

**SIMATS**  
**School of Engineering**

# Deep Learning

Computer Science and Engineering

Saveetha Institute of Medical And Technical Sciences,Chennai.

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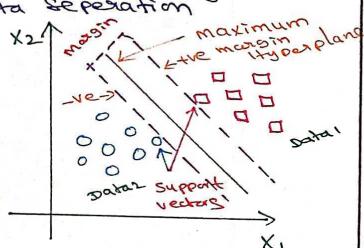
## INTRODUCTION



## INTRODUCTION to Neural Network

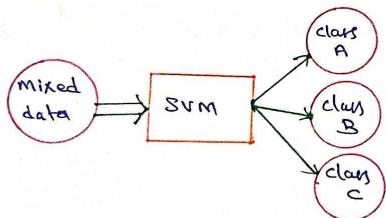
### Support Vector Machine (SVM)

- \* A popular supervised learning algorithm
- \* Used for classification and regression problems.
- \* Creates a best line or decision boundary for data separation



- \* Support vectors: Data points close to hyperplane
- \* Hyperplane: Decision space between sets of objects
- \* Margin: Gap between two lines (i.e.: +ve and -ve).

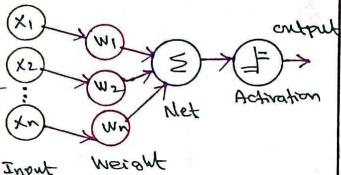
### Classification!



### Perception:

Perception is a building block of an Artificial Neural Network (ANN).

### Components:



If:  $x_i \rightarrow \text{input}$   
 $w_i \rightarrow \text{weights}$   
 $b \rightarrow \text{bias}$ .

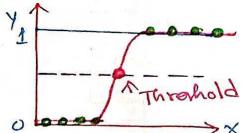
Then:

$$\sum x_i w_i = x_1 w_1 + x_2 w_2 + \dots + x_n w_n$$

output  $y = f(\sum x_i w_i + b)$ .

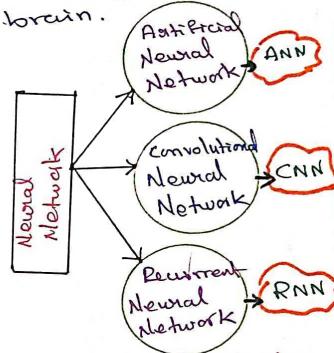
### Logistic Regression:

- \* It predicts dependent variable from independent variable
- \* Provides probabilistic values between 0 and 1.
- \* Used in classification tasks

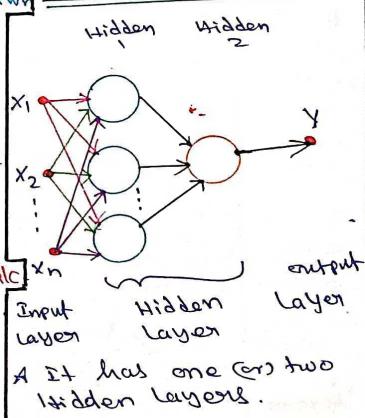


### Neural Network:

It mimics the information processing by human brain.



### Shallow Neural Networks:



A It has one (or) two hidden layers.

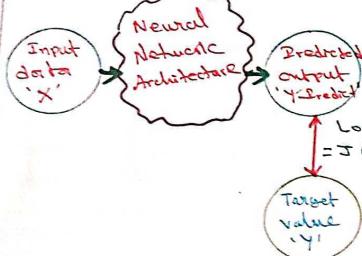
### Training a Neural Network

#### Neural Network training steps

- Construct the required neural network architecture
- Randomly initialize weights between 0 and 1.
- Implement forward propagation algorithm
- Assign cost function to optimize parameters
- Compute error using back propagation algorithm
- Implement gradient checking for comparison
- Minimize the cost function to get better result

### Loss function:-

- \* Loss function compares target and predicted values
- \* A prime value during the training process



### Back Propagation:-

- \* It uses chain rule to compute gradient of the loss function
- \* It computes values in one layer at a time
- \* It generalizes the computation in delta rule.

#### Need:

- \* fast, simple and easy
- \* No parameters to adjust
- \* flexible and doesn't need prior knowledge about network
- \* works well in different architectures

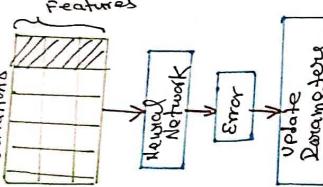
### Stochastic Gradient Descent:

- \* It is an optimization algorithm
- \* It adjusts parameters to minimize particular function to local minima.

#### Features:

- \* Single observation for updation
- \* more variations in cost function
- \* More computation time

#### Features



#### Advantages

- \* Instantly observe model's performance
- \* Aims local minima
- \* Suitable for large datasets

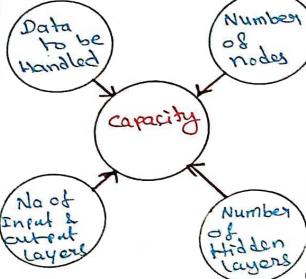
#### Disadvantages

- \* Frequent model updates
- \* may cause error due to frequent updates
- \* noisy learning

## LEARNING ALGORITHMS

### Capacity:-

- \* Capacity of an algorithm depends on its architecture

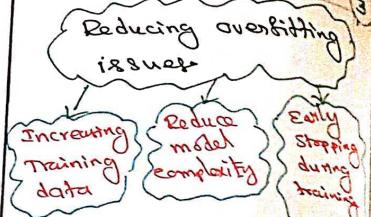


### Overfitting:-

- \* Model learns the detail and noise in training data
- \* Happens in nonparametric and nonlinear models.

#### Reasons for overfitting

- \* High variance and low bias
- \* Complex model
- \* Type and dimension of the training data



### Underfitting:-

- \* Underfitting is defined as the network performing well on training data but performs poorly on testing data.



