

# WAREHOUSE PICKING PROBLEM

Fundamentals of Optimization



Group 4

# APPROACHES

What do we want to present in this slides?

Problem description

Modelling

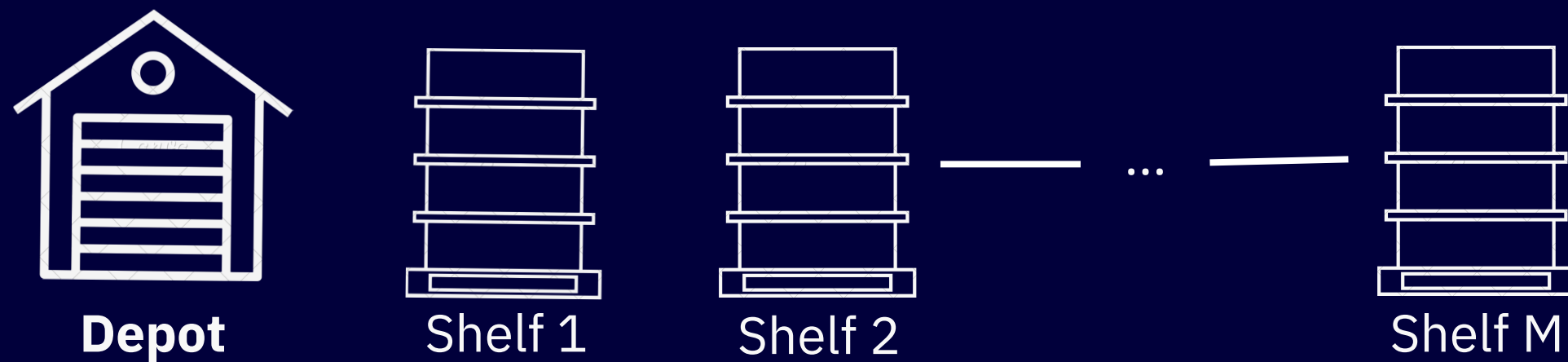
Algorithms

Experiments



# Problem description

## Warehouse picking problem



### Input:

- M shelves (not including the depot)
- N types of products
- Matrix D, Q, q

**Output:** p\_min - the shortest path to take enough products for our order

	0	1	...	M
0	0	3	...	2
1	3	0	...	7
...	...	...	...	...
M	2	7	...	0

$D[(M+1) \times (M+1)]$ : Distance matrix

$d(i, j)$  = distance from shelf  $i$  to shelf  $j$

	0	1	...	M-1
0	4	7	...	5
1	12	9	...	7
...	...	...	...	...
N-1	4	6	...	3

$Q[N \times M]$ : Quantity matrix

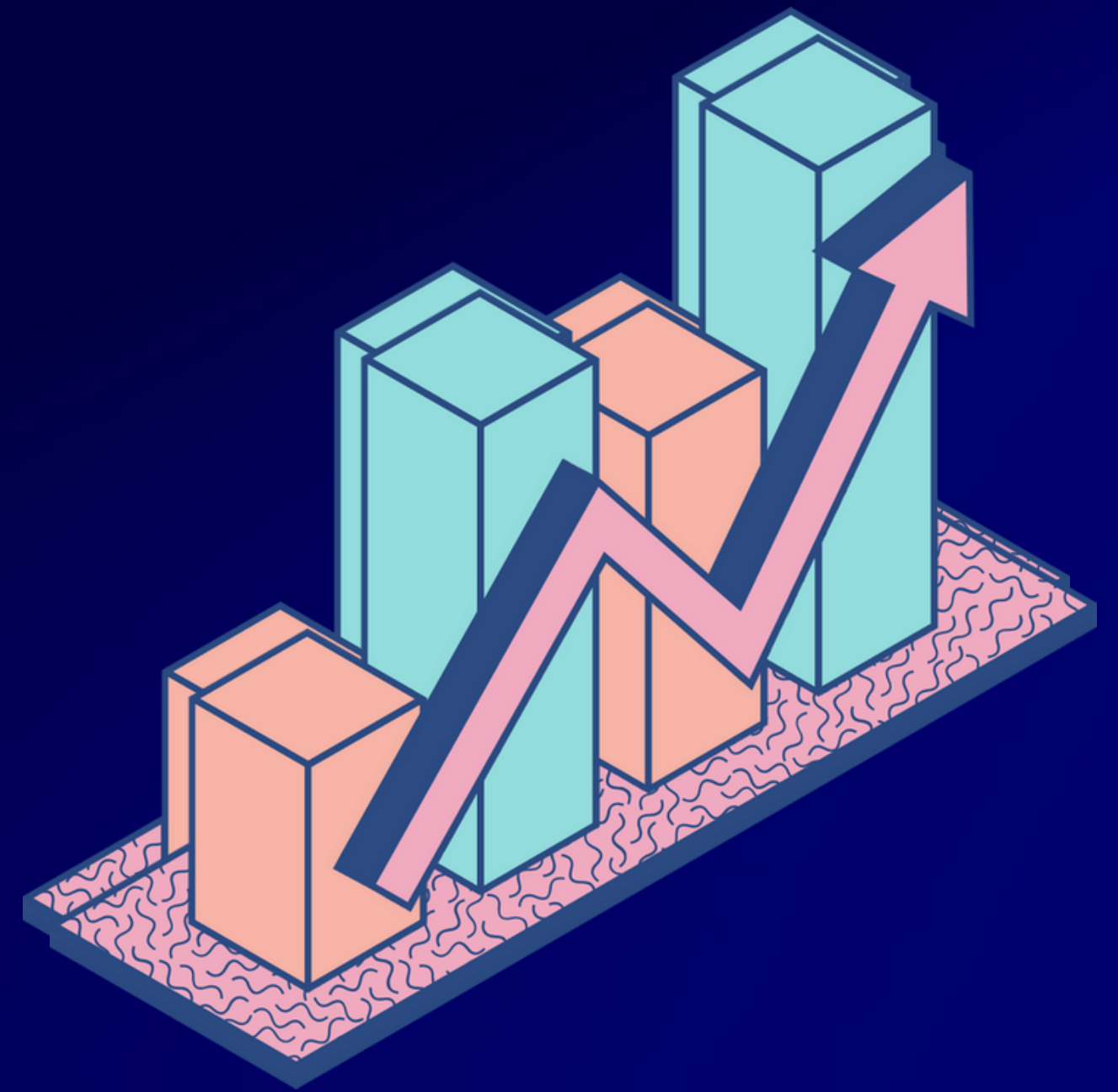
$Q(i, j)$  = The number of products of type  $i$  displayed on shelf  $j$

	0	1	...	N-1
	6	3	...	2

$q[N \times 1]$ : Order matrix

$q(i)$  = the number of products of type  $i$  need to take for the order

# Modeling



# Initialize variable

 $x_{ij}$ 

: decision variable indicating whether picker travel from shelf i to shelf j

 $p$ 

: Total distance of the routing

 $q_k$ 

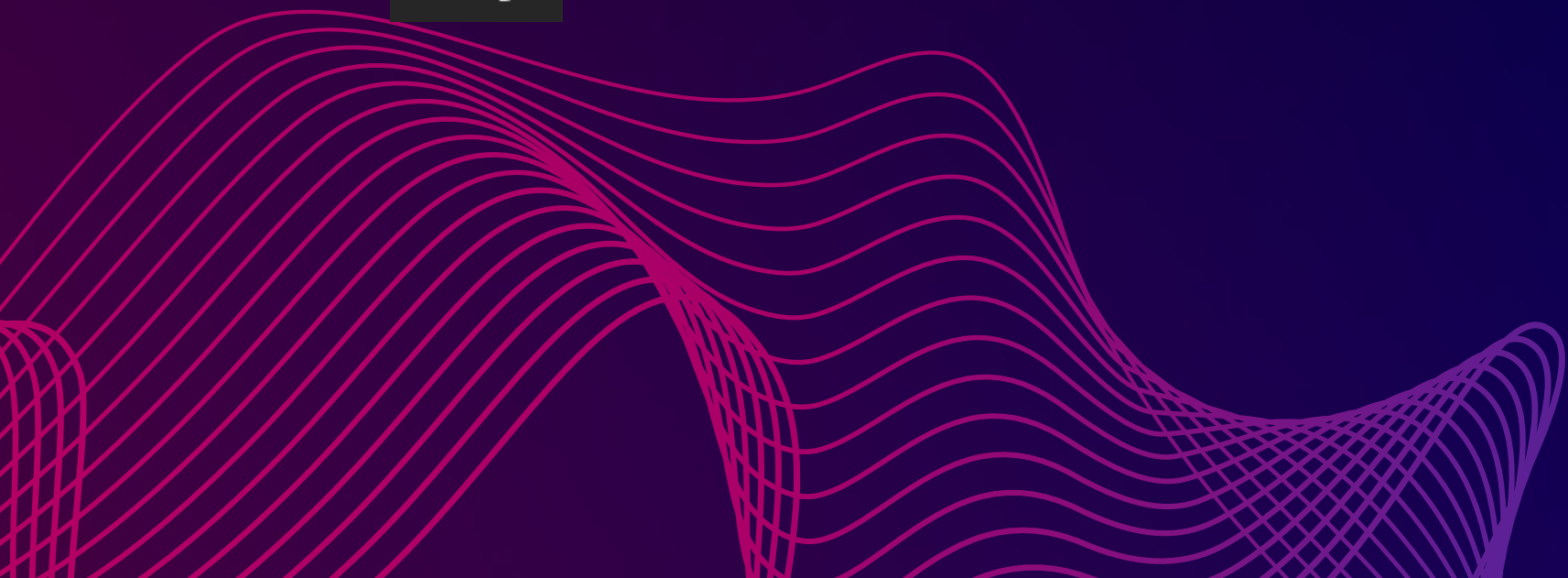
: Number of product k that the picker need to pick up

