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Operators of the Two-Part Encoding Genetic Algorithm in Solving the Multiple Traveling Salesmen Problem

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Abstract—The multiple traveling salesmen problem (mTSP) considers the m salesmen to visit n cities. This problem involves the assignment of the salesmen to some locations and we have to optimize the sequence within the route, so it is even harder than the traveling salesman problem (TSP) in nature. As a result, there are some algorithms used to solve the mTSP when the problem size is large. Particularly, genetic algorithm (GA) is quite famous in solving this problem while the problem size is large. When we compare the major existing encoding methods for mTSP, the best approach could be the two-part chromosome encoding due to its solution space is the smallest. The two parts are responsible for the sequence and the number of cities should be visited by each salesman. However, because the two-part chromosome technique is the recently proposed encoding method, the better combination of the crossover operators and mutation operators have not studied for this encoding method. As a result, this paper investigates the genetic operators could be used for this purpose by design-of-experiments (DOE). The appropriate genetic operators are suggested in this paper and it could be used to applied in the GA which employs the two-part chromosome encoding technique.

Keywords: mTSP, two-part chromosome, crossover operators, mutation operators

I. INTRODUCTION

The multiple traveling salesmen problem (mTSP) is of importance to model daily life situations. For example, managers like to assign the m salesmen to visit n locations everyday and each location must be visited by a salesman whereas $n > m$. Salesmen also need to optimize the traveling sequence in the route. It directly leads to the traveling cost and time in the trip. In addition, mTSP could be modeled into different problems [2]. mTSP could be formulated in the area of production scheduling [5], [21], [26], workforce planning [29], [28], transportation planning [1], [10], [27], the design of the global navigation satellite system surveying networks [22], and so on. As a result, the mTSP is important and to need more efforts on it since this problem has not received enough attentions.

From above descriptions of mTSP, this problem is more complicated than the traveling salesman problem (TSP). Even though the mTSP could be solved by the exact algorithms [7], [12], [15], exact algorithms may not tackle with the large size problems efficiently. In order to solve large size

mTSP, genetic algorithm (GA) is one of the commonly used algorithms. There are four major variants of the GA, including the one-chromosome [25], two-chromosome [13], [19], two-part chromosome [4], and grouping genetic algorithms [3], [6], [11], [20], [23]. In the four major techniques, the best approach could be the two-part chromosome technique due to it takes less solution space to do the explorations. Thus this study selects this encoding technique to solve the mTSP.

Two-part chromosome encoding is the very recently proposed method. To the best of our knowledge, there is no paper which studies the best genetic operators for the two-part chromosome. In [4], they only suggested the ordered and one-point asexual crossover in the first part and second part of the two-part chromosome. The mutation operators are not mentioned in their paper. Moreover, there is no subsequent papers which examine the genetic operators for two-part chromosome. As a result, this paper investigates those genetic operators that could be used for this purpose by design-of-experiments (DOE). After the appropriate genetic operators are examined, it is beneficial for the researchers employ the two-part chromosome encoding technique when they solve mTSP.

The rest of the paper is organized as follows. Section II presents the two-part chromosome encoding and we introduce the genetic operators for this encoding approach in Section III. Later on, we conduct extensive experiments to test on some benchmark instances from TSPLIB and the parameter configuration in GA. We could obtain which genetic operators could evolve to an optimal (or good) solution in Section IV. Finally, Section V gives the conclusions and the future research.

II. TWO-PART CHROMOSOME ENCODING TECHNIQUE

According to Carter and Ragsdale[4], they evaluate the size of the solution space of the three major encodings for mTSP. When we have n cities and m salesmen, the solution space of one chromosome needs $(n + m - 1)!$. Two chromosome approach takes $n!m^n$ and the size of the two-part chromosome is $n! \binom{n-1}{m-1}$. Thus, the solution space of the two-part chromosome is smaller than the other two approaches. In other words,

GA would be more efficient when this encoding technique is utilized. It is the reason why this research employs this approach depicted in Fig 1.

