# COMP 6660 Fall 2020 Assignment 1D

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### Introduction

For this assignment the author was asked to implement a Multi-Objective EA (MOEA); unlike past assignments where the EA optimizes over a single objective, MOEA looks at multiple objectives. In prior assignments the objective was the notion of *fitness*, this time there are three sub-objectives:

- 1. Percentage of the board that is lit up
- 2. Number of black cell violations
- 3. Number of bulbs placed in lit cells

The first sub-objective (percentage of the board that is lit up) should be maximized while the last two should be minimized. In this assignment, the author implemented a simplified NSGA-II algorithm without crowding. Additionally, fitness sharing, crowding, and a fourth sub-objective (minimizing the number of bulbs placed) where also required to be implemented by the author.

### **MOEA** Background

As per Dr. Tauritz's slide deck, NSGA-II works as follows.

- 1. Initialization
  - 1. Create an initial population  $P_0$
  - 2. Sort  $P_0$  on the basis of non-domination
  - 3. Best level is level 1
  - 4. Fitness is set to level number; lower number, higher fitness
  - 5. Use Binary (k=2) tournament selection for parent selection
  - 6. Mutation and Recombination create children  $Q_0$
- 2. Primary Loop
  - 1. Create a population  $R_t$  by merging the existing population  $(P_t)$  with the new children  $(Q_t)$
  - 2. Now sort  $R_t$  on the basis of non-domination
  - 3. Create the next generation  $P_{t+1}$  by adding the best individuals from  $R_t$
  - 4. Create the next set of children  $(Q_{t+1})$  by performing Binary Tournament Selection, Recombination and Mutation on  $P_{t+1}$

The author's actual implementation is similar but changed a few things. To allow the new code to integrate easier with the existing code base the author sets the best level to 100; a **higher** level equals a **higher** fitness.

Domination can be defined as follows. An individual A is said to dominate an individual B iff:

- $\mathbf{A}$  is no worse than  $\mathbf{B}$  in all objectives
- A is strictly better than B in at least one objective

### **MOEA Implementation**

The author has been using a *class-based* approach instead of a *functional* one throughout this assignment series. Therefore, there is an Individual object which stores relevant information such as:

- 1. Percent of tiles lit
- 2. Fitness
- 3. Bulb locations
- 4. Number of black cell violations
- 5. Number of bulb intersections
- 6. Solution Name (unique)

Implementing domination is quite easy with a class setup. Classes in Python allow you to define special functions referred to as double-under (dunder) or magic functions. One example of a dunder function is operator overloading. To sort a list of individuals based on non-domination one can overload the < operator.

```
def __lt__(self, other):
    a = (self.lit <= other.lit) and \
        (self.bulb_violations >= other.bulb_violations) and \
        (self.black_cell_violations >= other.black_cell_violations)
    b = (self.lit < other.lit) or \
        (self.bulb_violations > other.bulb_violations) or \
        (self.black_cell_violations > other.black_cell_violations)
    return a and b
```

This previous code-snippet allows the next code-snippet to produce a sorted list of individuals using non-domination.

```
sorted_pop = sorted(population, reverse=True)
```

However to apply the rank an additional step is required. This step can be seen in the calculate\_moea\_fitness function. One first sorts the passed population and then loops through it applying rank based on non-domination.

```
@ staticmethod
def calculate_moea_fitness(population):
    sorted_pop = sorted(population, reverse=True)

rank = 100
for count, ind in enumerate(sorted_pop):
    ind.fitness = rank

if count + 1 == len(sorted_pop):
    break
    if ind > sorted_pop[count + 1]:
        # new level
        rank -= 1

return sorted_pop
```

After the rank is applied as the fitness for the individual the code runs the same as it did in prior assignments.

## Solution Logging

For this assignment, there were additional changes to the solution file. Instead of logging the best solution across all runs the best *Pareto Front* and all of its solutions should be logged. For example, say one has two fronts **P1** and **P2**. **P1** dominates **P2** if the proportion of solutions in **P1** which dominate at least one solution in **P2** is larger than the proportion of solutions in **P2** which dominate at least one solution in **P1**.

This sorting can be similarly achieved in Python as before. With a custom ParetoFront class one can define the \_\_lt\_\_ function as follows. Here the function adds up the number of times it dominates the other front and the number of times the other front dominates it. Finally, the proportions are compared and if the other front dominates the function returns True.

#### MOEA Results

For this assignment, two problems were bundled with the initial repo: D1 and D2. The author was asked to implement three different configurations and to test them against the two provided problems. The configurations that were chosen were:

- 1. Default Configuration
  - 1. Parent Selection Algorithm: SUS
  - 2. Recombination: One Point Crossover
  - 3. Mutation: Creep
  - 4. Survival Selection: Truncation
  - 5. Termination Algorithm: Number of Evaluations
  - 6. Children: 50
  - 7. Population: 100
  - 8. Mutation Rate: 0.40
  - 9. Survival Strategy: +
- 2. "NSGA" Configuration
  - 1. Parent Selection Algorithm: Tournament (k=2)
  - 2. Recombination: One Point Crossover
  - 3. Mutation: Creep
  - 4. Survival Selection: Tournament (k=2)
  - 5. Termination Algorithm: Number of Evaluations
  - 6. Children: 50

7. Population: 100 8. Mutation Rate: 0.40 9. Survival Strategy: + 3. "Uniform" Configuration

Parent Selection Algorithm: SUS
 Recombination: One Point Crossover

3. Mutation: Creep

4. Survival Selection: Uniform Random Selection5. Termination Algorithm: Number of Evaluations

Children: 50
 Population: 100
 Mutation Rate: 0.40
 Survival Strategy: +

### **Default Configuration**

One can see in Figure 1 the results of running the default configuration on problem D1. It is expected that the results for D1 perform better than D2, especially the bulb violations as D2 is more complicated than D1. This configuration took  $\sim$ 2386 seconds or  $\sim$ 40 minutes to run for D1 and  $\sim$ 2413 seconds or  $\sim$ 40 minutes for D2.

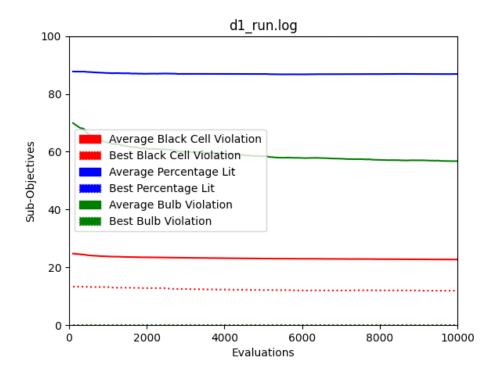


Figure 1: D1 with default configuration

One can see in Figure 2 the results of running the default configuration on problem D2.

