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Universality of Principles Tested by Iterated Learning

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1 Introduction

A very prominent framework within modern Linguistics, in particular when dealing with Syntax, is based on the theory of *Principles and Parameters* (Chomsky and Lasnik, 1993). It suggests an underlying distinction between language attributes which are universal and between those that only appear in specific language families; the former are based on universal *principles*, while the latter are the result of the setting of *parameter* values set during the process of language acquisition.

An example for that distinction can be found when inspecting the high-level dynamics of the structure of sentences. It is understood that in every human language, the semantic value of a sentence depends on the values of its components, which is the idea behind the Compositionality Principle of Syntactic Protection (Webelhuth, 1992; Janssen, 1996; Cann, 1993), defined:

- (1) "The syntactic properties of a complex expression are completely determined by:
 - a. the syntactic properties of its parts, and
 - b. the projection clauses."¹

In contrast, we know that languages differ in the standard word order selected for sentences: While English, for example, mostly prefers a Subject-Verb-Object (SVO) order, Japanese is a Subject-Object-Verb (SOV) language. It has long been assumed that the word order in a particular language is the result of a combination of values of binary parameter settings such as the headedness and specifier parameters (Carnie, 1995; Ayoun, 2005; Fukui, 1993). For the purposes of this work, we will simplify this into a single multiple-choice parameter:

(2) Word Order: SVO / SOV / VSO / VOS / OSV / OVS

The idea of an attribute's universality poses questions as to its scope, its evolvement and its origins. While the Principles and Parameters theory suggests a sort of Evolutional process of human languages, others approach it more skeptically. The *Iterated Learning Model* (Smith et al., 2003; Kirby et al., 2004, 2008) offers such alternative; it shows how a population of very basic learning units, initially non-linguistic in nature, is able to transform a random language into a well-structured and easily-learned language, only by repeating their basic learning procedures enough times (iterations). The common features of the

 $^{^1\}mathrm{Quote}$ taken from Webelhuth (1992, p. 40)

resulting languages can therefore be considered universal, since their formation does not depend on any initial state; they are effectively inevitable.

Kirby (2000) describes such a model for learning words and syntactical structure. The model consists of a population of initial non-linguistic individuals, who take turns trying to articulate some random meaning. If they have the proper knowledge for that meaning, they would use it, and otherwise they would either fail (with higher probability) or invent (utter a random word). The "hearing" individual would then witness the utterance and try to learn from it, employing a grammar of linear rules and a basic rule merging mechanism. Kirby reports remarkable results when running the simulation for an adequate number of iterations (5,000 in this case). After a naive first stage, where individuals made no generalisations and only acquired words directly observed, their collective strategy has shifted to merge as much rules as possible, making their grammars smaller and more efficient. Eventually, the population was able to produce stable, "minimal-length" grammars which allowed them to produce all possible meanings defined in the model. According to Kirby, such efficient minimallength grammars employ a technique of mapping words to the smallest possible semantic values, and combining these words when trying to express a larger, more complex meaning (in this case, a sentence) – effectively implementing the Principle of Compositionality, established above (see (1)). It is therefore shown that Compositionality emerges spontaneously in languages, reinforcing the argument that it is in fact a universal principle. This, unlike word order, which differed from run to run (Kirby, p. 13), supporting that word order is indeed represented by a parameter.

The same effects were reproduced later on human learners, in Kirby et al. (2008): A test subject was presented with random words and their meanings, and were asked later to provide the words for a set of meanings (including some that were never presented). Their output was given to the next subject, simulating an iterated language learning process. Again, the resulting language quickly evolved into one with features of compositionality (in particular, compositional morphology emerged in the invented words) – yet words appeared to be random and differed between simulations.

This work attempts to re-create the simulation from Kirby (2000) by implementing the described model in computer software, and coming up with algorithms for the learning steps that were not specified in the original paper. This would allow us to make observations on the process of Iterated Learning and how it might be affected by various parameters: the choice of learning al-

gorithms, the initial state of individuals, the setting of social dynamics in the population, and probability values. In particular, this work asks whether and how we can test the distinction8 between language attributes that are rooted in universal principles and ones that are results of different parameter values in different languages.

2 The simulation

2.1 Some terms

It would be best to define in advance some terms to be used in the description and discussion of the simulation:

Game A single run of the simulation. When run, the game initiates a population of players and runs them through the defined simulation flow. The output of a game is a stream of data describing the population's state during and at the end of the simulation.

Meaning A unit of semantic value. It it the underlying representation of ideas that can be expressed with language.

For the purpose of this work, we will limit such meanings (as did Kirby (2000)) to sets of values for *Agents*, *Predicates* and *Patients* (meaning parts). As such, the following are examples of possible meanings in the simulation:

$$(3) < Agent = Zoltan, Patient = Mary, Predicate = Knows >$$

$$(4) < Predicate = Knows >$$

Where (4) corresponds to the semantic value of the English verb knows, and an English sentence for (3) is Zoltan knows Mary.

