative recursive (of degree d) if

- $\textbf{1} \ \mathcal{R} \ \text{is compatible with} >_{\mathsf{spop}^*}$
- ${f 2}$  recursion depth of  ${\cal R}$  is  ${\it d}$

applications in ICC

#### Definition

constructor TRS R is predicative recursive (of degree d) if

- ${\bf 1} {\bf 1} {\bf R}$  is compatible with  $>_{\sf spop^*}$
- $oldsymbol{2}$  recursion depth of  $\mathcal{R}$  is d

#### Theorem

characterisation of FP

The following classes of functions are equivalent:

- The class of functions computed by predicative recursive TRSs.
- The class FP of functions computable in polynomial time on deterministic Turing machines.

# Exponential Path Order

#### **Definition**

$$s = f(s_1, \dots, s_k; s_{k+1}, \dots, s_{k+l}) >_{\text{epo}*} t \text{ if }$$

- **1**  $s_i \geqslant_{spop*} t$  for some argument  $s_i$
- 2  $t = g(t_1, ..., t_m; t_{m+1}, ..., t_{m+n})$  where f > g
  - $f(s_1, \ldots, s_k; s_{k+1}, \ldots, s_{k+l}) \triangleright_n t_i$  for all normal arguments  $t_i$
  - $f(s_1, \ldots, s_k; s_{k+1}, \ldots, s_{k+l}) >_{\text{epo}*} t_i$  for all safe arguments  $t_i$
  - t contains symbol f at most once
- **3**  $t = f(t_1, \ldots, t_k; t_{k+1}, \ldots, t_{k+l})$  where

  - $\begin{array}{l} \bullet \ \langle s_1, \ldots, s_k \rangle >_{\text{epo}\star}^{\text{lex}'} \ \langle t_1, \ldots, t_k \rangle \\ \bullet \ s >_{\text{epo}\star} \ t_{k+1}, \ \ldots, \ s >_{\text{epo}\star} \ t_{k+l}, \ f \ \text{does not occur in} \ t_{k+1}, \ldots, \end{array}$

## Exponential Path Order

main result

#### Theorem

Suppose  $\mathcal R$  is constructor TRS compatible with  $>_{\text{epo}\star}$ .

Then the *innermost runtime complexity* of  $\mathcal{R}$  is in  $2^{\mathcal{O}(n^k)}$   $(k \in \mathbb{N})$ .

# Exponential Polynomial Path Orders

order-theoretic characterisation of FEXP

### Definition

constructor TRS  $\mathcal R$  is predicative nested recursive if  $\mathcal R$  is compatible with  $>_{\mathtt{epo}\star}$ 

#### Theorem

The following classes of functions are equivalent:

- The class of functions computed by predicative nested recursive TRSs.
- The class FEXP of functions computable in exponential time on deterministic Turing machines.

# Part II

# **Automation**

- ► TCT
- Complexity Framework
- Complexity Processors

### Presented at...



Automated Implicit Computational Complexity Analysis (System Description).

Proc. of 4<sup>th</sup> IJCAR, LNCS, pages 132–138, 2008

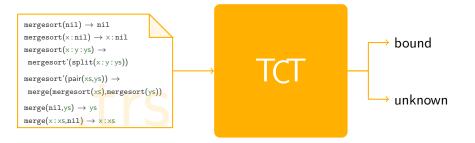
### M. Avanzini and G. Moser

A Combination Framework for Complexity. Proc. of 24<sup>th</sup> RTA, LIPIcs, pages 55–70, 2013

#### M. Avanzini and G. Moser

Tyrolean Complexity Tool: Features and Usage (System Description). Proc. of 24<sup>th</sup> RTA, LIPIcs, pages 71–80, 2013

# Tyrolean Complexity Tool



http://cl-informatik.uibk.ac.at/software/tct



# Tyrolean Complexity Tool

### history

2008 version 1.0

extension to termination prover  $T_TT_2$ 

3 dedicated complexity techniques

2009 version 1.5

new implementation

- ▶ in Haskell
- 9 methods implemented
- $\triangleright$   $\approx$  3.400 lines of code

2013 version 2.0

current version

- ▶ 23 methods implemented
- $\triangleright \approx 21.000$  lines of code, of which 4.000 lines of comment

### complexity problem

- ▶ complexity problem  $\mathcal{P}$  is tuple  $\langle \rightarrow, \mathcal{T} \rangle$ 
  - $oldsymbol{0} 
    ightarrow ext{is binary relation on terms}$

