**Cloud** = storage + compute cycles nearby.

Clouds' Feature: [Massive scale, On-demand access [HaaS IaaS PaaS SaaS], Data-intensive nature, New cloud programming paradigms].

WUE = annual water usage / IT equipment energy. PUE = total facility power / IT equipment power.

#### YARN Scheduler:

[Global Resource Manager (scheduling),

Per-server Node Manager (daemon; server-specific),
Per-app/job Application Master (container negotiation with RM and NMs; detecting task failures of the job)]
{AM needs container to RM; NM notify RM container available; RM notify AM location; AM req NM task}
Server Failure: {NM heartbeats RM, RM notify all affected AM; NM keep track of its tasks, mark as idle and restart; AM heartbeats RM, RM restart AM, sync}
RM Failure: {Use old checkpoints, bring up 2<sup>nd</sup> RM}
Heartbeats piggyback container requests

#### **Spanning Tree based Multicast**

 $\textbf{1. \underline{S}} \textbf{c} \textbf{alable } \underline{\textbf{R}} \textbf{e} \textbf{liable } \underline{\textbf{M}} \textbf{ulticast: } \{ NAK; \textbf{add random delay, exponential backoff to avoid storms} \}$ 

**2.**  $\underline{\mathbf{R}}$ eliable  $\underline{\mathbf{M}}$ ulticast  $\underline{\mathbf{T}}$ ransport  $\underline{\mathbf{P}}$ rotocol: {ACK} O(N) ACK/NAK overhead

Population: n+1, Uninfected: x, Infected: y.

$$x = \frac{n(n+1)}{n+e^{\beta(n+1)t}}, y = \frac{(n+1)}{1+ne^{-\beta(n+1)t}}$$

t = clog(n),  $y = n+1-(1/n^{cb-2})$ , each node < cblogn msgs.

**Pull Gossip**: first half = O(log(N)) rounds, second = O(log(log(N))) time.

**Heartbeating**: {Centralized, Ring, All-to-All: [L = N/T], Gossip:  $[T = logN * T_{gossip}, L = N/T_{gossip}]$ } Gossip period  $T \uparrow$ : detection time  $\downarrow$ , false rate  $\uparrow$ . **SWIM**:  $E(T) = [1-(1-1/N)^{N-1}] * (e/e-1)$ 

Suspicion Mechanism: incarnation number per process

# P2P SYSTEMS:

## 1. Napster: [TCP]

Server stores no files; maintains <file, ip, port> list. Client pings each host @ list, fetch from fastest.

# 2. Gnutella:

Servents connected in an overlay graph.
[Query; QueryHit; Ping; Pong; Push]
HTTP: standard; well-debugged; widely used.

Avoid excessive traffic:

Peer maintains recently received msg list. Forward to neighbors except sender. Each Query forwarded only once. Duplicates (DescriptorID and msg type)

dropped.

QueryHit's DscptID for unseen Query

Problems:

dropped.

Ping/Pong take 50% traffic → Multiplex, cache.
Repeated serach → Cache Query & QueryHit.
Modem-hosts small bandwidth → central server act as proxy.

3. Fasttrack (Kazza, Kazzalite, Grokster):

Supernode stores a dir listing a subset <file, peer>. Supernode membership changes over time: enough reputation. Save metadata locally and query fast.

**4. BitTorrent**: {Get tracker; Get peers; Get file blocks {Download Local Rarest First block; Tit for tat: 投桃 报李; Choking (limit number to best neighbors)};}.

# P2P SYSTEMS W/ PROVABLE PROPERTIES:

<u>D</u>istributed <u>H</u>ash <u>T</u>able [load balancing, fault tolerance, efficiency of lookups and inserts, locality]

Consistent Hashing [SHA-1 (160 bit); truncate to m bits; peer id 0 to  $2^m$ -1]

Peer failure: replicate file/key at r suc/prede cessors.

### Pastry:

Prefix matching.

## 3. Kelips:

 $k \ (\sim \ \sqrt{N})$  affinilty groups, each node hash to one. All nodes @ group replicate pointer <file, location>. Membership list dissemination time  $O(\log(N))$ .

Not only SOL: [get(k), put(k, v); join?, foreign keys?] {Tables: Column family @ Cassandra, Table @ HBase, Collection @ MongoDB; Column-oriented storage}

1. Cassandra [write faster than read]

DHT without finger tables or routing.

