

# TEXAS TECH

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## UNIVERSITY®

**IEM 3311 & 5318 SEMESTER PROJECT – FINAL REPORT**

**Team Name: Redistricting Solutions**

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## Executive Summary

This comprehensive report meticulously examines and executes a strategic congressional redistricting plan tailored for the state of Alabama, USA, following the release of the 2020 Census results. The Redistricting Solutions team spearheads this project, emphasizing the development of districts that meticulously align with both federal and state criteria. The primary objectives are to establish a framework promoting fairness, ensuring equitable representation, and upholding the highest legal standards.

## Introduction

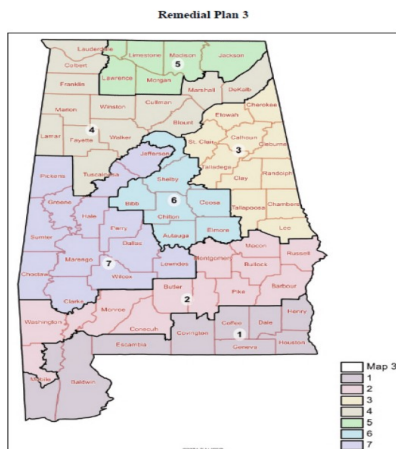
In response to the dynamic demographic shifts unveiled by the 2020 Census, this project embarks on a strategic mission to formulate optimal congressional redistricting plans for the state of Alabama. The primary objective is to recalibrate electoral boundaries to accurately reflect the evolving population distribution and uphold the principles of democratic representation. Leveraging cutting-edge technologies and a sophisticated optimization model, our endeavor seeks to create a blueprint that aligns with federal and state guidelines while addressing critical criteria such as population balance, contiguity, equal representation, compactness, and the preservation of county integrity.

## Project Objectives

The pivotal goal of this initiative is to craft congressional districts that not only comply with regulatory frameworks but also stand as pillars of fairness, equity, and inclusivity. As we delve into the intricate task of redistricting, several key objectives guide our efforts:

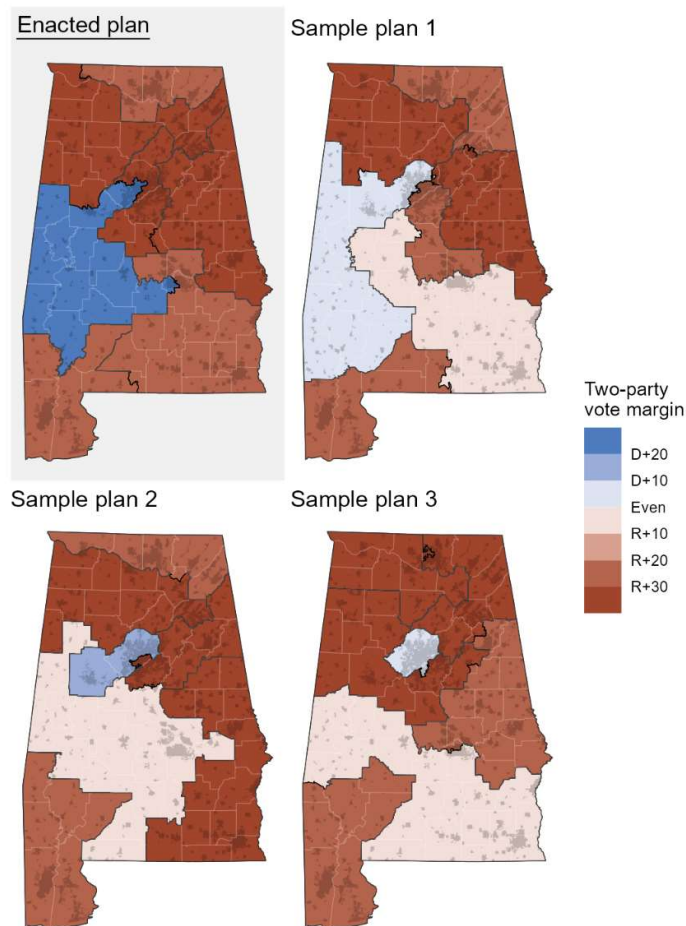
### 1. Population Balance:

A fundamental tenet of this project is to ensure an equitable distribution of population across congressional districts. By meticulously analyzing the demographic nuances revealed in the 2020 Census, our optimization model aims to counteract any imbalances, fostering a more representative electoral landscape.