Grammar Rule A mapping between a meaning and a text string (a word). The mapping can either be full (all meaning parts are represented) or partial (with index numbers representing missing parts), i.e.:

$$(5) < Agent = Zoltan > \rightarrow zoltan$$

(6)
$$< Agent = 1, Patient = 2, Predicate = Knows > \rightarrow 1knows2$$

Grammar A set of grammar rules.

Player An individual simulating a language-using human. It has a grammar and is capable of hearing an utterance, trying to learn from it, and trying to produce an utterance for a given meaning.

2.2 The simulation flow

The fundamental workflow of the simulation described in Kirby (2000) (and presented in Figure 1) has been reproduced to follow the same steps and actions. In particular, a game cycles through a certain number of generations. In each generation, one random player is deleted and replaced with a new one, simulating a gradual turnover of the population. The new player is then the subject of an iterated learning procedure, consisting of repeated utterances by one of its two neighbours. On each such iteration, a random neighbour is chosen and attempts to articulate a random meaning to the new player.

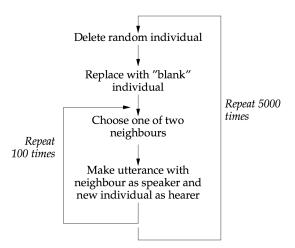


Figure 1: The main loop used in the simulations. (Kirby, 2000, p. 8)

As explained in Kirby (2000), this workflow allows the model to maintain some key features: Each player learns only from utterances produced by its neighbours; the population is ever-changing over time, replaced randomly (without any selection a-la biological evolution); and the probability that one individual will hear all forms for all the possible meanings is vanishingly small.

2.3 Model and implementation assumptions

For implementational reasons, both the original simulation and the one discussed in this work have made some assumptions regarding the model and its attributes. To clarify the features and limits of the discussed model, those are collected here.

2.3.1 Original assumptions (Kirby, 2000)

- 1. Sentence meanings are limited to the form $\langle Agent = \alpha, Patient = \beta, Predicate = \gamma \rangle$ (p. 4).
- 2. The set of possible values for objects (for Agent and Patient) is Mike, John, Mary, Tünde, and Zoltan. The set of possible values for actions (for Predicate) is Loves, Knows, Hates, Likes, and Finds. Meanings with the same values for Agent as Patient are disallowed. Therefore, a total of 100 possible meanings is available in the model (p. 4).
- 3. Sentences cannot be recursive (p. 7).
- 4. Invention can be done partially: If a learner is missing only a part of a meaning, there is a (low) probability that they might choose to invent just that part, and embed it in the known structure (p. 6).

2.3.2 Introduced assumptions

- 1. Full meanings (sentences) are comprised of three parts: Agent, Patient, and Predicate. They are all mandatory.
- 2. Meaning units (whether full or partial) cannot have more than one word assigned to them.
- 3. Generalisations are done on the maximal possible level; a learner would always prefer the most generic rule. This specifically means that word learning is done using a LONGEST COMMON SUBSTRING matching algorithm.
- 4. Generalisations are done naively; similar rules are merged only when they all have an exact common word output (no word difference calculation is done, and no probabilistic negligibility is considered).

2.4 Technical details

The simulation software was written using the Ruby programming language and its standard library². The software code utilises the concepts of Object Oriented Programming to represent the different model entities. The code itself is available in Appendix A, and the basic file structure behind it is described in Table 1.

File	Contents
game.rb	Class representing a Game, handling iterations and info
	collection.
grammar.rb	Class representing a Grammar and its lookup and learn-
	ing methods.
learner.rb	Main (executable) file, running the simulation.
logger.rb	User output utility (logging results and debug informa-
	tion)
meanings.rb	Class representing a Meaning, and the code to generate
	all possible meanings in the model.
player.rb	Class representing a Player and its learning and speak-
	ing methods.
utils.rb	General utilities library (string matching algorithm).
utterance.rb	Class representing an utterance output, conceptually a
	tuple of word (string) and meaning.

Table 1: File structure in simulation code project.

 $^{^2}$ Ruby version 1.9.3 using the official interpreter (MRI): http://ruby-lang.org/

2.5 Algorithms

This section lists some high-level description of the algorithms used in the simulation.

2.5.1 Main flow

As mentioned, the main simulation flow is controlled by the Game class.

Algorithm 1 Game flow

```
1: procedure GAME.PLAY(p)
                                                             \triangleright Play simulation on population p
        i \leftarrow 0
 2:
         while i < \text{generations count do}
 3:
             index \leftarrow \text{random index in } p
 4:
             newborn \leftarrow \text{new Player}
 5:
             p[index] \leftarrow newborn
 6:
             j \leftarrow 0
 7:
             while j < iterations count do
                 speaker \leftarrow \text{random neighbour of } newborn
 9:
                 invent \leftarrow probabilistic decision whether invention is possible
10:
                 m \leftarrow \text{random meaning}
11:
                 u \leftarrow speaker.speak(m, invent)
12:
                 newborn.learn(u)
13:
                 j \leftarrow j + 1
14:
             end while
15:
             i \leftarrow i + 1
16:
         end while
18: end procedure
```

2.5.2 Meaning lookup and utterance

On each iteration, a player is requested to utter a meaning. This triggers a recursive lookup in the speaker's grammar for the meaning and any of its semantic parts.