- $oldsymbol{0}{\mathcal{T}}$  is set of starting terms
- ightharpoonup complexity function of  $\mathcal P$  is

$$\operatorname{cp}_{\mathcal{P}}(n) := \max\{\operatorname{dh}(t, \to) \mid t \in \mathcal{T} \text{ is term of size upto } n\}$$

### complexity problem

- ightharpoonup complexity problem  $\mathcal{P}$  is tuple  $\langle \mathcal{S}/\mathcal{W}, , \mathcal{T} \rangle$ 
  - $\mathbf{0} \rightarrow \mathsf{is} \mathsf{ binary relation on terms}$

$$\longrightarrow_{\mathcal{S}/\mathcal{W}} := \longrightarrow_{\mathcal{W}}^* \cdot \longrightarrow_{\mathcal{S}} \cdot \longrightarrow_{\mathcal{W}}^*$$

- $\mathcal{S}, \mathcal{W}$  are TRSs
- $\bigcirc$   $\mathcal{T}$  is set of starting terms
- ightharpoonup complexity function of  $\mathcal{P}$  is

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### complexity problem

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$$\longrightarrow_{\mathcal{S}/\mathcal{W}} := \longrightarrow_{\mathcal{W}}^* \cdot \longrightarrow_{\mathcal{S}} \cdot \longrightarrow_{\mathcal{W}}^*$$

- S,W are TRSs
- $\bigcirc$   $\mathcal{T}$  is set of starting terms
- ightharpoonup complexity function of  $\mathcal{P}$  is

$$\operatorname{cp}_{\mathcal{P}}(n) := \max\{\operatorname{dh}(t, \to) \mid t \in \mathcal{T} \text{ is term of size upto } n\}$$

 $\triangleright$  runtime complexity problem if in terms of  $\mathcal{T}$ , arguments are values

### complexity problem

- ▶ complexity problem  $\mathcal{P}$  is tuple  $\langle \mathcal{S}/\mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle$ 
  - $0 \rightarrow is binary relation on terms$

$$\underline{\mathcal{Q}}_{\mathcal{S}/\mathcal{W}} := \underline{\mathcal{Q}}_{\mathcal{W}}^* \cdot \underline{\mathcal{Q}}_{\mathcal{S}} \cdot \underline{\mathcal{Q}}_{\mathcal{W}}^*$$

- $\mathcal{S}, \mathcal{W}$  are TRSs
- $s \xrightarrow{\mathcal{Q}}_{\mathcal{R}} t$  if  $s \to_{\mathcal{R}} t$  and arguments of redex in s are  $\mathcal{Q}$  normal forms
- $2 \mathcal{T}$  is set of starting terms
- ightharpoonup complexity function of  $\mathcal{P}$  is

$$\operatorname{cp}_{\mathcal{P}}(n) := \max\{\operatorname{dh}(t, \to) \mid t \in \mathcal{T} \text{ is term of size upto } n\}$$

- runtime complexity problem if in terms of  $\mathcal{T}$ , arguments are values
- ▶ innermost complexity problem if normal forms of  $\mathcal Q$  are normal forms of  $\mathcal S \cup \mathcal W$

### processors and proofs

complexity processor is inference rule

$$\frac{\vdash \mathcal{P}_1 \colon f_1 \quad \cdots \quad \vdash \mathcal{P}_n \colon f_n}{\vdash \mathcal{P} \colon f}$$

- ▶ complexity judgement is statement  $\vdash P$ : f
  - ullet  $\mathcal P$  is a complexity problem
  - $f: \mathbb{N} \to \mathbb{N}$  is bounding function
  - valid if  $cp_{\mathcal{P}}(n) \in \mathcal{O}(f(n))$

### A Framework For Complexity Analysis of TRSs

#### processors and proofs

complexity processor is inference rule

$$\frac{\vdash \mathcal{P}_1 \colon f_1 \quad \cdots \quad \vdash \mathcal{P}_n \colon f_n}{\vdash \mathcal{P} \colon f}$$

- ▶ complexity judgement is statement  $\vdash \mathcal{P}$ : f
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  - $f: \mathbb{N} \to \mathbb{N}$  is bounding function
  - valid if  $cp_{\mathcal{P}}(n) \in \mathcal{O}(f(n))$
- ▶ complexity proof of  $\vdash P$ : f is a deduction using sound processors