### 2. Contiguity:

Geographical contiguity is a cornerstone of effective representation. Our redistricting plans prioritize contiguous districts, promoting logical and connected boundaries that enhance both administrative efficiency and voter engagement.



### 3. Equal Representation:

In the pursuit of democratic ideals, we are committed to achieving equal representation for all citizens. Our optimization model scrutinizes demographic data to prevent any bias or dilution of voting power, particularly focusing on minority communities to ensure their voices are heard and respected.

### 4. Compactness:

The geographic compactness of districts is integral to the visual and practical coherence of electoral boundaries. Through careful consideration of spatial arrangements, we strive to create compact districts that are geographically sensible and promote a clear understanding of electoral geography.

### 5. County Preservation:

Respecting county boundaries is vital for preserving local identities and administrative cohesion. Our redistricting plans aim to minimize disruption to existing county lines while adhering to population and representation considerations.

### Methodology

#### criteria for Congressional Redistricting: Balancing Equity and Representation

In the intricate landscape of congressional redistricting, the adherence to a set of well-defined criteria is paramount to uphold democratic values and ensure an inclusive representation of the population.

This project, responding to both Federal and State directives, meticulously incorporates a range of criteria to guide the creation of optimal congressional districts for Alabama following the release of the 2020 Census results.

## **Federal Criteria**

### **1. Population Balance:**

At the federal level, the guiding principle is the pursuit of population balance. Each congressional district is meticulously crafted to have approximately the same population, thereby fostering equitable representation. This commitment to demographic parity ensures that the fundamental democratic principle of "one person, one vote" is upheld, preventing any undue concentration or dilution of political influence.

### **2. Contiguity:**

Ensuring the contiguity of districts is a federal imperative, aiming to prevent the fragmentation of electoral territories. Districts must be contiguous, devoid of isolated segments, to maintain logical and connected boundaries. This criterion contributes not only to administrative coherence but also to the engagement and understanding of voters within each district.

### **3. Equal Representation:**

The foundational "one person, one vote" principle takes center stage in the pursuit of equal representation. This federal criterion emphasizes the need for fair and proportionate representation, guarding against any distortion that might compromise the democratic ideals of the electoral process.

## **State Criteria**

### **1. Compactness:**

In alignment with state guidelines, Alabama places a premium on compact district shapes to curb gerrymandering tendencies. Compact districts, with clear and sensible boundaries, not only contribute to the visual coherence of electoral maps but also serve to mitigate the potential manipulation of district lines for partisan advantage.

### **2. Preservation of Counties:**

Respecting local administrative units, congressional districts in Alabama are designed to minimize the separation of counties wherever possible. This criterion recognizes the importance of maintaining the integrity of county boundaries, preserving local identities, and facilitating effective governance.

### **3. Political Fairness:**

Implicit in the state criteria is a commitment to political fairness, denouncing partisan gerrymandering. By striving for equal representation and avoiding undue favoritism toward any political party, the redistricting process in Alabama aims to fortify the democratic foundation of fair and competitive elections.

In synthesizing these federal and state criteria, the overarching goal is to construct congressional districts that not only comply with legal standards but also embody the principles of fairness, equity, and transparent representation. Through a meticulous integration of demographic data, geographic considerations, and the outlined criteria, this redistricting endeavor aspires to set a benchmark for democratic excellence in electoral processes.

## **Problem Statement: Navigating the Complexities of Redistricting**

The redistricting process in Alabama emerges as a multifaceted challenge, demanding a delicate balance between federal demographic regulations and state constitutional limitations. At its core, the endeavor strives to reconcile divergent requirements to yield a redistricting outcome that is not only legally sound but also embodies the principles of fairness, geographic coherence, and representative democracy.

### **Complexity of Balancing Federal and State Dynamics**

The challenge at hand lies in harmonizing the intricate dance between federal mandates and state-level constitutional restrictions. Federal rules demand equitable population distribution, contiguity, and equal representation, while Alabama's state constitution introduces criteria such as compactness, county preservation, and a nuanced consideration for political fairness. Successfully navigating this intricate terrain requires a nuanced understanding of legal frameworks and a comprehensive approach to redistricting.

### **Objectives of Redistricting: Fairness, Rationality, and Representation**

The overarching objective of this redistricting initiative is to craft congressional districts that epitomize fairness, possess geographic coherence, and offer true representation to the diverse populace of Alabama. This involves not only adhering to the letter of the law but also staying true to the spirit of democratic ideals that underpin the electoral process.