Partitioner: Key → server mapping. Client → Coordinator (any server) → Replicas. Replication strategy:

a. Simple Strategy

RandomPartitioner (Chord-like hash), ByteOrderedPartitioner (range query).

b. Network Topology Strategy For multi-DC deployments.

Snitches: x.<DC>.<rack>.<node>

Write: {Coordinator use Partitioner to send query to all replicas responsible, returns ack, {Memtable [append-only, last write wins], Sorted String Table [immutable], Bloom Filter}}

Delete: Add tombstone to log.

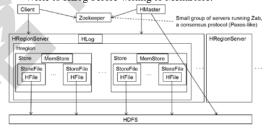
Read: Coordinator contact **X** replicas in same rack & fetch from other replicas. (init. Read Repair if diff.); Replica looks at Memtable first, then SSTables,

**CAP Theorem** [Consistency, Availability, Partition-Tolerance] *RDBMS: Atomi Consis Isola Durabi* **X**: W+R > N; W > N/2.

	,	
W	R	Situation
1	1	Few writes & reads
N	1	Read-heavy workloads
N/2+1	N/2+1	Write-heavy workloads
1	N	Write-heavy & 每 key 1 client W

Linearizability > Sequential (Lamport) > Commutative Replicated Data Types > Red-Blue > Causal > Eventual HBase [strong consistency + partition-tolerance] Table: 横 Region, 纵 ColumnFamily.

Write to HLog before writing to MemStore.



Clock Skew: relative diff in value; Clock Drift: relative diff in clock freq/rate; Sync at least every M / (2 \*  $\underline{M}$ aximum  $\underline{D}$ rift  $\underline{R}$ ate).

Christian's Algorithm:  $\{P \rightarrow S: \min 1, S \rightarrow P: \min 2; t = [t + \min 2, t + RTT - \min 1]; err < (RTT-\min 2+\min 1)/2\}$ Network Time Protocol: organized in a tree.

offset = (tr1 - tr2 + ts2 - ts1) / 2; error < |(L1 + L2) / 2|.



**Lamport Timestamp**: @instr: add 1; @send: add 1; @receive: max(local, msg + 1).

E1  $\rightarrow$  E2  $\Rightarrow$  LT(E1) < LT(E2); LT(E1) < LT(E2)  $\Rightarrow$  {E1  $\rightarrow$  E2} **OR** {E1  $\parallel$  E2}.

## **Vector Timestamp:**

Causally related iff.  $VT_1 < VT_2$  $VT_1 \parallel \mid VT_2 = NOT \ VT_1 \le VT_2 \ AND \ NOT \ VT_2 \le VT_1$ 

Global Snapshot / Global State = individual state of each process + each communication channel.

Snapshot should not interfere with normal app action or require app to stop sending msgs.

# Chandy-Lamport Global Snapshot Algorithm:

{Initiator Pi records own state, sends Marker on outgoing channel Cij, starts recording incoming msg on these channels; When Pi receives Marker: {if not seen before: do the underlining actions, mark Cij as empty; if seen: mark 仅 Cji as all msgs arrived on it.}; Terminate when all P receives Marker / on all incoming channels.}
Consistent Cut: if e in cut, then all the f→e also in cut.

**Liveness**: something good will eventually happen. **Safety**: anything bad will never happen.

## MULTICAST ORDERING

#### 1. FIFO Ordering:

Multicasts 接收的和发送的顺序一样, per sender. {Pi maintains Pi[1...N]; Send @ Pj, Pj[j]++, include Pj[j] in msg; Receive Pj's S @ Pi: {if S==Pi[j] + 1: deliver, 仅 Pi[j]++; else: buffer until condition true}}

## 2. Causal Ordering:

Causally related 的 multicast 接收时仍符合因果. Causal Ordering (更强) → FIFO Ordering (更弱)!

{Pi maintains Pi[1...N]; send @ Pj: Pj[j]++, include entire vector; receive M[] @ Pi , buffer until it is the next one Pi is expecting from Pj AND all msg before M is received (i.e. for  $k\neq j$ : M[k] $\leq$ Pi[k])}

### 3. Total Ordering (Atomic Broadcast):

Every process 收到<mark>所有</mark> multicasts 的顺序一致.

