

Software Defined Radio Migration

Present



HARDWARE DEFINED
Limited Utility
Limited Compatibility

Future



SOFTWARE DEFINED
Legacy Compatible
System Interoperability

Next Generation



SOFTWARE ENHANCED
DevOps Enabled
Innovation Enabling

Introduction to Communication System

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What is a Communication system?

- The transmission of information is called communication
- Information can be exchanged by one-to-one or one-to-many or many-many (Fig. 2)
- It can be wired or wireless (Electronics view)

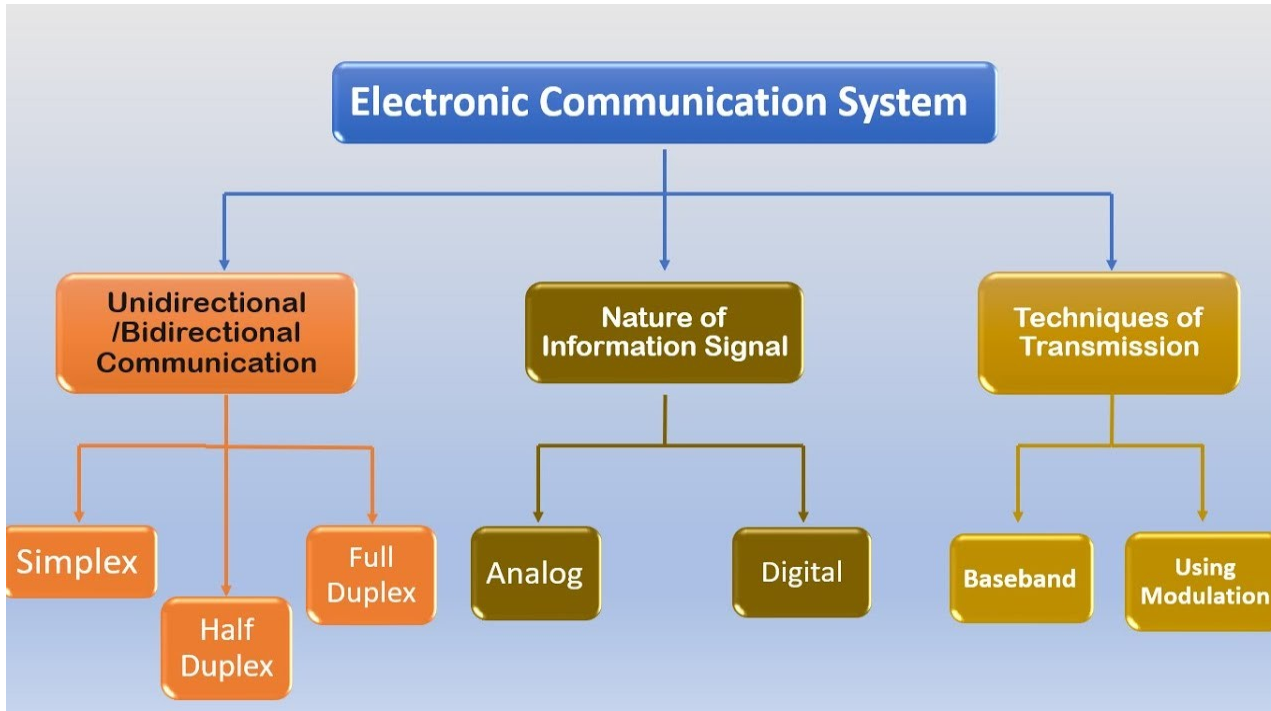


Fig.1 Basic classification of an electronic communication system [4]



Fig. 2 Basic Information can be transmitted: one-to-many
Ex: Radio Broadcasting [3]

Why communication engineering for AI?

- **Foundational understanding for applying AI models**
 - Ex: Human-machine interaction, Machine-to-machine interaction, Computer Vision, and Natural language processing (NLP)
- **Hardware Interaction:** Many AI systems are designed to interact with the physical world through sensors and actuators.
 - Understanding communication engineering principles helps you grasp how these devices convert data into electrical signals and vice versa. Ex: IoT
- **Efficient Data Transmission:** Communication engineering knowledge equips you with techniques for optimizing data transmission and minimizing errors. Ex: Autonomous vehicles



1G

Analog Voice Calls

Speed: 2.4 kbps



1980s



2G

Digital Voice Calls
+ Text Messaging

Speed: 64 kbps



1990s



3G

Mobile Broadband
Speed: 2000 kbps



2000s



4G

Faster Mobile Broadband
Speed: 100,000 kbps



2010s



5G

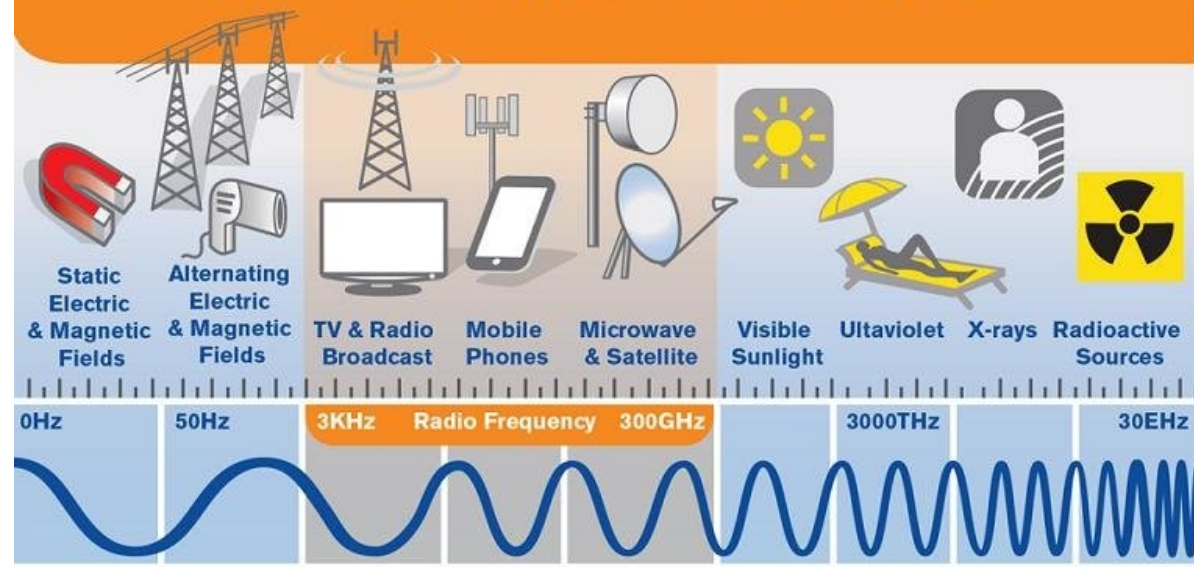
Enhanced Mobile Broadband
and Wireless for Industry
Speed: 1 Gbps



2020s

Evolution of mobile technology

THE ELECTROMAGNETIC SPECTRUM



Syllabus

Unit 1

Introduction to communication systems, introduction to signals, different types of signals and their characteristics, concept of system, linear time-invariant (LTI) system, sinusoids- concept of frequency, in-phase and quadrature component, bandwidth, pass band and stop band, Introduction to SDR platforms and devices- MATLAB Simulink and GNU radio Companion (GRC), RTL-SDR and Adalm Pluto. Signal analysis/ spectrum analysis and visualization using SDR tools.

Unit 2

Need for modulation, analog modulation schemes, amplitude modulation (AM) and its types - AM-DSB-SC, AMDSB-TC, SSB. AM Demodulation schemes, angle modulation- frequency modulation (FM) -Narrowband and wideband, phase modulation, FM demodulation, implementation of analog modulation/demodulation schemes using SDR tools.

Unit 3

Quadrature amplitude modulation and demodulation, pulse analog modulation schemes, digital carrier modulation/demodulation Schemes- amplitude shift keying (ASK), frequency shift keying (FSK), phase shift keying (PSK), M-ary signaling, BPSK, QPSK, implementation of digital modulation/demodulation schemes using SDR tools. Multicarrier modulation- OFDM, MIMO, Prospects of AI in communication system- radio signal or modulation classification.

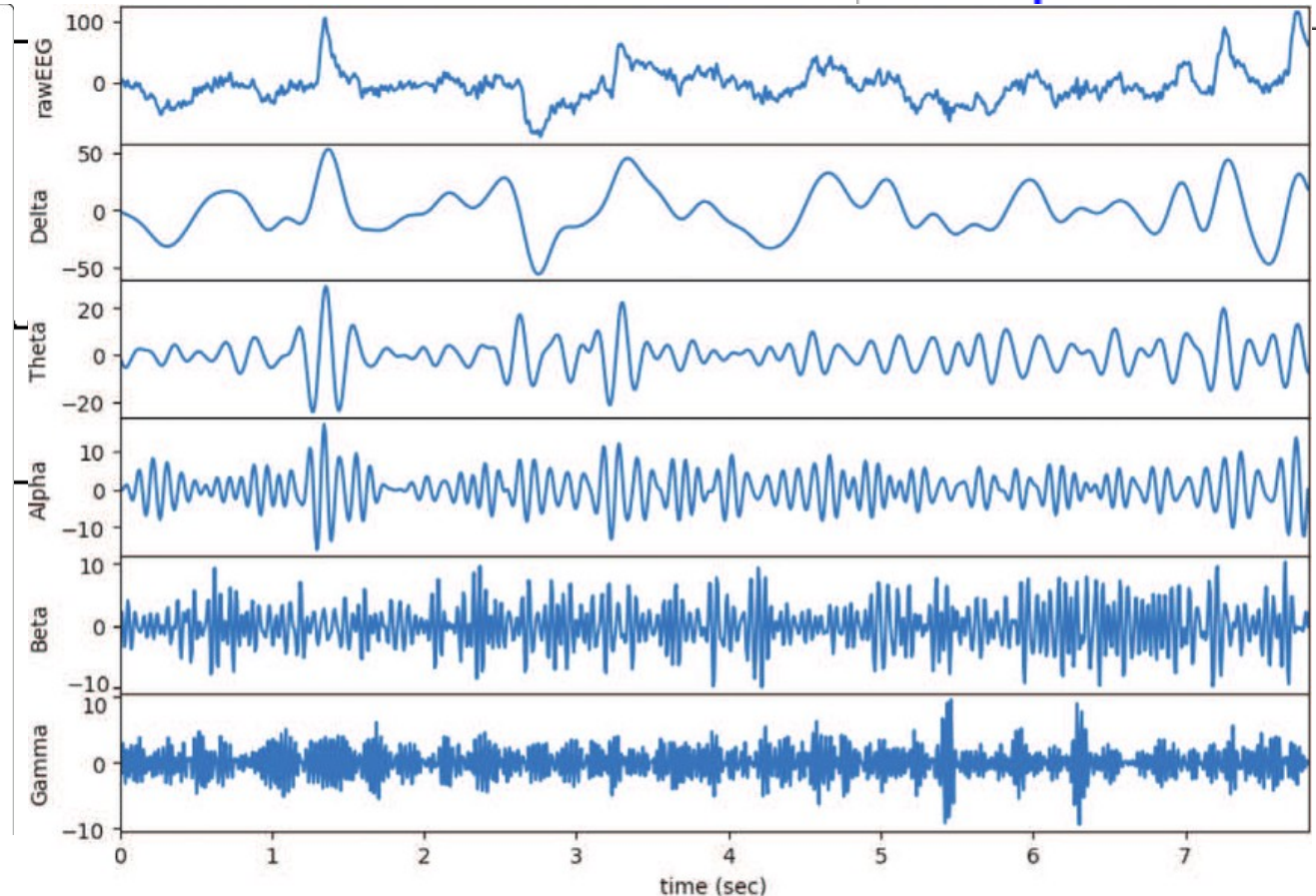
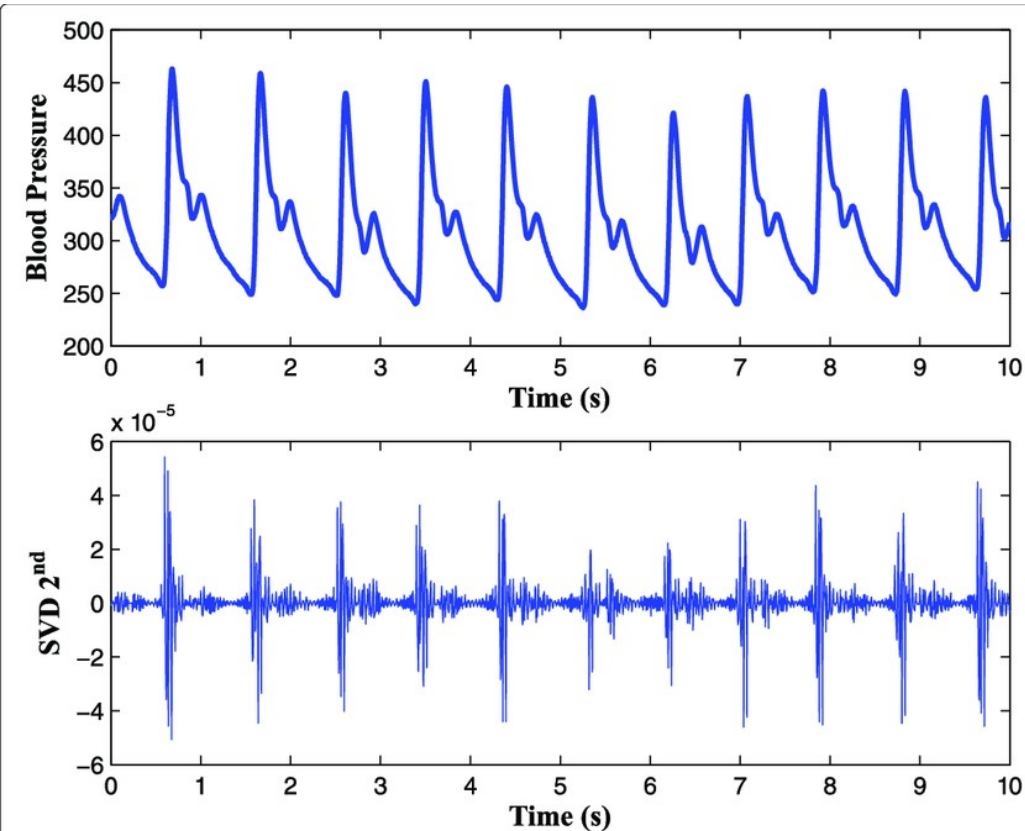
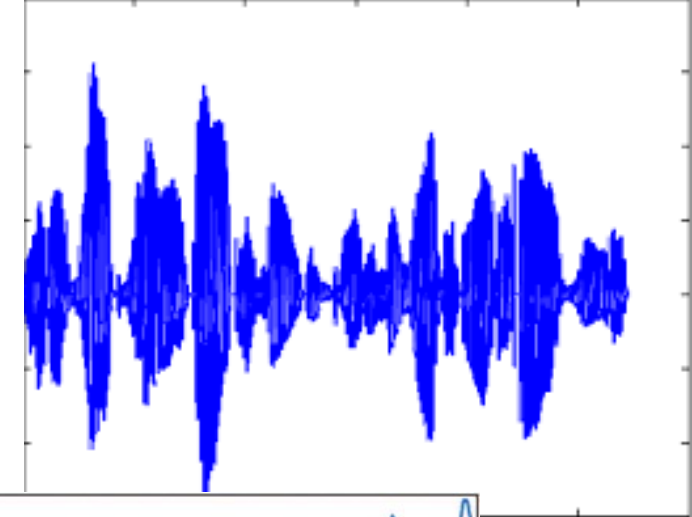
Reference textbooks

- B1. Wyglinski, Alexander M., Robin Getz, Travis Collins, and Di Pu. Software-defined radio for engineers. Artech House, 2018.
- B2. Qasim Chaudhari, Wireless Communications from the Ground Up: An SDR Perspective, 2018
- B3. Andrew Barron, Software Defined Radio: for Amateur Radio Operators and Shortwave Listeners, 2019
- B4. C.R. Johnson and W.A. Sethares, Software Receiver Design: Build Your Own Digital Communication System in Five Easy Steps, Cambridge University Press, 2011
- B5. Proakis, John G., Masoud Salehi, and Gerhard Bauch. Contemporary communication systems using MATLAB. Cengage Learning, 2012.
- B6. Wyglinski, Alexander M., and Di Pu. Digital communication systems engineering with software defined radio. Artech House, 2013.