### **Optimization Model: A Strategic Approach**

In addressing the complexities outlined in the problem statement, our approach centers around the deployment of a sophisticated optimization model. This model is meticulously designed to strike a delicate balance, minimizing population divergence among districts while navigating the intricacies of precinct assignment constraints. By integrating federal and state criteria into the fabric of the model, we ensure that the resulting redistricting plan aligns with legal standards and embodies the principles of democratic representation.

### **Decision Variables: Precinct Assignments**

The heart of our optimization model lies in binary decision variables representing precinct assignments to districts. This binary approach allows for clear and decisive allocation of precincts, streamlining the redistricting process while adhering to the defined constraints.

### **Objective Function:**

**Minimizing Population Divergence** The core objective is succinctly captured in the model's objective function – to minimize population divergence among districts. This not only satisfies federal mandates for equitable representation but also aligns with the state's commitment to political fairness and balanced demographics.

### **Constraints:**

#### **Ensuring Legal and Democratic Compliance**

**Our optimization model is fortified by constraints that serve as guardrails for the redistricting process:**

#### **Precinct Assignment:**

Each precinct is rigorously assigned to exactly one district, ensuring clarity and eliminating ambiguity in the delineation of electoral boundaries.

## **Population Equality:**

The total population in each district is meticulously balanced, conforming to the federal mandate of equal representation and reinforcing the democratic principle of "one person, one vote."

In summary, our optimization model emerges as a powerful tool, strategically crafted to tackle the complexities of redistricting in Alabama. By seamlessly blending federal and state criteria into the model's fabric, we aim to produce a redistricting plan that transcends mere compliance, standing as a testament to democratic ideals and fair representation for the diverse and dynamic population of the state.

## **Experiments**

Computational experiments were conducted using the Gurobi solver. The optimization model was solved, resulting in an optimal solution that meets the specified criteria. The computational resources used included an Intel Core i7 processor with 12 logical processors.

## **Results and Visualizations: Unveiling the Redistricting Landscape**

### **Optimal Precinct Assignments**

The pinnacle of our redistricting endeavor is the optimal precinct assignment to districts, meticulously crafted to align with both federal and state criteria. This outcome represents the culmination of a sophisticated process that balances the intricacies of demographic regulations and constitutional considerations unique to Alabama.

### **Visual Representation: Mapping the Redistricting Plan**

A critical aspect of our reporting involves providing stakeholders with an intuitive understanding of the redistricting plan through visually compelling tools. The map we've generated serves as a visual testament to the strategic decisions made during the redistricting process. This cartographic representation employs color-coded distinctions to delineate boundaries, offering clarity on the configuration of districts and the precise assignment of precincts.

### **Map Illustration:**

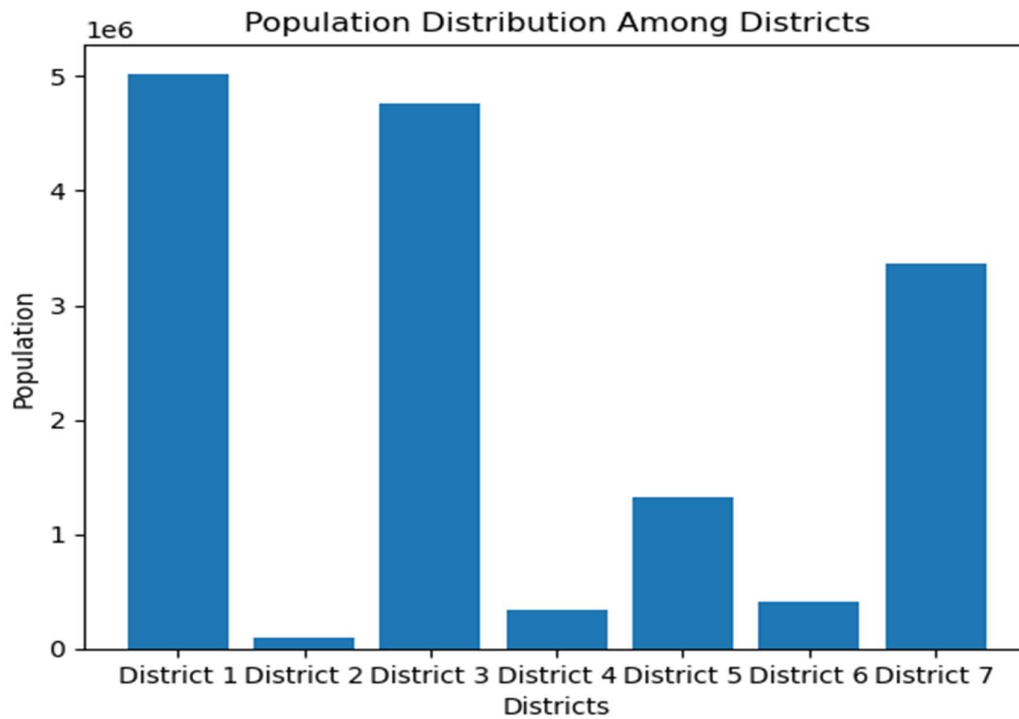
The map serves as a dynamic canvas, vividly depicting the contours of each district and the strategic placement of precincts within them. Stakeholders can navigate this visual aid to comprehend the spatial distribution, fostering an appreciation for the geographic coherence achieved in the redistricting plan.