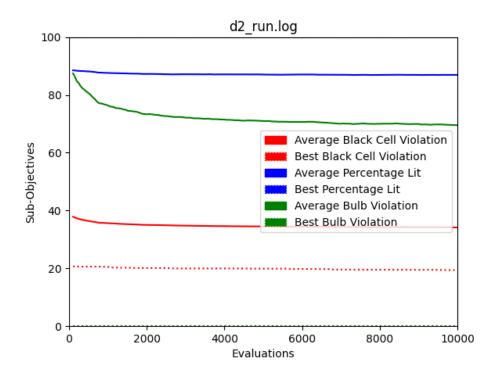


Figure 2: D2 with default configuration

### "NSGA" Configuration

This configuration took  $\sim$ 2099 seconds or  $\sim$ 35 minutes to run for D1 and  $\sim$ 2041 seconds or  $\sim$ 34 minutes for D2.

One can see in Figure 3 the results of running the NSGA configuration on problem D1.

One can see in Figure 4 the results of running the NSGA configuration on problem D2.

#### "Uniform" Configuration

This configuration took  $\sim$ 1833 seconds or  $\sim$ 31 minutes to run for D1 and  $\sim$ 2022 seconds or  $\sim$ 34 minutes for D2.

One can see in Figure 5 the results of running the Uniform configuration on problem D1.

One can see in Figure 6 the results of running the Uniform configuration on problem D2.

#### **Configuration Comparisons**

In this section, comparisons are made between the three different configurations. t-Test statistical analysis was done to compare the two sets (note: this is the same for all comparisons made throughout this document).

#### D1

The comparison between the default configuration against the NSGA configuration on problem D1 can be seen in Figure 7.

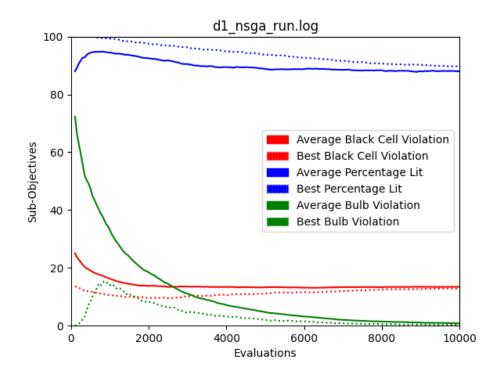


Figure 3: D1 with "NSGA" configuration

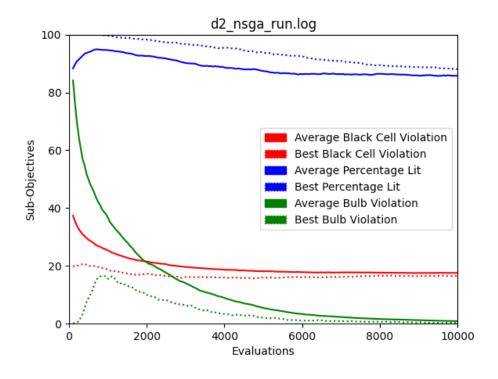


Figure 4: D2 with "NSGA" configuration

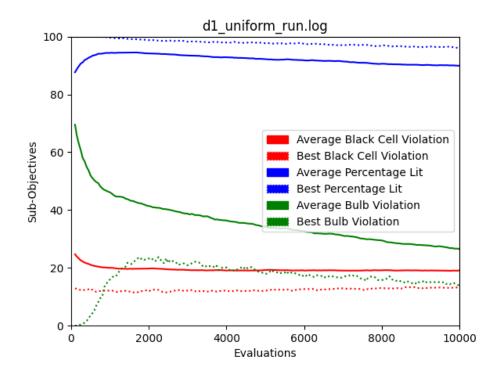


Figure 5: D1 with "Uniform" configuration

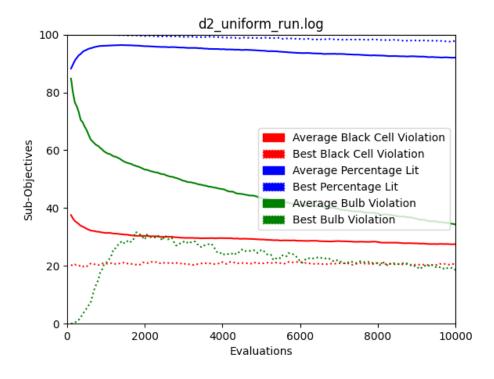


Figure 6: D2 with "Uniform" configuration

Default	NSGA	F-Test Two-Sample for Variances	
82	93		
82	99	Variable 1	Variable 2
83	91	Mean 87.8	93.13333333
67	92	Variance 99.26896552	28.46436782
96	92	Observations 30	30
100	95	df 29	29
89	98	F 3.487481828	
71	100	P(F<=f) one-tail 0.000606703	
100	99	F Critical one-tail 1.860811435	
94	97		
73	90	mean(Var1) < mean(Var2)	
100	97	F > F Crit	
100	99		
88	92	equal variance	
92	96		
85	82	t-Test: Two-Sample Assuming Equal Variances	
80	91		
100	82	Variable 1	Variable 2
79	93	Mean 87.8	93.13333333
80	88	Variance 99.26896552	28.46436782
100	94	Observations 30	30
			30
100	98	Pooled Variance 63.86666667	30
100 100		Pooled Variance 63.86666667 Hypothesized Mean Difference 0	30
	94		30
100	94	Hypothesized Mean Difference 0	30
100 81	94 100 86	Hypothesized Mean Difference 0 df 58	30
100 81 75	94 100 86 89	Hypothesized Mean Difference         0           df         58           t Stat         -2.584682679	30
100 81 75 95	94 100 86 89 96	Hypothesized Mean Difference 0 df 58 t Stat -2.584682679 P(T<=t) one-tail 0.006142485	30
100 81 75 95	94 100 86 89 96 85	Hypothesized Mean Difference 0 df 58 t Stat -2.584682679 P[T<=t] one-tail 0.006142485 t Critical one-tail 1.671552762	30
100 81 75 95 83	94 100 86 89 96 85 86	Hypothesized Mean Difference 0 df 58 t Stat -2.584682679 P(T<=t) one-tail 0.006142485 t Critical one-tail 1.671552762 P(T<=t) two-tail 0.012284971	
100 81 75 95 83 82	94 100 86 89 96 85 86	Hypothesized Mean Difference 0 df 58 t Stat -2.584682679 P(T<=t) one-tail 0.006142485 t Critical one-tail 1.671552762 P(T<=t) two-tail 0.012284971	

Figure 7: D1 Default Configuration vs NSGA Configuration

The comparison between the default configuration against the uniform configuration on problem D1 can be seen in Figure 8.

