# Efficient Algorithms for Computing Modular Exponentiation

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#### **Abstract**

Computing  $a^x \mod n$  and  $a^x b^y \mod n$  for very large x, y, and n are fundamental to the efficiency of almost all public key cryptosystems and digital signature schemes. Efficient algorithms for computing  $a^x \mod n$  and  $a^x b^y \mod n$ , are presented in this talk. All algorithms are simple and only a small number of additional spaces are required to store the precomputed values. These algorithms are suitable for devices with low computational power and limited storage, such as smart cards.

# Algorithm for Computing $a^x \mod n$

```
Output: c = a^x \mod n

let x = (x_{m-1}, x_{m-2}, \dots, x_0)_2;
c = 1;
for (i = m - 1; i \ge 0; i = i - 1) {
c = c^2 \mod n;
if (x_i \ne 0) c = (c \times a) \mod n;
}
print c;
```

Input: a, x, and n

An Example:  $c = a^{149} \mod n$ 

x = 149	1	0	0	1	0	1	0	1
×	a	_	_	a	-	a	_	a
$\overline{c}$	a	$a^2$	$a^4$	$a^9$	$a^{18}$	$a^{37}$	a <sup>74</sup>	a <sup>149</sup>

- 1. squaring  $(c^2 \mod n)$ :  $m = \lfloor \log x \rfloor + 1$ , the size of x.
- 2. multiplication  $(c \times a \mod n)$ : h(x), number of 1's in the binary code of x.

# Algorithm for Computing $a^x b^y \mod n$

Input: a, x, b, y, and n

Output:  $c = a^x b^y \mod n$ 

```
let x = (x_{m-1}, x_{m-2}, \dots, x_0)_2;
let y = (y_{m-1}, y_{m-2}, \dots, y_0)_2;
compute and store the value of a \times b \mod n;
c = 1;
for (i = m - 1; i \ge 0; i = i - 1) {
   c = c^2 \mod n:
   if (x_i \neq 0 \text{ or } y_i \neq 0) c = (c \times a^{x_i}b^{y_i}) \text{ mod } n;
print c;
```

**An Example:**  $c = a^{149}b^{170} \mod n$ 

x = 149	1	O	O	1	0	1	0	1
y = 170	1	O	1	0	1	0	1	Ο
×	ab	-	b	a	b	a	b	$\overline{a}$
$\overline{c}$	ab	$a^2b^2$	$a^4b^5$	$a^{9}b^{10}$	$a^{18}b^{21}$	$a^{37}b^{42}$	$a^{74}b^{85}$	$a^{149}b^{170}$

1. squaring:

$$m = \lfloor \log x \rfloor + 1$$

2. multiplication:

h(x,y), the joint Hamming weight of x and y.

#### **Reduce Computational Cost**

Assume that the best known algorithm has been used in computing the group multiplications.

The algorithm needs

- 1.  $m = \lfloor \log x \rfloor + 1$  squarings
- 2. h(x) or h(x,y) multiplications.

The goal is to reduce the number of multiplications.

# Methods to Reduce h(x) and h(x,y)

#### 1. $a^x \mod n$

Try to use different codes with smaller h(x).

For example, use binary-signed-digit code, instead of binary code.

#### 2. $a^x b^y \mod n$

Try to align more non-zero digits by choosing different binary-signed-digit codes.

For example, (1) DJM method, and (2) Solinas' method.

#### Signed-digit Code for the Exponents

Binary code for an integer is unique.

Encode the exponents with  $\{-1,0,1\}$ , instead of  $\{0,1\}$ , can reduce the number of non-zero bits.

For example,  $15 = (01111)_2 = (1000\overline{1})_{\overline{2}}$ .

# Computing $a^x \mod n$

In binary code, the average Hamming weight of x,  $\bar{h}(x) = m/2$ , where  $m = \lfloor \log x \rfloor + 1$  is the number of bits in the binary code of x.

The signed-digit code of an integer is not unique.

There are algorithms for computing *optimal signed-digit code*, a code with minimum weight, of x.

It can be shown that the average Hamming weight for optimal sign-digit code of x is  $\bar{h}(x) = m/3$ .

However, inverses are required if the exponents are coded by  $\{-1,0,1\}$ .

Therefore, it is feasible only when the inverses have been precomputed, or when computing inverses are almost free, e. g., the additive group generated by an elliptic curve.

# Computing $a^x b^y \mod n$

Assume that both x and y are coded in optimal signed-digit code.