 $Q_{ki}$ 

: Number of product k in the shelf i

 $D_{ij}$ 

: The distance between shelf i to shelf j





# Modeling

1. The picker must start and end at the depot.

$$\exists i, x_{0i} = 1$$

$$\exists j, x_{j0} = 1$$

3. The total distance is the sum of all path to pick up all goods he needs.

2. A shelf is traveled no more than once.

$$\sum_{i=0}^M x_{ij} \leq 1$$

$$\sum_{j=0}^M x_{ij} \leq 1$$

$$\sum_{i,j=0}^M x_{ij} - \sum_{j,k=0}^M x_{jk} = 0$$

$$p = \sum_{i,j} D_{ij} \quad \text{where } x_{ij} = 1$$

# ❖ Modeling

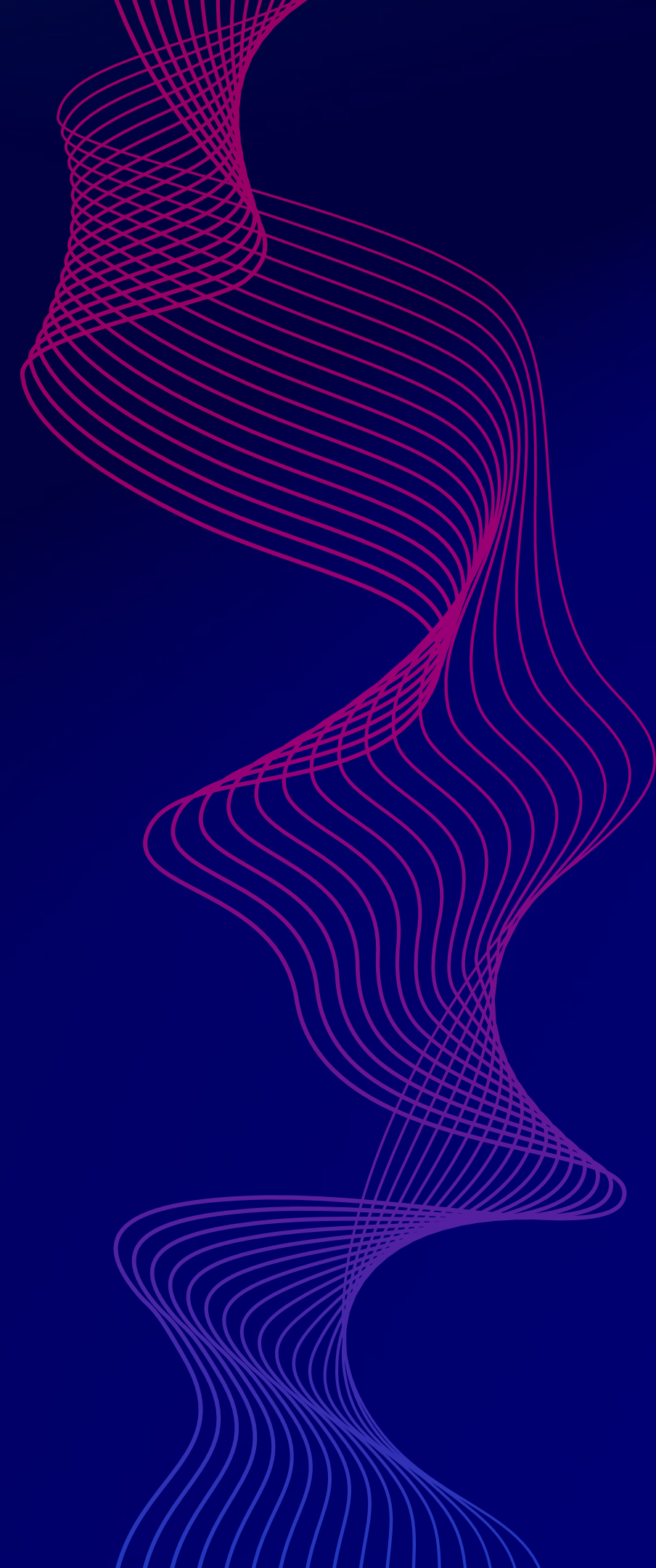
4. When he comes to shelf  $j$ , all goods of any types he need at this shelf is picked up.

If  $\exists x_{ij} = 1, \forall k \in \{1, 2, \dots, N\}$ :

$$q_k = \begin{cases} q_k - Q_{ki}, & q_k > Q_{ki} \\ 0, & \text{otherwise} \end{cases}$$

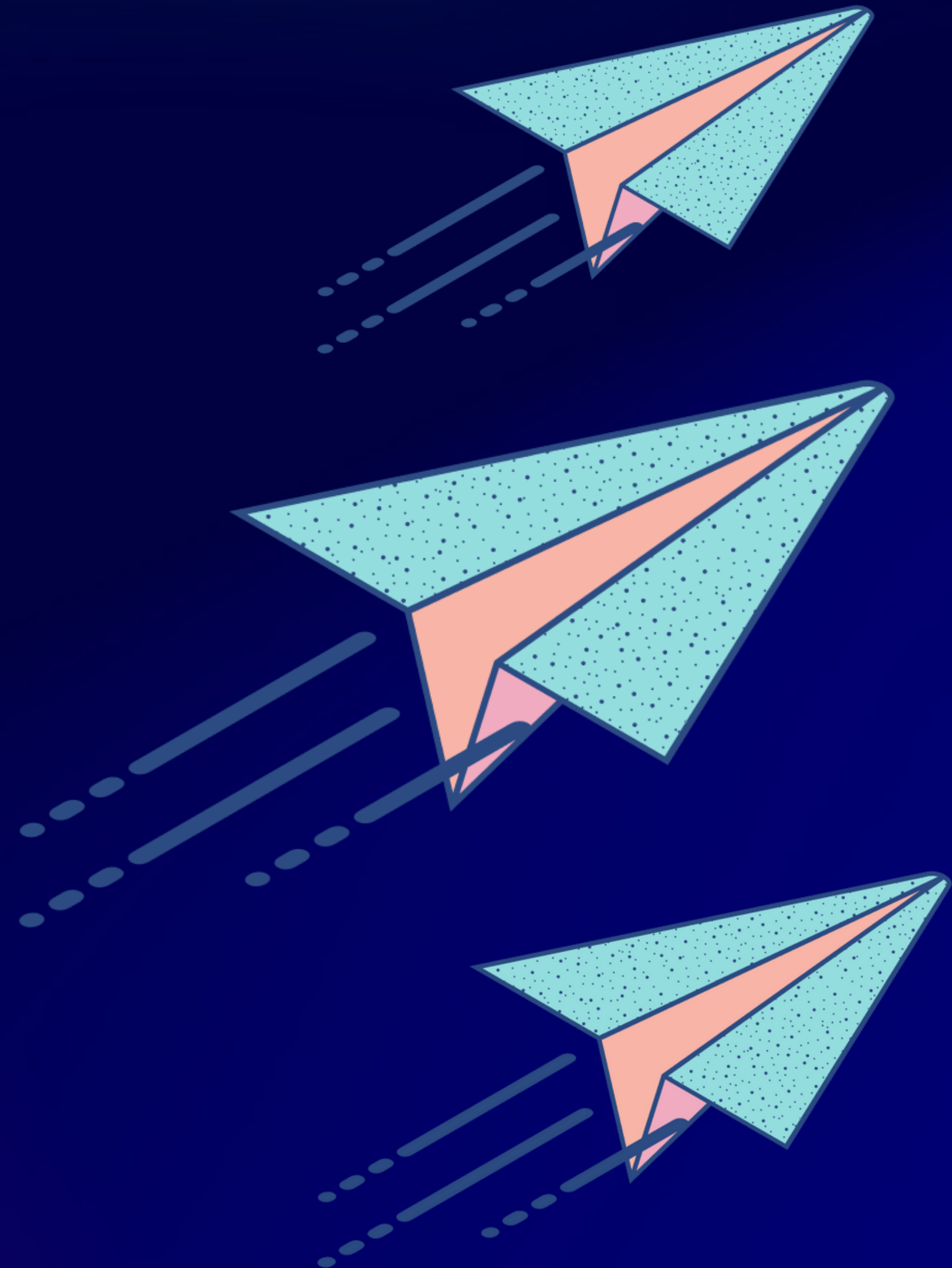
5. When he pick up all goods he needs, come back to the depot.

