

# Controls Dashboard Report

Governance, Risk & Compliance Management

## Executive Summary

This report provides a comprehensive overview of the bank's control management system as of October 03, 2025. Key Metrics:

- Total Controls: 1,880
- Unmapped Controls: 683
- Pending Preparer: 1,873
- Pending Checker: 11
- Pending Reviewer: 29
- Pending Acceptance: 4

## Controls by Department

Department	Controls Count
Madinty	69
Maadi 9 St	69
Zahraa EL Maadi	69
Kafr Sakar	69
Miami	69
Garden City	69
Marketing	18
Sustainability	13
Belbais	69
Mahalla ElKobra	69
SME Risk	50
Consumer Operations	37
Roushdy	69
Fakous	69
Collections	29
Haram	69
Heliopolis Andalos	69
DSU	16
Corporate Communication	35
Branches Regional Operation	9

Nasr City	69
Legal	7
Port Said	69
Sheraton	69
10th of Ramadan	71
Shobra El khima	69
Insurance	11
bank @ Work - Sales	1
October Plaza	69
SMEs Business	11
Capital and Provision Management	13
Dokki	69
Mansoura	69
Sohag Nile St.	69
Security	10
Organization	13
Treasury Back Office	19
6 Of October	69
Bani Sweif	69
Shooting Club	69
Tanta - AlGeish	69
Direct Investments	16
Hurghada	69
Compliance	16
Louran	69
Remittance	26
IT	34
Korba	69
General Admin	26
Lebanon	69
Shariaa	40
Zakazik Awkaff Buil.	69
Farskour	69
Fayoum	69
Aswan	69
Alexandria Fouad St.	69
Maadi	69
Check Printing Unit	35

Smouha	69
Premises	42
Clearing	27
Arabela	69
El-Shams Club	69
Elborsa	69
Heliopolis Maryland	69
Call Center	19
Zakazik Galla St.	69
New Cairo	69
BCM	16
Sawah	69
Sodic	69
Branches Quality	9
CFC	69
Credit Control Documentation	56
Opera	69
Zamalek	69
Banha	69
Credit Admin	37
Treasury Middle Office	12
Damietta	69
Cash and Central Vault	33
Sohag Nasser City	69
Procurement	21
Branches HUB Control	22
Ismailia	69
Qeuna	69
Internal Control	23
Digital Banking	7
Luxour	69
El Manial	69
Damanhour	69
Azhar	69
Menia Kornish	69
Microfinance	62
Emad El-Din	69
Complaints and Customer Rights	11

Assiut Saad Zaghloul	69
Investor Relations	14
Obour city	69
Salah Salem	69
Retail Fraud Risk	19
Treasury	35
CSU	15
Mall Of Egypt	69
Information Security	27
Mokatam	69
Shobra	69
Human Resources	61
Qalioub	69
Liability Products	6
Consumer Credit Policy	15
RMU	27
Rehab	69
Cards Operations	51
Wholesale Banking	6
Retail Service Quality	10
Trade Finance	24
CFOD	35
Shebin El Kom	69
Thawra	69
Finance	57
Market Risk	14
Corporate Credit Risk	44
Cards Products	10
Helwan	69
Swift	15
Corporate Account Opening	59
Sheikh Zayed	69
AMC	22
Deposit Special Handling	14
Lagoun	69
Mostafa El-Nahas	69
Mohandessein	69
Branches Logistics Unit	6

Consumer Credit Initiation	23
Assiut Gomhoria	69
Kafr El Shiekh	69
Assets Products	10
bank Capital	9
Mortgage Product	9
Credit Information	13

### Control Status Overview (Sample)

Control Name	Preparer	Checker	Reviewer	Acceptance
all credit program criteria are followed	sent	approved	sent	approved
تحديد مستوى الدراسة	N/A	N/A	N/A	N/A
مراجعة وتجديد الموافقات الأكاديمية	N/A	N/A	N/A	N/A
ز الأصلي ومن يحل محله في حالة غيابه. ل يخصص لعملية التداول يبين اسم الحائ ضرورة وجود سج	N/A	N/A	N/A	pending
3)Major Risk and Risk mitigates are identified.	N/A	N/A	N/A	pending
3- Transaction posting is limited in 1 level being subject to close alert revision.	N/A	N/A	N/A	pending
• Develop, update and monitor unit Service Level Agreement established with stakeholders	N/A	N/A	N/A	pending
Sharia Department SLA governs launching/ Updating banking products, services, accounts, investment funds, syndications	N/A	N/A	N/A	pending
يعاد انتهاء الرهون في السجل الخاص بها. ون لتجديدها. 1. يقوم الموظف بتسجيل م هريا لمعرفة مواعيد رفع الحظر علي الره 2. يقوم الموظف بمراجعة السجل ش	N/A	N/A	N/A	pending
2-Counting and sorting are dually processed	N/A	N/A	N/A	pending
6. Access to read-write on the excel sheet is granted only to team leader and unit head	N/A	N/A	N/A	pending

- Documents data are inputted by maker and reviewed by checker before signing the documentation register - Q&A; is backed up on Bank's server - Available manual documentation register by maker and checker	N/A	N/A	N/A	pending
3- All job descriptions are reviewed annually and updated with HR	N/A	N/A	N/A	pending
3. General Service head review the received report against the approval to ensure all the redeemed points were approved	N/A	N/A	N/A	pending
* Monthly proof of all issued and O/S certificates versus	N/A	N/A	N/A	pending
* Reserve file is reviewed independently by Middle Office Dept getting data from CBE RTGS system and Finance Dept .and reconciled with Treasury on daily basis.	N/A	N/A	N/A	pending
*4 eyes principal is followed where every case would require at least a pre-screener & a credit officer to process a case to ensure all credit program criteria are followed.	N/A	N/A	N/A	pending
*All process/policies/Credit Programs are in place and approved .	N/A	N/A	N/A	pending
*As far we start to handle User admin side on registration on system CADAC department are divided to two department ( reviewing & token custody ) and ( IB registration department ) to avoid conflict in implementation	N/A	N/A	N/A	pending
*Deferrals level of approvals should be reviewed by maker/checker	N/A	N/A	N/A	pending