

# CSC 225

Algorithms and Data Structures I  
Fall 2014  
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# Algorithm Design Technique

## Divide and Conquer

- Best-know general algorithm design technique
- Some very efficient algorithms are direct results of this technique
  - Mergesort
  - Quicksort
  - Linear selection/median

# Algorithm Design Technique

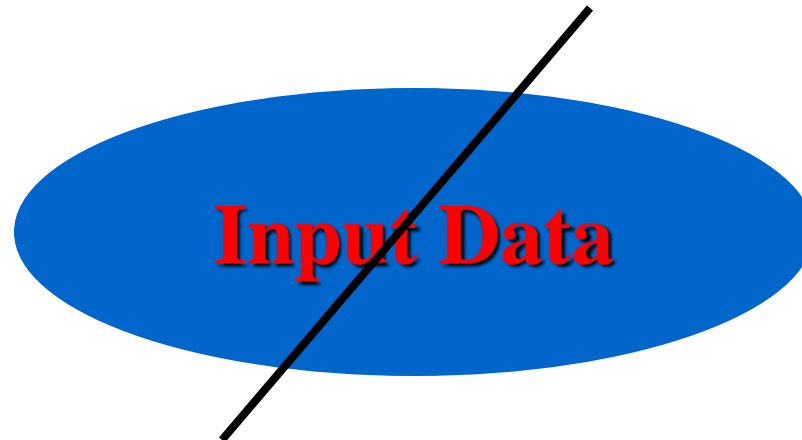
## Divide and Conquer

- The problem instance is divided into smaller instances of the same problem, ideally of about the same size (typically  $n/2$ )
- The smaller instances are solved (typically recursively, though sometimes a different algorithm is employed when instances become small enough)
- If necessary, the solution obtained for the smaller instances are combined to get a solution to the original instance

# Algorithm Design Technique

## Divide and Conquer

1. **Divide:** If the input size is smaller than a certain threshold, solve the problem directly. Otherwise, divide the input data into two or more disjoint subsets.