When  $x_{ij}, \forall k \in \{1, 2, \dots, N\}: q_k = 0 \rightarrow x_{j0}$



# CSP

One of the Most optimal methods

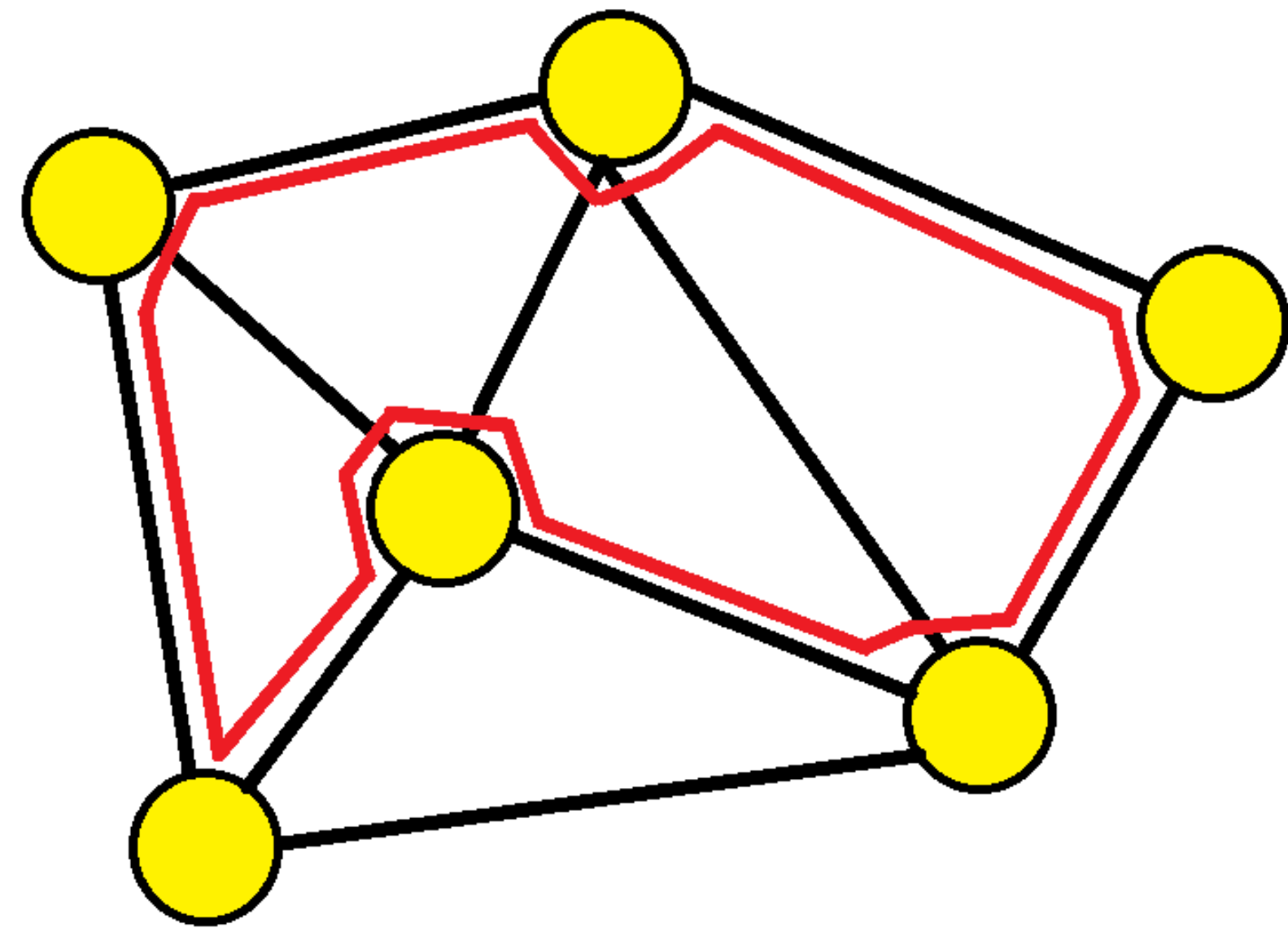




# Constraints

1. The staff must start and end at the depot
2. A shelf is traveled no more than once time.
3. Feasible solution: The total distance is the sum of all path to pick up all goods a staff needs
4. When a staff comes to shelf m, all goods any types a picker needs at this shelf is picked up
5. When a staff picks up all needed goods, comeback to the depot
6. Optimal solution: The last result is the minimized of all feasible solution

**Halmintonian cycle**



# Circuit constraint

- $i$  : Source node
- $j$  : Destination node
- $lit$  : literal, TRUE if  $x_{ij} = 1$
- A circuit is a unique Hamiltonian path in a subgraph of the total graph.
- A Hamiltonian path is a path in an undirected or directed graph that visits each vertex exactly once.

```
# Create the circuit constraint.
arcs = []
arc_literals = {}
for i in all_nodes:
    for j in all_nodes:
        if i == j:
            continue

        lit = model.NewBoolVar('%i follows %i' % (j, i))
        arcs.append([i, j, lit])
        arc_literals[i, j] = lit

        obj_vars.append(lit)
        obj_coeffs.append(d[i][j])
model.AddCircuit(arcs)
```

## Circuit constraint meets 2 constraints of cp-sat:

1. The staff must start and end at the depot
2. A shelf is only traveled no more than once time

# Distance of routing:

## 2 constraints about distance:

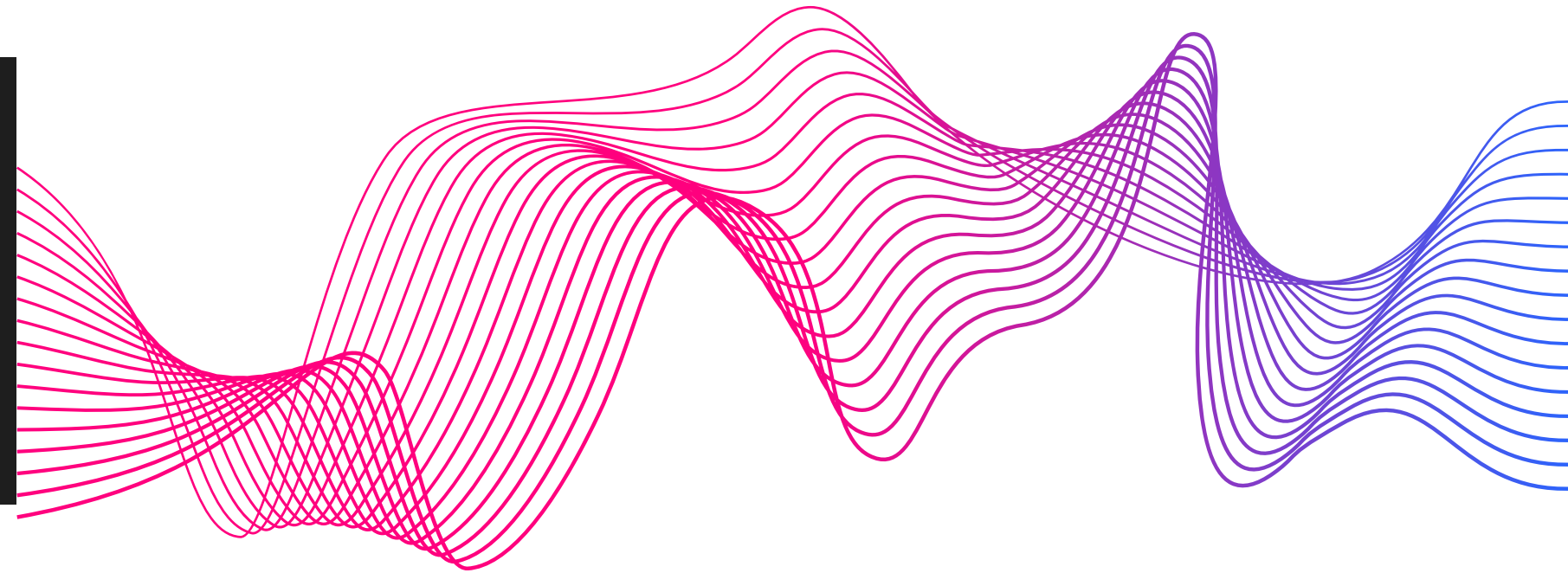
- *Feasible solution: The total distance is the sum of all journey to pick up all goods a staff needs*
- *Optimal solution: The last result is the minimized of all feasible solution ( $p_{min}$ )*

$$p = \sum_{i,j} D_{ij} \quad \text{where } x_{ij} = 1$$

```
#Minimize the total distance  
model.Minimize(sum(obj_vars[i] * obj_coeffs[i] for i in range(len(obj_vars))))
```

# Constraints for picker to pick up goods:

```
#constraint 1:
for i in range(N):
    if q[i] > Q[i][current_node-1]:
        q[i] = q[i] - Q[i][current_node-1]
    else:
        q[i] = 0
```



## There are 2 constraints:

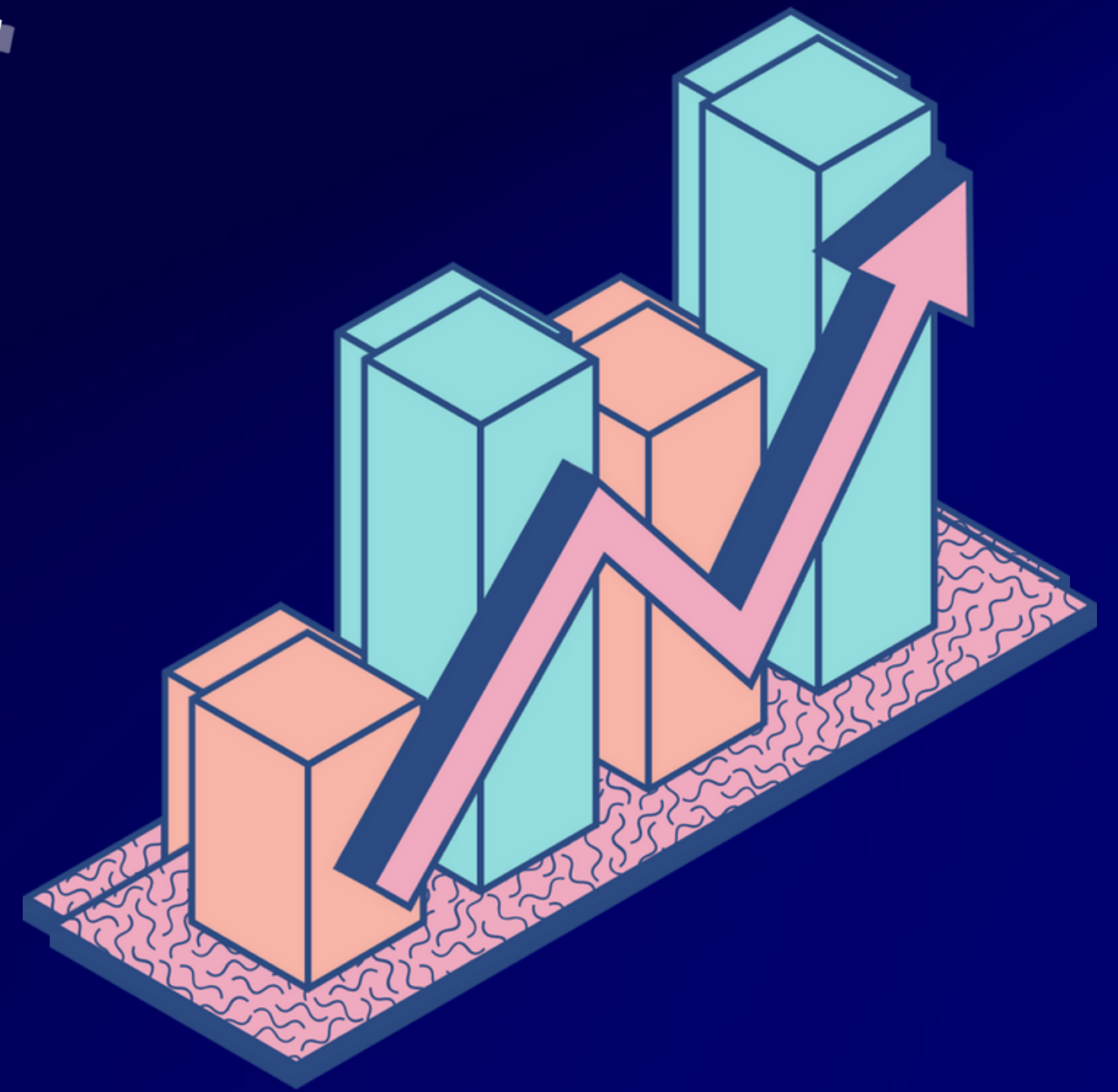
1. The picker will pick all needed products in the shelf that he comes.
2. Picker will come back to the depot when all needed product are picked.

```
#constraint 2:
if all(ele==0 for ele in q):
    route_distance += d[current_node][0]
    current_node = 0
    point.append(current_node)
    route_is_finished = True
    break

else:
    route_distance += d[current_node][i]
    current_node = i
    point.append(current_node)
```