Figure 1 illustrates the encoding of $n = 15$ and $m = 3$ and there are two distinct parts. The first part of the chromosome represents the permutation of the n cities. The second part of the chromosome shows the number of cities assigned to each m salesmen so that its chromosome length is m . The total sum of the m genes is equal to the number of cities to be visited. Take Fig. 1 for example, the first salesman visits the first 6 cities in the first part chromosome, i.e., the city 7, 8, 10, 15, 12 and 13. Then, the salesman No. 2 goes to 4 cities after the first salesman, which are 5, 2, 14 and 3. Finally, the number of assigned cities for the third salesman is 5 and he/she needs to visit city 11, 1, 6, 9 and 4. So the total sum is 15 when we summarize the value of the three genes in the second part chromosome.

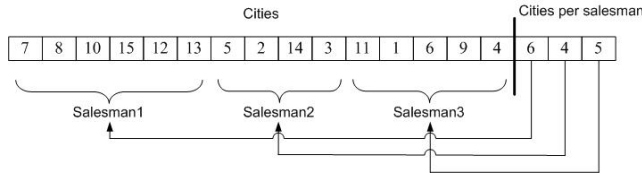


Fig. 1. An example of the two-part chromosome representation for 15 cities and three salesmen

Generally speaking, the two-part chromosome considers the sequence of each salesman and the number of assigned city for each person. When we like to develop genetic operators, we could divide them into this two parts first since they stand for different purpose. Then, the genetic operators are designed separately for the two different parts. It may keep the simplicity when we design new genetic operators. The following section discusses the genetic operator for the two-part chromosome.

III. GENETIC OPERATORS FOR THE TWO-PART CHROMOSOME ENCODING

Because we like to examine the crossover and mutation operators could be used in the two-part chromosome, this section introduces some operators for them in both parts. As a result, there are 4 combinations between the genetic operators (crossover and mutation operator) and the two parts in the chromosome. Each combination is discussed separately in Section III-A to Section III-D.

A. Crossover Operators for the first part chromosome

The first part of the two-part chromosome is the permutation of the cities. We could utilize two well-known crossover operators, including the two-point central crossover [17] and cycling crossover [8], [24], [18].

The two-point central crossover is depicted in Fig 2. We select a pair of two cut points randomly, named C_1 and C_2 where where $C_1 < C_2$. The genes located outside the range between C_1 and C_2 are copied from the parent solution into

the offspring. The genes within the C_1 and C_2 are copied according to the sequence in the other parent solution.

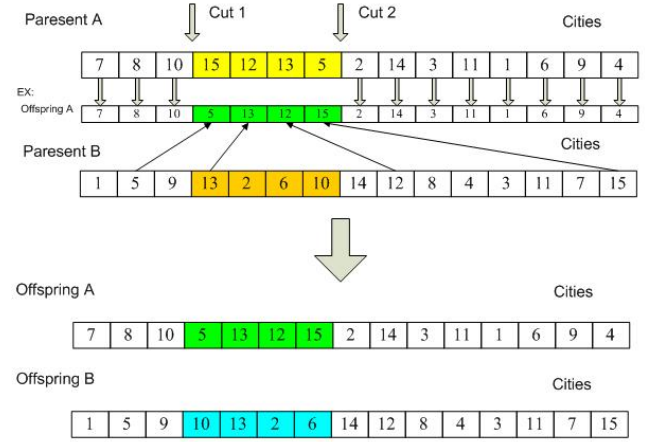


Fig. 2. The two-point central crossover

When it comes to the cycling Crossover, we illustrate this method in Fig 3. The procedure of the cycling crossover is as follows.

- (1) Pick a random position in parent A.
- (2) Consider the corresponding element in parent B.
- (3) Consider the same element in parent A.
- (4) Continue this procedure 2-3 until we get an element of parent B that is the first element we considered in parent A. Then, we can get two offsprings after permutation these considering elements for parents A and B.

