

# Syntax Analysis

## [Chapter 4]

### Lecture 9

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# Top-Down Table-Driven Predictive LL(1) 2

## Parsing – (leftmost derivation) Example

$E \rightarrow T E_R$   
 $E_R \rightarrow + T E_R \mid \epsilon$   
 $T \rightarrow F T_R$   
 $T_R \rightarrow * F T_R \mid \epsilon$   
 $F \rightarrow ( E ) \mid \text{id}$



Stack	Input	Production applied
\$E	id+id*id\$	
\$E_R T	id+id*id\$	$E \rightarrow T E_R$
\$E_R T_R F	id+id*id\$	$T \rightarrow F T_R$
\$E_R T_R \text{id}	id+id*id\$	$F \rightarrow \text{id}$
\$E_R T_R	+id*id\$	
\$E_R	+id*id\$	$T_R \rightarrow \epsilon$
\$E_R T +	+id*id\$	$E_R \rightarrow + T E_R$
\$E_R T	id*id\$	
\$E_R T_R F	id*id\$	$T \rightarrow F T_R$
\$E_R T_R \text{id}	id*id\$	$F \rightarrow \text{id}$
\$E_R T_R	*id\$	$T_R \rightarrow \epsilon$
\$E_R T_R F *	*id\$	$T_R \rightarrow * F T_R$
\$E_R T_R F	id\$	
\$E_R T_R \text{id}	id\$	$F \rightarrow \text{id}$
\$E_R T_R	\$	$T_R \rightarrow \epsilon$
\$E_R	\$	$E_R \rightarrow \epsilon$
\$	\$	

# Bottom-Up Parsing

- Construct a parse tree from the **leaves to the root**
- Shift-Reduce Parsing.
- **LR** (Left-to-right, Rightmost derivation):
  - **SLR(1)** Simple LR with 1 token of lookahead
  - Canonical LR or LR(1) parser is an LR(k) parser for  $k=1$ , i.e. with a single lookahead terminal.
  - **LALR** Look Ahead LR with k tokens of lookahead

# Shift-Reduce Parsing

- Copy the process of “reducing” an input string to the start symbol of the grammar.
- At each reduction step, a specific substring matching the body of a production is **replaced by the nonterminal** at the head of that production.

# Shift-Reduce Parsing

Grammar:

$S \rightarrow a A B e$

$A \rightarrow A b c \mid b$

$B \rightarrow d$

Reducing input string:

$a \underline{b} b c d e$

$a \underline{A b c} d e$

$a A \underline{d} e$

$\underline{a A B e}$

$S$

Strings that  
match

Grammar  
productions

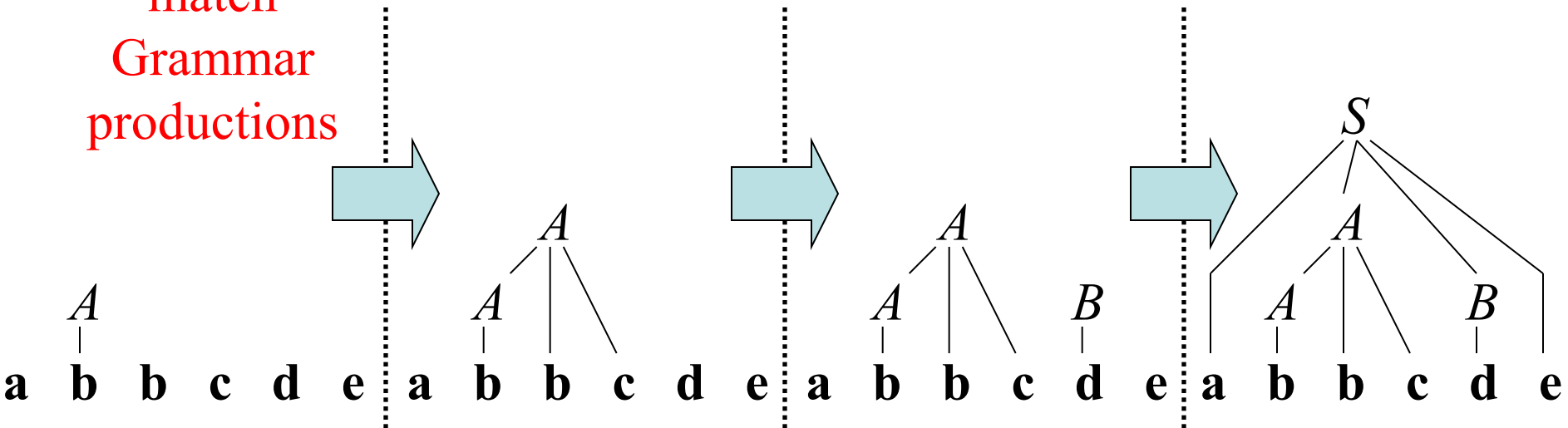
**Shift-reduce** corresponds  
to a rightmost derivation:

$S \Rightarrow_{rm} a A B e$

$\Rightarrow_{rm} a A d e$

$\Rightarrow_{rm} a A b c d e$

$\Rightarrow_{rm} a b b c d e$



# Handles

A *handle* is a substring of grammar symbols in a *right-sentential form* that matches a right-hand side of a production

Grammar:

$S \rightarrow a A B e$

$A \rightarrow A b c \mid b$

$B \rightarrow d$

$a \underline{b} b c d e$

$a \underline{A} b c d e$

$a A \underline{d} e$

$\underline{a A B e}$

$S$

Handles are used to  
reduce terminals by  
Non-terminals

$a \underline{b} b c d e$

$a A \underline{b} c d e$

$a A A e$

$\dots ?$

NOT a handle, because  
further reductions will fail  
(result is not a sentential form)

The substring to the right of the handle must contain only terminals

# Bottom-Up Parsing - Conflicts

- Conflicts happen when the Context Free Grammar has an *inadequate state*.
- Two possible actions, don't know what to put in parse: **Shift-Reduce**, or **Reduce-Reduce**. (Shift-Shift is not action).
- Reasons of Conflicts:
  - Ambiguity
    - Two or more possible parse trees for a string
    - Determining general grammar ambiguity is undecidable.

# Bottom-Up Parsing Actions

## Shift-Reduce Parsing Example

Grammar:

$E \rightarrow E + E$

$E \rightarrow E * E$

$E \rightarrow ( E )$

$E \rightarrow \text{id}$

Find handles  
to reduce

Stack	Input	Action
\$	id+id*id\$	shift
\$ <u>id</u>	+id*id\$	reduce $E \rightarrow \text{id}$
\$E	+id*id\$	shift
\$E+	id*id\$	shift
\$E+ <u>id</u>	*id\$	reduce $E \rightarrow \text{id}$
\$E+E	*id\$	shift (or reduce?)
\$E+E*	id\$	shift
\$E+E* <u>id</u>	\$	reduce $E \rightarrow \text{id}$
\$E+E* <u>E</u>	\$	reduce $E \rightarrow E * E$
\$ <u>E+E</u>	\$	reduce $E \rightarrow E + E$
\$E	\$	accept

How to  
resolve  
conflicts?

We choose  
shift  
because  
in the  
lookahead  
we have \*  
but not \$

Scan the input left to right, and the parser shifts 0 or more input symbols to the stack until it is ready to **reduce the string of grammar symbols on the top of the stack** ... repeat until we reach the start symbol.



# Shift-Reduce Conflicts

- Shift-Reduce: we cannot decide whether to **shift a symbol** or **reduce the top of stack**.
- Grammars used in compilers are usually **lookahead LR(1)**.
- Conflicts might be caused by the fact that we read **one symbol** of lookahead (LR(1)).

