

# Black holes in string theory

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## Simplest solution

$$ds^2 = -c^2 dt^2 \left(1 - \frac{2GM}{rc^2}\right) + dr^2 \left(1 - \frac{2GM}{rc^2}\right)^{-1} + r^2 (d\theta^2 + \sin^2\theta d\phi^2)$$

$G$  = newton's gravitational const.  
 $c$  = speed of light  
 $M$  = mass

Apparently singular at

$$r = \frac{2GM}{c^2}$$

coordinate singularity

if someone goes through the  $r = \frac{2GM}{c^2}$  surface, there is no special effect.

Once we cross this, we cannot come back.

$\rightarrow r = \frac{2GM}{c^2}$  is the event horizon (one-way-membrane)

$r = \frac{2GM}{c^2}$  surface absorbs everything, emits nothing.

Black hole

Horizon area

$$r^2 \int d\theta \sin\theta d\phi \Big|_{r=\frac{2GM}{c^2}} = 4\pi \cdot \left(\frac{2GM}{c^2}\right)^2$$

quantum effects make black hole into a blackbody with finite temperature, entropy etc.

$$S_{\text{entr}} = \frac{k_B A c^3}{4G\hbar}$$

$k_B$  = Boltzmann const.

$\hbar$  = plank const.

$$S = \frac{k_B c^3}{4G\hbar} 4\pi \left( \frac{2GM}{c^2} \right)^2$$

$$dE = TdS, \quad E = mc^2$$

$$\frac{1}{T} = \frac{\partial S}{\partial E} = \frac{1}{c^2} \frac{\partial S}{\partial m} = \frac{8\pi G}{c^3 \hbar} k_B m$$

$$T = \frac{c^3 \hbar}{8\pi G k_B m}$$

In stat mech

$$S = k_B \ln \Omega$$

→ no of micro-states for a given set of macroscopic properties

Can we give a similar interpretation to black hole entropy?

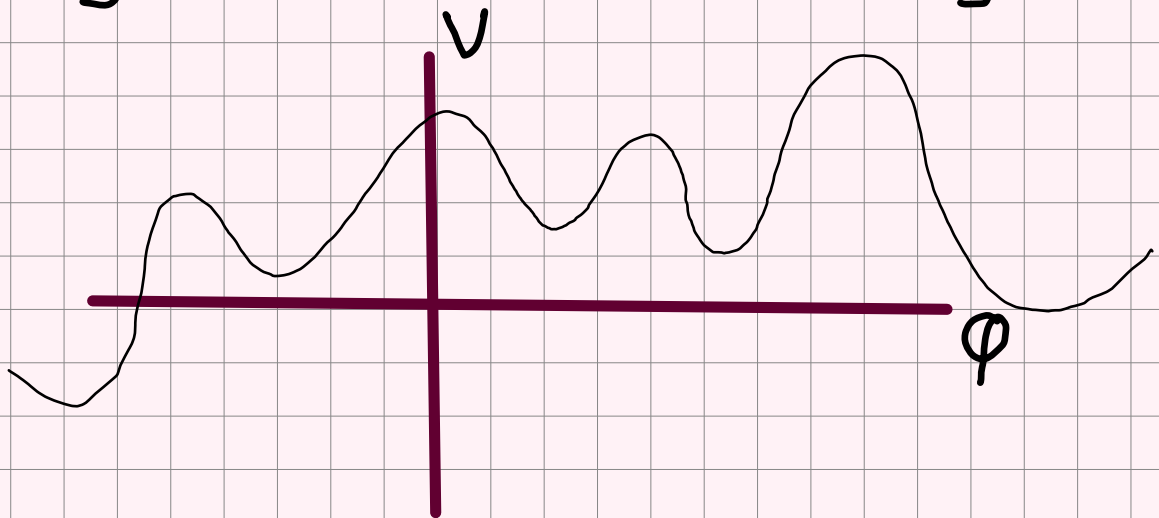
- we need to construct the hilbert space & then count states with a given macroscopic property (Like  $M$ )

↳ this needs quantum theory of gravity



string theory

String theory has many vacua



We can ask questions about black hole entropy in any of the minima.

**Strategy**: understand black hole microstates in simple black holes in simple minima

Schwarzschild is not the most convenient black hole.

$$T = \frac{c^3 \hbar}{8\pi G k_B M}$$

we can avoid this by considering charged black holes

We'll set  $c=1$ ,  $\hbar=1$ ,  $k_B=1$

what we'll call  $S$  is  $\frac{S}{k_B}$

what we'll call  $T$  is  $k_B T$

$\hbar, c$  can be restored by dimensional analysis.

Actual expression =  
derived expression  $\times h^\alpha c^\beta$

Fix  $\alpha, \beta$  using dimension  
analysis

$$S = \frac{A}{4G}$$

$$\frac{S}{k_B} = \frac{A}{4G} h^\alpha c^\beta$$

~  
In  $\Omega$   
dimension  
less

$$\text{FORCE} = \frac{G m_1 m_2}{r^2}$$

$$\begin{aligned}[G] &= [\text{FORCE}] \\ &= L^2 \cdot M^{-2} \\ &= M L T^{-2} \cdot L^2 M^{-2} \\ &= M^{-1} L^3 T^{-2}\end{aligned}$$

$$\left[ \frac{S}{k_B} \right] = L^2 \overbrace{M L^{-3} T^2}^{1/G} (L M L T^{-1})^\alpha (L T^{-1})^\beta$$

$$L: 2 - 3 + \alpha + \beta = 0$$

$$T: 2 - \alpha - \beta = 0$$

$$M: 1 + \alpha = 0$$

$$\left. \begin{array}{l} \alpha = -1 \\ \beta = -3 \end{array} \right\}$$

$$S = k_B \frac{A}{4G} \hbar^{-1} c^3$$

now,

$$\text{set } \hbar = 1, c = 1, k_B = 1$$

Einstein - maxwell

$$\int d^4 x \sqrt{-\det g} \left[ \frac{1}{16\pi G} R - \frac{1}{4} g^{\mu\rho} g^{\nu\sigma} F_{\mu\nu} F_{\rho\sigma} \right]$$

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu,$$

$$\partial_h = \frac{\partial}{\partial x^h}$$

This has a charged blackhole solution.