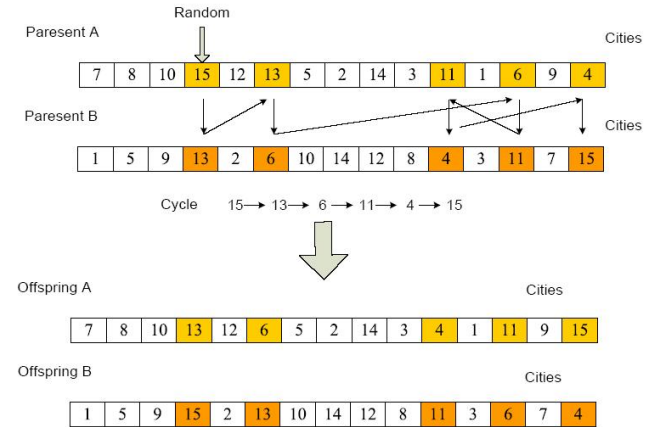


Fig. 3. The cycling crossover

By using the two-point central crossover and cycling Crossover, we could form a new sequence by these crossover operators in the first part of the two-part chromosome.

B. Crossover operators for the second part chromosome

We design two crossover operators which could exchange the genetic information and to maintain the feasibility of the n cities visited by the m salesmen in the second part of the two-part chromosome. When we design the crossover operators for the second part of the two-part chromosome, we should keep in mind about the total sum of the m genes should be equal to n cities. Two kinds of arithmetic crossover operators are considered and we will ensure the feasibility after a new chromosome is produced.

The first method is named arithmetic crossover 1 (ARC1) which is modified from [14]. The original method is used to solve the continuous problem while we transform it into an integer solution space. Suppose there are two chromosomes X_i and X_j selected in the population and they are the second part of the chromosome, a random value $\alpha \in (0, 1)$ is generated to decide the weighted-sum value inherited from the two parents. So when we calculate the weighted-sum at the position $[k]$ of chromosome X_i and X_j , the new gene value is computed as Eq. 1 and Eq. 2, respectively.

$$X_{i[k]} = \lfloor \alpha * X_{i[k]} + (1 - \alpha) * X_{j[k]} \rfloor \quad (1)$$

$$X_{j[k]} = \lfloor \alpha * X_{j[k]} + (1 - \alpha) * X_{i[k]} \rfloor \quad (2)$$

where $k = n+1, \dots, n+m$ and $n > m$. It is noticeable that we take the floor value of the computation results. We could obtain the integer value which represents the assigned cities for the salesmen. Although X_i and X_j may not be feasible, we check them after we introduce the other crossover operator for the second part chromosome.

The second method is named arithmetic crossover 2 (ARC2) which is also mentioned in [14]. The random value α is remain applied to decide the weighted value inherited from the two parents. When we want to mate two chromosomes X_i and X_j at position $[k]$, the calculations are listed in Eq. 3 and Eq. 4.

$$X_{i[k]} = \lfloor X_{i[k]} + \alpha * (X_{i[k]} - X_{j[k]}) \rfloor \quad (3)$$

$$X_{j[k]} = \lfloor X_{j[k]} + \alpha * (X_{i[k]} - X_{j[k]}) \rfloor \quad (4)$$

After both X_i and X_j are generated by the ARC1 or ARC2, we need to check the feasibility of the chromosomes by the following Eq. 5 and Eq. 6.

$$\Delta(X_i) = n - \sum_{k=n+1}^{n+m} X_{i[k]} \quad (5)$$

$$\Delta(X_j) = n - \sum_{k=n+1}^{n+m} X_{j[k]} \quad (6)$$

If $\Delta(X_i)$ (or $\Delta(X_j)$) is not equal to zero, it means the solution X_i (or X_j) is not feasible. A repair method is used. We randomly distribute the required cities $\Delta(X_i)$ (or $\Delta(X_j)$) to the genes on X_i (or X_j). Thus it ensures that the new solution keeps the feasibility.

