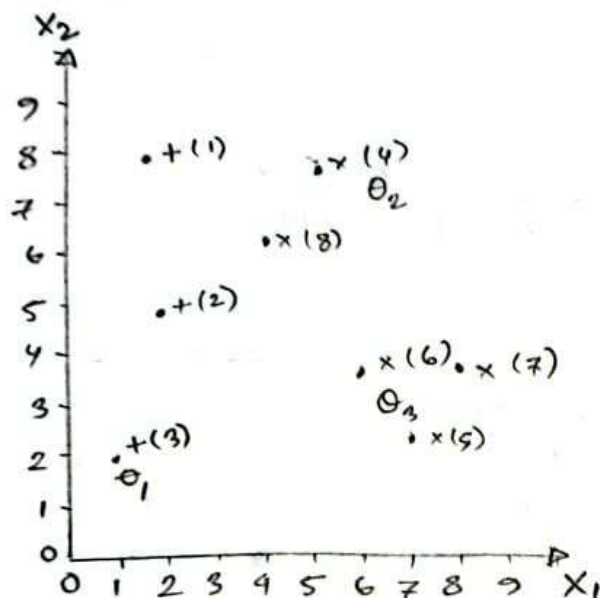


## Forgy initialization:



initial cluster centers:

$$\theta_1 = x^{(3)}$$

$$\theta_2 = x^{(4)}$$

$$\theta_3 = x^{(6)}$$

Notation:  $x^{(i)} = (x_1^{(i)}, x_2^{(i)})$

$$\theta_j = (\theta_{j1}, \theta_{j2})$$

### 1. Iteration

$$\mu_1 = \mu_2 = \mu_3 = \{ \}$$

The data points used for the initialization are easy to assign

$$\mu_1 = \{x^{(3)}\}, \mu_2 = \{x^{(4)}\}, \mu_3 = \{x^{(6)}\}$$

Let's compute the distance of each remaining data points to all cluster centre using the squared euclidean distance:

$$d(x^{(i)}, \theta_i) := \|x^{(i)} - \theta_i\|_2^2 = (x_1^{(i)} - \theta_{i1})^2 + (x_2^{(i)} - \theta_{i2})^2$$

| $d(x^{(i)}, \theta_k)$ | $\theta_1 = (1, 2)$ | $\theta_2 = (5, 8)$ | $\theta_3 = (6, 4)$ | $x^{(i)}$ is assigned to clusters |
|------------------------|---------------------|---------------------|---------------------|-----------------------------------|
| $x^{(1)} = (2, 8)$     | 37                  | 9                   | 32                  | $\theta_2$                        |
| $x^{(2)} = (2, 5)$     | 10                  | 18                  | 17                  | $\theta_1$                        |
| $x^{(5)} = (7, 3)$     | 37                  | 29                  | 2                   | $\theta_3$                        |
| $x^{(7)} = (8, 4)$     | 53                  | 25                  | 4                   | $\theta_3$                        |
| $x^{(8)} = (4, 7)$     | 37                  | 2                   | 13                  | $\theta_2$                        |

