# **Constructing Test Collections using Multi-armed Bandits and Active Learning**

الصندوف القطري لرعاية البحت الماسي Qatar National Research Fund



Member of Qatar Foundation



Md Mustafizur Rahman<sup>1</sup>, Mucahid Kutlu<sup>2</sup>, Matthew Lease<sup>1</sup>

<sup>1</sup>School of Information, <sup>2</sup>Computer Engineering Department,

<sup>1</sup>The University of Texas at Austin, <sup>2</sup>TOBB University of Economics and Technology

## Research Goal

Can we develop a test collection without organizing a shared task?

## Shortcomings of a shared task

- Organizing a shared task is difficult, slow, and expensive
- Sometimes, it is impossible to garner enough participants, such as for less studied languages (e.g., Turkish) or search tasks (e.g., historical search).

## Challenges

- How to allocate budget across topics?
- How to select documents for annotations?

## Background

A test collection consists of

- I. A collection of documents
- II. A set of topics
- III. A set of relevance judgments

Test collections are typically constructed

- by organizing a **shared task**, where multiple teams participate and submit their document rankings for the given document collection and the set of topics
- by applying the *pooling*, where the top-ranked *K*documents from each submitted ranking system are
  selected for relevance judging

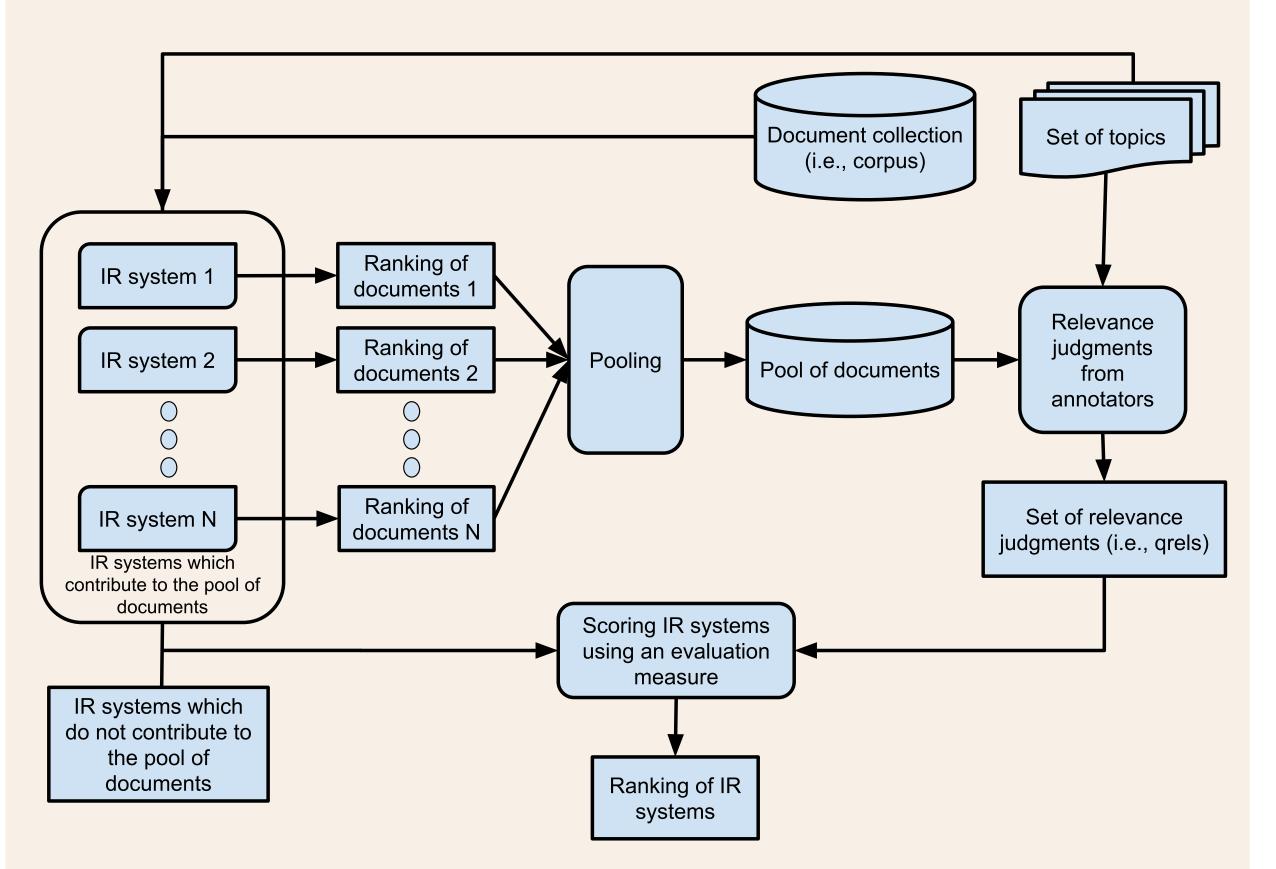


Figure 1: The typical steps involved in the construction of a test collection via pooling in a shared task

### Methods

#### **Topic Selection**

- Different topics need different number of relevance judgments.
- Allocating a pre-defined budget across topics will incur more cost than actually needed.
- To find out as many as relevant documents, we frame the problem as an exploration-exploitation phenomenon where we
  - Either **exploit** an already selected topic
  - Or explore a new topic.
- We solve the exploration-exploitation phenomenon using *Multi-armed Bandits* (MAB) technique [1].