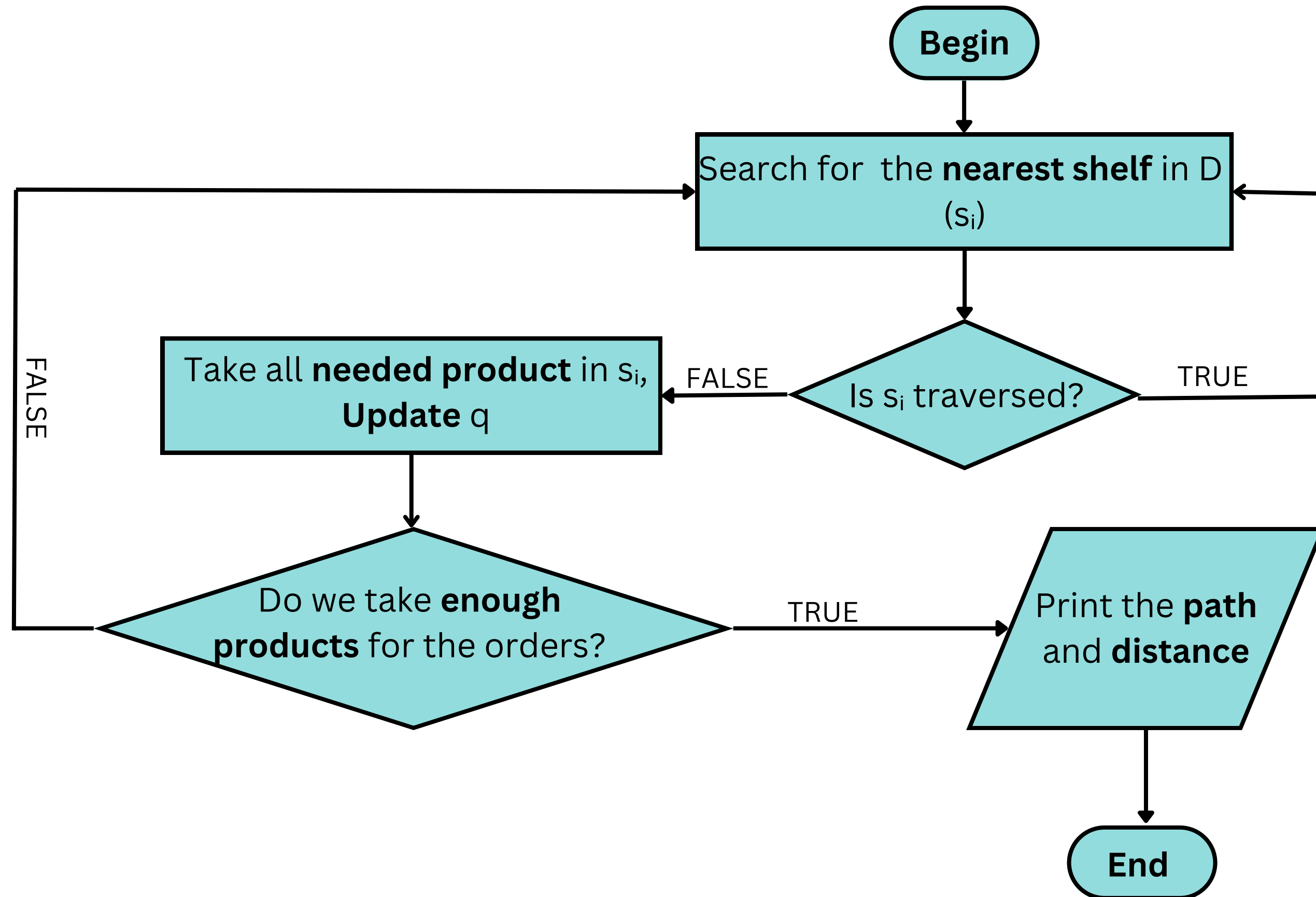
# Nearest Neighbor

Easy and fast!





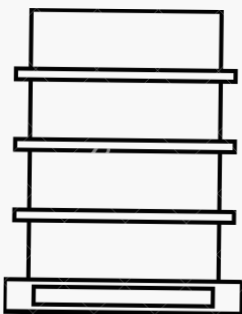
# WHAT IS NEAREST NEIGHBOR ALGORITHM?



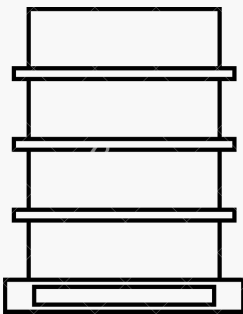
# Example For the Nearest Neighbor



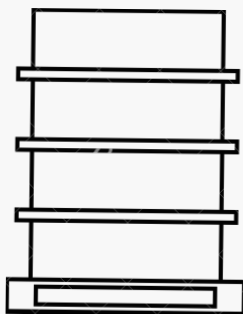
Shelf 0(depot)



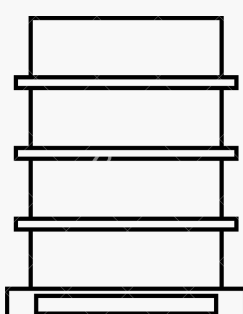
Shelf 1



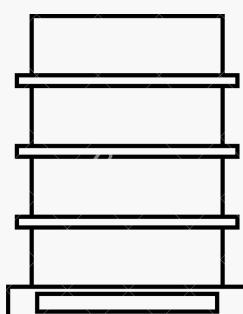
Shelf 2



Shelf 3



Shelf 4



Shelf 5

M = 5, N = 6

0	16	10	13	13	19
16	0	8	3	19	5
10	8	0	7	23	11
13	3	7	0	16	6
13	19	23	16	0	22
19	5	11	6	22	0

MATRIX D[6x6]

3	2	2	4	2
4	3	7	3	5
6	7	2	5	4
2	3	3	2	1
2	5	7	6	1
7	2	1	6	5

MATRIX Q[6x5]

8	7	4	8	11	13
---	---	---	---	----	----

MATRIX q

# Example For the Nearest Neighbor

Starting from **the depot**

	0	1	2	3	4	5
0	0	16	10	13	13	19
1	16	0	8	3	19	5
2	10	8	0	7	23	11
3	13	3	7	0	16	6
4	13	19	23	16	0	22
5	19	5	11	6	22	0

8	7	4	8	11	13
---	---	---	---	----	----

UPDATE q

	0	1	2	3	4	5
0	3	2	2	4	2	
1	4	3	7	3	5	
2	6	7	2	5	4	
3	2	3	3	2	1	
4	2	5	7	6	1	
5	7	2	1	6	5	

6	4	0	5	6	11
---	---	---	---	---	----

Path

0	2
---	---

Distance  $0 + 10 = 10$

# Example For the Nearest Neighbor

Next, starting from the  
**shelf 2**

	0	1	2	3	4	5
0	0	16	10	13	13	19
1	16	0	8	3	19	5
2	10	8	0	7	23	11
3	13	3	7	0	16	6
4	13	19	23	16	0	22
5	19	5	11	6	22	0

6	4	0	5	6	11
---	---	---	---	---	----

0	2	3
---	---	---

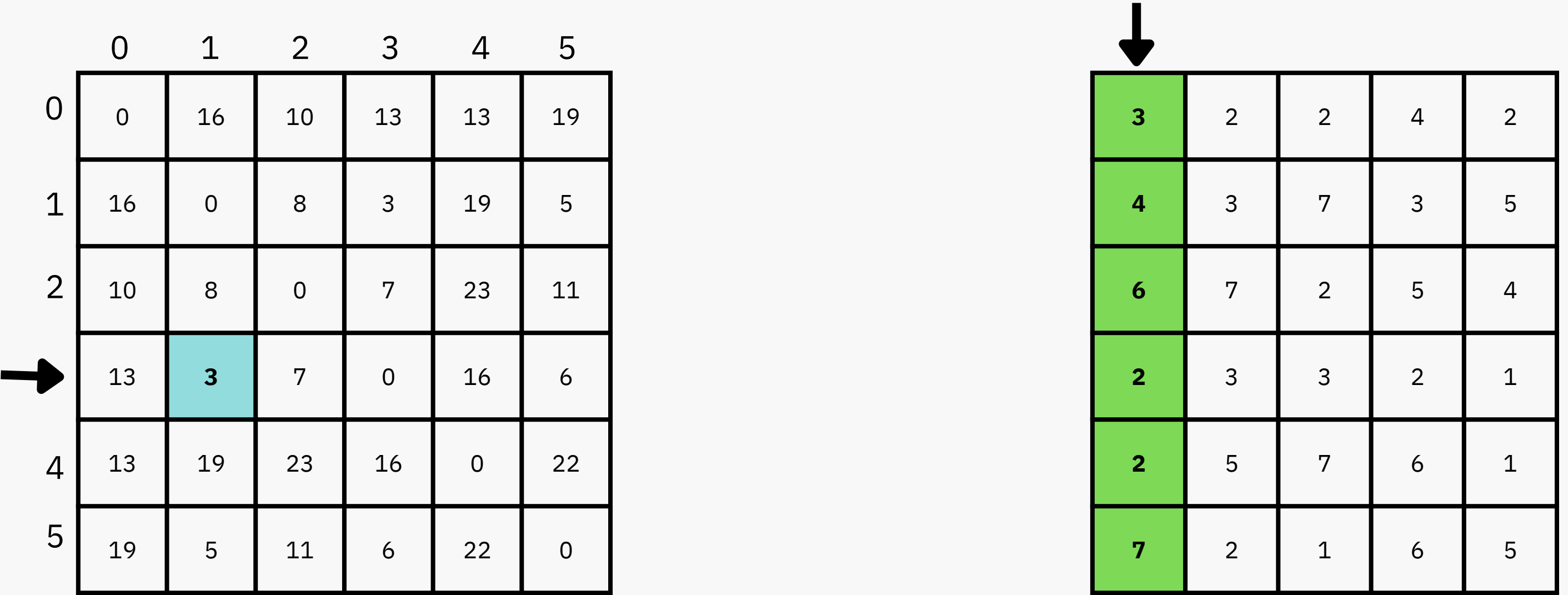
**Distance**     $10 + 7 = \mathbf{17}$

**UPDATE q**

	0	1	2	3	4
0	3	2	2	4	2
1	4	3	7	3	5
2	6	7	2	5	4
3	2	3	3	2	1
4	2	5	7	6	1
5	7	2	1	6	5