# Divide and Conquer

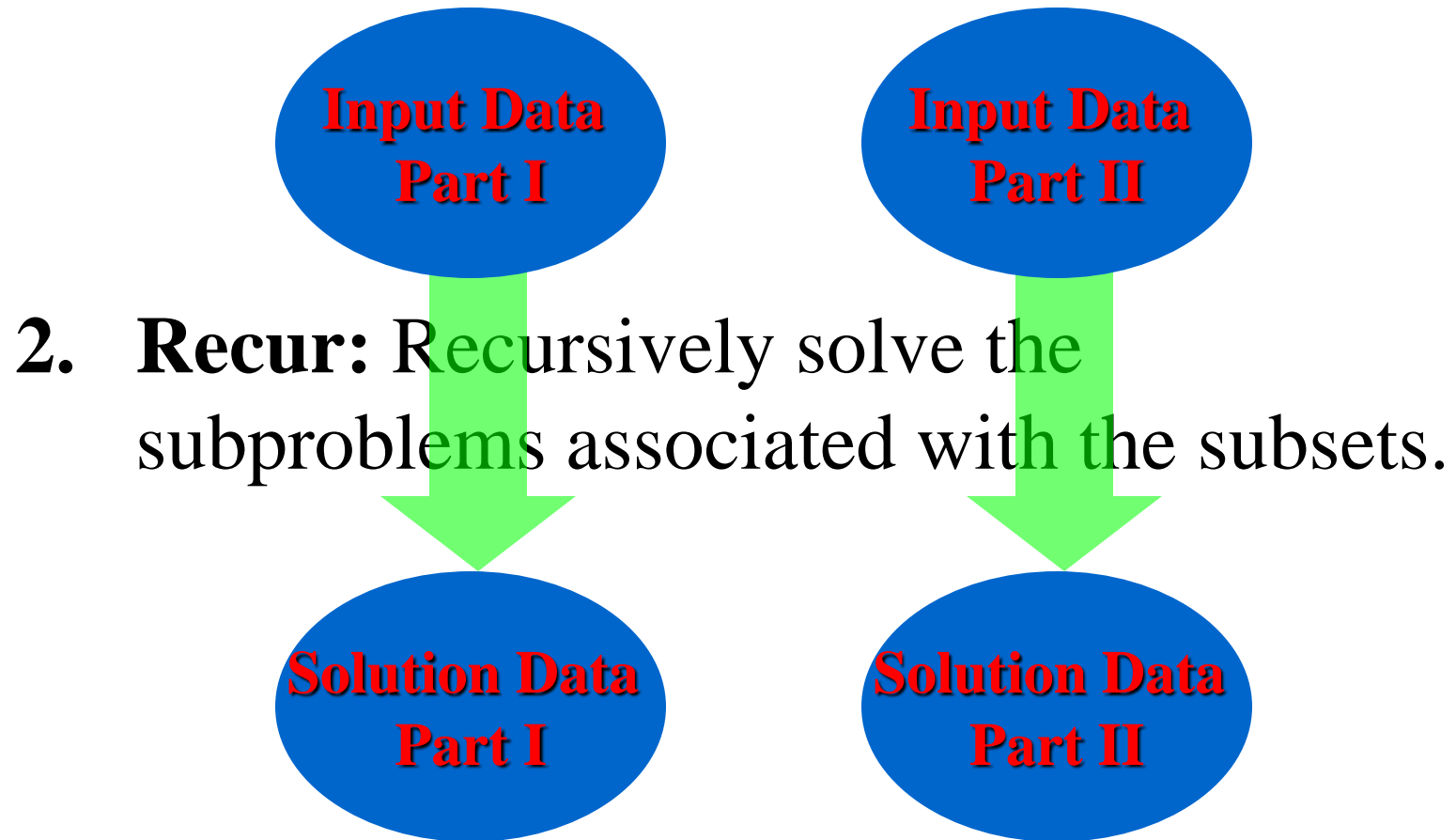
1. **Divide:** If the input size is smaller than a certain threshold, solve the problem directly. Otherwise, divide the input data into two or more disjoint subsets.
2. **Recur:** Recursively solve the subproblems associated with the subsets.



**Input Data  
Part I**

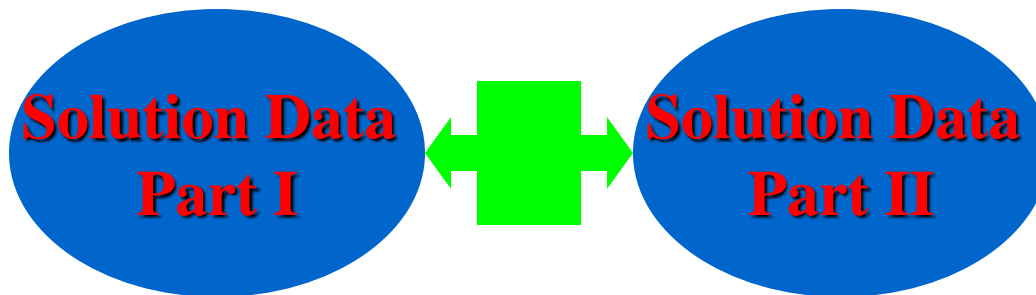
**Input Data  
Part II**

# Divide and Conquer



# Divide and Conquer

3. **Conquer:** Take the solutions to the subproblems and merge them into a solution to the original problem.



# Divide and Conquer

3. **Conquer:** Take the solutions to the subproblems and merge them into a solution to the original problem.