The comparison between the NSGA configuration against the uniform configuration on problem D1 can be seen in Figure 9.

#### D2

The comparison between the default configuration against the NSGA configuration on problem D2 can be seen in Figure 10.

The comparison between the default configuration against the uniform configuration on problem D2 can be seen in Figure 11.

The comparison between the NSGA configuration against the uniform configuration on problem D2 can be seen in Figure 12.

# Fitness Sharing

For objective Yellow 1 the author was asked to add an option for *fitness sharing* and investigate and report on how this addition affects performance. *Fitness sharing*, along with crowding, is a way to increase diversity in populations that may be suffering from low diversity. Using NSGA-II's rank based fitness one can run into issues with low diversity. This occurs when a large number of individuals with the same fitness, however, their genes are quite different. This result can happen in NSGA-II as one applies rank based on levels of non-domination. Fitness sharing aims to alleviate this issue by generating a new fitness for an individual based on how "close" it is to other individuals. The formula, as provided in the slides, is as follows:

Default	Uniform	F-Test Two-Sample for Variances	
82	96		
82	2 84	Variable 1	Variable 2
83	93	Mean 87.8	94.8
67	7 93	Variance 99.26896552	27.54482759
96	92	Observations 30	30
100	96	df 29	29
89	100	F 3.603905859	
71	100	P(F<=f) one-tail 0.000456902	
100	97	F Critical one-tail 1.860811435	
94	100		
73	99	mean(Var1) < mean(Var2)	
100	91	F > F Crit	
100	99		
88	3 100	equal variance	
92	100		
85	100	t-Test: Two-Sample Assuming Equal Variances	
80	94		
100	95	Variable 1	Variable 2
79	88	Mean 87.8	94.8
80	93	Variance 99.26896552	27.54482759
100	92	Observations 30	30
100	100	Pooled Variance 63.40689655	
100	84	Hypothesized Mean Difference 0	
81	L 87	df 58	
75	98	t Stat -3.404673112	
95	84	P(T<=t) one-tail 0.000603861	
83	3 100	t Critical one-tail 1.671552762	
82	2 100	P(T<=t) two-tail 0.001207723	
91	L 96	t Critical two-tail 2.001717484	
86	93		
stdv.s	and a		
	stdv.s		

Figure 8: D1 Default Configuration vs Uniform Configuration

NSGA	Uniform	F-Test Two-Sample for Variances	
93	96		
99	84	Variable 1	Variable 2
91	93	Mean 93.13333333	94.8
92	93	Variance 28.46436782	27.54482759
92	92	Observations 30	30
95	96	df 29	29
98	100	F 1.033383408	
100	100	P(F<=f) one-tail 0.465073849	
99	97	F Critical one-tail 1.860811435	
97	100		
90	99	mean(Var1) < mean(Var2)	
97	91	F < F Crit	
99	99		
92	100	unequal variance	
96	100		
82	100	t-Test: Two-Sample Assuming Unequal Variances	
91	94		
82	95	Variable 1	Variable 2
93	88	Mean 93.13333333	94.8
88	93	Variance 28.46436782	27.54482759
94	92	Observations 30	30
98	100	Hypothesized Mean Difference 0	
94	84	df 58	
100	87	t Stat -1.21977495	
		P(T<=t) one-tail 0.113743536	
86	98	P(T<=t) one-tail 0.113743536	
86 89		t Critical one-tail 0.113743336	
	84	· ·	
89	84 100	t Critical one-tail 1.671552762	
89 96	84 100 100	t Critical one-tail 1.671552762 P(T<=t) two-tail 0.227487072	
89 96 85	84 100 100 96	t Critical one-tail 1.671552762 P(T<=t) two-tail 0.227487072	
89 96 85 86	84 100 100 96	t Critical one-tail 1.671552762 P(T<=t) two-tail 0.227487072	

Figure 9: D1 NSGA Configuration vs Uniform Configuration

efault 79	88	F-Test Two-Sample for Variances	
7.		Variable 1	Variable 2
72		Mean 83.8	
84		Variance 113.4068966	
100		Observations 30	31
99	9 100	df 29	2
7	7 93	F 4.200434246	
80	90	P(F<=f) one-tail 0.000114453	
8:	1 89	F Critical one-tail 1.860811435	
100	99		
76	5 94	mean(Var1) < mean(Var2)	
79	9 100	F > F Crit	
88	3 82		
100	93	equal variance	
100		equal variance	
	5 99	equal variance t-Test: Two-Sample Assuming Equal Variances	
86	5 99 5 94	·	
86	5 99 5 94 5 90	·	Variable 2
86 85 75	5 99 5 94 5 90 4 91	t-Test: Two-Sample Assuming Equal Variances	
86 85 75	5 99 5 94 5 90 4 91 7 93	t-Test: Two-Sample Assuming Equal Variances  Variable 1	91.6333333
86 85 75 74	5 99 5 94 5 90 4 91 7 93	t-Test: Two-Sample Assuming Equal Variances  Variable 1  Mean 83.8	91.6333333 26.9988505
86 83 75 74 77 80	5 99 5 94 5 90 4 91 7 93 0 92	t-Test: Two-Sample Assuming Equal Variances  Variable 1  Mean 83.8  Variance 113.4068966	91.6333333 26.9988505
86 85 79 77 80 100	5 99 5 94 5 90 4 91 7 93 0 92 0 86 0 93	t-Test: Two-Sample Assuming Equal Variances  Variable 1  Mean 83.8  Variance 113.4068966  Observations 30	91.6333333 26.9988505
86 85 75 74 77 80 100	5 99 5 94 5 90 4 91 7 93 0 92 0 86 0 93 2 86	t-Test: Two-Sample Assuming Equal Variances    Variable 1	91.6333333 26.9988505
86 75 74 77 80 100 100	5 99 5 94 5 90 4 91 7 93 0 92 0 86 0 93 2 86	t-Test: Two-Sample Assuming Equal Variances    Variable 1	91.6333333 26.9988505 3
88 88 79 74 77 80 100 100 77	55 99 55 94 55 90 4 91 7 93 50 92 50 86 50 93 2 86 4 91 3 91	t-Test: Two-Sample Assuming Equal Variances    Variable 1	91.6333333 26.9988505 3
88 88 79 74 77 80 100 100 77 74	99 5 94 5 90 4 91 7 93 5 92 8 6 6 93 2 86 4 91 3 91 7 100	t-Test: Two-Sample Assuming Equal Variances    Variable 1	91.6333333 26.9988505 3
88 75 74 77 80 100 100 77 74	99 5 94 5 90 4 91 7 93 0 92 0 86 0 93 2 86 4 91 7 100 0 87	t-Test: Two-Sample Assuming Equal Variances    Variable 1	91.6333333 26.9988505 3
88 75 74 77 88 100 100 77 74 75	99 5 94 6 90 4 91 7 93 0 92 0 86 0 93 2 86 4 91 3 91 7 100 0 87	t-Test: Two-Sample Assuming Equal Variances    Variable 1	91.6333333 26.9988505 3
88 75 74 77 80 100 100 77 74 75 88	99 5 94 90 4 91 7 93 0 92 0 86 0 93 2 86 4 91 3 91 7 100 87 10 87 2 89	t-Test: Two-Sample Assuming Equal Variances    Variable 1	91.6333333 26.9988505 3