4	0	0	2	0	10
---	---	---	---	---	----

# Example For the Nearest Neighbor



4	0	0	2	0	10
---	---	---	---	---	----

UPDATE q

1	0	0	0	0	3
---	---	---	---	---	---

Path

0	2	3	1
---	---	---	---

Distance 17 + 3 = 20



# Example For the Nearest Neighbor

	0	1	2	3	4	5
0	0	16	10	13	13	19
1	16	0	8	3	19	5
2	10	8	0	7	23	11
3	13	3	7	0	16	6
4	13	19	23	16	0	22
5	19	5	11	6	22	0

	0	1	2	3	4
0	3	2	2	4	2
1	4	3	7	3	5
2	6	7	2	5	4
3	2	3	3	2	1
4	2	5	7	6	1
5	7	2	1	6	5

1	0	0	0	0	3
---	---	---	---	---	---

UPDATE q

0	0	0	0	0	0
---	---	---	---	---	---

Path

0	2	3	1	5	0
---	---	---	---	---	---

Distance

$20 + 5 + 19 = 44$

Taking **enough products** for the order

Go **back to the depot**

# PSEUDO CODE

```
// Check if we have taken enough goods for the order
```

```
function end(q){
    for (p_num in q){
        if (p_num != 0) return 0
        else return 1
    }
}
```

```
// Find the nearest shelf from the current location.
```

```
function cal_nearest_shelf(c, D, M, r){
    int min_d = ∞
    int nearest = -1
    for (int i = 0; i < M+1; i++){
        if (i not in r && D[c][i] != 0 && min_d > D[c][i]){
            nearest = i
            min_d = D[c][i]
        }
    }
    return nearest, D[c][nearest]
}
```

```
// Find and return the path and the distance moved
```

```
function nearest_neighbor(N, M, Q, D, q){
    int s = 0, c = s, total = 0
    r = []
```

```
// Starting from the depot
```

```
r.append(s)
```

```
while (!end(q)){
```

```
    c, distance = cal_nearest_shelf(c, D, M, r)
```

```
    r.append(c)
```

```
    total += distance
```

```
    Update q // update the number of goods that need to be picked up
```

```
}
```

```
// After taking enough goods, return to the depot
```

```
r.append(s)
```

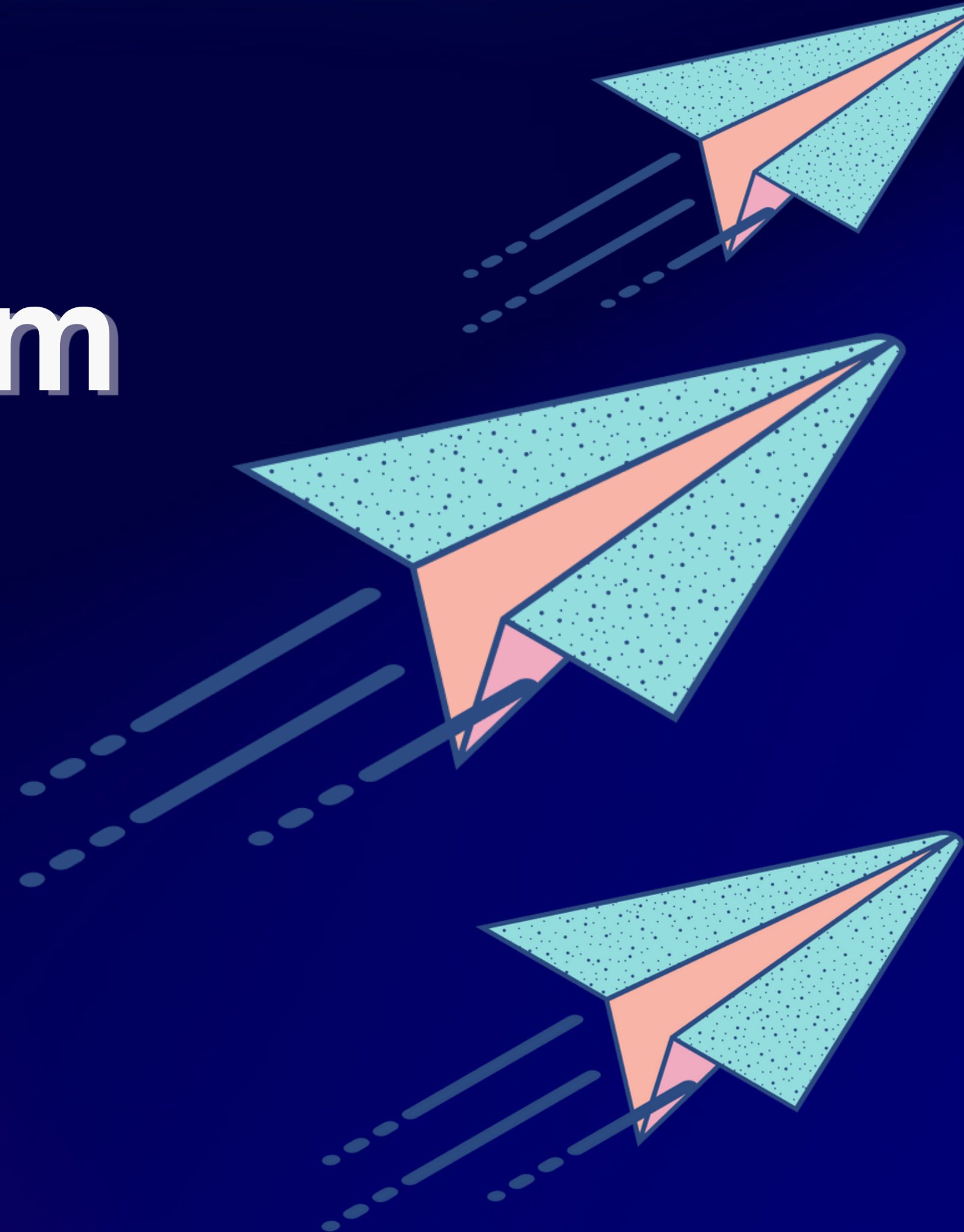
```
total += D[c][s]
```

```
return r, total
```

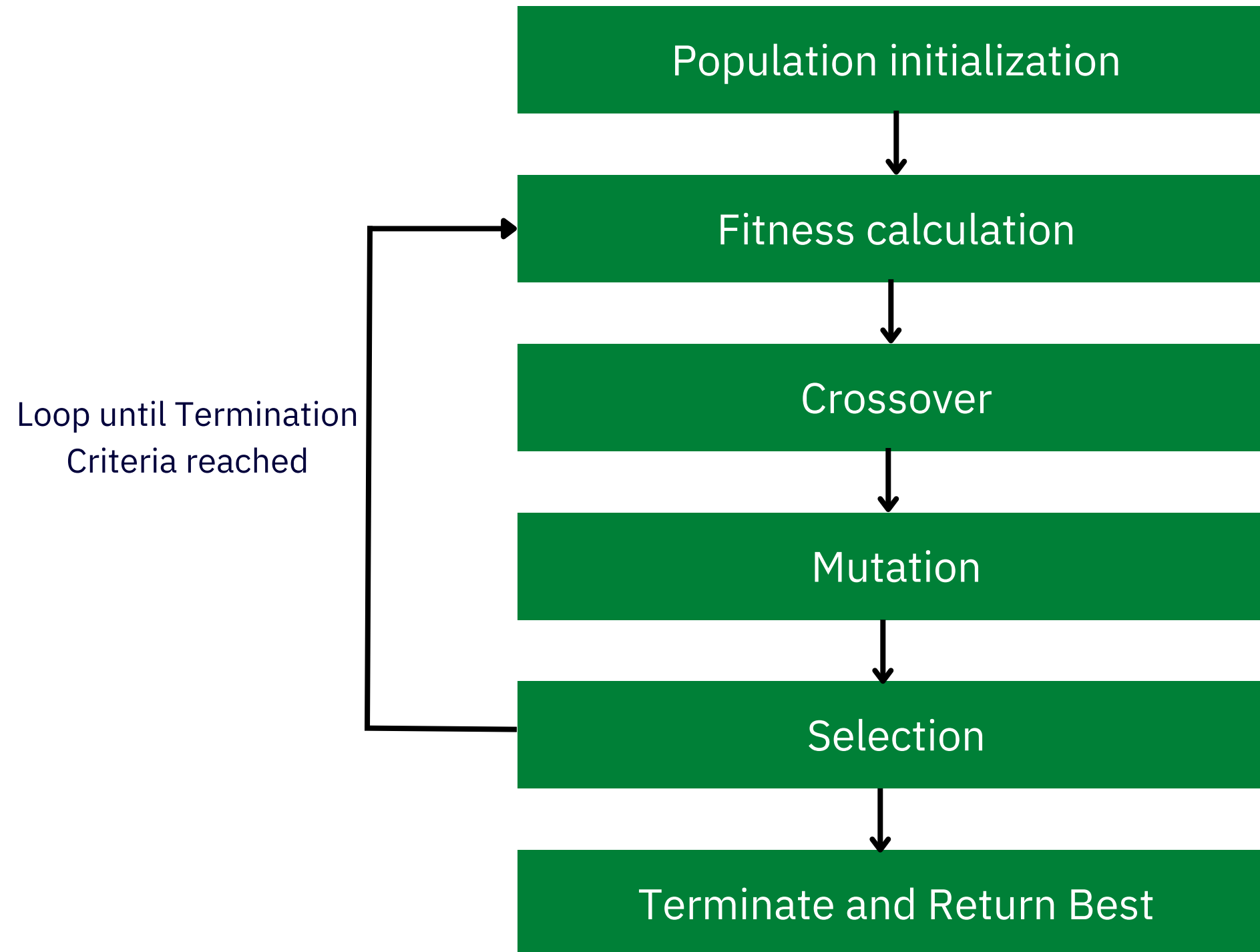
```
}
```

# Genetic Algorithm

Is biology your major?  
You may love this algorithm!



