

# Controls Dashboard Report

Governance, Risk & Compliance Management

## Control Status Overview (Sample)

Control Name	Preparer	Checker	Reviewer	Acceptance
all credit program criteria are followed	sent	approved	sent	approved
تحديد مستوى الدراسة	N/A	N/A	N/A	N/A
مراجعة وتجديد الموافقات الأكاديمية	N/A	N/A	N/A	N/A
ز الأصلي ومن يحل محله في حالة غيابه. ل يخصص لعملية التداول يبين اسم الحائز ضرورة وجود سج	N/A	N/A	N/A	pending
3)Major Risk and Risk mitigates are identified.	N/A	N/A	N/A	pending
3- Transaction posting is limited in 1 level being subject to close alert revision.	N/A	N/A	N/A	pending
• Develop, update and monitor unit Service Level Agreement established with stakeholders	N/A	N/A	N/A	pending
Sharia Department SLA governs launching/ Updating banking products, services, accounts, investment funds, syndications	N/A	N/A	N/A	pending
يعاد انتهاء الرهون في السجل الخاص بها. ون لتجديدها. 1. يقوم الموظف بتسجيل م هرها لمعرفة مواعيد رفع الحظر علي الره 2. يقوم الموظف بمراجعة السجل ش	N/A	N/A	N/A	pending
2-Counting and sorting are dually processed	N/A	N/A	N/A	pending
6. Access to read-write on the excel sheet is granted only to team leader and unit head	N/A	N/A	N/A	pending
- Documents data are inputted by maker and reviewed by checker before signing the documentation register - Q&A; is backed up on Bank's server - Available manual documentation register by maker and checker	N/A	N/A	N/A	pending

3- All job descriptions are reviewed annually and updated with HR	N/A	N/A	N/A	pending
3. General Service head review the received report against the approval to ensure all the redeemed points were approved	N/A	N/A	N/A	pending
* Monthly proof of all issued and O/S certificates versus	N/A	N/A	N/A	pending
* Reserve file is reviewed independently by Middle Office Dept getting data from CBE RTGS system and Finance Dept .and reconciled with Treasury on daily basis.	N/A	N/A	N/A	pending
*4 eyes principal is followed where every case would require at least a pre-screener & a credit officer to process a case to ensure all credit program criteria are followed.	N/A	N/A	N/A	pending
*All process/policies/Credit Programs are in place and approved .	N/A	N/A	N/A	pending
*As far we start to handle User admin side on registration on system CADC department are divided to two department ( reviewing & token custody ) and ( IB registration department ) to avoid conflict in implementation	N/A	N/A	N/A	pending
*Deferrals level of approvals should be reviewed by maker/checker	N/A	N/A	N/A	pending