 $\label{eq:sequence:$ 

Virtual Synchrony / View Synchrony: {each process maintains a membership list called View, its update called View Change; VS guarantees: All View Changes are delivered in the same order at all correct P; M is "delivered in view V at process P" if P receives V, then BEFORE P receives next view, it delivers M.}

**CONSENSUS** [Safety (protocol doesn't end with nodes in disagreement) & Liveness (protocol ends)]

1. Synchronous System Model [msg reved in bounded time; bound clock drift per P; lb & ub each step per P]
Consensus at most f crashing: {Values'; proposed

values set known to Pi at beginning of round r; Initially Values $^0_i = \{\}$ , Values $^1_i = \{\text{vi}\}$ ; For rounds 1 to f+1, multicast Values $^r_i$  - Values $^{r-1}_i$ , Values $^{r+1}_i = \text{Values}^r_i$ , for each Vj received: Values $^{r+1}_i \cup \text{Vj}$ ;  $d_i = \min(\text{Values}^{f+1}_i)$  }

## 2. Asynchronous Sys Model: Consensus impossible.

**STATE:** Configuration = global collection state for each P. Event [atomic] {receipt of msg; proc of msg; sending out msg}. Schedule (sequence of events)

Easier Consensus Problem: some P eventually set to 0/1 FLP: {exists initial a bivalent conf.; starting from a bivalent conf. always reach another bivalent conf.}

Paxos [Safety + eventual liveness] {Async rounds, each a unique ballot id; Phases {Election; Bill; Law}} {P restarts: uses log to retrieve a past decision and past-seen ballot ids; Leader fails: starts new round; Anyone can start a round any time, may never end}

**ELECTION** [Safety: all non-faulty p: elect best value q or null; Liveness: all runs: {run terminates AND all non-faulty p: elect a non-null}]

- 1. Ring Election [logical ring ≈ Chord; Worst 3N-1, best 2N] {Initiator: any Pi initiates an "Election" on finding old coordinator / leader fails; Pi rcv "Election": {if its attr > own: forward it; if < AND Pi hasn't forward one; overwrite w/ own, forward; else if =: Pi becomes new coordinator, sends "Elected"}} Liveness violated if best one crashes after sending.
- 2. Bully Algorithm [All P know other P's IDs] {When Pi finds coordinator fails: {if it is the max: elects itself, sends "Coordinator" msg to all; else: sends "Election" to all Pj with higher IDs.}; After election starts, {if Pi receives no reply in timeout: elects itself, sends out "Coor"; else: wait for "Coor", starts new if no reply}; Pi receives "Election": replies "OK", starts own election;}

[Async: Liveness not guaranteed; Sync: satisfy] [Eventually elect one if failures stop; Timeout length: 5 or 4 msg transmission time (Lowest's Election +  $(2^{nd}$ 's answer to it ||  $2^{nd}$ 's Election to  $1^{st}$ ) +  $2^{nd}$  timeouts + Coor from  $2^{nd}$ ); #Msg [Worst  $nC2 = O(N^2)$ ; best N-2]]

MUTUAL EXCLUSION [Safe; Liveness; Ordering?] Sys Model [TCP; Msg eventually FIFO; P not fail] Critical Section [≤1] {Enter(); AccessRes(); Exit()}

- 1. Semaphores [S 最大允许] {Wait/P/Down(S): 阻塞 直到 S > 0, enter(); Signal/V/Up(S): exit()}
- 2. Central Solution [Safety, eventual liveness, FIFO] {Elect a central master/leader, who keeps a queue of waiting reqs and a special token; Any P: {enter(): send req to master, wait token; exit(): send back token to master;} Rcv Pi's req @ Master: {if has token: send to Pi; else: add Pi to queue}; Rcv Pi's token @ Master: {if queue empty: keep; else: dequeue head Pj, send token}}

[Bandwith(#msg): 2 enter, 1 exit; Client delay(t): 2 msg (req+grant); Sync delay(t): 2 msg (release+grant)]

- **3. Ring-based Mutual Exclusion** [1 token; Safety, eventual liveness; Bandwith: 1@enter, 1@exit; Client delay: best 0, worst N; Sync delay: best 1, worst N-1]
- 4. Ricart-Agrawala's Algorithm [no token; Liveness worst wait N-1; Casual Ordering; Bandwith: 2(N-1) per enter; Client delay: 1 RTT; Sync delay: 1 msg time] {Enter@Pi: set state "Wanted", broadcast <T (Lamport TS), Pi (break ties)>, wait all other P respond "Reply"; Rcv all "Reply"s @ Pi: set state "Held", enter(); Rcv <Tj, Pj>@Pi: {if "Hold" OR "Wanted" & <Ti, i> < <Tj, j>: add req to queue; else: "Reply"}; Exit@Pi: "Released", "Reply" to all queued reqs}
- **5. Maekawa's Algorithm** [Each Pi  $\rightarrow$  voting set Vi (Quorum size K=M= $\sqrt{N}$ ), belongs to own Vi AND M other V; Deadlock?; Bandwith: Enter  $2\sqrt{N}$ , exit  $\sqrt{N}$ ; CD: 1 RTT; SD: 2 MSG] {Enter@P: "Wanted", req to ONLY its V's members, wait to "Hold"; Rcv req@P: {if "Hold" OR voted: queue req; else: "Reply", voted=T}; Exit@P: "Released", multicast "Released" to all Vi members; Rcv Rls@P: {if queue empty: voted=F; else: dequeue head Pk, send Reply ONLY to Pk, voted=T}}