$$ds^2 = -dt^2 \left(1 - \frac{a}{r}\right) \left(1 - \frac{b}{r}\right) + \frac{dr^2}{\left(1 - \frac{a}{r}\right) \left(1 - \frac{b}{r}\right)} + r^2 (d\theta^2 + \sin^2\theta d\phi^2)$$

$$F_{rt} = \frac{q}{r^2}, \quad a+b = 2GM, \quad ab = 4\pi G q^2$$

$$a = GM + \sqrt{G^2 M^2 - 4\pi G q^2}$$

$$b = GM - \sqrt{G^2 M^2 - 4\pi G q^2}$$

$r = a$ : outer horizon

$r = b$ : inner horizon

↓  
not relevant  
for us

$$S = \frac{A}{4G} \rightarrow \text{outer horizon area}$$

$$= \frac{1}{4G} \times 4\pi a^2$$

$$= \frac{\pi}{G} \left\{ GM + \sqrt{G^2 M^2 - 4\pi G q^2} \right\}^2$$

$$\frac{1}{T} = \frac{\partial S}{\partial M} = \frac{2\pi}{G} \left( GM + \sqrt{G^2 M^2 - 4\pi G q^2} \right) \left( G + \frac{G^2 M}{\sqrt{G^2 M^2 - 4\pi G q^2}} \right)$$



need  $M^2 > 4\pi\bar{G}'Q^2$  for the horizon to exist.

extremal limit:

$$M^2 \rightarrow 4\pi G Q^2, \frac{1}{T} \rightarrow \infty$$
$$T \rightarrow 0$$

supersymmetry ( $N \geq 2$ )

mass of any elementary or composite particle satisfies a bound.

$$m > \sqrt{\frac{4\pi}{G_1}} Q$$

BPS bound



ideal candidate  
for testing  $S = \ln \Omega$

certain flat direction of  $V$  exist  
and control  $G$ , electromagnetic  
coupling etc.

controls the minimum  
unit of charge  $q_0$

$$q = Nq_0 ; \quad N = \text{integer}$$

$$\text{horizon radius } a = Gm$$

$$= \sqrt{\frac{4\pi}{G}} q G$$

$$= \sqrt{4\pi G} N q_0$$

$$\frac{1}{m} = \text{length scale associated}$$
$$\text{with quantum effects}$$
$$(c=1, \hbar=1)$$

quantum effects imply that you cannot localize the particle over distance  $< \frac{1}{m}$  extremal black hole

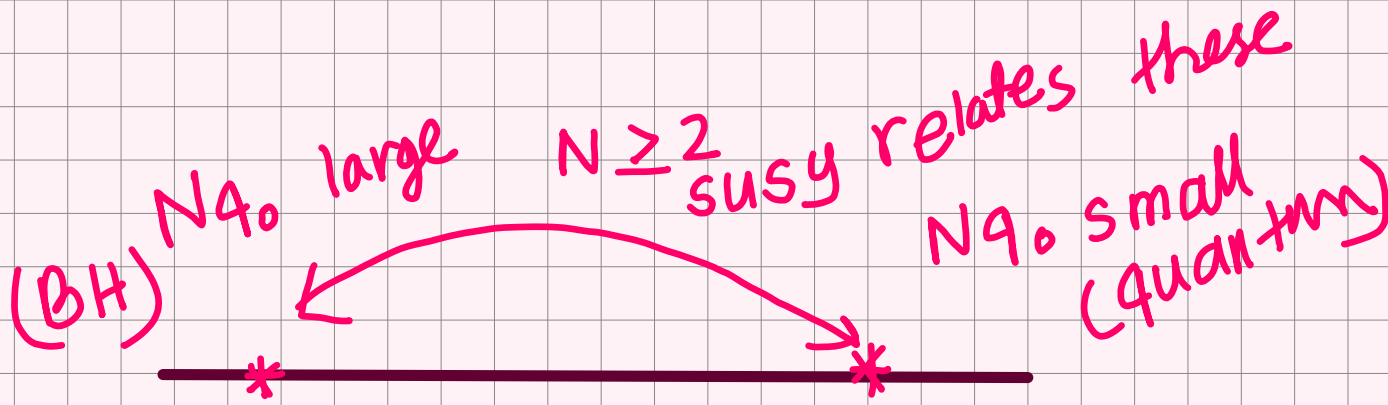
$$\sqrt{\frac{G}{4\pi}} \frac{1}{4} = \sqrt{\frac{G}{4\pi}} \frac{1}{Nq_0}$$

for a period  $> \frac{1}{m}$

$Nq_0 \gg 1$ , the black hole description is good.

$Nq_0 \ll 1$ , we can describe the system as a weakly interacting quantum system of  $N$  particles

↙  
we can hope to count microstates



$$Q = Nq_0$$

minimal unit of charge

$Nq_0$  large  $\rightarrow$  blackhole description is good

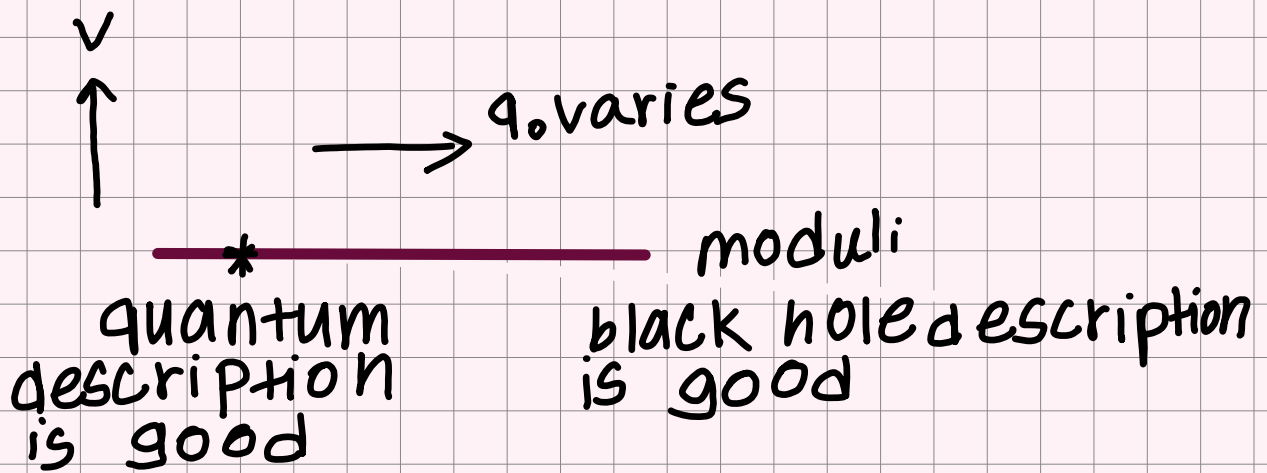
$$S = \frac{A}{4}$$

$Nq_0$  small  $\rightarrow$  the system can be regarded as a weakly interacting system of  $N$  particles

each of charge  $q_B \Rightarrow$   
quantize & count number of states  $\Omega$

Compare  $S \leftrightarrow \ln \Omega$

# moduli space



**Susy**  $\rightarrow$   $\Omega$  does not change along the moduli space

- 1) A generic string compactification with  $N \geq 2$  SUSY has MULTIPLE vector fields  
( $U(1)$  gauge fields)