C. Mutation Operators of the First Part Chromosome

The mutation operator aims at increasing population diversity in search. For simplicity, we adopt the famous swap and inverse mutation operators in the first part chromosome. In swap mutation (See Fig 4), two random points C_1 and C_2 determine the two genes should be exchanged to the new positions. In our example, the two random points C_1 and C_2 are located at position 4 and position 7. The job 15 is moved to the position 7 and job 5 is changed to the position 4.

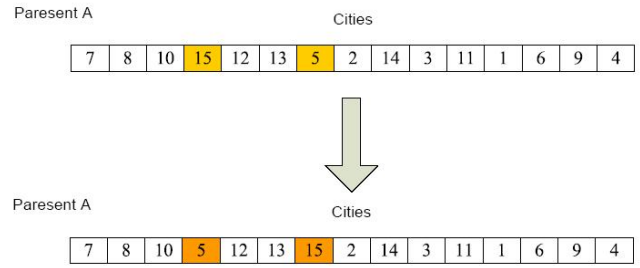


Fig. 4. Swap mutation in a given sequence

In inverse mutation operator (See Fig 5), two random points C_1 and C_2 are generated as the position where $C_2 > C_1$. Then, we inverse the jobs between C_1 and C_2 .

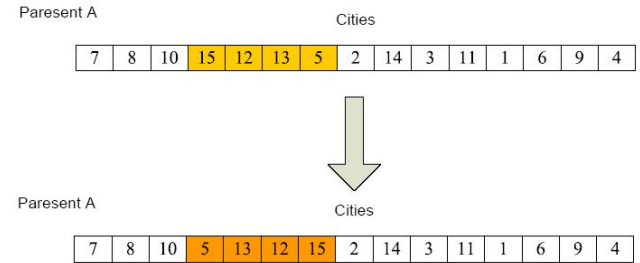


Fig. 5. Inverse mutation in a given sequence.

D. Mutation Operators for the Second Part Chromosome

When we mutate a solution X_i of the second part chromosome, we randomly select two positions P_1 and P_2 where both of them are larger than $n+1$ and less than $n+m+1$. P_1 and P_2 are definitely not equal and it is not necessary that $P_2 > P_1$. We will move some cities from the salesman at position P_2 to the other salesman at position P_1 . The random value α is drawn from the uniform distribution to decide the percentage of how many cities should be

moved. Eq. 7 and Eq. 8 represent the computation of the mutation operator used for the second part chromosome. Eq. 8 automatically satisfies the constraint of each salesman would visit at least one city.

$$X_{i[P_1]} = X_{i[P_1]} + \lfloor (X_{i[P_2]} * \alpha * 0.5) \rfloor \quad (7)$$

$$X_{i[P_2]} = X_{i[P_2]} - \lfloor (X_{i[P_2]} * \alpha * 0.5) \rfloor \quad (8)$$

Above sections demonstrate the genetic operators could be used in the two-part chromosome when we do the crossover and mutation procedures. The next section shows the experimental results of the best combination of these genetic operators.

IV. EXPERIMENTAL RESULTS

A. Settings

To validate the genetic operators used in the two-part chromosome, this paper conducts extensive experiments on benchmark instances on TSPLIB¹. Across all the experiments, each instance was replicates 30 times on each combination of methods and problems. The problem combinations are the number of salesmen and the number of cities, which are $m = 3, 15, \text{ and } 20$, and $n = 51 \text{ and } 150$ ². We set the final point in these instances to be the home city. In addition, the objective function is to minimize the total traveling distances of all salesmen. So all salesmen start and end the traveling in the home city.

The termination criterion is $500 \times m \times n$ function evaluations. The population size is set as 100 in the experiments. We code the algorithms by Java on a Windows 2003 server (Intel Xeon 3.2 GHZ).

Apart from the methods should be examined, GA has some parameters should be tuned, including the crossover rate and mutation rate. These results are analyzed by ANOVA which provides the powerful analysis when we need to find a stable and better parameter configuration. The parameter settings of the GA are shown in Table I.