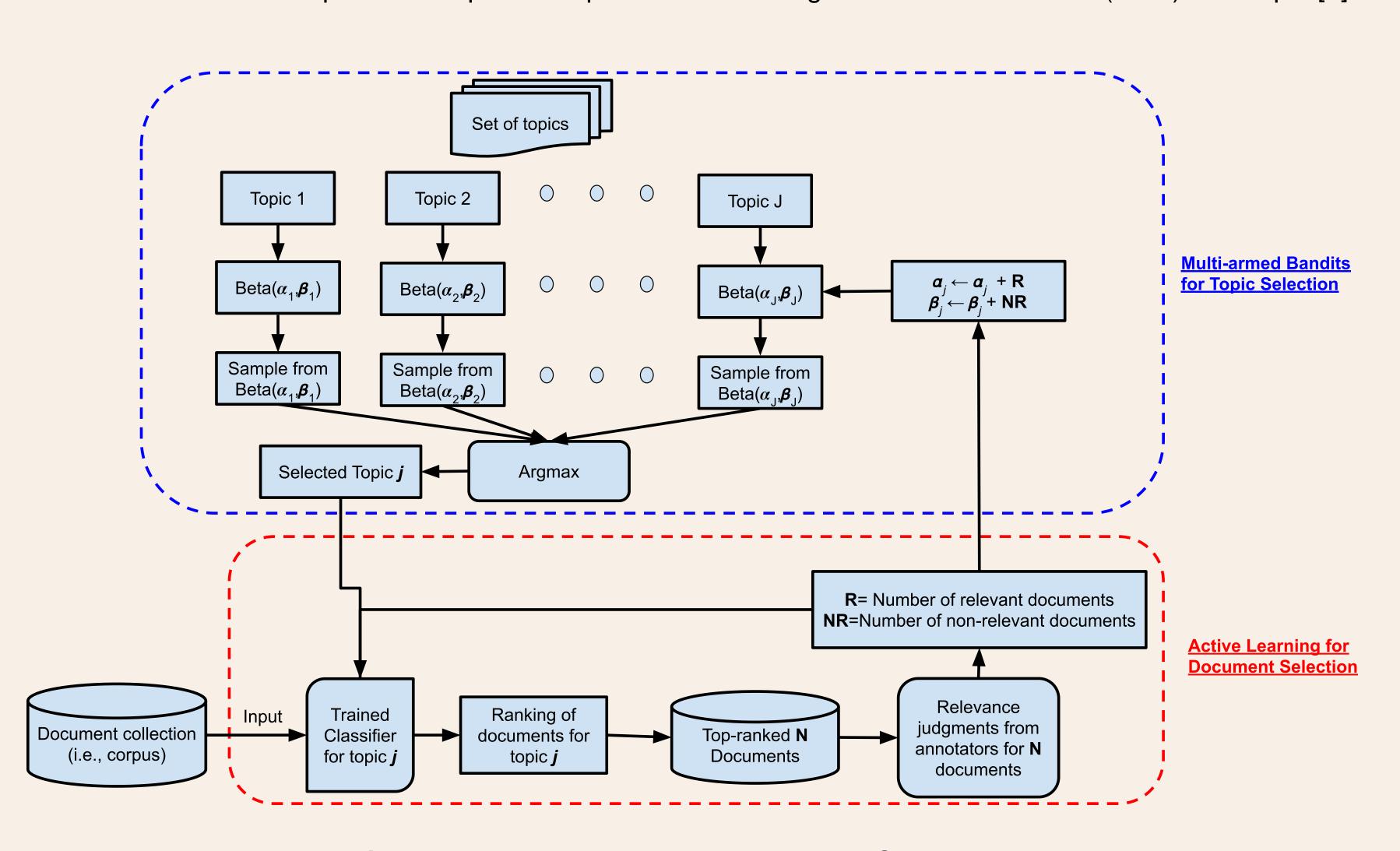


Figure 2: Two-phase Topic and Document Selection

#### **Document Selection**

**Datasets** 

- In the absence of a shared task, we do not have any IR system that can provide ranking of documents that can be used for selecting documents for annotations.
- We employ **active learning** (AL) [2], where a topic-specific classifier selects documents for annotations. The annotated documents in one iteration are employed to train the topic-specific classifier in the next iteration. More specifically, we apply **Continuous Active Learning** (CAL).