<u>Local Procedure Call</u> [stack pass args & return values; Exactly-once]

Remote Procedure Call [Access procedure via global ref (Proc Addr = <IP, port, proc no.>); pointer; RMI] [Client: caller(), client sub, comm mod; Server: comm mod, dispatcher(server stub to FW), server stub, callee]

	`		,,	, ,		
Retransmit request	Filter dup. req.	Re-execute or retransmit	RPC Semantics			
Yes	No	Re-execute function	At least once	Java RMI		
Yes	Yes	Retransmit reply	At most once	Sun RPC		
No	NA	NA	Maybe	CORBA		
T1						

**Idempotent Operation** (can be repeated w/o side-effe) Middleware <u>Common Data Representation</u>; Caller & callee use own platform's way store data; <u>Marshalling</u> (caller converts arg into CDR); **Transaction** (series of oper. exec. by client, each a RPC; EITHER completes and Commits all, OR aborts);

ACID [Atomicity (all or nothing); Consistency; Isolation (transaction to be indivisible from point of view of other transactions); Durability (永久存储)]

Conflicting Operation (R&W, W&R, R&R) Serial Equivalence (some ordering O' gives same result as original O; cannot distinguish O' from O) {Two trasactions are SE iff. all pairs of Conf. Oper. are executed in the same order for all objects}

#### ISOLATION VIOLATION PREVENTION

1. **Pessimistic Concurrency Control** [locking = mutual exclusion] {Each object has lock of R/W mode; T first read O: allowed iff all T inside entered via Read; T first write O: allowed iff no T inside; Promote lock R→W; unlock} *Two-phase Locking* [Growing & Shrinking] {T cannot acquire/promote lock after releasing locks.}

**Deadlocks**: {lock timeout; ~ detection; ~ prevention: {allow read-only; allow preempt.; lock all and abort}}

2. Optimistic Concurrency Control [] {}
First-cut Approach {R/W at will; rollback on abort}
Timestamp Ordering {Each T an ID (pos. in
Serialization order); Ensure each T: {W to O allowed if
transactions have R/W O had lower IDs; R to O allowed

if O was LAST W by a T w/ lower ID}; Abort if violat}

Multi-version Concurrency Control [] {Each O:
maintains a per-T and a committed ver.; Each tentative
ver. Has a timestamp; Find correct tenta. ver. on R/W}

Eventual Consistency: {@Cassandra & DynamoDB:
[Last-Write-Wins, unsync clock]; @Riak: [Vector
clocks; creates sibling value; size/time-based pruning]}

Storm [Tuple, Stream, Spout, Bolt, Topology {filter, joins, apply/transform}] Grouping strategy: {Shuffle ~ (Round-robin); Fields ~ (group by a subset of its fields); All ~}; Storm Cluster [Master {Nimbus, 分配代码,派发任务,监视 failure}, Worker {Supervisor, listen for work, run Executors}, Zookeeper {协调 Nimbus 和 Supervisor 通信,保存它俩的全部 State}]

Graph Processing {每循环: 每节点: {Gather (相邻); Apply; Scatter(更新, send 邻居)}; 循环次数/不再变} Bulk Synchronous Parallel Model [Hash/Locality] Pregel System By Google {Master 给每个 worker 分一部分节点, 指导 worker 做一个循环; Halt when no vertix active & no msg in transit; Master 指导 save} [Checkpointing; Failure detection (ping); Recovery]

Replication [fault-tolerance; load balancing; availability] [Transparency (front-end) & Consistency {Passive Replication [primary replica]; Active Replication [treats all replica identically]}]

Two-phase Commit {Coordinator prepare; Server save to disk, reply "Yes" or "No"; If any "No" OR time out: Abort; Else: Commit, servers "OK" & update to store}

 $\underline{\underline{C}lustering} \ \underline{\underline{C}oefficient} = \Pr(A-B \mid A-C, C-B)$ 