**Solution All Data**



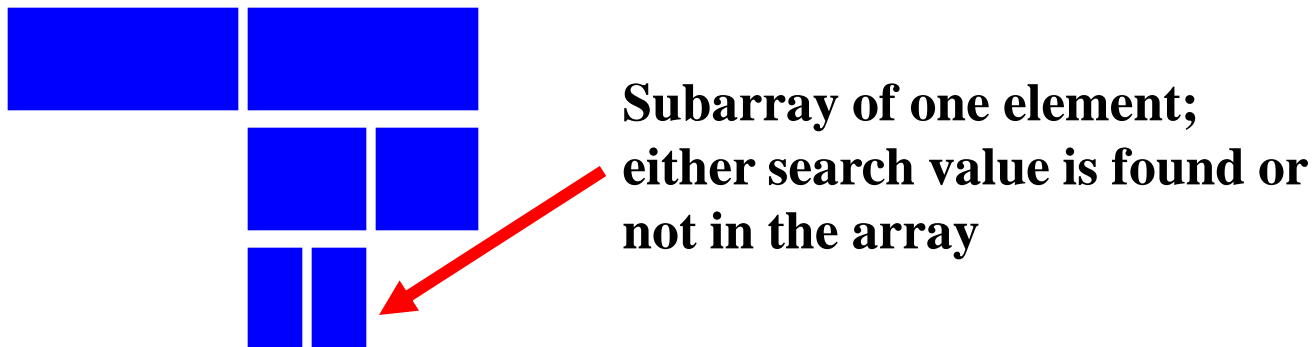
# Divide and Conquer

## Binary Search

- Assume array is sorted



- Compare search value to an element  $m$  in the middle of the array; if the search value is less than  $m$ , continue searching in left subarray; otherwise right subarray



# Merge Sort

*Input:* A collection of  $n$  objects (stored in a list, vector, array or sequence) and a comparator defining a total order on these objects

*Output:* An ordered representation of these objects

➔ Apply the Divide-and-Conquer technique to the Sorting problem.

# Merge Sort

Let  $S$  be a sequence with  $n$  elements

## 1. Divide

- ✓ If  $S$  has zero or one element, return  $S$  since  $S$  is sorted.
- ✓ Otherwise, remove all the elements from  $S$  and put them into two sequences  $S_1$  and  $S_2$  such that  $S_1$  and  $S_2$  each contain about half of the elements of  $S$ .

# Merge Sort

## 2. Recur

- ✓ Recursively sort sequences  $S_1$  and  $S_2$

## 3. Conquer

- ✓ Put the elements back into  $S$  by *merging* the sorted sequences  $S_1$  and  $S_2$  into a sorted sequence.

# Merging Two Sorted Sequences

- Assume two sorted sequences  $S_1$  and  $S_2$
- Look up the smallest element of each sequence and compare the two elements
- Remove a smallest element  $e$  from these two elements from its sequence and add it to the output sequence  $S$
- Repeat the previous two steps until one of the two sequences is empty
- Copy the remainder of the non-empty sequence to the output sequence