Table 1: Test collection statistics

| Track      | Collection              | Topics  | #Docs | #Judged | %Rel  |
|------------|-------------------------|---------|-------|---------|-------|
| WT'13[5]   | ClueWeb12               | 201-250 | 52M   | 14,474  | 28.7% |
| TREC-8[28] | Disks45-CR <sup>4</sup> | 401-450 | 528K  | 86,830  | 5.4%  |

## Baselines

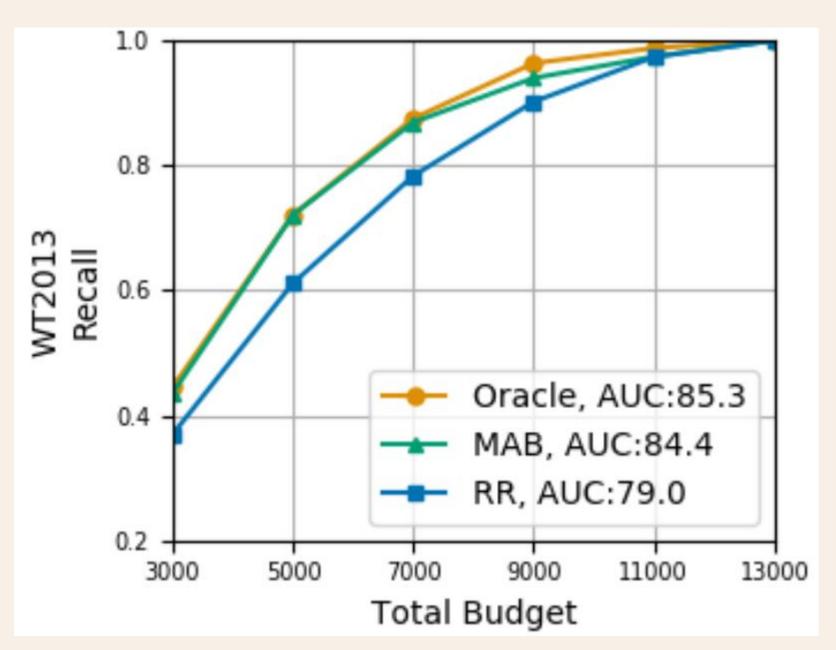
#### **Topic Selection**

- Oracle
- Round-robin (RR)
- Move-to-front (MTF) [3]
- MaxMean Non-Stationary (MM-NS) [4]