Introduction to signals

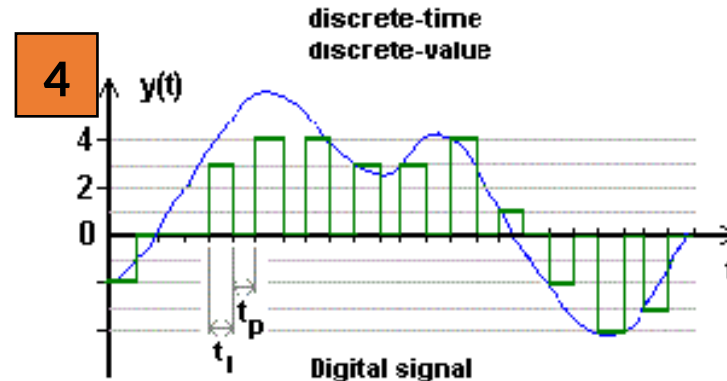
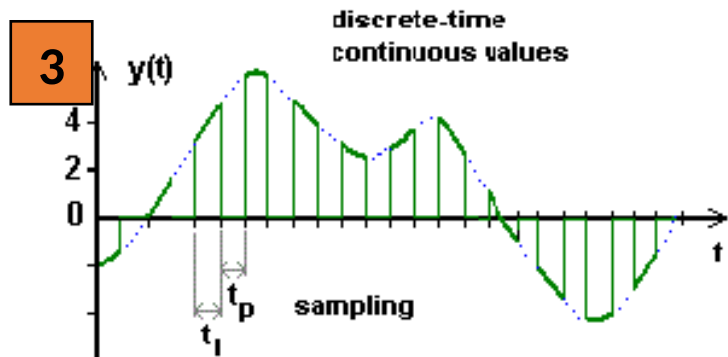
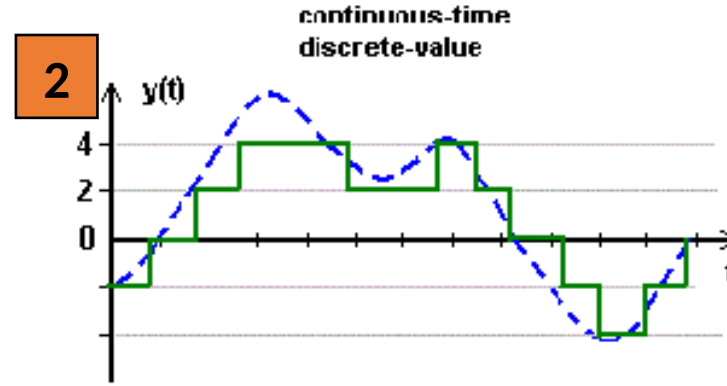
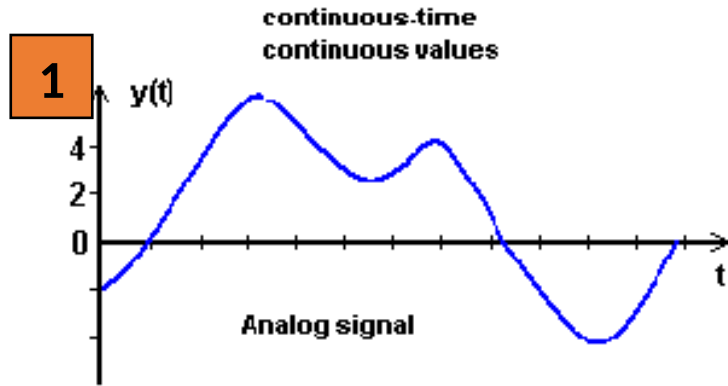
What is a signal?

- A fundamental quantity of representing information
- Information can be of any form
- Ex: Analog, digital, Sound, temperature, intensity, Pressure, etc.,



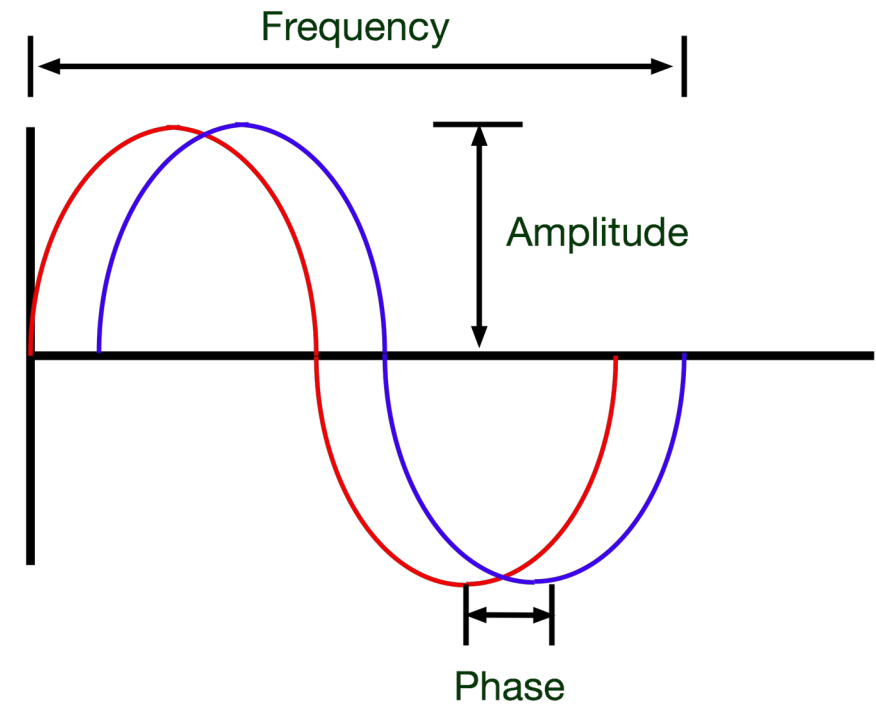
Types of Signals: or classification

1. Analog signal: continuous t and continuous $y(t)$
2. Continuous-time signal: continuous t and discrete $y(t)$
3. Discrete signal: discrete t and continuous $y(t)$
4. Digital signal: discrete t and discrete t



Characteristics of a signal

- Amplitude (height)
- Frequency (width)
- Phase (angle)



Classification of systems

- A **system** is an entity that processes one or more input signals to produce one or more output signals
- Classification:
 - No. of inputs: SISO, MIMO
 - Type of signal: Continuous-time system, discrete-time, hybrid system, and digital or analog systems
 - One-dimensional and multi-dimensional
- Example: Speech recognition system
- Amplification and noise reduction
- ECG abnormalities detection system

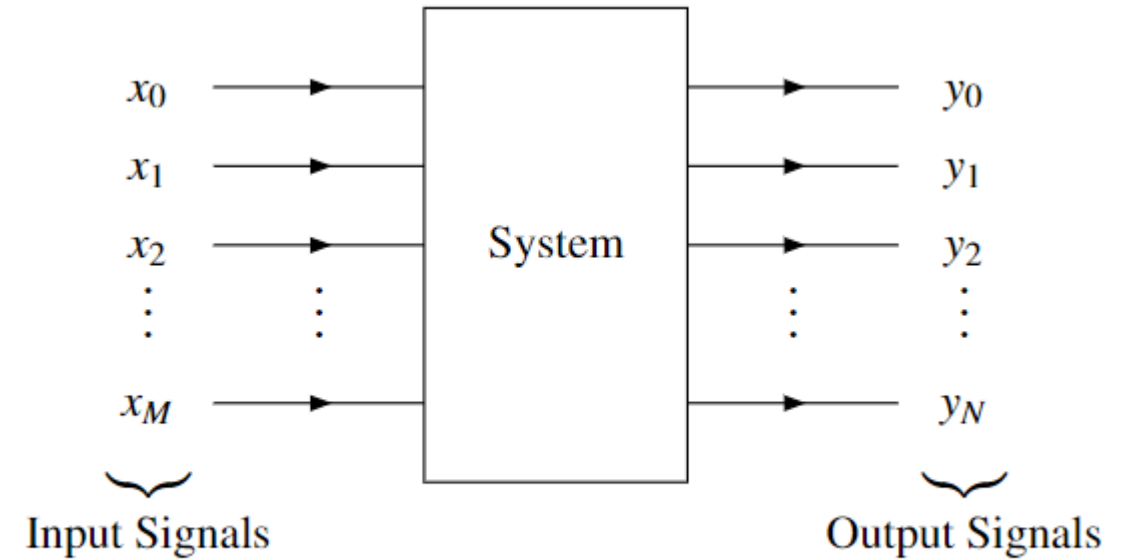
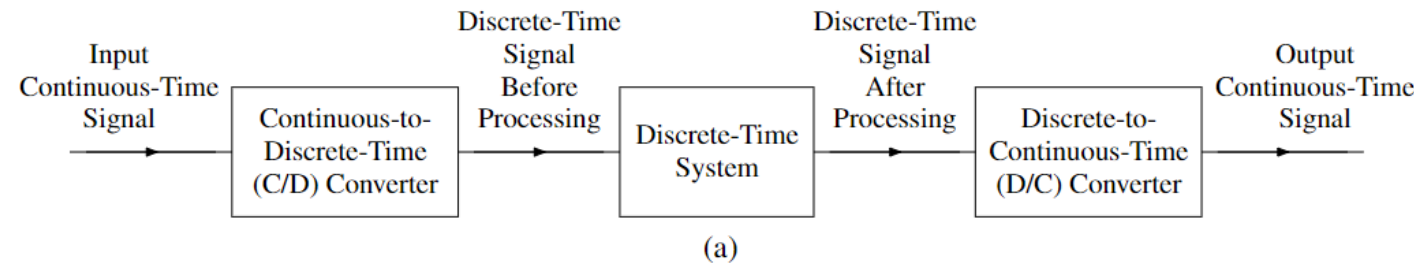
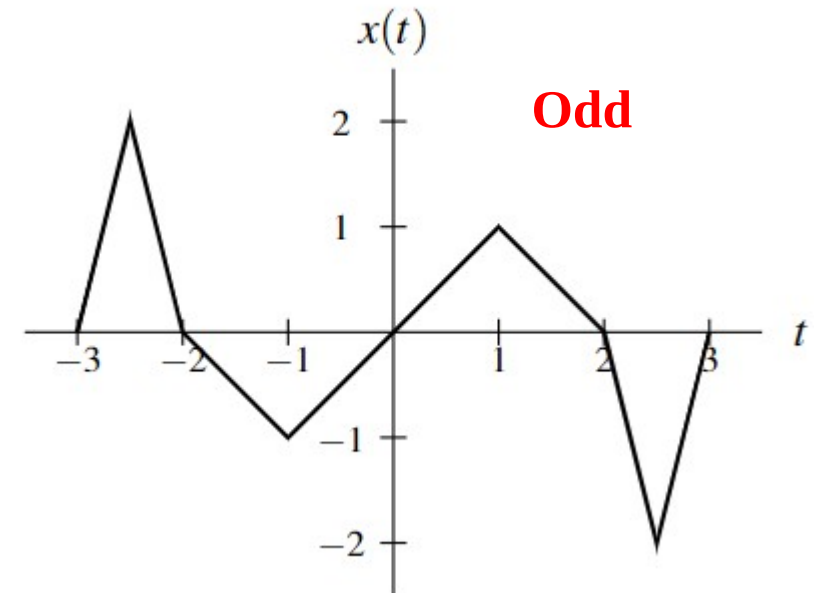
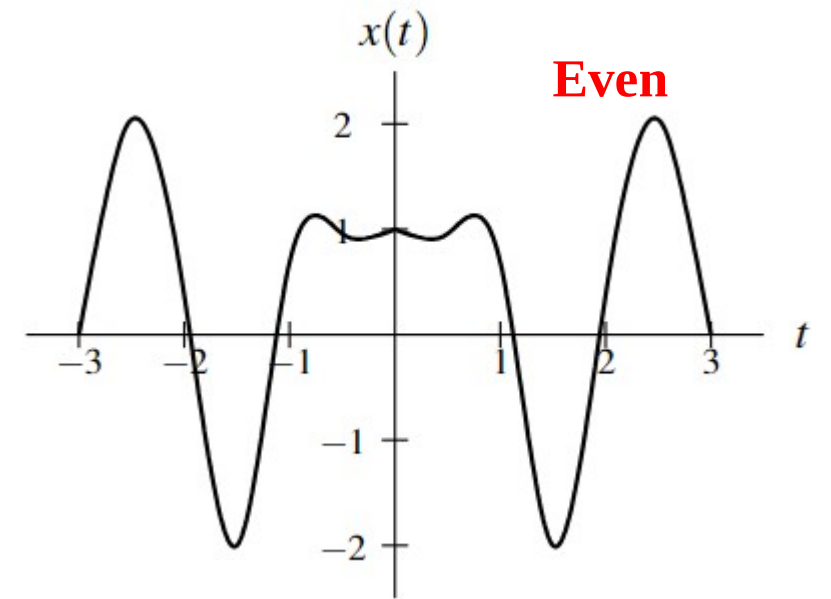


Figure 1.4: System with one or more inputs and one or more outputs.