## Small Polynomial Path Order

as complexity processor

$$\frac{\mathcal{S} \subseteq >_{\mathsf{spop}^*} \quad \mathcal{W} \subseteq \geqslant_{\mathsf{spop}^*}}{\vdash \langle \mathcal{S}/\mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle \colon n^d}$$

for innermost runtime complexity problem  $\langle \mathcal{S}/\mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle$ , where

- d is maximal depth of recursion
- $ightharpoonup \mathcal{S} \cup \mathcal{W}$  is a constructor TRS

# Small Polynomial Path Order

as complexity processor

$$\frac{\mathcal{S} \subseteq >_{\mathsf{spop}^*} \quad \mathcal{W} \subseteq \geqslant_{\mathsf{spop}^*}}{\vdash \langle \mathcal{S}/\mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle \colon n^d}$$

for innermost runtime complexity problem  $\langle \mathcal{S}/\mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle$ , where

- d is maximal depth of recursion
- $\triangleright S \cup W$  is a constructor TRS

#### Extensions...

- permutation of argument positions
- quasi-precedences
- argument filterings

### **Further Processors**

- complexity pairs
   P-monotone complexity pairs
- dependency pairs
   weak dependency pairs, dependency tuples
- simplifications
   usable rules, rule simplifications, predecessor estimation, relative
   decomposition
- call graph analysis dependency graph decomposition

generalisednovel

### **Experimental Evaluation**

#### testsuite

- ▶ RC: set of runtime complexity examples
- ► RaML: straight forward translations of first-order ML programs

#### setup

- ▶ 16 Intel<sup>®</sup> Core<sup>™</sup> i7-3930K (3.20GHz), 32Gb RAM
- ▶ 300 secs timeout

#### tools

► AProVE for innermost rewriting

http://aprove.informatik.rwth-aachen.de/

► C<sub>a</sub>T for full rewriting

http://cl-informatik.uibk.ac.at/software/cat/

### Experimental Results

		R	RaML			
	full		innermost		innermost	
Answer	TCT	$C_aT$	TCT	AProVE	TCT	AProVE
$\mathcal{O}(1)$	1/0.90	_	1/0.09	1/1.06	_	_
$\mathcal{O}(n^1)$	7/9.22	6/0.42	8/0.91	8/2.05	3/10.21	2/2.89
$\mathcal{O}(n^2)$	2/4.61	_	11/13.02	11/2.53	13/17.45	6/11.01
$\mathcal{O}(n^3)$	1/44.64	_	3/22.59	3/6.20	3/63.08	1/11.95
$\mathcal{O}(n^4)$	1/52.85	_	2/77.99	_	1/159.03	_
$\mathcal{O}(n^5)$	-	_	2/84.33	_	1/149.30	-
Success	12/14.35	6/0.42	27/20.11	23/2.78	21/34.32	8/9.31
Maybe	_	_	_	1/168.07	_	_
Timeout	18	_	3	6	1	13

Table: Overview experimental evaluation on data set RC and RaML.

### Experimental Results

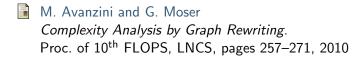
		R	RaML innermost			
	full				innermost	
Answer	TCT	$C_aT$	TCT	AProVE	TCT	AProVE
$\mathcal{O}(1)$	1/0.90	_	1/0.09	1/1.06	_	_
$\mathcal{O}(n^1)$	7/9.22	6/0.42	8/0.91	8/2.05	3/10.21	2/2.89
$\mathcal{O}(n^2)$	2/4.61	_	11/13.02	11/2.53	13/17.45	6/11.01
$\mathcal{O}(n^3)$	1/44.64	_	3/22.59	3/6.20	3/63.08	1/11.95
$\mathcal{O}(n^4)$	1/52.85	_	2/77.99	_	1/159.03	_
$\mathcal{O}(n^5)$	_	_	2/84.33	-	1/149.30	_
Success	12/14.35	6/0.42	27/20.11	23/2.78	21/34.32	8/9.31
Maybe	_	_	_	1/168.07	_	_
Timeout	18	_	3	6	1	13
due to DGD	25%	_	44%	-	57%	-

Table: Overview experimental evaluation on data set RC and RaML.

# Part III

Invariance Theorem

### Presented at...



M. Avanzini and G. Moser

Closing the Gap Between Runtime Complexity and Polytime

Computability.

Proc. of 21st RTA, LIPIcs, pages 33-48, 2010

### Invariance Thesis

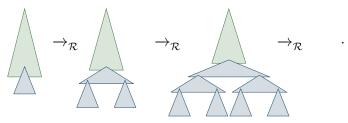
"... reasonable universal machines can simulate each other within a polynomially bounded overhead in time and a constant-factor overhead in space."



