COMP5328 - Advanced Machine Learning

Assignment 2

Due: 10 Nov 2023, 23:59PM

This assignment is to be completed in groups of 2 to 3 students. It is worth 25% of your total mark.

1 Introduction

The objective of this assignment is to design noise robustness classifiers. You need to implement at least **two** noise robustness classifiers with at least one not taught in this course.

Three input datasets are given. For each dataset, the training and validation data contains class-conditional random label noise, whereas the test data is clean. You need to build classifiers trained and validated on the noisy data, that can have a good performance on the clean test data.

For the first two datasets, the transition matrices are provided. You can directly use the given transition matrices for designing classifiers that are robust to label noise.

For the last dataset, the transition matrix is not provided. You are required to estimate the transition matrix (remember to report the estimated transition matrix in your final report) and use it for classification. (You can employ the given transition matrices in the first two datasets to justify the effectiveness of your estimator. You may modify the code contained in tutorial 10).

Note: Data prepossessing is allowed, however you need to justify it in the report carefully.

2 A Guide to Using the Datasets

Three image datasets with *npz* format are provided on canvas.

2.1 Attributes Contained in a Dataset

The following code is used to load a dataset and check the shape of its attributes.

```
import numpy as np
# Remember to replace the $FILE_PATH
dataset = np.load ($FILE_PATH)
Xtr_val = dataset['Xtr_val']
Str_val = dataset['Str_val']
Xts = dataset['Xts']
Yts = dataset['Yts']
print(Xtr_val.shape)
print(Str_val.shape)
print(Xts.shape)
print(Yts.shape)
```

2.1.1 Training and validation data

Xtr_val contains the **features** of the training and validation data. The shape is (n, *image shape*). n represents the total number of the samples.
Str_val contains the **noisy labels** of the n samples. The shape is (n,). For all datasets, the set of the unique noisy labels is $\{0, 1, 2\}$.
Note that: Do not use all the n samples to train your models. You are required to sample independently and randomly **80%** of the n samples to train a model and use the rest **20%** samples to validate the model. The reported performance of each model should be the average performance over **10** different training and validation sample obtained by random sampling.

2.1.2 Test data

Xts contains features of the test data. The shape is (m, *image shape*), where m represents the total number of the test samples.

Yts contains the clean labels of the m samples. The set of the unique clean labels is also $\{0, 1, 2\}$.

2.2 Dataset Details

2.2.1 FashionMINIST0.5.npz

Number of the training and validation samples n = 18000. Number of the test samples m = 3000. The shape of each sample *image shape* = (28 × 28).

The transition matrix $T = \begin{bmatrix} 0.5 & 0.2 & 0.3 \\ 0.3 & 0.5 & 0.2 \\ 0.2 & 0.3 & 0.5 \end{bmatrix}$.

2.2.2 FashionMINIST0.6.npz

Number of the training and validation samples *n* = 18000. Number of the test samples *m* = 3000. The shape of each sample *image shape* = (28 × 28).

The transition matrix $T = \begin{bmatrix} 0.4 & 0.3 & 0.3 \\ 0.3 & 0.4 & 0.3 \\ 0.3 & 0.3 & 0.4 \end{bmatrix}$.

2.2.3 CIFAR.npz

Number of the training and validation samples *n* = 30000. Number of the test samples *m* = 3000. The shape of each sample *image shape* = (32 × 32 × 3). The transition matrix *T* is unknown.

3 Performance Evaluation

The performance of each classifier will be evaluated with the top-1 accuracy, Precision, Recall, and F1-Score.

Recall: The ability of a classification model to identify all data points in a relevant class:

$$\text{Recall} = \frac{TP}{TP + FN}$$

Precision: The ability of a classification model to return only the data points in a class:

$$\text{Precision} = \frac{TP}{TP + FP}$$

F1 Score: a single metric that combines recall and precision using the harmonic mean:

$$\text{F1 Score} = 2 * \frac{\text{Precision} * \text{Recall}}{\text{Precision} + \text{Recall}}$$

Top 1 Accuracy:

$$\text{Top 1 accurancy} = \frac{number\ of\ correct\ predictions}{test\ samples\ size} = \frac{TP + TN}{test\ samples\ size}$$

Where:

- True Positives (TP): Data points labeled as positive that are actually positive.

- False Positives (TP): Data points labeled as positive that are actually negative.
- True Negatives (TN): Data points labeled as negative that are actually negative.
- False Negatives (FN): Data points labeled as negative that are actually positive.