The probability of  $x_i \neq 0$  or  $y_i \neq 0$  is  $(1 - (2/3)^2)m = (5/9)m \approx 0.556m$ .

In 2000, Dimitrov, Jullien, and Miller proposed rules to re-code the exponents x and y into x' and y'.

								<b>1</b> 01
•								010
$\overline{x}'$	010	010	010	010	011	011	$0\overline{1}\overline{1}$	011
y'	011	011	011	011	010	010	010	010

They showed that the average joint Hamming weight after re-coding is  $\bar{h}(x',y')\approx 0.534m$ .

### Codes with Minimum Joint Hamming Weight

In 2001, Solinas defined *joint sparse* form for x and y.

1. In any 3 consecutive columns of the bits of x and y,

2. Adjacent bits do not have opposite signs:

$$x_i x_{i-1} \neq -1, \quad y_i y_{i-1} \neq -1.$$

3. If  $x_i x_{i-1} \neq 0$ , then  $y_i \neq 0$  and  $y_{i-1} = 0$ .

If 
$$y_i y_{i-1} \neq 0$$
, then  $x_i \neq 0$  and  $x_{i-1} = 0$ .

#### The Optimality of Join Space From

Solinas presented algorithms for converting pair of integers into joint sparse form.

They show that the average joint Hamming weight of two integers in joint sparse form  $\bar{h}(x',y') \to 0.5m$  as  $m \to \infty$ .

They also show that this is *optimal*, no other codes can do better.

# New Strategy for Computing $a^x \mod n$ and $a^x b^y \mod n$

Try to match nearby non-zero bits and do the multiplication together.

- 1. delay method
- 2. pairing method
- 3. block method

#### **Delay Method**

x = 149	1	O	O	1	0	1	0	1
				/	,	/		/
y = 170	1	0	1	0	1	0	1	O
×	ab	-	-	$ab^2$	-	$ab^2$	-	$ab^2$
c	ab	$a^2b^2$	$a^{4}b^{4}$	$a^9b^{10}$	$a^{18}b^{20}$	$a^{37}b^{42}$	$a^{74}b^{84}$	$a^{149}b^{170}$

When a non-zero bit of y is matched to a less significant non-zero bit of x the multiplication is delayed.

In addition to ab, we need to pre-compute and store the value of  $ab^2$ .

In the above example, only 4 multiplications, instead of 7.

### Finite State Machine Representation

- 1.  $S_0$ : normal state, multiply by ab.
- 2.  $S_1$ : shift right by 1, multiply by  $ab^2$ .
- 1.  $x_i \sim y_j$ :  $x_i = 0$  and  $y_j = 0$  or  $x_i \neq 0$  and  $y_j \neq 0$ .
- 2.  $x_i \not\sim y_j$ :  $x_i = 0$  and  $y_j \neq 0$  or  $x_i \neq 0$  and  $y_j = 0$ .

The transition function  $\delta$  is

	$x_i \not\sim y_i$ , $x_i \sim y_{i+1}$	other cases
$S_0$	$S_1$	$S_0$
$S_1$	$S_1$	$S_0$

#### Performance of the Delay Multiplication

Signed-Digit	0.556m	0.444 <i>m</i>
DJM code	0.534m	0.453m
Joint Sparse Form	0.500m	0.469m

- 1. The new method reduces the computational cost for all codes.
- 2. It performs even better than the joint space form.
- 3. Applying to *signed-digit* code produces the best results.
- 4. Our algorithm needs to pre-compute and store  $ab^2 \mod n$ .

#### Extend to Both x and y

- 1.  $S_0$ : normal state, multiply by ab.
- 2.  $S_1$ : shift right by 1, multiply by  $ab^2$ .
- 3.  $S_{-1}$ : shift left by 1, multiply by  $a^2b$ .

code	no shift	shift left or right	shift left and right
sparse signed-digit	0.556m	0.444 <i>m</i>	0.407m
DJM code	0.534m	0.453m	0.414m
Joint Sparse Form	0.500m	0.469m	0.438m

- 1. Applying to *signed-digit* code produces the best results.
- 2. The algorithm needs to pre-compute and store  $ab^2 \mod n$  and  $a^2b$ .

#### **Apply to Binary Code**

In integer multiplication modulo n,  $\mathbf{Z}_n^*$ , the computational complexity of computing an inverse is the same as computing exponentiation.