Handbook of Theoretical Computer Science, Volume A: Algorithms and Complexity (A), pp. 1-66, 1990

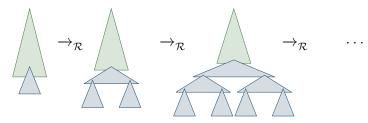
### Invariance Thesis

unitary cost model a priory not invariant

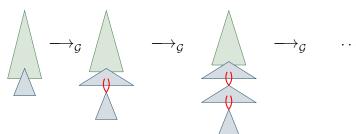


# Invariance Thesis

unitary cost model a priory not invariant



#### solution

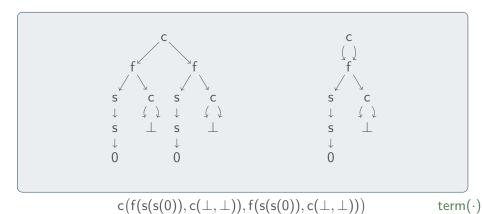


# Term Graph Rewriting

in a nutshell

► allow sharing in terms

term graphs



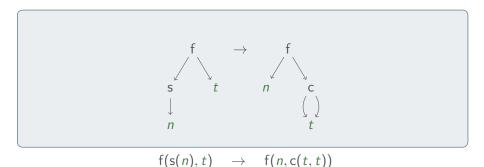
# Term Graph Rewriting

#### in a nutshell

► allow sharing in terms

term graphs

never duplicate, introduce sharing instead



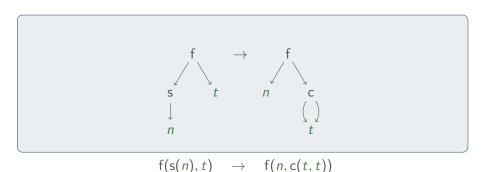
# Term Graph Rewriting

#### in a nutshell

allow sharing in terms

term graphs

- never duplicate, introduce sharing instead
- runtime complexity is an invariant cost model for term graph rewriting



### Adequacy

connecting term rewriting and term graph rewriting

#### Definition

Let G be a set of term graphs.

Relation  $\longrightarrow_{\mathcal{G}}$  on graphs is adequate (wrt. G) for relation  $\rightarrow_{\mathcal{R}}$  on terms if

**1** Surjectivity of unfolding on G:

every term t has a graph representation in G

**2** Closure under reductions of G:

$$S \in G \text{ and } S \longrightarrow_G T \implies T \in G$$

3 Preservation of reductions:

$$S \in G \text{ and } S \longrightarrow_G T \implies \operatorname{term}(S) \rightarrow_{\mathcal{R}} \operatorname{term}(T)$$

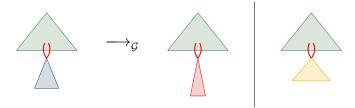
4 Simulation of reductions:

$$S \in G$$
 and  $\operatorname{term}(S) \to_{\mathcal{R}} t \implies S \longrightarrow_{\mathcal{G}} T$  where  $\operatorname{term}(T) = t$ 

# Sources of Inadequacy

(i) shared redexes

on term graphs ...



...on terms











# Sources of Inadequacy

(ii) graph matching

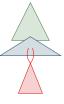
on terms ...





...on term graphs





### Adequacy

by folklore

for every TRS  $\mathcal{R},$  there exists a GRS  $\mathcal{G}_{\mathcal{R}}$  such that the relation

$$\longrightarrow_{\mathcal{G}_{\mathcal{R}}} + \text{sharing} + \text{unsharing}$$

is adequate for  $o_{\mathcal R}$ 

# Adequacy

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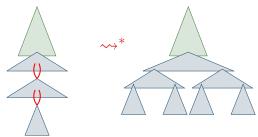
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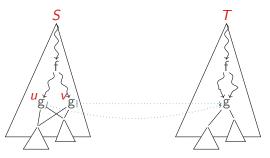
#### however...

