Merge-Sort Tree

- An execution of merge-sort is depicted by a binary tree
 - each node represents a recursive call of merge-sort and stores
 - unsorted sequence before the execution and its partition
 - sorted sequence at the end of the execution
 - the root is the initial call
 - the leaves are calls on subsequences of size 1 (base case)

Execution Example

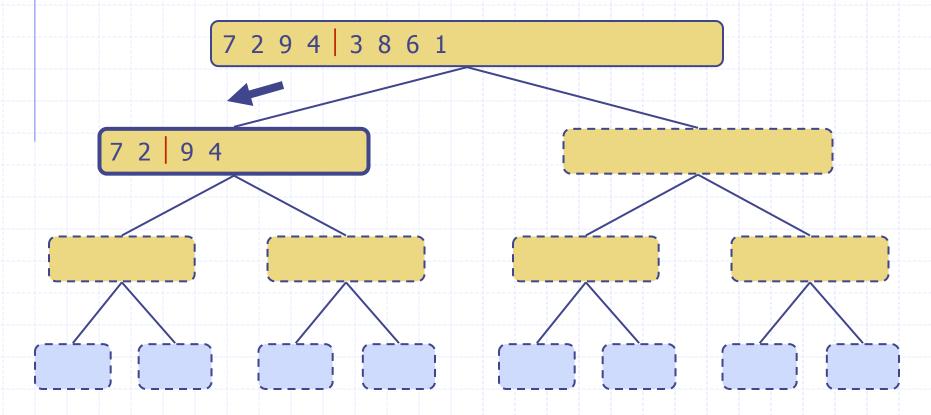
Partition

7 2 9 4 | 3 8 6 1

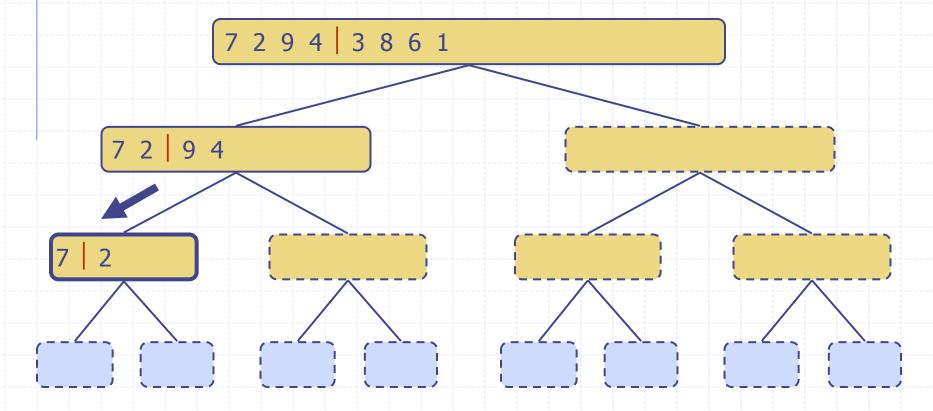
Merge Sort

7

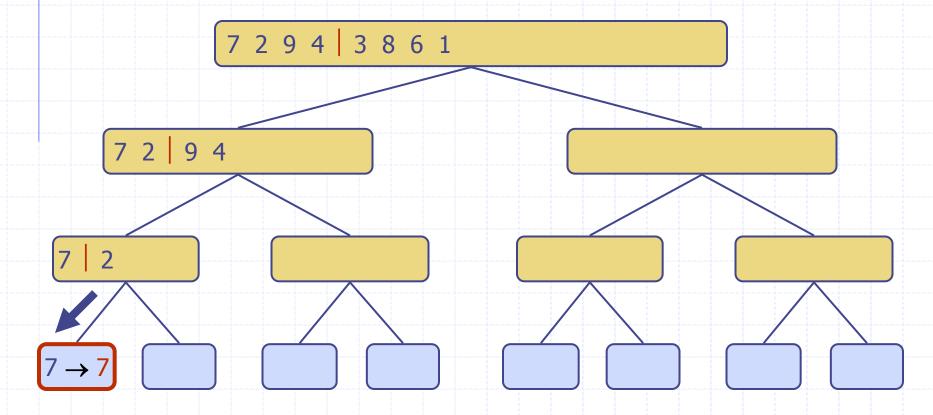
Recursive call, partition



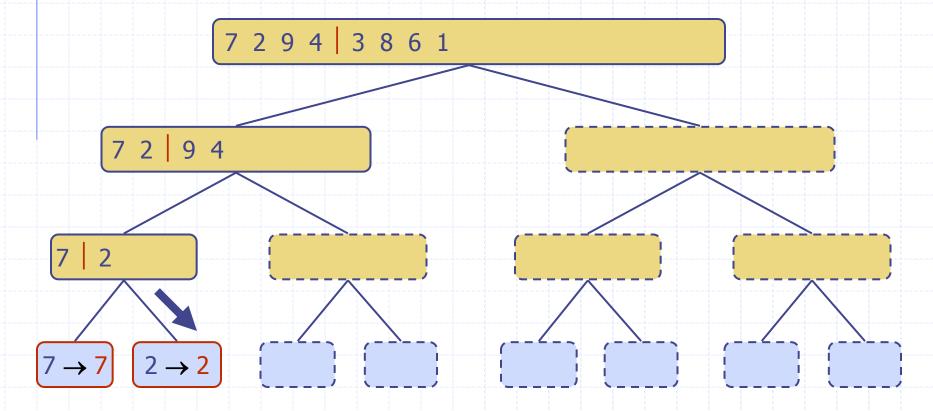
Recursive call, partition



Recursive call, base case



Recursive call, base case





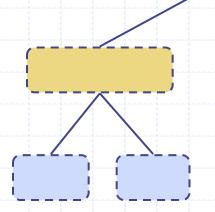
7 2 9 4 3 8 6 1

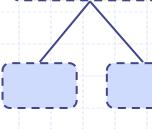