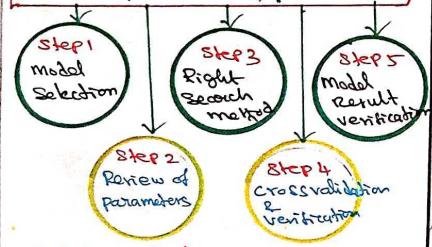
### Reducing Underfitting issues

- \* Increase model complexity
- \* Increase feature size
- \* Increase number of epochs

### Hyperparameter:

- \* Parameters, which are explicitly defined by the user to control the learning process.
- \* It also known as configuration

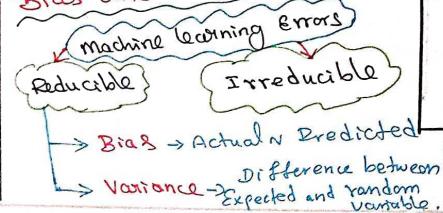
### Hyperparameter tuning Steps



### Validation set:

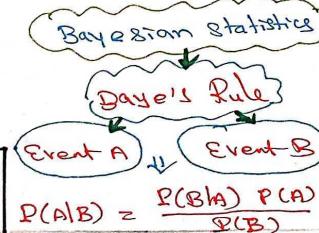
- \* It is a part of the data used to verify the training process
- \* The performance is assessed using Loss and Accuracy.

### Bias and Variance:



### Bayesian statistics:

- \* It involves conditional probability

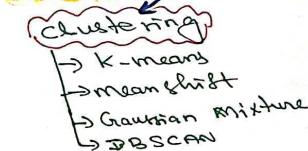


### Supervised learning

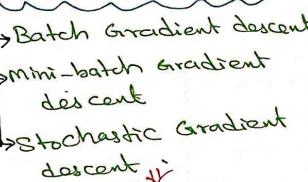
#### Algorithms



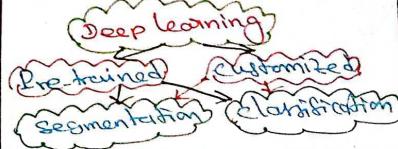
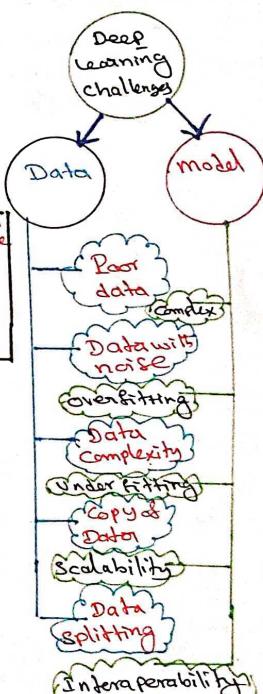
### Unsupervised learning



