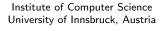


### A Combinatorial Framwork for Complexity

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August 30, 2013



# Combinatorial Framwork for Complexity

#### abstract

- **complexity problem**  $\mathcal{P}$  is tuple  $\langle \rightarrow, \mathcal{T} \rangle$ 
  - $oldsymbol{0} 
    ightarrow ext{is binary relation on terms}$

$$\rightarrow_{\mathcal{S}/\mathcal{W}} := \rightarrow_{\mathcal{W}}^* \cdot \rightarrow_{\mathcal{S}} \cdot \rightarrow_{\mathcal{W}}^*$$

- S,W are TRSs
- ullet s  $\stackrel{\mathcal{Q}}{\longrightarrow}_{\mathcal{R}}$  t if  $s \mapsto_{\mathcal{R}} t$  and arguments of redex in s are  $\mathcal Q$  normal forms
- $\ \ \, \ \, \mathcal{T}$  is set of starting terms
- **complexity function** of  $\mathcal{P}$  is

$$\operatorname{cp}_{\mathcal{P}}(n) := \max\{\operatorname{dh}(t, \to) \mid t \in \mathcal{T} \text{ is term of size upto } n\}$$

$$\langle \mathcal{R}/\varnothing, \varnothing, \text{all terms} \rangle$$

#### concrete

- ▶ complexity problem  $\mathcal{P}$  is tuple  $\langle \mathcal{S}/\mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle$ 
  - $oldsymbol{0} 
    ightarrow ext{is binary relation on terms}$

$$\xrightarrow{\mathcal{O}}_{\mathcal{S}/\mathcal{W}} := \xrightarrow{\mathcal{O}}_{\mathcal{W}}^* \cdot \xrightarrow{\mathcal{O}}_{\mathcal{S}} \cdot \xrightarrow{\mathcal{O}}_{\mathcal{W}}^*$$

- S,W are TRSs
- $s \xrightarrow{\mathcal{Q}}_{\mathcal{R}} t$  if  $s \to_{\mathcal{R}} t$  and arguments of redex in s are  $\mathcal{Q}$  normal forms
- $oldsymbol{2}$   $\mathcal{T}$  is set of starting terms
- ightharpoonup complexity function of  $\mathcal P$  is

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#### concrete

- ▶ complexity problem  $\mathcal{P}$  is tuple  $\langle \mathcal{S}/\mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle$ 
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► canonical runtime complexity problem

$$\langle \mathcal{R}/\varnothing, \varnothing, \mathtt{basic\ terms} \rangle$$

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► canonical innermost runtime complexity problem

$$\langle \mathcal{R}/\varnothing, \mathcal{R}, \mathtt{basic\ terms} \rangle$$

### Complexity Judgements, Processors and Proofs

### complexity judgement is statement $\vdash P : f$

- $ightharpoonup \mathcal{P}$  is a complexity problem
- $f: \mathbb{N} \to \mathbb{N}$  is bounding function
- ▶ valid if  $cp_{\mathcal{P}}(n) \in O(f(n))$

complexity processor is inference rule

$$\frac{\vdash \mathcal{P}_1 \colon f_1 \quad \cdots \quad \vdash \mathcal{P}_n \colon f_n}{\vdash \mathcal{P} \colon f}$$

sound if validity of judgements preserved

**complexity proof** of  $\vdash P$ : f is a deduction using sound processors only.

### Complexity Judgements, Processors and Proofs

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### Complexity Judgements, Processors and Proofs

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sound if validity of judgements preserved

**complexity proof** of  $\vdash P$ : f is a deduction using sound processors only.

### collapsible orders

 $\triangleright$  order  $\succ$  on terms called collapsible if for mapping G: terms  $\rightarrow \mathbb{N}$ 

$$s \succ t$$
 implies  $G(s) >_{\mathbb{N}} G(t)$  for all steps  $s \xrightarrow{\mathcal{Q}}_{S/\mathcal{W}} t$ 

for all steps 
$$s \xrightarrow{\mathcal{Q}}_{\mathcal{S}/\mathcal{W}} s$$

$$G(t) \leqslant f(size(t))$$

for all 
$$t \in \mathcal{T}$$

$$t_1 \xrightarrow{\mathcal{Q}}_{S/\mathcal{W}} t_2 \xrightarrow{\mathcal{Q}}_{S/\mathcal{W}} t_3 \xrightarrow{\mathcal{Q}}_{S/\mathcal{W}} \cdots \xrightarrow{\mathcal{Q}}_{S/\mathcal{W}} t_\ell$$

$$\downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$t_1 \qquad \succ \qquad t_2 \qquad \succ \qquad t_3 \qquad \succ \qquad \cdots \qquad \succ \qquad t_\ell$$

$$\mathsf{G}(t_1) >_{\mathbb{N}} \mathsf{G}(t_2) >_{\mathbb{N}} \mathsf{G}(t_3) >_{\mathbb{N}} \cdots >_{\mathbb{N}} \mathsf{G}(t_\ell)$$

### collapsible orders

ightharpoonup order ightharpoonup on terms called collapsible if for mapping G: terms ightharpoonup