$$\Rightarrow \mu_1 = \{x^{(3)}, x^{(2)}\}$$

$$\mu_2 = \{x^{(4)}, x^{(1)}, x^{(8)}\}$$

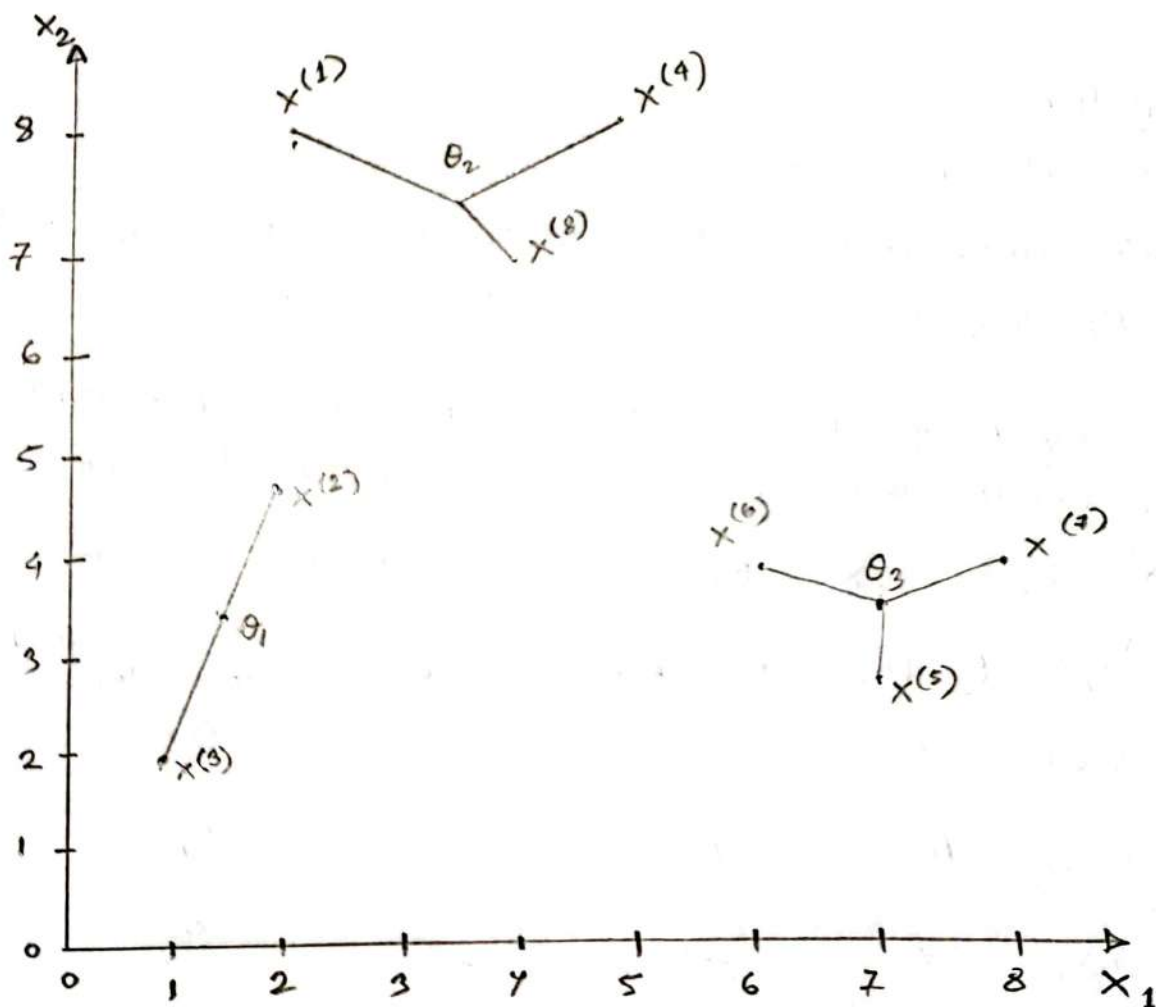
$$\mu_3 = \{x^{(6)}, x^{(5)}, x^{(7)}\}$$

Compute new cluster centers:  $\theta_k = \frac{1}{|\mu_k|} \sum_{x^{(i)} \in \mu_k} x^{(i)}$

$$\theta_1 = \frac{1}{2} (x^{(3)} + x^{(2)}) = (1.5, 3.5)$$

$$\theta_2 = \frac{1}{3} (x^{(4)} + x^{(1)} + x^{(8)}) = (11/3, 23/3)$$

$$\theta_3 = \frac{1}{3} (x^{(6)} + x^{(5)} + x^{(7)}) = (7, 11/3)$$



Loss:  $L = \sum_{k=1}^3 \sum_{x^{(i)} \in \mu_k} d(x^{(i)}, \theta_k) = \sum_{k=1}^3 \sum_{x^{(i)} \in \mu_k} ((x_1^{(i)} - \theta_{k1})^2 + (x_2^{(i)} - \theta_{k2})^2)$