If the inverse is not "easy" to obtain, binary code is the only practical code.

	shift 0	shift 1	shift 2	• • •	shift $d$
$\overline{h}(x)$	0.750 <i>m</i>	0.667 <i>m</i>	0.636 <i>m</i>	• • •	$\left( \frac{3^d - 2^{d-1}}{5 \cdot 3^{d-1} - 2^d} \right) m$
space	1	2	3	• • •	d+1

The *space* is the additional spaces for the precomputed values.

$$d = 1$$
:  $ab$ ,  $ab^2$ 

$$d = 2$$
:  $ab$ ,  $ab^2$ ,  $ab^4$ 

#### **Paring Method**

Another method to reduce the computational cost is to pair nearby non-zero bits, and do the multiplication for these bits together.

x = 161	1	O	1	O	0	0	0	1
				\				/
y = 138	1	0	0	0	1	0	1	0
×	ab	-	-	-	$a^4b$	-	-	$ab^2$
c	ab	$a^2b^2$	$a^{4}b^{4}$	$a^{8}b^{8}$	$a^{20}b^{17}$	$a^{40}b^{34}$	$a^{80}b^{68}$	$a^{161}b^{138}$

# Paring Method for $a^x b^y \mod n$

Exponents x and y are in optimal signed-digit code.

Number of bits: 1024; Sample size: 1000

d: to pair bits which are at most d bits apart.

	d = 0	d = 1	d=2	d = 3	d = 4
$\overline{h}(x,y)$	0.556m	0.408m	0.378m	0.365m	0.358m
space	2	6	10	14	18

d = 0: ab,  $ab^{-1}$  (All the inverses are not stored).

$$d = 1$$
:  $ab$ ,  $ab^2$ ,  $ab^{-1}$ ,  $ab^{-2}$ ,  $a^2b$ ,  $a^2b^{-1}$ ,

Additional spaces: 4(2d+1)/2 = 4d+2

# Paring Method for $a^x \mod n$

Exponent x is in optimal signed-digit code.

Number of bits: 1024; Sample size: 1000

d: to pair bits which are at most d bits apart.

	d = 0	d=1	d=2	d = 3	d = 4	d = 5
$\bar{h}(x)$	0.333m	0.333m	0.222m	0.191m	0.178m	0.172m
space	0	1	2	3	4	5

d=1: (There are no adjacent non-zero bits in optimal sign-digit code.)

$$d = 2$$
:  $a^3$ ,  $a^5$ 

$$d = 3$$
:  $a^3$ ,  $a^5$ ,  $a^7$ 

# Paring Method for $a^x b^y \mod n$

Exponents x and y are in binary code.

Number of bits: 1024; Sample size: 1000

d: to pair bits which are at most d bits apart.

	d = 0	d = 1	d=2	d = 3	d = 4
$\overline{h}(x,y)$	0.750m	0.625m	0.583m	0.562m	0.550m
space	1	5	7	9	11

$$d = 1$$
:  $ab$ ,  $ab^2$ ,  $a^2b$ 

$$d = 2$$
:  $ab$ ,  $ab^2$ ,  $ab^4$ ,  $a^2b$ ,  $a^4b$ 

additional space: 2d + 1

#### **Block Method**

Let d > 0.  $x_i, x_{i-1}, \dots, x_{i-d}$  is a block if both  $x_i$  and  $x_{i-d}$  are non-zero, but all other bits in the block,  $x_{i-1} \cdots x_{i-d+1}$ , are zero.

Similarly, 
$$x_i, x_{i-1}, \ldots, x_{i-d}$$
 is a block if  $y_i, y_{i-1}, \ldots, y_{i-d}$ 

- 1.  $x_i$  and  $y_i$  are not all zero.
- 2.  $x_{i-d}$  and  $y_{i-d}$  are not all zero.
- 3. all other bits in the block are zero.

There will be only one multiplication in each block.