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 implies  $\mathsf{G}(s) >_{\mathbb{N}} \mathsf{G}(t)$  for all steps  $s \xrightarrow{\mathcal{Q}}_{\mathcal{S}/\mathcal{W}} t$ 

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#### assume:

### collapsible orders

ightharpoonup order  $\succ$  on terms called collapsible if for mapping  $G: terms \to \mathbb{N}$ 

$$s \succ t \text{ implies } \mathsf{G}(s) >_{\mathbb{N}} \mathsf{G}(t)$$
 for all steps  $s \xrightarrow{\mathcal{Q}}_{\mathcal{S}/\mathcal{W}} t$ 

lacktriangle order  $\succ$  induces complexity bound  $f:\, \mathbb{N} o \mathbb{N}$  on terms  $\mathcal T$ 

$$\mathsf{G}(t) \ \leqslant \ f(\mathsf{size}(t))$$
 for all  $t \in \mathcal{T}$ 

#### assume:

### collapsible orders

ightharpoonup order  $\succ$  on terms called collapsible if for mapping  $G: \ \mathtt{terms} \to \mathbb{N}$ 

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#### assume:

$$t_1 \xrightarrow{\mathcal{Q}}_{S/W} t_2 \xrightarrow{\mathcal{Q}}_{S/W} t_3 \xrightarrow{\mathcal{Q}}_{S/W} \cdots \xrightarrow{\mathcal{Q}}_{S/W} t_\ell$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \qquad \qquad \downarrow$$

### complexity pairs

$$\frac{\mathcal{S} \subseteq \succ \quad \mathcal{W} \subseteq \succcurlyeq}{\vdash \langle \mathcal{S}/\mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle \colon f}$$

- **1** order  $\succ$  induces complexity  $f: \mathbb{N} \to \mathbb{N}$  on  $\mathcal{T}$
- **2**  $\succcurlyeq$  preorder with  $\succcurlyeq \cdot \succ \cdot \succcurlyeq \subseteq \succ$
- $(>,\succ)$  are stable under substitutions and monotone
  - $\sim$  order  $\succcurlyeq$  "monotone under  ${\mathcal W}$ -reducible argument positions'
  - $\sim$  order  $\succ$  "monotone under S-reducible argument positions"
- Harald Zankl and Martin Korp

  Modular Complexity Analysis via Relative Complexity.

  Proc. 21st PTA pages 385, 400, 2010

Proc. 21st RTA, pages 385-400, 2010

### $\mathcal{P}$ -monotone complexity pairs

$$\frac{\mathcal{S} \subseteq \,\succ\,\, \mathcal{W} \subseteq \,\succcurlyeq\,}{\vdash \langle \mathcal{S}/\mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle \colon f}$$

- lacktriangledown order  $\succ$  induces complexity  $f: \mathbb{N} \to \mathbb{N}$  on  $\mathcal{T}$
- 2 > preorder with  $> \cdot > \cdot > \le >$
- 3 ( $\succcurlyeq$ ,  $\succ$ ) are stable under substitutions and  $\mathcal{P}$ -monotone
  - $\sim \text{ order} \succcurlyeq \text{ "monotone under $\mathcal{W}$-reducible argument positions"}$
  - $\sim$  order  $\succ$  "monotone under S-reducible argument positions"

### practice

- + very powerful in theory
- difficult to synthesise
  - disaster for systems with many rules
- ⇒ decomposition into manageable pieces
  - simplify rules
  - 2 reduce complexity

### Experimental Evaluation

testsuite

straight forward translations of resource aware ML programs

machine

super nice workstation with 12 Intel® Core<sup>TM</sup> i7-3930K (3.20GHz)

# Experimental Evaluation

Input	#rules	direct	decompose	DG decompose	secs
appendAll	12	$O(n^2)$			
bfs	57	?			
bft mmult	59	?			
bitonic	78	?			
bitvectors	148	?			
clevermmult	39	?			
duplicates	37	?			
dyade	31	?			
eratosthenes	74	?			
flatten	31	?			
insertionsort	36	?			
listsort	56	?			
lcs	87	?			
matrix	74	?			
mergesort	35	?			
minsort	26	?			
queue	35	?			
quicksort	46	?			
rationalPotential	14	O(n)			
splitandsort	70	?			
subtrees	8	?			
tuples	33	?			

### practice

- + very powerful in theory
- very very very difficult to synthesise efficiently
  - disaster for systems with many rules
  - 2 implementations usually do not go beyond induced complexity  $n^3$
- ⇒ decomposition into manageable pieces
  - simplify rules
  - 2 reduce complexity

### Additive Decomposition

$$\frac{\mathcal{S}_{1} \subseteq \succ \quad \mathcal{S}_{2} \cup \mathcal{W} \subseteq \succcurlyeq}{\vdash \langle \mathcal{S}_{1} / \mathcal{S}_{2} \cup \mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle \colon f_{1} \quad \vdash \langle \mathcal{S}_{2} / \mathcal{S}_{1} \cup \mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle \colon f_{2}}{\vdash \langle \mathcal{S}_{1} \cup \mathcal{S}_{2} / \mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle \colon f_{1} + f_{2}}$$