Algorithm 2 Player speak

```
1: function PLAYER.SPEAK(m, invent) \triangleright Speak meaning m, inventing iff invent
       word \leftarrow \text{NULL}
 2:
       if m is not empty then
 3:
           rules \leftarrow player.grammar.LOOKUP(meaning)
 4:
           if rules is empty then
 5:
               if invent then
 6:
                   word \leftarrow \text{random utterance}
               end if
 8:
9:
           else
10:
               for each rule in rules do
                   if rule has no variables then
11:
                      word \leftarrow rule.word
12:
                   else
                                    ▷ Rule has missing variables to lookup recursively
13:
                      for each missing variable part in rule do
14:
                          res \leftarrow player.Speak(part)
15:
                          if res is not empty then
16:
                              rule \leftarrow rule embedded with res
17:
                                      ▶ Recursive lookup failed, break loop and return
                          else
18:
19:
                              break
                          end if
20:
                      end for
21:
                      if rule has no variables then

⊳ Successful recursive lookup

22:
                          word \leftarrow rule.word
23:
                      end if
24:
                   end if
25:
               end for
26:
           end if
27:
       end if
28:
       return word
29:
30: end function
```

2.5.3 Player learning

The hearing side of the utterance triggers a learning process that consists of two main parts: *Incorporation* (creating a new rule for the utterance and its meaning and adding it as-is to the grammar) and *Merging* (trying to compress similar rules).

Algorithm 3 Player learn

```
1: procedure PLAYER.LEARN(m, w) > Learn utterance w with meaning m
2: rule \leftarrow \text{New Rule } "m \rightarrow w"
3: player.grammar.add(rule)
4: player.grammar.\text{MERGE}(rule)
5: player.grammar.\text{GRAMMAR.SPLITSINGLEPARTRULES}
6: player.grammar.\text{CLEAN}
7: end procedure
```

Algorithm 4 Grammar merge

```
1: procedure Grammar.Merge(r)
                                                            \triangleright Merge grammar rules with r
        for each part in rule.meaning do
 2:
                            \triangleright Fetch rules with exact match or missing variable in part
 3:
            rules \leftarrow \text{all rules matching } part
 4:
            if rules has more than one rule then
 5:
                word \leftarrow \text{Longest Common Substring(words in } rules)
               if word is not empty then
 7:
                   for each r in rules do
 8:
                       index \leftarrow unique digit
 9:
                                                                           ▷ Generalise Rule
10:
                       r.meaning[part] \leftarrow index
11:
                       r.word \leftarrow r.word with r.word replaced by index
12:
                   end for
13:
                   rule \leftarrow \text{New Rule "}part \rightarrow word"
14:
                   grammar.add(rule)
15:
                end if
16:
17:
            end if
        end for
18:
19: end procedure
```

The rules compression is continued in Grammar. SplitSinglePartRules by finding rules that deal with only a single meaning part (such as (7)) and splitting them into two rules (see (8a) and (8b)). This simulates the comprehension that such rules can be fully generalised by dealing with meaning apart from structure.

```
(7) < part = 1, other = 2, food = Banana > \rightarrow 1moz 2
```

```
(8) a. < food = Banana > \rightarrow moz
b. < part = 1, other = 2, food = 3 \rightarrow 132 >
```

Algorithm 5 Grammar split single part rules

```
1: procedure Grammar. SplitSinglePartRules
                                                                    ▷ Split single part rules
        for each rule in grammar rules do
 2:
            if rule has only single part then
 3:
 4:
               part \leftarrow rule's known part
                                                  ▶ New rule for the single-part meaning
 5:
                                                                      \triangleright Word sans variables
               word \leftarrow rule.word.literal
 6:
               r \leftarrow \text{New rule "part} \rightarrow word"
 7:
 8:
                garmmar.add(r)
                                                                  ▷ Generalise original rule
 9:
               index \leftarrow unique digit
10:
               rule.meaning[part] \leftarrow index
11:
               rule.word \leftarrow rule.word with rule.word replaced by index
12:
            end if
13:
        end for
14:
15: end procedure
```

One thing that was discovered while developing the simulation software, is the spontaneous creation of impossibly recursive rules, such as:

$$(9) < part = 1 > \rightarrow 1a$$

The generation of such rules can be easily explained when we consider the random nature of the invention of new words versus the linear nature of the merging mechanism (which looks for exact matches of substrings).

However, these rules cannot carry any useful information, and will only cause the lookup recursive in Player. Speak to enter an endless loop. Therefore, such rules are searched for and deleted in Grammar. Clean.

