



## **Software Testing and Quality Assurance**

Theory and Practice Chapter 3 Unit Testing





#### **Outline of the Chapter**



- Concept of Unit Testing
- Static Unit Testing
- Defect Prevention
- Dynamic Unit Testing
- Mutation Testing
- Debugging
- Unit Testing in eXtreme Programming
- Tools For Unit Testing





## **Concept of Unit Testing**



- Static Unit Testing
  - Code is examined over all possible behaviors that might arise during run time
  - Code of each unit is validated against requirements of the unit by reviewing the code
- Dynamic Unit Testing
  - A program unit is actually executed and its outcomes are observed
  - One observe some representative program behavior, and reach conclusion about the quality of the system
- Static unit testing is not an alternative to dynamic unit testing
- Static and Dynamic analysis are complementary in nature
- In practice, partial dynamic unit testing is performed concurrently with static unit testing
- It is recommended that static unit testing be performed prior to the dynamic unit testing





### **Static Unit Testing**



- In static unit testing code is reviewed by applying techniques:
  - Inspection: It is a step by step peer group review of a work product, with each step checked against pre-determined criteria
  - Walkthrough: It is review where the author leads the team through a manual or simulated executed of the product using pre-defined scenarios
- The idea here is to examine source code in detail in a systematic manner
- The objective of code review is to *review* the code, and *not* to evaluate the author of the code
- Code review must be planned and managed in a professional manner
- The key to the success of code is to divide and conquer
  - An examiner inspect small parts of the unit in isolation
    - nothing is overlooked
    - the correctness of all examined parts of the module implies the correctness of the whole module





Waterloo Waterloo

- Step 1: **Readiness** 
  - Criteria
    - Completeness
    - Minimal functionality
    - Readability
    - Complexity
    - Requirements and design documents
  - Roles
    - Moderator
    - Author
    - Presenter
    - Record keeper
    - Reviewers
    - Observer
- Step 2: Preparation
  - List of questions
  - Potential Change Request (CR)
  - Suggested improvement opportunities

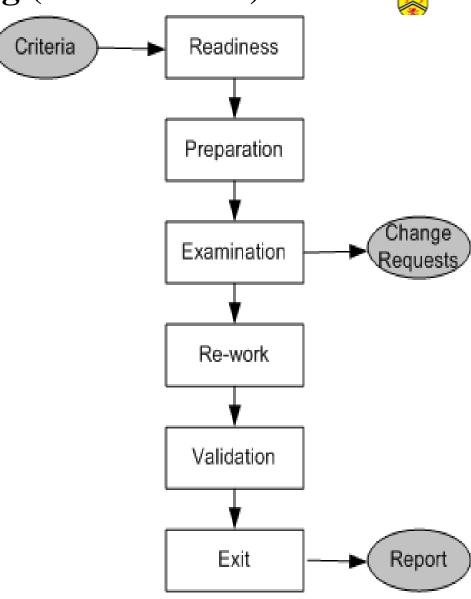


Figure 3.1: Steps in the code review process







- Step 3: **Examination** 
  - The author makes a presentation
  - The presenter reads the code
  - The record keeper documents the CR
  - Moderator ensures the review is on track
- Step 4: **Re-work** 
  - Make the list of all the CRs
  - Make a list of improvements
  - Record the minutes meeting
  - Author works on the CRs to fix the issue
- Step 5: Validation
  - CRs are independently validated
- Step 6: Exit
  - A summary report of the meeting minutes is distributes

# A Change Request (CR) includes the following details:

- Give a brief description of the issue
- Assign a priority level (major or minor) to a CR
- Assign a person to follow it up
- Set a deadline for addressing a CR





The following metrics can be collected from a code review:

- The number of lines of code (LOC) reviewed per hour
- The number of CRs generated per thousand lines of code (KLOC)
- The number of CRs generated per hour
- The total number of hours spend on code review process







- The code review methodology can be applicable to review other documents
- Five different types of system documents are generated by engineering department
  - Requirement
  - Functional Specification
  - High-level Design
  - Low-level Design
  - code
- In addition installation, user, and trouble shooting guides are developed by technical documentation group

#### Hierarchy of System Documents

Requirement: High-level marketing or product proposal.

Functional Specification: Software Engineering response to the marketing proposal.

High-Level Design: Overall system architecture.