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### Additive Decomposition

$$\frac{\mathcal{S}_{1} \subseteq \succ \quad \mathcal{S}_{2} \cup \mathcal{W} \subseteq \succ}{\vdash \langle \mathcal{S}_{1} / \mathcal{S}_{2} \cup \mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle \colon f_{1}} \quad \vdash \langle \mathcal{S}_{2} / \mathcal{S}_{1} \cup \mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle \colon f_{2}}{\vdash \langle \mathcal{S}_{1} \cup \mathcal{S}_{2} / \mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle \colon f_{1} + f_{2}}$$



Proc. 21st RTA, pages 385-400, 2010

# Experimental Evaluation

Input	#rules	direct	decompose	DG decompose	secs
appendAll	12	$O(n^2)$	$O(n^2)$		
bfs	57	?	?		
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duplicates	37	?	$O(n^2)$		
dyade	31	?	?		
eratosthenes	74	?	$O(n^3)$		
flatten	31	?	?		
insertionsort	36	?	$O(n^3)$		
listsort	56	?	?		
lcs	87	?	?		
matrix	74	?	?		
mergesort	35	?	?		
minsort	26	?	$O(n^3)$		
queue	35	?	?		
quicksort	46	?	?		
rational Potential	14	O(n)	O(n)		
splitandsort	70	?	?		
subtrees	8	?	$O(n^2)$		
tuples	33	?	?		

# Towards Modularity

dependency graph decomposition

Let 
$$\mathcal{D}=\{+,\times\}$$
 and  $\mathcal{C}=\{0,s\}$  and consider following TRS  $\mathcal{R}_\times$ 

①: 
$$0 \times y \rightarrow 0$$

$$②: \mathsf{s}(x) \times y \to y + (x \times y)$$

$$3: 0+y \rightarrow y$$

3: 
$$0 + y \rightarrow y$$
 4:  $s(x) + y \rightarrow s(x + y)$ 

$$\mathsf{dh}(\mathbf{m} \times \mathbf{n}, \rightarrow_{\mathcal{R}_{\times}}) \in \mathsf{O}(m \cdot n)$$

$$2.1$$
 #calls linear in #steps  $imes$ 

$$O(m \cdot n)$$

$$(\times)$$
  $\supset$   $s(x) \times y \rightsquigarrow x \times y$ 

$$s(x) \times y \rightsquigarrow y + (\dots$$

$$(+)$$
  $\supset$   $s(x) + y \rightarrow x + y$ 

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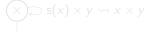
$$3: 0+y \rightarrow y$$

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  $4: s(x) + y \rightarrow s(x + y)$ 

$$\mathsf{dh}(\mathbf{m} \times \mathbf{n}, \rightarrow_{\mathcal{R}_{\times}}) \in \mathsf{O}(m \cdot n)$$

$$O(m \cdot n)$$

3 total: 
$$O(m \cdot n)$$



$$s(x) \times y \rightsquigarrow y + (\dots)$$

$$+) \supset s(x) + y \rightsquigarrow x + y$$

Let 
$$\mathcal{D}=\{+,\times\}$$
 and  $\mathcal{C}=\{0,s\}$  and consider following TRS  $\mathcal{R}_\times$ 

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$$\mathfrak{3}: \mathsf{0} + \mathsf{y} \rightarrow \mathsf{y}$$

$$3: 0 + y \rightarrow y$$
  $4: s(x) + y \rightarrow s(x + y)$ 

$$\mathsf{dh}(\mathbf{m} \times \mathbf{n}, \rightarrow_{\mathcal{R}_{\times}}) \in \mathsf{O}(m \cdot n)$$

$$O(m \cdot n)$$

$$O(m \cdot n)$$

$$(x) \Rightarrow s(x) \times y \rightsquigarrow x \times y$$

$$s(x) \times y \rightsquigarrow y + (\dots)$$

$$(x) \Rightarrow s(x) + y \rightsquigarrow x + y$$

Let 
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$$3: 0 + y \rightarrow y$$
  $4: s(x) + y \rightarrow s(x + y)$ 

$$dh(\mathbf{m} \times \mathbf{n}, \rightarrow_{\mathcal{R}_{\times}}) \in O(m \cdot n)$$

$$O(m \cdot n)$$

- O(m)2.1 #calls linear in #steps  $\times$

$$O(m \cdot n)$$

$$(x) \Rightarrow s(x) \times y \rightsquigarrow x \times y$$

$$\downarrow s(x) \times y \rightsquigarrow y + (\dots)$$

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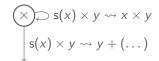
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- ①:  $0 \times y \rightarrow 0$  ②:  $s(x) \times y \rightarrow y + (x \times y)$
- $3: 0+y \to y$   $4: s(x)+y \to s(x+y)$

$$\mathsf{dh}(\mathbf{m} \times \mathbf{n}, \rightarrow_{\mathcal{R}_{\times}}) \in \mathsf{O}(m \cdot n)$$

$$O(m \cdot n)$$

- 2.1 #calls linear in #steps  $\times$ O(m)
- 2.2 #recursions linear in first argument
- 3 total:  $O(m \cdot n)$