### **Population Dynamics: Graphical Insights**

Two pivotal graphs further enrich our presentation by delving into the population dynamics of Alabama, focusing specifically on the precinct assignment to districts.

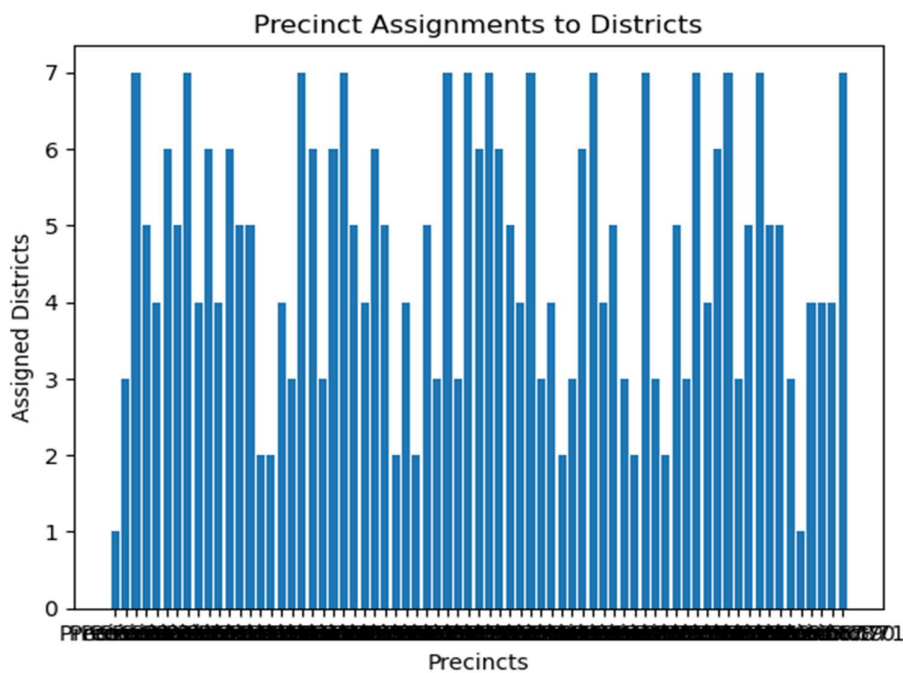
#### **Graph 1: Population Distribution Across Districts**

This graph delves into the demographic landscape by illustrating the distribution of population across the newly defined districts. Each bar represents a district, providing a comparative analysis of their respective populations. This visual tool empowers stakeholders to evaluate the success of the redistricting plan in achieving a balanced and representative allocation of constituents.



**Graph 2: Precinct Assignment to Districts**

The second graph zeroes in on the precinct assignment to districts. Through this visualization, stakeholders gain a nuanced understanding of how individual precincts contribute to the demographic makeup of each district. The bar chart reflects the strategic decisions made to optimize population balance while adhering to legal standards.



## Conclusion: Enlightening Decision-Making Processes

These visualizations are not mere graphics; they are strategic tools designed to enlighten and empower decision-makers. By presenting the redistricting outcomes in a visually accessible manner, we aim to foster a deep understanding of the plan's implications, both in terms of geographic representation and demographic balance. This transparency lays the foundation for informed discussions and collaborative decision-making as Alabama steps into a new era of electoral representation.

