

# Estimating the Determinants of Health Literacy for Policy Prioritisation

UCL CDS Symposium on Data Science in Public Health

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# Outline

- Background
- Problems
- Solutions
  - Local level estimation
  - Predictive comparisons
  - Prioritisation
- Conclusion



## Resources

Slides and code here: [github.com/n8thangreen/data-science-in-health-talk](https://github.com/n8thangreen/data-science-in-health-talk)

# Background

## Fellowship programme

The UCL Policy Fellowship Programme provides UCL researchers with the opportunity to work directly with policy professionals whilst embedded within a policy environment. Offering an in-depth learning experience for researchers and policy actors, our tailored programmes provide an impactful policy engagement opportunity for a variety of policy and research needs.

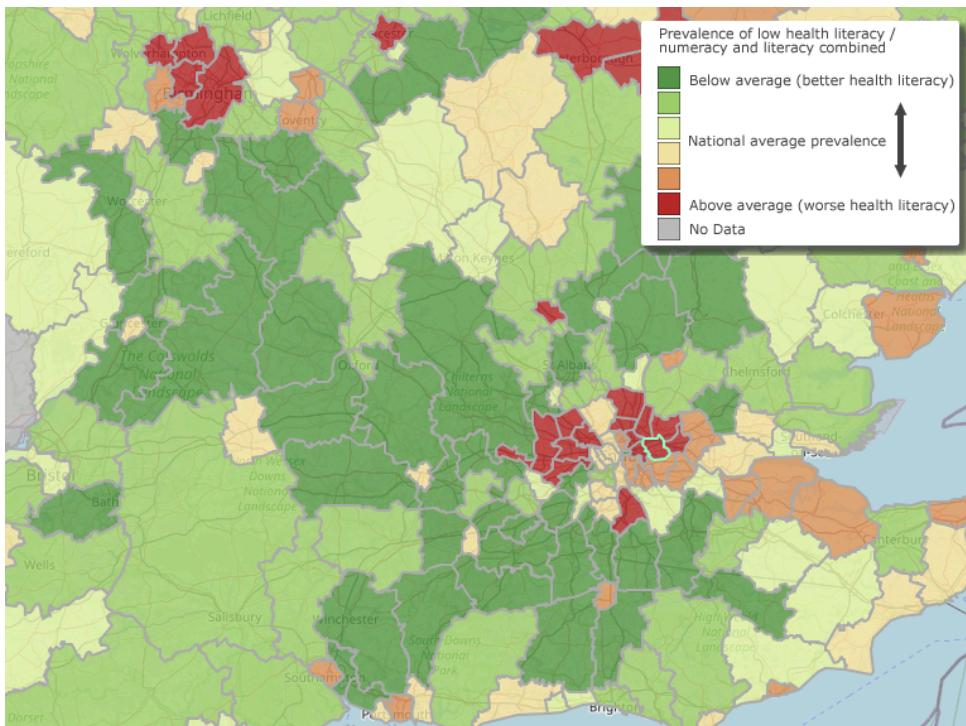


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# Project outline

- Title: **Assesses the factors that determine health literacy and the size of their influence/impact for Newham**
- **Health literacy** is broadly defined as the ability to access, understand, appraise, and communicate health information, enabling individuals to engage in healthcare and maintain good health throughout their lives.

- Focuses on **Newham**, a diverse borough in East London that faces unique challenges
- Identified as having some of the **lowest levels** of health literacy in the UK by University of Southampton (<https://healthliteracy.geodata.uk/>)



#### Estimated prevalence of low health literacy Newham

Percentage of the population aged 16-65 that are BELOW threshold levels of health literacy