# Block Method for computing $a^x \mod n$

```
let x = (x_{m-1}, x_{m-2}, \dots, x_0)_2;
c = 1;
i = m - 1:
while (i \ge 0) {
  c = c^2 \mod n:
   if ((x_i \neq 0) \text{ and } (x_{i-1} \neq 0)) {
      c = c^2 \times a^3 \mod n:
      i = i - 1;
   } else if (x_i \neq 0) {
     c = (c \times a) \mod n;
  i = i - 1;
print c;
```

Input: a, x, and n

**Output**:  $c = a^x \mod n$ 

# Block Method for $a^x \mod n$ , d = 1

m=1	m = 2	m = 3	m = 4
0 (0)	00 (0)	000 (0)	0000 (0) 1000 (1)
1 (1)	01 (1)	001 (1)	0001 (1) 1001 (2)
	10 (1)	010 (1)	0010 (1) 1010 (2)
	11 (1)	011 (1)	0011 (1) 1011 (2)
		100 (1)	0100 (1) 1100 (1)
		101 (2)	0101 (2) 1101 (2)
		110 (1)	0110 (1) 1110 (2)
		111 (2)	0111 (2) 1111 (2)
$h_1(1) = 1/2$	$h_1(2) = 3/4$	$h_1(3) = 9/8$	$h_1(4) = 23/16$

**Theorem 1** The average number of multiplications for computing  $a^x$  mod n by using block method with d=1 is

$$h_1(m) = \frac{1}{3}m + \frac{1}{9} - \frac{1}{9} \left(-\frac{1}{2}\right)^m.$$

$0(0+1)^{m-1}$	l	
	· ·	$f_1(m-2)+1$
$11(0+1)^{m-2}$	1/4	$f_1(m-2)+1$

$$f_1(m) = \frac{1}{2}f_1(m-1) + \frac{1}{2}f_1(m-2) + \frac{1}{2}, \quad m > 0$$

# Solve the Recurrence Equation by Generating Function, $d=1\,$

Define  $f_1(m) = 0$  for  $m \leq 0$ .

Let 
$$G(z) = \sum_{m} f_1(m) z^m$$
.

Rewrite the equation for  $m \geq 0$ .

$$f_1(m) = \frac{1}{2}f_1(m-1) + \frac{1}{2}f_1(m-2) + \frac{1}{2} - \frac{1}{2}[m=0]$$

$$[m=0] = \begin{cases} 1 & \text{if } m=0, \\ 0 & \text{otherwise.} \end{cases}$$

$$2f_1(m) = f_1(m-1) + f_1(m-2) + 1 - [m = 0]$$

$$2\sum_{m} f_1(m)z^m = \sum_{m} f_1(m-1)z^m + \sum_{m} f_1(m-2)z^m + \sum_{m} z^m - \sum_{m} [m=0]z^m$$

$$2G(z) = zG(z) + z^{2}G(z) + \frac{1}{1-z} - 1$$

$$G(z) = \frac{z}{(1-z)(2-z-z^2)} = \frac{(1/3)}{(1-z)^2} + \frac{-(2/9)}{(1-z)} + \frac{-(2/9)}{(2+z)}$$

$$f_1(m) = \frac{m+1}{3} - \frac{2}{9} - \frac{1}{9} \left(-\frac{1}{2}\right)^m = \frac{1}{3}m + \frac{1}{9} - \frac{1}{9} \left(-\frac{1}{2}\right)^m.$$

# Block Method for $a^x \mod n$ , d = 2

m = 1	m = 2	m = 3	m = 4
0 (0)	00 (0)	000 (0)	0000 (0) 1000 (1)
1 (1)	01 (1)	001 (1)	0001 (1) 1001 (2)
	10 (1)	010 (1)	0010 (1) 1010 (1)
	11 (1)	011 (1)	0011 (1) 1011 (2)
		100 (1)	0100 (1) 1100 (1)
		101 (1)	0101 (1) 1101 (2)
		110 (1)	0110 (1) 1110 (2)
		111 (2)	0111 (2) 1111 (2)
$h_2(1) = 1/2$	$h_2(2) = 3/4$	$h_2(3) = 1$	$h_2(4) = 21/16$

#### Block Method for $a^x \mod n$ , d = 2

**Theorem 2** The average number of multiplications for computing  $a^x$  mod n by using block method with d=2 is

$$h_2(m) = \frac{2}{7}m + \frac{20}{49} + o(1).$$

$0(0+1)^{m-1}$	1/2	$f_2(m-1)$
$11(0+1)^{m-2}$	1/4	$f_2(m-2)+1$
$101(0+1)^{m-3}$	1/8	$f_2(m-3)+1$
$100(0+1)^{m-3}$	1/8	$f_2(m-3)+1$

$$f_2(m) = \frac{1}{2}f_2(m-1) + \frac{1}{4}f_2(m-2) + \frac{1}{4}f_2(m-3) + \frac{1}{2}, \quad m > 0$$

# Solve the Recurrence Equation by Generating Function, d=2

Define  $f_2(m) = 0$  for  $m \leq 0$ .