$$d(x^{(2)}, \theta_1) = 2.5$$

$$d(x^{(3)}, \theta_1) = 2.5$$

$$d(x^{(1)}, \theta_2) \approx 2.89$$

$$d(x^{(4)}, \theta_2) \approx 1.89$$

$$d(x^{(8)}, \theta_2) \approx 0.56$$

$$d(x^{(5)}, \theta_3) \approx 0.44$$

$$d(x^{(6)}, \theta_3) \approx 1.11$$

$$d(x^{(7)}, \theta_3) \approx 1.11$$

$$L = 13.0$$

## 2. Iteration

$$\mu_1 = \mu_2 = \mu_3 = \{ \}$$

| $d(x^{(i)}, \theta_k)$ | $\theta_1 = (1.5, 3.5)$ | $\theta_2 = (\frac{11}{3}, \frac{23}{3})$ | $\theta_3 = (7, \frac{11}{3})$ | $x^{(i)}$ is assigned to cluster |
|------------------------|-------------------------|---|--------------------------------|----------------------------------|
| $x^{(1)} = (2, 8)$     | 20.50                   | 2.89                                      | 43.78                          | $\theta_2$                       |
| $x^{(2)} = (2, 5)$     | 2.50                    | 9.89                                      | 26.78                          | $\theta_1$                       |
| $x^{(3)} = (1, 2)$     | 2.50                    | 39.22                                     | 38.78                          | $\theta_1$                       |
| $x^{(4)} = (5, 8)$     | 32.50                   | 1.89                                      | 22.78                          | $\theta_2$                       |
| $x^{(5)} = (7, 3)$     | 30.50                   | 32.89                                     | 0.44                           | $\theta_3$                       |
| $x^{(6)} = (6, 4)$     | 20.50                   | 18.89                                     | 1.11                           | $\theta_3$                       |
| $x^{(7)} = (8, 4)$     | 42.50                   | 32.22                                     | 1.11                           | $\theta_3$                       |
| $x^{(8)} = (4, 7)$     | 18.50                   | 0.56                                      | 20.11                          | $\theta_2$                       |
|                        |                         |   |                                |                                  |

$\Rightarrow$  each datapoint is already assigned to its closest cluster center

$$\Rightarrow \mu_1 = \{x^{(3)}, x^{(2)}\}$$

$$\mu_2 = \{x^{(4)}, x^{(1)}, x^{(8)}\}$$

$$\mu_3 = \{x^{(6)}, x^{(5)}, x^{(7)}\}$$

remains unchanged

$$\Rightarrow L = 13.0 \text{ unchanged}$$

## ▼ Statistical data analysis

### Problem Sheet 9

#### Exercise 2

```
1 # necessary libraries
2 from PIL import Image
3 import numpy as np
4 import os
5 import matplotlib.pyplot as plt
```

Loading the Image and converting into ndarray

```
1 file = os.path.abspath("/content/Rubix_cube_ps9.jpg")
2 path = os.path.dirname(file)
```

```
1 arr = np.array(Image.open(file))
2 print("The image and pixel has shape: " + str(arr.shape))
3
```

The image and pixel has shape: (410, 400, 3)

*So, we can see the resolution of the image is 410x400 where each pixel has RGB values. Which means each pixel is a combination of three values in range of 0-255 .*

## ▼ Implementing the k-means algorithm.

```
1 def getCenter(X, R):
2     # initializing shapes
3     le, wi, n = X.shape
4     k = R.shape[-1]
5     # initializing Means
6     Miu = np.zeros((k, n))
7
8     # converting R shape into 2d
9     R = R.reshape((le*wi, k))
10    # converting X as 2d
11    X = X.reshape((le*wi, n))
12
13    # iterate over each cluster
14    for i in range(k):
15        # get the pixels that belong to cluster i and calculate their mean value
16        Miu[i] = X[np.where(R[:, i] == 1)].mean(axis=0)
17
18    return Miu
```

```
1 def getCluster(X, Miu):
2     # initializing shapes
3     le, wi, n = X.shape
4     k = Miu.shape[0]
5     # initializing R in 2D shape
6     R = np.zeros((le*wi, k))
7
8     # convert the image ndarray into 2d shape
9     X = arr.reshape((le*wi, n))
10
11    # calculating the interval
```

```

12     interv = np.linalg.norm(np.repeat(X, k, axis=0) - np.tile(Miu, (le * wi, 1)), axis=1, keepdims=True)
13
14     # converting interval array shape
15     interv = interv.reshape(le*wi, k)
16
17     # finding ic as the index of the closest mean
18     ic = np.argmin(interv, axis=1)
19
20     # plugging 1 to the closest mean
21     R[np.arange(le*wi),ic] = 1
22     # converting R into 3d shape
23     R = R.reshape((le,wi,k))
24
25     return R
26