$\Rightarrow$  A state carries MULTIPLE charges

$$\begin{array}{c} Q_1, Q_2, \dots, Q_K \\ \parallel \quad \parallel \\ N_1 q_1^{(0)}, N_2 q_2^{(0)}, \dots, N_K q_K^{(0)} \end{array}$$

2. (no. of bosonic states —  
no. of fermionic states)

compare  $S = \frac{A}{4G} \leftrightarrow \ln \Omega$

supersymmetry to the rescue!

Susy  $\Rightarrow$  black holes carry zero  
angular momentum

$\Rightarrow$  ALL microstates are bosonic  
When we have Susy black holes

String theory in  $D=10$  (IIA, IIB)

compactify 6 direction on product  
of 6 circles

$$x^m \equiv x^m + 2\pi R_m \quad \text{for } m=4,5,6,7,8,9$$

$$x^\nu : \nu = 0,1,2,3$$

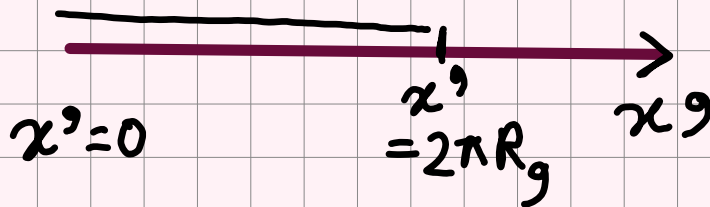
non compact  
(3+1) dim space  
time coordinates

$$x^0 \equiv t$$

$$2\pi R_9 \equiv \int_0^{2\pi} \sqrt{g_{99}} dx^9 = 2\pi \sqrt{g_{99}}$$

This is the sense in which  $R_9$  is a modulus.

take a fundamental string and wind it once around the 9th direction.



For  $R_9$  large, the dynamics will be small oscillations in the  $\perp$  direction

Degrees of freedom

$$X^i(x^9, t)$$



Action

$$\propto \int dt dx^9$$

$$\sum_{i=1}^8 \left\{ (\partial_t X^i)^2 - (\partial_{x^9} X^i)^2 \right\}$$

$$\partial_{x^9} = \frac{\partial}{\partial x^9}$$

mode expansion

$$X^i(x^9, t) = \sum_{n \in \mathbb{Z}} X_n^i(t) e^{inx^9/R_9}$$

$$\text{Action} \propto \sum_{i=1}^8 \sum_n \left( \partial_t X_{-n}^i \partial_t X_n^i - \frac{\hbar^2}{R_9^2} X_{-n}^i X_n^i \right)$$

$$X_{-n}^i = (X_n^i)^*$$

compare with harmonic oscillator

$$\text{Action} \propto \frac{1}{2} (\dot{x}^2 - \omega^2 x^2) \quad \text{h.o.w} = \frac{\hbar}{R_9}$$

$$\text{States: } \prod_{i=1}^8 \prod_n (a_{i,n}^\dagger)^{r_{i,n}} |0\rangle \quad [a_{i,n}, a_{j,n'}^\dagger] = \delta_{ij} \delta_{nn'}$$



$$\text{momentum} = \sum_i \sum_n \frac{n}{R_g} r_{i,n}$$

$$\text{Energy} = \text{const.} + \sum_i \sum_n \frac{|n|}{R_g} r_{i,n}$$

each  $a_{i,n}^\dagger$  carries  $\propto 9$  momentum

$g_{gg}$  is a scalar  $\frac{n}{R_g}$   
 $g_{gv} \rightarrow \text{vector}$

$\sum_{i=1}^8 \sum_n \frac{n}{R_g} r_{i,n}$  is the charge  
of  $g_{gv}$

extremal states

all +ve or all -ve

SUPPOSE we are looking for  
states with charge  $\frac{N}{R_s}$  ( $N = \text{integer}$ )

$$N = \sum_{i=1}^{\infty} \sum_{n=0}^{\infty} n r_{i,n}$$

$\Omega(N)$  = no of ways we can  
choose integer  $r_{i,n} \geq 0$   
such that

$$\sum_{i=1}^8 \sum_{n=0}^{\infty} n r_{i,n} = N$$

$$N=1 \Rightarrow 8$$

## Partition function

$$f(q) = \sum_N \Omega(N) q^N$$

complex  
variable

$$f(q) = \sum_N q^N \prod_{i=1}^8 \prod_{n=1}^{\infty} \prod_{r_{i,n}=0}^{\infty} \delta_{\sum_{i,n} n r_{i,n}, N}$$

$$\sum_{r_{1,1}=0}^{\infty} \sum_{r_{2,1}=0}^{\infty} \dots \sum_{r_{8,1}=0}^{\infty} \sum_{r_{1,2}=0}^{\infty} \dots \sum_{r_{8,2}=0}^{\infty} \dots$$

$$= \prod_{i=1}^8 \prod_{n=1}^{\infty} \sum_{r_{i,n}=0}^{\infty} q^{\sum n r_{i,n}}$$

$$= \prod_{i=1}^8 \prod_{n=1}^{\infty} \sum_{r_{i,n} \neq 0} q^{r_{i,n} n}$$

$$\longleftrightarrow \frac{1}{1 - q^n}$$

$$f(q) = \prod_{n=1}^{\infty} \frac{1}{(1 - q^n)^8}$$

expand in power series in  $q$ .

calculate  $\Omega(N)$

Fit with  $\Omega(N) = A\sqrt{N} + B \ln N + C + \dots$

calculate  $A, B, C$  for large  $N$

reviews:

## General case

[link 1](#)

[link 2](#)

$\infty$  no. harmonic oscillators  
 $i$ -th oscillator carries  
charge  $(n_1^{(i)} q_1^{(0)}, n_2^{(i)} q_2^{(0)}, \dots, n_k^{(i)} q_k^{(0)})$   
in units of minimal  
charges.