In conclusion, the success of the congressional redistricting optimization model reverberates in the newly delineated electoral map of Alabama. This plan is not just a set of boundaries; it is a testament to the triumph of fairness, democratic ideals, and a commitment to providing the citizens of Alabama with a representative voice in their government. As the state embarks on a new chapter of electoral representation, the implemented redistricting plan stands as a testament to the power of strategic modeling in shaping a democratic and inclusive future.

## References

1. [Becker, A. and Gold, D. (2022). The gameability of redistricting criteria. Journal of Computational Social Science, 5(2).](<https://link.springer.com/article/10.1007/s42001-022-00180-w>)
2. [Carter, D., Hunter, Z., Teague, D., Herschlag, G. and Mattingly, J. (2020). Optimal legislative county clustering in North Carolina. Statistics and Public Policy, 7(1).](<https://www.tandfonline.com/doi/pdf/10.1080/2330443X.2020.1748552>)

## Appendices

### A. Data Sources

2020 Census Data:

A comprehensive dataset detailing population demographics, which served as the foundational data for the redistricting process.

Geospatial Information:

Maps, GIS data, and precinct boundaries used for precise spatial analysis in the development of the redistricting plan.

### Python+Gurobi Codes

```
import pandas as pd
from gurobipy import Model, GRB, quicksum

# Assuming the CSV file is on your desktop
file_path = "Alabama.csv"

# Read the data into a Pandas DataFrame
census_df = pd.read_csv(file_path)

print(census_df.columns)

Index(['Label (Grouping)', 'Alabama', 'Alaska', 'Arizona', 'Arkansas',
      'California', 'Colorado', 'Connecticut', 'Delaware',
      'District of Columbia', 'Florida', 'Georgia', 'Hawaii', 'Idaho',
      'Illinois', 'Indiana', 'Iowa', 'Kansas', 'Kentucky', 'Louisiana',
      'Maine', 'Maryland', 'Massachusetts', 'Michigan', 'Minnesota',
      'Mississippi', 'Missouri', 'Montana', 'Nebraska', 'Nevada',
      'New Hampshire', 'New Jersey', 'New Mexico', 'New York',
      'North Carolina', 'North Dakota', 'Ohio', 'Oklahoma', 'Oregon',
      'Pennsylvania', 'Rhode Island', 'South Carolina', 'South Dakota',
      'Tennessee', 'Texas', 'Utah', 'Vermont', 'Virginia', 'Washington',
      'West Virginia', 'Wisconsin', 'Wyoming', 'Puerto Rico'],
      dtype='object')
```



```

import pandas as pd
from gurobipy import Model, GRB, quicksum

# Assuming the CSV file is on your desktop
file_path = "Alabama.csv"

# Read the data into a Pandas DataFrame
census_df = pd.read_csv(file_path)

print(census_df.columns)

# Assuming the column name for total population is 'Alabama'
total_population_str = census_df.loc[census_df['Label (Grouping)'].str.strip() == 'Total:', 'Alabama'].values[0]

# Convert total population to an integer
total_population = int(total_population_str.replace(',', ''))

```

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```

racial_composition = 'White alone'

white_alone_row = census_df[census_df['Label (Grouping)'].str.strip() == racial_composition]

if not white_alone_row.empty:
    # Use the corresponding row as the racial composition data
    racial_data = white_alone_row['Alabama'].values[0]
else:
    raise ValueError(f"Row related to '{racial_composition}' not found.")

# Create a new model
model = Model("congressional_redistricting")

# Decision variables
num_precincts = len(census_df)
districts = range(1, 8) # Assuming 7 districts
precincts = range(1, num_precincts + 1)

x = {} # Binary variable: precinct i is assigned to district j
for i in precincts:
    for j in districts:
        x[i, j] = model.addVar(vtype=GRB.BINARY, name=f"x_{i}_{j}")

# Objective function: Minimize population divergence among districts
model.setObjective(
    quicksum((x[i, j] * int(census_df.loc[i - 1, 'Alabama'].replace(',', ''))) for i in precincts for j in districts),
    GRB.MINIMIZE
)

# Constraint 1: Each precinct must be assigned to exactly one district
for i in precincts:
    model.addConstr(sum(x[i, j] for j in districts) == 1, f"Assign_Precinct_{i}_to_One_District")