# Algorithm merge( $S_1, S_2, S$ )

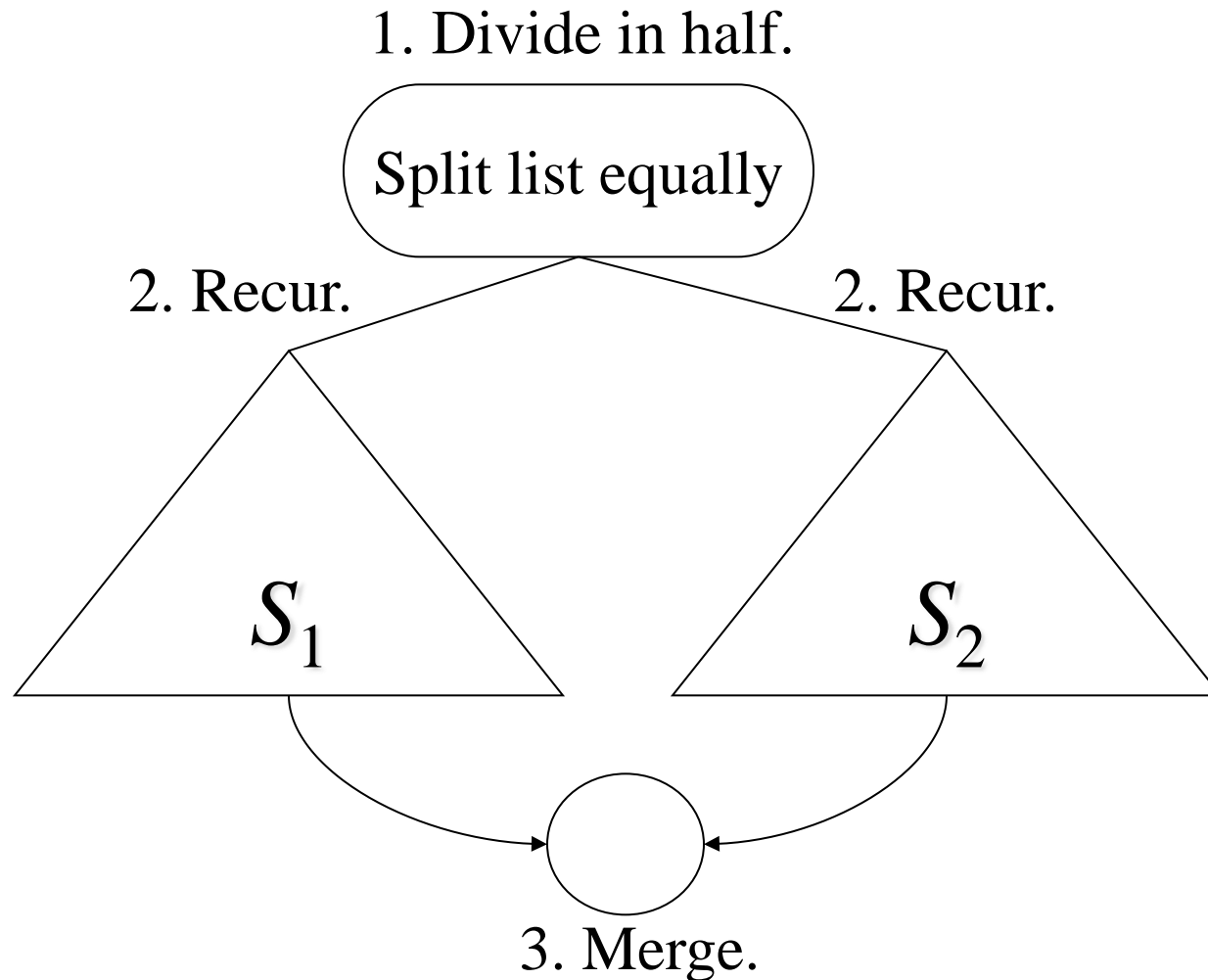
*Input:* Sequences  $S_1$  and  $S_2$  sorted in non-decreasing order; an empty output sequence  $S$ .

*Output:* Sequence  $S$  containing the elements from  $S_1$  and  $S_2$  sorted in non-decreasing order

# Algorithm merge( $S_1, S_2$ )

```
while not ( $S_1$ .isEmpty() or  $S_2$ .isEmpty()) do
    if  $S_1$ .first().key() <  $S_2$ .first().key() then
         $S$ .insertLast( $S_1$ .removeFirst())
    else
         $S$ .insertLast( $S_2$ .removeFirst())
    end
end
while not ( $S_1$ .isEmpty()) do
     $S$ .insertLast( $S_1$ .removeFirst())
end
while not ( $S_2$ .isEmpty()) do
     $S$ .insertLast( $S_2$ .removeFirst())
end
return  $S$ 
```