### Gradient Descent

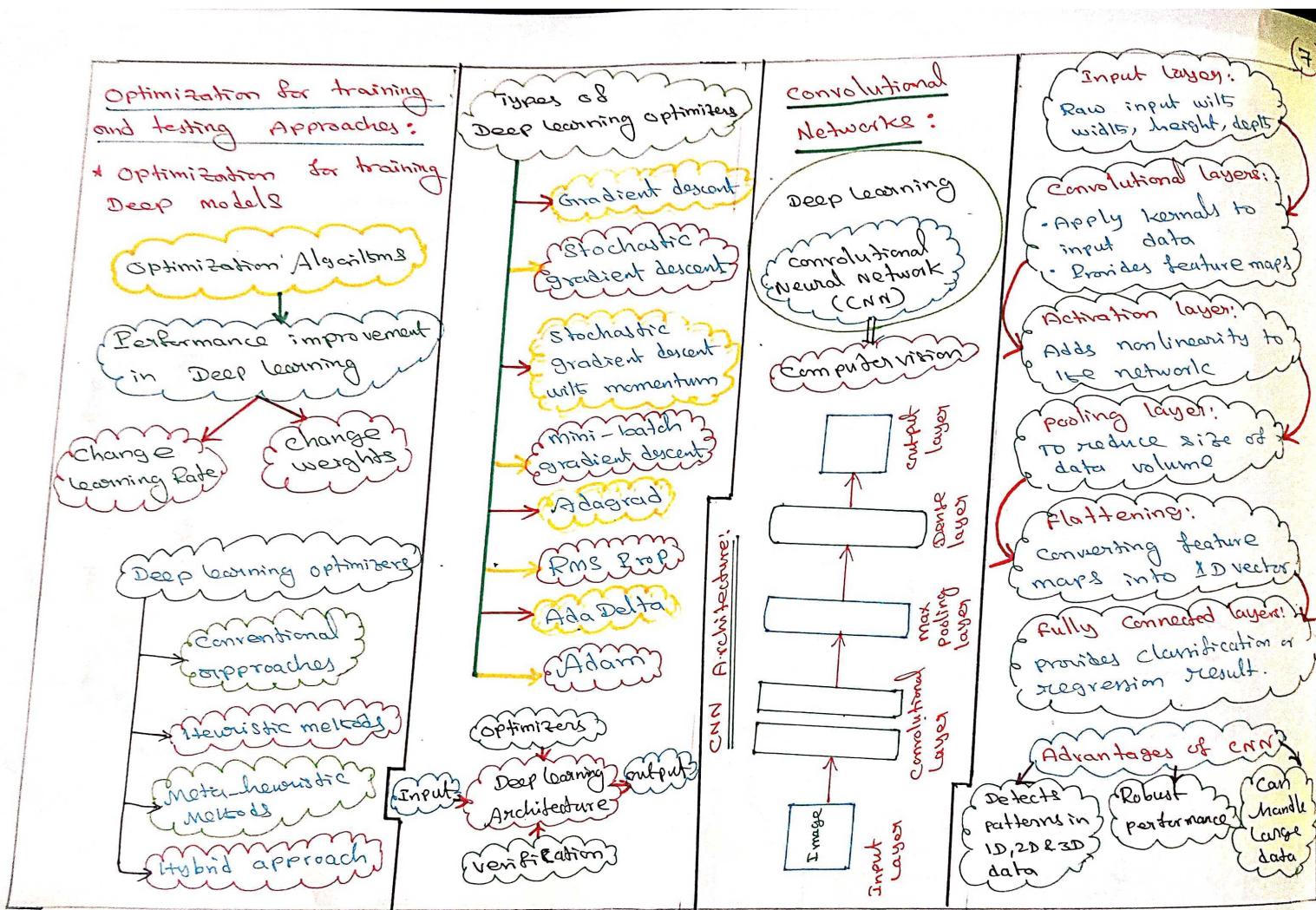


### Challenges in Deep Learning:









## SEQUENCE MODELLING

Recurrent and Recursive nets:-

Recursive Neural Networks (features)

- It implements same set of weights
- The nodes may be traversed
- Trained using reverse mode of automation (Automatic differentiation)

Recursive Neural networks (RNNs)

- Generalized form of Recurrent Neural Networks (RNNs)
- Tree like structure helps to learn better on the data
- Processed or Raw input for model development

RNNs

- child node and parents
- child and parent has weight matrix
- Similar children share same weights

General Structure:

Recurrent Neural Network!

Training of RNN

- Providing single-time step of input
- calculate current state using previous state
- Update input to next state
- Repeat based on time step
- Calculate output when step-time completes
- Compare output and update