```

```

1 def kmeans(X, k):
2     # initializing shapes
3     le, wi, n = X.shape
4     y = np.zeros([le,wi,])
5     # initializing R as 3d shape
6     R = np.zeros((le,wi,k))
7     i = 0
8     verbose = 0
9     maxiter = 200
10    tolerance = 1e-2
11
12    # Initializing means randomly
13    np.random.seed(9)
14    Miu = np.random.uniform(low=np.min(X), high=np.max(X), size=(k, n))
15
16    # iterate until convergence or maxiter
17    while True:
18        # stopping criterion maxiter
19        if i>maxiter:
20            break
21        i += 1
22
23        if verbose == 1:
24            print(Miu)
25
26        try:
27            # calculating the cluster pixel for
28            newR = getCluster(X, Miu)
29            # if newR ~ R, then break
30            assert np.abs(newR - R).sum() < tolerance*newR.size
31            break
32        except AssertionError:
33            # if R is different than newR, then plugin the newR
34            R = newR
35            # calculating the new cluster means
36            Miu = getCenter(X, R)
37
38    # calculate y as the cluster pixel
39    y = np.argmax(R.reshape((le*wi, k)), axis=1).reshape((le, wi))
40
41    return (y, Miu)
42

```

Using k-means cluster all the pixels of an image into k clusters and assign each pixel the color represented by its nearest cluster center.

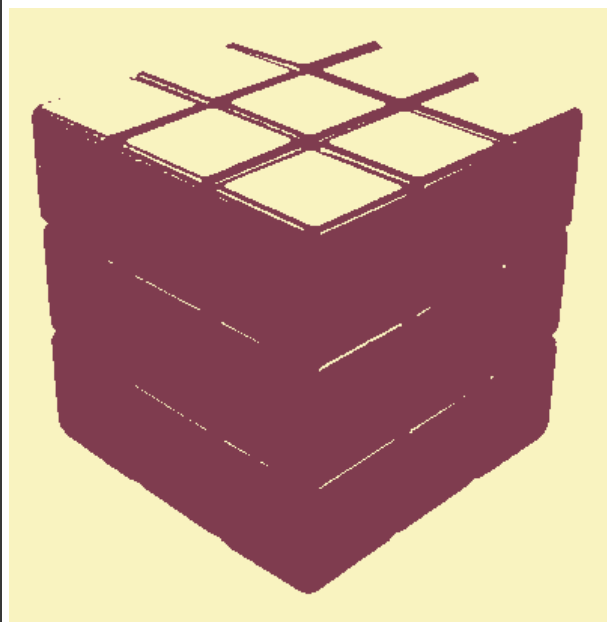
```

1 def getImage(X, k):

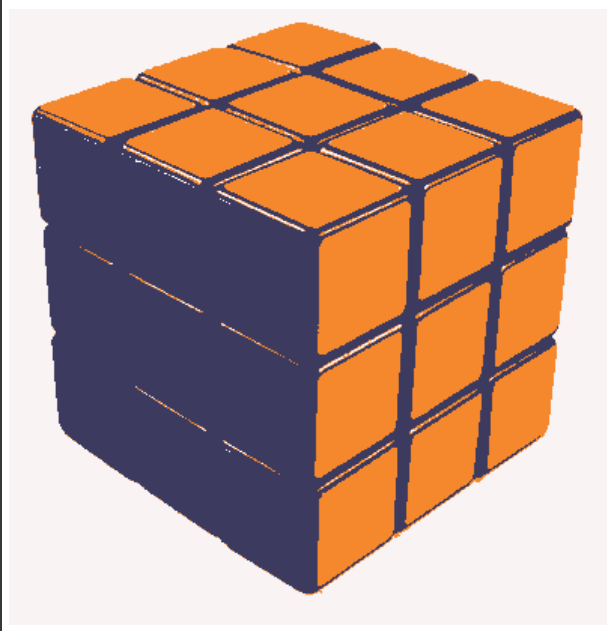
```

```
2 # initializing segmented image
3 seImage = np.zeros(X.shape)
4 # run k-means
5 y, Miu = kmeans(X, k)
6
7 # assigning each pixel the color of the nearest cluster center
8 for i in range(k):
9     seImage[np.where(y == i)] = Miu[i]
10
11 # generating the segmented Image
12 data = Image.fromarray(np.uint8(seImage))
13
14 return data
```