TABLE I
PARAMETER SETTINGS.

Factor	Levels
1st part crossover (CX1)	Two-point central crossover and cycling crossover and order crossover
2nd part crossover (CX2)	ARC1 and ARC2 and Asexual
1st part mutation (Mutation1)	Swap and inverse mutation
2nd part mutation (Mutation2)	Arithmetic Mutation and Swap-Val
Crossover rate (P_c)	0.5 and 0.9
Mutation rate (P_m)	0.1 and 0.5
instance	eli51 and kroa150
No. of Salesmen (m)	3, 15, 20

¹<http://elib.zib.de/pub/mp-testdata/tsp/tsplib/tsp/index.html>

²The corresponding instances are eli51 and kroa150 on TSPLIB.

B. Comparisons

The ANOVA results are presented in Table II. In the ANOVA table, the source indicates factors and combinations of factors. DF represents the degree of freedom and SS is the sum of squares. The mean square is equal to SS divided by DF . If the Pr -value of a factor source is less than 0.05, it means that there is a significant difference in this factor [16]. Due to Pr -values of the some factors are less than 0.0001 in Table II, there exists a significant difference between the two methods. We further conduct the Duncan grouping test to differentiate the performance of these algorithms (See Table III to Table VI).

In Duncan grouping test, *Mean* is the average value and N is the number of the observations. If the levels share the same alphabet (i.e., they are in the same group), there is no significant difference between them. Otherwise they are significantly different [16]. It is an evident from the Duncan grouping test from Table III to Table VI that the levels are significantly different in their performance.

For understanding interaction between two factors, interaction plots of the two factors are shown in Fig 6. In Fig 6, we already set the P_c is as the 0.5.

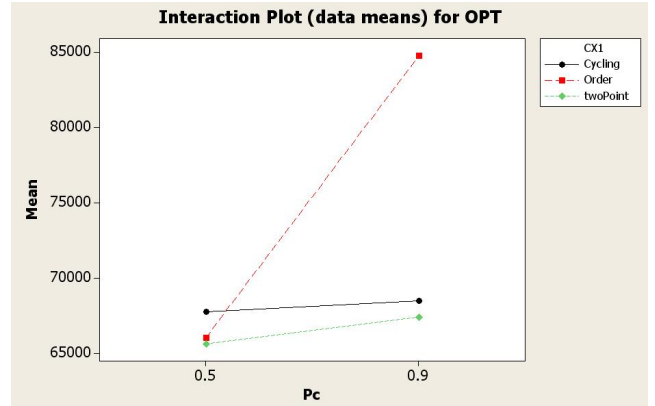


Fig. 6. Interaction plot of CX1 and P_c .

To summarize the experiment results of the operators selection and parameter configurations, we list them in Table VII. We may suggest the researchers to apply these settings when they employ the two-part chromosome technique to solve the mTSP.

V. CONCLUSIONS AND FUTURE RESEARCH

mTSP is an important problem no matter in academic research or in practice because mTSP could be transformed into different kinds of problems. Since this problem is much more complex than TSP, we have to select a better and efficient approach to solve this problem. This research studies the two-part chromosome encoding technique that could solve the mTSP efficiently. Most important of all, this study fills the gap of the genetic operators in the two-part chromosome. We may suggest the two-point central crossover to process the