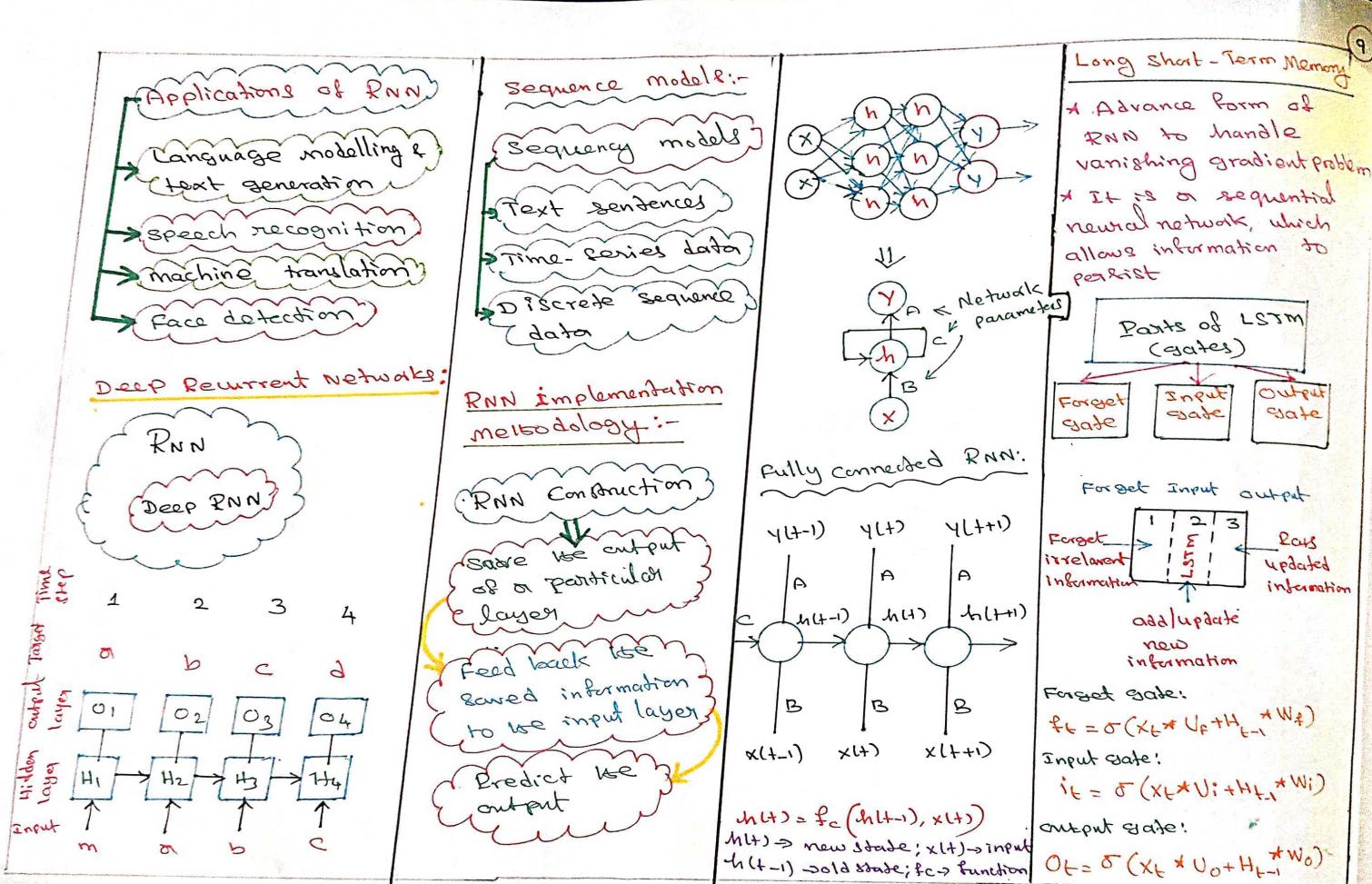
Types of RNN

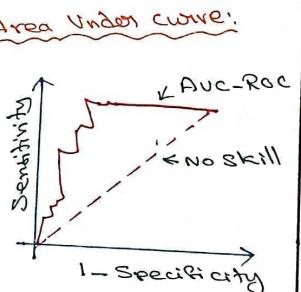
merits of RNN

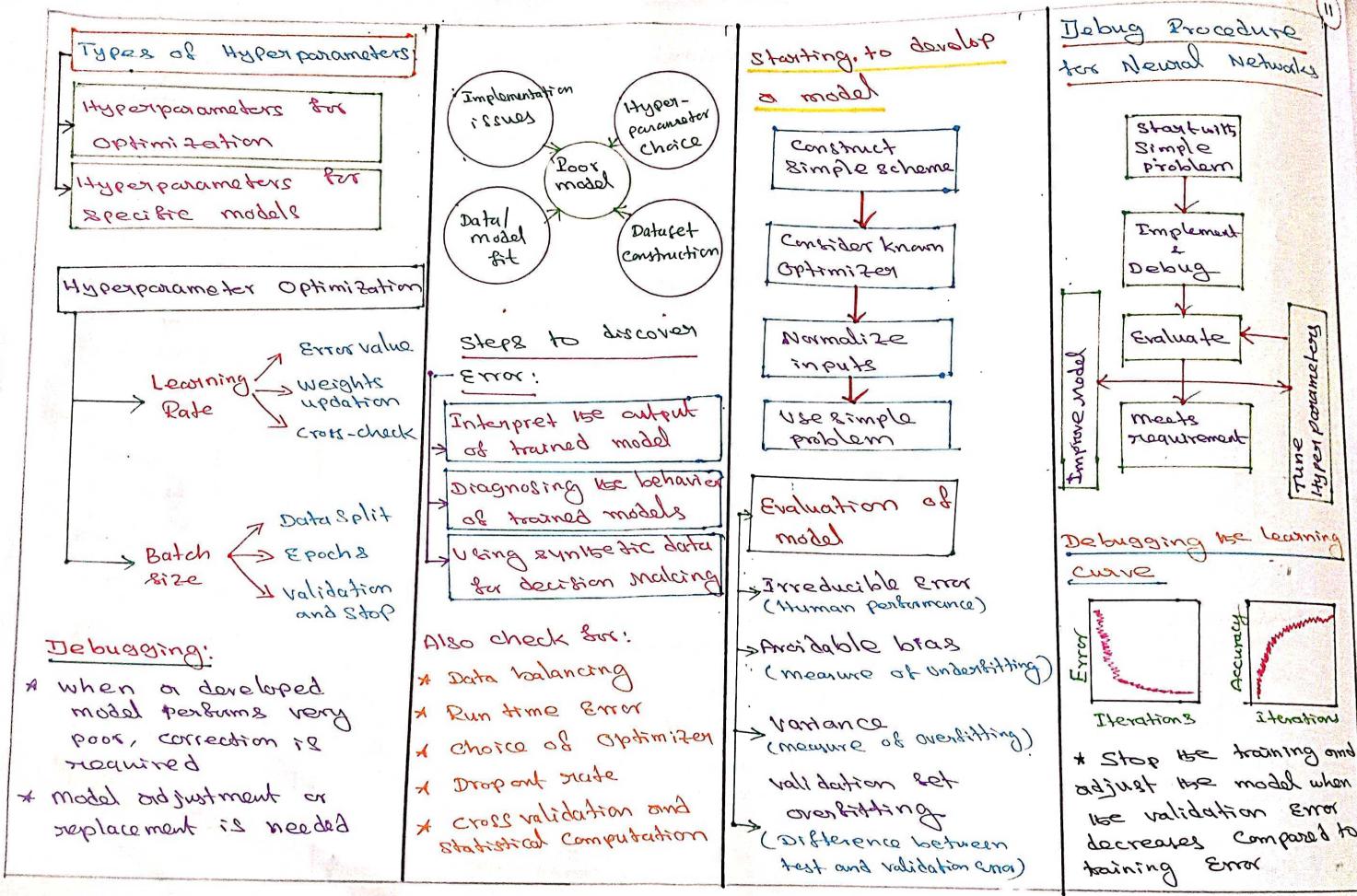
- Remember complete information
- Can be used with CNN

Disadvantages of RNN

- Gradient vanishing & exploding
- Training is complex
- will not work for long sequences