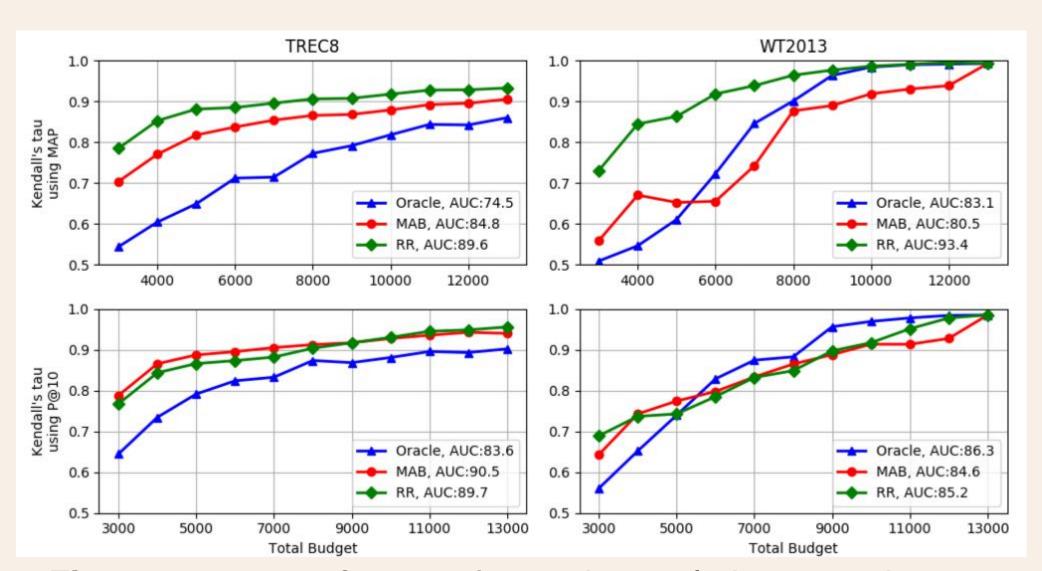
## Results

**Table 2**: Avg. number of relevant documents found under varying budget per topic on TREC-8 for MTF [3], MM-NS [4], & MAB+CAL.

|         | Average number of judgments per topic |       |       |       |       |       |       |  |  |
|---------|---------------------------------------|-------|-------|-------|-------|-------|-------|--|--|
| Method  | 100                                   | 300   | 500   | 700   | 900   | 1100  | all   |  |  |
| MTF     | 34.06                                 | 58.48 | 71.78 | 79.22 | 84.5  | 87.58 | 94.04 |  |  |
| MM-NS   | 36.96                                 | 64.62 | 77.3  | 82.5  | 86.34 | 89.2  | 94.04 |  |  |
| MAB+CAL | 46.3                                  | 78.4  | 86.5  | 90.3  | 91.3  | 93.5  | 94.04 |  |  |



**Figure 3**: Recall of relevant documents achieved by Oracle, Multi-armed bandits (MAB), and Round-robin (RR) with CAL.



**Figure 4**: Result of Kendall's τ rank correlation score between the ranking produced using the official qrels and the ranking produced using qrels created by Oracle, MAB and RR with CAL.

### References

[1] Herbert Robbins. 1985. Some aspects of the sequential design of experiments. In Herbert Robbins Selected Papers. Springer, 169–177.

[2] Burr Settles. 2012. Active learning. Synthesis Lectures on Artificial Intelligence and Machine Learning 6, 1 (2012), 1–114.

[3] Gordon V Cormack, Christopher R Palmer, and Charles LA Clarke. 1998. Efficient construction of large test collections. In Proceedings of the 21st annual international ACM SIGIR conference on Research and development in information retrieval. ACM, 282–289

[4] David E Losada, Javier Parapar, and Álvaro Barreiro. 2016. Feeling lucky?: multiarmed bandits for ordering judgements in pooling-based evaluation. In proceedings of the 31st annual ACM symposium on applied computing. ACM, 1027–1034