Let  $\mathcal{D} = \{+, \times\}$  and  $\mathcal{C} = \{0, s\}$  and consider following TRS  $\mathcal{R}_{\times}$ 

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 ②:  $s(x) \times y \rightarrow y + (x \times y)$ 

$$3: 0+y \rightarrow y$$

3: 
$$0 + y \rightarrow y$$
 4:  $s(x) + y \rightarrow s(x + y)$ 

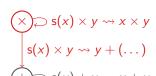
 $\mathsf{dh}(\mathbf{m} \times \mathbf{n}, \rightarrow_{\mathcal{R}_{\vee}}) \in \mathsf{O}(m \cdot n)$ 

$$O(m \cdot n)$$

O(m)

- 2.1 #calls linear in #steps ×
- O(n)2.2 #recursions linear in n
- 3 total:

$$O(m \cdot n)$$



Let 
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$$O(m \cdot n)$$

- O(m)2.1 #calls linear in #steps  $\times$
- 2.2 #recursions linear in n

$$+$$
  $>$   $> s(x) + y  $\rightsquigarrow x + y$$ 

 $(x) \times y \longrightarrow x \times y$  $s(x) \times y \longrightarrow y + (\dots)$ 

$$O(m \cdot n)$$

Let  $\mathcal{D} = \{+, \times\}$  and  $\mathcal{C} = \{0, s\}$  and consider following TRS  $\mathcal{R}_{\times}$ 

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$$3: 0 + v \rightarrow v$$

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$$0 + y \rightarrow y$$
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$$\mathsf{dh}(\mathbf{m} \times \mathbf{n}, \rightarrow_{\mathcal{R}_{\times}}) \in \mathsf{O}(m \cdot n)$$

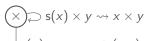
howto formalise?

#steps ×

#steps +

- $O(m \cdot n)$
- O(m)2.1 #calls linear in #steps ×
- O(n)2.2 #recursions linear in n
- 3 total:

 $O(m \cdot n)$ 



$$\int \mathsf{s}(x) \times y \rightsquigarrow y + (\dots)$$

# Dependency Pair Complexity Problems

- ▶ dependency pair for termination is rule  $I^{\sharp} \rightarrow r^{\sharp}$ 
  - $l, r \in \mathcal{T}(\mathcal{F}, \mathcal{V})$
  - $f(t_1, \ldots, t_n)^{\sharp} = f^{\sharp}(t_1, \ldots, t_n)$  and  $x^{\sharp} = x$  otherwise

▶ DP complexity problem is complexity problem

$$\langle \mathcal{S}^\sharp \cup \mathcal{S}/\mathcal{W}^\sharp \cup \mathcal{W}, \mathcal{Q}, \mathcal{T}^\sharp 
angle$$

- $\mathcal{S}^{\sharp}, \mathcal{W}^{\sharp}$  are two sets of dependency pairs
- $\bullet$   $\mathcal{S}, \mathcal{W}$  and  $\mathcal{Q}$  are TRSs as before
- T<sup>#</sup> some marked and basic terms

# Dependency Pair Complexity Problems

- lacktriangle dependency pair for complexity is rule  $I^{\sharp} o c_n(r_1^{\sharp},\ldots,r_n^{\sharp})$ 
  - $I, r_1, \ldots, r_n \in \mathcal{T}(\mathcal{F}, \mathcal{V})$
  - $f(t_1,\ldots,t_n)^\sharp=f^\sharp(t_1,\ldots,t_n)$  and  $x^\sharp=x$  otherwise

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- ▶ dependency pair for complexity is rule  $I^{\sharp} \to c_n(r_1^{\sharp}, \dots, r_n^{\sharp})$ 
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  - $f(t_1, \ldots, t_n)^{\sharp} = f^{\sharp}(t_1, \ldots, t_n)$  and  $x^{\sharp} = x$  otherwise

▶ DP complexity problem is complexity problem

$$\langle \mathcal{S}^{\sharp} \cup \mathcal{S}/\mathcal{W}^{\sharp} \cup \mathcal{W}, \mathcal{Q}, \mathcal{T}^{\sharp} \rangle$$

- $\mathcal{S}^{\sharp}, \mathcal{W}^{\sharp}$  are two sets of dependency pairs
- S, W and Q are TRSs as before
- T<sup>#</sup> some marked and basic terms

### Dependency Pair Complexity Problems

▶ sound (complete) processors that translate to DP problems exist

Nao Hirokawa and Georg Moser

Automated Complexity Analysis Based on the Dependency Pair

Method

Proc. 4th IJCAR, pages 364–379, 2008.

Lars Noschinski, Fabian Emmes, and Jürgen Giesl

A Dependency Pair Framework for Innermost Complexity Analysis of
Term Rewrite Systems

Proc. 23th CADE, pages 422-438, 2011.