# HOW GENETIC ALGORITHM WORKS?



- individual: A feasible solution
- population: A set of individuals
- POP\_SIZE: The size of population
- gen\_thres: The number of generations (termination criteria)

# DATA STRUCTURE AND OPERATORS

// Data structure

Individual{

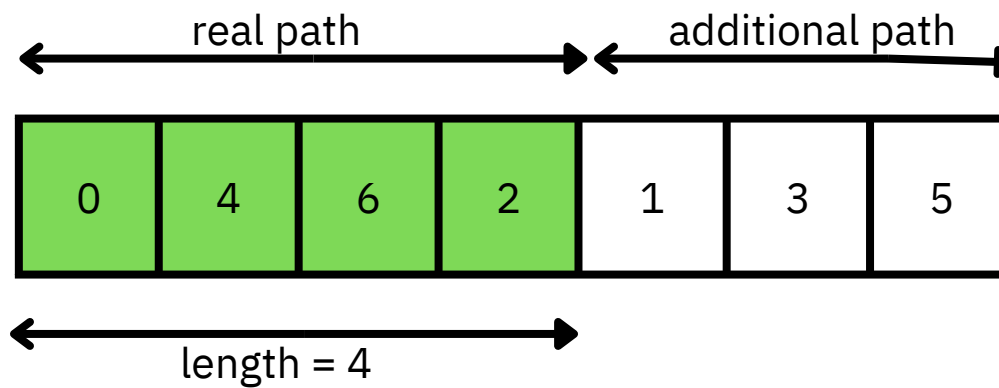
path,

fitness,

length

}

Path:



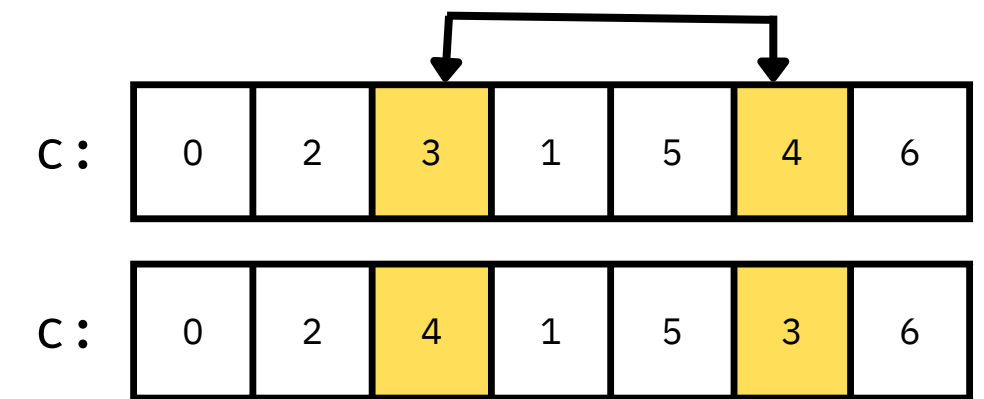
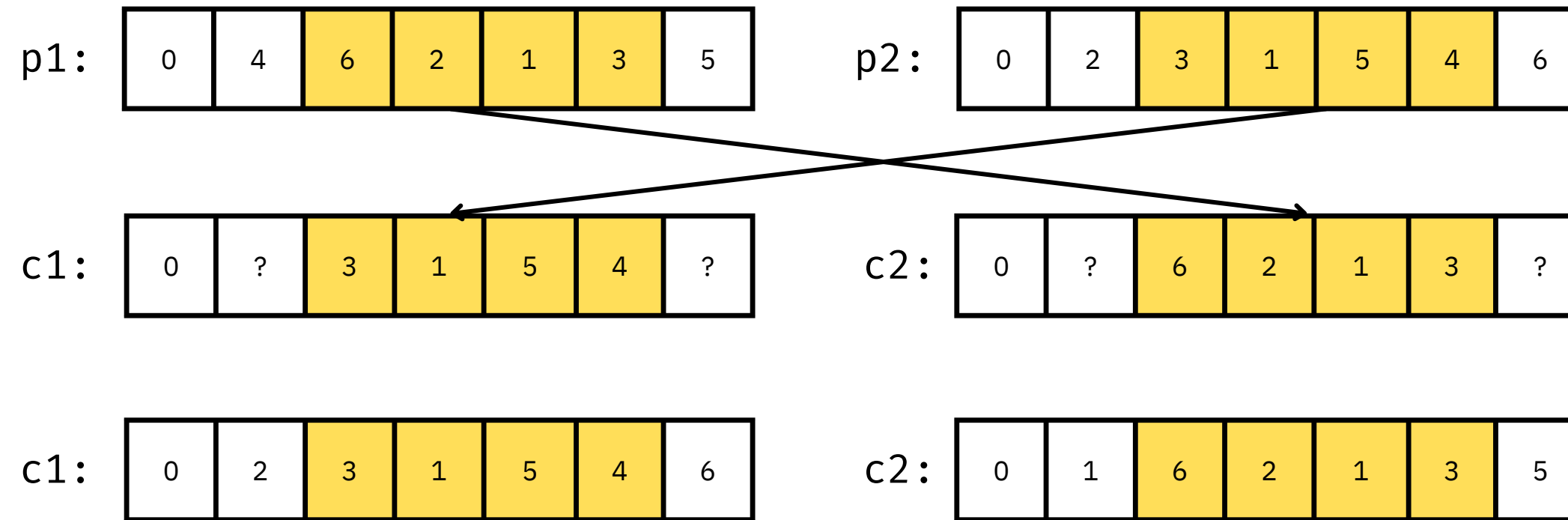
M = 6

path = [0, 4, 6, 2, 1, 3, 5]

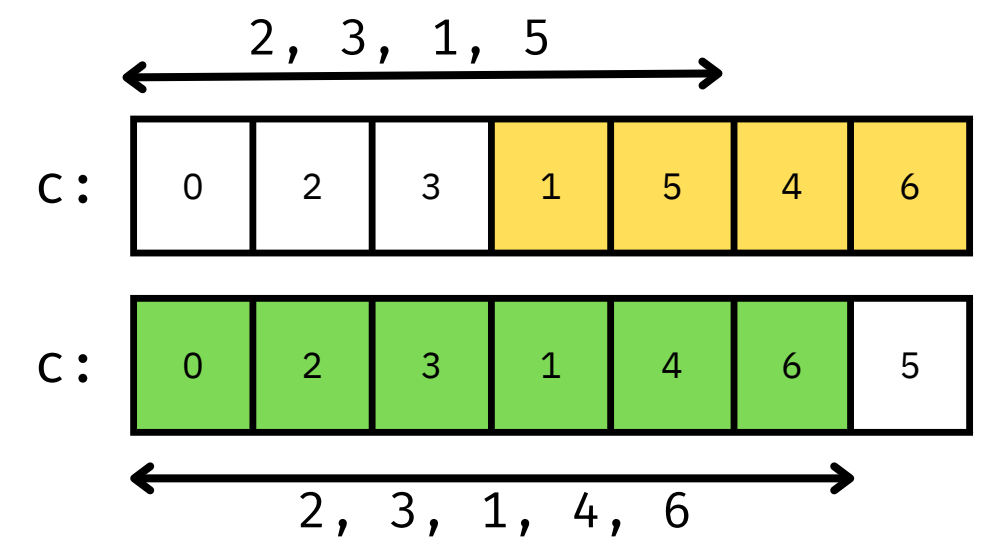
fitness =  $d(0,4) + d(4,6) + d(6,2) + d(2,0)$

length = 4

Crossover OX: from index = 2 -> 5



Mutation (swap i=2 and i=5)



Mutation (cut from i=3)