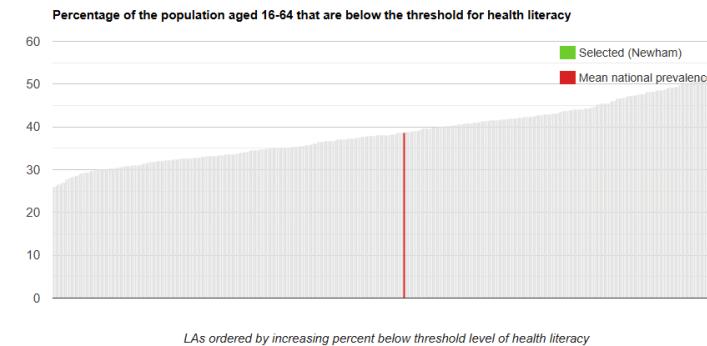
56.42%

This represents an estimate of the prevalence of LOW health literacy for the chosen Local Authority. It indicates the percentage of the population aged 16-65 who would likely have difficulties in understanding or interpreting health information.

IMPORTANT: the prevalence measure is an estimate derived from a statistical model. It should not be taken as a precise measure. Like all modelled estimates, it reflects measurement and modelling issues. Each local authority will also contain areas that will have higher or lower prevalences. The estimates apply only to the 16-65 age group.

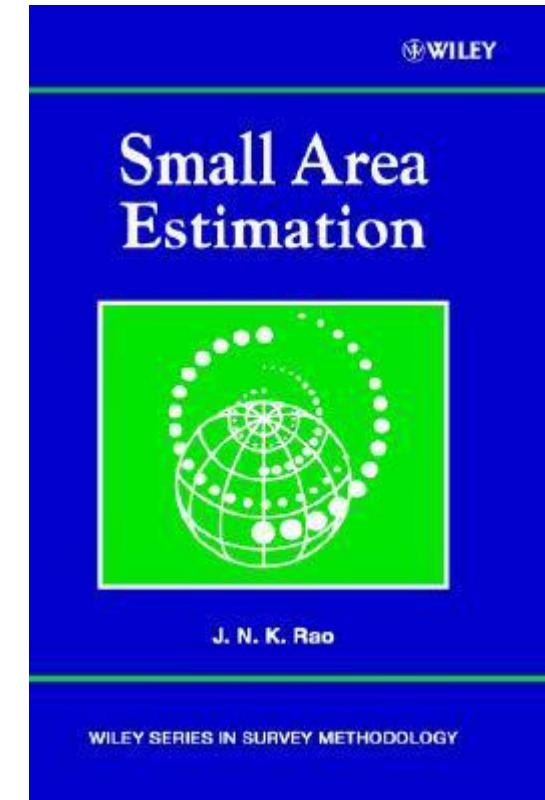
Summary information on the modelling approach is given on the Methods tab above.

The mean national prevalence of the population aged 16-65 that are below the threshold for both health literacy and health numeracy is 58.3%; for health literacy alone, the mean national prevalence is 38.66%.



# Previous method: Synthetic estimation

- Weighted Logistic Regression with Synthetic Estimation (Laursen et al. (2016))
  - Frequentist single-level regression with poststratification
- Used in [Small Area Estimation \(SAE\)](#) (Gonzalez (1973); Rao and Molina (2015))
- Can be viewed as the simpler predecessor to [Multilevel Regression with Post-stratification \(MRP\)](#)
  - Ignores any unique local factors
  - MRP includes shrinkage via random effects



# Talking a different language

## Small Area Estimation (SAE) (Previous)

Weighted Logistic Regression with  
Synthetic Estimation



## HTA / Statistics Method (Me)

Multilevel Regression with  
Post-stratification (MRP)

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## Linear Plug-In Model (*Equivalent to Regression-Synthetic Estimator at Unit Level*)



Simulated Treatment  
Comparison (STC)

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## Residual-adjusted synthetic estimation



Targeted Maximum  
Likelihood Estimation (TMLE)  
(*in causal inference*)