Algorithm 6 Grammar cleaning 1: procedure GRAMMAR.CLEAN ▷ Clean grammar for each rule in grammar rules do 2: if rule has a single part part then 3: if rule[part] is a variable then 4: delete rule5: end if 6: end if 7: end for 8: 9: end procedure

2.6 Differences from Kirby 1998

Kirby (1998) describes a similar simulation for an Iterated Learning Model, with goals and results corresponding to the high-level description in Kirby (2000). However, that simulation differs from the implementation discussed in this work, in the following ways:

- 1. Kirby (1998) simulates noise by a 1:1000 probability of the speaker transmitting a random utterance (regardless of target meaning or the speaker's knowledge). However, trying to generate noise similarly for this work showed no significant effect on the results.
- 2. When a speaker lacks grammar rules for some meaning, they sometimes generate a random utterance (in both simulations), and that utterance is learned by the hearer. In Kirby (1998), the speaker also goes through such learning. For implementational reasons, this was omitted, considering that such process would probably have little effect (since grammar growth within a generation is measured mostly on the hearing player side).
- 3. In Kirby (1998), every grammar started out with rules for each of the alphabet terminals. This is described as a technical requirement, which was not required for this simulation. This also has the effect (in Kirby (1998, 2000)) of players having a larger number of grammar rules than of possible meanings in the first stage of the simulation.

4. The Kirby (1998) simulation handled nouns (*Objects*) once, allowing them to appear both as *Patients* and *Agents*. This was not reproduced in this work, which treated, for example, < *Patient* = *Mary* > as a completely different entity than < *Agent* = *Mary* >.

3 Results

The simulation was run several times using a default set of parameters, aimed at reproducing the Kirby (2000) tests: A population size of 10 players, invention probability of 1:50, over 5,000 generations with 100 learning iterations per generation. Population averages of grammar size³ and meanings count⁴ were measured at the end of each generation.

All of these runs showed consistent results in relation to one another and compared to the expectations detailed in Section 1 (Introduction). Notably, the simulated population went through a process of first mapping words to full meanings (sentences), and then gradually a compositional structure emerged in their grammar. After a certain amount of generations (roughly around 4,000 generations), the average grammar evolved into a minimal-length grammar and meanings count was virtually 100% of possible meanings.

3.1 Results with different parameters

Since the software was designed to allow users to change the value of most numeric parameters, it was easy to test the difference in results for different values of these parameters. Testing the results after changing each value reaffirmed the simulation's relation with its model:

Population size Changing the size of the population caused little surprises:

Smaller populations had more trouble to learn from each other, and it took more generations to achieve an efficient minimal-length grammar. Similarly, increasing the population size allowed for an expedited learning process.

Number of learning iterations As to be expected, increasing the number of iterations a new player is exposed to utterances helped this player to perfect its grammar, resulting in a quicker learning process.

³Number of rules in a player's grammar.

⁴Number of meanings (of those possible in the model) that a player can express without invention.

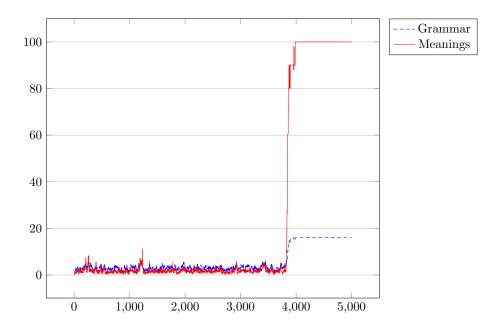


Figure 2: Population average of size and meanings over a typical run of the simulation. Note that circa the 4,000th generation, meanings count climbs to 100, while grammar size stabilises around 16.

Probability of invention Increased likelihood of invention means significantly more data to be processed in the early stages (when players have no linguistic knowledge). However, this also creates noise in the form of words that cannot be merged. That is why changing the probability value did little to affect the results of the simulation.

4 Discussion

As mentioned and can be seen in Figure 2, all runs of the simulation were alike in their final outcome, in that they involved minimal-length grammars that relies on compositionality to allow the speaker to express a wide selection of semantic values, including those that it was not exposed to. For example, while players in the first stage of the simulation (before the emergence of such minimal grammars) used a grammar like that in (10), the 'smarter', more evolved players, used a compositional grammar that is much more expressive, such as the one in (11).