TABLE II
ANOVA RESULTS ON THE OBJECTIVE VALUES OF THE MTSP PROBLEM
WITH MINIMIZATION OF TOTAL DISTANCE

Source	DF	SS	Mean Square	F Value	P Value
Instances	1	1.2330908E14	1.2330908E14	474684	<.0001
CX1	2	385498734274192749367137	742.00	<.0001	
CX2	2	55463486.618 27731743.309	0.11	0.8987	
CX1*CX2	4	31294915.636 7823728.9091	0.03	0.9983	
Mutation1	1	57094925200 57094925200	219.79	<.0001	
CX1*Mutation1	2	35713617284 17856808642	68.74	<.0001	
CX2*Mutation1	2	85818972.864 42909486.432	0.17	0.8477	
CX1*CX2*Mutation1	4	100140773.42 25035193.355	0.10	0.9836	
Mutation2	1	81356956.087 81356956.087	0.31	0.5757	
CX1*Mutation2	2	10358512.39 51792606.193	0.20	0.8192	
CX2*Mutation2	2	28110123.566 14055061.783	0.05	0.9473	
CX1*CX2*Mutation2	4	22179408.791 5544852.1978	0.02	0.9991	
Mutation1*Mutation2	1	98014607.719 98014607.719	0.38	0.5391	
CX1*Mutatio*Mutation	2	6967609.5067 3483804.7534	0.01	0.9867	
CX2*Mutatio*Mutation	2	51391272.511 25695636.255	0.10	0.9058	
CX1*CX2*Mutat*Mutati	4	54977002.111 13744250.528	0.05	0.9548	
Pc	1	3235024017393235024017391245.34	<.0001		
CX1*Pc	2	438194970602219097485301	843.43	<.0001	
CX2*Pc	2	9301718.9419 4650859.4709	0.02	0.9823	
CX1*CX2*Pc	4	46385259.753 11596314.938	0.04	0.9962	
Mutation1*Pc	1	25209377276 25209377276	97.04	<.0001	
CX1*Mutation1*Pc	2	7297063308.6 3648531654.3	14.05	<.0001	
CX2*Mutation1*Pc	2	15492572.664 7746286.332	0.03	0.9706	
CX1*CX2*Mutation1*Pc	4	224549031.27 56137257.817	0.22	0.9296	
Mutation2*Pc	1	9324997.3279 9324997.3279	0.04	0.8497	
CX1*Mutation2*Pc	2	32571065.624 16285532.812	0.06	0.9392	
CX2*Mutation2*Pc	2	41599723.555 20799861.777	0.08	0.9231	
CX1*CX2*Mutation2*Pc	4	152832731.65 38208182.913	0.15	0.9643	
Mutation*Mutation*Pc	1	5035793.7761 5035793.7761	0.02	0.8893	
CX1*Mutati*Mutati*Pc	2	25554361.964 12777180.982	0.05	0.9520	
CX2*Mutati*Mutati*Pc	2	24516197.26 12258098.63	0.05	0.9539	
CX1*CX2*Muta*Muta*Pc	4	65411510.188 16352877.547	0.06	0.9927	
Pm	1	110198952455110198952455	424.22	<.0001	
CX1*Pm	2	84318620761 42159310381	162.29	<.0001	
CX2*Pm	2	74471777.999 37235889	0.14	0.8665	
CX1*CX2*Pm	4	244197428.57 61049357.142	0.24	0.9187	
Mutation1*Pm	1	23953877133 23953877133	92.21	<.0001	
CX1*Mutation1*Pm	2	2222682309 11113341154	42.78	<.0001	
CX2*Mutation1*Pm	2	42630687.234 21315343.617	0.08	0.9212	
CX1*CX2*Mutation1*Pm	4	61933882.891 15483470.723	0.06	0.9934	
Mutation2*Pm	1	14373122.419 14373122.419	0.06	0.8140	
CX1*Mutation2*Pm	2	6988185.6177 3494092.8089	0.01	0.9866	
CX2*Mutation2*Pm	2	290139891.91 145069945.95	0.56	0.5721	
CX1*CX2*Mutation2*Pm	4	35470902.23 8867725.5574	0.03	0.9978	
Mutation*Mutation*Pm	1	11043842.122 11043842.122	0.04	0.8366	
CX1*Mutati*Mutati*Pm	2	8169893.0432 4084946.5216	0.02	0.9844	
CX2*Mutati*Mutati*Pm	2	1252398.3467 626199.17333	0.00	0.9976	
CX1*CX2*Muta*Muta*Pm	4	114509975.51 28627493.879	0.11	0.9790	
Pc*Pm	1	329468426.07 329468426.07	1.27	0.2601	
CX1*Pc*Pm	2	14658873991 7329436995.5	28.22	<.0001	
CX2*Pc*Pm	2	10391912.775 5195956.3876	0.02	0.9802	
CX1*CX2*Pc*Pm	4	88789278.047 22197319.512	0.09	0.9870	
Mutation1*Pc*Pm	1	737303256.26 737303256.26	2.84	0.0921	
CX1*Mutation1*Pc*Pm	2	11791952017 5895976008.6	22.70	<.0001	
CX2*Mutation1*Pc*Pm	2	194703562.06 97351781.032	0.37	0.6875	
CX1*CX2*Mutati*Pc*Pm	4	26762371.31 6690592.8276	0.03	0.9987	
Mutation2*Pc*Pm	1	8144812.2402 8144812.2402	0.03	0.8595	
CX1*Mutation2*Pc*Pm	2	77286940.519 38643470.26	0.15	0.8618	
CX2*Mutation2*Pc*Pm	2	10729415.86 5364707.93	0.02	0.9796	
CX1*CX2*Mutati*Pc*Pm	4	44541323.096 11135330.774	0.04	0.9965	
Mutati*Mutatio*Pc*Pm	1	4743023.641 4743023.641	0.02	0.8925	
CX1*Muta*Mutat*Pc*Pm	2	27887154.685 13943577.343	0.05	0.9477	
CX2*Muta*Mutat*Pc*Pm	2	8489311.0968 4244655.5484	0.02	0.9838	
CX*CX2*Mut*Pc*Pm	4	83654332.027 20913583.007	0.08	0.9883	
m	2	4.62E12	2.31E12	8892.5	<.0001
Error	25487	6.62E12	259770805.52		
Total	25919	1.36E14			