# Problems

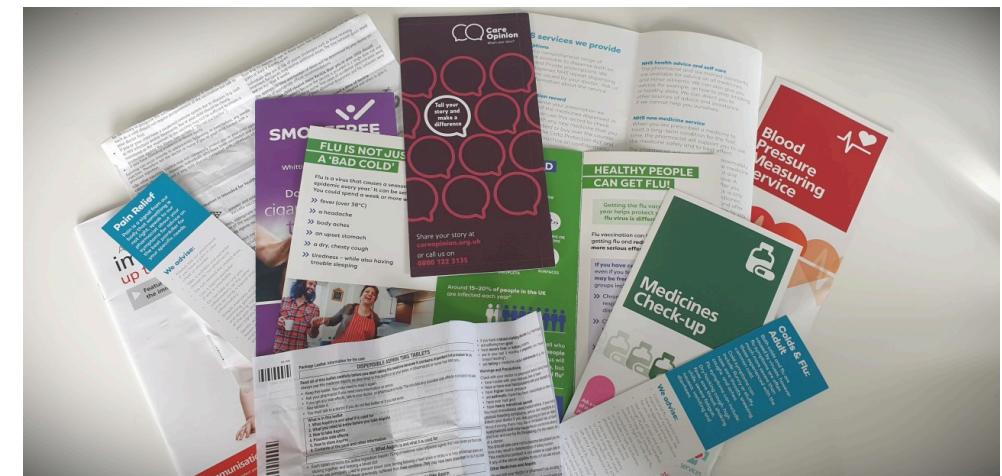
1. What is health literacy level **specific to Newham?**
2. What are the '**drivers**' of health literacy?
3. How should we **intervene** to effect health literacy outcomes?

# Available data

- Skills for Life (SfL) Survey 2011 [[MRP](#)]
  - Comprehensive computer-based assessment conducted by the ONS to evaluate the skills of literacy, numeracy, and ICT
  - Total 7230 adults in England
- Newham Residents Survey 2023 (NRS) [[MRP](#)]
  - Periodic survey, usually every two years
  - Detailed information on views, experiences, and needs of Newham residents
  - Covers satisfaction with local services, community safety, health and well-being, housing, and employment
- Additional data
  - UK Programme for International Assessment of Adult Competencies (PIAAC) 2023 [[MRP](#)]
  - Skills for Life Survey 2003 [[MRP](#)]
  - Labour Force Survey (LFS) / Annual Population Survey (APS) [[MRP](#)]
  - UK Census 2011, 2021 [[MRP](#)]