# PSEUDO CODE

```
function main(POP_SIZE, gen_thres){
    pop = []
    gen = 0
    init_pop(pop, POP_SIZE)
    while gen < gen_thres{
        shuffle(pop)
        while not end_crossover(){
            p1, p2 = select_parents()
            c1, c2 = crossover(p1, p2)
            c1 = mutation(c1)
            c2 = mutation(c2)
            pop.append(c1, c2)
        }
        selection(pop, POP_SIZE)
        gen += 1
        print_information(pop[0])
    }

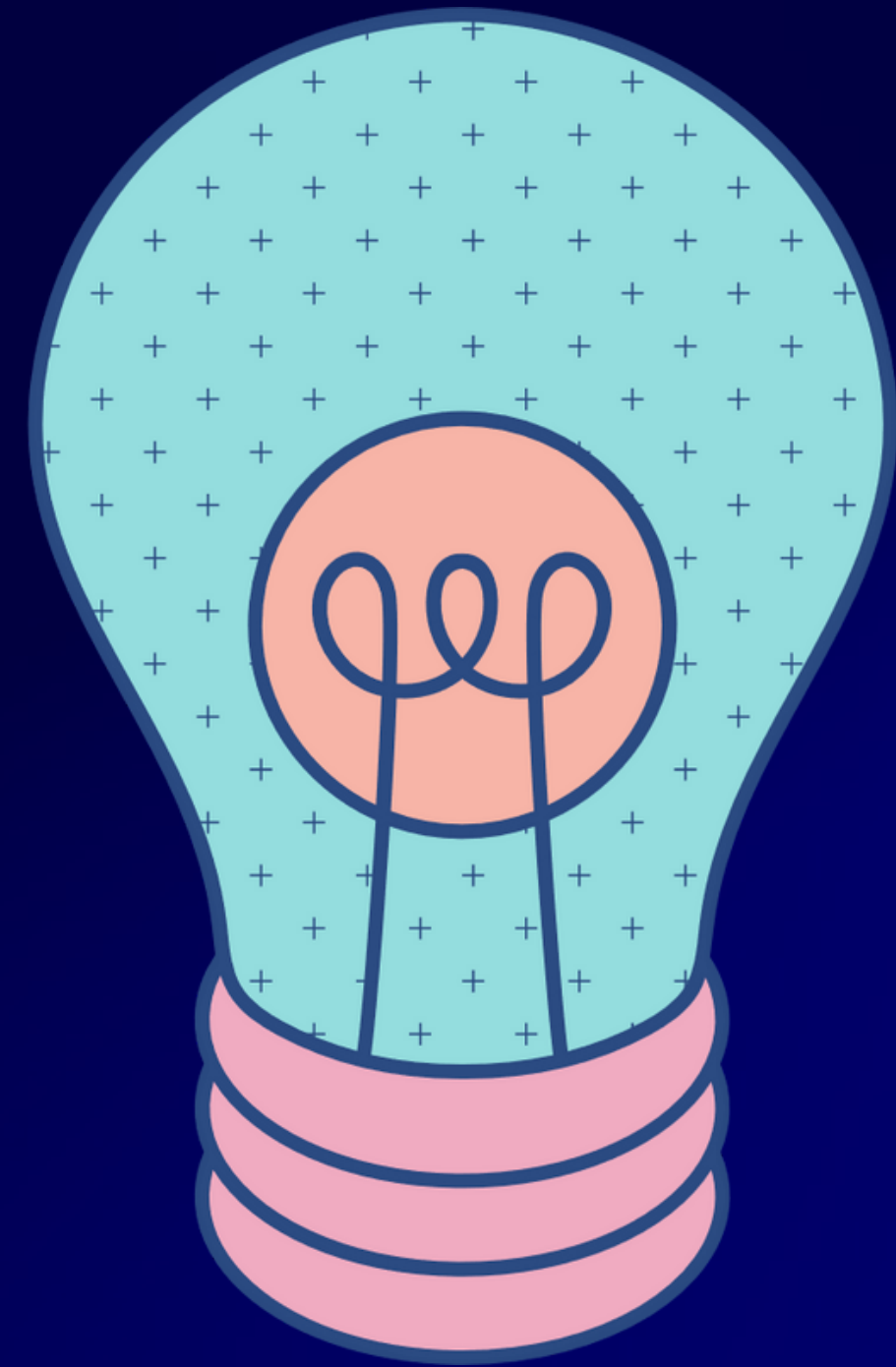
    function mutation_swap(c){
        r = random()
        r1 = random()
        if r != r1{
            temp = c[r]
            c[r] = c[r1]
            c[r1] = temp
        }
        // Recalculate fitness and real length
        return c
    }
}
```

```
function crossover(p1, p2){
    r = random()
    r1 = random()
    if r != r1{
        // Perform ox operators
    }
    //Calculate fitness and real length for c1, c2
    return c1, c2
}

function mutation_cut(c){
    r = random()
    path = c.path[:r]
    while not end(){
        shelf = random()
        if not is_traversed(shelf){
            path.append(shelf)
        }
    }
    c.path = path
    //Fill the path with the remaining shelves
    // Recalculate fitness and real length
    return c
}
```

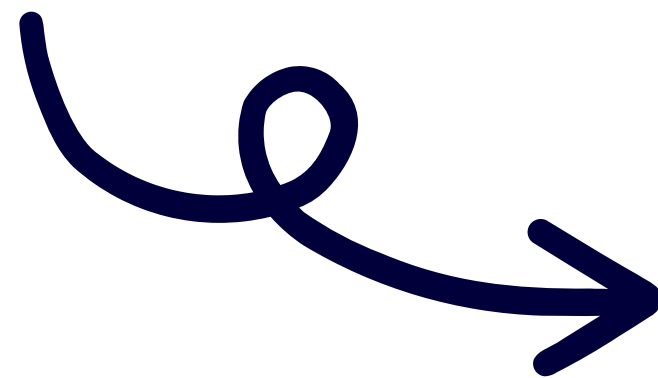
# Heuristics

Useful method with large-scale problems



# Combining 2 ideas using local search heuristic in routing solver - ortools:

- Travelling Salesman Problem



**To find the shortest route for one picker. And picker needs to visit all shelf.**

- Capacity Vehicle Routing Problem



**To add demand for picker to pick needed products.**

# Routing model

```
#routing model  
manager = pywrapcp.RoutingIndexManager(len(d), num_vehicles, depot)  
routing = pywrapcp.RoutingModel(manager)
```

- Index manager (manager) with 3 inputs:
  - The number of rows of the distance matrix, which is the number of locations (including the depot).
  - The number of vehicles in the problem.
  - The node corresponding to the depot.
- Routing model (routing)

# Distance callback (distance\_callback)

Create a function for Routing model to takes any pair of locations and returns the distance between them.

Registers Distance callback with the solver:.

```
transit_callback_index = routing.RegisterTransitCallback(distance_callback)
```

## Set the cost of travel (distance)

Tell the solver how to calculate the cost of travel between any two locations (Distance)

```
# Define cost of each arc.  
routing.SetArcCostEvaluatorOfAllVehicles(transit_callback_index)
```



# Set the default search parameters

```
# Setting first solution heuristic.  
search_parameters = pywrapcp.DefaultRoutingSearchParameters()  
search_parameters.first_solution_strategy = (  
    routing_enums_pb2.FirstSolutionStrategy.PATH_CHEAPEST_ARC)  
search_parameters.local_search_metaheuristic = (  
    routing_enums_pb2.LocalSearchMetaheuristic.GUIDED_LOCAL_SEARCH)
```

**PATH\_CHEAPEST\_ARC:** Create an initial route for the solver by repeatedly adding edges with the least weight that don't lead to a previously visited node (other than the depot)

**GUIDED\_LOCAL\_SEARCH:** An option for local search metaheuristics; it uses guided local search to escape local minima.

➡ Solve this problem with search parameters:

```
# Solve the problem.  
solution = routing.SolveWithParameters(search_parameters)
```

# Add constraints for picker

## There are 2 constraints:

1. The picker will pick all needed products in the shelf that he comes.
2. Picker will come back to the depot when all needed product are picked .

```
#constraint 1:
for i in range(N):
    if remain_route_load[i] > Q[i][index-1]:
        remain_route_load[i] = remain_route_load[i] - Q[i][index-1]
    else:
        remain_route_load[i] = 0
```

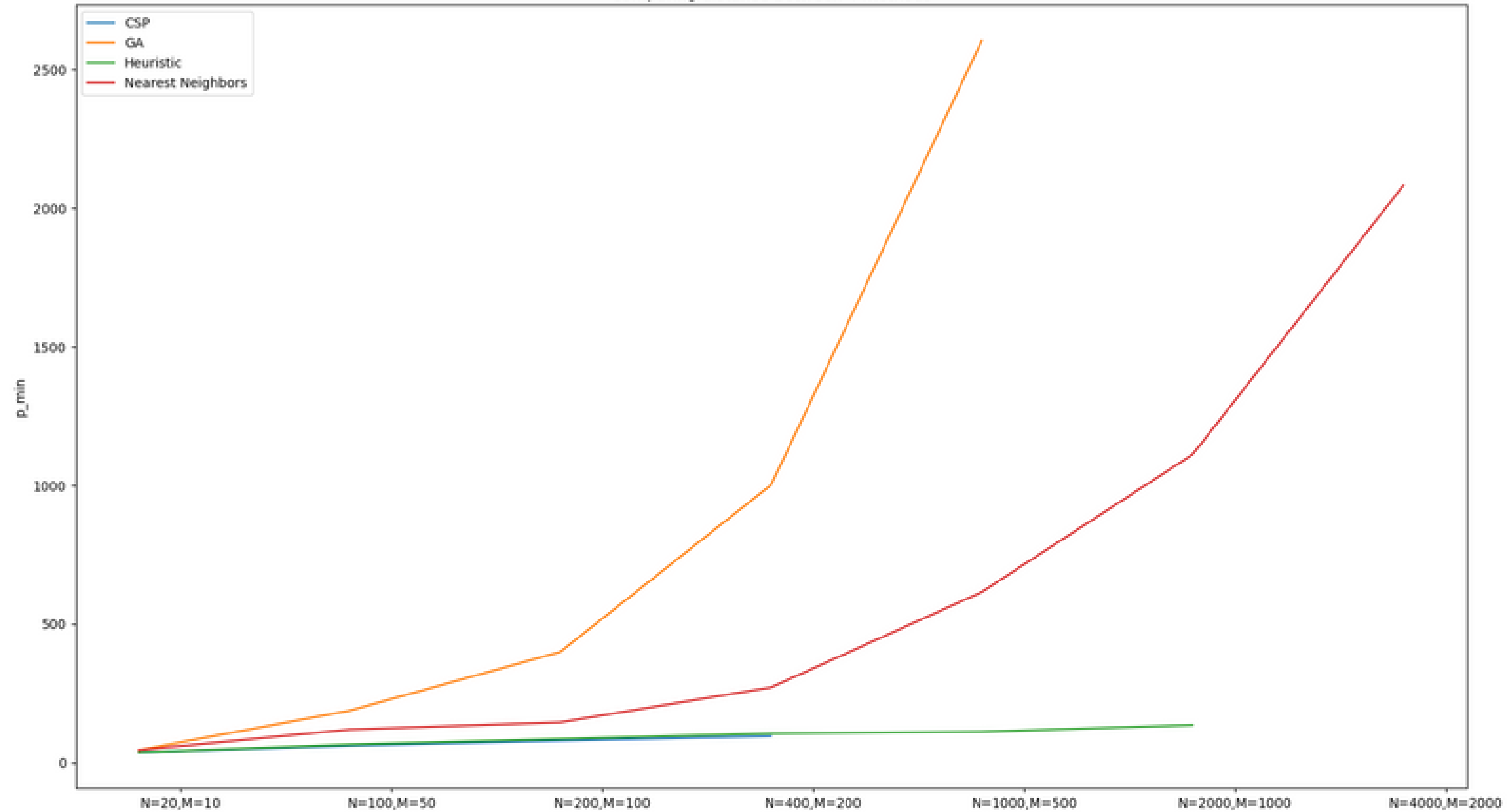
```
#constraint 2:
if all(ele==0 for ele in remain_route_load):
    previous_index = index
    index = 0
    route_distance += d[previous_index][0]
    break
else:
    previous_index = index
    index = solution.Value(routing.NextVar(index))
    route_distance += routing.GetArcCostForVehicle(previous_index, index, 0)
```