(10) a.
$$< Agent = Mike, Patient = Mary, Predicate = Finds > \rightarrow dbacd$$
 b. $< Agent = Mike, Patient = Mary, Predicate = Likes > \rightarrow dbbbcd$ c. $< Agent = Mike, Patient = John, Predicate = Likes > \rightarrow cbbbcd$ d. $< Agent = Mike, Patient = John, Predicate = Finds > \rightarrow cbacd$ e. $< Agent = Zoltan, Patient = Mary, Predicate = Finds > \rightarrow dbaddd$ f. $< Agent = Zoltan, Patient = Mary, Predicate = Likes > \rightarrow dbbbddd$ (11) a. $< Agent = 1, Patient = 2, Predicate = 3 > \rightarrow 231$ b. $< Agent = Mike > \rightarrow cd$ c. $< Agent = Zoltan > \rightarrow ddd$ d. $< Predicate = Likes > \rightarrow b$ e. $< Predicate = Finds > \rightarrow a$

Such behaviour is consistent with the one described in Kirby (2000), and reaffirms the claim that indeed, the Compositionality Principle of Syntactic Protection (defined in (1)) emerges spontaneously in languages, and therefore can be considered as universal.

f. $< Patient = Mary > \rightarrow db$

g. $< Patient = John > \rightarrow cb$

In contrast, when inspecting the word order property of the emerging languages, it is clear that such property is not at all persistent. In the simulation results, the word order is defined by a single grammar rule similar to (11a). The order of the index numbers (which correspond to semantic parts) ultimately sets the order of elements in a full sentence. The resulting grammars in each simulation run were indeed different in that particular rule. This is presumably due to the fact that the first newly introduced words, which are random strings, represent full sentences (as seen in (10)), and those are later broken down to parts by Grammar. Merge. This heterogeneous nature of emerging word order in the simulation results matches our hypothesis and confirms that word order is not rooted in the basic nature of language or its learning process. In fact

it depends on the environment in which the learning is done, which affects the first invented words and the quality of rule merging.

In summary, simulating language learning using an Iterated Learning model allows us to distinguish between language features which are inevitable and those that are local to a specific environment and are subject to change. We have used this observation to reaffirm the universality of compositionality versus the locality of word order, as assumed by the theory of Principles and Parameters.

Further research can and should be done studying other language features and behaviours. Hopefully the simulation software and algorithms can be used to verify the universality of other linguistic features; perhaps additional syntactic analysis tools would allow the inspection of the principles of the Government and Binding theory (Chomsky, 1993). It might also be interesting (although probably more challenging) to simulate the development of phonological features and study the emerging order of constraints assumed in the Optimality Theory (Prince and Smolensky, 2008). Additionally, it will be interesting to introduce to the simulation further variables representing the environment, and experiment with which of these control which parameters.

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Appendix A Simulation source code