Let 
$$G(z) = \sum_{m} f_2(m) z^m$$
.

Rewrite the equation for  $m \geq 0$ .

$$f_2(m) = \frac{1}{2}f_2(m-1) + \frac{1}{4}f_2(m-2) + \frac{1}{4}f_2(m-3) + \frac{1}{2} - \frac{1}{2}[m=0]$$

$$4f_2(m) = 2f_2(m-1) + f_2(m-2) + f_2(m-3) + 2 - 2[m=0]$$

$$4\sum_{m} f_2(m)z^m = 2\sum_{m} f_2(m-1)z^m + \sum_{m} f_2(m-2)z^m + \sum_{m} f_2(m-3) + 2\sum_{m} z^m - 2\sum_{m} [m=0]z^m$$

$$4G(z) = 2zG(z) + z^{2}G(z) + z^{3}G(z) + \frac{2}{1-z} - 2$$

$$G(z) = \frac{2z}{(1-z)(4-2z-z^2-z^3)} = \frac{2/7}{(1-z)^2} + \frac{6/49}{(1-z)} + \frac{-(1/49)(32+6z)}{(4+2z+z^2)}$$

$$f_2(m) = \frac{2(m+1)}{7} + \frac{6}{49} + o(1) = \frac{2}{7}m + \frac{20}{49} + o(1).$$

# Block Method for $a^x \mod n$ , d = 3

m = 1	m = 2	m = 3	m = 4
0 (0)	00 (0)	000 (0)	0000 (0) 1000 (1)
1 (1)	01 (1)	001 (1)	0001 (1) 1001 (1)
	10 (1)	010 (1)	0010 (1) 1010 (1)
	11 (1)	011 (1)	0011 (1) 1011 (2)
		100 (1)	0100 (1) 1100 (1)
		101 (1)	0101 (1) 1101 (2)
		110 (1)	0110 (1) 1110 (2)
		111 (2)	0111 (2) 1111 (2)
$h_3(1) = 1/2$	$h_3(2) = 3/4$	$h_3(3) = 1$	$h_3(4) = 5/4$

#### Block Method for $a^x \mod n$ , d = 3

**Theorem 3** The average number of multiplications for computing  $a^x$  mod n by using block method with d=3 is

$$h_3(m) = \frac{4}{15}m + \frac{44}{225} + o(1).$$

$0(0+1)^{m-1}$	1/2	$f_3(m-1)$
$11(0+1)^{m-2}$	1/4	$f_3(m-2)+1$
$101(0+1)^{m-3}$	1/8	$f_3(m-3)+1$
$1001(0+1)^{m-4}$	1/16	$f_3(m-4)+1$
$1000(0+1)^{m-4}$	1/16	$f_3(m-4)+1$

$$f_3(m) = \frac{1}{2}f_3(m-1) + \frac{1}{4}f_3(m-2) + \frac{1}{8}f_3(m-3) + \frac{1}{8}f_3(m-4) + \frac{1}{2}, \quad m > 0$$

# Solve the Recurrence Equation by Generating Function, d=3

Define  $f_3(m) = 0$  for  $m \le 0$ .

Let 
$$G(z) = \sum_{m} f_3(m) z^m$$
.

Rewrite the equation for  $m \geq 0$ .

$$f_3(m) = \frac{1}{2}f_3(m-1) + \frac{1}{4}f_3(m-2) + \frac{1}{8}f_3(m-3) + \frac{1}{8}f_3(m-4) + \frac{1}{2} - \frac{1}{2}[m=0]$$

$$8f_3(m) = 4f_3(m-1) + 2f_3(m-2) + f_3(m-3) + f_3(m-4) + 4 - 4[m = 0]$$

$$8\sum_{m} f_3(m)z^m = 4\sum_{m} f_3(m-1)z^m + 2\sum_{m} f_3(m-2)z^m + \sum_{m} f_3(m-3) + \sum_{m} f_3(m-4)z^m + 4\sum_{m} z^m - 4\sum_{m} [m=0]z^m$$

$$8G(z) = 4zG(z) + 2z^2G(z) + z^3G(z) + z^4G(z) + \frac{4}{1-z} - 4$$

$$G(z) = \frac{4z}{(1-z)(8-4z-2z^2-z^3-z^4)} = \frac{4/15}{(1-z)^2} + \frac{-16/225}{(1-z)} + \frac{-(1/9)}{(2+z)} + \frac{-(1/25)(z-14)}{(4+z^2)}$$

$$f_3(m) = \frac{4(m+1)}{15} - \frac{16}{225} + o(1) = \frac{4}{15}m + \frac{44}{225} + o(1).$$