To have a rigorous performance evaluation, you need to train each classifier at least 10 times with the different training and validation sets generated by random sampling. Then report both the **mean** and the **standard derivation** of each metric.

4 Tasks

You need to implement at least **two** noise robustness classifiers with at least one not taught in this course and test their performance on the three datasets. The code must be written in Python 3. You are allowed to use external libraries for optimization and linear algebraic calculation. If you have any ambiguity whether you can use a particular library or a function, please post on Ed under the "Assignment 2" thread.

4.1 Image Classification with Known Flip Rates

For the first two datasets, the transition matrices are provided. You can directly employ them to build your noise robustness classifiers. As mentioned in the **section 2**, for each classifier, you should report the mean and the standard derivation of the test accuracy.

4.2 Image Classification with Unknown Flip Rates

For the last dataset, the transition matrix is not provided. Therefore, you need to implement an estimator to estimate the transition matrix. (Note that you can use the provided transition matrices of the first two datasets to validate the effec- tiveness of your transition matrix estimator.) Then use the estimated transition matrix for classification. You need to include your estimated transition matrix in the final report. You also need to report the mean and the standard derivation of the test accuracy for each classifier.

4.3 Report

The report should be organized similar to research papers, and should contain the following sections:

- In **abstract**, you should briefly introduce the topic of this assignment, your methods, and describe the organization of your report.
- In **introduction**, you should first introduce the problem of learning with label noise, and then its significance and applications. You should give an overview of the

methods you want to use.

- In **related work**, you are expected to review the main idea of related label noise methods (including their advantages and disadvantages).
- In **methods**, you should describe the details of the flip rate estimation methods, include objective function, theoretical foundations (if any), and optimization algorithms. You should also describe the details of your classification models, including the formulation of the cost functions, the theoretical foundations, or views (if any) of the cost functions, and the optimization methods.
- In **experiments**, you should introduce your experimental setup (e.g., datasets, algorithms, evaluation metric, etc.). Then, you should show the experimental results, compare, and analyze your results. If possible, give your personal reflection or thoughts on these results.
- In **conclusion**, you should summarize your methods, results, and your insights for the future work.
- In **references**, you should list all references cited in your report and format- ted all references in a consistent way.
- In **appendix**, you should provide instructions on how to run your code.

5 Submission guidelines

1. Go to Canvas and upload the following files/folders compressed together as a zip file.

   (a) report (a pdf file)
   The report should include all member's details (student IDs and names).

   (b) code (a folder)

   i. Algorithm (a sub-folder)
   Your code (could be multiple files or a project)

   ii. Input data (a sub-folder) Empty. Please do NOT include the dataset in the zip file as they are large. We will copy the dataset to the input folder when we test the code.

   Only one student needs to submit the zip file which must be named as student ID numbers of all group members separated by underscores. E.g. "xxxxxxxx xxxxxxxx xxxxxxxx.zip".

2. A plagiarism checker will be used.
3. A penalty of MINUS 20 percent points (−20%) per each day after the due date. Maximum delay is 5 (five) days, after that assignments will not be accepted.

4. Remember, the submission deadline is **10 November 2023, 23:59PM**

6 Marking scheme

Criterion	Marks	Comments
Abstract [3] • problem, methods, and organization Introduction [6] • the problem you intend to solve • the importance of the problem Previous work [8] • previous relevant methods used in literature • their advantages and disadvantages Label noise methods with known flip rates [23] • pre-processing (if any) • label noise methods' formulation • cross-validation method for model selection or avoiding overfitting (if any) • experiments • discussions Noise rate estimation method [12] • noise rate estimation method's formulation • experiments • discussions Label noise methods with unknown flip rates [10] • pre-processing (if any) • label noise methods' formulation (if different from above) • cross-validation method for model selection or avoiding overfitting (if any) • experiments • discussions Conclusions and future work [3] • meaningful conclusions based on the results • meaningful future work suggested Presentation [8] • academic style, grammatical sentences, no spelling mistakes • good structure and layout, consistent format- ting • appropriate citation and referencing		

• use graphs and tables to summarize data Other [7] • at the discretion of the assessor: illustrate outstanding comprehensive theoretical analy- sis, demonstrate the insightful and compre- hensive assessment of the significance of their results, provide descriptions and explanations that have depth but clarity, and are concisely worded **Note: Marks for each category is indicated in square brackets. The minimum mark for the assignment will be 0 (zero).**		