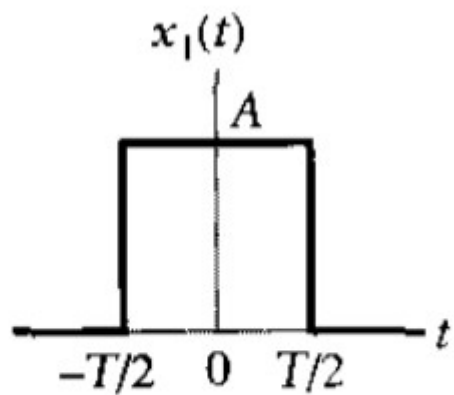


Symmetry of Functions and Sequences

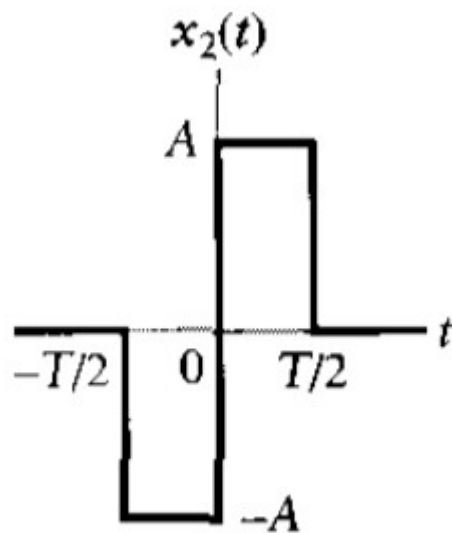
- **Even** and **Odd** signals
- A function x is said to be even if it satisfies
 - $x(t) = x(-t)$ or $x(n) = x(-n)$
- Geometrically, an even function or sequence is symmetric with respect to the vertical axis
- A function x is said to be **odd** if it satisfies
 - $x(t) = -x(-t)$ for all t (where t is a real number)
 - $x(n) = -x(-n)$ for all n (where n is an integer)
- One can easily show that an odd function or sequence x must be such that **$x(0) = 0$** , assuming that the domain of x includes 0



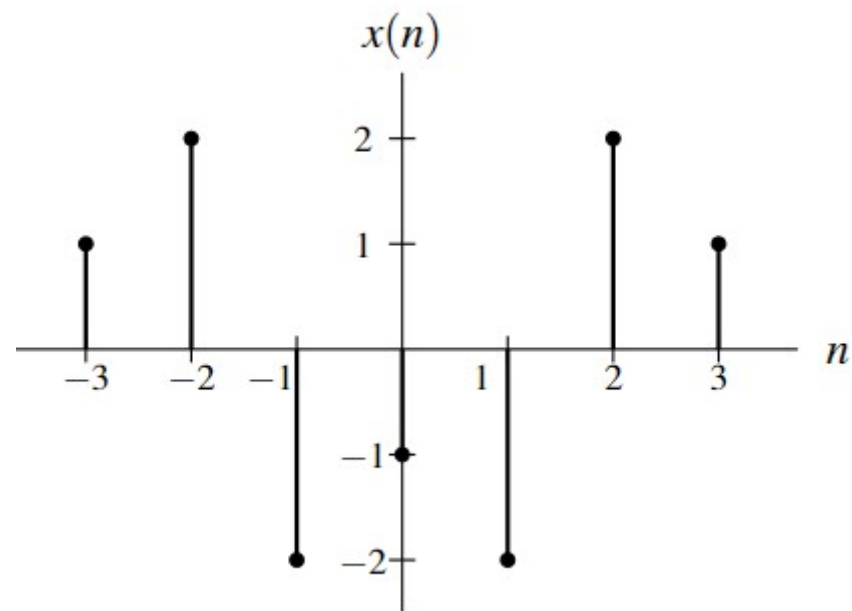
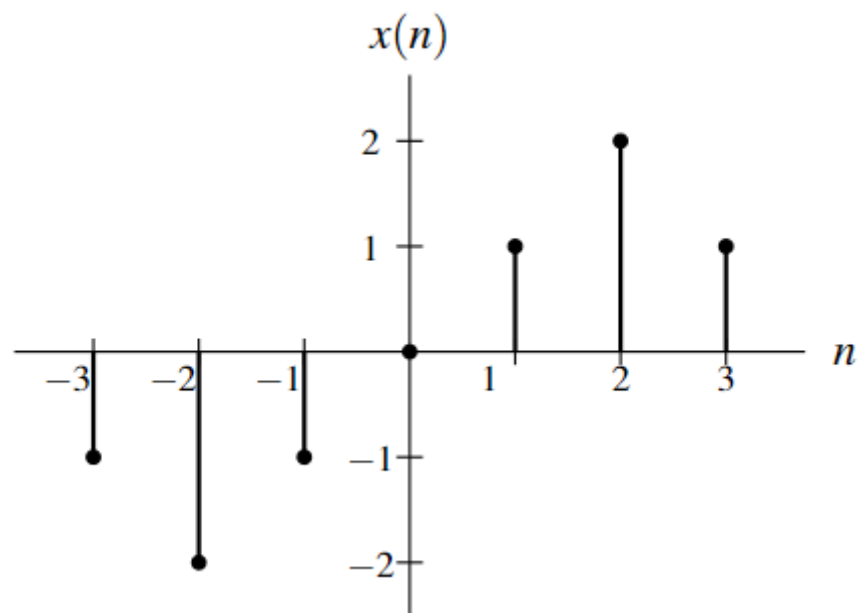
Examples



(a)

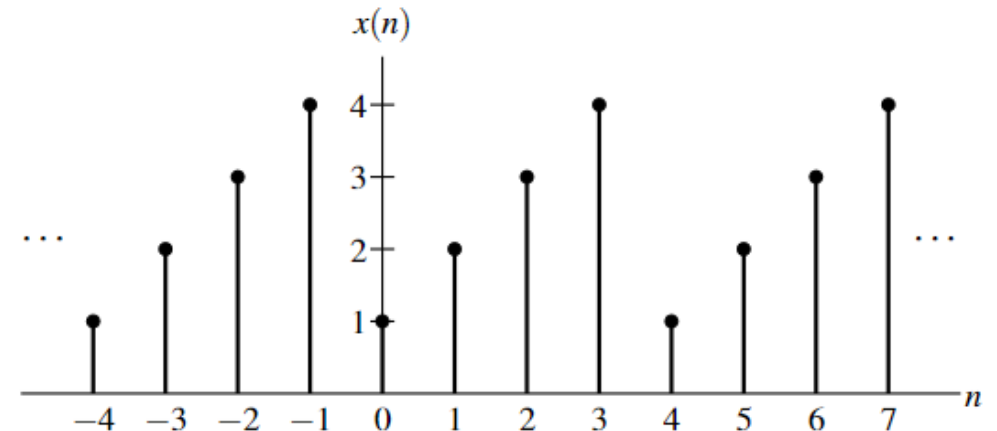
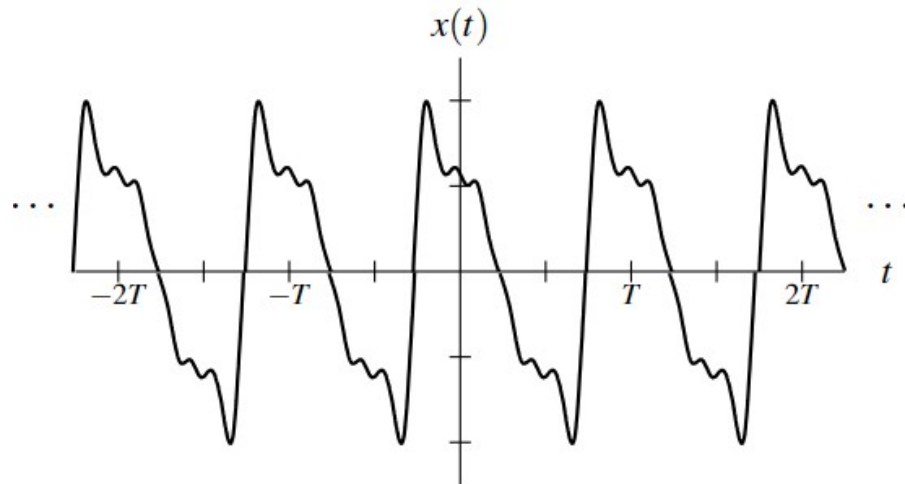


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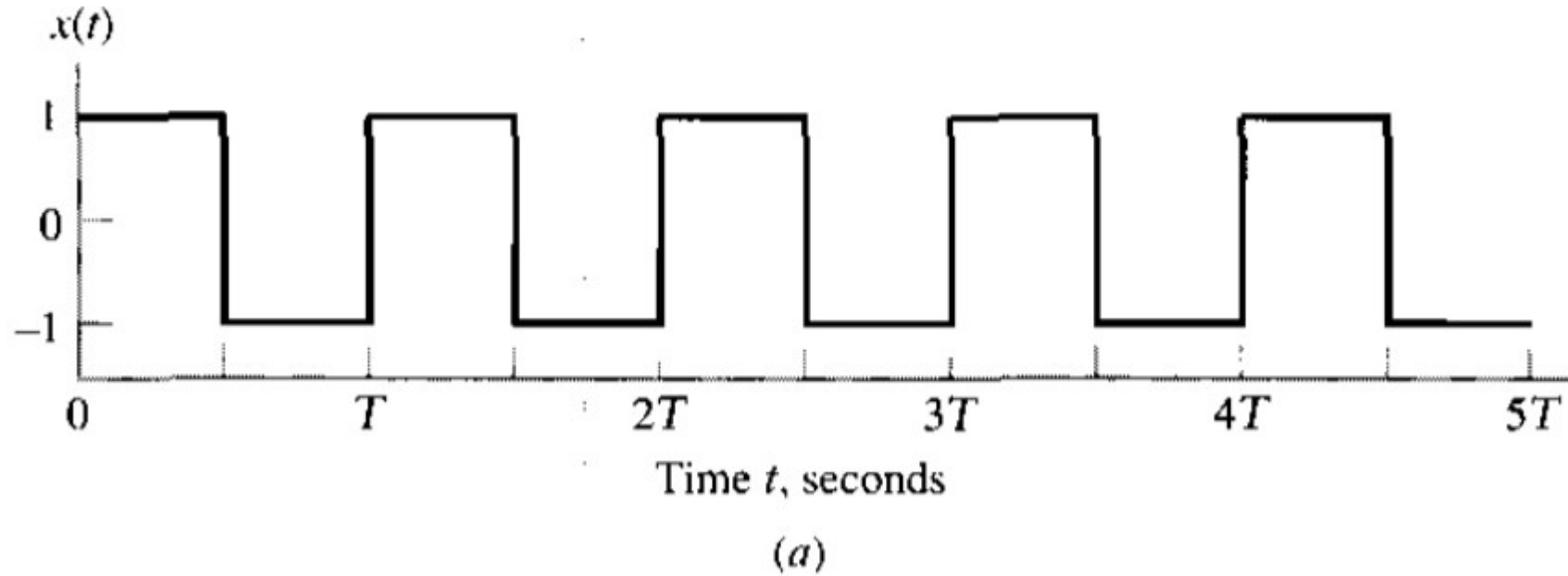


Periodicity of Functions and Sequences

- A function x is said to be periodic with period T (or simply T -periodic) if, for some strictly positive real constant T
- **$x(t) = x(t + T)$ for all t (where t is a real number)**
- A T -periodic function x is said to have the frequency $1/T$ and angular frequency $2\pi/T$
- A sequence x is said to be periodic with period N (or simply N -periodic) if, for some strictly positive integer N ,
- **$x(n) = x(n + N)$ for all n (where n is an integer)**
- An N -periodic sequence x is said to have a frequency $1/N$ and angular frequency $2\pi/N$
- A function or sequence that is not periodic is said to be **Aperiodic**.



What is the frequency of the signal? If $T = 0.2\text{s}$



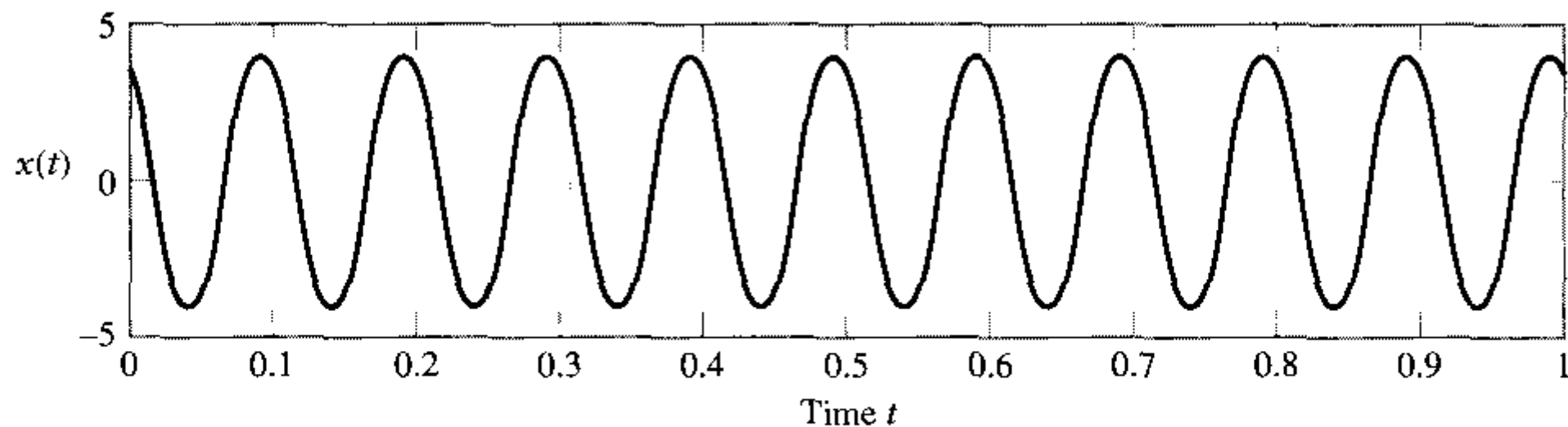
Signal Energy and Power

The energy E contained in the function x is defined as

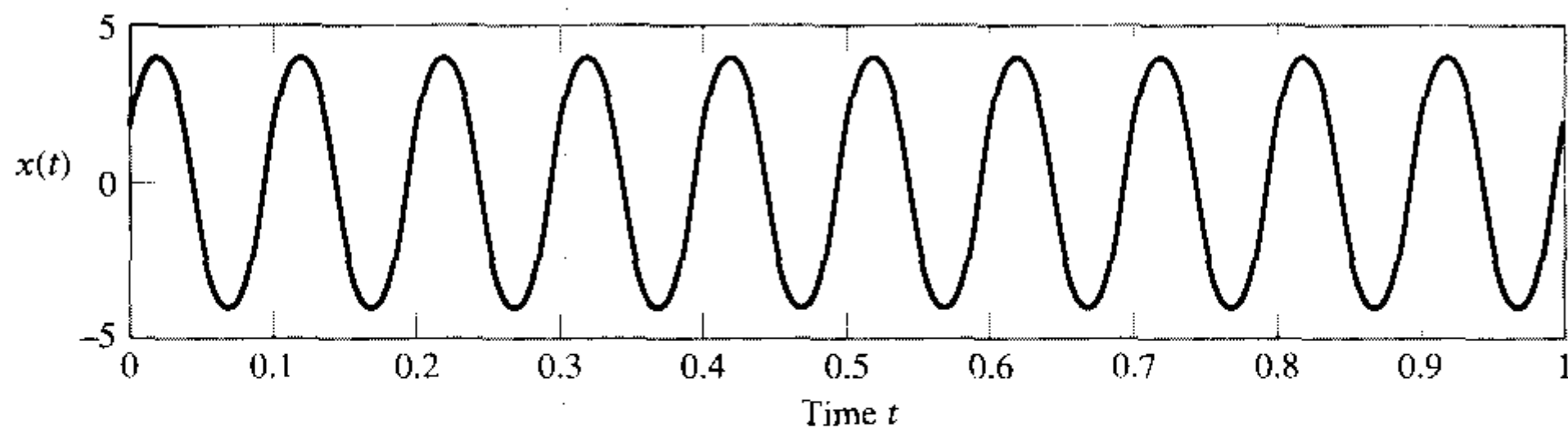
$$\mathbf{E} =$$

The average power P contained in the function x is given by

$$P = \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-\frac{T}{2}}^{\frac{T}{2}} |x(t)|^2 dt$$



(a)

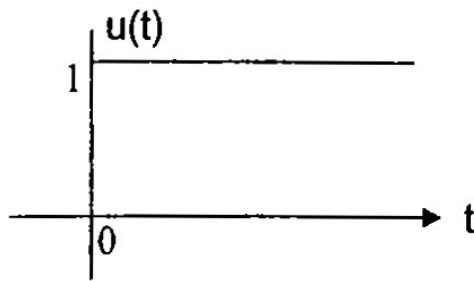


(b)

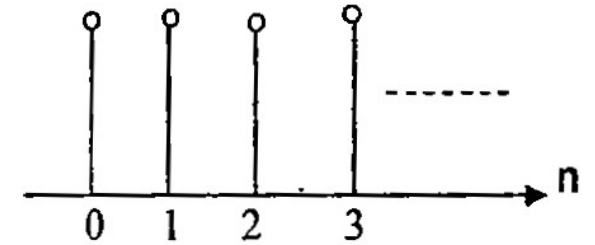
FIGURE 1.29 (a) Sinusoidal signal $A \cos(\omega t + \phi)$ with phase $\phi = +\pi/6$ radians. (b) Sinusoidal signal $A \sin(\omega t + \phi)$ with phase $\phi = +\pi/6$ radians.