# Merge Sort Algorithm





# Algorithm mergeSort( $S$ )

```
if  $S.size() < 2$  then return  $S$   
 $S_1, S_2 \leftarrow divide(S)$   
 $S_1 \leftarrow mergeSort(S_1)$   
 $S_2 \leftarrow mergeSort(S_2)$   
 $S \leftarrow merge(S_1, S_2)$   
return  $S$ 
```

# Algorithm `divide( $S_1$ , $S_2$ , $S$ )`

- ✓  $S$  is a sequence containing  $n$  elements
- ✓ Let  $S_1$  and  $S_2$  be empty sequences

```
for  $i \leftarrow 0$  to  $\lfloor n/2 \rfloor$  do  
     $S_1.insertLast(S.removeFirst())$   
end  
for  $i \leftarrow \lfloor n/2 \rfloor + 1$  to  $n-1$  do  
     $S_2.insertLast(S.removeFirst())$   
end
```

# Worst-case Running Time of Merge Sort

```
if  $S.size() < 2$  then return  $S$   $b$   
 $S_1, S_2 \leftarrow \text{divide}(S)$   $n$   
 $S_1 \leftarrow \text{mergeSort}(S_1)$   $T(n/2)$   
 $S_2 \leftarrow \text{mergeSort}(S_2)$   $T(n/2)$   
 $S \leftarrow \text{merge}(S_1, S_2)$   $n$   
return  $S$ 
```

$$T(n) = \begin{cases} b & \text{if } n = 1 \\ 2T(\frac{n}{2}) + cn & \text{otherwise} \end{cases}$$

# Solve Recurrence Equation by Repeated Substitution

For  $n \geq 3$

$$\begin{aligned}T(n) &= 2T\left(\frac{n}{2}\right) + cn \\&= 2\left(2T\left(\frac{1}{2} \frac{n}{2}\right) + c \frac{n}{2}\right) + cn \\&= 2\left(2T\left(\frac{n}{2^2}\right) + c \frac{n}{2}\right) + cn \\&= 2^2 T\left(\frac{n}{2^2}\right) + cn + cn \\&= 2^2 T\left(\frac{n}{2^2}\right) + 2cn\end{aligned}$$

# Another Substitution

$$\begin{aligned}\text{For } n \geq 4: T(n) &= 2T\left(\frac{n}{2}\right) + cn \\&= 2\left(2T\left(\frac{n}{2^2}\right) + c\frac{n}{2}\right) + cn \\&= 2\left(2\left(T\left(\frac{1}{2}\frac{n}{2^2}\right) + c\frac{n}{2}\right) + c\frac{n}{2}\right) + cn \\&= 2\left(2\left(T\left(\frac{n}{2^3}\right) + c\frac{n}{2}\right) + c\frac{n}{2}\right) + cn \\&= 2^3T\left(\frac{n}{2^3}\right) + 2cn + cn \\&= 2^3T\left(\frac{n}{2^3}\right) + 3cn\end{aligned}$$

# Repeated Substitution

For  $n \geq i + 1$

$$T(n) = 2^i T\left(\frac{n}{2^i}\right) + icn$$

# The Substitution Terminates with $T(1)$

$$T(n) = b \quad \text{if } n = 1$$

After how many recursion calls is  $n = 1$ ?

When  $2^i = n$  or after  $i = \log n$  times.

# The Final Steps

Since  $T(n) = 2^i T\left(\frac{n}{2^i}\right) + icn$  (for  $n \geq i + 1$ )