<h3>LSTM Applications</h3> <ul style="list-style-type: none"> <li>→ Language modeling</li> <li>→ Machine translation</li> <li>→ Handwriting recognition</li> <li>→ Image captioning</li> <li>→ Image generation</li> <li>→ Question answering</li> <li>→ Video-to-text conversion</li> <li>→ Music modeling</li> <li>→ Speech synthesis</li> <li>→ Protein structure prediction</li> </ul>	<h3>Confusion Matrix</h3> <p>* Common procedure to measure the merit of model</p> <p>* Table which relates Actual and Predicted values.</p> <table border="1" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th colspan="2" style="text-align: center;">Actual</th> <th colspan="2"></th> </tr> <tr> <th colspan="2"></th> <th>1 (Yes)</th> <th>0 (No)</th> </tr> <tr> <th rowspan="2" style="text-align: center;">Predicted</th> <th>1</th> <td>True-Positive (TP)</td> <td>False-Positive (FP)</td> </tr> <tr> <th>0</th> <td>False-Negative (FN)</td> <td>True-Negative (TN)</td> </tr> </thead> <tbody> </tbody> </table> <p>* TP → Actual and Predicted data class = 1</p> <p>* TN → Actual and Predicted data point = 0</p> <p>* FP → Actual data = 0 and Predicted data = 1</p> <p>* FN → Actual data = 1 and Predicted data = 0</p> <p>* With the help of these measures, other metrics are computed.</p>	Actual						1 (Yes)	0 (No)	Predicted	1	True-Positive (TP)	False-Positive (FP)	0	False-Negative (FN)	True-Negative (TN)	<p>* Accuracy = <math>\frac{TP + TN}{TP + FP + FN + TN}</math></p> <p>* Precision = <math>\frac{TP}{TP + FP}</math></p> <p>* Sensitivity = <math>\frac{TP}{TP + FN}</math></p> <p>* Specificity = <math>\frac{TN}{TN + FP}</math></p> <p>* F1 Score = <math>\frac{2 \times (\text{Precision} \times \text{Recall})}{\text{Precision} + \text{Recall}}</math></p>	<h3>Hyperparameters:-</h3> <p>* These parameters control the learning process</p> <p>* These parameters control the model's output</p> <h3>Hyperparameter Optimization techniques</h3> <ul style="list-style-type: none"> <li>→ Manual search</li> <li>→ Random Search</li> <li>→ Grid Search</li> <li>→ Halving</li> <li>→ Automated tuning       <ul style="list-style-type: none"> <li>→ Bayesian method</li> <li>→ Genetic Algorithm</li> </ul> </li> <li>→ Artificial Neural Network tuning</li> <li>→ Bayes search</li> </ul>
Actual																		
		1 (Yes)	0 (No)															
Predicted	1	True-Positive (TP)	False-Positive (FP)															
	0	False-Negative (FN)	True-Negative (TN)															
<h3>Performance Metrics:</h3> <ul style="list-style-type: none"> <li>* The quality of the developed model is confirmed using performance metrics.</li> <li>* Classification task:       <ul style="list-style-type: none"> <li>• Confusion matrix</li> <li>• Accuracy ; • Precision,</li> <li>• Recall ; • F-Score</li> <li>• Area Under the Curve (AUC)</li> </ul> </li> </ul>	<p>* These metrics are computed to confirm the model during classification task</p> <p>* Confusion Matrix values are considered to compute other metrics</p>	 <p>The diagram shows an ROC curve plotted against the '1 - Specificity' (x-axis) and 'Sensitivity' (y-axis). The curve starts at the bottom-left (0,0) and ends at the top-left (1,1). A diagonal dashed line from (0,0) to (1,1) represents 'No Skill'. The area under the curve is labeled 'Auc-Roc'.</p>	<h3>Examples of Hyperparameters</h3> <ul style="list-style-type: none"> <li>→ Learning Rate</li> <li>→ Train-test data split</li> <li>→ Batch size</li> <li>→ Number of epochs</li> <li>→ Hidden layers and hidden neurons</li> </ul>															



**DEFINITION :**

Probabilistic principal components analysis (PCA) is a dimensionality reduction technique that analyzes data via lower dimensional latent space.

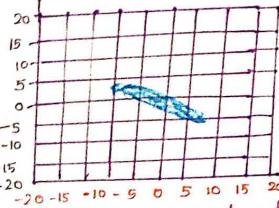
**THE MODEL**

$$\text{data set } X = \{x_n\},$$

$$x_n | z_n \sim N(Wz_n, \sigma^2 I),$$

Probabilistic PCA generalises classical PCA

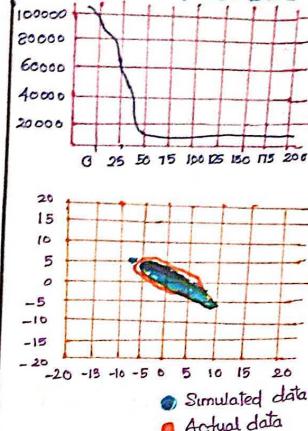
$$x_n \sim N(0, WW^T + \sigma^2 I)$$

**THE DATA**

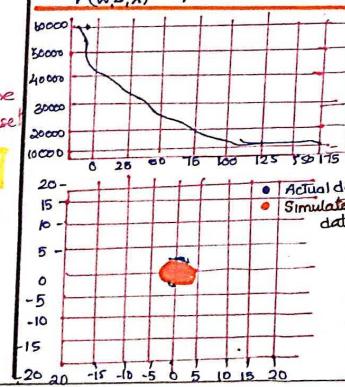
- Generate data by sampling from the joint prior distribution. Visualise dataset

**MAXIMUM A POSTERIORI INFERENCE**

- Calculating the values of  $W$  and  $z$ .
- Maximise posterior density.
- Model to sample data for inferred values for  $W$  and  $z$ .

**PROBABILISTIC MODELS****VARIATIONAL INFERENCE****MAXIMIZE EVIDENCE LOWER BOUND**

$$E_q(w, z; \lambda) [ \log(p(w, z; x)) - \log(p(w, z; \lambda)) ]$$

**PCA AND FACTOR ANALYSIS****FACTOR ANALYSIS**

- finding latent variables in dataset.
- common factor model
- hypothesizing that latent variables

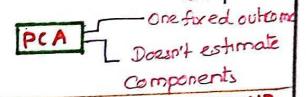
$$x_1 = c_{11} * k_1 + c_{12} * k_2 + d_1$$

$$x_2 = c_{21} * k_1 + c_{22} * k_2 + d_2$$

$$y_3 = c_{31} * k_1 + c_{32} * k_2 + d_3$$

$$x_4 = c_{41} * k_1 + c_{42} * k_2 + d_4$$

**Factor Analysis** → Apply Relations  
Estimate Components

**INDEPENDENT COMPOUND****ANALYSIS**

→ ICA is statistical and computational technique used in ML to separate multivariate signal into independent linear non-Gaussian components.

**APPLICATIONS**

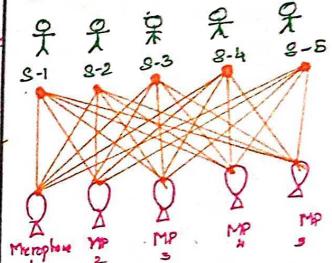
- Signal processing, image analysis, data compression.
- conjunction with other ML
- Identify a set of basis functions.

