The objective of this project is to select a research paper and use simulations to demonstrate the improvements it introduces over the current state-of-the-art. The project may be completed individually or by a pair of students.

The first example involves simulating an optical receiver and integrating a new detector from a journal paper, then comparing its performance with the existing detector.

The second example focuses on an RF receiver, where the error correction coding is replaced with a new scheme from a journal paper to demonstrate the performance improvement.

Required Steps:

- 1. Obtain approval for the selected paper from the Teaching Assistant (TA).
- 2. Submit the final project report.
- 3. Deliver a brief presentation in class.
- 4. Review and discuss the simulation outcomes with the TA.

Submission Requirements

Please include a folder (ZIP) and one PDF file containing the following:

- 1. A concise PowerPoint presentation, consisting of up to 10 slides.
- 2. A project summary document, with a maximum of 5 pages.
- 3. Formulate three calculation-based questions derived from the paper.
- 3. Your MATLAB or Python code.
- 4. The research paper upon which the work is based.

List of journals (The last 5 years)

- 1. IEEE Transactions on Aerospace and Electronic Systems
- 2. IEEE Transactions on Antennas and Propagation
- 3. International Journal of Satellite Communications and Networking
- 4. IEEE/OPTICA Journal of Lightwave Technology
- 5. IEEE Transactions on communication
- 6. IEEE Transactions on wireless communication
- 7. Optics Express
- 8. Nature Photonics
- 9. IEEE Journal on Selected Areas in Communications

In special cases, approval for papers from other journals may be granted; however, prior written approval is required.

Evaluation Criteria for the Project:

1. Understanding of the Paper (40%)

- o Clear comprehension of key concepts, methods, and context.
- o Critical analysis and understanding of the paper's strengths and weaknesses.
- o Formulate three calculation-based questions derived from the paper.

2. Quality of the Simulation (50%)

- o Correct and functional code, reflecting the paper's methodology.
- o Effective implementation and creativity in handling complex aspects.
- o Accurate and realistic simulation results.
- o Proper analysis of simulation results, linked to the paper's objectives.
- Comparison with state-of-the-art methods and insightful conclusions.

3. Communication and Presentation (10%)

- Clear, well-structured project report and presentation.
- o Effective oral presentation

An Example of an APD-Based Optical Receiver

1. Gather Background Knowledge & Choose Your Research Topic

- Study the course book, along with additional books, research papers, and reputable online sources, to build a strong foundational understanding of APD-based optical receivers.
- Explore different aspects of the topic and select a research focus that aligns with your interests and course objectives.

2. Research Focus: Improving Optical Receiver Performance with APDs

• In this example, we investigate how the performance of an optical receiver for satellites can be improved using a new avalanche photodiode (APD).

3. Formulate Key Research Objectives

- Develop a mathematical model to analyze the Bit Error Rate (BER) performance of APD-based satellite optical receivers.
- Search for research papers on Google Scholar that discuss advancements in next-generation APD technology.
- Identify a datasheet for an APD with performance characteristics similar to those described in the selected research paper.
- Implement Python or MATLAB code to calculate and compare the Noise and BER for the receiver configuration using both the paper's APD and the datasheet APD.
- Ensure fair comparison, summarize findings, write conclusion, and maintain objectivity.

An Example of an APD-Based Optical Receiver

An avalanche photodiode (APD) is a highly sensitive semiconductor photodetector that operates with an internal gain mechanism. When a photon strikes the APD, it generates an electron-hole pair, which is then accelerated by a high reverse bias voltage. This causes an avalanche multiplication effect, where secondary charge carriers are created, leading to a significant amplification of the photocurrent. APDs are used in applications requiring high sensitivity and fast response times, such as LIDAR, fiber-optic communication, and low-light detection. However, they require a stable high-voltage power supply and generate more noise compared to regular photodiodes.