Unit step Function

- The unit-step function (also known as the Heaviside step function), denoted $u(t)$, is defined as

$$u(t) = \begin{cases} 1; t > 0 \\ 0; t < 0 \end{cases}$$


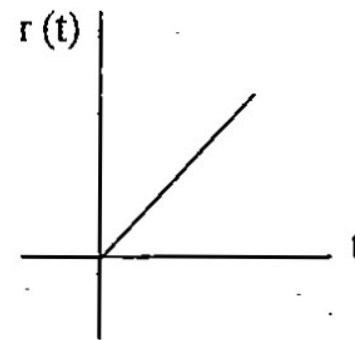
$$u(n) = \begin{cases} 1; n \geq 0 \\ 0; n < 0 \end{cases}$$



Ramp function

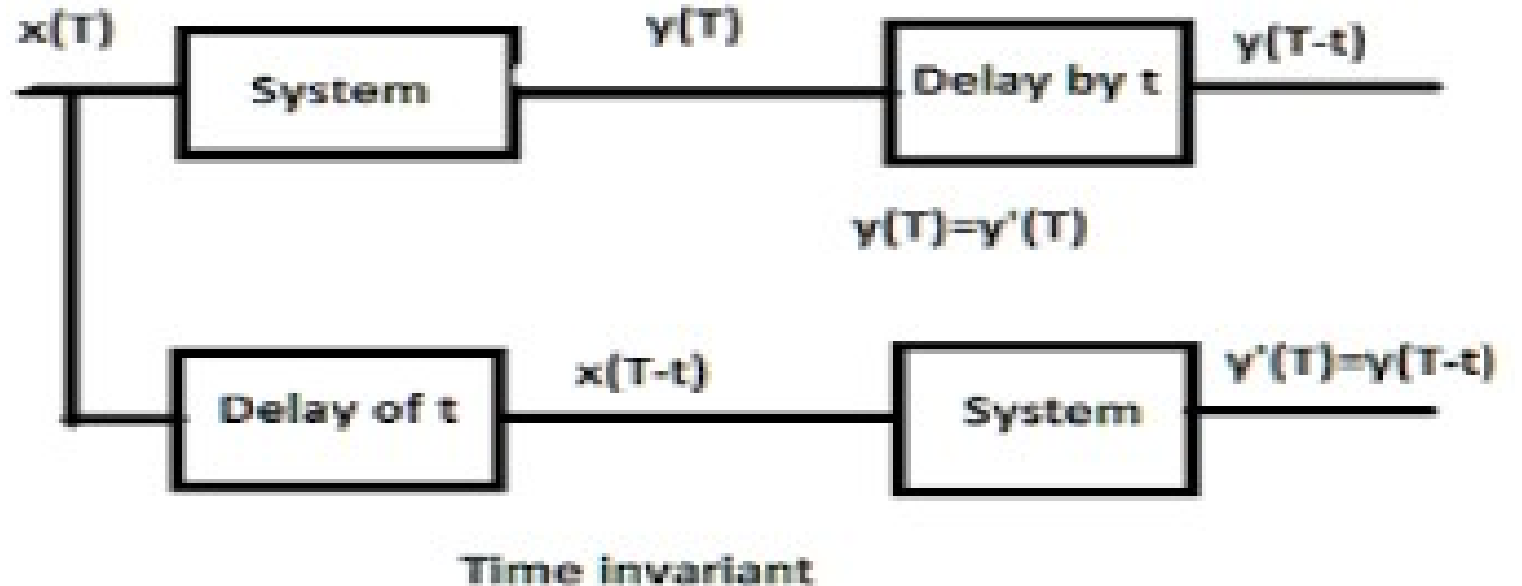
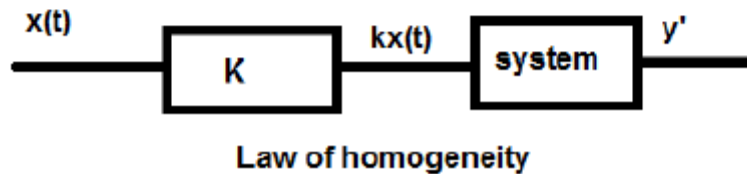
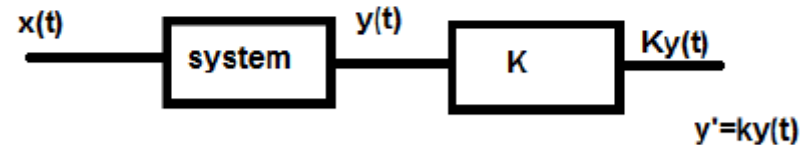
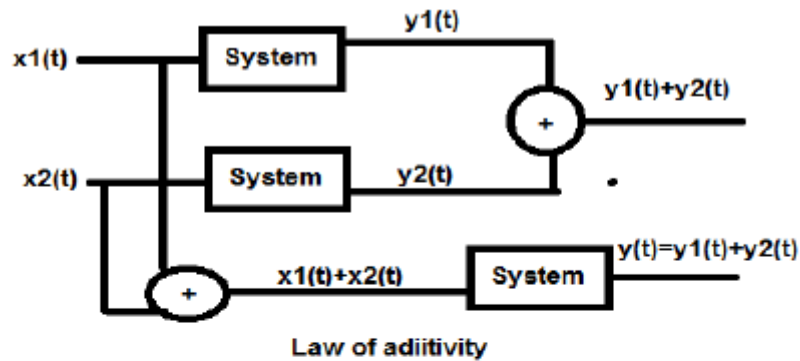
- Ramp function, $r(t)$ is defined as

$$r(t) = \begin{cases} t; t > 0 \\ 0; t = 0 \end{cases}$$



Linear time-invariant system (LTI)

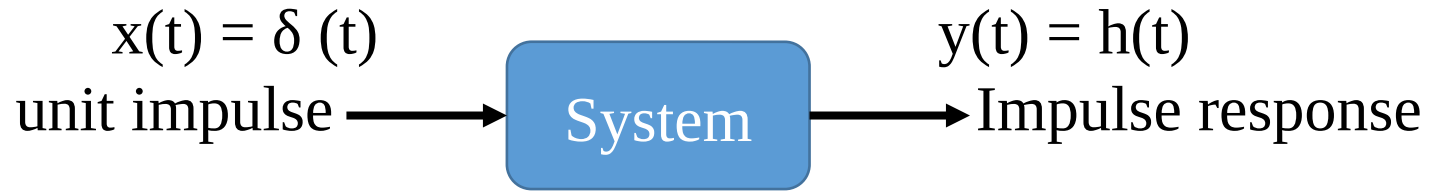
- Linear system and Time invariant system \rightarrow LTI system
- **Linear system** follows superposition: Law of additivity and Law of homogeneity
- **Time invariant system**



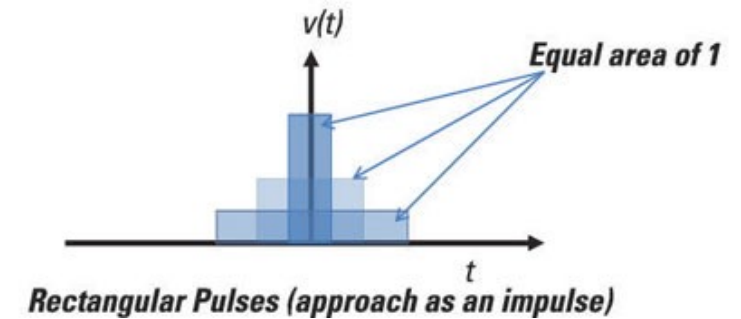
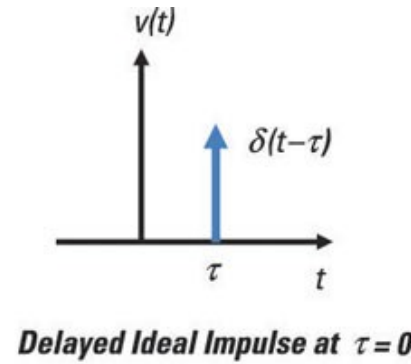
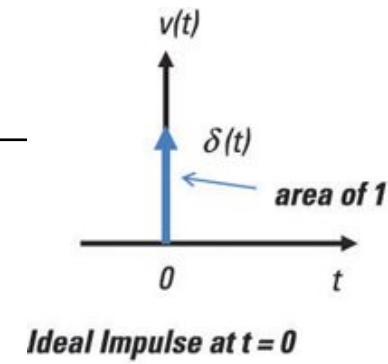
Linear time-invariant system (LTI)

- Why the LTI system?
- The effect of a system on the spectrum of a signal can be analyzed easily if and only if the system is LTI

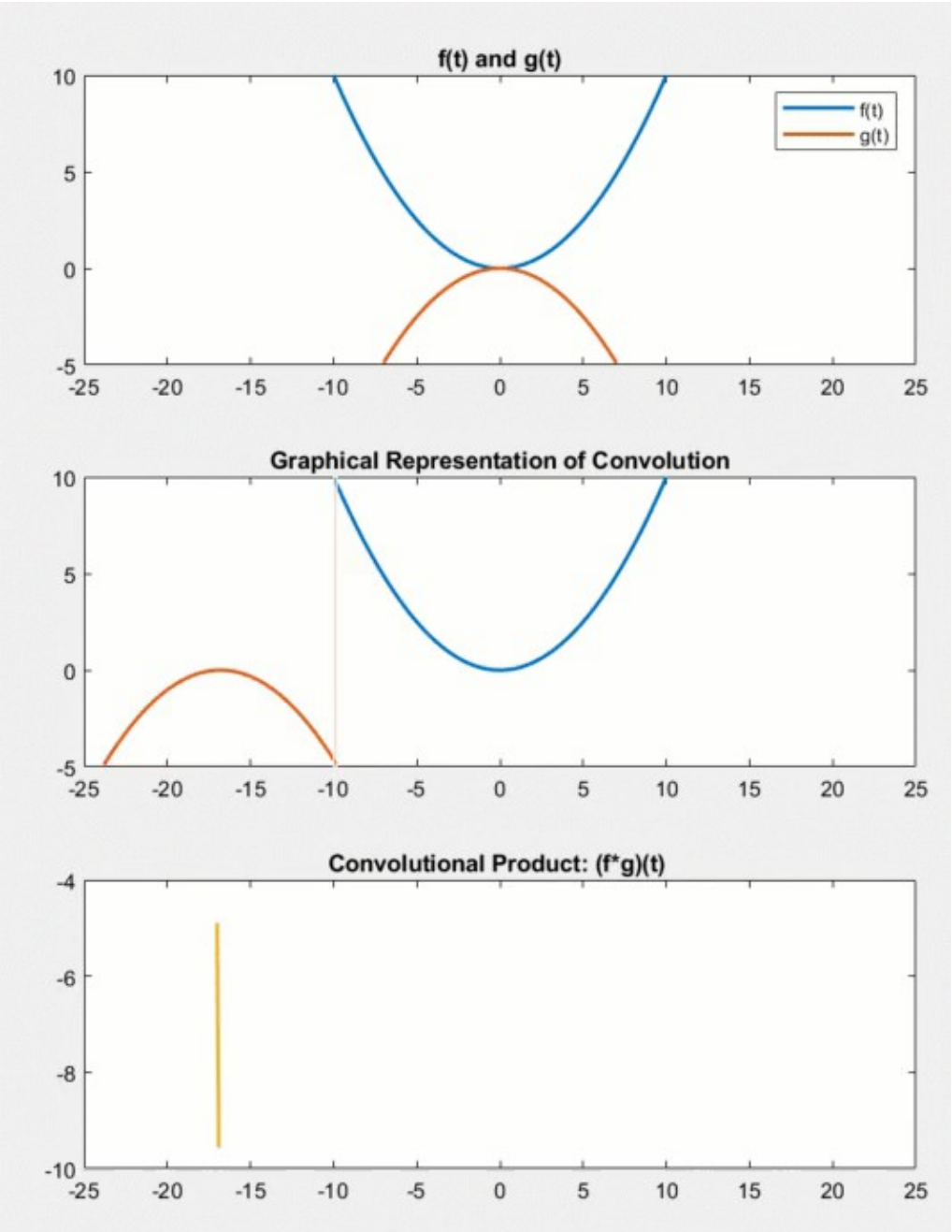
- **Impulse response**



- The impulse response completely characterizes the behavior of an LTI system
- $\delta[n - i] \rightarrow \text{LTI} \rightarrow h[n - i]$ (Time-invariance—works for any constant i)