**Advantages of ICA**

- Ability to separate mixed signals
- Non-parametric approach
- Unsupervised learning
- feature extraction

**Disadvantages of ICA**

- Non-Gaussian assumption
- Linear mixing assumption
- Computationally expensive
- Convergence issues.

**PCA**

- It reduces the dimensions to avoid problem of overfitting

→ It decomposes mixed signal into its independent sources signal  
→ Independent Components.

**SLOW FEATURE ANALYSIS**

**SLOWNESS PRINCIPLE**

DEF: An unsupervised learning algorithm for extracting slowly varying feature from a quickly varying i/p signal.

**ILLUSTRATION OF SLOWNESS PRINCIPLE**

- \* behaviourally relevant visual elements
- \* primary sensory signal.
- \* the difference in time scale leads to idea of slowness principle

**OPTIMIZATION PROBLEM**

$$y_i(t) = g_i[x(t)](1)$$

$$\text{minimize } \Delta(y_i) = (y'^2)_t(2)$$

Avoid the trivial constant solution — SFA seeks to maximise slowness — slowly varying output.

**STEP BY STEP ILLUSTRATION OF SFA ALGORITHM**

1. The non-linear problem transformed into linear functions
2. The expanded signal is normalised constraints
3. Temporal variation measured in normalised space.
4. The slowest-varying directions are extracted.

**HIERARCHICAL SFA NETWORKS FOR HIGH-DIMENSIONAL DATA**

**MATHEMATICAL SPARSE CODING**

$$X \approx \sum_{i=1}^n h_i d_i = hD$$

- Sparse learning, D
- Sparse encoding, (D)
- Outer minimization,  $h_k, X_k$
- Inner minimization,  $L_1$

**SPARSE CODING NEURAL NETWORKS**

- i/p neural networks
- frequent activation
- Avg activity ratio
- produce reliable neural code.

**SPARSE CODING**

data translated into a high-dimensional feature space.

**ENCODE DATA**

- learn sparse representations
- requires i/p data
- utilize unsupervised learning
- apply to any data
- find the representation

**TWO CONSTRAINTS**

- Create sparse representations as vector, data  $x$  (vector)
- encoder matrix, D
- data  $x$ , decoder matrix D

**a) Dense Graph**      **b) Sparse Graph**

**APPLICATIONS OF SPARSE CODING**

- Brain imaging
- Natural Language processing
- image processing
- Sound processing
- Available in several Python modules
- Tool kit - Scikit learn
- Creating of dictionary functions

## THE CHALLENGES OF UNSTRUCTURED MODELING

Goal: Scale ML to kinds of challenges needed to solve artificial intelligence.

\* Understand high data-D with rich structure.

→ Density Estimation

→ Denoising

→ Missing value imputation

→ Sampling

→ Memory

→ Statistical Efficiency

→ Runtime: the cost of inference

## FORMAL FRAMEWORKS FOR MODELLING

### INTERACTIONS BETWEEN RANDOM VARIABLES

→ Significant fewer parameters  
→ estimate reliability from less data

→ Dramatically reduced computational cost in performing

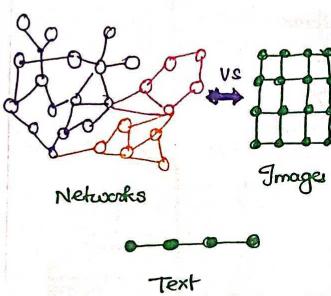
interference in Model

→ finds compact, efficient representations of complex data.

### GRAPHS FOR MODEL STRUCTURE

STRUCTURE

- Describe relationships and interactions between pair of nodes.
- Think of nodes as users, edges as connections



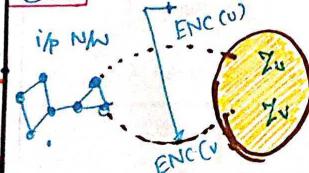
GNN directly applied to graphs and provide an node-level, edge-level and graph-level prediction tasks

CNN → fail on graphs :

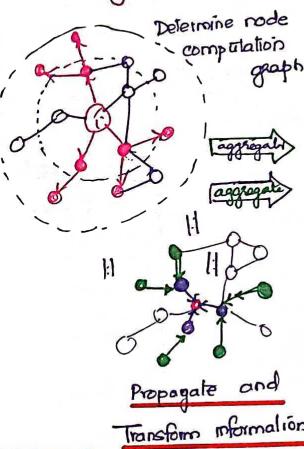
→ takes a little sub-patch of image (a little rectangular part of image).

→ apply functions.

GOAL:



- Locality
- Aggregate information
- Stacking multiple layers



Training can be supervised and unsupervised.

### Unsupervised Learning

- graph structure
- similar nodes
- Unsupervised loss function

### Supervised learning

- Train model
- anomalous node

### Graph Convolution

- Linear Layer
- Non-linear activation

### Graph SAGE idea

\* simple neighborhood aggregation

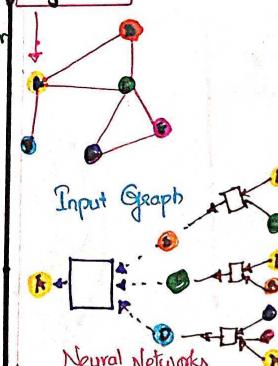
$$h_v^k = \sigma \left( \sum_{u \in N(v)} h_u^{k-1} + B_k h_v^{k-1} \right)$$

**MEAN**: Take a weighted avg of neighbors

**POOL**: Transform neighbour vectors, symmetric vector function

**LSTM**: Apply LSTM to reshuffled of Neighbors.

Target node



## SAMPLING FROM GRAPHICAL MODELS

**Importance of Sampling :**

- Transform the probabilistic inference
- Generate random samples from  $P$
- Estimate expected value