# From Skills for Life to Health literacy

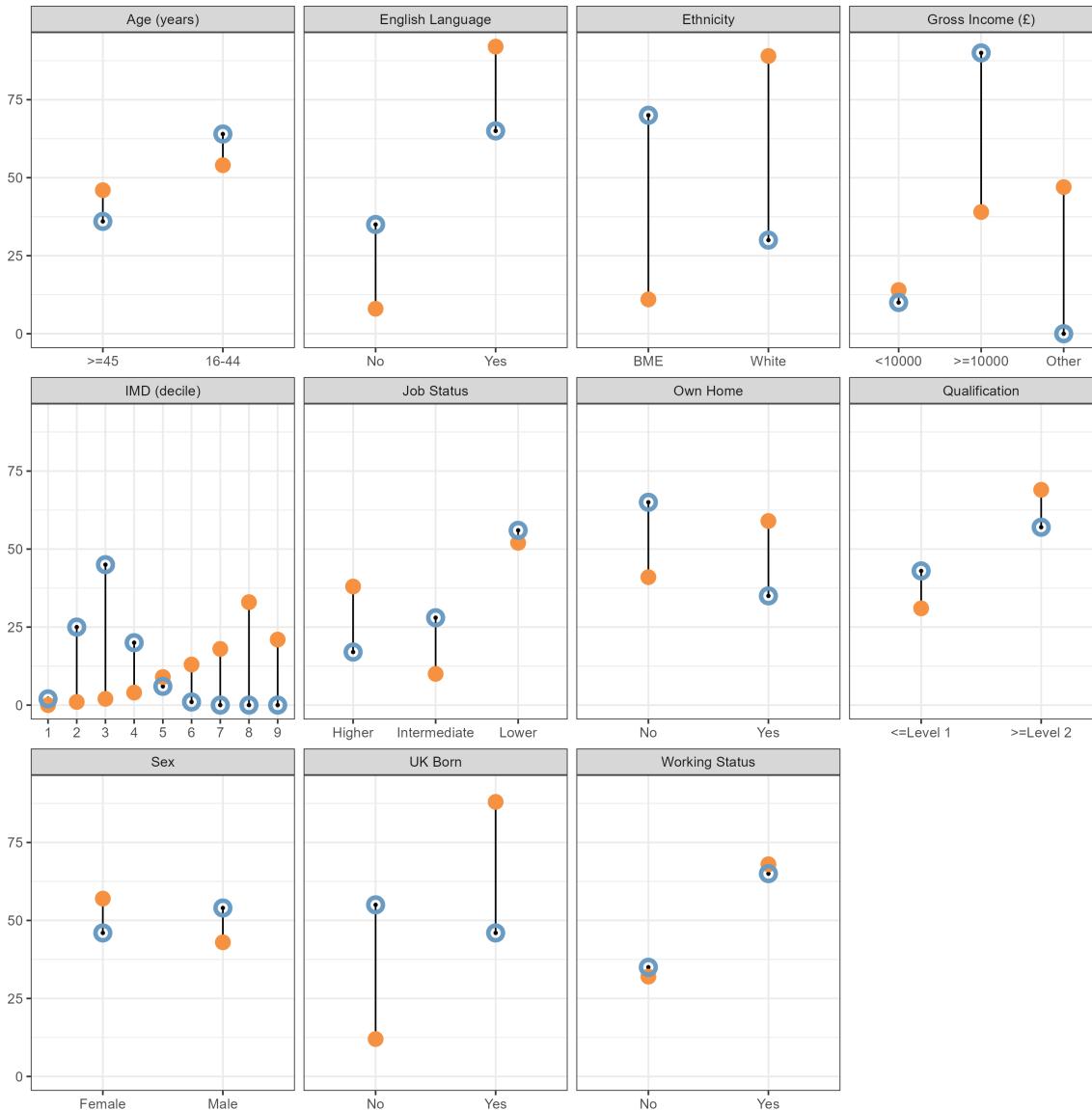
- From Rowlands et al. (2015)
- Sample of health materials, including
  - medicine labels
  - booklets
  - application forms
- Covered themes of health promotion, managing illness, systems navigation and disease prevention
- Assessed for literacy and numeracy complexity by **education experts**
- SfL responses were mapped to the binary health literacy scale according to whether they are **above** or **below threshold**





# Problem 1: Newham specific health literacy estimates? 🤔

# Newham vs Skills for Life profiles



# Multilevel Regression and Post-stratification

The predicted probability defined as:

$$\hat{\pi}_i = \text{logit}^{-1} \left( \hat{\beta}_0 + \sum_x \hat{\beta}_{\gamma_x[i]}^x \right)$$

- $\hat{\beta}_0$  is the intercept,  $\hat{\beta}_{\gamma_x[i]}^x$  are coefficients for covariates  $x$ 
  - age, sex, English language, white ethnicity, UK born, qualifications, income, job status, work role, home ownership
- $\gamma_x[i]$  represents the level or category for covariate  $x$  for individual  $i$
- IMD is included as multilevel random effects  $\beta_j^{\text{IMD}} \sim N(\mu_{\text{IMD}}, \sigma_{\text{IMD}}^2)$
- Prior distributions for fixed effects normal distributions centered at zero with modest variance
- Half-normal priors are used for random effect standard deviations