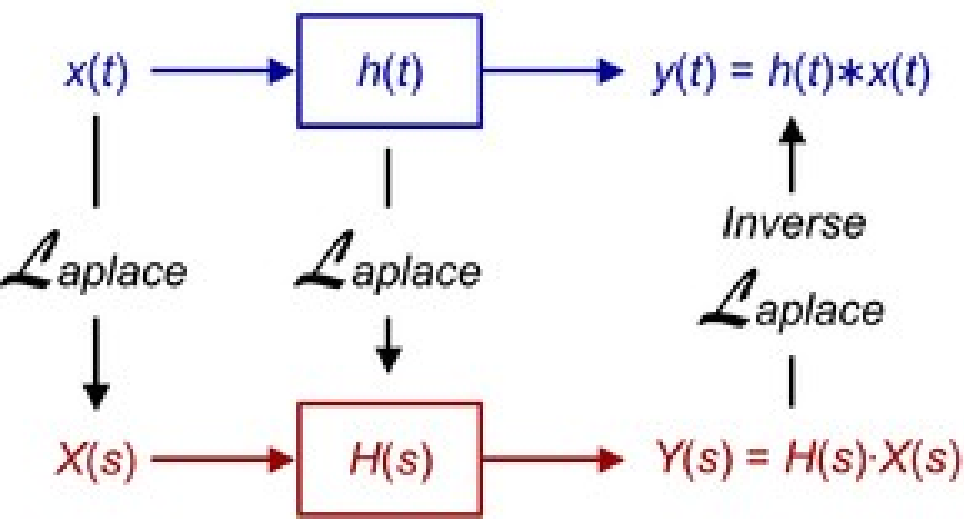
Linear time-invariant system (LTI)



convolution is an integral that expresses the amount of overlap of one function $f(t)$, as it is shifted over function $g(t)$, for a continuous-time signal it is expressed as:

$$(f * g)(t) \approx^{def} \int_{-\infty}^{\infty} f(\tau)g(t - \tau)dr$$

Time domain



Frequency domain

Linear time-invariant system (LTI)

For a Discrete signal

$$x[n] \rightarrow \text{LTI} \rightarrow y[n] \quad \textcolor{red}{\circ} \quad \sum_{i=-\infty}^{\infty} x(i)h(n-i) = x[n] * h[n]$$

If the length of vector x is N and the length of vector h is M

- The response vector Y of length M+ N -1:
- In other words, $\text{length}(y) = \text{length}(x) + \text{length}(h) - 1$:
- If the nonzero values of x[n] are in the interval [ax, bx] and the nonzero values of h[n] are in the interval [ah, bh] then the nonzero values of the output y[n] are in the interval [ax + ah, bx + bh]:

Qn. Consider an LTI system with impulse response $h[n]=[2 \ 4 \ 1 \ 3], 0 \leq n \leq 3$
 Find the response of the system to input signal $x[n] = \delta[n]$

Sol: $y(n) = x(n) \star h(n) = \sum_{k=-\infty}^{\infty} x(k)h(n-k)$

Since both $x(k) = 0$ for $k < 0$ and $h(n-k) = 0$ for $k > n$

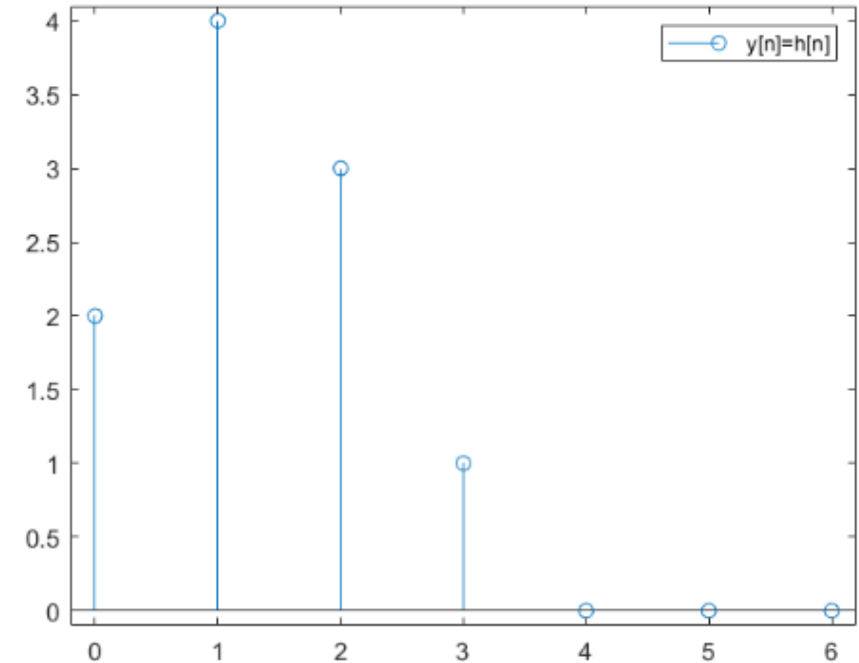
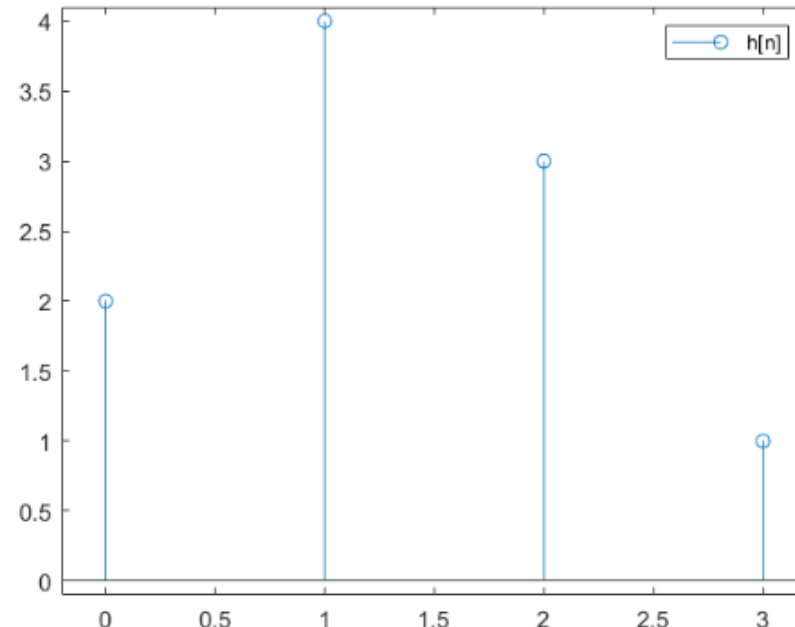
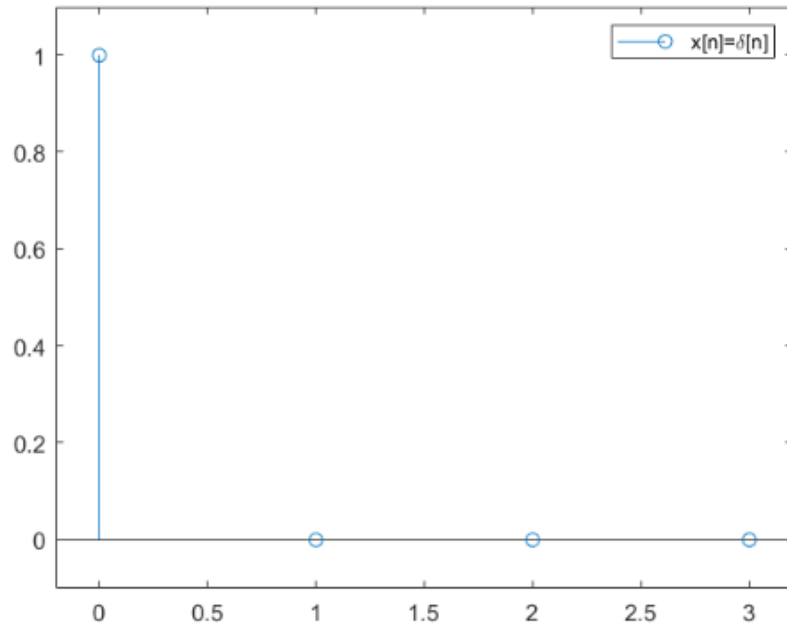
$$y(n) = \sum_{k=0}^n x(k)h(n-k)$$

$$y(0) = \sum_{k=0}^0 x(k)h(n-k) = x(0)h(0) = 2 \quad y(1) = \sum_{k=0}^1 x(k)h(1-k) = x(0)h(1) + x(1)h(0) = 4 + 0 = 4$$

Similarly, we can obtain

$$y(2) = 1, y(3) = 3, y(4) = 0, y(5) = 0, y(6) = 0 \quad y(n) = 0 \text{ for } n \geq 7$$

Verification : Computationally

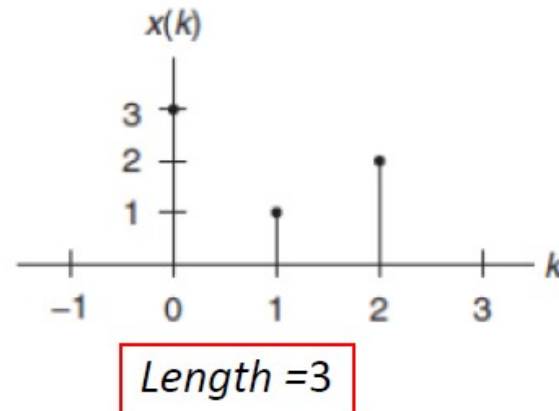
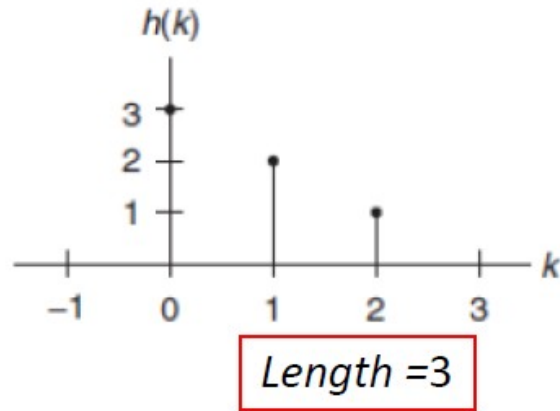


What will be the response $y[n]$ for input ?

Convolution 2

Example:

$$x[n] = \begin{cases} 3 & n = 0 \\ 2 & n = 1 \\ 1 & n = 2 \\ 0 & \text{elsewhere} \end{cases}$$



$$h[n] = \begin{cases} 3 & n = 0 \\ 1 & n = 1 \\ 2 & n = 2 \\ 0 & \text{elsewhere} \end{cases}$$

Solution:

Convolution sum using the table method.

$k:$	-2	-1	0	1	2	3	4	5	
$x(k):$			3	1	2				
$h(-k):$	1	2	3						$y(0) = 3 \times 3 = 9$
$h(1-k):$		1	2	3					$y(1) = 3 \times 2 + 1 \times 3 = 9$
$h(2-k):$			1	2	3				$y(2) = 3 \times 1 + 1 \times 2 + 2 \times 3 = 11$
$h(3-k):$				1	2	3			$y(3) = 1 \times 1 + 2 \times 2 = 5$
$h(4-k):$					1	2	3		$y(4) = 2 \times 1 = 2$
$h(5-k):$						1	2	3	$y(5) = 0$ (no overlap)

$$\text{Convolution Length} = N_1 + N_2 - 1 = 3 + 3 - 1 = 5$$

Sinusoids

- Why do we need to study Sinusoids? ✓ For eg. Modulation schemes

- Used in communication systems

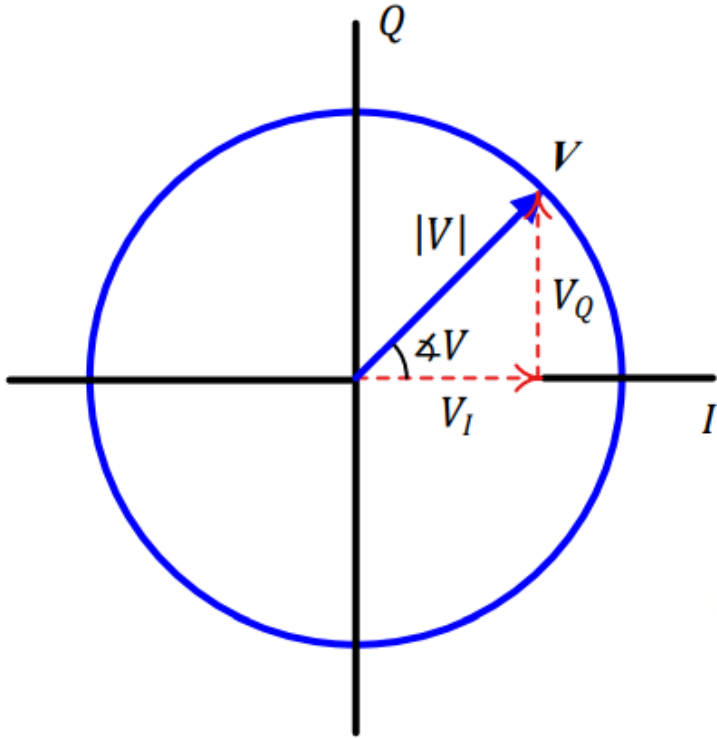
✓ Speech signal in low frequency region (80-400 Hz)

$$y_{\text{LFR}}[n] = \sum_{i=1}^M A_i[n] \cos(\omega_i[n]n + \phi_i[n])$$

- Any signal can be represented as the sum of sinusoids
- Many systems can be characterized by their response to sinusoids
- ✓ Eg. Filters, Equalizers

Complex number

V is a complex number in this IQ-plane



$I \rightarrow \text{Inphase}$

$Q \rightarrow \text{Quadrature}$

$$V_I = |V| \cos \angle V$$

$$V_Q = |V| \sin \angle V$$

$|V|$ and $\angle V$ are the magnitude and angle of V with respect to I -axis,

Magnitude and Phase

In polar representation of complex numbers, the magnitude of V in an IQ -plane

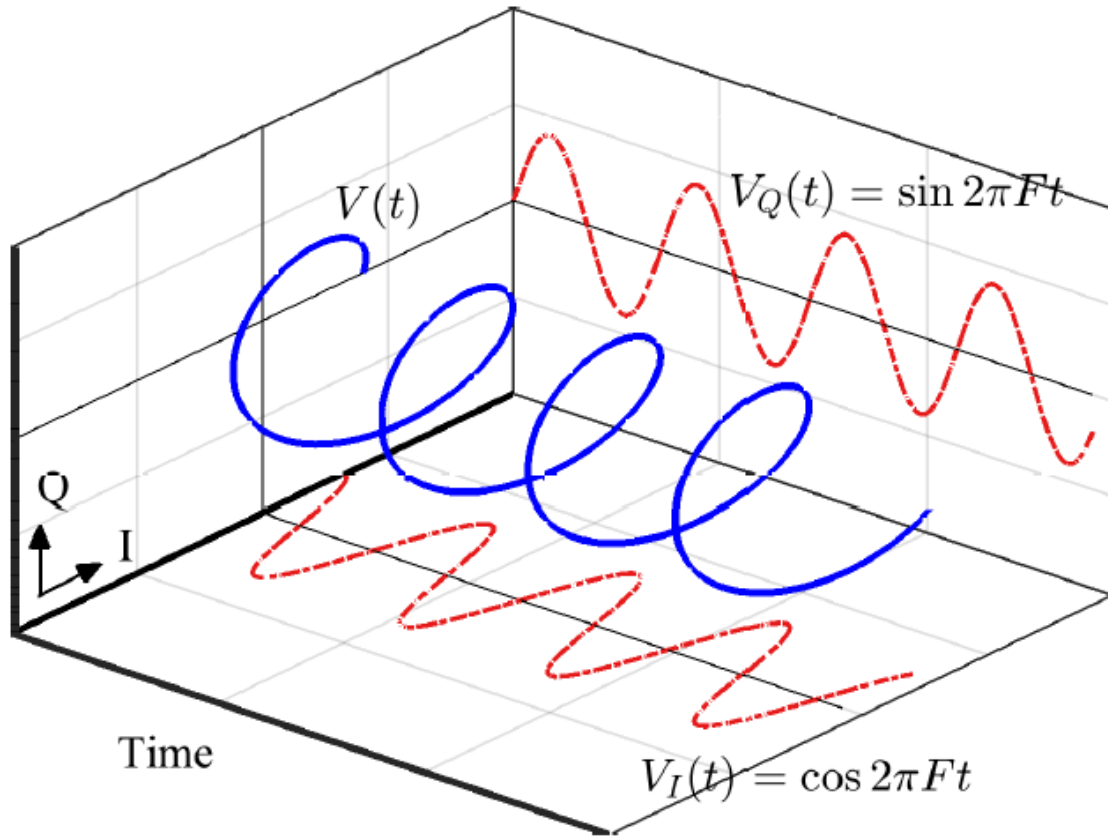
$$|V| = \sqrt{V_I^2 + V_Q^2}$$

the phase $\angle V$

$$\tan^{-1} V_Q / V_I.$$

Complex Sinusoid

V rotating anticlockwise in a circle at a constant rate with time



Instead of a complex number V , now $V(t)$ can be treated as a signal with time as independent variable and we call it a complex sinusoid.