### Block Method for $a^x \mod n$ , d > 0

$0(0+1)^{m-1}$	1/2	$f_d(m-1)$
$11(0+1)^{m-2}$	$1/2^{2}$	$f_d(m-2) + 1$
$101(0+1)^{m-3}$	$1/2^{3}$	$f_d(m-3)+1$
:	:	:
$10^{d-1}1(0+1)^{m-d}$	$1/2^d$	$f_d(m-d-1)+1$
$10^{d-1}0(0+1)^{m-d}$	$1/2^d$	$f_d(m-d-1)+1$

$$f_d(m) = \sum_{i=1}^d \frac{1}{2^i} f_d(m-i) + \frac{1}{2^d} f_d(m-d-1) + \frac{1}{2}, \quad m > 0$$

# Solve the Recurrence Equation by Generating Function, $d>0\,$

Define  $f_d(m) = 0$  for  $m \leq 0$ .

Let 
$$G(z) = \sum_{m} f_d(m) z^m$$
.

Rewrite the equation for  $m \geq 0$ .

$$f_d(m) = \sum_{i=1}^d \frac{1}{2^i} f_d(m-i) + \frac{1}{2^d} f_d(m-d-1) + \frac{1}{2} - \frac{1}{2} [m=0]$$

$$2^{d} f_{d}(m) = \sum_{i=1}^{d} 2^{d-i} f_{d}(m-i) + f_{d}(m-d-1) + 2^{d-1} - 2^{d-1}[m=0]$$

$$\sum_{m} 2^{d} f_{d}(m) z^{m} = \sum_{m} \sum_{i=1}^{d} 2^{d-i} f_{d}(m-i) z^{m} + \sum_{m} f_{d}(m-d-1) z^{m} + \sum_{m} 2^{d-1} z^{m} - \sum_{m=1}^{d} 2^{d-1} [m=0] z^{m}$$

$$2^{d}G(z) = \sum_{i=1}^{d} 2^{d-i}z^{i}G(z) + z^{d+1}G(z) + 2^{d-1}\left(\frac{z}{1-z}\right)$$

$$G(z) = \frac{2^{d-1}z}{(1-z)\left(2^d - \left(\sum_{i=1}^d 2^{d-i}z^i\right) - z^{d+1}\right)}$$

$$G(z) = \frac{z(1 - (z/2))}{2(1 - z)^2 (1 - (z/2)^{d+1})}$$

$$f_d(m) = \left(\frac{2^{d-1}}{2^{d+1}-1}\right)m + o(1).$$

#### Block Method for $a^x b^y \mod n$ , d = 1

**Theorem 4** The average number of multiplications for computing  $a^x b^y$  mod n by using block method with d=1 is

$$g_1(m) = \frac{3}{7}m + \frac{9}{49} + \frac{9}{49} \left(-\frac{3}{4}\right)^m$$
.

$0(0+1)^{m-1}$ $0(0+1)^{m-1}$	1/4	$g_1(m-1)$
$\begin{array}{c c} a_1 a_2 (0+1)^{m-1} \\ b_1 b_2 (0+1)^{m-1} \end{array}$	3/4	$g_1(m-2)+1$

$$g_1(m) = \frac{1}{4}g_1(m-1) + \frac{3}{4}g_1(m-2) + \frac{3}{4}, \quad m > 0$$

Possible values of  $\begin{array}{c} a_1a_2 \\ b_1b_2 \end{array}$  are

	10		11
		00	00
		10	11
		01	01
00	01	10	11
10	10	10	10
00	01	10	11
11	11	11	11

$$\frac{12}{16} = \frac{3}{4}$$

Use generating function to solve the recurrence equation

$$g_1(m) = \frac{1}{4}g_1(m-1) + \frac{3}{4}g_1(m-2) + \frac{3}{4} - \frac{3}{4}[m=0].$$

$$G(z) = \frac{3z}{(1-z)(4-z-3z^2)} = \frac{3}{7(1-z)^2} - \frac{12}{49(1-z)} - \frac{36}{49(4+3z)}.$$

Therefore, 
$$g_1(m) = \frac{3}{7}(m+1) - \frac{12}{49} - \frac{9}{49} \left(-\frac{3}{4}\right)^m = \frac{3}{7}m + \frac{9}{49} - \frac{9}{49} \left(-\frac{3}{4}\right)^m$$
.