An Example of an APD-Based Optical Receiver

1. Developing mathematical model

The **Bit Error Rate (BER)** is a critical parameter in evaluating the performance of an **Avalanche Photodiode (APD)** optical receiver. It quantifies the probability of errors in received data due to noise. The following sections provide a detailed explanation of how to calculate the BER by considering various noise sources.

1. Fundamentals of BER Calculation

The BER is typically defined in terms of the Q-factor, which relates to the Signal-to-Noise Ratio (SNR). The BER can be expressed as:

$$BER = 0.5 \times \operatorname{erfc}\left(\frac{Q}{\sqrt{2}}\right)$$

Where:

- erfc is the complementary error function,
- Q is the Q-factor, representing the SNR.

2. The Q-Factor for an APD Receiver

The **Q-factor** for an APD receiver is calculated as:

$$Q = \frac{I_1 - I_0}{\sigma_1^{\square} + \sigma_0^{\square}}$$

Where:

- I₁ is the photocurrent corresponding to bit "1",
- I₀ is the photocurrent corresponding to bit "0",
- σ_1 is the standard deviation (noise) for bit "1",
- σ₀ is the standard deviation (noise) for bit "0".

3. APD Noise Sources

The noise sources in an APD-based optical receiver include the following:

3.1 Shot Noise

Shot noise arises from the discrete nature of light and is amplified by the APD's gain factor. The shot noise is given by:

$$\sigma_{\rm shot}^2 = 2qI_p M^2 F(M)B$$

An Example of an APD-Based Optical Receiver

Where:

- q is the elementary charge (1.6 \times 10⁻¹⁹ C),
- I_p is the primary photocurrent,
- M is the APD gain factor,
- F(M) is the excess noise factor, which is typically modeled as:

$$F(M) = kM + (1-k)\left(2 - \frac{1}{M}\right)$$

Here, k is the ionization ratio, which is material-dependent,

B is the receiver bandwidth.

3.2 Thermal Noise (Johnson Noise)

Thermal noise, caused by the random motion of electrons, is given by:

$$\sigma_{\text{thermal}}^2 = \frac{4k_B TB}{R_L}$$

Where:

- k_R is the Boltzmann constant (1.38 × 10⁻²³ J/K),
- T is the temperature in Kelvin,
- B is the bandwidth,
- R_L is the load resistance.

3.3 Dark Current Noise

Dark current noise is generated by the APD even in the absence of light. It is given by:

$$\sigma_{\rm dark}^2 = 2qI_dM^2F(M)B$$

Where I_d is the dark current before multiplication.

3.4 Background Radiation Noise

Ambient light also contributes noise to the receiver. The background radiation noise is expressed as:

$$\sigma_{\text{background}}^2 = 2qI_bM^2F(M)B$$

Where I_b is the photocurrent generated by background radiation.

An Example of an APD-Based Optical Receiver

4. Total Noise Calculation

The total noise for the "1" and "0" bits can be expressed as:

$$\sigma_1^2 = \sigma_{\text{shot1}}^2 + \sigma_{\text{thermal}}^2 + \sigma_{\text{dark}}^2 + \sigma_{\text{background}}^2$$

$$\sigma_0^2 = \sigma_{\text{shot}0}^2 + \sigma_{\text{thermal}}^2 + \sigma_{\text{dark}}^2 + \sigma_{\text{background}}^2$$

Where the shot noise will differ for bits "1" and "0" due to different photocurrent levels.