# Mutlilevel Regression and Post-stratification

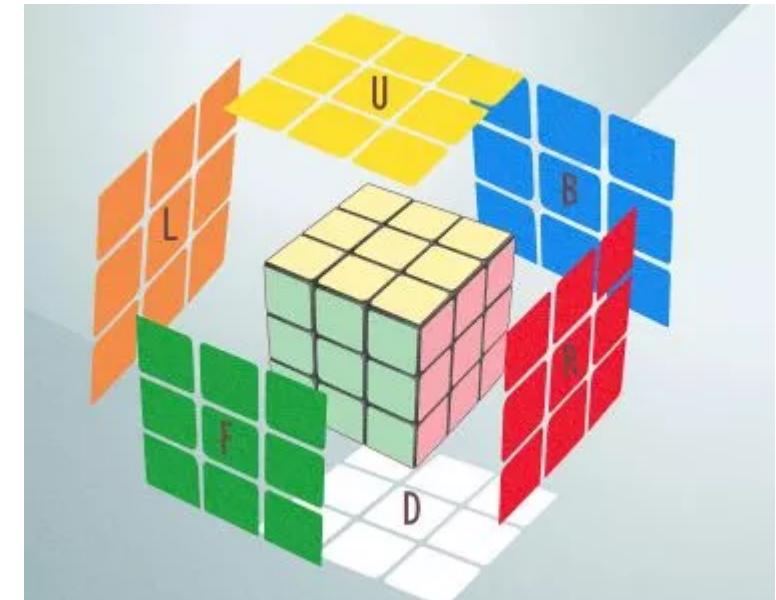
- The health literacy probabilities for each demographic category (cell  $c$ ) are weighted by their proportion in the actual Newham population
- 11 covariates → 13,824 cells
- Post-stratified estimate is:

$$\hat{\pi}^{\text{mrp}} = \sum_{c=1}^{|\mathcal{S}|} \frac{N_c \hat{\pi}_c}{N}$$

- $\mathcal{S}$  is the set of all covariate combinations
- $N_c$  is the population frequency for cell  $c$
- $N$  is the total population size

# Missing joint distributions

- Raking / Iterative proportional fitting (IPF)
  - Adjust survey weights so that the sample distribution matches known population control totals (margins)
- Census data → Marginals
- Labour Force Survey (LFS) → Covariance structure
- Overlap issues, non-representative
  - Data augmentation *before* IPF
  - Laplace smoothing *after* IPF
    - Like “zero cell” problem in meta-analyses
- Copula method alternative



