

Form B9: Clinician Judgment of Symptoms

ADRC: _____ PTID: _____ Form date: ____/____/____ Visit #: _____ Examiner's initials: _____

Language: <input type="checkbox"/> 1 English <input type="checkbox"/> 2 Spanish	Mode: <input type="checkbox"/> 1 In-person <input type="checkbox"/> 2 Remote (reason): ____ <input type="checkbox"/> 1 Telephone <input type="checkbox"/> 2 Video	Key (remote reason): 1=Too cognitively impaired 2=Too physically impaired 3=Homebound or nursing home 4=Refused in-person visit 5=Other
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INSTRUCTIONS: This form is to be completed by the clinician. Questions below are not intended for direct administration to participant or co-participant. For all questions the clinician must use their best judgment about whether symptoms are present and make their estimate when symptoms began based on information from participant and co-participant. For additional clarification and examples, see **UDS Coding Guidebook for Form B9.** Check only one box per question.

Section 1 – Declines in function

Reported by participant.

1.	Does the <u>participant</u> report a decline in any cognitive domain (relative to stable baseline prior to onset of current syndrome)?	<input type="checkbox"/> 0 No <input type="checkbox"/> 1 Yes	<input type="checkbox"/> 8 Could not be assessed / participant is too impaired
2.	Does the <u>participant</u> report a decline in any motor domain (relative to stable baseline prior to onset of current syndrome)?	<input type="checkbox"/> 0 No <input type="checkbox"/> 1 Yes	<input type="checkbox"/> 8 Could not be assessed / participant is too impaired
3.	Does the <u>participant</u> report the development of any significant neuropsychiatric/behavioral symptoms (relative to stable baseline prior to onset of current syndrome)?	<input type="checkbox"/> 0 No <input type="checkbox"/> 1 Yes	<input type="checkbox"/> 8 Could not be assessed / participant is too impaired

Reported by co-participant.

4.	Does the <u>co-participant</u> report a decline in any cognitive domain (relative to stable baseline prior to onset of current syndrome)?	<input type="checkbox"/> 0 No <input type="checkbox"/> 1 Yes	<input type="checkbox"/> 8 There is no co-participant
5.	Does the <u>co-participant</u> report a change in any motor domain (relative to stable baseline prior to onset of current syndrome)?	<input type="checkbox"/> 0 No <input type="checkbox"/> 1 Yes	<input type="checkbox"/> 8 There is no co-participant
6.	Does the <u>co-participant</u> report the development of any significant neuropsychiatric/behavioral symptoms (relative to stable baseline prior to onset of current syndrome)?	<input type="checkbox"/> 0 No <input type="checkbox"/> 1 Yes	<input type="checkbox"/> 8 There is no co-participant

Reported by clinician

7.	Does the participant have any neuropsychiatric/behavioral symptoms or declines in any cognitive or motor domains?	<input type="checkbox"/> 0 No (END FORM HERE) <input type="checkbox"/> 1 Yes
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Section 2 – Cognitive impairment

Consider if the participant currently is meaningfully impaired, **relative to stable baseline prior to onset of current syndrome:**

8.	Based on the clinician's judgment, is the participant currently experiencing meaningful impairment in cognition?	<input type="checkbox"/> 0 No (SKIP TO QUESTION 11) <input type="checkbox"/> 1 Yes
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The clinician must use their best judgment to estimate an age of onset for the following cognitive symptoms (if present).

9.	Indicate whether the participant is meaningfully impaired in the following cognitive domains or has fluctuating cognition:			
Cognitive	No	Yes	Unknown	
9a. Memory — For example, do they forget conversations and/or dates, repeat questions, and/or statements, misplace things more than usual?	<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9	
9b. Orientation — For example, do they have trouble knowing the day, month, and year, or forget names of people they know well, get lost in familiar locations, or not recognize familiar locations?	<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9	
9c. Executive function (judgment, planning, and problem-solving) — Do they have trouble planning complex activities like trips, financial transactions, parties, or group meetings?	<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9	
9d. Language — Do they have hesitant speech, have trouble finding words, use inappropriate words without self-correction, or have trouble with speech comprehension?	<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9	