Figure 10: D2 Default Configuration vs NSGA Configuration

Default	Uniforn	n	F-Test Two-Sample for Variances		
	79	84			
	74	95		Variable 1	Variable 2
	72	100	Mean	83.8	90.4666666
	84	81	Variance	113.4068966	66.6022988
	100	99	Observations	30	3
	99	76	df	29	2
	77	87	F	1.70274748	
	80	87	P(F<=f) one-tail	0.078882622	
	81	93	F Critical one-tail	1.860811435	
	100	100			
	76	100	mean(Var1) < mean(Var2)		
	79	98	F < F Crit		
	88	77			
	100	88	unequal variance		
	86	98			
	85	100	t-Test: Two-Sample Assuming Unequal Variances		
	75	88			
	74	85		Variable 1	Variable 2
	77	100	Mean	83.8	90.4666666
	80	83	Variance	113.4068966	66.6022988
	100	97	Observations	30	3
	100	100	Hypothesized Mean Difference	0	
	72	93	df	54	
	74	84	t Stat	-2.721585754	
	73	84	P(T<=t) one-tail	0.004363421	
	77	87	t Critical one-tail	1.673564906	
	80	94	P(T<=t) two-tail	0.008726841	
	100	81	t Critical two-tail	2.004879288	
	72	76			
	100	99			
stdv.s	stdv.s				
10 6402	5742 8.1610	12315			

Figure 11: D2 Default Configuration vs Uniform Configuration

NSGA	Uniforr	n	F-Test Two-Sample for Variances		
	88	84			
	100	95		Variable 1	Variable 2
	92	100	Mean	91.63333333	90.46666667
	80	81	Variance	26.99885057	66.60229885
	89	99	Observations	30	30
	100	76	df	29	29
	93	87	F	0.405374154	
	90	87	P(F<=f) one-tail	0.008856444	
	89	93	F Critical one-tail	0.537399965	
	99	100			
	94	100	mean(Var1) > mean(Var2)		
	100	98	F < F Crit		
	82	77			
	93	88	equal variance		
	99	98			
	94	100	t-Test: Two-Sample Assuming Equal Variances		
	90	88			
	91	85		Variable 1	Variable 2
	93	100	Mean	91.63333333	90.46666667
	92	83	Variance	26.99885057	66.60229885
	86	97	Observations	30	30
	93	100	Pooled Variance	46.80057471	
	86	93	Hypothesized Mean Difference	0	
	91	84	df	58	
	91	84	t Stat	0.660490881	
	100	87	P(T<=t) one-tail	0.255776845	
	87	94	t Critical one-tail	1.671552762	
	87	81	P(T<=t) two-tail	0.511553689	
	89	76	t Critical two-tail	2.001717484	
	91	99			
stdv.s	stdv.s				
		02315			

Figure 12: D2 NSGA Configuration vs Uniform Configuration

$$f'(i) = \frac{f(i)}{\sum_{j=1}^{\mu} sh(d(i,j))} \quad sh(d) = \begin{cases} 1 - d/\sigma & \text{if } d < \sigma \\ 0 & \text{otherwise} \end{cases}$$

 $\sigma$  is a tunable parameter that correlates to how close an individual should be to another. Fitness sharing should be calculated right before individual selection occurs for the next generation.

The author uses the Euclidean distance between the three sub-objectives as his distance metrics. Which can be calculated as follows:  $d(p,q) = \sqrt{\sum_{i=1}^{j} (p_i^2 - q_i^2)^2}$ 

In this assignment, j is three as there are three sub-objectives.

The author uses the popular Python package SciPy to calculate the Euclidean distance. Additionally, the fitness sharing formula was tuned as sometimes the one above one can produce results that move beyond the existing rank the individual started at which is not desirable. Therefore the function has been modified to the following:

$$f'(i) = \frac{0.5}{1 + \sum_{j=1}^{\mu} sh(d(i,j))} \quad sh(d) = \begin{cases} 1 - d/\sigma & \text{if } d < \sigma \\ 0 & \text{otherwise} \end{cases}$$

For the modified formula 1 is added to ensure the fitness doesn't become a level higher than it was when it started and 0.5 can be any values [0,1]. The following Python snippet shows how the author performs fitness sharing.

```
def fitness_sharing(self, current, population):
    sh vals = []
    for individual in population:
        # skip current individual from population
        if current.name == individual.name:
            continue
        # generate coordinates used to calculate euclidean distance
        a = (current.lit, current.black_cell_violations,
                current.bulb_violations)
        b = (individual.lit, individual.black_cell_violations,
                individual.bulb_violations)
        distance = scipy.spatial.distance.euclidean(a, b)
        sh_vals.append(self.sh(distance))
    sum_sh = sum(sh_vals)
   fitness = 0.5 / ((sum sh if sum sh else 1) + 1)
    return current.fitness + fitness
```

#### Results

For both D1 and D2, the same configuration was used which was an adapted version of the "Default" configuration. The only difference was that fitness sharing was used.

