

# Syntax Analysis

## [Chapter 4]

### Lecture 9

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# Top-Down Table-Driven Predictive LL(1)

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## Parsing – (leftmost derivation) Example

$$\begin{aligned}
 E &\rightarrow T E_R \\
 E_R &\rightarrow + T E_R \mid \epsilon \\
 T &\rightarrow F T_R \\
 T_R &\rightarrow * F T_R \mid \epsilon \\
 F &\rightarrow ( E ) \mid \text{id}
 \end{aligned}$$



Stack	Input	Production applied
\$E	id+id*id\$	
\$E_R T	id+id*id\$	$E \rightarrow T E_R$
\$E_R T_R F	id+id*id\$	$T \rightarrow F T_R$
\$E_R T_R id	id+id*id\$	$F \rightarrow \text{id}$
\$E_R T_R	+id*id\$	
\$E_R	+id*id\$	$T_R \rightarrow \epsilon$
\$E_R T +	+id*id\$	$E_R \rightarrow + T E_R$
\$E_R T	id*id\$	
\$E_R T_R F	id*id\$	$T \rightarrow F T_R$
\$E_R T_R id	id*id\$	$F \rightarrow \text{id}$
\$E_R T_R	*id\$	$T_R \rightarrow \epsilon$
\$E_R T_R F *	*id\$	$T_R \rightarrow * F T_R$
\$E_R T_R F	id\$	
\$E_R T_R id	id\$	$F \rightarrow \text{id}$
\$E_R T_R	\$	$T_R \rightarrow \epsilon$
\$E_R	\$	$E_R \rightarrow \epsilon$
\$	\$	

# Bottom-Up Parsing

- Construct a parse tree from the leaves to the root
- Shift-Reduce Parsing.
- LR (Left-to-right, Rightmost derivation):
  - SLR(1) Simple LR with 1 token of lookahead
  - Canonical LR or LR(1) parser is an LR( $k$ ) parser for  $k=1$ , i.e. with a single lookahead terminal.
  - LALR Look Ahead LR with  $k$  tokens of lookahead

# Shift-Reduce Parsing

- Copy the process of “reducing” an input string to the start symbol of the grammar.
- At each reduction step, a specific substring matching the body of a production is **replaced by the nonterminal** at the head of that production.

# Shift-Reduce Parsing

Grammar:

$$S \rightarrow a A B e$$

$$A \rightarrow A b c \mid b$$

$$B \rightarrow d$$

Strings that  
match  
Grammar  
productions

Reducing input string:

a b b c d e

a A b c d e

a A d e

a A B e

S

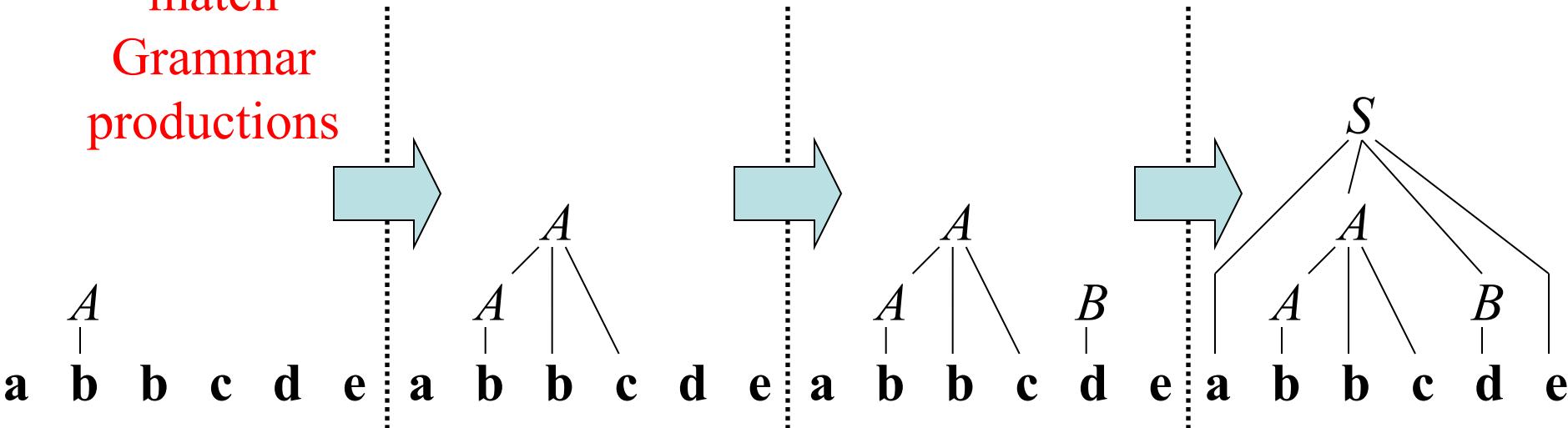
**Shift-reduce** corresponds  
to a rightmost derivation:

$$S \Rightarrow_{rm} a A B e$$

$$\Rightarrow_{rm} a A d e$$

$$\Rightarrow_{rm} a A b c d e$$

$$\Rightarrow_{rm} a b b c d e$$



# Handles

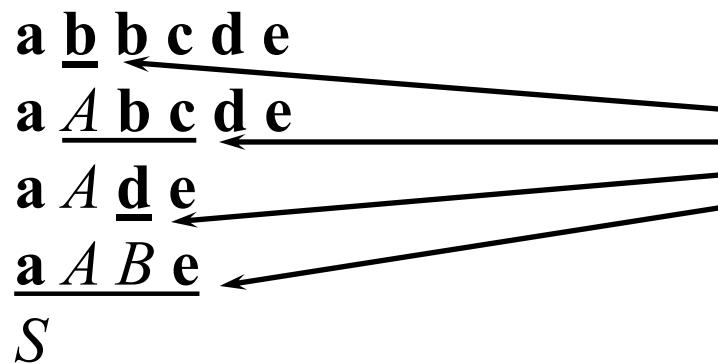
A *handle* is a substring of grammar symbols in a *right-sentential form* that matches a right-hand side of a production

Grammar:

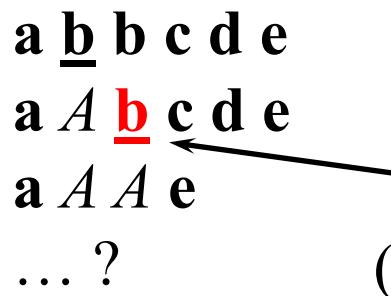
$$S \rightarrow a A B e$$

$$A \rightarrow A b c \mid b$$

$$B \rightarrow d$$



Handles are used to reduce terminals by Non-terminals



NOT a handle, because  
further reductions will fail  
(result is not a sentential form)

The substring to the right of the handle must contain only terminals

# Bottom-Up Parsing - Conflicts

- Conflicts happen when the Context Free Grammar has an *inadequate state*.
- Two possible actions, don't know what to put in parse: **Shift-Reduce**, or **Reduce-Reduce**. (Shift-Shift is not action).
- Reasons of Conflicts:
  - Ambiguity
    - Two or more possible parse trees for a string
    - Determining general grammar ambiguity is undecidable.

# Bottom-Up Parsing Actions

## Shift-Reduce Parsing Example

Stack	Input	Action
\$	$\text{id} + \text{id} * \text{id} \$$	shift
<u>\$id</u>	+ $\text{id} * \text{id} \$$	reduce $E \rightarrow \text{id}$
\$E	+ $\text{id} * \text{id} \$$	shift
\$E+	$\text{id} * \text{id} \$$	shift
\$E+ <u>id</u>	* $\text{id} \$$	reduce $E \rightarrow \text{id}$
\$E+E	* $\text{id} \$$	shift (or reduce?)
\$E+E*	$\text{id} \$$	shift
\$E+E* <u>id</u>	\$	reduce $E \rightarrow \text{id}$
\$E+E* <u>E</u>	\$	reduce $E \rightarrow E * E$
<u>\$E+E</u>	\$	reduce $E \rightarrow E + E$
\$E	\$	accept

Grammar:

$$\begin{aligned} E &\rightarrow E + E \\ E &\rightarrow E * E \\ E &\rightarrow ( E ) \\ E &\rightarrow \text{id} \end{aligned}$$

Find handles  
to reduce

How to  
resolve  
conflicts?