Low-Level Design: Detailed specification of the modules within the architecture.

Programming: Coding of the modules.

Table 3.1: System documents





#### **Defect Prevention**



- Build instrumentation code into the code
- Use standard control to detect possible occurrences of error conditions
- Ensure that code exists for all return values
- Ensure that counter data fields and buffer overflow/underflow are appropriately handled
- Provide error messages and help texts from a common source
- Validate input data
- Use assertions to detect impossible conditions
- Leave assertions in the code.
- Fully document the assertions that appears to be unclear
- After every major computation reverse-compute the input(s) from the results in the code itself
- Include a loop counter within each loop

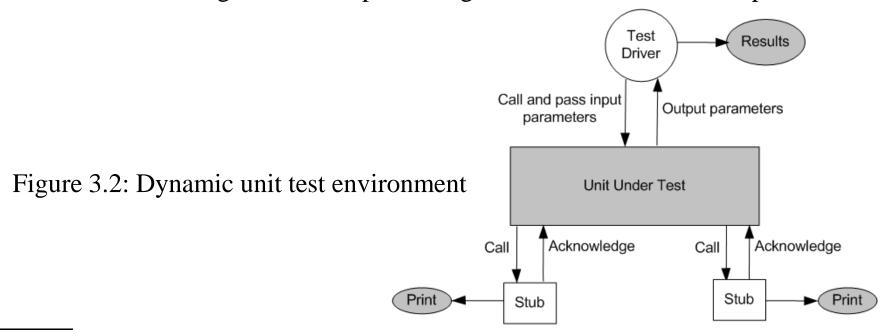




#### **Dynamic Unit Testing**



- The environment of a unit is emulated and tested in isolation
- The caller unit is known as *test driver* 
  - A test driver is a program that invokes the unit under test (UUT)
  - It provides input data to unit under test and report the test result
- The emulation of the units called by the UUT are called *stubs* 
  - It is a dummy program
- The *test driver* and the *stubs* are together called *scaffolding*
- The low-level design document provides guidance for selection of input test data





### **Dynamic Unit Testing**



Selection of test data is broadly based on the following techniques:

- Control flow testing
  - Draw a control flow graph (CFG) from a program unit
  - Select a few control flow testing criteria
  - Identify a path in the CFG to satisfy the selection criteria
  - Derive the path predicate expression from the selection paths
  - By solving the path predicate expression for a path, one can generate the data
- Data flow testing
  - Draw a data flow graph (DFG) from a program unit and then follow the procedure described in control flow testing.
- Domain testing
  - Domain errors are defined and then test data are selected to catch those faults
- Functional program testing
  - Input/output domains are defined to compute the input values that will cause the unit to produce expected output values





#### **Mutation Testing**



- Modify a program by introducing a single small change to the code
- A modified program is called mutant
- A mutant is said to be *killed* when the execution of test case cause it to fail. The mutant is considered to be *dead*
- A mutant is an *equivalent* tot the given program if it always produce the same output as the original program
- A mutant is called *killable* or *stubborn*, if the existing set of test cases is insufficient to kill it
- A mutation *score* for a set of test cases is the percentage of non-equivalent mutants *killed* by the test suite
- The test suite is said to be *mutation-adequate* if its mutation score is 100%





### **Mutation testing**



#### Consider the following program P

- main(argc,argv)
- int argc, r, i;
- char \*argv[];
- $\{ r = 1;$
- for i = 2 to 3 do
- if (atoi(argv[i]) > atoi(argv[r])) r = i;
- printf("Value of the rank is %d n", r);
- exit(0); }

- Test Case 1:
  - input: 1 2 3

output: Value of the rank is 3

- Test Case 2:
  - input: 1 2 1

output: Values of the rank is 2

- Test Case 3:
  - input: 3 1 2

output: Value of the rank is 1

Mutant 1: Change line 5 to for i = 1 to 3 do

Mutant 2: Change line 6 to if (i > atoi(argv[r])) r = i;

Mutant 3: Change line 6 to if (atoi(argv[i]) >= atoi(argv[r])) r = i;

Mutant 4: Change line 6 to if (atoi(argv[r]) > atoi(argv[r])) r = i;

#### **Execute modified programs against the test suite, you will get the results:**

Mutants 1 & 3: Programs will pass the test suite, i.e., mutants 1 & 3 are not killable