This configuration took  $\sim$ 4851 seconds or  $\sim$ 81 minutes to run for D1 and  $\sim$ 3706 seconds or  $\sim$ 62 minutes for D2.

One can see in Figure 13 the results of running the fitness sharing configuration on problem D1.

One can see in Figure 14 the results of running the fitness sharing configuration on problem D2.

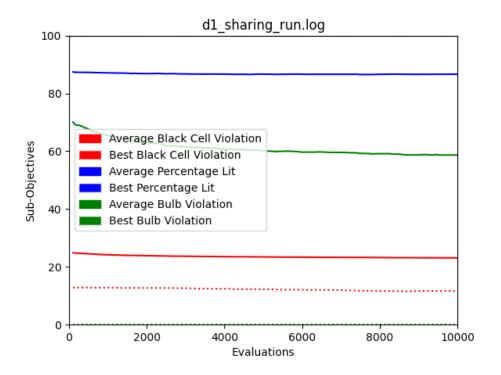


Figure 13: D1 with Fitness Sharing

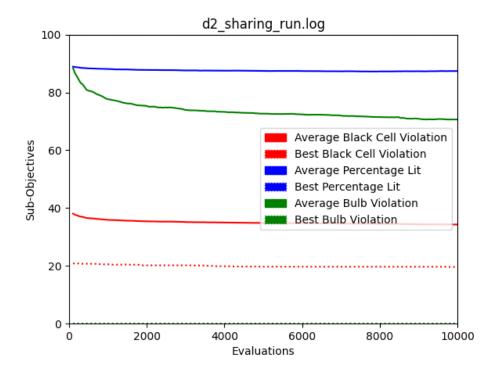


Figure 14: D2 with Fitness Sharing

The comparison between the default configuration against the fitness sharing configuration on problem D1 can be seen in Figure 15.

Default	Fitness Sharing	Fitness Sharing Floor	F-Test Two-Sample for Variances		
82	94.08388045	94			
82	85.05812582	85		Variable 1	Variable 2
83	90.04695496	90	Mean	87.8	86.33333333
67	91.05290467	91	Variance	99.26896552	102.3678161
96	74.04907655	74	Observations	30	30
100	73.05822563	73	df	29	29
89	85.0450966	85	F	0.969728273	
71	83.04090965	83	P(F<=f) one-tail	0.467300935	
100	74.03151365	74	F Critical one-tail	0.537399965	
94	96.04503516	96			
73	79.04441273	79	mean(Var1) > mean(Var2)		
100	93.04975561	93	F > F Crit		
100	100.1806847	100			
88	100.0968205	100	unequal variance		
92	100.0328547	100			
85	100.0324874	100	t-Test: Two-Sample Assuming Unequal Variances		
80	78.17142159	78			
100	72.03079119	72		Variable 1	Variable 2
79	81.04722017	81	Mean	87.8	86.40472654
80	100.0419777	100	Variance	99.26896552	102.8327113
100					
	79.04091186	79	Observations	30	30
100		· -	Observations Hypothesized Mean Difference	30 0	30
100 100	80.03751933	80			30
	80.03751933 100.3016449	80 100	Hypothesized Mean Difference	0	30
100	80.03751933 100.3016449 76.03929781	80 100 76	Hypothesized Mean Difference df	0 58	30
100	80.03751933 100.3016449 76.03929781 100.1798729	80 100 76 100	Hypothesized Mean Difference df t Stat	0 58 0.537569971	30
100 81 75	80.03751933 100.3016449 76.03929781 100.1798729 84.05943423	80 100 76 100 84	Hypothesized Mean Difference df t Stat P(T<=t) one-tail	0 58 0.537569971 0.296465319	30
100 81 75	80.03751933 100.3016449 76.03929781 100.1798729 84.05943423 75.04937246	80 100 76 100 84 75	Hypothesized Mean Difference df t Stat P(T<=t) one-tail t Critical one-tail	0 58 0.537569971 0.296465319 1.671552762	30
100 81 75 95	80.03751933 100.3016449 76.03929781 100.1798729 84.05943423 75.04937246 79.07750852	80 100 76 100 84 75 79	Hypothesized Mean Difference df t Stat P(T<=t) one-tail t Critical one-tail P(T<=t) two-tail	0,58 0,537569971 0,296465319 1,671552762 0,592930638	30
100 81 75 95 83	80.03751933 100.3016449 76.03929781 100.1798729 84.05943423 75.04937246 79.07750852 94.05458376	80 100 76 100 84 75 79	Hypothesized Mean Difference df t Stat P(T<=t) one-tail t Critical one-tail P(T<=t) two-tail	0,58 0,537569971 0,296465319 1,671552762 0,592930638	30
100 81 75 95 83 82	80.03751933 100.3016449 76.03929781 100.1798729 84.05943423 75.04937246 79.07750852 94.05458376	80 100 76 100 84 75 79	Hypothesized Mean Difference df t Stat P(T<=t) one-tail t Critical one-tail P(T<=t) two-tail	0,58 0,537569971 0,296465319 1,671552762 0,592930638	30

Figure 15: D1 Default Configuration vs Fitness Sharing Configuration

The comparison between the default configuration against the fitness sharing configuration on problem D2 can be seen in Figure 16.

# Crowding

For objective **Yellow 2** the author was asked to add an option for *crowding* and investigating and reporting on how this addition affects performance. As stated earlier crowding is another way of increasing diversity in a population. For the author crowding was more complicated to understand and to implement than fitness sharing. For the crowding implementation, NSGA-II's crowding was used as a reference. Which is defined as the following

```
1 = |I|
for each i, set I[i].distance = 0
for each objective m
    I = sort(I, m)
    I[1].distance = I[1].distance = \inf
    for i = 2 (1 - 1)
        I[i].distance += (I[i+1].m - I[i-1].m) / (max(m) - min(m))
```

Essentially, for each objective one will sort the population-based on it, in ascending order. After sorting one will pick out the boundaries and set their distance to infinity. Following this, every other individual's distance will be calculated based on the proximity of the surrounding individuals.