7 2 | 9 4

 $7 \mid 2 \rightarrow 2 \mid 7$

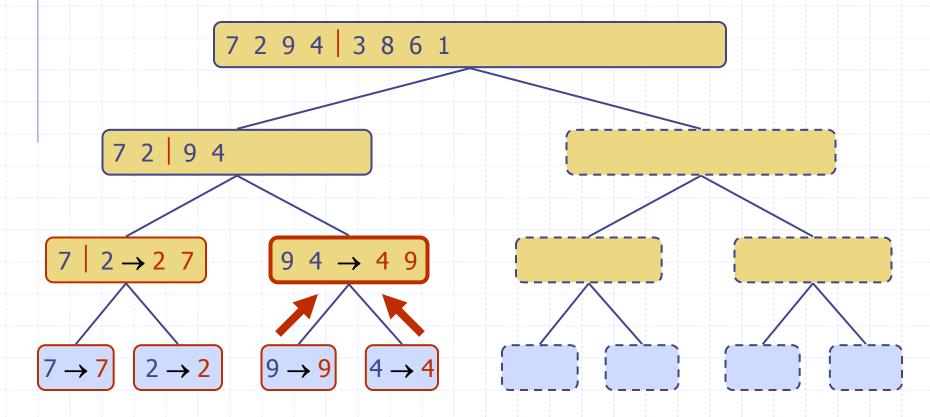


$$7 \rightarrow 7$$
 $2 \rightarrow 2$

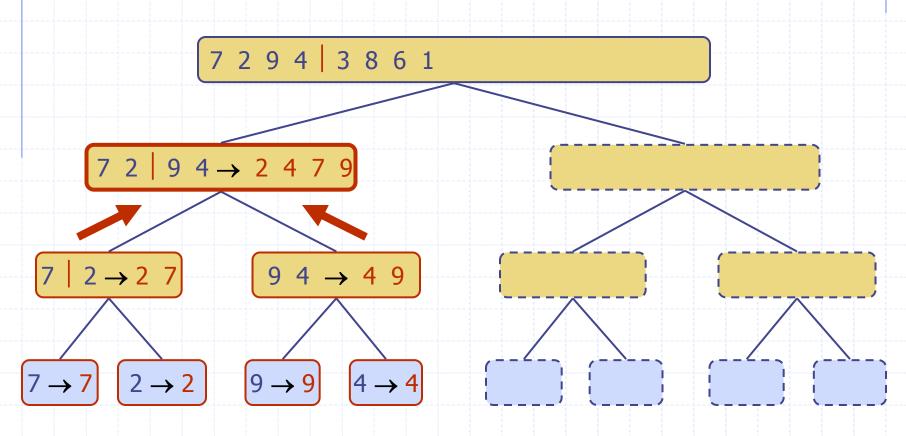




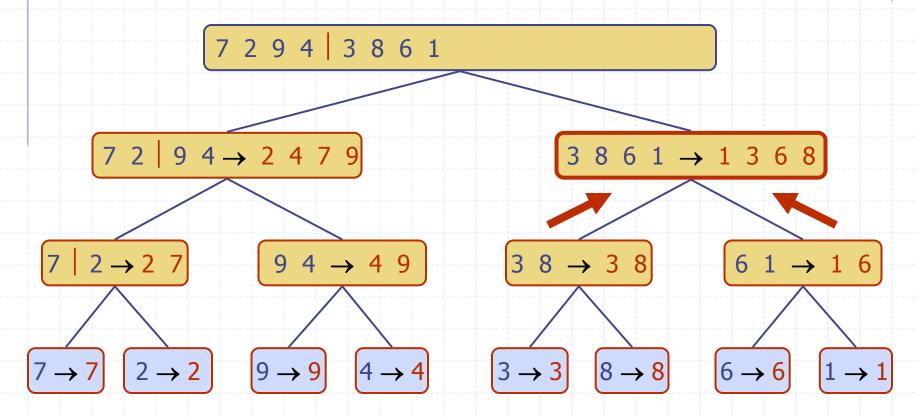
Recursive call, ..., base case, merge



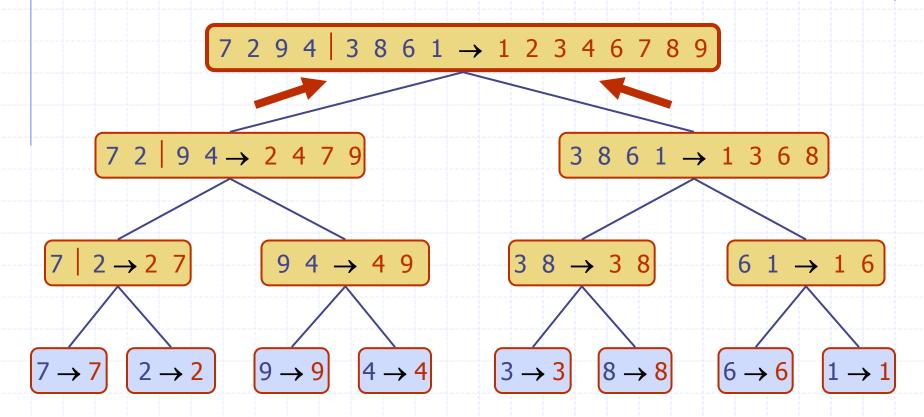




Recursive call, ..., merge, merge







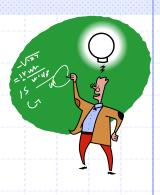
Master Method



Many divide-and-conquer recurrence equations have the form:

$$T(n) = \begin{cases} c & \text{if } n < d \\ aT(n/b) + f(n) & \text{if } n \ge d \end{cases}$$

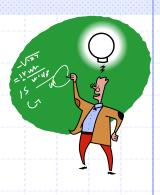
- The Master Theorem:
 - 1. if f(n) is $O(n^{\log_b a \varepsilon})$, then T(n) is $\Theta(n^{\log_b a})$
 - 2. if f(n) is $\Theta(n^{\log_b a} \log^k n)$, then T(n) is $\Theta(n^{\log_b a} \log^{k+1} n)$
 - 3. if f(n) is $\Omega(n^{\log_b a + \varepsilon})$, then T(n) is $\Theta(f(n))$, provided $af(n/b) \le \delta f(n)$ for some $\delta < 1$.



- The form: $T(n) = \begin{cases} c & \text{if } n < d \\ aT(n/b) + f(n) & \text{if } n \ge d \end{cases}$
 - The Master Theorem:
 - 1. if f(n) is $O(n^{\log_b a \varepsilon})$, then T(n) is $\Theta(n^{\log_b a})$
 - 2. if f(n) is $\Theta(n^{\log_b a} \log^k n)$, then T(n) is $\Theta(n^{\log_b a} \log^{k+1} n)$
 - 3. if f(n) is $\Omega(n^{\log_b a + \varepsilon})$, then T(n) is $\Theta(f(n))$, provided $af(n/b) \le \delta f(n)$ for some $\delta < 1$.
 - Example:

$$T(n) = 4T(n/2) + n$$

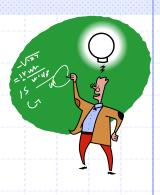
Solution: $\log_b a = 2$, so case 1 says T(n) is $\Theta(n^2)$.