For  $i = \log n$

$$T(n) = 2^{\log n} T\left(\frac{n}{2^{\log n}}\right) + cn \log n$$

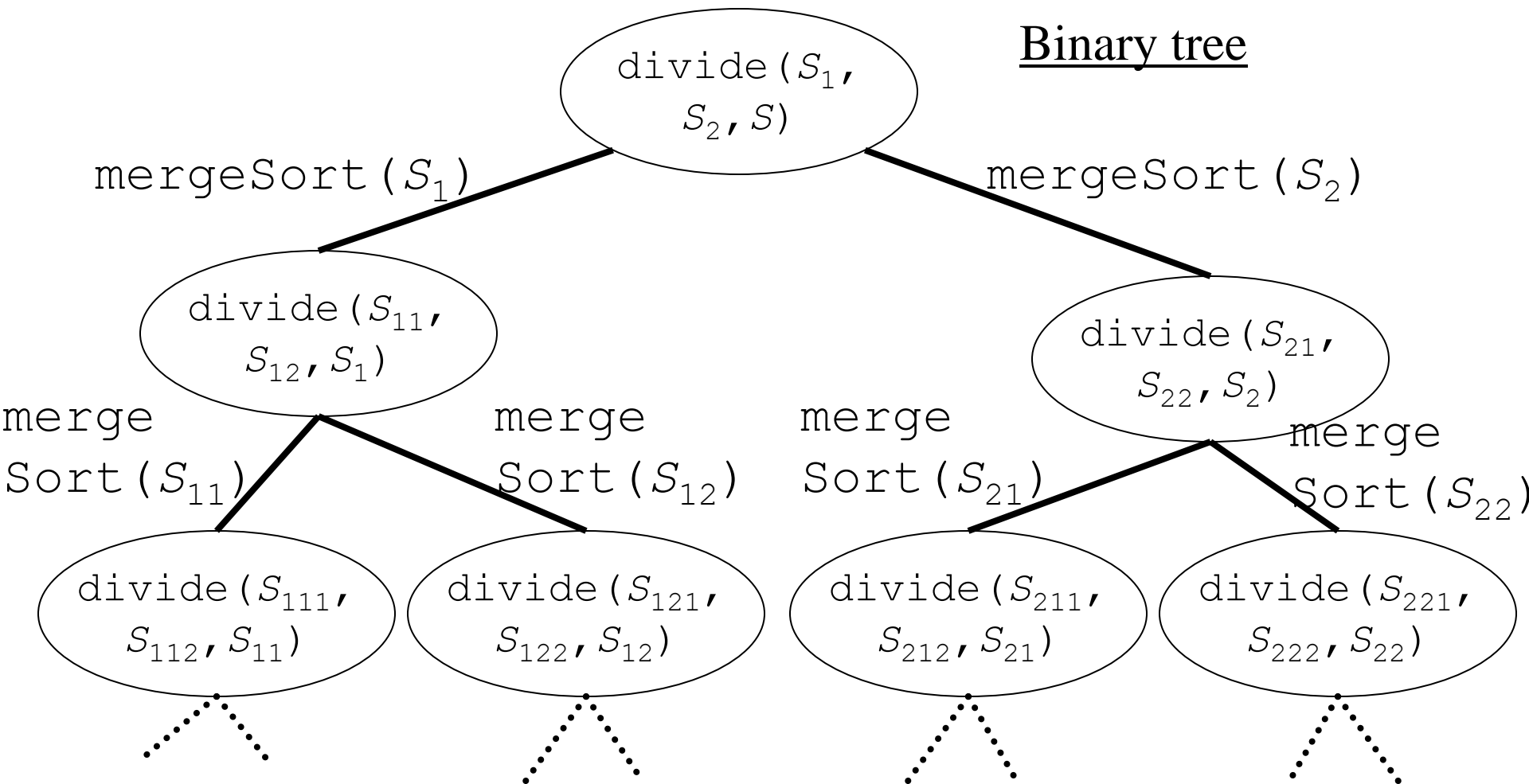
$$= nT\left(\frac{n}{n}\right) + cn \log n$$