5. Photocurrent Calculation

The photocurrent levels for bits "1" and "0" can be calculated as:

$$I_1 = M \times R \times P_1$$

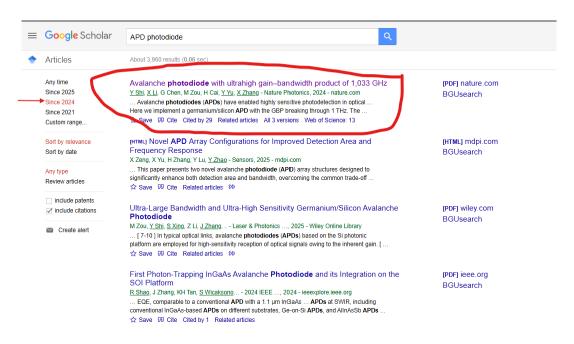
$$I_0 = M \times R \times P_0$$

Where:

- R is the responsivity of the photodiode (in A/W),
- P_1 and P_0 are the optical power levels for bits "1" and "0", respectively.

How to Begin the Project: An Example

2. Searching in google scholar the subject APD photodiode looking for papers starting 2024



An Example of an APD-Based Optical Receiver

nature photonics

Article

https://doi.org/10.1038/s41566-024-01421-2

Avalanche photodiode with ultrahigh gainbandwidth product of 1,033 GHz

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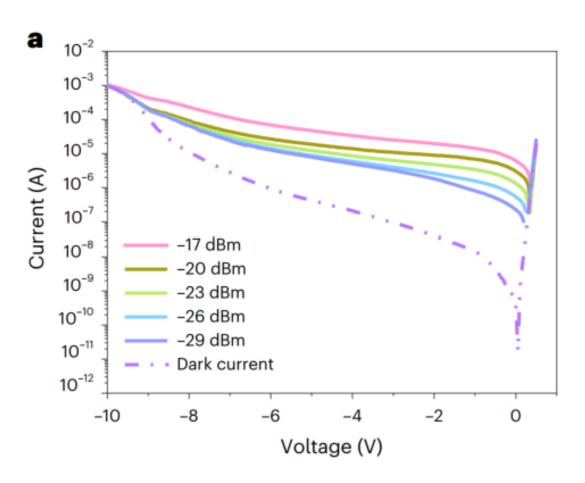


Yang Shi 🖲 ¹, Xiang Li², Guanyu Chen 📵 ¹.³, Mingjie Zou¹, Hongjun Cai¹, Yu Yu 🔞 ¹.⁴ ⊠ & Xinliang Zhang 🔞 ¹.⁴ ⊠

Avalanche photodiodes (APDs) have enabled highly sensitive photodetection in optical communication, sensing and quantum applications. Great efforts have been focused on improving their gainbandwidth product (GBP). However, further advance has encountered enormous barriers due to incomplete consideration of the avalanche process. Here we implement a germanium/silicon APD with the GBP breaking through 1 THz. The performance is achieved by introducing two cooperative strategies: precisely shaping the electric field distribution and elaborately engineering the resonant effect in the avalanche process. Experimentally, the presented APD has a primary responsivity of 0.87 A W⁻¹ at unity gain, a large bandwidth of 53 GHz in the gain range of 9-19.5 and an ultrahigh GBP of 1,033 GHz under -8.6 V and at 1,550 nm. For demonstration, data reception of 112 Gb s⁻¹ on-off keying and 200 Gb s⁻¹ four-level pulse amplitude modulation signals per wavelength are achieved with clear eye diagrams and high sensitivity, as well as 800 G reception via four channels. This work provides a potential successor for high-speed optoelectronic devices in next-generation optical interconnects.

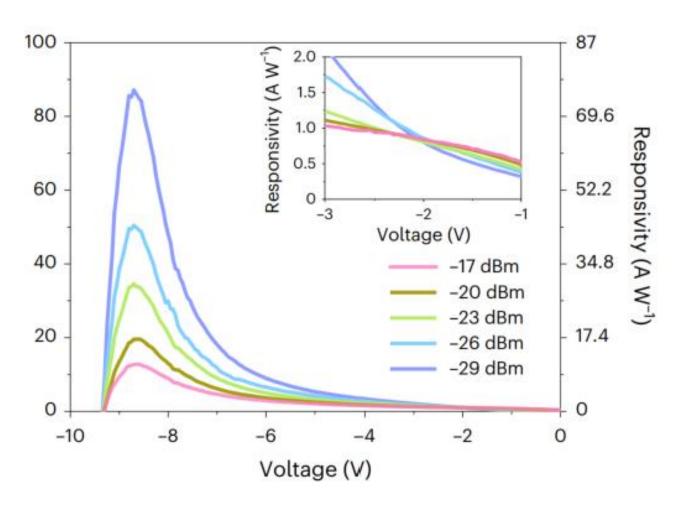
An Example of an APD-Based Optical Receiver