#### Block Method for $a^x b^y \mod n$ , d = 2

**Theorem 5** The average number of multiplications for computing  $a^x b^y \mod n$  by using block method with d=2 is

$$g_2(m) = \frac{12}{31}m + \frac{216}{961} + o(1).$$

$0(0+1)^{m-1}$ $0(0+1)^{m-1}$	1/4	$g_2(m-1)$
$\begin{vmatrix} a_1 a_2 (0+1)^{m-1} \\ b_1 b_2 (0+1)^{m-1} \end{vmatrix}$	9/16	$g_2(m-2)+1$
$ \begin{array}{c} c_1 0 c_2 (0+1)^{m-1} \\ d_1 0 d_2 (0+1)^{m-1} \end{array} $	3/16	$g_2(m-3)+1$

$$g_2(m) = \frac{1}{4}g_2(m-1) + \frac{9}{16}g_2(m-2) + \frac{3}{16}g_2(m-3) + \frac{3}{4}$$

Possible values of  $\begin{array}{c} a_1a_2 \\ b_1b_2 \end{array}$  are

			11
			00
		10	11
		01	01
	01		11
	10		10
00	01	10	11
11	11	11	11

$$\frac{9}{16}$$

Possible values of  $\begin{array}{c} c_1 0 c_2 \\ d_1 0 d_2 \end{array}$  are

	100		101
		000	000
		100	101
		001	001
000	001	100	101
100	100	100	100
000	001	100	101
101	101	101	101

$$\frac{12}{64} = \frac{3}{16}$$

Use generating function to solve the recurrence equation

$$g_2(m) = \frac{1}{4}g_2(m-1) + \frac{9}{16}g_2(m-2) + \frac{3}{16}g_2(m-3) + \frac{3}{4} - \frac{3}{4}[m=0].$$

$$G(z) = \frac{12z}{(1-z)(16-4z-9z^2-3z^3)}$$

$$= \frac{12}{31(1-z)^2} - \frac{156}{961(1-z)} - \frac{3456+468z}{961(16+12z+3z^2)}.$$

Therefore, 
$$g_2(m) = \frac{12}{31}(m+1) - \frac{156}{961} + o(1) = \frac{12}{31}m + \frac{216}{961} + o(1)$$
.

#### Block Method for $a^x b^y \mod n$ , d = 3

**Theorem 6** The average number of multiplications for computing  $a^x b^y$  mod n by using block method with d=3 is

$$g_3(m) = \frac{48}{127}m + \frac{3888}{16129} + o(1).$$

$0(0+1)^{m-1}$ $0(0+1)^{m-1}$	1/4	$g_3(m-1)$
$a_1 a_2 (0+1)^{m-1}$ $b_1 b_2 (0+1)^{m-1}$	9/16	$g_3(m-2)+1$
$ \begin{array}{c} c_1 0 c_2 (0+1)^{m-1} \\ d_1 0 d_2 (0+1)^{m-1} \end{array} $	9/64	$g_3(m-3)+1$
$e_1 00e_2 (0+1)^{m-1}$ $f_1 00f_2 (0+1)^{m-1}$	3/64	$g_3(m-3)+1$

$$g_3(m) = \frac{1}{4}g_3(m-1) + \frac{9}{16}g_3(m-2) + \frac{9}{64}g_3(m-3) + \frac{3}{64}g_3(m-4) + \frac{3}{4}$$

Possible values of  $\begin{array}{c} a_1a_2 \\ b_1b_2 \end{array}$  are

			11
			00
		10	11
		01	01
	01		11
	10		10
00	01	10	11
11	11	11	11

$$\frac{9}{16}$$

Possible values of  $\begin{array}{c} c_1 0 c_2 \\ d_1 0 d_2 \end{array}$  are

			101
			000
		100	101
		001	001
	001		101
	100		100
000	001	100	101
101	101	101	101

$$\frac{9}{64}$$

Possible values of  $\begin{array}{c} e_100e_2 \\ f_100f_2 \end{array}$  are

		1000	1001
		0000	0000
		1000	1001
		0001	0001
0000	0001	1000	1001
1000	1000	1000	1000
0000	0001	1000	1001
1001	1001	1001	1001

$$\frac{12}{256} = \frac{3}{64}$$

Use generating function to solve the recurrence equation

$$g_3(m) = \frac{1}{4}g_3(m-1) + \frac{9}{16}g_3(m-2) + \frac{9}{64}g_3(m-3) + \frac{3}{64}g_3(m-4) + \frac{3}{4} - \frac{3}{4}[m = 0].$$

$$G(z) = \frac{48z}{(1-z)(64-16z-36z^2-9z^3-3z^4)}$$

$$= \frac{48}{127(1-z)^2} - \frac{2208}{16129(1-z)} - \frac{248832+51408z+6624z^2}{16129(64+48z+12z^2+3z^3)}.$$