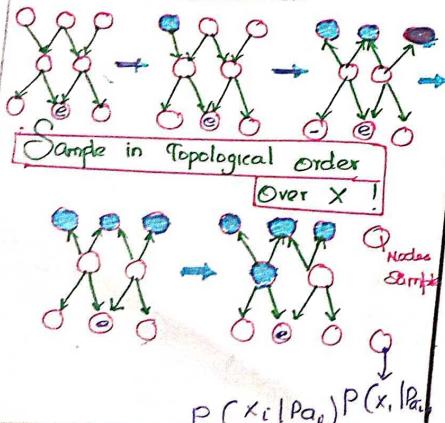
### Properties :

- Convergence
- Unbiased
- Variance

### EFFECTIVE SAMPLE SIZE

$$P(X_i | e) = \sum_z g_{x_i}(z) P(z | e)$$

### INTEGRATING SAMPLING



### PERFECT SAMPLING USING BUCKET ELIMINATION

#### ALGORITHM

- Run Bucket elimination

$$\mathcal{O} = (X_N, \dots, X_1)$$

- Reverse ordering:

$$(X_1, \dots, X_N)$$

- Probability

$$P(X_i | X_1, \dots, X_{i-1})$$

bucket B:  $P(B|A) P(D|B, A)$

bucket C:  $P(C|A) h^B(A, D, C, e)$

bucket D:  $h^C(A, D, e)$

bucket E:  $h^D(A, e)$

bucket A:  $P(a) h^E(a)$

bucket B:  $P(e|B; c) P(C|B, A)$

bucket C:  $P(C|A) h^B(A, D, C, e)$

bucket D:  $h^C(A, D, e)$

bucket E:  $h^D(A, e)$

bucket A:  $P(a) h^E(a)$

bucket B:  $P(e|B; c) P(C|B, A)$

bucket C:  $P(C|A) h^B(A, D, C, e)$

bucket D:  $h^C(A, D, e)$

bucket E:  $h^D(A, e)$

bucket A:  $P(a) h^E(a)$

bucket B:  $P(e|B; c) P(C|B, A)$

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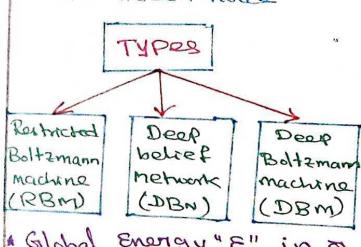
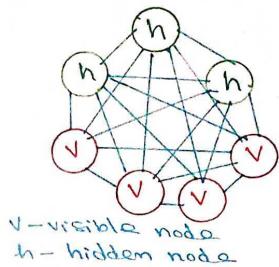
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**Boltzmann Machines:-**

- \* It is an unsupervised deep-learning method
- \* Every node of the network is interconnected
- \* It is a generative deep-learning scheme



\* Global Energy "E" in a Boltzmann machine:  
 $E = -\left(\sum_{i,j} W_{ij} s_i s_j + \sum_i \phi_i s_i\right)$

\*  $W_{ij}$  → Connection strengths of  $i, j$   
 \*  $s_i$  → State of unit  $i$   
 \*  $\phi_i$  → Bias of unit  $i$

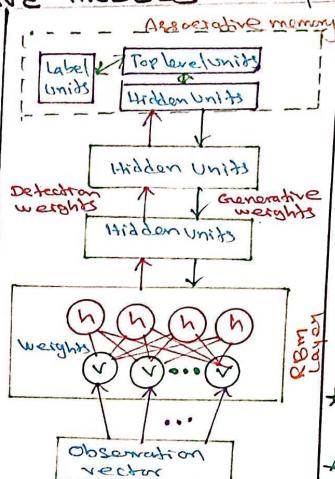
## DEEP GENERATIVE MODELS

### Restricted Boltzmann machines (RBm):

- \* Hidden nodes cannot be connected to one another
- \* Visible nodes connected to one another

#### Applications

- Collaborative filtering
- Image and video processing
- Natural language processing
- Bioinformatics
- Financial modeling
- Anomaly detection



### Deep Belief Network:

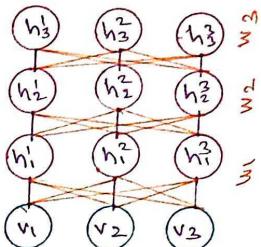
- It is a stack of RBMs
- Top two layers are undirected
- Connection between all lower layers are directed
- No intra layer
- It is a hybrid generative graphical model
- \* DBN are easy to implement using optimal hidden layers.

#### Applications

- Image generation
- Image classification
- Video recognition
- Motion capture
- Speech processing

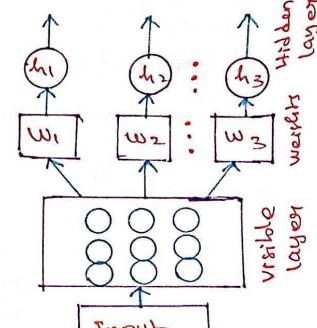
### Deep Boltzmann Machine:

- \* Unsupervised model with undirected connections
- \* No intralayer connections
- \* Learning using layer by layer pre-training

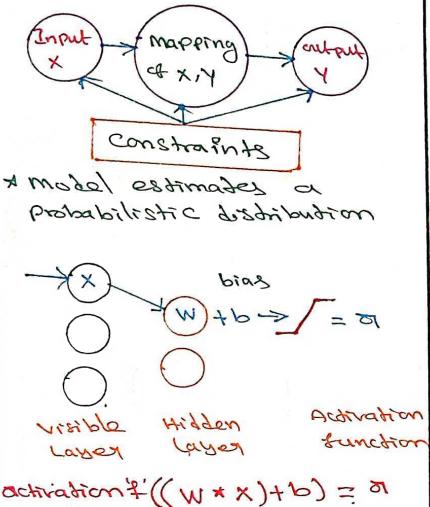


### Convolutional Boltzmann Machine:

- \* Working is similar to convolutional neural network
- \* Handles very high dimensional inputs
  - Large image file
  - Video processing