Complex sinusoid is made up of 2 real sinusoids

Complex Sinusoid



Concept of Frequency

A constant rate implies that in any given duration Δt , the change in phase $\Delta\theta$ is a constant

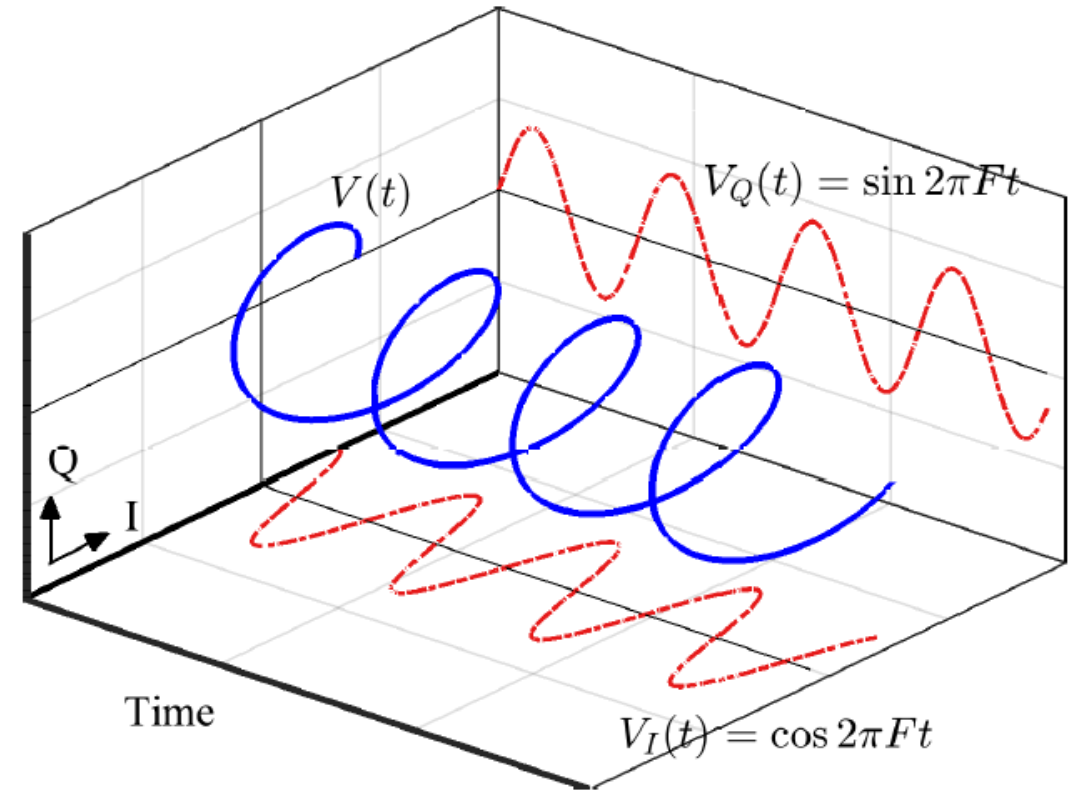


$$\text{angular velocity} = \frac{\Delta\theta}{\Delta t}$$

The frequency of this complex sinusoid

$$F = \frac{1}{2\pi} \cdot \frac{\Delta\theta}{\Delta t}$$

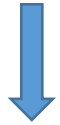
V rotating anticlockwise in a circle at a constant rate with time



Inphase and Quadrature Component

Observe the projection of $v(t)$ into 2D plane

Projection from a 3D to a 2-D plane formed
by time and I-axis

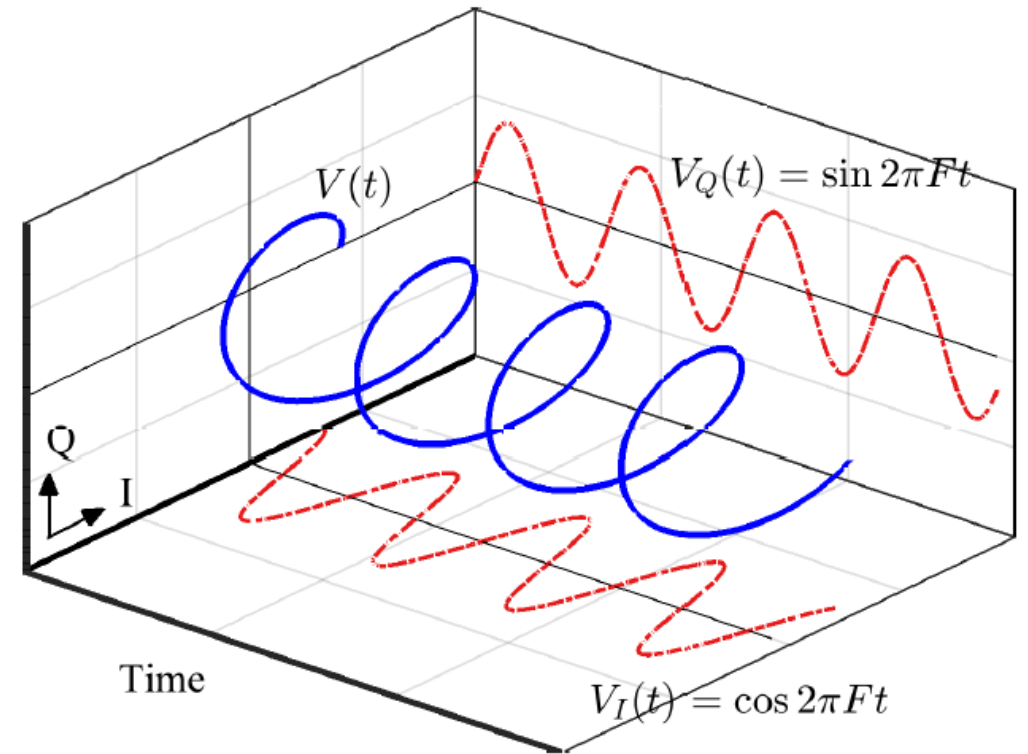


Inphase part

Projection from a 3D to a 2-D plane
formed by time and Q-axis



Quadrature Part



Complex sinusoid with frequency F is
composed of two real sinusoids

$$\begin{aligned} V_I(t) &= \cos 2\pi Ft \\ V_Q(t) &= \sin 2\pi Ft \end{aligned} \quad F = \frac{1}{T}$$

F is the continuous frequency with units of cycles/second or Hertz (Hz).

Range of continuous frequency values

$$-\infty < F < \infty$$

When one tunes to an FM radio station at 88 MHz, one is actually listening to a station broadcasting a radio signal at a carrier frequency of 88×10^6 Hz, which means that the transmitter is oscillating at a frequency of 88,000,000 cycles/second. Accordingly, that wave is completing one period in $T = 1/F = 11.4$ ns.

In summary

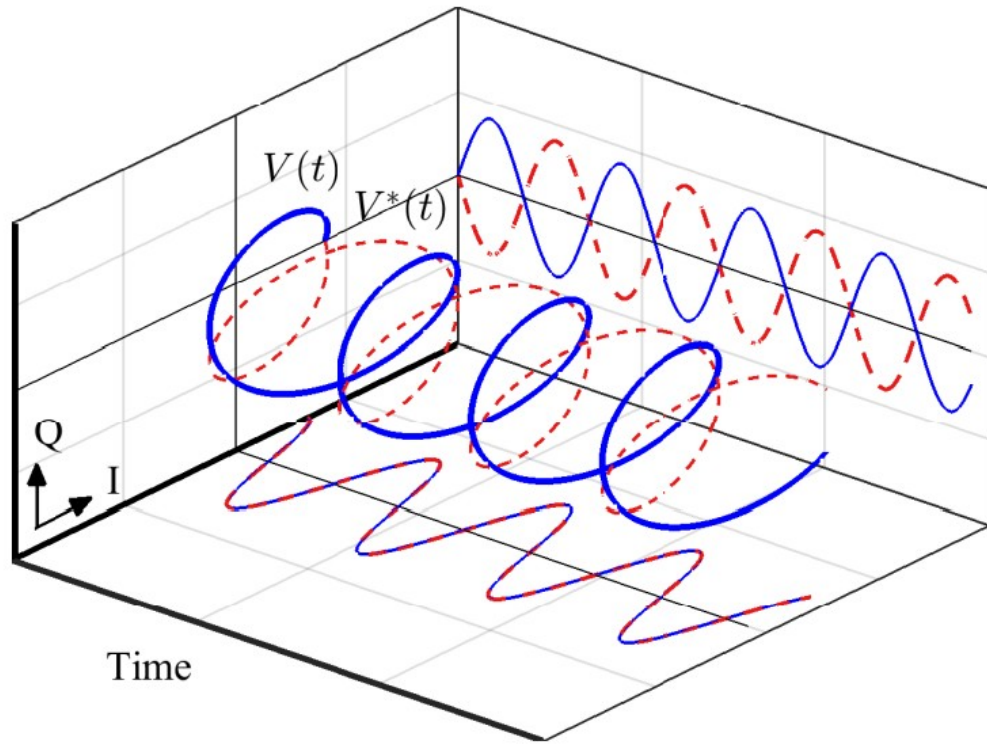
- Frequency is the rate of rotation of a complex sinusoid in time IQ -plane
- This rate of rotation can be changed from very slow (close to 0) to as fast as possible (close to $+\infty$)
- A clockwise direction of rotation implies a negative frequency, while anti-clockwise rotation implies a positive frequency

Anti-clockwise rotation (+ve F) = $I \cdot \cos\theta - Q \cdot \sin\theta$; $Q \cdot \cos\theta + I \cdot \sin\theta$

Clockwise rotation (-ve F) = $I \cdot \cos\theta + Q \cdot \sin\theta$; $Q \cdot \cos\theta - I \cdot \sin\theta$

Real Sinusoid

- How to produce only one real sinusoid in complex IQ-plane?
- Rotating two complex sinusoids in opposite directions to each other



Two complex sinusoids $V(t)$ and $V^*(t)$ rotating in time IQ-plane

Observations

I parts : $\cos 2\pi Ft$

Q parts : $\sin 2\pi Ft$ and $-\sin 2\pi Ft$

- $V(t)$ with a frequency F shown as solid blue line while $V^*(t)$ with frequency $-F$ shown as dashed red line
- The I parts exactly fall on top of each other and hence are the same $\cos 2\pi Ft$
- The Q parts carry exactly the same amplitude with opposite signs to each other and hence are $\sin 2\pi Ft$ and $-\sin 2\pi Ft$, respectively.

$$|V \cdot V^*| = |V|^2 = V_I^2 + V_Q^2$$
$$\angle(V \cdot V^*) = 0$$

$I \rightarrow$
 $Q \uparrow$

$$\{V + V^*\} = V_I + V_I$$

$$\{V + V^*\} = V_Q - V_Q$$

$$V_I = \frac{1}{2} \{V + V^*\}$$

$$0 = \frac{1}{2} \{V + V^*\}$$

Addition of 2 complex sinusoids

$I \rightarrow$
 $Q \uparrow$

$$\cos 2\pi Ft = \frac{1}{2} \{V(t) + V^*(t)\}$$

$$0 = \frac{1}{2} \{V(t) + V^*(t)\}$$

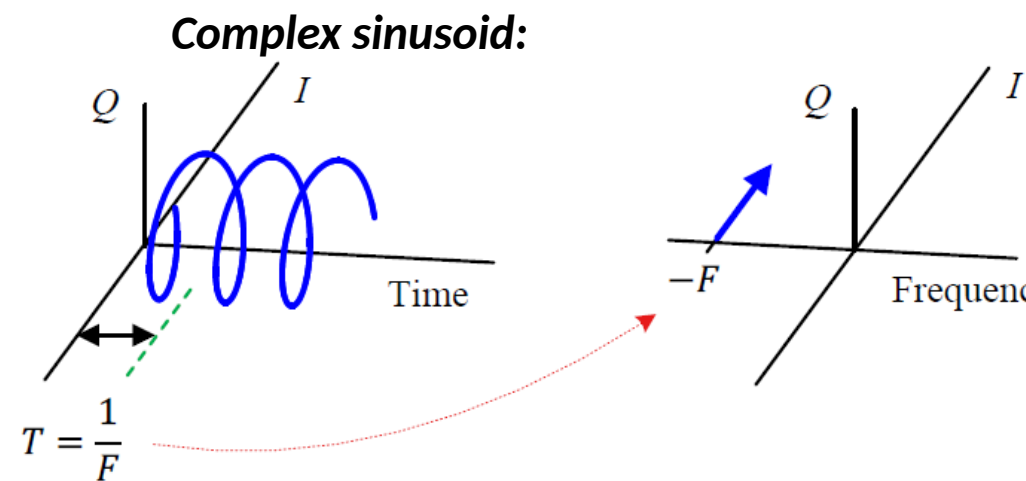
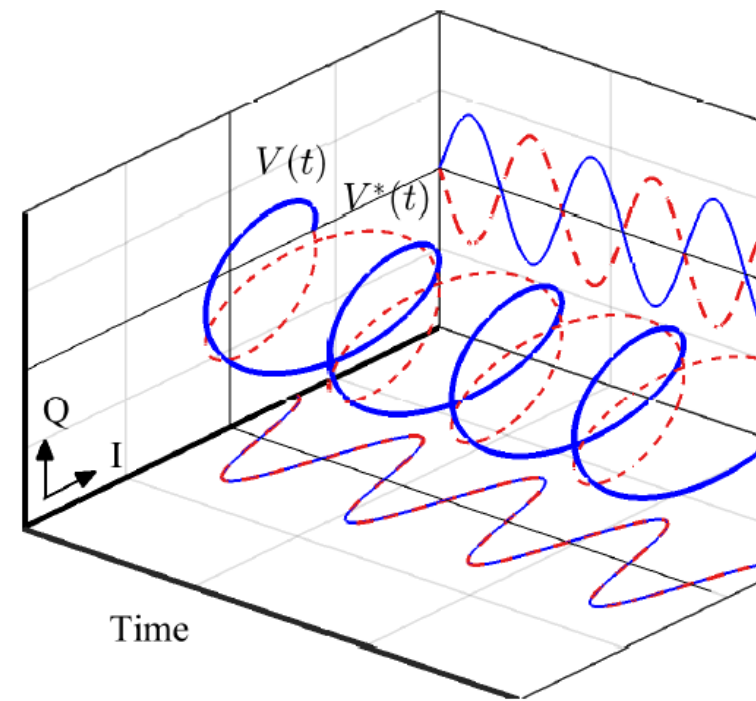
Subtraction of 2 complex sinusoids