$(N_1 q_1^{(0)}, N_2 q_2^{(0)}, \dots, N_k q_k^{(0)})$   
minimal units of charge  
Large integers

## general state:

$\prod (a_i^\dagger)^{r_i} |0\rangle$ ,  $r_i$  integers  $0 \leq r_i < \infty$

## charge:

$$\left( \sum_i r_i n_1^{(i)} q_1^{(0)}, \sum_i r_i n_2^{(i)} q_2^{(0)}, \dots \right) \\ = (N_1 q_1^{(0)}, N_2 q_2^{(0)}, \dots, N_k q_k^{(0)})$$

$$N_1 = \sum_i r_i n_1^{(i)}, N_2 = \sum_i r_i n_2^{(i)}, \dots$$

Q. how many ways can we choose  $r_i$ 's such that

$$N_1 = \sum_i r_i n_1^{(i)}, N_2 = \sum_i r_i n_2^{(i)}, \dots$$

$$\Omega(N_1, \dots, N_k) = \sum_{r_1=0}^{\infty} \sum_{r_2=0}^{\infty} \dots \delta_{\sum_i r_i n_1^{(i)}, N_1} \delta_{\sum_i r_i n_2^{(i)}, N_2} \dots \delta_{\sum_i r_i n_k^{(i)}, N_k}$$

$\left( \prod_{i=1}^{\infty} \sum_{r_i} \right)$

partition function

$$f(q_1, \dots, q_N) = \sum_{N_1, N_2, \dots, N_K} \Omega(N_1, \dots, N_K) q_1^{N_1} q_2^{N_2} \dots q_K^{N_K}$$

$$f(q_1, \dots, q_K) = \sum_{N_1} \sum_{N_2} \dots \sum_{N_K} \left( \prod_{i=1}^{\infty} \sum_{r_i} \right) \delta_{\sum_i r_i n_1^{(i)}, N_1} \dots \delta_{\sum_i r_i n_K^{(i)}, N_K} q_1^{N_1} \dots q_K^{N_K}$$

$$\begin{aligned}
&= \left( \prod_{i=1}^{\infty} \sum_{r_i} \right) q_1^{\sum_i r_i n_{(1)}^i} \dots q_k^{\sum_i r_i n_{(k)}^i} \\
&= \left( \prod_{i=1}^{\infty} \sum_{r_i} \right) \prod_{i=1}^{\infty} \left( q_1^{n_{(1)}^i} q_2^{n_{(2)}^i} \dots q_k^{n_{(k)}^i} \right)^{r_i} \\
&= \prod_{i=1}^{\infty} \sum_{r_i=0}^{\infty} \left( q_1^{n_{(1)}^i} \dots q_k^{n_{(k)}^i} \right)^{r_i} \\
&= \prod_{i=1}^{\infty} \left( 1 - q_1^{n_{(1)}^i} \dots q_k^{n_{(k)}^i} \right)^{-1} \quad \boxed{\sum_{r=0}^{\infty} x^r = (1-x)^{-1}}
\end{aligned}$$

Fermionic oscillators:  $b^\dagger b$

$$\{b, b^\dagger\} = 1, \quad \{b, b\} = 0$$

$$b^2 = 0 \quad (b^\dagger)^2 = 0$$

suppose the  $i$ th fermionic oscillator carries

$$(m_{(1)}^{(i)} q_1^{(0)}, m_{(2)}^{(i)} q_2^{(0)}, \dots, m_{(k)}^{(i)} q_k^{(0)})$$

$$\text{state: } \prod_i (a_i^\dagger)^{s_i} |0\rangle \quad s_i = 0, 1, \text{ charge}$$

$\sum_i S_i = \text{odd} \rightarrow \text{fermionic states}$

$\sum_i S_i = \text{even} \rightarrow \text{bosonic states}$

the calculation follows.

Fermionic contribution to the partition function

$$\prod_{i=1}^{\infty} \sum_{S_i=0}^1 (q_1^{m_1^{(i)}} \dots q_k^{m_k^{(i)}})^{S_i}$$
$$= \prod_{i=1}^{\infty} (1 + q_1^{m_1^{(i)}} \dots q_k^{m_k^{(i)}})$$

For index:

$$\prod_{i=1}^{\infty} \left\{ \sum_{S_i=0}^1 (q_1^{m_1^{(i)}} \dots q_k^{m_k^{(i)}})^{S_i} (-1)^{S_i} \right\}$$
$$= \prod_{i=1}^{\infty} (1 - q_1^{m_1^{(i)}} q_2^{m_2^{(i)}} \dots q_k^{m_k^{(i)}})$$

$$(-1)^{\sum_i S_i}$$
$$= \prod_i (-1)^{S_i}$$

Suppose we have calculated  $f(q_1, \dots, q_k)$

$$f(q_1, \dots, q_k) = \sum_{N_1} \sum_{N_2} \dots \sum_{N_k} \Omega(N_1, \dots, N_k) q_1^{N_1} \dots q_k^{N_k}$$

Q. Given  $f$ , how do we calculate  $\Omega$ ?

for Black hole system,  
we expect  $\Omega$  to grow  
for large  $N$ .

define  $\tau_1, \dots, \tau_k$  such that

$$q_1 = e^{2\pi i \tau_1}, \quad q_2 = e^{2\pi i \tau_2}, \dots$$

Contribution from charge of the vacuum is  $q_1^{c_1} q_2^{c_2} \dots q_k^{c_k}$

if  $|0\rangle$  carries charge  $(c_1, \dots, c_k)$

$$f(q_1, \dots, q_k) = \sum_{N_1} \dots \sum_{N_k} \Omega(N_1, \dots, N_k) e^{2\pi i \tau_1 N_1 + 2\pi i \tau_2 N_2 + \dots + 2\pi i \tau_k N_k}$$



$$\therefore \Omega(N_1, \dots, N_k) = \int d\gamma_1 \dots \int d\gamma_k f(q_1, \dots, q_k) e^{-2\pi i N_1 \gamma_1} \dots e^{-2\pi i N_k \gamma_k}$$

$$f(x) = \sum_N e^{2\pi i N x} g(N) \Rightarrow g(N) = \int_0^1 dx e^{-2\pi i N x} f(x)$$

$$\Omega(N_1, \dots, N_k) = \int_{i\lambda_1}^{i\lambda_1+1} d\gamma_1 \dots \int_{i\lambda_k}^{i\lambda_k+1} d\gamma_k f(q_1, \dots, q_k) e^{-2\pi i N_1 \gamma_1} \dots e^{-2\pi i N_k \gamma_k}$$

$f(q_1, \dots, q_k)$  is well defined for  $\text{Im} \tilde{\gamma}_i$

large for every  $i$ .