Listing 1: code/game.rb

```
1 require './logger'
  require './player'
4 class Game
5
     attr_accessor :population
6
7
     def initialize(options)
       @options = options
8
9
10
       @generation = 0
11
12
        init_population(@options[:population])
13
     end
14
15
     def play(generations=nil, iterations = nil)
       generations ||= @options[:generations]
16
17
18
       generations.times do
19
          @generation+= 1
20
          play_step(iterations)
21
        end
22
23
       MyLogger.debug "Population: □#{population}"
24
25
26
     def grammars
27
       population.map do |player|
28
          player.grammar
29
       end
30
     end
31
32
     private
33
34
     def init_population(size)
35
       self.population = []
36
       size.times do
37
          spawn_player
38
       end
39
     end
40
41
     def play_step(iterations = nil)
        iterations ||= @options[:iterations]
42
```

```
43
44
       # Replace a random player
45
       index = random player index
       population[index] = Player.new(@options[:probability])
46
47
48
       iterations.times do |i|
49
          speaker = population[random_neighbor_index(index)]
50
          utterance = speaker.speak(Meanings.sample)
51
          if utterance # something was said
52
            population[index].learn(utterance)
53
          end
54
55
          log_info(i) if @options[:print_after] == :iteration
56
       end
57
       log_info if @options[:print_after] == :generation
58
59
60
       population.each do |player|
61
         player.age+= 1
62
       end
63
     end
64
65
     def random_player_index
66
       rand(population.size)
67
     end
68
69
     def random_neighbor_index(index)
70
       direction = [+1, -1].sample
71
       (index + direction) % population.size
72
     end
73
74
     def spawn_player
75
       population << Player.new(@options[:probability])</pre>
76
     end
77
78
     def average_grammar_size
79
       sizes = grammars.map(&:size)
80
       sizes.inject(:+).to_f / population.size
81
     end
82
83
     def average_meaning_count
84
       sizes = population.map(&:meaning_count)
85
       sizes.inject(:+).to_f / population.size
86
     end
87
88
     def log_info(iteration = nil)
```

```
89
        info = []
90
        info << "g#%4d" % @generation
        (info << "i#%3d" % iteration) if iteration
91
92
        if @options[:print_grammar_size]
93
          info << "grammar: \"%5.1f" % average_grammar_size
94
        end
95
        if @options[:print_meaning_count]
          96
97
98
        MyLogger.info info.join("\t")
99
      end
100
   end
                      Listing 2: code/grammar.rb
   require './utils'
   require './meanings'
 3
   class Grammar < Hash
 4
 5
      class Rule
 6
        attr_accessor :meaning, :word
 7
        def initialize(meaning, word)
 9
          self.meaning = meaning.clone
10
          self.word
                     = word.clone
11
12
          @_last_index = 0
13
        end
14
15
        # generalise part with a new index
        def generalise_part!(part, new_word)
16
17
          index = generate_index
18
          meaning[part] = index
19
          word.sub! new_word, index.to_s
20
        end
21
22
        # embed new word in part, replacing index
23
        def embed!(part, index, new word)
24
          self.meaning[part] = :embedded
25
          self.word = word.sub(index.to_s, new_word)
26
        end
27
28
        # literal (non-digits) part of word
29
        def literal
          word.gsub(/[0-9]/, '')
30
31
        end
32
```

```
33
       # deep clone
34
        def clone
35
          super.tap do |rule|
36
            rule.meaning = self.meaning.clone
37
38
        end
39
40
        def to s
          "#{meaning}_{\sqcup}->_{\sqcup}'#{word}'"
41
42
        end
43
44
        private
45
        def generate_index
46
          @_last_index+= 1
47
        end
48
      end
49
50
     # learn a new rule
51
      def learn(meaning, word=nil)
52
        rule = nil
53
54
        if meaning.is_a? Rule
          rule = meaning
55
56
        elsif word
57
          rule = Rule.new(meaning, word)
58
        end
59
60
        add_rule(rule) unless rule.nil?
61
      end
62
     # find all rules with same part=meaning
63
64
     def with(part, meaning)
65
        values.select do |rule|
66
          rule.meaning[part] == meaning
67
        end
68
      end
69
70
     # merge parts of a given rule
71
      def merge(rule)
72
        rule.meaning.each do | part, meaning |
73
          if rule.meaning.has?(part)
74
            new_rule = merge_part(rule.meaning[part], part)
75
            learn(new_rule) unless new_rule.nil?
76
          end
77
        end
78
      end
```

```
79
 80
      def clean!
 81
         new rules = []
82
 83
         each do | key, rule |
 84
           # split single-part rules
85
           if rule.meaning.single_part?
 86
             new rules << split single rule(rule)</pre>
 87
 88
89
           # remove unrealistic recursive rules "1 -; 1a"
90
           if rule.meaning.known_parts.count == 0
91
             if rule.meaning.unknown_parts.count <= 1</pre>
92
               delete rule rule
93
             end
94
           end
95
         end
96
97
         new_rules.each do |rule|
98
           learn rule
99
         end
100
      end
101
102
      # find all rules matching a meaning
103
      def lookup(target)
104
         select do |key, rule|
105
           target.matches?(rule.meaning)
106
         end.values
107
      end
108
109
      private
110
      # add a rule to grammar
111
112
      def add_rule rule
         self[rule.meaning.to_sym] = rule
113
114
      end
115
116
      # remove a rule from grammar
117
      def delete rule rule
118
         delete rule.meaning.to_sym
119
      end
120
121
      # merge all rules with same part=meaning
122
      def merge_part(meaning, part)
123
         rules = with(part, meaning)
124
```

```