$I \rightarrow$
 $Q \uparrow$

$$0 = \frac{1}{2} \{V(t) - V^*(t)\}$$

$$\sin 2\pi Ft = \frac{1}{2} \{V(t) - V^*(t)\}$$

Frequency Domain representation

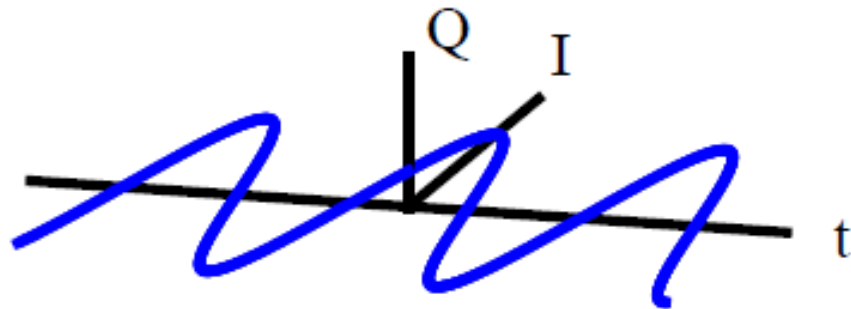


Representation of a complex sinusoid in frequency domain. A value of F implies clockwise rotation

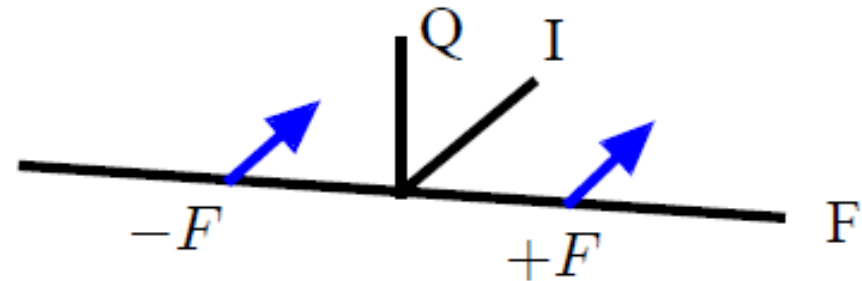
Real cosine

$$\begin{array}{lcl} I & \rightarrow & \cos 2\pi Ft = \frac{1}{2} \{V(t) + V^*(t)\} \\ Q & \uparrow & 0 = \frac{1}{2} \{V(t) - V^*(t)\} \end{array}$$

Cosine in Time IQ-Plane



Cosine in Frequency IQ-Plane



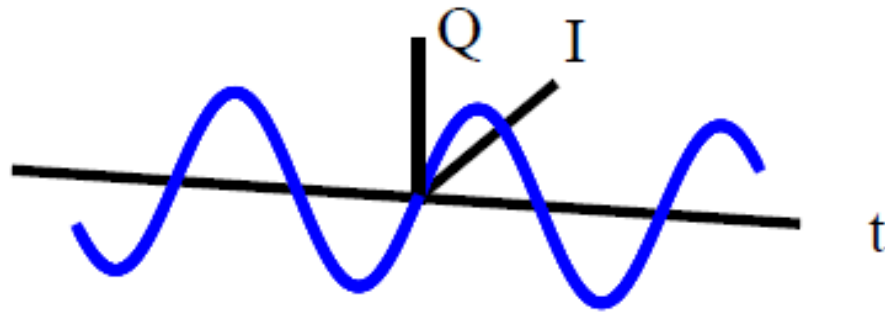
A frequency domain representation of a cosine wave should be two impulses, one at frequency $+F$ due to $V(t)$ and the other at frequency $-F$ contributed by $V^*(t)$.

Real sine

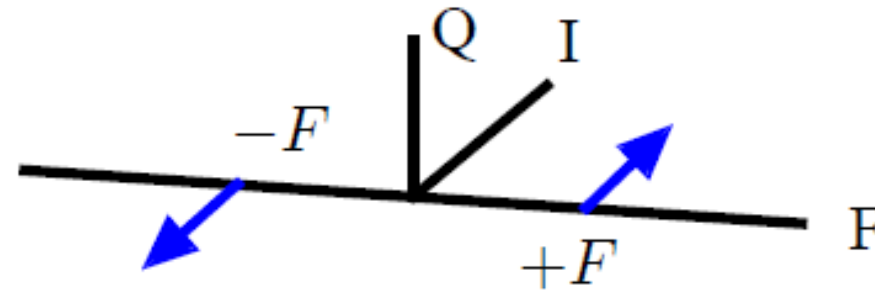
$$I \rightarrow 0 = \frac{1}{2} \{V(t) - V^*(t)\}$$

$$Q \uparrow \sin 2\pi Ft = \frac{1}{2} \{V(t) - V^*(t)\}$$

Sine on Q-axis in Time IQ-Plane

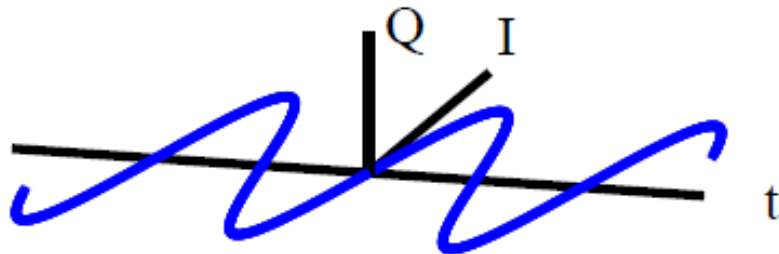


Sine in Frequency IQ-Plane

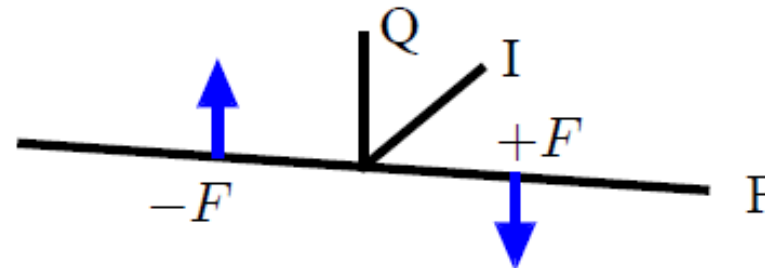


what is the frequency domain representation of a sine wave on time and I-axis?

Sine on I-axis in Time IQ-Plane



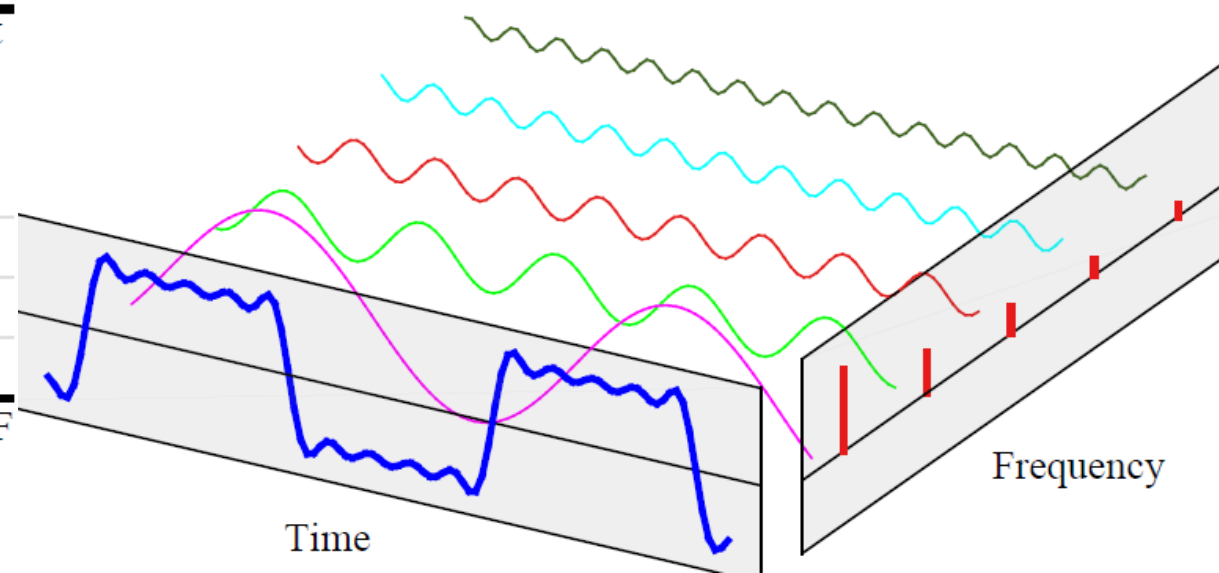
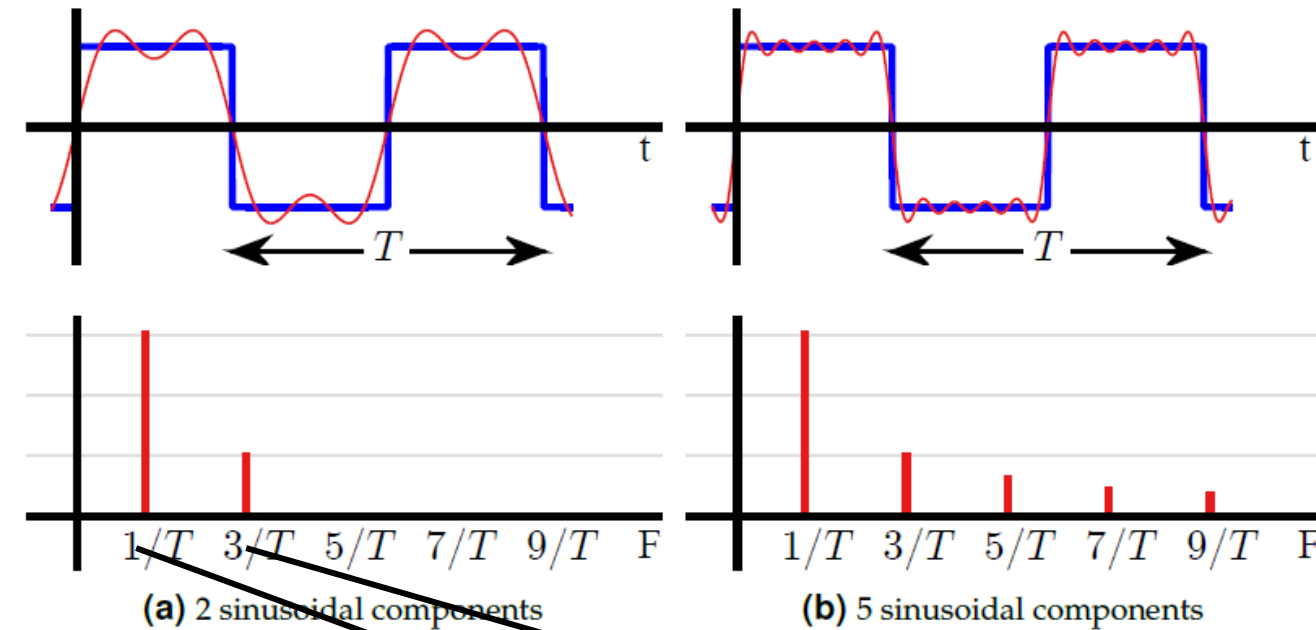
Sine in Frequency IQ-Plane



By rotating the time waveform clockwise by 90° , the sine wave can be put on time I-axis

Complex sinusoids form a basic unit of signal construction of any shape

5 sinusoidal components adding together to generate the approximate square wave in the time domain.

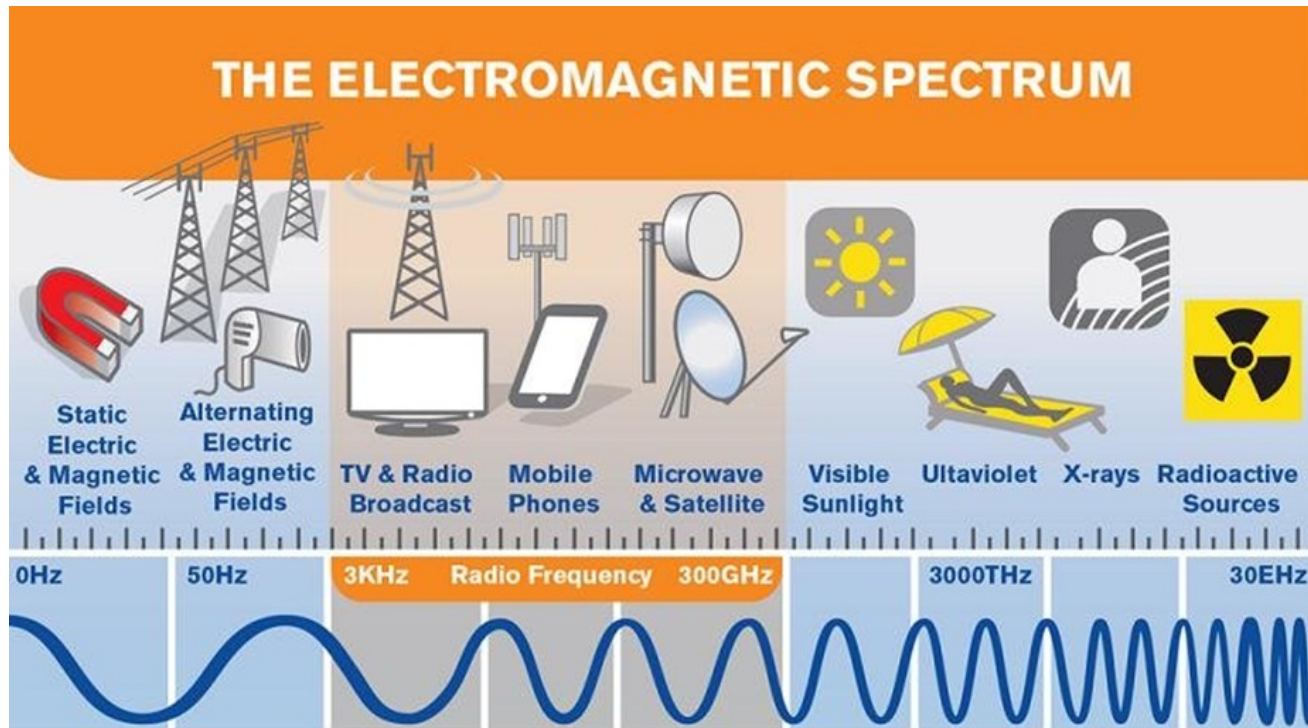


$$s(t) = \sin(2\pi Ft) + \frac{1}{3} \sin(2\pi 3Ft) = \sin\left(2\pi \frac{1}{T}t\right) + \frac{1}{3} \sin\left(2\pi \frac{3}{T}t\right)$$
$$s(t) = \sin(2\pi Ft) + \frac{1}{3} \sin(2\pi 3Ft) + \frac{1}{5} \sin(2\pi 5Ft) + \frac{1}{7} \sin(2\pi 7Ft) + \frac{1}{9} \sin(2\pi 9Ft)$$