$N_k = \text{large +ve number}$ .

$$\gamma_1 = i\lambda_1 + x_1 \quad e^{2\pi i \gamma_1 N_1} = e^{-2\pi \lambda_1 N_1} e^{2\pi i N_1 x_1}$$

$q_k \rightarrow \text{arbitrary complex var}$

$$f(q_1, \dots, q_N)$$

$$= \sum_{N_1, \dots, N_k} \Omega(N_1, \dots, N_k) q_1^{N_1} \dots q_k^{N_k}$$

$$\Omega(N_1, \dots, N_k) = \int_{i\lambda_1}^{i\lambda_1+1} d\tau_1 \dots \int_{i\lambda_k}^{i\lambda_k+1} d\tau_k$$

$$f(q_1, \dots, q_k) e^{-2\pi i \tau_1 N_1} \dots e^{-2\pi i \tau_k N_k}$$

evaluate this using  
saddle point  
methods

heterotic string theory in  $D=10$   
and compactify 6 directions on  
circles.

$$x^m \equiv x^m + 2\pi R m \quad \text{for } m=4, \dots, 9$$

$x^\mu$  :  $\mu=0, 1, 2, 3$  : non-compact  
directions

In  $D=10$ , we have  $G_{MN}, B_{MN}, \phi, \dots$

$G_{mp}, B_{mp}$   $m=4, \dots, 9$  massless fields  
 $\mu=0, \dots, 3$   $M, N=0, \dots, 9$

consider states with following charges:

- 1)  $Q$  units of magnetic charges of  $B_4\mu$
- 2) one unit of electric charge of  $B_5\mu$
- 3)  $J$  units of magnetic charge of  $B_5\mu$
- 4)  $n$  units of electric charge of  $G_5\mu$
- 5) one unit of magnetic charge of  $G_4\mu$

here  $Q, n, J$   
are arbitrary  
integers

$$f(q_1, q_2, q_3) = \sum \Omega(n, Q, j) q_1^n q_2^Q q_3^j$$

Result B:

$$f(q_1, q_2, q_3) = q_1^{-1} q_2^{-1} q_3^{-1} \prod_{\substack{k, l, j \in \mathbb{Z} \\ k, l \geq 0 \\ \text{if } k=0, l=0, \\ \text{then } j < 0}} (1 - q_1^k q_2^l q_3^j)^{-c(4kl-j^2)}$$

$c$ 's are known coefficients defined via

$\vartheta$  = Jacobi theta function

$$8 \left[ \frac{\vartheta_{00}(\tau, z)^2}{\vartheta_{00}(\tau, 0)^2} + \frac{\vartheta_{01}(\tau, z)^2}{\vartheta_{01}(\tau, 0)^2} + \frac{\vartheta_{10}(\tau, z)^2}{\vartheta_{10}(\tau, 0)^2} \right]$$

$$= \sum_{n, j \in \mathbb{Z}} c(4n - j^2) e^{2\pi i n \tau + 2\pi i j z}$$

$$\vartheta_{00}(\tau, z) = \sum_{n=-\infty}^{\infty} e^{\pi i n^2 \tau} e^{2\pi i n z}$$

$$\vartheta_{01}(\tau, z) = \vartheta_{00}(\tau, z + \frac{1}{2})$$

$$\vartheta_{10}(\tau, z) = \vartheta_{00}(\tau, z + \frac{\tau}{2}) \times e^{\pi i \frac{\tau}{4}} e^{\pi i z}$$

$\rightarrow$  for long  $n, Q, J$   $\} \Omega(n, Q, J)$   
 $= \pi \sqrt{4Q, n - J^2}$   
 $+ \text{corrections}$   
 $\rightarrow$  Agrees with  $S_{B.H}$

$\Omega$  in heterotic string theory

Another example: Type IIA/IIB on  $T^6$

Take a state carrying:

$$x^m = x^m + 2\pi R_m \quad \text{for } m=4, \dots, 9$$

$$\nu = 0, \dots, 3 \quad \text{non-compact}$$

- 1) One unit of magnetic charge of  $G_{5\nu}$
  - 2) One unit of magnetic charge of  $B_{5\nu}$
  - 3) One unit of electric charge of  $B_{4\nu}$
  - 4)  $N$  unit of magnetic charge of  $G_{4\nu}$
- } calculation  $\Omega(N)$

Result:

$$\Omega(N) = -\hat{c}(4N)$$

$\hat{c}$  is defined via:

$$p^{-1}(1-p)^2 \prod_{n=1}^{\infty} \frac{(1-4^n p)^2 (1-4^n p^{-1})^2}{(1-4^n)^4}$$

$$= \sum_{\substack{k, \lambda \\ k \geq 0}} \hat{c}(4k - \lambda^2) q^k p^\lambda$$

example:

calculate  $\hat{c}(4)$

$$\Omega(4) = -\hat{c}(4)$$

LOOK for coefficients of  $q^1 p^0 = q$

$$(p^{-1})(1-p)^2 \frac{(1-4p)^2 (1-4p^{-1})^2}{(1-4)^4}$$

$$= p^{-1}(1-p)^2 \{1 - 2qp - 2qp^{-1} + 4q\}$$

$$= (p^{-1} - 2 + p) \{1 - 2qp - 2qp^{-1} + 4q\}$$

$$\simeq -2q - 8q - 2q$$

$$= -12q$$

for large  $N$

$$\ln \Omega(N) = 2\pi\sqrt{N} - 2\ln N + \dots$$

this result has to be obtained by saddle point analysis of the fourier expansion.

exercise: find this formula by computing  $\Omega(N)$  numerically up to  $N=20$  and fitting

$$\Omega(N) = A\sqrt{N} + B\ln N + \dots$$

In theories with  $N \geq 2$  susy the lagrangian is complicated.

fields:

scalars  $\{\phi_\alpha\}$   $\alpha = 1, \dots, n_s$   
vectors  $\{A_\mu^{(i)}\}$   $i = 1, \dots, n_v$

$$g_{\mu\nu}$$

$$\mathcal{L}(\{\phi_a\}, \{F_{\mu\nu}^{(i)}\}, g_{\mu\nu})$$

how do we find black hole solutions and their entropy?