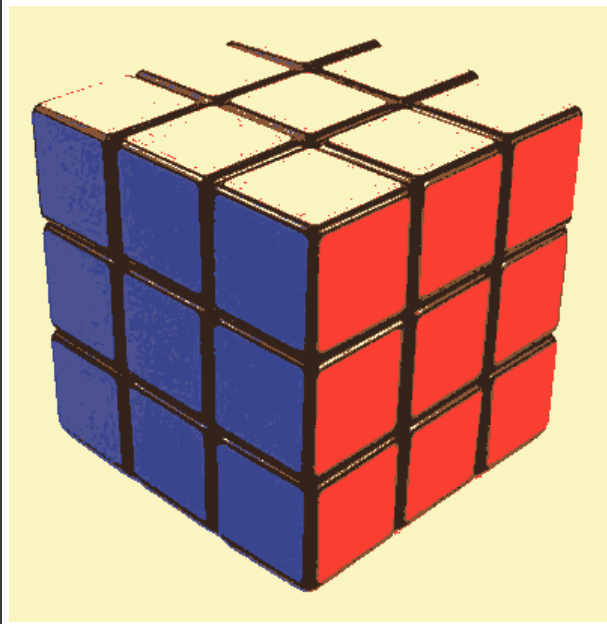
```
1 # 2 clusters
2 image = getImage(arr, 2)
3 image
```



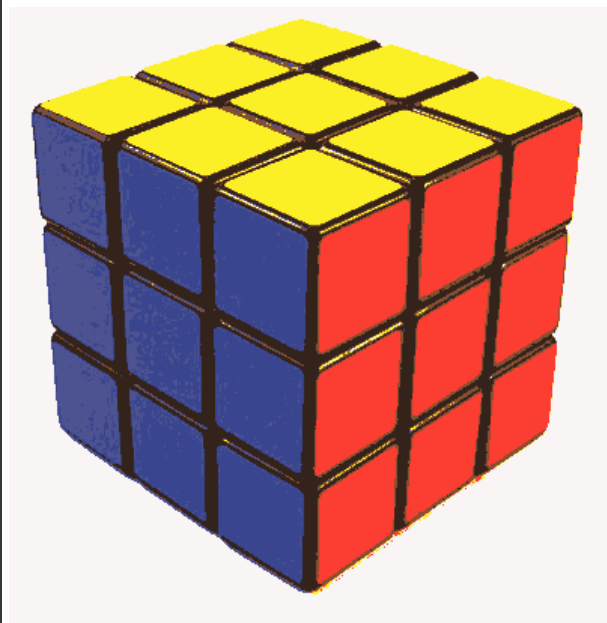
```
1 # 3 clusters
2 image = getImage(arr, 3)
3 image
```



```
1 # 6 clusters
2 image = getImage(arr, 6)
3 image
```



```
1 # 7 clusters
2 image = getImage(arr, 7)
3 image
```



These are different clusters where each pixel color is taken from its nearest cluster center.