We choose  
shift  
because  
in the  
lookahead  
we have \*  
but not \$

Scan the input left to right, and the parser shifts 0 or more input symbols to the stack until it is ready to reduce the string of grammar symbols on the top of the stack ... repeat until we reach the start symbol.

# Shift-Reduce Conflicts

- Shift-Reduce: we cannot decide whether to **shift a symbol** or **reduce the top of stack**.
- Grammars used in compliers are usually **lookahead LR(1)**.
- Conflicts might be caused by the fact that we read **one symbol** of lookahead (LR(1)).

# Shift-Reduce Conflicts

- Shift-Reduce and Reduce-Reduce conflicts are caused by:
  - Ambiguity of the grammar
  - The limitations of the LR parsing method (even when the grammar is unambiguous).

# Shift-Reduce Conflicts - Example

Ambiguous grammar:

$$\begin{aligned} S \rightarrow & \text{if } E \text{ then } S \\ | & \text{ if } E \text{ then } S \text{ else } S \\ | & \text{ other} \end{aligned}$$

Resolve in favor  
of **shift**, so **else**  
matches closest **if**

Stack	Input	Action
\$...	...\$	...
\$...if $E$ then $S$	else...\$	shift or reduce?

# Shift-Reduce Conflicts

- An LR grammar can never be ambiguous.
- In the example, we **cannot tell** whether **if expr then stmt** is the handle.
- **Here we have a shift/reduce conflict.**
- It depends on what follows else in the input:
  - it might be correct to **reduce if expr then stmt** to **stmt** or
  - it might be correct to **shift else** and then look for another stmt to complete the alternative **if expr then stmt else stmt**
- We can adapt the grammar by favoring the **shifting** the parser will associate each else with the previous unmatched then (the parser will behave correctly as we expect).

# Reduce-Reduce Conflicts

- Reduce-Reduce: we don't know which reduction to take.
- We have a **handle** but the **stack content** and the **next input symbol** are **insufficient to determine** which production should be used in a reduction.
- Suppose the lexical analyzer returns token **id** for all names (**functions, arrays, variables, ...**)
- A procedure call or array reference would appear as **id (id, id)**

# Reduce-Reduce Conflicts - Example

Grammar:

*stmt* → **id** (*parameter\_list*)  
| *expr* = *expr*

*parameter\_list* → *parameter\_list*, *parameter*  
| *parameter*

*parameter* → **id**

*expr* → **id** (*expr\_list*)  
| **id**

*expr\_list* → *expr\_list*, *expr*  
| *expr*

# Reduce-Reduce Conflicts - Example

After pushing the first three tokens of **id(id, id)** into the stack:

Stack	Input	Action
... <b>id ( id</b>	<b>,</b> <b>id)</b> ...	<p>reduce</p> <p><i>parameter</i> → <b>id</b></p> <p>If we have a procedure or</p> <p><i>expr</i> → <b>id</b></p> <p>If we have an array reference</p>

The lexical analyzer  
should be modified to  
return **procid** token  
for procedure names

We know we need to reduce **id** on the top of the stack:

**parameter** → **id**

**expr** → **id**

*if we have a procedure*

*if we have an array reference*

# Reduce-Reduce Conflicts - Example

After reading the first three tokens of **procid(id, id)** onto the stack:

Grammar:

$stmt \rightarrow \text{procid} (\text{parameter\_list})$

.

...

...

Stack	Input	Action
... <b>procid</b> ( <b>id</b> , <b>id</b> ) ...		reduce <i>parameter</i> → <b>id</b> (parameter_list)  Note: the 3 <sup>rd</sup> symbol from the top of the stack determined the reduction