Section 2 – Cognitive impairment*continued...*

	No	Yes	Unknown
9e. Visuospatial function — Do they have difficulty interpreting visual stimuli or finding their way around in familiar environments?	<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
9f. Attention/concentration — Does the participant have a short attention span or limited ability to concentrate? Are they easily distracted?	<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
9g. Fluctuating cognition — Does the participant exhibit pronounced variation in attention and alertness, noticeably over hours or days—for example, long lapses or periods of staring into space, or times when their ideas have a disorganized flow?	<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
9h. Other (SPECIFY): _____	<input type="checkbox"/> 0	<input type="checkbox"/> 1	
9i. If any of the cognitive-related behavioral symptoms in 9a–9h are present, at what age did they begin? _____			
10. Mode of onset of cognitive impairment: Indicate the mode of onset for the most prominent cognitive problem that is causing the participant's complaints and/or affecting the participant's function.	<input type="checkbox"/> 1 Gradual <input type="checkbox"/> 2 Subacute <input type="checkbox"/> 3 Abrupt <input type="checkbox"/> 4 Other (SPECIFY): _____ <input type="checkbox"/> 99 Unknown		

Section 3 – Behavioral changes

Consider if the participant currently manifests meaningful change in behavior, **relative to stable baseline prior to onset of current syndrome, and not explained by longstanding psychiatric disorder**:

11. Based on the clinician's judgment, is the participant currently experiencing meaningful change in behavior?	<input type="checkbox"/> 0 No (SKIP TO QUESTION 14) <input type="checkbox"/> 1 Yes
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The clinician must use their best judgment to estimate an age of onset for the following behavioral symptoms (if present).

Mood	No	Yes	Unknown
12a. Apathy/withdrawal — Has the participant lost interest in or displayed a reduced ability to initiate usual activities and social interaction, such as conversing with family and/or friends?	<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
12b. Depressed mood — Does the participant have periods where they seem to be depressed for two weeks or more (<i>e.g., shown loss of interest or pleasure in nearly all activities, sadness, hopelessness, loss of appetite, fatigue</i>)?	<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
12c. Anxiety — For example, do they show signs of nervousness (<i>e.g., frequent sighing, anxious facial expressions, or hand-wringing</i>) and/or excessive worrying?	<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
12d. Euphoria — Does the participant have periods where they seem to be too cheerful or euphoric for two weeks or more?	<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
12e. Irritability — Does the participant overreact (<i>e.g., by shouting, or voicing irritation at family members or others</i>)?	<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
12f. Agitation — Does the participant have trouble sitting still? Do they frequently rummage through drawers or closets?	<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
12g. If any of the mood-related behavioral changes in 12a–12f are present, at what age did they begin? _____			

Section 3 – Behavioral changes*continued...*

Psychosis and impulse control		No	Yes	Unknown
12h. Visual hallucinations		<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
12h1. IF YES , do their hallucinations include patterns that are not definite objects, such as pixelation of flat uniform surfaces?		<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
12h2. IF YES , do their hallucinations include well formed and detailed images of objects or people, either as independent images or as part of other objects?		<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
12i. Auditory hallucinations		<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
12i1. IF YES , do the auditory hallucinations include simple sounds like knocks or other simple sounds?		<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
12i2. IF YES , do the auditory hallucinations include complex sounds like voices speaking words, or music?		<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
12j. Abnormal, false, or delusional beliefs		<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
12k. Aggression — Does the participant verbally abuse, or hit or kick others (<i>family, caregivers, strangers...</i>)?		<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
12l. If any of the psychosis and impulse control –related behavioral changes in 12h–12k are present, at what age did they begin? _____				
Personality		No	Yes	Unknown
12m. Disinhibition — Does the participant use inappropriate coarse language or exhibit inappropriate speech or behaviors in public or in the home? Do they talk personally to strangers or have disregard for personal hygiene?		<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
12n. Personality change — Does the participant exhibit bizarre behavior or behavior uncharacteristic of the participant, such as unusual collecting, suspiciousness (<i>without delusions</i>), unusual dress, or unusual eating behaviors?		<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
12o. Loss of empathy — Does the participant fail to take others' feelings into account?		<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
12p. Obsessions and/or compulsions — For example, do they repeatedly and excessively focus on particular ideas or activities, and/or have they developed new habits, like physical behaviors or stereotypical verbal phrases?		<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
12q. Explosive anger — Does the participant have a "short fuse"? Do they display explosive outbursts of anger/rage?		<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
12r. Substance use — Does the participant currently show evidence of excessive consumption of recreational, psychoactive, or typically abused substances (<i>substantial increase compared with prior habits, and beyond medical necessity if prescribed substance</i>)?		<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
12r1. IF YES , record substance(s) involved: (Check all that apply)		12r1a. <input type="checkbox"/> 1 Alcohol 12r1b. <input type="checkbox"/> 1 Sedative/hypnotic 12r1c. <input type="checkbox"/> 1 Opiate 12r1d. <input type="checkbox"/> 1 Cocaine 12r1e. <input type="checkbox"/> 1 Other (SPECIFY): _____		
12s. If any of the personality–related behavioral changes in 12m–12r are present, at what age did they begin? _____				
REM Sleep		No	Yes	Unknown
12t. REM sleep behavior disorder — While sleeping, does the participant appear to repeatedly act out their dreams (<i>e.g., punch or flail their arms, shout, or scream</i>)?		<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
12t1. IF YES , at what age did the dream enactment behavior begin? _____				
12t2. Was REM sleep behavior disorder confirmed by polysomnography?		<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
Other		No	Yes	Unknown
12u. Other behavioral changes (SPECIFY): _____		<input type="checkbox"/> 0	<input type="checkbox"/> 1	
13. Overall mode of onset for behavioral changes: Indicate the mode of onset for the most prominent behavioral problem that is causing the participant's complaints and/or affecting the participant's function.		<input type="checkbox"/> 1 Gradual <input type="checkbox"/> 2 Subacute <input type="checkbox"/> 3 Abrupt		<input type="checkbox"/> 4 Other (SPECIFY): _____ <input type="checkbox"/> 99 Unknown