### Dependency Pair Co

sound (complete) pro

$$\frac{ \vdash \langle \{\times, +\} / \mathcal{R}_{\times}, \mathcal{R}_{\times}, \mathtt{basic terms}^{\sharp} \rangle \colon f}{ \vdots \\ \vdash \langle \mathcal{R}_{\times} / \varnothing, \varnothing, \mathtt{basic terms} \rangle \colon f}$$

Nao Hirokawa and Ge Automated Complexit Method Proc. 4th IJCAR, pag  $x: s(x) \times^{\sharp} y \to c_2(y +^{\sharp} (x \times y), x \times^{\sharp} y)$   $+: s(x) +^{\sharp} y \to x +^{\sharp} y$ 

Lars Noschinski, Fabian Emmes, and Jürgen Giesl

A Dependency Pair Framework for Innermost Complexity Analysis of
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**dependency graph** of  $\langle \mathcal{S}^{\sharp} \cup \mathcal{S}/\mathcal{W}^{\sharp} \cup \mathcal{W}, \mathcal{Q}, \mathcal{T}^{\sharp} \rangle$  is graphs such that

- f 0 nodes are dependency pairs  $\mathcal{S}^\sharp \cup \mathcal{W}^\sharp$
- 2 there is edge

$$(s^{\sharp} 
ightarrow \mathsf{c}_{n}(t_{1}^{\sharp}, \ldots, t_{n}^{\sharp})) \; 
ightarrow \; (u^{\sharp} 
ightarrow \mathsf{c}_{m}(v_{1}^{\sharp}, \ldots, v_{m}^{\sharp}))$$

if there exists substitutions  $\sigma, \tau$  such that  $t_i^{\sharp} \sigma \xrightarrow{\mathcal{Q}}_{\mathcal{S} \cup \mathcal{W}}^* u^{\sharp} \tau$ 

dependency graph of  $\langle \mathcal{S}^\sharp \cup \mathcal{S}/\mathcal{W}^\sharp \cup \mathcal{W}, \mathcal{Q}, \mathcal{T}^\sharp \rangle$  is graphs such that

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if there exists substitutions  $\sigma, \tau$  such that  $t_i^{\sharp} \sigma \xrightarrow{\mathcal{Q}}_{\mathcal{S} \cup \mathcal{W}}^* u^{\sharp} \tau$ 



$$\mathcal{S}^{\sharp}_{\uparrow} := \left\{ \begin{array}{l} \mathsf{s}(x) \times^{\sharp} y \to \mathsf{c}_{2}(y +^{\sharp} (x \times y), x \times^{\sharp} y) 
ight\} \\ \mathcal{S}^{\sharp}_{\downarrow} := \left\{ \begin{array}{l} \mathsf{s}(x) +^{\sharp} y \to x +^{\sharp} y 
ight\} \\ \mathsf{s}(x) \times^{\sharp} y \to y +^{\sharp} (x \times y) \end{array} 
ight\} \\ \mathsf{ep}(\mathcal{S}^{\sharp}_{\uparrow}) := \left\{ \begin{array}{l} \mathsf{s}(x) \times^{\sharp} y \to y +^{\sharp} (x \times y) \\ \mathsf{s}(x) \times^{\sharp} y \to x \times^{\sharp} y \end{array} 
ight\}$$

**E**xample

3 ×<sup>♯</sup> 2

$$\mathcal{S}_{\uparrow}^{\sharp} := \left\{ \begin{array}{l} \mathsf{s}(x) \times^{\sharp} y \to \mathsf{c}_{2}(y +^{\sharp} (x \times y), x \times^{\sharp} y) \right\} \\ \mathcal{S}_{\downarrow}^{\sharp} := \left\{ \begin{array}{l} \mathsf{s}(x) +^{\sharp} y \to x +^{\sharp} y \right\} \\ \mathsf{ep}(\mathcal{S}_{\uparrow}^{\sharp}) := \left\{ \begin{array}{l} \mathsf{s}(x) \times^{\sharp} y \to y +^{\sharp} (x \times y) \\ \mathsf{s}(x) \times^{\sharp} y \to x \times^{\sharp} y \end{array} \right\} \end{array}$$

$$egin{pmatrix} egin{pmatrix} \egn{pmatrix} \e$$

$$egin{aligned} \mathcal{S}^{\sharp}_{\uparrow} &:= \left\{ \, \mathsf{s}(x) imes^{\sharp} \, y 
ightarrow \mathsf{c}_{2}(y +^{\sharp} \, (x imes y), x imes^{\sharp} \, y) 
ight\} \ & \mathcal{S}^{\sharp}_{\downarrow} &:= \left\{ \, \mathsf{s}(x) +^{\sharp} \, y 
ightarrow x +^{\sharp} \, y 
ight\} \ & \mathsf{sep}(\mathcal{S}^{\sharp}_{\uparrow}) := \left\{ egin{aligned} \, \mathsf{s}(x) imes^{\sharp} \, y 
ightarrow y +^{\sharp} \, (x imes y) \\ \, \mathsf{s}(x) imes^{\sharp} \, y 
ightarrow x imes^{\sharp} \, y \end{array} 
ight\} \end{aligned}$$

$$egin{aligned} \mathbf{2} & +^{\sharp} & (\mathbf{2} \times \mathbf{2}) \\ \mathbf{2} & +^{\sharp} & (\mathbf{2} + (\mathbf{1} \times \mathbf{2})) \end{aligned} \qquad \qquad \mathbf{2} \times^{\sharp} \mathbf{2}$$