Dark current



An Example of an APD-Based Optical Receiver

Gain



An Example of an APD-Based Optical Receiver

3. Searching online for the datasheet of a high-speed APD



An Example of an APD-Based Optical Receiver

3. Searching online for the datasheet of a high-speed APD



IAV80 IAV200 IAV350

InGaAs Avalanche Photodiodes (APD)

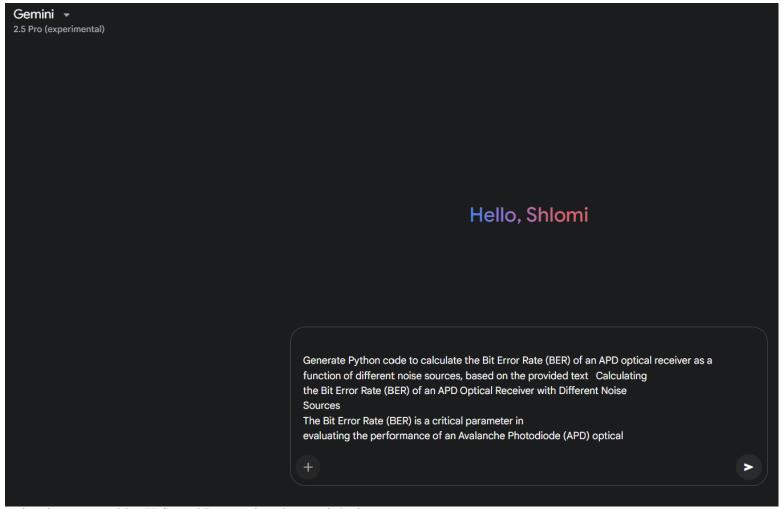
InGaAs Avalanche Photodiodes

Electrical Characteristics @ 23 °C ± 2 °C

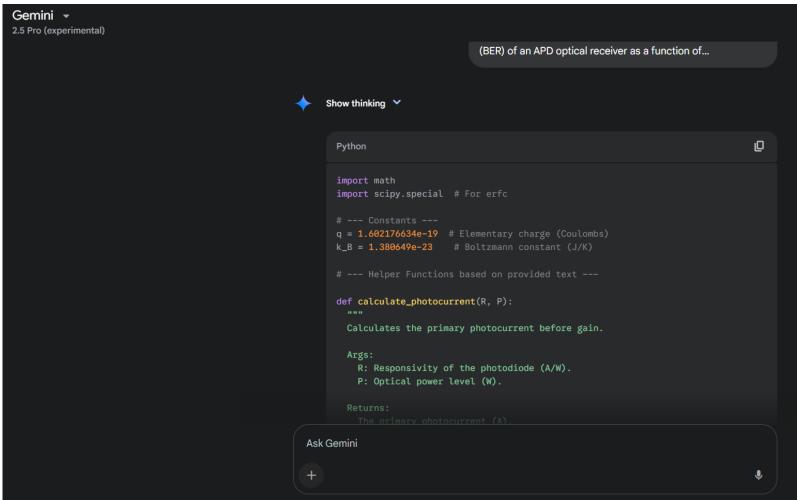
Performance Specification	IAV80	IAV200	1AV350	Units
Active Diameter	80	200	350	μm
Wavelength Range	1.0 - 1.63	1.0 - 1.63	1000 to 1630	μm
Responsivity @ M=1 @ 1.55 μm	0.85 min 0.90 typ 0.95 max	0.85 min 0.94 typ 1.05 max	0.85 min 0.90 typ 0.95 max	A/W
Dark Current @ M = 10	4 typ[15 max	8 typ 25 max	30 typ 250 max	nA
Operating Voltage, V _R @ M = 10	43 min 55 typ 70 max	43 min 55 typ 70 max	37 min 52 typ 68 max	v
Breakdown Voltage, V _{BR} (I _D =10 μA)	40 min 65 typ 80 max	50 min 63 typ 75 max	45 min 60 typ 75 max	v
Capacitance @ M = 10	0.35 min 0.38 typ 0.45 max	1.8 typ 2.2 max	3.2 typ 4.0 max	pF
V _{BR} temperature coefficient	0.06 typ	0.075 typ 0.08 max	0.075 typ	V/°C
Bandwidth @ M = 5	2 min 2.5 typ 3 max	0.5 min 1.5 typ 2 max	0.6 typ	GHz
Bandwidth @ M = 10	1 min 1.5 typ 2 max	1 min 1.5 typ 2 max	0.6 typ	GHz
Bandwidth @ M = 20	1.5 min 2.2 typ 2.5 max	0.5 min 1 typ 1.5 max	0.6 typ	GHz
Excess Noise Factor, F @ M = 10	3.2 typ 3.7 max	3.2 typ 3.7 max	3.2 typ 3.7 max	
Excess Noise Factor, F @ M = 20	5.5 typ 6 max	5.5 typ 6 max	5.5 typ 6 max	
Noise Equivalent Power, @ M = 10	10 typ 40 max	32 typ 100 max	80 typ 100 max	fW/Hz ¹⁵
Package	TO-46 window cap	TO-46 window cap	TO-46 window cap	

An Example of an APD-Based Optical Receiver

4. Generate Python code to calculate the Bit Error Rate (BER)



An Example of an APD-Based Optical Receiver