Section 4 – Motor changes

Consider if the participant currently has meaningful change in motor function **that represents a change relative to a stable baseline prior to the current syndrome and is potentially due to a disorder affecting the central nervous system:**

14. Based on the clinician's judgment, is the participant currently experiencing any meaningful changes in motor function? ☐ 0 No (SKIP TO QUESTION 19) ☐ 1 Yes

The clinician must use their best judgment to estimate an age of onset for the following motor symptoms (if present).

15. Indicate whether the participant has meaningful change in motor function:

Motor	No	Yes	Unknown
15a. Gait disorder — Has the participant's walking changed, not specifically due to arthritis, injury, or peripheral neuropathy? Are they unsteady, or do they shuffle when walking, have little or no arm-swing, or drag a foot?	<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
15b. Falls — Has the participant had an increase in frequency of falls compared with their stable baseline prior to the current syndrome?	<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
15c. Slowness — Has the participant noticeably slowed down in walking, moving, or writing by hand, other than due to an injury or illness?	<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
15d. Tremors — Has the participant had rhythmic shaking, especially in the hands, arms, legs, head, mouth, or tongue?	<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
15e. Limb weakness — Has the participant noticed a change (<i>abrupt or gradual</i>) in limb function such that an arm and/or leg is weak compared to their prior baseline?	<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
15f. Change in facial expression — Has the participant's facial expression changed or become more "wooden," or masked and unexpressive?	<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
15g. Change in speech — Has the participant noted a change in speech (<i>abrupt or gradual</i>) such that speech is slurred, and/or the ability to articulate the tongue and lips to form words and sentences has declined compared to their baseline?	<input type="checkbox"/> 0	<input type="checkbox"/> 1	<input type="checkbox"/> 9
15h. If changes in motor function are present in 15a–15g, at what age did they begin?	— — —		

16. **Mode of onset for motor changes:** Indicate the mode of onset for the **most prominent** motor problem that is causing the participant's complaints and/or affecting the participant's function.
- ☐ 1 Gradual ☐ 2 Subacute ☐ 3 Abrupt ☐ 4 Other (SPECIFY): _____ ☐ 99 Unknown

17. Were changes in motor function suggestive of parkinsonism? ☐ 0 ☐ 1 ☐ 9
18. Were changes in motor function suggestive of amyotrophic lateral sclerosis (ALS) (*e.g., changes in weakness and/or muscle twitches in one or more limbs, slurred speech, etc.*)? ☐ 0 ☐ 1 ☐ 9

Section 5 – Overall course of decline and predominant domain

19. Overall course of decline of cognitive / behavioral / motor syndrome:	<input type="checkbox"/> 1 Gradually progressive <input type="checkbox"/> 2 Stepwise <input type="checkbox"/> 3 Static <input type="checkbox"/> 4 Fluctuating	<input type="checkbox"/> 5 Improved <input type="checkbox"/> 8 Not applicable <input type="checkbox"/> 9 Unknown
20. Indicate the predominant domain that was first recognized as changed in the participant:	<input type="checkbox"/> 1 Cognition <input type="checkbox"/> 2 Behavior <input type="checkbox"/> 3 Motor function	<input type="checkbox"/> 8 Not applicable <input type="checkbox"/> 9 Unknown