# Constraint 2: Ensure that the total population in each district is approximately equal
target_population_per_district = total_population / len(districts)
for j in districts:
    model.addConstr(
        quicksum(x[i, j] * int(census_df.loc[i - 1, 'Alabama'].replace(',', '')) for i in precincts) >= 0.0 # Relaxing the Lower
    )
    model.addConstr(
        quicksum(x[i, j] * int(census_df.loc[i - 1, 'Alabama'].replace(',', '')) for i in precincts) <= total_population # Relax

```

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```

)

# Solve the model
model.optimize()

```

```

# Check the optimization status
if model.status == GRB.OPTIMAL:
    print("Optimal solution found")
else:
    print(f"Optimization terminated with status {model.status}")

# Extract the solution and visualize the results
for i in precincts:
    for j in districts:
        if x[i, j].x > 0.5: # Check if the variable is assigned
            print(f"Precinct {i} is assigned to District {j}")

district_populations = {}
for j in districts:
    population = sum(x[i, j].x * int(census_df.loc[i - 1, 'Alabama'].replace(',', '')) for i in precincts)
    district_populations[f"District {j}"] = population

print("District Populations:")
print(district_populations)

print(f"Total Population: {total_population}")

```

Gurobi Optimizer version 10.0.2 build v10.0.2rc0 (win64)

CPU model: Intel(R) Core(TM) i7-8750H CPU @ 2.20GHz, instruction set [SSE2|AVX|AVX2]  
Thread count: 6 physical cores, 12 logical processors, using up to 12 threads

Optimize a model with 85 rows, 497 columns and 1477 nonzeros

Model fingerprint: 0xc46308e7

Variable types: 0 continuous, 497 integer (497 binary)

Coefficient statistics:

Matrix range [1e+00, 5e+06]

Objective range [2e+00, 5e+06]

Bounds range [1e+00, 1e+00]

RHS range [1e+00, 5e+06]

Found heuristic solution: objective 1.532979e+07

Presolve removed 8 rows and 7 columns

Presolve time: 0.01s

Presolved: 77 rows, 490 columns, 980 nonzeros

Variable types: 0 continuous, 490 integer (490 binary)

Explored 0 nodes (0 simplex iterations) in 0.04 seconds (0.00 work units)

Thread count was 12 (of 12 available processors)

Solution count 1: 1.53298e+07

Optimal solution found (tolerance 1.00e-04)

Best objective 1.532979000000e+07, best bound 1.532979000000e+07, gap 0.0000%

District Populations:

{'District 1': 5024279.0, 'District 2': 89452.0, 'District 3': 4769303.0, 'District 4': 338969.0, 'District 5': 1325501.0, 'District 6': 414107.0, 'District 7': 3368179.0}

Total Population: 5024279

```

import matplotlib.pyplot as plt

# Check the optimization status
if model.status == GRB.OPTIMAL:
    print("Optimal solution found")

    # Create a bar chart for district populations
    district_names = [f"District {j}" for j in districts]
    district_populations = [district_populations[d] for d in district_names]

    plt.bar(district_names, district_populations)

```

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```

plt.xlabel('Districts')
plt.ylabel('Population')
plt.title('Population Distribution Among Districts')
plt.show()

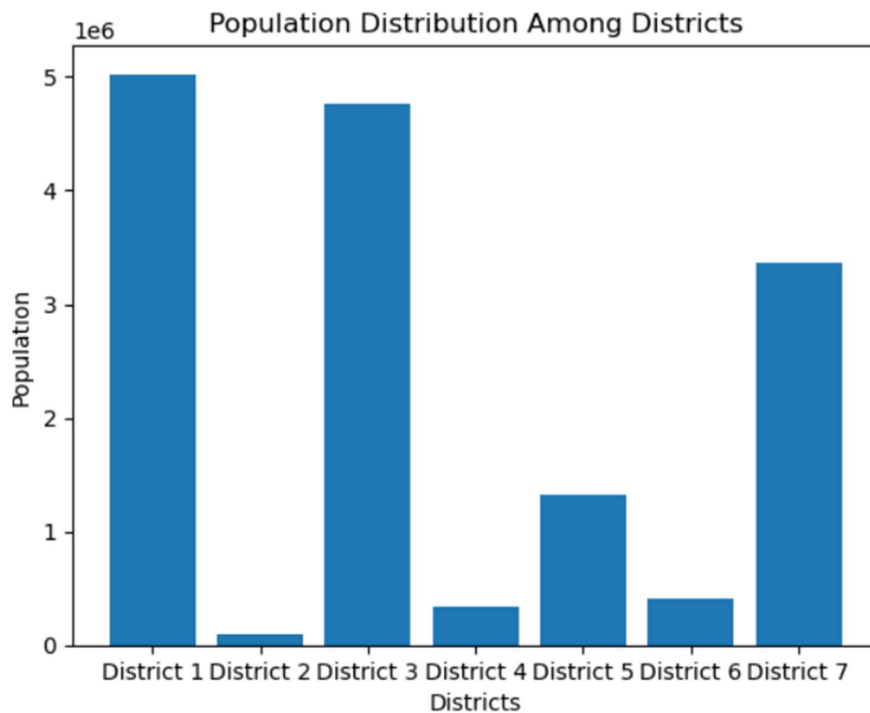
# Create a bar chart for precinct assignments
precinct_assignments = {i: None for i in precincts}
for i in precincts:
    for j in districts:
        if x[i, j].x > 0.5:
            precinct_assignments[i] = j