An Example of an APD-Based Optical Receiver

```
# --- Example Usage ---
if __name__ == "__main__":
   # Define example parameters (adjust these values based on your specific system)
    params = ₹
       'P1': 1e-6,
        'P0': 1e-8, # Optical power for bit "0" (e.g., 10 nW, extinction rat:
        'R': 0.8, # Responsivity (e.g., 0.8 A/W)
        'k': 0.02, # Ionization ratio for InGaAs APD (typical 0.02 - 0.5)
                         # Note: Text uses k, some literature uses k_eff or alpha,
        'B': 1e9, # Receiver Bandwidth (e.g., 1 GHz)
        'T': 298.15, # Temperature (e.g., 25 C = 298.15 K)
        'R_L': 1000,  # Load Resistance (e.g., 1 k0hm)
'I_d': 5e-9,  # Dark current (before gain) (e.g., 5 nA)
        'I_bg': 1e-9  # Background photocurrent (before gain) (e.g., 1 nA)
    print(f"Calculating BER with parameters:")
    for key, value in params.items():
        print(f" {key}: {value}")
    print("-" * 30)
    try:
        results = calculate_apd_ber(**params)
```

Guidelines for Writing the Project Discussion and Conclusion Section

1. Introduction

•State the purpose and key performance parameters (e.g., APD comparison).

2. System Performance Analysis

Use numerical data and graphs for fair comparison.

- •Responsivity & Gain: Compare signal conversion efficiency.
- •Noise Performance: Evaluate excess noise factor and SNR.
- •Bandwidth & Response Time: Highlight speed and efficiency.
- •Calculate the BER of both systems vs different signal power

3. Data Representation

- •Use graphs and tables to illustrate trends.
- •Ensure fair comparison under identical conditions.

4. Conclusion

- •Summarize key findings and advantages.
- •Discuss applications and future research directions.

Final Tips

- •Keep it clear and concise.
- •Support claims with data.

MATLAB