# Experiment

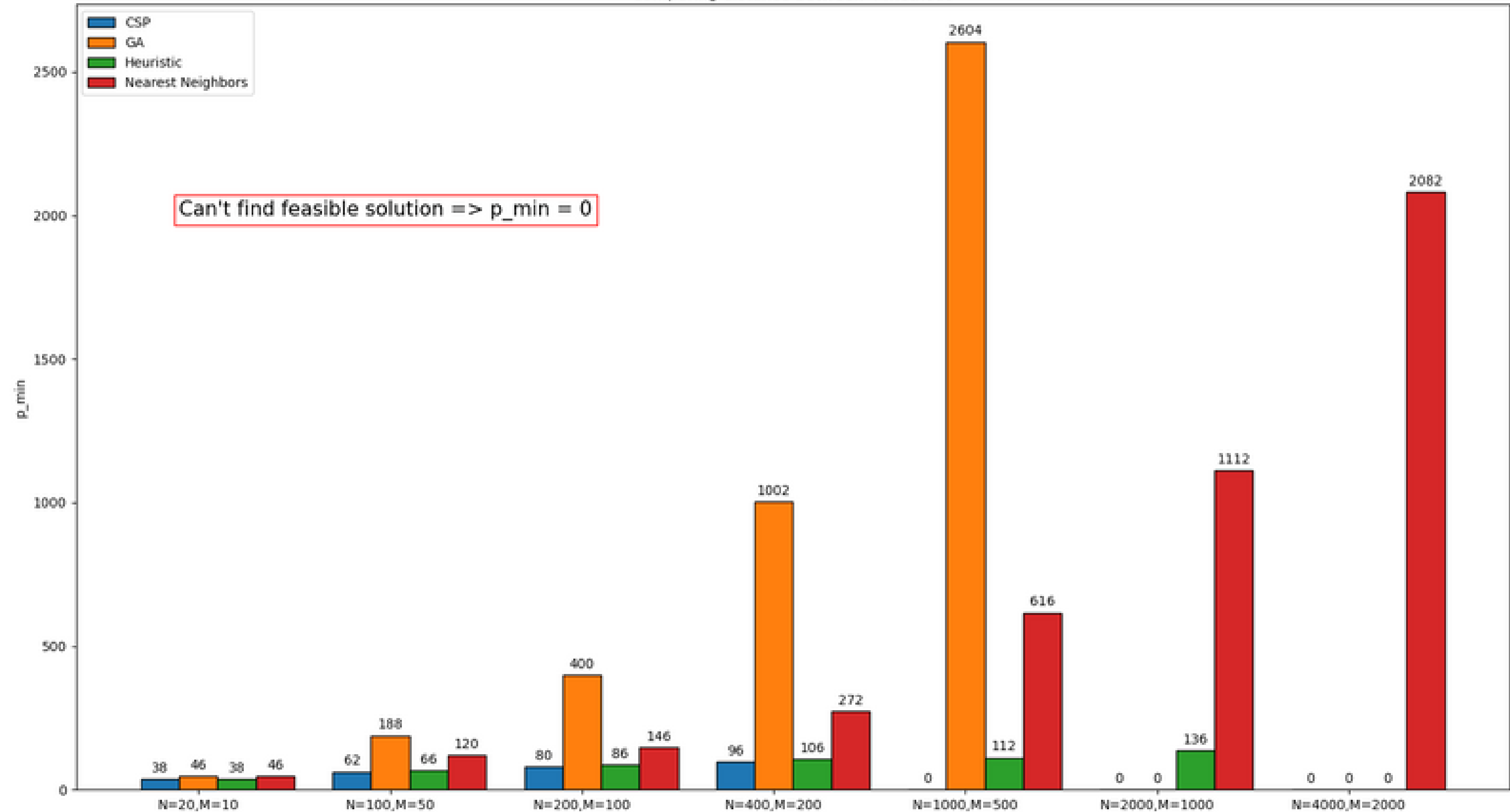


Size	CSP	GA	Heuristic	Nearest Neighbor	Optimal
N = 20 M = 10	Obj: 38 Time: 0.077739	Obj: 46 Time: 0.261499	Obj: 38 Time: 1.021601	Obj: 46 Time: 0.016001	38
N = 100 M = 50	Obj: 62 Time: 3.792506	Obj: 188 Time: 4.068183	Obj: 66 Time: 1.010069	Obj: 120 Time: 0.115547	62
N = 200 M = 100	Obj: 80 Time: 21.09461	Obj: 400 Time: 15.37137	Obj: 86 Time: 1.026791	Obj: 146 Time: 0.384347	80
N = 400 M = 200	Obj: 96 Time: 144.9656	Obj: 1002 Time: 61.61333	Obj: 106 Time: 1.098044	Obj: 272 Time: 1.196475	96
N = 1000 M = 500	Obj: N/A Time: N/A	Obj: 2604 Time: 384.3723	Obj: 112 Time: 1.715063	Obj: 616 Time: 6.712403	112
N = 2000 M = 1000	Obj: N/A Time: N/A	Obj: N/A Time: N/A	Obj: 136 Time: 4.811873	Obj: 1112 Time: 28.83697	136
N = 4000 M = 2000	Obj: N/A Time: N/A	Obj: N/A Time: N/A	Obj: N/A Time: N/A	Obj: 2802 Time: 114.9758	2802

Comparing distance between 4 methods



Comparing distance between 4 methods



# /solution /

CSP



Optimal Solution

Heuristic



Sub-optimal Solution

Nearest Neighbor



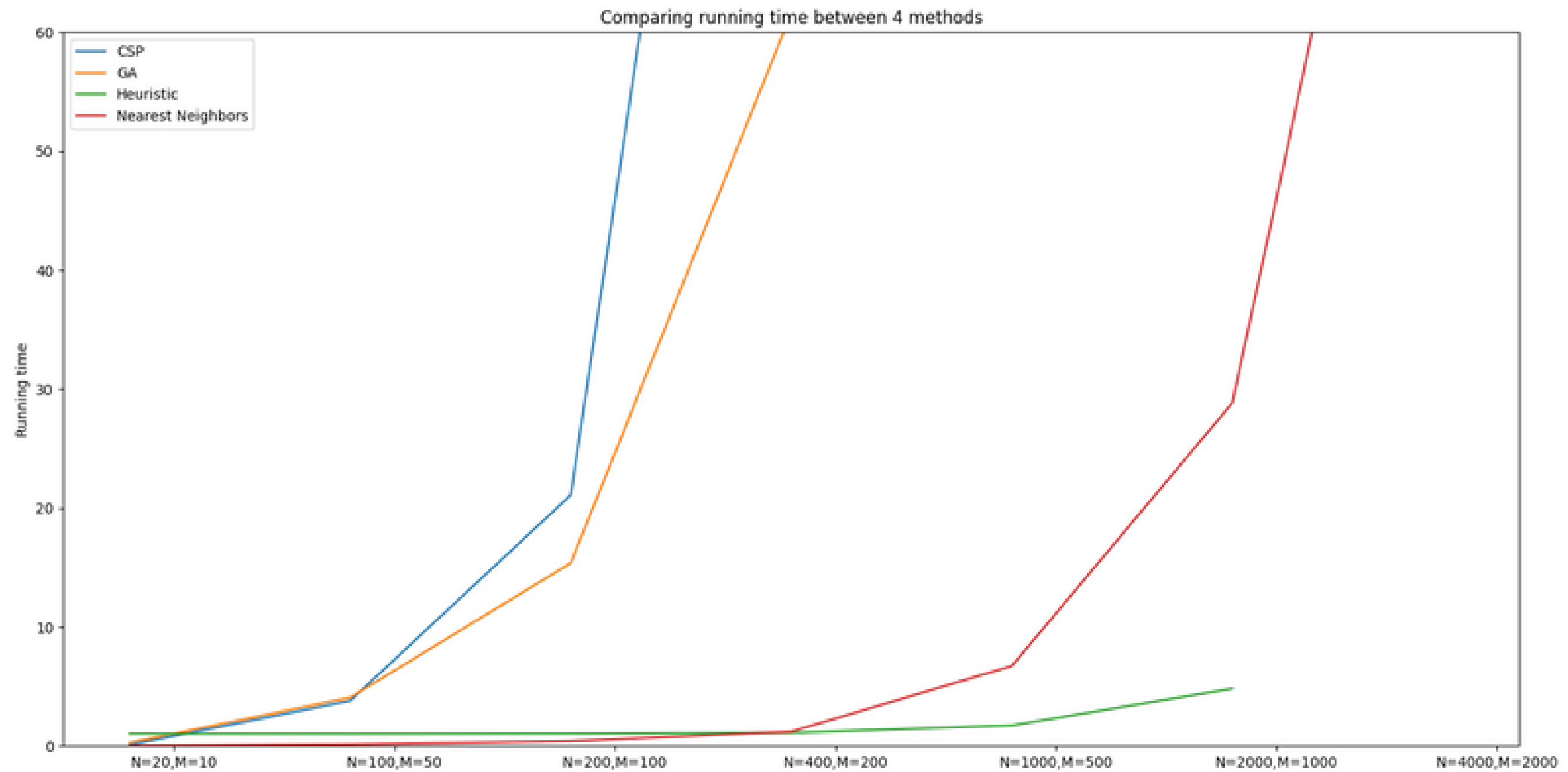
Sub-optimal Solution

GA



Non optimal solution





**Set time limit range up to 60s**

# ***/run time/***

Heuristic



Very fast

Nearest Neighbor



Pretty fast

GA



Depends on generation set

CSP



Very slow

# /difficulty /

Heuristic



Easy to code

Nearest Neighbor



Easy to code

CSP



Medium Difficulty

GA



Medium Difffficulty



# THANKS!