For the author's implementation, this was modified, mainly after calculating all the distances they were normalized between 0 and 1. Values that were marked infinity were normalized between [0.5, 1) other values were normalized between [0, 0.5]. Following the normalization of the distance values the

Default	Fitness Sharing	Fitness Sharing Floor	F-Test Two-Sample for Variances		
79	76.06721987	76			
74	100.0470606	100		Variable 1	Variable 2
72	72.04985092	72	Mean	83.8	84.16666667
84	97.07252896	97	Variance	113.4068966	113.1781609
100	75.03657195	75	Observations	30	30
99	100.0577599	100	df	29	29
77	100.0785853	100	F	1.002021023	
80	79.03346143	79	P(F<=f) one-tail	0.497849861	
81	81.06394994	81	F Critical one-tail	1.860811435	
100	100.0630036	100			
76	73.05536636	73	mean(Var1) < mean(Var2)		
79	78.04243111	78	F < F Crit		
88	76.08677764	76			
100	76.03911789	76	unequal variance		
86	100.0655396	100			
85	76.05876774	76	t-Test: Two-Sample Assuming Unequal Variances		
75	90.14458085	90			
74	74.06525762	74		Variable 1	Variable 2
77	72.05069011	. 72	Mean	83.8	84.22757839
80	83.05559502	83	Variance	113.4068966	113.310091
100	100.1005109	100	Observations	30	30
100	75.04844645	75	Hypothesized Mean Difference	0	
72	97.03545282	97	df	58	
74	80.05389345	80	t Stat	-0.155537223	
73	82.06699268	82	P(T<=t) one-tail	0.438468935	
77	79.08196197	79	t Critical one-tail	1.671552762	
80	80.04141286	80	P(T<=t) two-tail	0.876937871	
100	99.05924007	99	t Critical two-tail	2.001717484	
72	76.05984915	76			
100	79.04547495	79			
100 stdv.s	79.04547495 stdv.s	79			

Figure 16: D2 Default Configuration vs Fitness Sharing Configuration

individual's original fitness was increased by this normalized distance metric. The implementation of crowding can be seen in the following code snippet. The update\_fitness function simply adds new values to the existing fitness rank.

```
def crowding(self, population):
    fronts = self.split_on_pareto_front(population)
    out_distances = {}
    for individuals in fronts.values():
        distance = {individual.name: 0 for individual in individuals}

        distance = self.crowding_lit(individuals, distance)
        distance = self.crowding_black_cell(individuals, distance)
        distance = self.crowding_bulb_violations(individuals, distance)
        out_distances = self.normalize_data(distance, out_distances)

return self.update_fitness(population, out_distances)
```

All the sub-objective had a different function called, however the logic of the overlying function was the same. The author was unsure how in Python to achieve a dynamic way to call the same code but to pass a different class instance variable to be used.

```
def crowding_subobjective(individuals, distance):
    max_val = max([
        individual.subobjective for individual in individuals])
    min_val = min([
        individual.subobjective for individual in individuals])
```

The normalize\_data function is implemented as follows. First, it pulls out the infinite distance individuals as they should be normalized in a different range than the non-infinite ones.

```
def normalize_data(distance, out_distances):
    """ normalizes data between 0 and 1 / val """
    def normalize(data, val):
        # actual function that normalizes data
        # called twice as we want to normalize the non inf values between 0 and some value
        # infinite between some value and .99
            return {name: value / (val * max(data.values())) for name, value in data.items()}
        return {}
    # split distances between inf and non inf
   no_inf_distances = {name: value for name,
                        value in distance.items() if value < 1000}</pre>
    inf_distances = {name: value for name,
                        value in distance.items() if value >= 1000}
   normalized_no_inf = normalize(no_inf_distances, 2)
    normalized_inf = normalize(inf_distances, 1.0101010101010102)
    out_distances = {**out_distances, **normalized_no_inf, **normalized_inf}
    return out_distances
```

#### Results

For both D1 and D2 the same configuration was used as for fitness sharing; except crowding was used instead.

This configuration took  $\sim$ 2394 seconds or  $\sim$ 40 minutes to run for D1 and  $\sim$ 2426 seconds or  $\sim$ 40 minutes for D2.

One can see in Figure 17 the results of running the crowding configuration on problem D1.

One can see in Figure 18 the results of running the crowding configuration on problem D1.

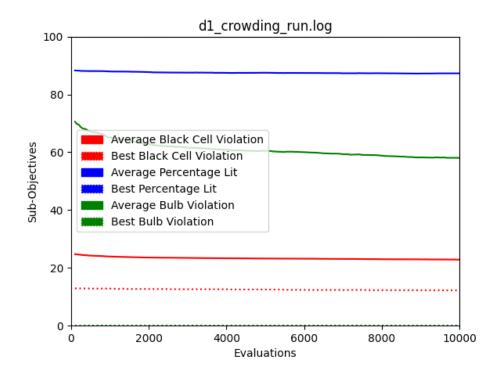


Figure 17: D1 with Crowding

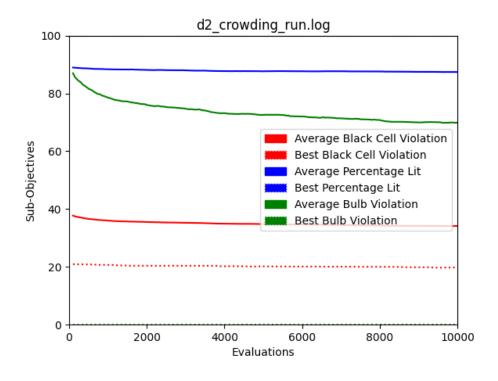


Figure 18: D2 with Crowding

The comparison between the default configuration against the crowding configuration on problem D1 can be seen in Figure 19.

Default		Crowding	Crowding - Floor	F-Test Two-Sample for Variances		
	82	100.99	100			
	82	79.99	79		Variable 1	Variable 2
	83	100.99	100	Mean	87.8	91.3
	67	81.9896408	81	Variance	99.26896552	103.1137931
	96	100.99	100	Observations	30	30
	100	99.99	99	df	29	29
	89	92.98937015	92	F	0.962712771	
	71	74.98952427	74	P(F<=f) one-tail	0.459601589	
	100	89.99	89	F Critical one-tail	0.537399965	
	94	100.99	100			
	73	79.98968895	79	mean(Var1) < mean(Var2)		
	100	100.99	100	F > F Crit		
	100	100.99	100			
	88	100.99	100	equal variance		
	92	90.99	90			
	85	95.5	95	t-Test: Two-Sample Assuming Equal Variances		
	80	83.99	83			
	100	81.10425553	81		Variable 1	Variable 2
	79	100.99	100	Mean	87.8	92.17919605
	80	99.99	99	Variance	99.26896552	103.7553123
	100	100.99	100	Observations	30	30
	100	100.99	100	Pooled Variance	101.5121389	
	100	73.99	73	Hypothesized Mean Difference	0	
	81	82.99	82	df	58	
	75	74.39517287	74	t Stat	-1.683375603	
	95	95.5	95	P(T<=t) one-tail	0.048839062	
	83	99.99	99	t Critical one-tail	1.671552762	
	82	100.128229	100	P(T<=t) two-tail	0.097678124	
	91	100.99	100	t Critical two-tail	2.001717484	
	86	75.99	75			
stdv.s		stdv.s				
9.96338	31229	10.18603516				

Figure 19: D1 Default Configuration vs Crowding Configuration

The comparison between the default configuration against the crowding configuration on problem D2 can be seen in Figure 20.