Go back to RN solution:

$$\text{metric} = ds^2$$

$$= -\left(1 - \frac{a}{R}\right)\left(1 - \frac{b}{r}\right) dt^2 + \frac{dr^2}{\left(1 - \frac{a}{r}\right)\left(1 - \frac{b}{r}\right)} + r^2(d\theta^2 + \sin^2\theta d\phi^2)$$

extremal limit:  $b \rightarrow a$

$$\text{define } \lambda = \frac{a-b}{2}, \quad r = \frac{\lambda t}{a^2}$$

$$\rho = \frac{2r - a - b}{2\lambda}$$



rewrite the metric in  $\rho, \tau$  coordinates with  $b$  replaced by  $a + 2\lambda$  at fixed  $\rho, \tau, a$

exercise:

show that in this limit

$$ds^2 = a^2 \left( - (e^2 - 1) d\tau^2 + \frac{de^2}{e^2 - 1} \right) + a^2 (d\theta^2 + \sin^2 \theta d\phi^2)$$

The two horizons are at  $e = \pm 1$ .

change coordinate:  $\rho = \cosh \eta$

$$ds^2 = a^2 (-\sinh^2 \eta d\tau^2 + d\eta^2) + a^2 (d\theta^2 + \sin^2 \theta d\phi^2)$$

$AdS_2$  - two dimensional  
anti-de Sitter  
space

sphere

**sphere:**

$x^2 + y^2 + z^2 = a^2$  embedded in  
euclidean 3d space.

**claim:**

$AdS_2$  metric is given by  
 $x^2 - y^2 - z^2 = -a^2$  in the  
3-D spacetime.

$$ds^2 = dx^2 + dy^2 + dz^2$$

$$z = a \cos \theta$$

$$y = a \sin \theta \sin \phi$$

$$x = a \sin \theta \cos \phi$$

change of variable with metric  
 $ds^2 = dx^2 - dy^2 - dz^2$

$$z = a \cosh \eta, y = a \sinh \eta \sinh \gamma, x = a \sinh \eta \cosh \gamma$$

$$\begin{aligned} y^2 - x^2 &= a^2 \sinh^2 \eta (-1) \\ &= -a^2 \sinh^2 \eta \end{aligned}$$

**exercise:** check that this  
gives  $AdS_2$  metric.

result:

All spherically symmetric external blackhole have  $AdS_2 \times S^2$  near horizon geometry.

2d-sphere has  $SO(3)$  symmetry.

$AdS_2$  has  $SO(1,2)$  symmetry.

SUPPOSE that we have a theory containing

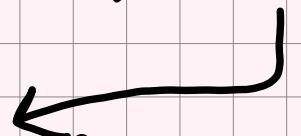
- i) scalars  $\{\phi_\alpha\}$
- ii) vectors  $A_\mu^{(i)}$
- iii) metric  $g_{\mu\nu}$

$$\phi_\alpha(\theta, \phi, r, \tau)$$

on the extremal horizon:  $\phi_\alpha = u_\alpha$

constant  
 $e, \tau, \theta, \phi$ .

independent of



$$ds^2 = \vartheta_1 (-\sinh^2 \eta d\tau^2 + d\eta^2) + \vartheta_2 (d\theta^2 + \sin^2 \theta d\phi^2)$$

$\vartheta_1, \vartheta_2 =$   
constants

$$= \vartheta_1 \left( \frac{de^2}{e^2 - 1} - (e^2 - 1) d\tau^2 \right) + \vartheta_2 (d\theta^2 + \sin^2 \theta d\phi^2)$$

$F_{\mu\nu}^{(i)}$  has no mixed component.

$$F_{\theta\phi}^{(i)} = p_i \sin \theta \quad p_i = \text{constant}$$

$$F_{\eta\tau}^{(i)} = e_i \sinh \eta \quad e_i = \text{constant}$$

$$\Downarrow$$

$$F_{e\tau}^{(i)} = e_i$$

$$F_{\theta\phi}^{(i)} d\theta \wedge d\phi$$

$$\propto \sin \theta d\theta d\phi$$

Action  $A = \int d^4 x \sqrt{-\det g} \mathcal{L}$

eq of motion:

$$\frac{\delta A}{\delta(\text{fields})} = 0$$

$$\int de d\tau d\theta d\phi$$

$$\vartheta_1 \vartheta_2 \sin \theta \mathcal{L}$$

$$\mathcal{L}(\{\eta_i\}, \{e_i\}, \{p_i\}, \vartheta_1, \vartheta_2)$$

a scalar  
independent of  $\theta, \phi, \tau, \eta$

Lagrangian density

a scalar

$$4\pi \int d\rho d\tau$$

$$\theta_1, \theta_2 \mathcal{L}(\{u_\alpha\}, \{e^i\}, \{p_i\}, \theta_1, \theta_2)$$

f

scalar field eqns:

$$\frac{\delta A}{\delta \phi_\alpha} = 0 \Rightarrow \frac{\partial f}{\partial u_\alpha} = 0$$

metric eq.

$$\frac{\partial f}{\partial \theta_1} = 0, \quad \frac{\partial f}{\partial \theta_2} = 0$$

$$ds^2 = \theta_1 \left( \frac{de^2}{e^2 - 1} - (e^2 - 1) d\tau^2 \right) \\ + \theta_2 (d\theta^2 + \sin^2 \theta d\phi^2)$$

electromagnetic field equations:

$$D_\nu F_{\nu e}^{(i)} = 0$$

$$\frac{\delta A}{\delta A_\mu^{(i)}} = 0$$

$$\Rightarrow \frac{\partial}{\partial x^\nu} \left( \sqrt{-\det g} \frac{\delta \mathcal{L}}{\delta F_{\mu}^{(i)}} \right) = 0 \Rightarrow \text{automatically satisfied}$$

due to the absence of invariant vector.