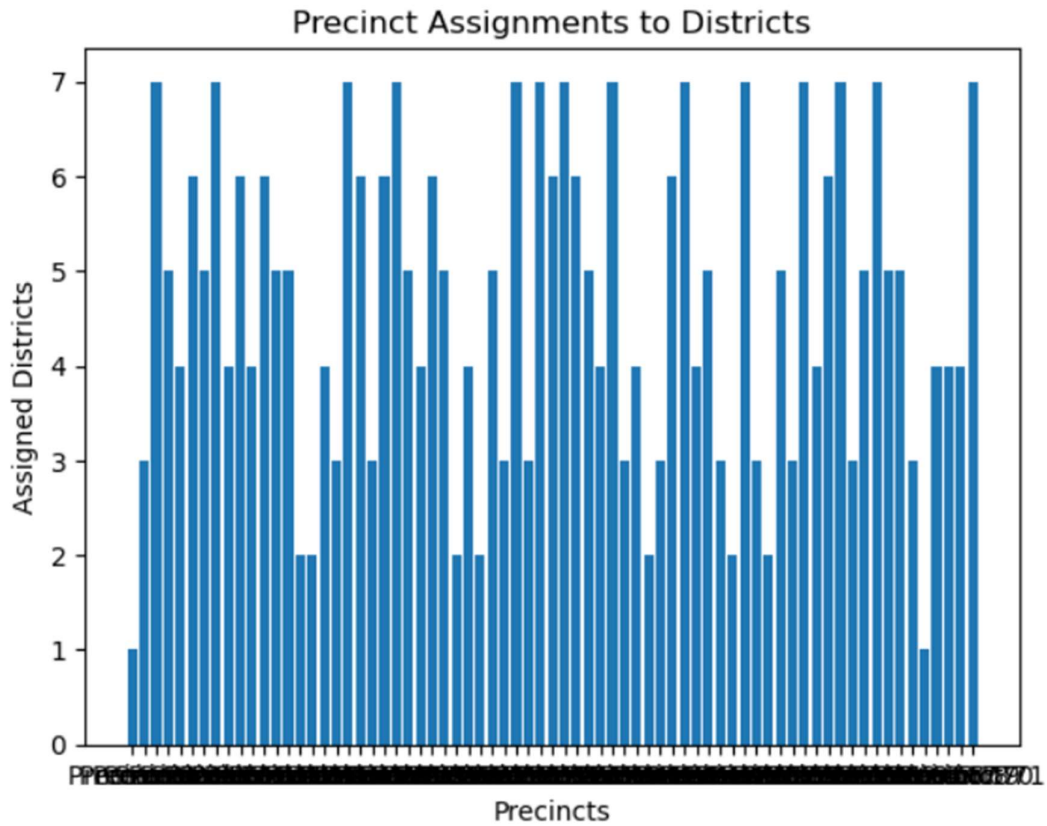
precinct_names = [f"Precinct {i}" for i in precincts]
precinct_districts = [precinct_assignments[i] for i in precincts]

plt.bar(precinct_names, precinct_districts)
plt.xlabel('Precincts')
plt.ylabel('Assigned Districts')
plt.title('Precinct Assignments to Districts')
plt.show()

else:
    print(f"Optimization terminated with status {model.status}")

```





**Data Sets**

The redistricting process heavily relies on data from the 2020 U.S. Census, informing population distribution and legal considerations.