Spectrum and Bandwidth

Spectrum contains the frequencies of complex sinusoids that sum up in time domain to form that signal

Bandwidth of a signal is the range of frequencies of complex sinusoids present in that signal



$$BW = F_H - F_L$$

Band-limited signal

$$S(F) = \begin{cases} 0, & 0 \leq |F| \leq F_L \\ x, & |F_L| \leq |F| \leq |F_H| \\ 0, & F_H \leq |F| \leq \infty \end{cases}$$

Signals with fast irregular variations



wide bandwidth

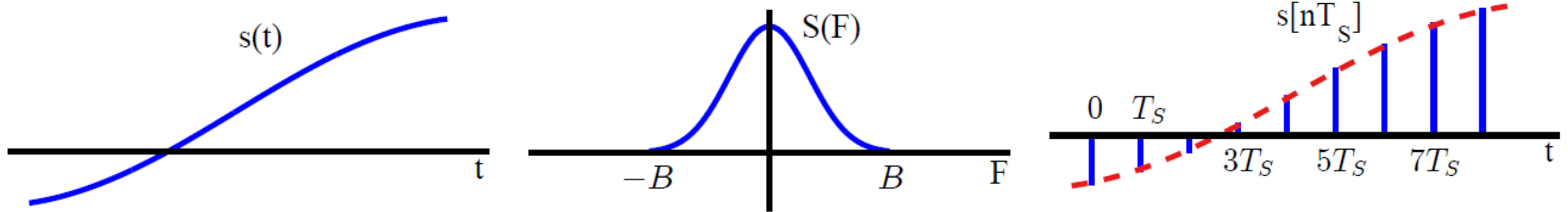
Frequency Support

- A signal cannot be limited in both time and frequency domains.
- A band-limited signal is then referred to as a signal with most of its energy concentrated within a certain amount of frequency range.

For example, Federal Communications Commission (FCC) defines bandwidth as the band in which 99% of the signal power is contained.

Sampling a Continuous-Time Signal

Sampling is the conversion of a continuous-time signal into a discrete-time signal obtained by taking the samples of the continuous-time signal at discrete-time instants



A continuous-time signal in time and frequency domains

Sampling a continuous-time signal

- Consider a band-limited continuous-time signal $s(t)$ and its frequency domain representation $S(F)$ with bandwidth B shown in above Figure
- A discrete-time signal $s[n]$ can be obtained by taking samples of $s(t)$ at equal intervals of T_s seconds.

$$s[n] = s(t) \Big|_{t=nT_s}$$

T_s = Sampling period or sampling interval

While F_s = Sampling frequency = $1/T_s$

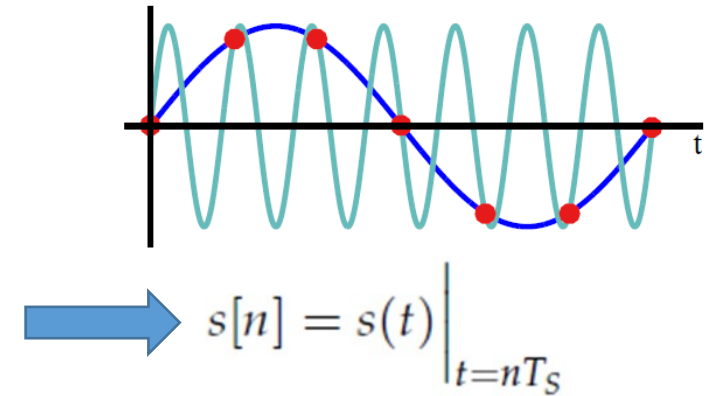
- Consider a continuous-time signal

$$s(t) = A \cos(2\pi F t + \theta)$$

- Sampling of the same signal at a rate $F_s = 1/T_s$

$$= A \cos(2\pi F n T_s + \theta) = A \cos(2\pi F n / F_s + \theta)$$

$$= A \cos(2\pi F / F_s n + \theta)$$



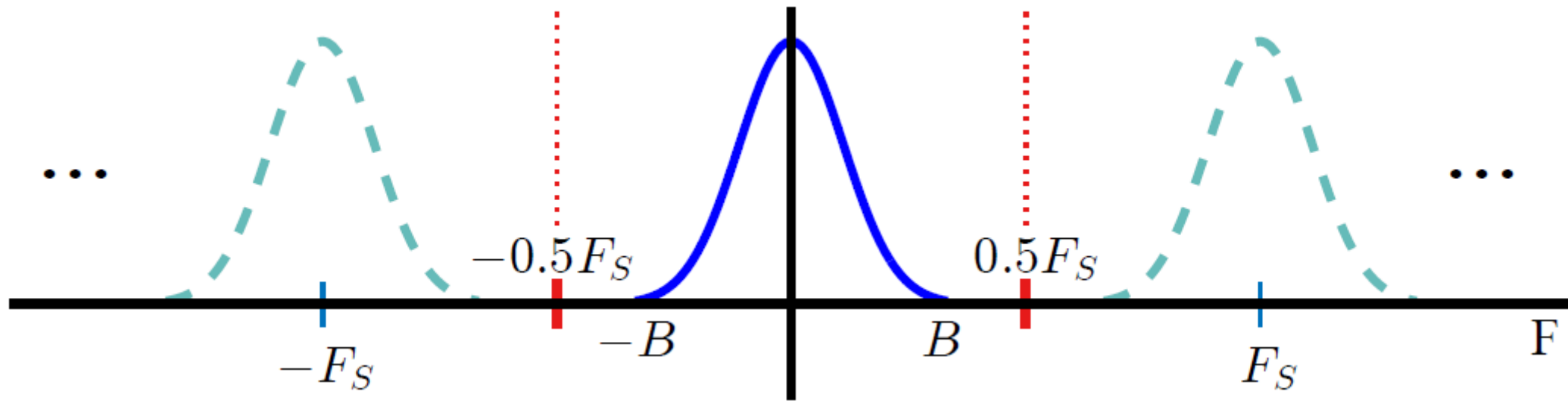
F/F_s above is the frequency of a discrete-time sinusoid $s[n]$

- Let us sample at the same rate F_s another sinusoid with continuous frequency $F + kF_s$, where $k = \pm 1, \pm 2, \dots$

$$= A \cos \left(2\pi \frac{F + kF_s}{F_s} n + \theta \right) = A \cos \left(2\pi \frac{F}{F_s} n + 2\pi k n + \theta \right)$$
- $$s[n] = A \cos \{ 2\pi (F + kF_s) n T_s + \theta \}$$

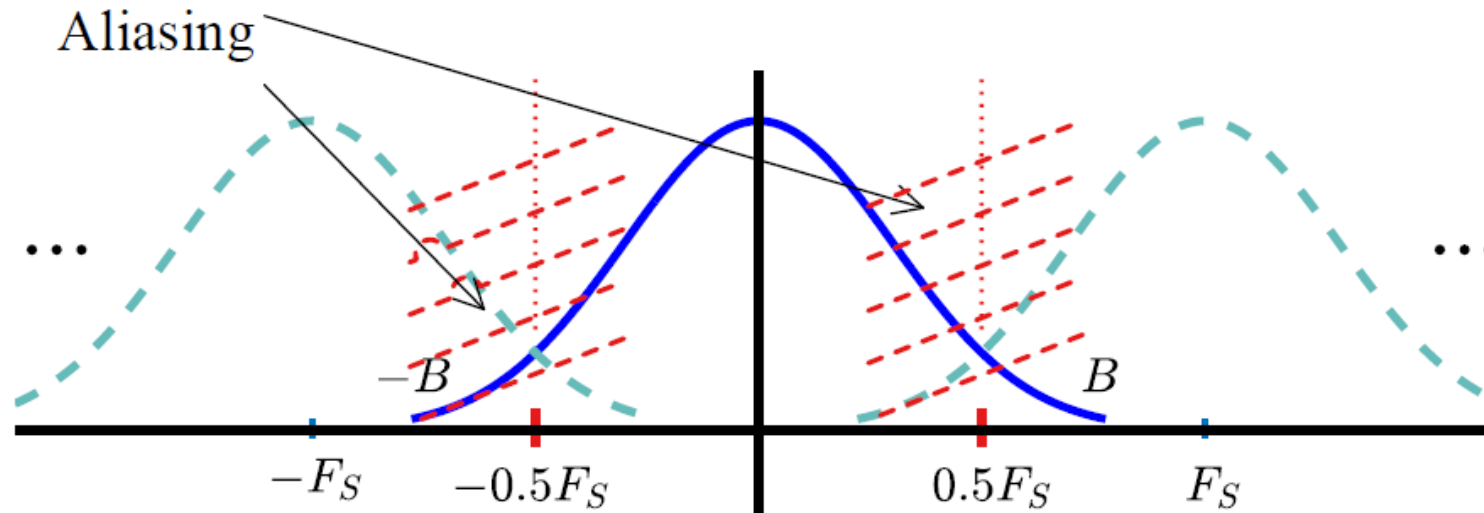
$$= A \cos \left(2\pi \frac{F}{F_s} n + \theta \right)$$

- This expression is the same as the discrete-time sinusoid



Spectrum after sampling. Dotted red lines indicate the baseband or Nyquist band

If a continuous-time signal has a bandwidth B greater than $0.5F_s$, it will appear as an alias



Digital Filters

- In signal processing, the function of a filter is to remove unwanted parts of the signal, such as random noise, or to extract useful parts of the signal, such as the components lying within a certain frequency range.
- The primary functions of a filter are:
 - a) To confine a signal into a prescribed frequency band or channel for example as in an anti-aliasing filter or a radio/TV channel selector,
 - b) To decompose a signal into two or more sub-band signals for sub-band signal processing, for example in music coding,
 - c) To modify the frequency spectrum of a signal, for example in audio graphic equalizers, and
 - d) To model the input-output relation of a system such as a mobile communication channel, voice production, musical instruments, telephone line echo, and room acoustics.

Nyquist Rate or Sampling theorem

- The highest frequency (or bandwidth) B of a continuous-time signal $s(t)$ should be less than $0.5F_s$ to prevent any distortion in the sampled signal $s[n]$

$$F_s > 2B$$

Passband and Stopband

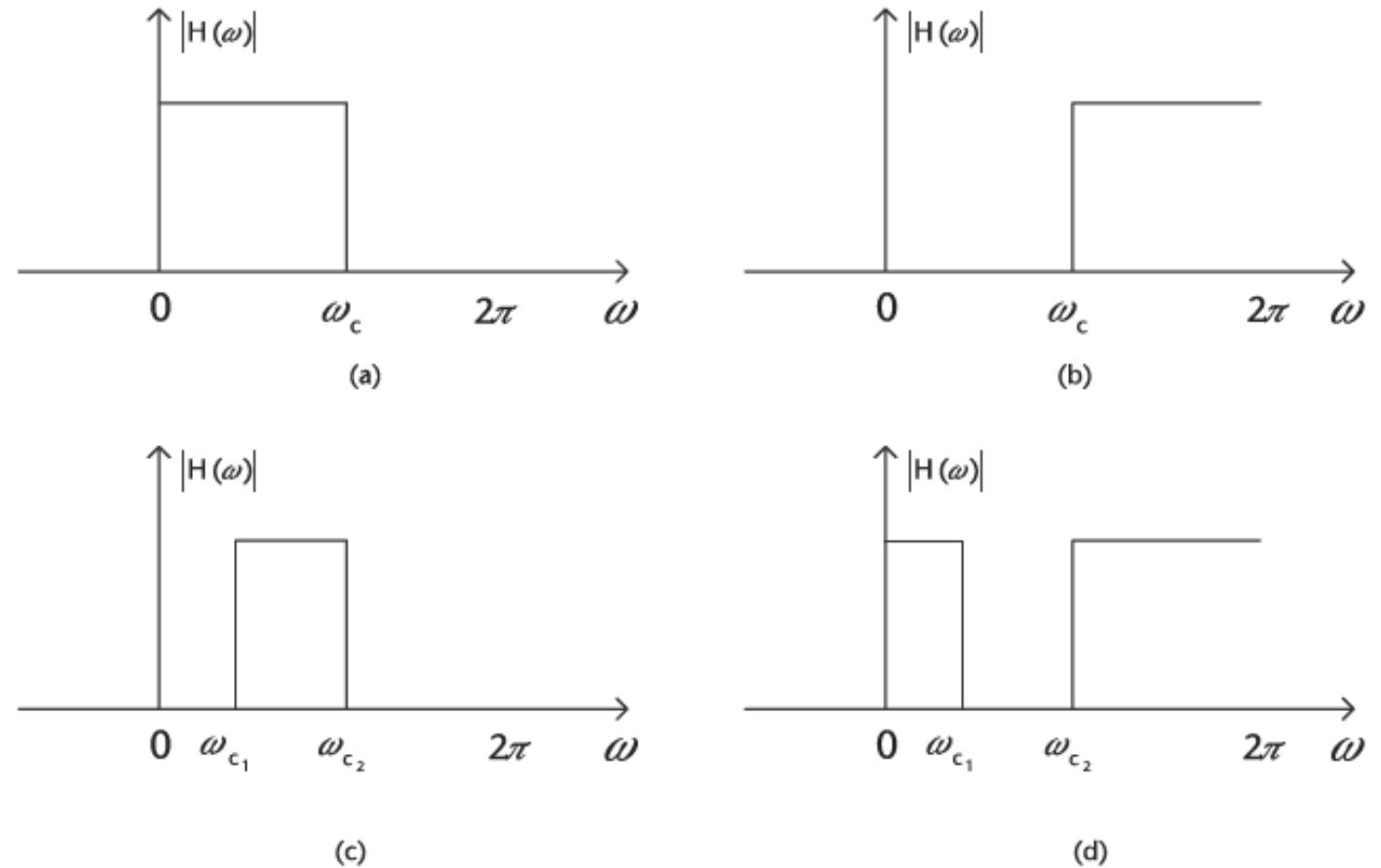


Figure 2.40 Ideal magnitude response characteristics of four types of filters on the frequency range $[0, 2\pi]$. (a) Lowpass filter, (b) highpass filter, (c) bandpass filter, where $(\omega_{c_1}, \omega_{c_2})$ is passband, and (d) bandstop filter, where $(\omega_{c_1}, \omega_{c_2})$ is stopband.