- The form: $T(n) = \begin{cases} c & \text{if } n < d \\ aT(n/b) + f(n) & \text{if } n \ge d \end{cases}$
 - The Master Theorem:
 - 1. if f(n) is $O(n^{\log_b a \varepsilon})$, then T(n) is $\Theta(n^{\log_b a})$
 - 2. if f(n) is $\Theta(n^{\log_b a} \log^k n)$, then T(n) is $\Theta(n^{\log_b a} \log^{k+1} n)$
 - 3. if f(n) is $\Omega(n^{\log_b a + \varepsilon})$, then T(n) is $\Theta(f(n))$, provided $af(n/b) \le \delta f(n)$ for some $\delta < 1$.
 - Example:

$$T(n) = 2T(n/2) + n\log n$$

Solution: $\log_b a = 1$, so case 2 says T(n) is $\Theta(n \log^2 n)$.



The form:
$$T(n) = \begin{cases} c & \text{if } n < d \\ aT(n/b) + f(n) & \text{if } n \ge d \end{cases}$$

- The Master Theorem:
 - 1. if f(n) is $O(n^{\log_b a \varepsilon})$, then T(n) is $\Theta(n^{\log_b a})$
 - 2. if f(n) is $\Theta(n^{\log_b a} \log^k n)$, then T(n) is $\Theta(n^{\log_b a} \log^{k+1} n)$
 - 3. if f(n) is $\Omega(n^{\log_b a + \varepsilon})$, then T(n) is $\Theta(f(n))$, provided $af(n/b) \le \delta f(n)$ for some $\delta < 1$.
- Example:

$$T(n) = T(n/3) + n \log n$$

Solution: $\log_{b} a = 0$, so case 3 says T(n) is $\Theta(n \log n)$.

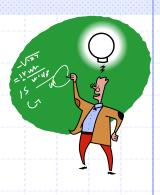


The form:
$$T(n) = \begin{cases} c & \text{if } n < d \\ aT(n/b) + f(n) & \text{if } n \ge d \end{cases}$$

- The Master Theorem:
 - 1. if f(n) is $O(n^{\log_b a \varepsilon})$, then T(n) is $\Theta(n^{\log_b a})$
 - 2. if f(n) is $\Theta(n^{\log_b a} \log^k n)$, then T(n) is $\Theta(n^{\log_b a} \log^{k+1} n)$
 - 3. if f(n) is $\Omega(n^{\log_b a + \varepsilon})$, then T(n) is $\Theta(f(n))$, provided $af(n/b) \le \delta f(n)$ for some $\delta < 1$.
- Example:

$$T(n) = 8T(n/2) + n^2$$

Solution: $\log_b a = 3$, so case 1 says T(n) is $\Theta(n^3)$.



The form:
$$T(n) = \begin{cases} c & \text{if } n < d \\ aT(n/b) + f(n) & \text{if } n \ge d \end{cases}$$

- The Master Theorem:
 - 1. if f(n) is $O(n^{\log_b a \varepsilon})$, then T(n) is $\Theta(n^{\log_b a})$
 - 2. if f(n) is $\Theta(n^{\log_b a} \log^k n)$, then T(n) is $\Theta(n^{\log_b a} \log^{k+1} n)$
 - 3. if f(n) is $\Omega(n^{\log_b a + \varepsilon})$, then T(n) is $\Theta(f(n))$, provided $af(n/b) \le \delta f(n)$ for some $\delta < 1$.
- Example:

$$T(n) = 9T(n/3) + n^3$$

Solution: $\log_b a = 2$, so case 3 says T(n) is $\Theta(n^3)$.

Iterative "Proof" of the Master Theorem



Using iterative substitution, let us see if we can find a pattern:

$$T(n) = aT(n/b) + f(n)$$

$$= a(aT(n/b^{2})) + f(n/b)) + bn$$

$$= a^{2}T(n/b^{2}) + af(n/b) + f(n)$$

$$= a^{3}T(n/b^{3}) + a^{2}f(n/b^{2}) + af(n/b) + f(n)$$

$$= ...$$

$$= a^{\log_{b} n}T(1) + \sum_{i=0}^{(\log_{b} n)-1} a^{i}f(n/b^{i})$$

$$= n^{\log_{b} a}T(1) + \sum_{i=0}^{(\log_{b} n)-1} a^{i}f(n/b^{i})$$

- We then distinguish the three cases as
 - The first term is dominant
 - Each part of the summation is equally dominant
 - The summation is a geometric series
 Fundamental Techniques