permutation in the first part chromosome. Then, the ARC2 could be applied when we do the crossover for the second part chromosome. In addition, a feasibility check is implemented after we do the crossover on the second part chromosome. When it comes to the mutation for the first part and the

TABLE III
DUNCAN GROUPING TEST ON THE FIRST PART CROSSOVER OPERATOR

Grouping	Mean	N	CX1
A	75387.5	8640	Order Crossover
B	68119.3	8640	Cycling Crossover
C	66527.8	8640	Two-Point Central Crossover

TABLE IV
DUNCAN GROUPING TEST ON THE FIRST PART MUTATION OPERATOR

Grouping	Mean	N	Mutation1
A	71495.7	12960	Swap
B	68527.4	12960	Inverse

TABLE V
DUNCAN GROUPING TEST ON THE CROSSOVER RATE

Duncan Grouping	Mean	N	P _c
A	73544.3	12960	0.9
B	66478.7	12960	0.5

TABLE VI
DUNCAN GROUPING TEST ON THE MUTATION RATE

Duncan Grouping	Mean	N	P _m
A	72073.4	12960	0.5
B	67949.6	12960	0.1

TABLE VII
PARAMETER SETTINGS.

Factor	Levels
CX1	Two-point central crossover
CX2	ARC1
Mutation1	Inverse Mutation
Mutation2	Arithmetic Mutation
P _c	0.5
P _m	0.1

second part chromosome, inverse mutation and arithmetic mutation are recommended. We also test the crossover rate (P_c) and (P_m) in GA. The better parameter configuration of them could be the 0.5 and 0.1. By using above suggested genetic operators and parameter settings, this work provides an important reference for the researchers or practitioners when they want to design a new two-part chromosome genetic algorithm.

Finally, because the mTSP is a complex problem, the solution quality could be enhanced by using some algorithms which may improve the solution quality within the route. For example, the best heuristic in solving the TSP is the enhanced version of Lin-Kernighan [9] which is used to solve more than 10,000 cities. When the Lin-Kernighan heuristic is involved in the GA, the performance would be greatly improved. Because prior research has not used the Lin-Kernighan heuristic, it might be a good direction for the future research.

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