Mutant 2: Program will fail test cases 2

Mutant 4: Program will fail test case 1 and test cases 2

Mutation score is 50%, assuming mutants 1 & 3 non-equivalent





### **Mutation testing**



- The score is found to be low because we assumed mutants 1 & 3 are nonequivalent
- We need to show that mutants 1 and 3 are equivalent mutants or those are killable
- To show that those are killable, we need to add new test cases to kill these two
  mutants
- First, let us analyze mutant 1 in order to derive a "killer" test. The difference between P and mutant 1 is the starting point
- Mutant 1 starts with i = 1, whereas P starts with i = 2. There is no impact on the result r. Therefore, we conclude that mutant 1 is an equivalent mutant
- Second, if we add a fourth test case as follows:

Test Case 4:

input: 2 2 1

- Program P will produce the output "Value of the rank is 1" and mutant 3 will produce the output "Value of the rank is 2"
- Thus, this test data kills mutant 3, which give us a mutation score 100%



### **Mutation Testing**



Mutation testing makes two major assumptions:

- Competent Programmer hypothesis
  - Programmers are generally competent and they do not create *random* programs
- Coupling effects
  - Complex faults are coupled to simple faults in such a way that a test suite detecting simple faults in a program will detect most of the complex faults





## **Debugging**



- The process of determining the cause of a failure is known as debugging
- It is a time consuming and error-prone process
- Debugging involves a combination of systematic evaluation, intuition and a little bit of luck
- The purpose is to isolate and determine its specific cause, given a symptom of a problem
- There are three approaches to *debugging* 
  - Brute force
  - Cause elimination
    - Induction
    - Deduction
  - Backtracking





### **Unit Testing in eXtreme Programming**



- 1. Pick a requirement, i.e., a story
- 2. Write a test case that will verify a small part of the story and assign a fail verdict to it
- 3. Write the code that implement particular part of the story to pass the test
- 4. Execute all test
- 5. Rework on the code, and test the code until all tests pass
- 6. Repeat step 2 to step 5 until the story is fully implemented

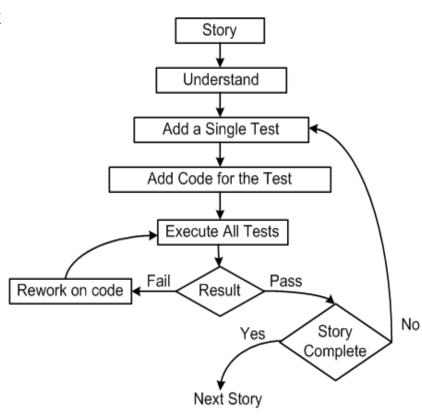


Figure 3.3: *Test-first* process in XP





### **Unit Testing in eXtreme Programming**



#### Three laws of Test Driven development (TDD)

- One may not write production code unless the first failing unit test is written
- One may not write more of a unit test than is sufficient to fail
- One may not write more production code than is sufficient to make the failing unit test pass

#### Pair programming:

- In XP code is being developed by two programmers working side by side
- One person develops the code tactically and the other one inspects it methodically by keeping in mind the story they are implementing







- JUnit: It is a framework for performing unit testing of Java programs.
  - Other frameworks: NUnit (C#), CPPUnit (C++), fUnit (Fortran)
- Intuitive steps to test a method in Java (Ex. Move() method of PlanetClass)
  - Create an object instance of PlanetClass. Call it Mars.
  - Select values of all input parameters of Move().
  - Compute the expected value to be returned by Move(). Let it be y.
  - Execute method Move() on Mars with the selected input values.
    - Let Move() return a value called z.
  - Compare the actual output (z) returned by Move() with the expected value (y).
    - If (z == y), Move() *passes* the test; otherwise it *fails*.  $\leftarrow$  Report the result.
- JUnit makes writing of test cases easier. → Next slide ...







- JUnit provides a basic class called TestCase.
- The tester
  - Extends the TestCase class for each test case. 10 extensions for 10 test cases.
  - Alternatively, extend TestCase to have 10 methods for 10 test cases.
- The TestCase class provides methods to make assertions.
  - assertTrue(Boolean condition)
  - assertFalse(Boolean condition)
  - assertEquals(Object expected, Object actual)
  - assertEquals(int expected, int actual)
  - assertEquals(double expected, double actual, double tolerance)
  - assertSame(Object expected, Object actual)
  - assertNull(Object testobject)
  - **–** ...
- The tester can have her own assertions.