# Problem 2: What are the 'drivers' in Newham?

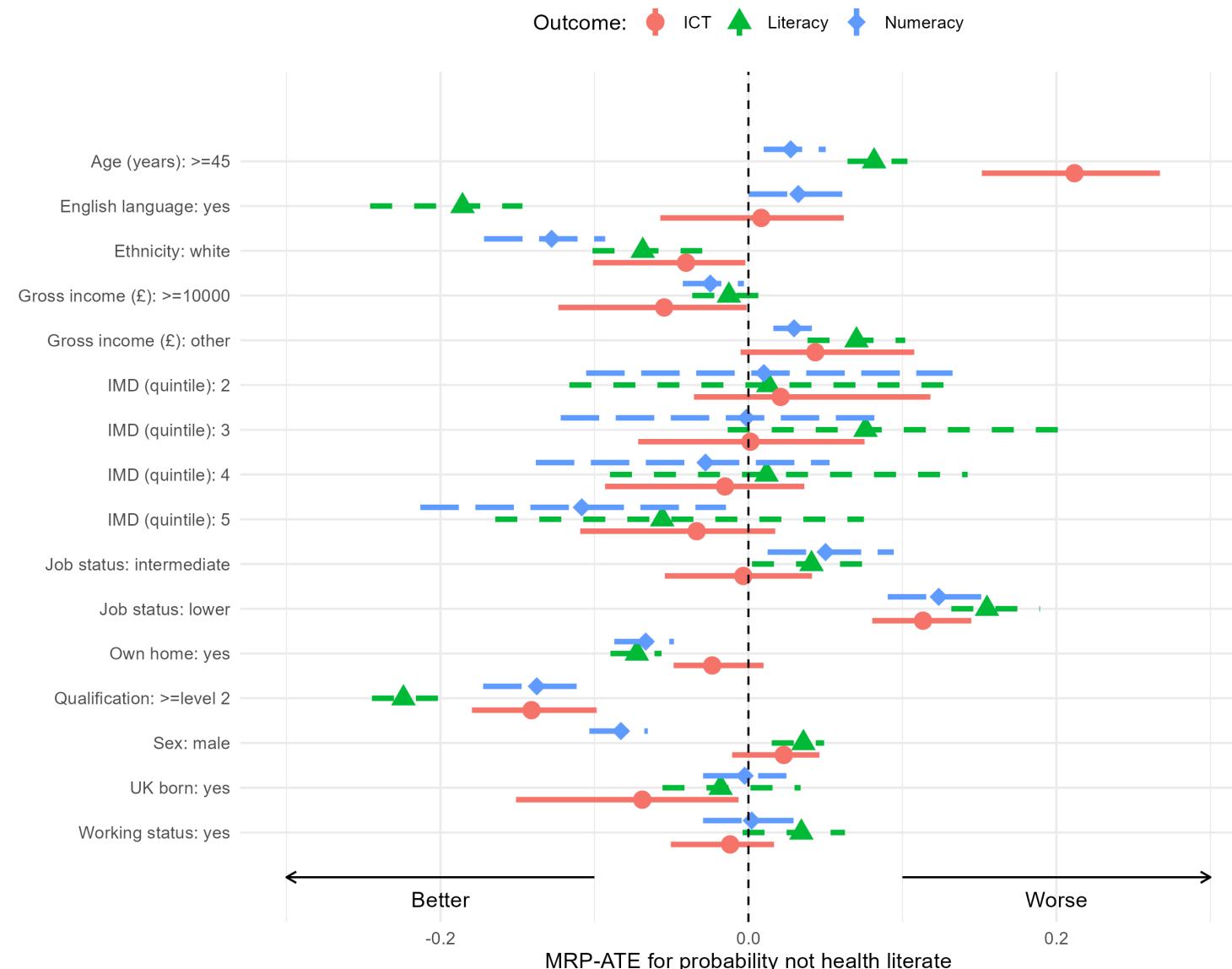


# Predictive comparisons

- Terminology borrow from Gelman and Pardoe (2007). Also called [predicted change in probability](#)
- Previously, crops up in other fields e.g. Lee (1981) ([covariance adjustment mean difference](#))
- Like [average treatment effects](#) without the causal interpretation

$$\delta_u(u^{(1)}, u^{(2)}) = \frac{\mathbb{E}(y | u^{(2)}) - \mathbb{E}(y | u^{(1)})}{u^{(2)} - u^{(1)}}$$

