

## Protocol Description

Alice and Bob are supposed to do a secure two-party record linkage with the following protocol, which consists of the initialization state and linkage stage.

### Initialization:

1. Alice
  - a. generates the Paillier key pair (PrivK, PubK)
  - b. sends PubK to Bob
  - c. encodes each of her records into a Bloom Filter (e.g., see the method in [1])
  - d. sends the parameters used to generate Bloom Filters to Bob
2. Bob
  - a. encodes each of his records into a Bloom Filter in the same parameters as Alice

### Linkage:

Let  $BL_a$  be a Bloom Filter of a record from Alice, and let  $BL_b$  be a Bloom Filter of a record from Bob. That is,  $BL_a$  and  $BL_b$  are two binary arrays, and suppose their length is  $L$ .

The following steps calculate the similarity ratio between  $BL_a$  and  $BL_b$ .

1. Alice
  - a. for  $i=1$  to  $L$ , generates  $BL_a'[i] = \text{Enc}(\text{PubK}, BL_a[i])$
  - b. sends the encrypted bloom filter  $BL_a'$  to Bob
2. Bob
  - a. let  $M$  be the modulus used by the Paillier encryption
  - b. generates three random numbers  $r$ ,  $e'$  and  $e_0'$ , ensuring that  $2^*r^*L+e' < M$ ,  $r^*L+e_0' < M$ ,  $e'/r < 0.001$ , and  $e_0'/r < 0.001$ 
    - i. the conditions  $e'/r < 0.001$  and  $e_0'/r < 0.001$  should be set as parameter, which can be easily adjusted for different accuracy
  - c. calculates homomorphically  $H = (\sum_{i=1}^L BL_b[i] * BL_a'[i])^*r + \text{Enc}(\text{PubK}, e_0')$  and homomorphically  $N = (\sum_{i=1}^L BL_a'[i] + \text{Enc}(\text{PubK}, \sum_{i=1}^L b[i]))^*r + \text{Enc}(\text{PubK}, e')$ 
    - i. For convenience the above description assumes the operations ( $*$  and  $+$ ) are the same in the plaintext and ciphertext domains; for Paillier system, they need to be replaced accordingly ( $+$  by  $*$ ,  $*$  by  $^{\wedge}$ ).
  - d. sends  $H$  and  $N$  to Alice
3. Alice
  - a. decrypts  $h = \text{Dec}(\text{PrivK}, H)$  and  $n = \text{Dec}(\text{PrivK}, N)$
  - b. calculates the approximate Dice coefficient as  $2^*h/n$

## Reference

[1] Rainer Schnell, Tobias Bachteler and Jörg Reiher. "Privacy-preserving record linkage using Bloom filters", BMC Medical Informatics and Decision Making, 2009.