$$S_{\uparrow}^{\sharp} := \{ s(x) \times^{\sharp} y \to c_{2}(y +^{\sharp} (x \times y), x \times^{\sharp} y) \}$$

$$S_{\downarrow}^{\sharp} := \{ s(x) +^{\sharp} y \to x +^{\sharp} y \}$$

$$(S_{\star}^{\sharp}) := \{ s(x) \times^{\sharp} y \to y +^{\sharp} (x \times y) \}$$

$$\mathcal{S}_{\uparrow}^{\sharp} := \left\{ \begin{array}{l} \mathsf{s}(x) \times^{\sharp} y \to \mathsf{c}_{2}(y +^{\sharp} (x \times y), x \times^{\sharp} y) \right\} \\ \\ \mathcal{S}_{\downarrow}^{\sharp} := \left\{ \begin{array}{l} \mathsf{s}(x) +^{\sharp} y \to x +^{\sharp} y \right\} \\ \\ \mathsf{s}(x) \times^{\sharp} y \to y +^{\sharp} (x \times y) \\ \\ \mathsf{s}(x) \times^{\sharp} y \to x \times^{\sharp} y \end{array} \right\}$$

$$3 \times^{\sharp} 2$$
 $2 +^{\sharp} (2 \times 2)$ 
 $2 +^{\sharp} (2 + (1 \times 2))$ 
 $\vdots$ 
 $2 +^{\sharp} 4$ 
 $\vdots$ 
 $1 +^{\sharp} 4$ 

$$\mathcal{S}^{\sharp}_{\uparrow} := \{ \mathbf{s}(x) \times^{\sharp} y \to \mathbf{c}_{2}(y +^{\sharp} (x \times y), x \times^{\sharp} y) \}$$
 $\mathcal{S}^{\sharp}_{\downarrow} := \{ \mathbf{s}(x) +^{\sharp} y \to x +^{\sharp} y \}$ 
 $\mathbf{sep}(\mathcal{S}^{\sharp}_{\uparrow}) := \{ \begin{array}{c} \mathbf{s}(x) \times^{\sharp} y \to y +^{\sharp} (x \times y) \\ \mathbf{s}(x) \times^{\sharp} y \to x \times^{\sharp} y \end{array} \}$ 

$$3 \times^{\sharp} 2$$
 $2 +^{\sharp} (2 \times 2)$ 
 $2 +^{\sharp} (2 + (1 \times 2))$ 
 $\vdots$ 
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 $\vdots$ 
 $1 +^{\sharp} 4$ 
 $\vdots$ 
 $0 +^{\sharp} 4$ 

$$\mathcal{S}_{\uparrow}^{\sharp} := \left\{ s(x) \times^{\sharp} y \to c_{2}(y +^{\sharp} (x \times y), x \times^{\sharp} y) \right\}$$
 
$$\mathcal{S}_{\downarrow}^{\sharp} := \left\{ s(x) +^{\sharp} y \to x +^{\sharp} y \right\}$$
 sep $\left( \mathcal{S}_{\downarrow}^{\sharp} \right) := \left\{ s(x) \times^{\sharp} y \to y +^{\sharp} (x \times y) \right\}$ 

$$2 + \sharp (2 \times 2)$$

$$2 + \sharp (2 + (1 \times 2))$$

$$2 + \sharp (1 \times 2)$$

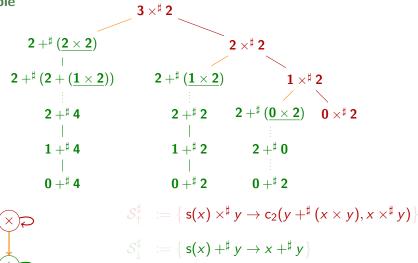
$$2 + \sharp 4$$

$$1 + \sharp 4$$

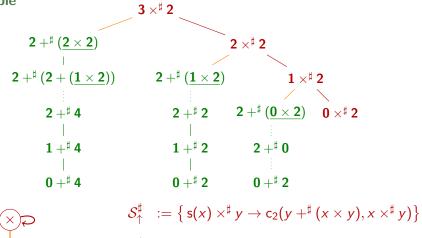
$$0 + \sharp 4$$

$$S_{\uparrow}^{\sharp} := \{ s(x) \times^{\sharp} y \rightarrow c_{2}(y + \sharp (x \times y), x \times^{\sharp} y) \}$$

$$\mathcal{S}_{\uparrow}^{\sharp} := \left\{ \begin{array}{l} \mathsf{s}(x) \times \mathsf{y} \to \mathsf{c}_2(\mathsf{y} + \mathsf{x}) \\ \mathcal{S}_{\downarrow}^{\sharp} := \left\{ \begin{array}{l} \mathsf{s}(x) + ^{\sharp} \mathsf{y} \to \mathsf{x} + ^{\sharp} \mathsf{y} \\ \mathbf{s}(x) \times ^{\sharp} \mathsf{y} \to \mathsf{y} \times ^{\sharp} \mathsf{y} \end{array} \right.$$



$$\operatorname{sep}(S^{\sharp}_{\uparrow}) := \left\{ \begin{array}{l} \operatorname{s}(x) \times^{\sharp} y \to y +^{\sharp} (x \times y) \\ \operatorname{s}(x) \times^{\sharp} y \to x \times^{\sharp} y \end{array} \right.$$