Therefore, 
$$g_3(m) = \frac{48}{127}(m+1) - \frac{2208}{16129} + o(1) = \frac{48}{127}m + \frac{3888}{16129} + o(1).$$

### Block Method for $a^x b^y \mod n$ , d > 0

$0(0+1)^{m-1}$ $0(0+1)^{m-1}$	1/4	$g_3(m-1)$
$a_1 a_2 (0+1)^{m-1}$ $b_1 b_2 (0+1)^{m-1}$	9/4 <sup>2</sup>	$g_3(m-2)+1$
$\begin{array}{c} c_1 0 c_2 (0+1)^{m-1} \\ d_1 0 d_2 (0+1)^{m-1} \end{array}$	9/4 <sup>3</sup>	$g_3(m-3)+1$
$e_1 00e_2 (0+1)^{m-1}$ $f_1 00f_2 (0+1)^{m-1}$	9/4 <sup>4</sup>	$g_3(m-3)+1$
:	:	:
	3/4 <sup>d</sup>	$g_3(m-d-1)+1$

$$g_d(m) = \frac{1}{4}g_d(m-1) + \sum_{i=2}^d \frac{9}{4^i}g_d(m-i) + \frac{3}{4^d}g_d(m-d-1) + \frac{3}{4}, \quad m > 0$$

## Solve the Recurrence Equation by Generating Function, d>0

Define  $g_d(m) = 0$  for  $m \leq 0$ .

Let 
$$G(z) = \sum_{m} g_d(m) z^m$$
.

Rewrite the equation for  $m \geq 0$ .

$$g_d(m) = \frac{1}{4}g_d(m-1) + \sum_{i=2}^d \frac{9}{4^i}g_d(m-i) + \frac{3}{4^d}g_d(m-d-1) + \frac{3}{4} - \frac{3}{4}[m=0]$$

$$4^{d}g_{d}(m) = 4^{d-1}g_{d}(m-1) + \sum_{i=2}^{d} 4^{d-i}g_{d}(m-i) + 3g_{d}(m-d-1) + 3 \cdot 4^{d-1} - 3 \cdot 4^{d-1}[m=0]$$

$$\sum_{m} 4^{d} g_{d}(m) z^{m} = \sum_{m} 4^{d-1} g_{d}(m-1) z^{m} + \sum_{m} \sum_{i=2}^{d} 9 \cdot 4^{d-i} g_{d}(m-i) z^{m} + \sum_{m} 3 g_{d}(m-d+1) z^{m} = \sum_{m} 3 \cdot 4^{d-1} z^{m} - \sum_{m} 3 \cdot 4^{d-1} [m=0] z^{m}$$

$$4^{d}G(z) = 4^{d-1}G(z) + \left(\sum_{i=2}^{d} 9 \cdot 4^{d-i}z^{i}G(z)\right) + 3z^{d+1}G(z) + 3 \cdot 4^{d-1}\left(\frac{z}{1-z}\right)$$

$$G(z) = \frac{3 \cdot 4^{d-1}z}{(1-z)\left(4^d - 4^{d-1}z - \left(\sum_{i=2}^d 9 \cdot 4^{d-i}z^i\right) - 3z^{d+1}\right)}$$

$$G(z) = \frac{3z(1 - (z/4))}{4(1-z)^2 (1 - 2(z/4) - 3(z/4)^{d+1})}$$

$$g_d(m) = \frac{3 \cdot 4^{d-1}}{2 \cdot 4^d - 1} m + o(1).$$

#### **Conclusions**

Exponentiation need not be computed from left to right, or from right to left, bit-by-bit.

Proper grouping of the nearby non-zero bits can improve the efficiency of computing  $a^x b^y \mod n$  and  $a^x \mod n$ .

The sliding windows method need exponential number of pre-computations, while our methods need only linear number of pre-computations.

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