125
        if rules.count > 1
126
          words = rules.map { |r| r.word }
          new word = Utils.longest common substr words, /[0-9]/
127
128
129
          unless new word.empty?
130
            # generalise that part in all rules
131
            rules.each do |r|
132
               delete rule(r)
133
               r.generalise_part! part, new_word
134
               add rule(r)
135
            end
136
            # create new rule for that part=meaning
137
138
            new meaning = Meaning.new
139
            new_meaning[part] = meaning
            Rule.new(new meaning, new word)
140
141
          end
142
        end
143
      end
144
145
      # split a single-part rule
146
          part=1, other=2, food=Banana -; 1moz2
147
      # into two rules: one for meaning, one for structure
148
          food=Banana -; 1moz2
149
          part=1, other=2, food=3 -; 132
150
      def split_single_rule rule
151
        # rule has a single part
152
        part = rule.meaning.known_parts.first
153
154
        # new rule for the single meaning
        new_word = rule.literal # ('moz')
155
156
        new_meaning = Meaning.new # (food=Banana)
        new_meaning[part] = rule.meaning[part]
157
158
        new_rule = Rule.new(new_meaning, new_word) # (food=Banana -; moz)
159
        add rule(new rule)
160
161
        # generalize the original rule
162
        \# (part=1, other=2, food=3 -; 132)
163
        rule.generalise_part! part, new_word
164
165
      end
166
    end
                        Listing 3: code/learner.rb
 1 #!/usr/bin/env ruby
```

```
3 require './logger'
 4 require './game'
 5 require './grammar'
6 require './meanings'
8 require 'optparse'
9 \text{ options} = \{
10
    :population => 10,
11
     :generations => 5000,
12
   :iterations => 100,
     :probability => 0.02,
     :print_grammars => false,
14
     :print_after => :generation,
15
16
     :print grammar size => true,
17
      :print_meaning_count => false,
18 }
19 OptionParser.new do |opts|
20
     opts.banner = "Usage: □learner.rb □ [options]"
21
22
      opts.on("-p_{\sqcup}N", "--population_{\sqcup}N", Integer,
23
               "Set population size") do |v|
24
        options[:population] = v
25
      end
26
27
      opts.on("-g_{\sqcup}N", "--generations_{\sqcup}N", Integer,
28
               "Set generations count") do |v|
29
        options[:generations] = v
30
31
32
      opts.on("-i<sub>\square</sub>N", "--iterations_{\square}N", Integer,
33
              "Set_iterations_count_(for_each_generation)") do |v|
34
        options[:iterations] = v
35
      end
36
37
      opts.on("--probability_{\sqcup}N", Float,
38
              "Set_invention_probability") do |p|
39
        options[:probability] = p
40
      end
41
42
      opts.on("-d", "--debug",
43
               "Show debug messages") do |debug|
44
        require 'pry'
45
        options[:debug] = true
46
        MyLogger.level = Logger::DEBUG
47
      end
48
```

```
49
     opts.on("--[no-]print-grammar-size",
50
              "Print_grammar_sizes_on_each_info_log") do |v|
51
       options[:print grammar size] = v
52
     end
53
54
     opts.on("--[no-]print-meaning-count",
55
              "Print_meaning_counts_on_each_info_log") do |v|
56
       options[:print meaning count] = v
57
     end
58
59
     opts.on("--print-after_[iteration|geneation]",
60
            "Set_info_log_timing") do |v|
       options[:print_after] = :iteration if v == 'iteration'
61
62
     end
63
64
     opts.on("--print-grammars",
65
             "Print inal igrammars") do | print grammars |
66
       options[:print_grammars] = true
67
     end
68
   end.parse!
69
70 game = Game.new(options)
71 game.play
72
73 if options[:print grammars]
    puts game.grammars
75 end
76
77 if options[:debug]
78
    binding.pry
79 end
                       Listing 4: code/logger.rb
1 require 'logger' # Ruby's Logger
3 MyLogger = Logger.new(STDOUT)
5 MyLogger.formatter = proc do |severity, datetime, progname, msg|
6
    "[#{severity}]_#{msg}\n"
7
9 MyLogger.level = Logger::INFO
                      Listing 5: code/meanings.rb
 1 # encoding: UTF-8
```

```
3
   class Meaning
     Categories = [:agent, :predicate, :patient]
5
6
     Categories.each do |cat|
7
       attr_accessor cat
8
     end
9
10
     def initialize(agent = nil, predicate = nil, patient = nil)
11
       self.agent
                      = agent
12
       self.predicate = predicate
       self.patient
                      = patient
13
14
     end
15
     def values
16
17
18
         :agent => agent,
19
         :predicate => predicate,
20
         :patient => patient,
       }
21
22
     end
23
24
     def [](part)
25
       values[part.to_sym]
26
     end
27
28
     def []=(part, value)
29
       send("#{part}=", value) if Categories.include? part
30
     end
31
32
     def each(&block)
33
       values.each(&block)
34
     end
35
36
     def has?(part)
37
       !values[part].nil?
38
39
40
     def missing?(part)
       values[part].is_a? Numeric
41
42
     end
43
44
     def matches?(other)
45
       values.keys.inject(true) do |mem, key|
46
          mem && matches_part?(other, key)
47
       end
```

```
48
     end
49
     def full?
50
51
       !empty? && missing_parts.count == 0
52
53
54
     def partial?
55
       !empty? && missing parts.count > 0
56
57
58
     def empty?
59
       values.keys.inject(true) do |res, part|
60
          res && !has?(part)
61
        end
62
     end
63
64
     def missing_parts
65
       values.keys.inject({}) do |res, part|
          res[values[part]] = part if missing?(part)
66
67
68
       end
69
     end
70
71
     def known_parts
72
       values.keys.inject([]) do |res, part|
73
          res << part if has?(part) && !missing?(part)
74
          res
75
       end
76
     end
77
78
     def unknown_parts
79
       values.keys.inject([]) do |res, part|
80
          res << part if has?(part) && missing?(part)
81