# Shift-Reduce Conflicts

- **Shift-Reduce** and **Reduce-Reduce** conflicts are caused by:
  - Ambiguity of the grammar
  - The limitations of the LR parsing method (even when the grammar is unambiguous).

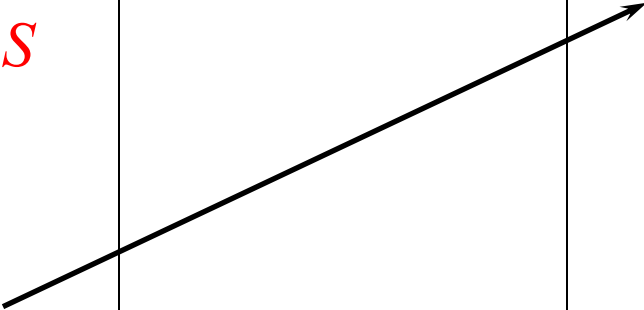
# Shift-Reduce Conflicts - Example

Ambiguous grammar:

$S \rightarrow$  **if**  $E$  **then**  $S$   
 | **if**  $E$  **then**  $S$  **else**  $S$   
 | **other**

Resolve in favor  
 of **shift**, so **else**  
 matches closest **if**

Stack	Input	Action
\$...	...\$	...
\$...if $E$ then $S$	<b>else</b> ...\$	<b>shift or reduce?</b>



# Shift-Reduce Conflicts

- An LR grammar can never be ambiguous.
- In the example, we **cannot tell** whether **if expr then stmt** is the handle.
- **Here we have a shift/reduce conflict.**
- It depends on what follows else in the input:
  - it might be correct to **reduce** **if expr then stmt** to **stmt**  
or
  - it might be correct to **shift** **else** and then look for another stmt to complete the alternative **if expr then stmt else stmt**
- We can adapt the grammar by favoring the **shifting**  
the parser will associate each else with the previous unmatched then (the parser will behave correctly as we expect).

# Reduce-Reduce Conflicts

- Reduce-Reduce: we don't know which reduction to take.
- We have a **handle** but the **stack content** and the **next input symbol** are **insufficient to determine** which production should be used in a reduction.
- Suppose the lexical analyzer returns token **id** for all names (**functions, arrays, variables, ...**)
- A procedure call or array reference would appear as **id (id, id)**

# Reduce-Reduce Conflicts - Example

Grammar:

$$\textit{stmt} \rightarrow \textbf{id} (\textit{parameter\_list})$$
$$| \textit{expr} = \textit{expr}$$
$$\textit{parameter\_list} \rightarrow \textit{parameter\_list}, \textit{parameter}$$
$$| \textit{parameter}$$
$$\textit{parameter} \rightarrow \textbf{id}$$
$$\textit{expr} \rightarrow \textbf{id} (\textit{expr\_list})$$
$$| \textbf{id}$$
$$\textit{expr\_list} \rightarrow \textit{expr\_list}, \textit{expr}$$
$$| \textit{expr}$$

# Reduce-Reduce Conflicts - Example

After pushing the first three tokens of **id(id, id)** into the stack:

The lexical analyzer should be modified to return **procid** token for procedure names

Stack	Input	Action
... <b>id ( id</b>	, id) ...	reduce <i>parameter</i> → <b>id</b> If we have a procedure or <i>expr</i> → <b>id</b> If we have an array reference

We know we need to reduce **id** on the top of the stack:

*parameter* → **id**

*if we have a procedure*

*expr* → **id**

*if we have an array reference*

# Reduce-Reduce Conflicts - Example

After reading the first three tokens of **procid(id, id)** onto the stack:

Grammar:

*stmt* → **procid** (parameter\_list)

.

...

...

Stack	Input	Action
... <b>procid</b> ( <b>id</b> , <b>id</b> ) ...	<b>id</b>	reduce <i>parameter</i> → <b>id</b> (parameter_list)  <i>Note: the 3<sup>rd</sup> symbol            from the top of the            stack determined            the reduction</i>