	Extended Ring	Small World	Random
Path len.	大,0(N)	小	小,0(log(N))
CC	大	大	小

Degree distribution (Pr(#edge=k | any node)) {exp @Random: e^(-k.c), power-law@?Small: k^(-a)}

## Single Processor Scheduling

1. FIFO/FCFS Sche [Batch app]; 2.  $\underline{S}$ hortest  $\underline{T}$ ask  $\underline{F}$ irst [Optimal!]; 3.  $\underline{R}$ ound- $\underline{R}$ obin  $\underline{S}$ che. [Interactive; Quick] **Hadoop Scheduling** (@YARN)

1. <u>Hadoop Capacity Schedular</u> [有多个 queue, queue 有多个 job; Each queue 分到一部分 cluster capacity] {Soft/Hard limit; Allow preemption (can't stop task part-way); FIFO in queue}; 2. <u>Hadoop Fair Scheduler</u> [All jobs equal res.]{Devide cluster into pools (1 pool per user); Res. divided equally among pools; Fair Share Scheduling / FIFO each pool; *Pre-emption* @ min. shares not met: take from others, preempt jobs, killing most-recently-started tasks;)} Estimate Task Length {running time of input size%;}

#### **Dominant Resource Fairness**

[] {Resource vector; 计算 job 的 task 分别消耗不同资源的百分比, 较大者为该 job 的 dominant resource; 使 job i's % d.r. 相等, 解线性方程组;}

File [Header[TimeS, type, owner, ACL, #ref], block...]

Unix File System [file descriptor; open, creat, close, read, write, Iseek, link (hard), unlink, stat/fstat]

Distributed File System [Transparency; support concurrent clients; replication] [Operation be idempotent; Server be stateless]

NFS {Virtual File System Module [allow proc to access file via file descriptors]; Server caching → FAST; delayed write (W to mem., flush to disc) / write-through (W to disc. immediately, consistent but slow); client caching (T-Tvalid<t OR Tmodif\_clie = Tmodif\_serv)}

AFS [whole file serving & caching (文件小; 读多于写 & sequential)] {client: Venus; server: Vice {sends}

#### **Distributed Shared Memory**

entire file, gives a callback promise}}

**Read** [O, R/W]:{Read from cache};[R]:{Ask for a copy of page using multicast; Mark as R; Do read;}; [null]: {Ask others to degrade to R using multicast; Get page; Mark as R; Do read;}

Write [W, O]:{Write to cache}; [R, O?]:{Ask others to invalidate their copies of page using multicast; Mark as W; (Become owner); Do write; }; [null]{Ask others to invalidate their copies of page using multicast; Fetch all copies, use the lastest; Mark as W; Become owner; Do write;} 自己有 page 则其他一定没 W; 自己没 page 则其他可能 R/W.

Invalidate [better in general] {Write concurrently: flipflop, (large size) false sharing}; Update [lots of sharing; write to small var; page size large] {multicast newly written value / part of page}

**TinyOS** [event-driven exec.; modular structure] {Better compute than transmit -> In-netwk aggre. (power eff.)}

Security Threats [Leakage; Tampering; Vandalism]

Common Attacks {Eavesdropping; Masquerading; Msg
tampering; Replay attack; Denial of service}

Policies [Confidentiality; Integrity; Availability];

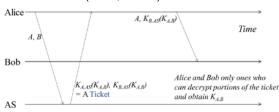
Mechanisms {Authenticate; Authorize; Audit}

{Specify Attacker Model, design security mechanism, prove it satisfy policy, measure effect}

## CRYPTOGRAPHY SYSTEM

- **1. Symmetric/Shared Key** [DES] {Reveals too much info: hard to revoke perm.}
- **2. Public-Private Key** [RSA; PGP] {Costly en/decrypt}

Authentication {Direct; Indirect}



$$\begin{split} & \text{Digital Signature [SHA-1, MD-5] } \left\{ [\text{Msg, } K_{\text{Apriv}}(M)] \right\} \\ & \text{Digital Certificate } \left\{ K_{\text{Bankpriv}}(\text{Hash(Name+Account)}), \\ & K_{\text{Fedpriv}}(\text{Hash(BankName+PublicKey)}), \right. \right\} \\ & \textbf{Authorization } \left[ \underline{\textbf{Access } \underline{\textbf{Control } \underline{\textbf{Matrix}}}}, \underline{\textbf{Access}} \right] \end{split}$$

Control List / obj, Capacity List / principal]