          res
82
       end
83
     end
84
85
     def single_part?
86
       partial? && known_parts.count == 1
87
     end
88
89
     def to_s(include_missing = true)
90
       values.keys.inject([]) do |res, part|
          value = values[part]
91
92
          unless value.nil?
            res << "#{part}=#{value}" if include_missing || !missing?(part)</pre>
93
```

```
94
           end
95
           res
96
        end.join(',')
97
      end
98
99
      def to_sym
100
        to_s(false).to_sym
101
      end
102
103
      private
104
      def matches_part?(other, part)
105
         (has?(part) && other.missing?(part)) ||
106
           other[part] == self[part]
107
      end
108
    end
109
110 MeaningObjects = [
      :Mike, :John, :Mary, :'Tu⊔ÌĹnde', :Zoltan
111
112
113
114 MeaningActions = [
115
      :Loves, :Knows, :Hates, :Likes, :Finds
116 ]
117
118 Meanings = []
119
120 MeaningObjects.each do |agent|
121
      MeaningActions.each do | predicate |
122
        (MeaningObjects - [agent]).each do |patient|
123
           Meanings << Meaning.new(agent, predicate, patient)</pre>
124
        end
125
      end
126 end
                        Listing 6: code/player.rb
 1 require './logger'
 2 require './grammar'
 3 require './meanings'
 4 require './utterance'
 5
 6 class Player
 7
      attr_accessor :id
 8
      attr_accessor :age
 9
      attr_accessor :grammar
 10
      Alphabet = [ 'a', 'b', 'c', 'd']
 11
```

```
12
13
      def initialize(probability)
14
        self.id = Player.generate_id
15
        self.grammar = Grammar.new
16
        self.age = 0
17
18
        @probability = probability
19
      end
20
21
     # (try to) articulate a given meaning
22
      def speak meaning
23
        MyLogger.debug "Playeru##{id}uspeakingu#{meaning}"
24
        word = lookup(meaning, should_invent?)
25
        Utterance.new(meaning, word) unless word.nil?
26
      end
27
28
     # learn from a neighbour's utterance
29
      def learn utterance
        \texttt{MyLogger.debug "Player} \bot \# \{ \texttt{id} \} \bot \texttt{learning} \bot \# \{ \texttt{utterance} \} \texttt{"}
30
31
        # 1. Incorporation
32
        rule = grammar.learn utterance.meaning, utterance.word
33
        # 2. Merging
34
        grammar.merge rule if rule
35
        # 3. Cleaning
36
        grammar.clean!
37
      end
38
39
     # count possible meanings available
      def meaning_count
40
41
        Meanings.inject(0) do |count, m|
42
          count+= 1 if can_speak?(m)
43
          count
44
        end
45
      end
46
47
      def to_s
48
        "<Player_##{id}_age:#{age}_" +
49
        "grammar.size:#{grammar.count}>"
50
51
52
      def self.generate_id
53
        @_last_id ||= 0
54
        @_last_id+= 1
55
      end
56
57
      private
```

```
58
59
      # whether to invent a new word
60
      def should invent?
61
        rand(100) < @probability * 100
62
63
64
      # utter a random word
65
      def utter randomly
66
        length = Utterance::MinLength +
67
          rand(Utterance::MaxLength - Utterance::MinLength)
68
        (0...length).map{ Alphabet.sample }.join
69
      end
70
71
      # is meaning possible thru grammar
72
      def can_speak?(meaning)
73
        !lookup(meaning, false).nil?
74
      end
75
76
      # return word representing meaning, if available
77
      def lookup(meaning, should invent=false)
78
        word = nil
79
        unless meaning.empty?
80
          rules = grammar.lookup(meaning)
81
          if rules.empty?
82
             if should invent
83
              word = utter randomly
84
              # self.learn Utterance.new meaning, word
85
             end
86
          else
87
            rules.sort_by! do |rule|
88
               rule.meaning.missing parts.count
89
             end.reverse!
90
            rules.each do |rule|
91
               if rule.meaning.full?
92
                 word = rule.word
93
                 break
94
               else
95
                 current = rule.clone
96
                 current.meaning.missing_parts.each do |index, part|
97
                   required = Meaning.new
98
                   required[part] = meaning[part]
99
                   res = lookup(required, should_invent)
100
                   if res.nil?
                     word = nil
101
102
                     break
103
                   else
```

```
104
                      current.embed!(part, index, res)
105
                   end
106
                 end
107
                 if current.meaning.full?
108
                   word = current.word
109
                   break
110
                 end
111
               end
112
             end
113
           end
114
        end
115
        word
116
      end
117
   end
                         Listing 7: code/utils.rb
    class Utils
      \# adopted from http://stackoverflow.com/a/2158481/107085
 3
      def self.longest common substr(strings, disallow = nil)
         shortest = strings.min_by(&:length)
 4
        maxlen = shortest.length
 5
        maxlen.downto(0) do |len|
 6
 7
           0.upto(maxlen - len) do |start|
 8
             substr = shortest[start, len]
             if disallow.nil? || (substr = disallow) == nil
 9
               return substr if strings.all? { |str| str.include? substr }
 10
 11
             end
 12
           end
 13
         end
 14
      end
 15
    end
                       Listing 8: code/utterance.rb
    require './meanings.rb'
 2
 3
    class Utterance
      MinLength = Meaning::Categories.length
 4
 5
      MaxLength = 8
 6
 7
      attr_accessor :meaning
      attr_accessor :word
 8
 9
 10
      def initialize(meaning, word)
 11
        self.meaning = meaning
        self.word = word
 12
```

```
\begin{array}{lll} 13 & & \texttt{end} \\ 14 & & \\ 15 & & \texttt{def to\_s} \\ 16 & & "`\#\{\texttt{word}\}`_{\sqcup}(\#\{\texttt{meaning}\})" \\ 17 & & \texttt{end} \\ 18 & & \texttt{end} \\ \end{array}
```