## Minimizing Bulbs

For the **Red 1** objective the author was asked to add a fourth objective; minimizing the number of bulbs placed. Once added the author would need to investigate and report on how the performance and behavior were impacted by having four objectives rather than three. Due to the modularity of the author's code, this was relatively easy to implement. First, a new instance variable had to be added to the Individual class called bulbs which is the number of bulbs placed for those individuals.

- 1. Percent of tiles lit
- 2. Fitness
- 3. Bulb locations
- 4. Number of black cell violations
- 5. Number of bulb intersections
- 6. A unique name
- 7. Number of bulbs

After that, the < dunder function needed to be updated to include the bulb comparison.

```
def __lt__(self, other):
    a = (self.lit <= other.lit) and \
        (self.bulb_violations >= other.bulb_violations) and \
        (self.black_cell_violations >= other.black_cell_violations) and \
```

Default	Crowding	Crowding Floor	F-Test Two-Sample for Variances		
79	98.33297	98			
74	72.99	72		Variable 1	Variable 2
72	85.5	85	Mean	83.8	84.4
84	77.99	77	Variance	113.4068966	105.6275862
100	100.99	100	Observations	30	30
99	100.99	100	df	29	29
77	88.99	88	F	1.073648472	
80	88.99	88	P(F<=f) one-tail	0.424779893	
81	75.98973	75	F Critical one-tail	1.860811435	
100	68.25554	68			
76	73.07738	73	mean(Var1) < mean(Var2)		
79	86.99	86	F < F Crit		
88	73.98979	73			
100	67.99	67	unequal variance		
86	83.99	83			
85	83.99	83	t-Test: Two-Sample Assuming Unequal Variances		
75	93.99	93			
/:	, ,,,,,	55			
7:				Variable 1	Variable 2
	95.99	95	Mean		Variable 2 85.21566774
74	95.99 90.99	95 90	Mean Variance	83.8	
74	95.99 90.99 100.99	95 90 100		83.8	85.21566774
74 77 80	95.99 90.99 100.99 77.20493	95 90 100 77	Variance	83.8 113.4068966	85.21566774 107.4623737
74 77 80 100	95.99 90.99 0 100.99 0 77.20493 0 80.99	95 90 100 77 80	Variance Observations	83.8 113.4068966 30	85.21566774 107.4623737
74 77 80 100	95.99 7 90.99 0 100.99 0 77.20493 0 80.99 2 82.99	95 90 100 77 80 82	Variance Observations Hypothesized Mean Difference	83.8 113.4068966 30 0	85.21566774 107.4623737
74 77 80 100 100	95.99 7 90.99 0 100.99 0 77.20493 0 80.99 2 82.99 4 98.99	95 90 100 77 80 82 98	Variance Observations Hypothesized Mean Difference df	83.8 113.4068966 30 0 58	85.21566774 107.4623737
74 77 80 100 100 72	95.99 90.99 100.99 77.20493 80.99 2 82.99 4 98.99 3 100.99	95 90 100 77 80 82 98	Variance Observations Hypothesized Mean Difference df t Stat	83.8 113.4068966 30 0 58 -0.521740216	85.21566774 107.4623737
74 77 80 100 100 72 74	95.99 90.99 100.99 77.20493 80.99 282.99 498.99 782.99	95 90 100 77 80 82 98 100 82	Variance Observations Hypothesized Mean Difference df t Stat P(T<=t) one-tail	83.8 113.4068966 30 0 58 -0.521740216 0.301919015	85.21566774 107.4623737
77 77 80 100 100 77 74	95.99 90.99 100.99 77.20493 80.99 282.99 498.99 782.99 778.09819	95 90 100 77 80 82 98 100 82 78	Variance Observations Hypothesized Mean Difference df t Stat P(T<=t) one-tail t Critical one-tail	83.8 113.4068966 30 0 58 -0.521740216 0.301919015 1.671552762	85.21566774 107.4623737
7/2 77; 88 100 100 77; 74 73; 80	4 95.99 7 90.99 9 100.99 9 77.20493 9 80.99 1 98.99 1 100.99 7 82.99 9 78.09819 9 87.99	95 90 100 77 80 82 98 100 82 78	Variance Observations Hypothesized Mean Difference df t Stat P(T<=t) one-tail t Critical one-tail P(T<=t) two-tail	83.8 113.4068966 30 0 58 -0.521740216 0.301919015 1.671552762 0.60383803	85.21566774 107.4623737
7/2 77; 88 100 100 77; 74 73; 77; 80;	4 95.99 7 90.99 0 100.99 0 77.20493 0 80.99 2 82.99 4 98.99 1 00.99 7 82.99 0 78.09819 0 87.99	95 90 100 77 80 82 98 100 82 78	Variance Observations Hypothesized Mean Difference df t Stat P(T<=t) one-tail t Critical one-tail P(T<=t) two-tail	83.8 113.4068966 30 0 58 -0.521740216 0.301919015 1.671552762 0.60383803	85.21566774 107.4623737
74 77 80 100 100 77 74 75 88 100	4 95.99 7 90.99 0 100.99 0 77.20493 0 80.99 2 82.99 4 98.99 1 00.99 7 82.99 0 78.09819 0 87.99	95 90 100 77 80 82 98 100 82 78 87	Variance Observations Hypothesized Mean Difference df t Stat P(T<=t) one-tail t Critical one-tail P(T<=t) two-tail	83.8 113.4068966 30 0 58 -0.521740216 0.301919015 1.671552762 0.60383803	85.21566774 107.4623737

Figure 20: D2 Default Configuration vs Crowding Configuration

```
(self.bulbs <= other.bulbs)
b = (self.lit < other.lit) or \
    (self.bulb_violations > other.bulb_violations) or \
    (self.black_cell_violations > other.black_cell_violations) or \
    (self.bulbs < other.bulbs)
return a and b</pre>
```

Additionally, when the individuals are instantiated bulbs would need to be passed to the constructor.

