

Form A3: Participant Family History

ADRC: _____

PTID: _____

Form date: ____ / ____ / ____

Visit #: _____

Examiner's initials: _____

Language:
 1 English
 2 Spanish

Mode:
 1 In-person
 2 Remote (reason):
 1 Telephone 2 Video

Key (remote reason): 1=Too cognitively impaired
 2=Too physically impaired
 3=Homebound or nursing home
 4=Refused in-person visit
 5=Other

INSTRUCTIONS: This form is to be completed by a clinician with experience in evaluating participants with neurological and psychiatric diagnoses. Estimates are allowed if exact birth year or age at death is unknown. For additional clarification and examples, see the **UDS Coding Guidebook** for Form A3.

Section 1 – Biological parents

1. Since the last UDS visit, is new information available concerning the status of the participant's biological mother or father?

0 No (**SKIP TO QUESTION 2**)
 1 Yes (**COMPLETE QUESTIONS 1A-1B**)

For any parent with a neurological or psychiatric diagnosis, the entire row must be filled out.

If the clinician cannot determine the primary neurological/psychiatric diagnosis after reviewing all available evidence, enter **99 = Unknown** in the Primary diagnosis column, and skip the subsequent questions in the row. For a parent with no neurological or psychiatric diagnosis, enter **00 = No known neurological/psychiatric diagnosis** in the Primary diagnosis column, and then skip the subsequent questions in the row. For a parent with a primary diagnosis but no secondary diagnosis, enter **88 = No secondary diagnosis** in the Secondary diagnosis column.

	Birth year (6666=provided at previous visit, 9999=Unknown)	Age at death (666=provided at previous visit, 888=N/A, 999=Unknown)	Primary dx*	Secondary dx*	Method of evaluation**	Age of onset of primary dx (666=provided at previous visit, 999=Unknown)
1a. Mother	_____	_____	_____	_____ SEE LIST OF CODES	_____
1b. Father	_____	_____	_____	_____	_____	_____

Codes

*DIAGNOSES

- 00 No known neurological/psychiatric diagnosis
- 01 Alzheimer's Disease
- 02 Lewy Body dementia (includes DLB and PDD)
- 03 Vascular dementia
- 04 Stroke
- 05 FTLD* without motor neuron disease
- 06 FTLD* with motor neuron disease
- 07 Motor Neuron Disease
- 08 Parkinson's Disease
- 09 Prion pathology
- 10 Psychiatric condition
- 11 Dementia of unknown etiology
- 12 Other
- 66 Provided at previous visit
- 88 No secondary diagnosis
- 99 Specific diagnosis unknown (acceptable if method of evaluation is not by exam or autopsy)

**METHOD OF EVALUATION

- 1 Participant/family report
- 2 Medical records
- 3 Exam (co-enrolled family members)
- 4 Autopsy (if autopsy report available)
- 6 Provided at previous visit

*FTLD includes: bvFTD or FTD, PPA (any subtype), CBS or CBD, PSP

Abbreviations: bvFTD = behavioral variant frontotemporal dementia, CBS = corticobasal syndrome, CBD = corticobasal degeneration, DLB = dementia with Lewy bodies, FTD = frontotemporal dementia, PDD = Parkinson's disease with dementia, PPA = primary progressive aphasia, PSP = progressive supranuclear palsy

YEAR OF BIRTH FOR FULL SIBLINGS & BIOLOGICAL CHILDREN: If birth year is unknown, please provide an approximate year on **UDS Initial Visit Form A3** and **UDS Follow-up Visit Form A3** so that the sibling or child with unknown birth year ends up in correct birth order relative to the other siblings/children.

Example: A participant is the oldest of three children. The participant was born in 1940 and the middle sibling in 1943; the youngest sibling's birth year is unknown. An approximate birth year of 1944 or later should be assigned to the youngest sibling.

Use that same birth year on **FTLD Module Form A3a**, if applicable, and across all UDS visits so that any new information on a particular sibling or child can be linked to previously submitted information. If it is impossible for the participant and co-participant to estimate the birth year, enter **9999=Unknown**.

Section 2 – Full siblings

2. Since the last UDS visit, is new information available concerning the status of the participant's full siblings?

0 No (**SKIP TO QUESTION 3**)
 1 Yes (**COMPLETE QUESTIONS 2a-2u**)

- 2.1. How many full siblings does the participant have?

____ (77 = participant adopted or siblings unknown; 66 = provided at previous visit)

If participant has no full siblings, **SKIP TO QUESTION 3**; otherwise, provide information on all full siblings.