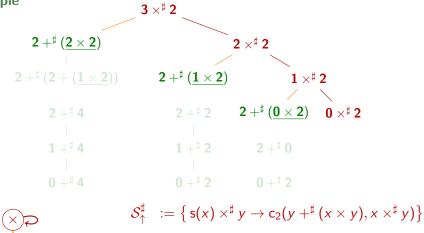


$$\mathcal{S}_{\downarrow}^{\sharp} := \left\{ s(x) +^{\sharp} y \to x +^{\sharp} y \right\}$$

$$\operatorname{sep}(\mathcal{S}_{\uparrow}^{\sharp}) := \left\{ \begin{array}{l} s(x) \times^{\sharp} y \to y +^{\sharp} (x \times y) \\ s(x) \times^{\sharp} y \to x \times^{\sharp} y \end{array} \right\}$$

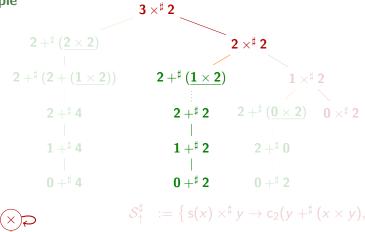
$$\mathcal{S}^{\sharp}_{\downarrow} := \left\{ s(x) +^{\sharp} y \to x +^{\sharp} y \right\}$$

$$\mathsf{sep}(\mathcal{S}^{\sharp}_{\uparrow}) := \left\{ \begin{array}{l} s(x) \times^{\sharp} y \to y +^{\sharp} (x \times y) \\ s(x) \times^{\sharp} y \to x \times^{\sharp} y \end{array} \right\}$$



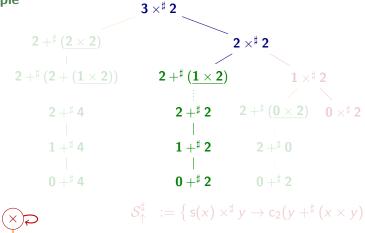
$$\mathcal{S}_{\downarrow}^{\sharp} := \left\{ s(x) + ^{\sharp} y \rightarrow x + ^{\sharp} y \right\}$$

$$\operatorname{sep}(\mathcal{S}_{\downarrow}^{\sharp}) := \left\{ s(x) \times ^{\sharp} y \rightarrow y + ^{\sharp} (x) \right\}$$



$$\mathcal{S}^{\sharp}_{\downarrow} := \left\{ s(x) +^{\sharp} y \to x +^{\sharp} y \right\}$$

$$\operatorname{sep}(\mathcal{S}^{\sharp}_{\downarrow}) := \left\{ \begin{array}{c} s(x) \times^{\sharp} y \to y +^{\sharp} (x \times x) \\ \end{array} \right\}$$



$$\mathcal{S}^{\sharp}_{\uparrow} := \left\{ s(x) \times^{\sharp} y \to c_{2}(y +^{\sharp} (x \times y)) \right\}$$

$$\mathcal{S}^{\sharp}_{\downarrow} := \left\{ s(x) +^{\sharp} y \to x +^{\sharp} y \right\}$$

$$\operatorname{sep}(\mathcal{S}^{\sharp}_{\uparrow}) := \left\{ s(x) \times^{\sharp} y \to y +^{\sharp} (x \times y) \right\}$$

### Dependency Graph Decomposition

consider  $\mathcal{P} = \langle \mathcal{S}^\sharp \cup \mathcal{S}/\mathcal{W}^\sharp \cup \mathcal{W}, \mathcal{Q}, \mathcal{T}^\sharp \rangle$  with dependency graph  $\mathcal{G}$ .

- ightharpoonup partition  $\mathcal{S}^{\sharp} = \mathcal{S}^{\sharp}_{\downarrow} \cup \mathcal{S}^{\sharp}_{\uparrow}$  and  $\mathcal{W}^{\sharp} = \mathcal{W}^{\sharp}_{\downarrow} \cup \mathcal{W}^{\sharp}_{\uparrow}$
- ▶ suppose  $\mathcal{S}^{\sharp}_{\downarrow} \cup \mathcal{W}^{\sharp}_{\downarrow}$  closed under successors in  $\mathcal{G}$
- ▶ define  $\operatorname{sep}(I^{\sharp} \to \operatorname{c}(r_1^{\sharp}, \dots, r_m^{\sharp})) := \{I^{\sharp} \to r_i^{\sharp} \mid i = 1, \dots, m\}$
- lacktriangle predecessors of  $\mathcal{S}^\sharp_\downarrow \cup \mathcal{W}^\sharp_\downarrow$  in  $\mathcal G$  contained in  $\mathcal{S}^\sharp_\downarrow$

$$\frac{ \vdash \langle \mathcal{S}_{\uparrow}^{\sharp} \cup \mathcal{S}/\mathcal{W}_{\uparrow}^{\sharp} \cup \mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle \colon f \quad \vdash \langle \mathcal{S}_{\downarrow}^{\sharp} \cup \mathcal{S}/\mathcal{W}_{\downarrow}^{\sharp} \cup \operatorname{sep}(\mathcal{S}_{\uparrow}^{\sharp} \cup \mathcal{W}_{\uparrow}^{\sharp}) \cup \mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle \colon g}{ \vdash \langle \mathcal{S}^{\sharp} \cup \mathcal{S}/\mathcal{W}^{\sharp} \cup \mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle \colon \lambda n. f(n) * g(n)}$$