uncontrolled unsharing might blow up graph sizes exponentially



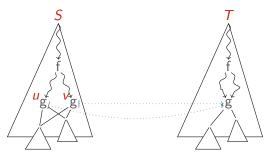
restricted sharing and unsharing

define for term graphs S, T



restricted sharing and unsharing

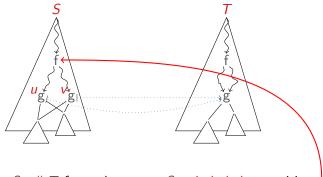
define for term graphs S, T



- **1** S ▶ $_p$  T : $\Leftrightarrow$   $S <math>\sqsupset_v^u T$  for nodes  $u, v \in S$  strictly below position p
- **2**  $T \triangleleft_p S : \Leftrightarrow T \stackrel{u}{\vee} \sqsubseteq S$  and  $u \in S$  unshared node above position p

restricted sharing and unsharing

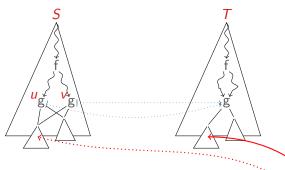
define for term graphs S, T



- **1**  $S \triangleright_p T : \Leftrightarrow S \sqsupset_v^u T$  for nodes  $u, v \in S$  strictly below position p
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restricted sharing and unsharing

define for term graphs S, T



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## Adequacy Theorem

full rewriting

#### Theorem

the following relations are adequate for  $\rightarrow_{\mathcal{R}}$ :

▶ in general

$$S \leadsto_{\mathcal{G}_{\mathcal{R}}} T : \iff S \lhd_p^! \cdot \blacktriangleright_p^! \cdot \longrightarrow_{\mathcal{G}_{\mathcal{R}},p} T$$

 $\blacktriangleright$  when  $\mathcal{R}$  is left-linear

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### Lemma space lemma

$$S \Leftrightarrow_{G_{\mathcal{D}}}^{\ell} T \text{ or } S \Leftrightarrow_{G_{\mathcal{D}}}^{\ell} T \implies \text{size}(T) \in \mathcal{O}(\ell \cdot \text{size}(S) + \ell^2)$$

### Adequacy Theorem

innermost rewriting

#### Theorem

the following relations are adequate for  $\xrightarrow{i}_{\mathcal{R}}$  on NF-sharing graphs:

▶ in general

$$S \overset{\mathsf{i}}{\longmapsto}_{\mathcal{G}_{\mathcal{R}}} T :\iff S \overset{\mathsf{i}}{\longmapsto}_{p} \cdot \overset{\mathsf{i}}{\longrightarrow}_{\mathcal{G}_{\mathcal{R}},p} T$$

 $\triangleright$  when  $\mathcal{R}$  is left-linear

$$S \xrightarrow{i}_{\mathcal{G}_{\mathcal{P}}} T$$

### Lemma space lemma

$$S \stackrel{i}{\mapsto}_{G_{\mathcal{D}}}^{\ell} T$$
 or  $S \stackrel{i}{\longrightarrow}_{G_{\mathcal{D}}}^{\ell} T \implies \operatorname{size}(T) \in \mathcal{O}(\operatorname{size}(S) + \ell)$ 

#### deterministic case

#### Theorem

deterministic invariance theorem

Let  $\mathcal{R}$  be a confluent TRS with  $rc_{\mathcal{R}}(n) \in \mathcal{O}(g(n))$ .

Any function computed by  $\mathcal{R}$  is computable in time p(n, g(n)) on a *deterministic Turing machine*, where

$$p(n,\ell) \in \mathcal{O}(\log(\ell+n)^3 \cdot (\ell \cdot n^3 + \ell^4))$$

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#### Corollary

Polynomial Time

Let  $\mathcal{R}$  be a confluent TRS with  $rc_{\mathcal{R}}(n) \in \mathcal{O}(n^k)$  for some  $k \ge 0$ .

Then all functions computed by  $\mathcal{R}$  are computable in polynomial time, i.e, are in FP.

non-deterministic case

#### Theorem

non-deterministic invariance theorem

Let  $\mathcal{R}$  be a TRS with  $rc_{\mathcal{R}}(n) \in \mathcal{O}(g(n))$ .

Any relation computed by  $\mathcal{R}$  is computable in time p(n,g(n)) on a non-deterministic Turing machine, where

$$p(n,\ell) \in \mathcal{O}(\log(\ell \cdot n)^2 \cdot (\ell^3 \cdot n^2 + \ell^5))$$

#### non-deterministic case

#### Theorem

non-deterministic invariance theorem

Let  $\mathcal{R}$  be a TRS with  $rc_{\mathcal{R}}(n) \in \mathcal{O}(g(n))$ .

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#### Corollary

function problems computable in non-deterministic time

Let  $\mathcal{R}$  be a TRS with  $rc_{\mathcal{R}}(n) \in \mathcal{O}(n^k)$  for some  $k \geq 0$ .

Then the function problem associated with any relation computed by  ${\mathcal R}$  is in ENP.

Thanks!