# MRP-PC results





# Problem 3: How should we intervene?

# Priority ranking

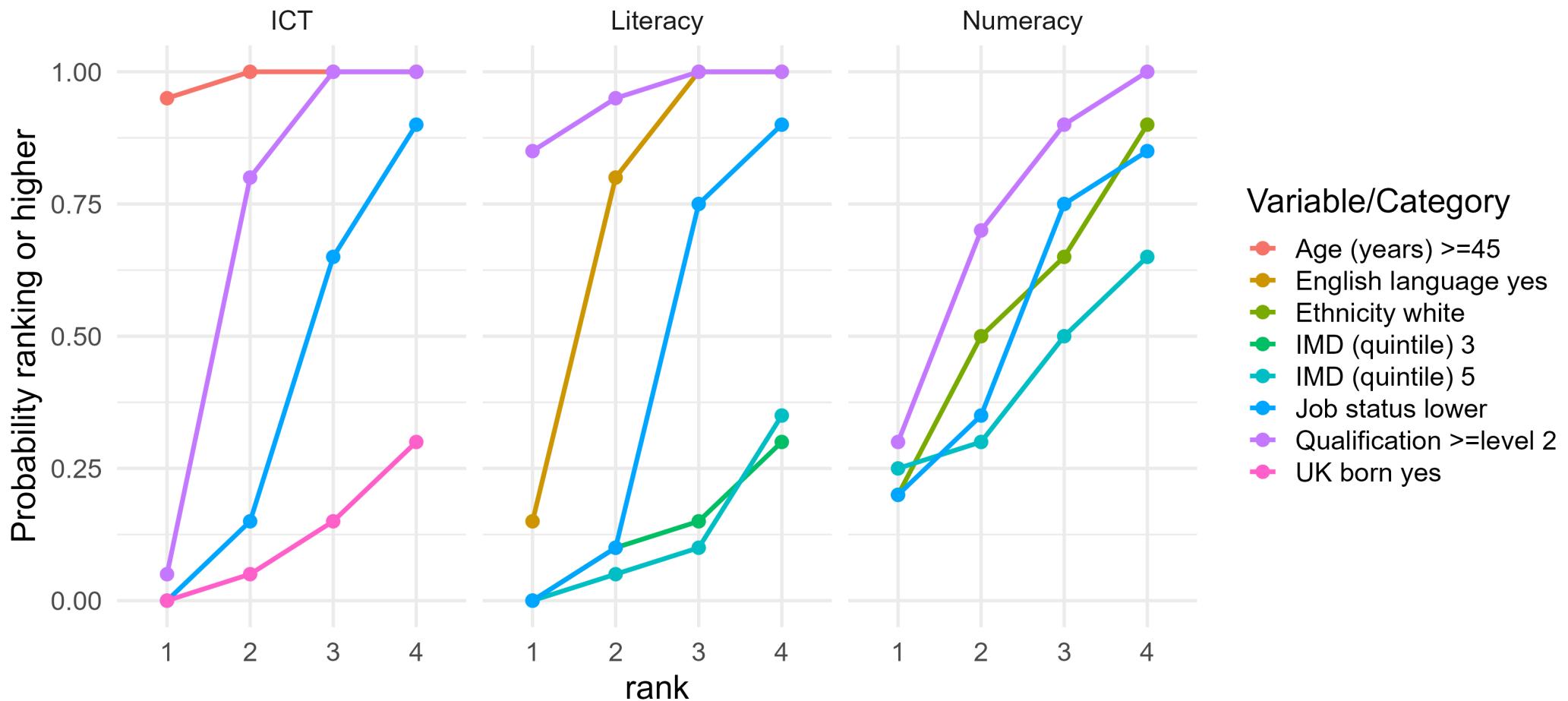
- Adopt Surface Under the Cumulative Ranking Curve (SUCRA)
  - Common in multiple-treatment meta-analysis
- Percentage of the maximum possible cumulative rank an intervention can achieve
- Providing a single value where a higher SUCRA indicates a better overall rank relative to others

$$\text{SUCRA}_{ij} = \sum_{r=1}^{n-1} P_{ijr}/(n - 1),$$

- where  $P_{ijr}$  is the cumulative probability for variable  $i$  at level  $j$  and rank  $r$
- Mean rank is

$$\mathbb{E}[\text{rank}(i, j)] = n - \sum_{r=1}^{n-1} P_{ijr}$$

# Ranking results



Variable	Category	SUCRA			$E[\text{rank}]$		
		ICT	Literacy	Numeracy	ICT	Literacy	Numeracy
Age (years)	$\geq 45$	100	66	27	1	6	12
English Language	Yes	33	93	34	11	2	11
Ethnicity	White	46	56	88	9	8	3
Gross Income (£)	$\geq 10000$	55	11	28	8	14	12
	Other	49	55	32	9	8	11
IMD (quintile)	2	34	35	47	11	11	9
	3	36	51	40	11	8	10
	4	39	40	45	10	10	9
	5	43	49	74	10	9	5
Job Status	Intermediate	31	30	49	11	12	9
	Lower	85	85	87	3	3	3
Own Home	Yes	36	58	62	11	7	7
Qualification	$\geq \text{Level 2}$	92	99	93	2	1	2
Sex	Male	32	28	70	11	12	5
UK Born	Yes	63	16	13	6	14	14
Working Status	Yes	26	26	11	12	12	14

# Conclusions

- The job is not done with the modelling 
- Borrow methods from other fields
- Data issues are inevitable...deal with it
- Clear communication of results for SME and decision-maker is crucial
- It's an iterative, team effort from project inception to decision



# Thanks



# References

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