This can be achieved easily by using the *kwargs and args* pattern. In Python the \* operator is used to unpack variables, \*\* is used to unpack dictionaries. When using \* in function calls it unpacks position parameters and \*\* is used to unpack named parameters. Therefore the two following code snippets achieve the same goal.

The following snippet is how the author allowed the bulb variable to be passed to the constructor depending on if the EA was configured for four objectives or three.

#### Results

Running with a fourth objective used the default configuration except there was an extra line specifying to use the fourth objective.

This configuration took  $\sim$ 2433 seconds or  $\sim$ 41 minutes to run for D1 and  $\sim$ 2524 seconds or  $\sim$ 42 minutes for D2.

One can see in Figure 21 the results of running the bulb minimization configuration on problem D1.

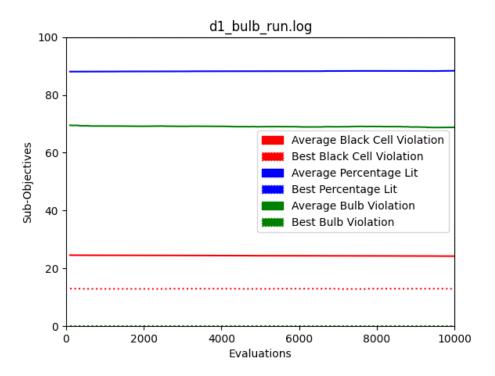


Figure 21: D1 with Fitness Sharing

One can see in Figure 22 the results of running the bulb minimization configuration on problem D2.

The comparison between the default configuration against the bulb minimization configuration on problem D1 can be seen in Figure 23.

The comparison between the default configuration against the bulb minimization configuration on problem D2 can be seen in Figure 24.

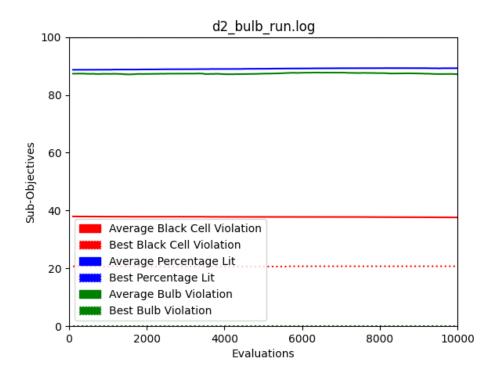


Figure 22: D2 with Fitness Sharing

## Conclusion

For this assignment, the author was asked to implement a Multi-Objective EA with the objectives being: percentage of lit cells, the number of black cell constraint violations, and the number of bulbs placed in lit cells. Additionally, it was requested to implement fitness sharing and crowding for the MOEA. For bonus points, one could also add a fourth objective; which was minimizing the number of bulbs placed. Thankfully, due to the modularity of the existing code base, none of these requirements were too difficult to implement. The most difficult portion of this assignment was probably understanding the math behind the fitness sharing algorithms. Due to the long run time of the experiments the author ran out of time compiling the report unfortunately cutting some of the statistical analysis short.

Default	Minimize Bu	s F-Test Two-Sample for Variances	
8	2 100		
8	2 100	Variable 1	Variable 2
8	3 100	Mean 87.	98.5666666
6	7 95	Variance 99.2689655	7.35747126
9	6 94	Observations 3	) 3(
10	0 100	df 2	2
8	9 94	F 13.4922668	3
7	1 100	P(F<=f) one-tail 2.33822E-1	)
10	0 100	F Critical one-tail 1.86081143	i
9	4 100	<u>-                                    </u>	
7.	3 96	mean(Var1) < mean(Var2)	
10	0 98	F > F Crit	
10	0 100		
8	8 97	equal variance	
9	2 100		
8	5 90	t-Test: Two-Sample Assuming Equal Variances	
8	0 93		
10	0 100	Variable 1	Variable 2
7	9 100	Mean 87.	98.5666666
8	0 100	Variance 99.2689655	7.35747126
10	0 100	Observations 3	) 3(
10	0 100	Pooled Variance 53.3132183	)
10	0 100	Hypothesized Mean Difference	)
8	1 100	df 5	3
7.	5 100	t Stat -5.7109643	!
9	5 100	P(T<=t) one-tail 2.03118E-0	7
8	3 100	t Critical one-tail 1.67155276	!
8	2 100	P(T<=t) two-tail 4.06236E-0	7
9	1 100	t Critical two-tail 2.00171748	1
8	6 100		
	stdv.s		
stdv.s	stav.s		

Figure 23: D1 Default Configuration vs Minimize Bulb Configuration

Default	Mir	nimize Bulbs	F-Test Two-Sample for Variances		
	79	100			
	74	100		Variable 1	Variable 2
	72	100	Mean	83.8	98.7333333
	84	100	Variance	113.4068966	8.13333333
	100	100	Observations	30	3
	99	100	df	29	2
	77	100	F	13.94347089	
	80	100	P(F<=f) one-tail	1.5416E-10	
	81	96	F Critical one-tail	1.860811435	
	100	100			
	76	100	mean(Var1) < mean(Var2)		
	79	100	F > F Crit		
	88	100			
	100	100	equal variance		
	86	100			
	85	99	t-Test: Two-Sample Assuming Equal Variances		
	75	100			
	74	100		Variable 1	Variable 2
	77	97	Mean	83.8	98.7333333
	80	100	Variance	113.4068966	8.13333333
	100	100	Observations	30	3
	100	89	Pooled Variance	60.77011494	
	72	97	Hypothesized Mean Difference	0	
	74	100	df	58	
	73	100	t Stat	7.419204807	
	77	92	P(T<=t) one-tail	2.89355E-10	
	80	100	t Critical one-tail	1.671552762	
	100	92	P(T<=t) two-tail	5.78709E-10	
	72	100	t Critical two-tail	2.001717484	
	100	100			
stdv.s	std	V.S			
10.64926	742	2.851899951			

Figure 24: D2 Default Configuration vs Minimize Bulb Configuration