$p_i$  = magnetic charge

$q_i$  = electric charge  $q_i = \frac{\partial f}{\partial e_i}$

result:

$$\text{entropy} = 8\pi^2 \left( \sum_i q_i e_i - f \right)$$

$e$  is an electric field.

$$f = \frac{1}{2} \int d^3x \mathbf{E}^2$$

near horizon geometry of extremal black holes

$$ds^2 = \vartheta_1 \left( \frac{de^2}{e^2 - 1} - (e^2 - 1) d\tau^2 \right) + \vartheta_2 (d\theta^2 + \sin^2 \theta d\phi^2)$$

$$\phi_\alpha = u_\alpha, \quad F_{\theta\phi}^{(i)} = p_i \sin \theta, \quad F_{\tau r}^{(i)} = e^i$$

define a function  $q_i = \frac{\partial}{\partial e_i} (\vartheta_1, \vartheta_2)$

$$\mathcal{E}(\{u_\alpha\}, \vartheta_1, \vartheta_2, \{e_i\}, q_i, p_i) \equiv 8\pi^2 \left( \sum_i q_i e_i - \vartheta_1 \vartheta_2 \right)$$

$u_\alpha, u_1, u_2, e_i$  are obtained from;

$$\frac{\partial \mathcal{E}}{\partial u_\alpha} = 0, \quad \frac{\partial \mathcal{E}}{\partial \vartheta_1} = 0, \quad \frac{\partial \mathcal{E}}{\partial \vartheta_2} = 0, \quad \frac{\partial \mathcal{E}}{\partial e_i} = 0$$

Entropy =  $\mathcal{E}$  at the solution.

Test this in einstein-maxwell theory

$$\mathcal{L} = \left( \frac{1}{8\pi G} R - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} \right)$$

substitute the fields, set  $p=0$  (for comparison with easier results)

$$R = 2(\vartheta_2^{-1} \vartheta_1^{-1}), \quad \frac{1}{4} F_{\mu\nu} F^{\mu\nu} = -\frac{1}{2} e^2 \vartheta_1^{-2}$$

$$\mathcal{L} = 8\pi^2 \left[ 4e - \frac{\vartheta_1 \vartheta_2}{16\pi G} (2\vartheta_2^{-1} - 2\vartheta_1^{-1}) - \frac{1}{2} e^2 \vartheta_1^{-1} \vartheta_2 \right]$$

$$\frac{\partial \mathcal{L}}{\partial \vartheta_1} = 0 \Rightarrow -\frac{1}{8\pi G} + \frac{e^2}{2} \frac{\vartheta_2}{\vartheta_1^2} = 0$$

$$\frac{\partial \mathcal{L}}{\partial \vartheta_2} = 0 \Rightarrow +\frac{1}{8\pi G} - \frac{e^2}{2} \vartheta_1^{-1} = 0$$

$$\frac{\partial \mathcal{L}}{\partial e} = 0 \Rightarrow 4 - e \vartheta_1^{-1} \vartheta_2 = 0$$



exercise:

$$e = 4, \quad \vartheta_1 = \vartheta_2 = 8\pi G q^2$$

$$S = \mathcal{L} = 4\pi^2 q^2$$

check that these agree with earlier results.

Applying to heterotic on  $T^6$  and type II on  $T^6$ .

relevant theory in both cases contain:

- 1) two scalars  $a, \sigma \Rightarrow$  axion dilaton
- 2) scalars from  $G_{mn}, B_{mn}$

$\Rightarrow$  can be written as  $12 \times 12$  matrix  $M(x)$  satisfying

$$M^T = M, \quad M L M^T = L, \quad L = \begin{pmatrix} 0 & I_{6 \times 6} \\ I_{6 \times 6} & 0 \end{pmatrix}$$

3) 12 vector fields  $A_\mu^{(i)}$   $i=1, \dots, 12$

4) metric  $G_{\mu\nu}$

$$\begin{aligned} \mathcal{L} = & \frac{1}{2\pi\alpha'} \sigma \left[ R_G + \frac{1}{\sigma^2} (G^{\mu\nu} \partial_\mu \sigma \partial_\nu \sigma) \right. \\ & - \frac{1}{2} G^{\mu\nu} \partial_\mu a \partial_\nu a + \frac{1}{8} G^{\mu\nu} \text{Tr} (\partial_\mu M \partial_\nu M) \\ & - G^{\mu\nu} G^{\mu'\nu'} \sum_{i,j} (L M L)_{ij} F_{\mu\mu'}^{(i)} F_{\nu\nu'}^{(j)} \\ & \left. - \frac{\alpha}{\sigma} G^{\mu\mu'} G^{\nu\nu'} F_{\mu\mu'}^{(i)} L_{ij} \tilde{F}_{\nu\nu'}^{(j)} \right] \end{aligned}$$

near horizon fields

$$\sigma = u s, \quad a = u_a, \quad M_{ij} = \mathcal{U} M_{ij}$$

$$\begin{aligned} ds^2 = & \frac{\alpha'}{16} \left[ \mathcal{Q} \left( \frac{de^2}{e^2 - 1} - (e^2 - 1) d\tau^2 \right) \right. \\ & \left. + \mathcal{Q}_1 (d\theta^2 + \sin^2 \theta d\phi^2) \right] \end{aligned}$$

$$F_{\theta\phi}^{(i)} = \frac{\sqrt{a'}}{4} p_i \sin\theta, \quad F_{pr}^{(i)} = \frac{\sqrt{a'}}{4} e_i$$

$$\begin{aligned} \mathcal{E} &= 8\pi^2 \left( \sum e_i q_i - \left( \frac{\alpha'}{16} \right)^2 \theta_1 \theta_2 L \right) \\ &= 8\pi^2 \left[ \sum e_i q_i - \frac{1}{8} \theta_1 \theta_2 U_S \left\{ -\frac{2}{\theta_1} + \frac{2}{\theta_2} \right. \right. \\ &\quad \left. \left. + \frac{2}{\theta_{1,2}} e_i (L U_m L)_{ij} e_j \right\} \right] \end{aligned}$$

we have to extremize

solve:

$$\frac{\partial \mathcal{E}}{\partial e_i} = 0, \quad \frac{\partial \mathcal{E}}{\partial \theta_i} = 0 \quad i=1,2, \quad \frac{\partial \mathcal{E}}{\partial (U_m)_{ij}} = 0$$