### Dependency Graph Decomposition

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- ightharpoonup suppose  $\mathcal{S}^\sharp_\downarrow \cup \mathcal{W}^\sharp_\downarrow$  closed under successors in  $\mathcal{G}$
- ▶ define  $\operatorname{sep}(I^{\sharp} \to \operatorname{c}(r_1^{\sharp}, \dots, r_m^{\sharp})) := \{I^{\sharp} \to r_i^{\sharp} \mid i = 1, \dots, m\}$
- ▶ predecessors of  $\mathcal{S}^{\sharp}_{\downarrow} \cup \mathcal{W}^{\sharp}_{\downarrow}$  in  $\mathcal{G}$  contained in  $\mathcal{S}^{\sharp}_{\uparrow}$

$$\frac{ \vdash \langle \mathcal{S}_{\uparrow}^{\sharp} \cup \mathcal{S}/\mathcal{W}_{\uparrow}^{\sharp} \cup \mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle \colon f \quad \vdash \langle \mathcal{S}_{\downarrow}^{\sharp} \cup \mathcal{S}/\mathcal{W}_{\downarrow}^{\sharp} \cup \operatorname{sep}(\mathcal{S}_{\uparrow}^{\sharp} \cup \mathcal{W}_{\uparrow}^{\sharp}) \cup \mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle \colon g}{ \vdash \langle \mathcal{S}^{\sharp} \cup \mathcal{S}/\mathcal{W}^{\sharp} \cup \mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle \colon \lambda n. f(n) * g(n)}$$

# Experimental Evaluation

Input	#rules	direct	decompose	DG decompose	secs
appendAll	12	$O(n^2)$	$O(n^2)$	O(n)	2.9
bfs	57	?	?	O(n)	27.9
bft mmult	59	?	?	$O(n^3)$	
bitonic	78	?	?	$O(n^4)$	
bitvectors	148	?	?	$O(n^2)$	
clevermmult	39	?	?	$O(n^2)$	
duplicates	37	?	$O(n^2)$	$O(n^2)$	
dyade	31	?	?	$O(n^2)$	1.0
eratosthenes	74	?	$O(n^3)$	$O(n^2)$	15.8
flatten	31	?	?	$O(n^2)$	25.0
insertionsort	36	?	$O(n^3)$	$O(n^2)$	6.7
listsort	56	?	?	$O(n^2)$	22.8
lcs	87	?	?	$O(n^2)$	24.5
matrix	74	?	?	$O(n^3)$	
mergesort	35	?	?	$O(n^3)$	29.0
minsort	26	?	$O(n^3)$	$O(n^2)$	2.4
queue	35	?	?	$O(n^5)$	
quicksort	46	?	?	$O(n^2)$	
rational Potential	14	O(n)	O(n)	O(n)	0.27
splitandsort	70	?	?	$O(n^3)$	
subtrees	8	?	$O(n^2)$	$O(n^2)$	3.6
tuples	33	?	?	?	

# Experimental Evaluation

Input	#rules	direct	decompose	DG decompose	secs
appendAll	12	$O(n^2)$	$O(n^2)$	O(n)	2.9
bfs	57	?	?	O(n)	27.9
bft mmult	59	?	?	$O(n^3)$	55.3
bitonic	78	?	?	$O(n^4)$	143.0
bitvectors	148	?	?	$O(n^2)$	35.5
clevermmult	39	?	?	$O(n^2)$	220.0
duplicates	37	?	$O(n^2)$	$O(n^2)$	3.5
dyade	31	?	?	$O(n^2)$	1.0
eratosthenes	74	?	$O(n^3)$	$O(n^2)$	15.8
flatten	31	?	?	$O(n^2)$	25.0
insertionsort	36	?	$O(n^3)$	$O(n^2)$	6.7
listsort	56	?	?	$O(n^2)$	22.8
lcs	87	?	?	$O(n^2)$	24.5
matrix	74	?	?	$O(n^3)$	72.4
mergesort	35	?	?	$O(n^3)$	29.0
minsort	26	?	$O(n^3)$	$O(n^2)$	2.4
queue	35	?	?	$O(n^5)$	148.4
quicksort	46	?	?	$O(n^2)$	38.4
rationalPotential	14	O(n)	O(n)	O(n)	0.27
splitandsort	70	?	?	$O(n^3)$	61.4
subtrees	8	?	$O(n^2)$	$O(n^2)$	3.6
tuples	33	?	?	?	_