### Boltzmann Machine for Structured and sequential outputs.



### Directed Generative Networks

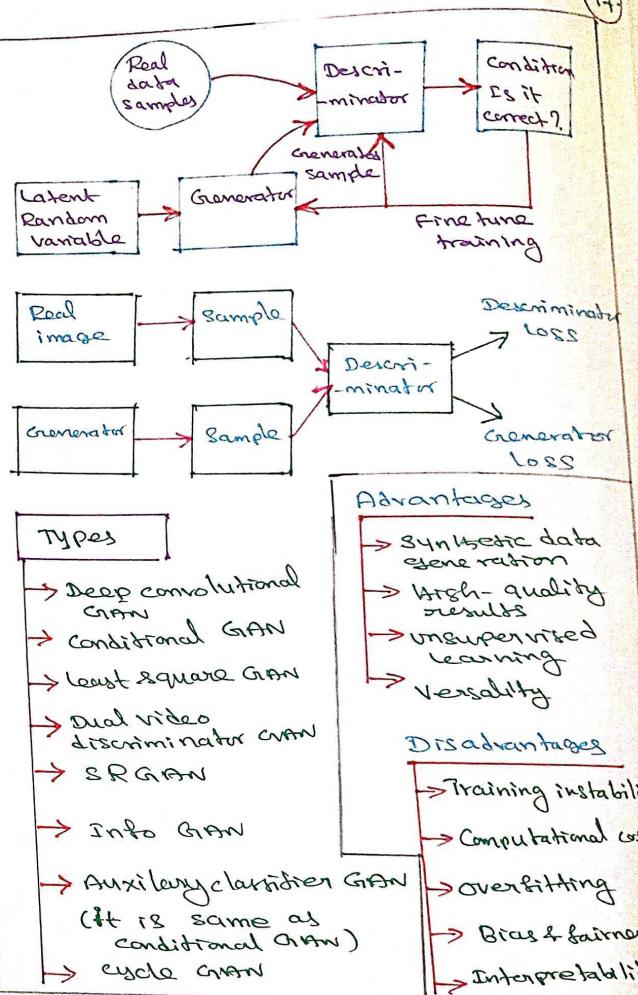
- \* It is a graphical model
- \* Generate new data instances
- \* It captures joint probability  $p(x,y)$ .

### Directed Generative Networks

- Sigmoid belief nets
- Differentiable generator nets
- Variable autoencoders
- Generative adversarial networks (GAN)
- Generative moment matching networks
- Convolutional generative nets
- Auto regressive nets
- Linear Auto regressive
- Neural Auto regressive
- Neural autoregressive density estimators.

### Generative Adversarial Network (GAN):

- **Generative:** Generates data in terms of a probabilistic model
- **Adversarial:** Training of model is done in an adversarial setting
- **Network:** Uses a deep neural model for its training



### Types

- Deep convolutional GAN
- Conditional GAN
- Least square GAN
- Dual video discriminator GAN
- SR GAN
- Info GAN
- Auxiliary classifier GAN  
(It is same as conditional GAN)
- cycle GAN

- ### Advantages
- Synthetic data generation
  - High-quality results
  - Unsupervised learning
  - Versatility

- ### Disadvantages
- Training instability
  - Computational cost
  - Overfitting
  - Bias & fairness
  - Interpretability

## Evaluating Generative models!

### Evaluation

- Qualitative measures
- Quantitative measures

### Common metrics

- Fidelity
- Diversity
- Authenticity
- Predictive performance
- Frechet inception distance
- Kernel inception distance
- Inception Score
- Precision and Recall
- Perceptual Path length

### CASE STUDIES

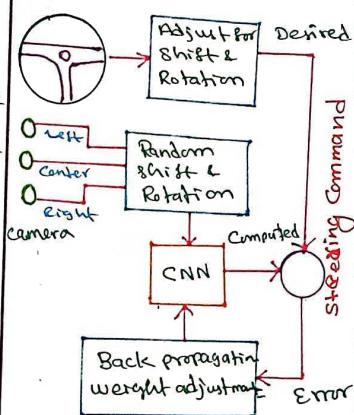
- \* Knowledge discovery
- \* Predictive Analytics

### Examples:

- Self driving cars
- Natural language processing
- Virtual assistants
- Health care applications

## Self Driving car:

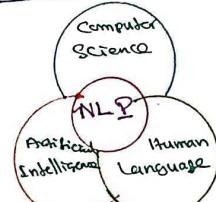
- \* It uses a convolutional neural network
- \* The image captured from front-facing camera controls the whole system
- \* It provides a complete control based on the instruction by the CNN.



### Training

- Data Selection
- Augmentation
- Simulation
- Evaluation
- on-road tests

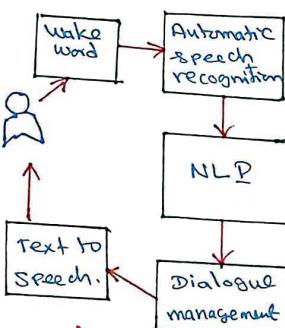
## Natural Language Processing



### Applications:-

- Translation
- Automatic summarization
- Named entity recognition
- Speech recognition
- Relationship extraction
- Topic segmentation

### Virtual Assistants:-



### Examples:

- \* Amazon's Alexa
- \* Apple's Siri
- \* Google's Assistant

## Health care Applications:

- \* To diagnose the patient and to generate report
- \* To assist the doctor for decision making
- \* Precise treatment execution
- \* Care after treatment

### Health care

- Early detection
- Improved decision making
- Treatment execution
- Expanded access to medical services
- Associated care
- Checking health through wearable
- Remote monitoring
- End of life care

### Uses:

- Creation and maintenance of electronic health records
- Signal & Image based diagnosis
- Personalized medical treatments
- Response to patient queries