$\mathcal{E}$  gives the entropy.

$$M^T = M, \quad M L M^T = L$$

$$U_m^T = U_m, \quad U_m L U_m^T = L$$

the state for which the counting was done in heterotic theory:

$$q = \begin{pmatrix} 0_5 \\ -n \\ 0_5 \\ -1 \end{pmatrix}$$

$$p = \begin{pmatrix} 0_4 \\ 1 \\ 0 \\ 0_4 \\ Q-1 \\ -j \end{pmatrix}$$

result:

$$K \sqrt{4Qn - j^2}$$

for the type II black hole  
for which we gave the  
counting -

$$q = \frac{1}{8\pi} \begin{pmatrix} 0.5 \\ -n \\ 0.5 \\ -1 \end{pmatrix}$$

$$p = \begin{pmatrix} 0.4 \\ 1 \\ 0 \\ 0.5 \\ 0 \end{pmatrix}$$

$\ell$  at  
extremum  
 $= \pi \sqrt{4N}$

In heterotic on  $T^6$ , we have  
corrects to  $\ell$ .

$$\Delta \ell = \chi(a, \sigma) (R_{\mu\nu\sigma} R^{\mu\nu\sigma} - 4 R_{\mu\nu} R^{\mu\nu} + R^2)$$

$$\chi(a, \sigma) = -\frac{1}{64\pi^2} [12 \ln \sigma + 24 \ln \eta(a-i\sigma)]$$

$$\eta(\tau) = e^{\pi i \tau / 12} \prod_{n=1}^{\infty} (1 - e^{2\pi i n \tau})$$

correction to the entropy

$$\Delta S = 64\pi^2 X \left( \frac{J}{2Q}, \frac{\sqrt{4Q^2 - J^2}}{2Q} \right)$$

same correction appears  
in the counting formula

→ is also true in a wide class  
of theories.

some consequences

$S$  (entropy) is the extremum  
of  $\mathcal{E}(\{u_\alpha\}, \vartheta_1, \vartheta_2, \{e_i\}, \{q_i\}, \{p_i\})$   
with respect to  $u_\alpha, \vartheta_1, \vartheta_2, e_i$   
 $\Rightarrow$  function of  $\{q_i\}, \{p_i\}$

FULL black hole solution also  
depends on moduli ( $\phi_\alpha$  at  $\infty$ )

Independence of  $S$  of the moduli is known as attractor mechanism.

~~counting~~      ~~bh~~

the black hole has some  
susy near horizon  $AdS_2$   
symmetry  $so(1,2)$

result:

the minimal group that accommodates both is  $PSU(1,1|2)$

Bonic part is  $SO(1,2) \times SU(2)$   
   rotation

solution is rotationally invariant

⇒ no angular momentum

⇒ only bosonic states

## Quantum theory

(on the gravity side)

euclidean path integral:  $\mathcal{Z} \rightarrow i\mathcal{Z}_E$

$e^{iA} \rightarrow e^A$  (weight in the path integral)

Action

metric in polar coordinates

$$dr^2 + r^2 d\theta^2$$

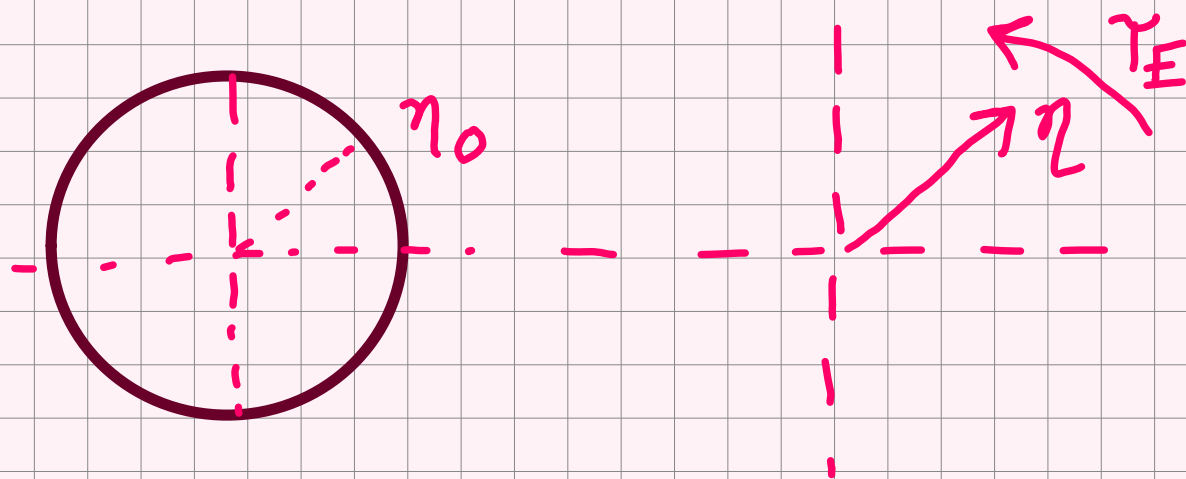
## euclidean solution

$$ds^2 = \vartheta_1 \left( \frac{de^2}{e^2 - 1} (e^2 - 1) d\tau_E^2 \right) + \vartheta_2 (d\theta^2 + \sin^2 \theta d\phi^2)$$

$$= \vartheta_1 (d\eta^2 + \sinh^2 \eta d\tau_E^2) + \vartheta_2 (d\theta^2 + \sin^2 \theta d\phi^2)$$

smooth if  $\tau_E$  has period  $2\pi$ .





euclidean path integral

$$\int \infty(\text{fields}) e^A = f(\{q_i\}, \{p_i\})$$

Leading term:

$$e^A \Big|_{\text{classical soln}} = e^{-8\pi^2 (\sum_i q_i e_i - \vartheta_1 \vartheta_2 \hbar)}$$

↙  
classical result

the quantum fluctuations  
give term  $\propto \ln(\text{Area})$

$\Rightarrow -2 \ln N$  for IIA on  $T^6$   
0 for heterotic on  $T^6$

agree with  
microscopic  
results

result:

so far the microscopic results  
& black hole results always  
agree.

notes by nazlee  
(or nafisa)