$$= nT(1) + cn \log n$$

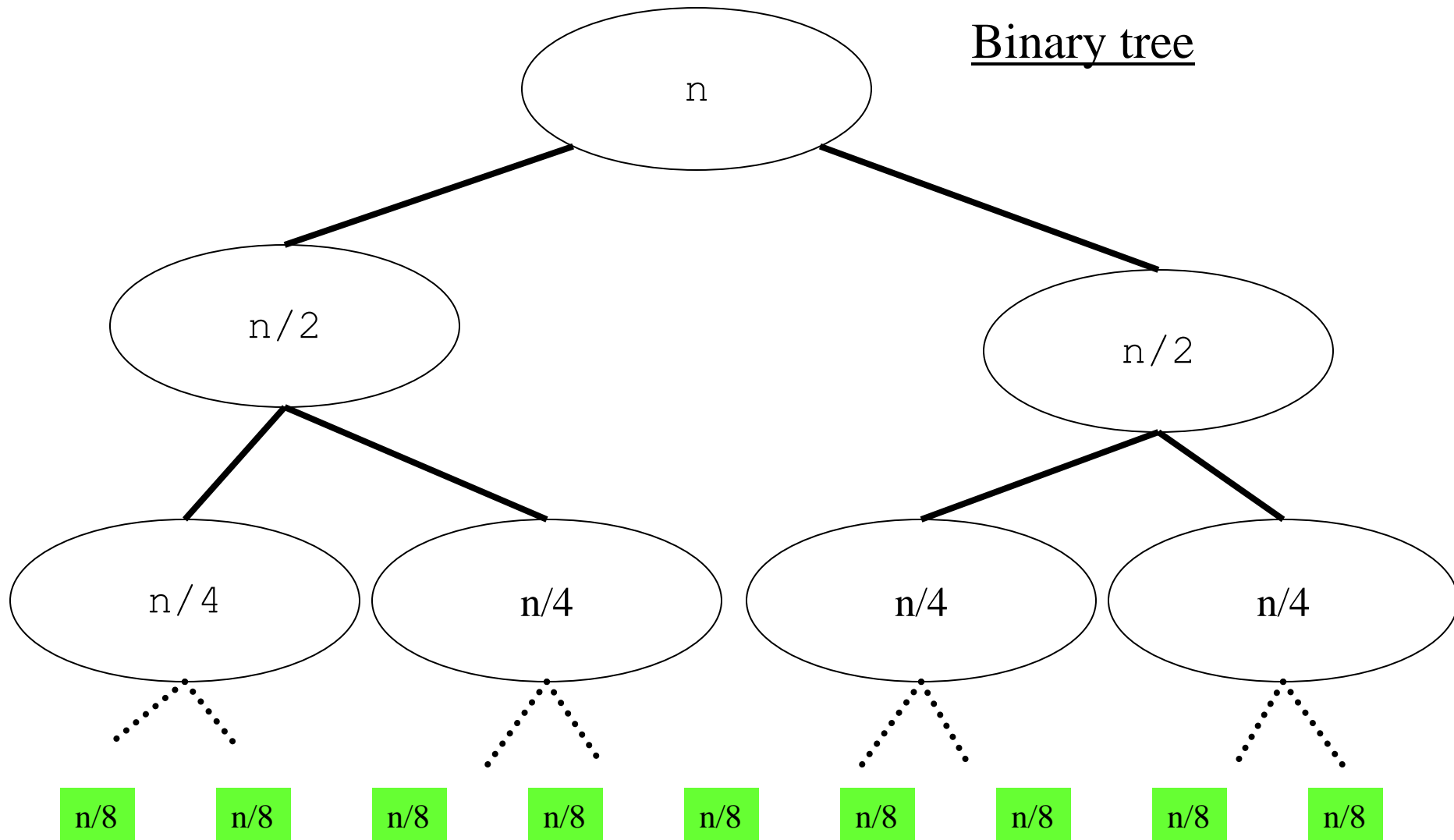
$$= nb + cn \log n \quad \text{is } O(n \log n)$$



# Depth of Recursion of Merge Sort



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