For any full sibling with a neurological or psychiatric diagnosis, the entire row must be filled out.

If the clinician cannot determine the primary neurological/psychiatric diagnosis after reviewing all available evidence, enter **99 = Unknown** in the **Primary diagnosis** column, and skip the subsequent questions in the row. For a full sibling with no neurological or psychiatric diagnosis, enter **00 = No known neurological/psychiatric diagnosis** in the **Primary diagnosis** column, and then skip the subsequent questions in the row. For a full sibling with a primary diagnosis but no secondary diagnosis, enter **88 = No secondary diagnosis** in the **Secondary diagnosis** column.

	Birth year (6666=provided at previous visit, 9999=Unknown)	Age at death (666=provided at previous visit, 888=N/A, 999=Unknown)	Primary dx*	Secondary dx*	Method of evaluation**	Age of onset of primary dx (666=provided at previous visit, 999=Unknown)
..... SEE LIST OF CODES						
2a. Sibling 1	-----	-----	-----	-----	-----	-----
2b. Sibling 2	-----	-----	-----	-----	-----	-----
2c. Sibling 3	-----	-----	-----	-----	-----	-----
2d. Sibling 4	-----	-----	-----	-----	-----	-----
2e. Sibling 5	-----	-----	-----	-----	-----	-----
2f. Sibling 6	-----	-----	-----	-----	-----	-----
2g. Sibling 7	-----	-----	-----	-----	-----	-----
2h. Sibling 8	-----	-----	-----	-----	-----	-----
2i. Sibling 9	-----	-----	-----	-----	-----	-----
2j. Sibling 10	-----	-----	-----	-----	-----	-----
2k. Sibling 11	-----	-----	-----	-----	-----	-----
2l. Sibling 12	-----	-----	-----	-----	-----	-----
2m. Sibling 13	-----	-----	-----	-----	-----	-----
2n. Sibling 14	-----	-----	-----	-----	-----	-----
2o. Sibling 15	-----	-----	-----	-----	-----	-----
2p. Sibling 16	-----	-----	-----	-----	-----	-----
2q. Sibling 17	-----	-----	-----	-----	-----	-----
2r. Sibling 18	-----	-----	-----	-----	-----	-----
2s. Sibling 19	-----	-----	-----	-----	-----	-----
2t. Sibling 20	-----	-----	-----	-----	-----	-----

Section 3 – Biological children

3. Since the last UDS visit, is new information available concerning the status of the participant's biological children?

0 No (**END FORM HERE**)
 1 Yes (**COMPLETE QUESTIONS 3B-3P**)

- 3.1. How many biological children does the participant have?

____ (66 = provided at previous visit)

If participant has no biological children, **END FORM HERE**; otherwise, provide information on all biological children.

For any biological child with a neurological or psychiatric diagnosis, the entire row must be filled out.

If the clinician cannot determine the primary neurological/psychiatric diagnosis after reviewing all available evidence, enter **99 = Unknown** in the Primary diagnosis column, and skip the subsequent questions in the row. For a biological child with no neurological or psychiatric diagnosis, enter **00 = No known neurological/psychiatric diagnosis** in the Primary diagnosis column, and then skip the subsequent questions in the row. For a biological child with a primary diagnosis but no secondary diagnosis, enter **88 = No secondary diagnosis** in the Secondary diagnosis column.

	Birth year (6666=provided at previous visit, 9999=Unknown)	Age at death (666=provided at previous visit, 888=N/A, 999=Unknown)	Primary dx*	Secondary dx*	Method of evaluation**	Age of onset of primary dx (666=provided at previous visit, 999=Unknown)
..... SEE LIST OF CODES						
3a. Child 1	-----	-----	-----	-----	-----	-----
3b. Child 2	-----	-----	-----	-----	-----	-----
3c. Child 3	-----	-----	-----	-----	-----	-----
3d. Child 4	-----	-----	-----	-----	-----	-----
3e. Child 5	-----	-----	-----	-----	-----	-----
3f. Child 6	-----	-----	-----	-----	-----	-----
3g. Child 7	-----	-----	-----	-----	-----	-----
3h. Child 8	-----	-----	-----	-----	-----	-----
3i. Child 9	-----	-----	-----	-----	-----	-----
3j. Child 10	-----	-----	-----	-----	-----	-----
3k. Child 11	-----	-----	-----	-----	-----	-----
3l. Child 12	-----	-----	-----	-----	-----	-----
3m. Child 13	-----	-----	-----	-----	-----	-----
3n. Child 14	-----	-----	-----	-----	-----	-----
3o. Child 15	-----	-----	-----	-----	-----	-----