- Each assertion accepts an optional *first* parameter of type String; if the assertion **fails**, the string is displayed. Help for the tester...
- The assertEquals() method displays a message upon failure.
  - junit.framework.AssertionFailedError: expected: <x> but was: <y>
- Note that only failed tests are reported.
- The following shows how assertTrue() works.

```
static public void assertTrue(Boolean condition) {
     if (!condition)
          throw new AssertionFailedError();
}
```

Figure 3.5: The assertTrue() assertion throws an exception







```
import TestMe; // TestMe is the class whose methods are going to be tested.
import junit.framework.*; // This contains the TestCase class.
public class MyTestSuite extends TestCase { // Create a subclass of TestCase
   public void MyTest1() { // This method is the first test case
       TestMe object1 = new TestMe( ... ); // Create an instance of TestMe with desired params
       int x = object1.Method1(...); // invoke Method1 on object1
       assertEquals(365, x); // 365 and x are expected and actual values, respectively.
   public void MyTest2() { // This method is the second test case
       TestMe object2 = new TestMe( ... ); // Create another instance of
                          // TestMe with desired parameters
       double y = object2.Method2(...); // invoke Method2 on object2
       assertEquals(2.99, y, 0.0001d); // 2.99 is the expected value;
                       // y is the actual value;
                       // 0.0001 is tolerance level
```

Figure 3.5: An example test suite





### **Tools For Unit Testing**



#### Code auditor

 This tool is used to check the quality of the software to ensure that it meets some minimum coding standard

#### Bound checker

This tool can check for accidental writes into the instruction areas of memory,
 or to other memory location outside the data storage area of the application

#### Documenters

 These tools read the source code and automatically generate descriptions and caller/callee tree diagram or data model from the source code

#### Interactive debuggers

 These tools assist software developers in implementing different debugging techniques

Examples: Breakpoint and Omniscient debuggers

#### • In-circuit emulators

 It provides a high-speed Ethernet connection between a host debugger and a target microprocessor, enabling developers to perform source-level debugging





### **Tools for Unit Testing**



- Memory leak detectors
  - These tools test the allocation of memory to an application which request for memory and fail to de-allocate memory
- Static code (path) analyzer
  - These tool identify paths to test based on the structure of code such as McCabe's cyclomatic complexity measure

#### Cyclomatic complexity

McCabe's complexity measure is based on the cyclomatic complexity of a program graph for a module. The metric can be computed by using the formula: v = e - n + 2, where:

v = cyclomatic complexity of the graph,

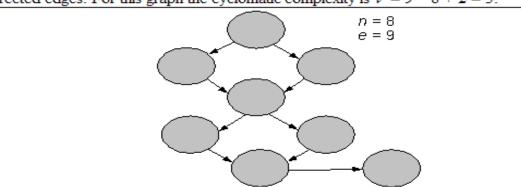
e = number of edges (program flow between nodes),

n = number of nodes (sequential group of program statements).

If a strongly connected graph is constructed (one in which there is an edge between the exit node and the entry node) the calculation is v = e - n + 1.

**Example:** A program graph, illustrated below is used to depict control flow. Each circled node represents a sequence of program statements, and the flow of control is represented by directed edges. For this graph the cyclomatic complexity is v = 9 - 8 + 2 = 3.

# Table 3.3: McCabe complexity measure



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#### **Tools for Unit Testing**



- Software inspection support
  - Tools can help schedule group inspection
- Test coverage analyzer
  - These tools measure internal test coverage, often expressed in terms of control structure of the test object, and report the coverage metric
- Test data generator
  - These tools assist programmers in selecting test data that cause program to behave in a desired manner
- Test harness
  - This class of tools support the execution of dynamic unit tests
- Performance monitors
  - The timing characteristics of the software components be monitored and evaluate by these tools
- Network analyzers
  - These tools have the ability to analyze the traffic and identify problem areas





### **Tools for Unit Testing**



- Simulators and emulators
  - These tools are used to replace the real software and hardware that are not currently available. Both the kinds of tools are used for training, safety, and economy purpose
- Traffic generators
  - These produces streams of transactions or data packets.
- Version control
  - A version control system provides functionalities to store a sequence of revisions of the software and associated information files under development

