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# Speech Parameter Generation Algorithms for HMM

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# SPEECH PARAMETER GENERATION BASED ON MAXIMUM LIKELIHOOD CRITERION

• For a given continuous mixture HMM  $\lambda$ , we derive an algorithm for determining speech parameter vector sequence

$$O = [o_1, o_2, ..., o_T]'$$

Such that

$$P(O \mid \lambda) = \sum_{all \ Q} P(O, Q \mid \lambda)$$

is maximized where

$$Q = \{(q_1, i_1), (q_2, i_2), ..., (q_T, i_T)\}$$

# SPEECH PARAMETER GENERATION BASED ON MAXIMUM LIKELIHOOD CRITERION

• We assume that the speech parameter vector  $o_t$  consists of the static feature vector  $c_t$  and dynamic feature vectors  $\Delta c_t, \Delta^2 c_t$ 

$$c_t = [c_t(1), c_t(2), ..., c_t(M)]'$$

$$\Delta c_t = \sum_{\tau = -L_-^{(1)}}^{L_+^{(1)}} w^{(1)}(\tau) c_{t+\tau}$$
 (1)

$$\Delta^{2} c_{t} = \sum_{\tau = -L_{-}^{(2)}}^{L_{+}^{(2)}} w^{(2)}(\tau) c_{t+\tau}$$
 (2)

# Case 1: Maximizing $P(O|Q, \lambda)$ with respect to O

• The logarithm of  $P(O|Q, \lambda)$  can be written as

$$\log P(O | Q, \lambda) = -\frac{1}{2}O^{T}U^{-1}O + O^{T}U^{-1}M + K$$

Where

$$U^{-1} = diag[U_{q1,i1}^{-1}, U_{q2,i2}^{-1}, ..., U_{qT,iT}^{-1}]$$

$$M = [\mu_{q1,i1}^{T}, \mu_{q2,i2}^{T}, ..., \mu_{qT,iT}^{T}]$$

 P(O|Q, λ) is maximized when O = M without the conditions (1), (2)

$$O = M \Rightarrow Max \ P(O \mid Q, \lambda)$$

 Conditions (1),(2) can be arranged in a matrix form

$$O = WC \quad (3)$$

• Where  $C = [c_1, c_2, ..., c_T]^T$ 

$$W = [w_1, w_2, ..., w_T]^T$$
  $w_t = [w_t^{(1)}, w_t^{(2)}, w_t^{(3)}]$ 

$$W_t^{(n)} = [0_{M \times M}, ..., 0_{M \times M}, W^{(n)}(-L_-^{(n)})I_{M \times M},$$

..., 
$$w^{(n)}(0)I_{M\times M},...,w^{(n)}(L_{+}^{(n)})I_{M\times M},...,$$

$$0_{M\times M},...,0_{M\times M}$$
]<sup>T</sup>, n=0,1,2

• Under the condition (3), maximizing  $P(O|Q, \lambda)$  with respect to O is equivalent to that with respect to C

$$\frac{\partial \log P(WC \mid Q, \lambda)}{\partial C} = 0$$

$$\frac{\partial \left[ -\frac{1}{2} [WC]^T U^{-1} [WC] + [WC]^T U^{-1} M + K \right]}{\partial C} = 0$$

$$W^{T}U^{-1}WC = W^{T}U^{-1}M^{T}$$
 (4)

- For direct solution of (4), we need O(T<sup>3</sup>M<sup>3</sup>) operations
- To reduce computations Cholesky decomposition or the QR decomposition can be used
- A recursive algorithm can also be used for the computation of (4)

### Recursive algorithm

$$b_j(\mathbf{o}_t) = \mathcal{N}(\mathbf{c}_t; \ \boldsymbol{\mu}_j, \ \mathbf{U}_j) \cdot \mathcal{N}(\Delta \mathbf{c}_t; \ \Delta \boldsymbol{\mu}_j, \ \Delta \mathbf{U}_j)$$

• maximizing  $P(O|Q, \lambda)$ Rc = r

$$\mathbf{R} = \mathbf{U}^{-1} + \mathbf{W}' \Delta \mathbf{U}^{-1} \mathbf{W}$$
$$\mathbf{r} = \mathbf{U}^{-1} \boldsymbol{\mu} + \mathbf{W}' \Delta \mathbf{U}^{-1} \Delta \boldsymbol{\mu}$$

Replace the above equation by

### Recursive algorithm

To replace 
$$\{\mu_{q_i}, \mathbf{U}_{q_i}\}$$
 with  $\{\mu_{\hat{q}_i}, \mathbf{U}_{\hat{q}_i}\}$   

$$\mathbf{D} = \mathbf{U}_{\hat{q}_i}^{-1} - \mathbf{U}_{q_i}^{-1}$$

$$\mathbf{d} = \mathbf{U}_{\hat{q}_i}^{-1} \mu_{\hat{q}_i} - \mathbf{U}_{q_i}^{-1} \mu_{q_i}$$

$$\mathbf{w} = [0, \dots, 0, 1, 0, \dots, 0]'.$$

Table 1: Summary of the proposed algorithm.

- Set **D**, **d**, **w** by (23)-(25) to replace  $\{\Delta \mu_{q_i}, \Delta \mathbf{U}_{q_i}\}$  with  $\{\Delta \mu_{\hat{q}_i}, \Delta \mathbf{U}_{\hat{q}_i}\}$ .
- Set **D**, **d**, **w** by (26)-(28) to replace  $\{\mu_{q_t}, \mathbf{U}_{q_t}\}$  with  $\{\mu_{\hat{q}_t}, \mathbf{U}_{\hat{q}_t}\}$ .

Substitue  $\hat{\mathbf{c}}$  and  $\hat{\mathbf{P}}$  obtained by the previous iteration to c and  $\mathbf{P}$ , respectively, and calculate

$$\pi = \mathbf{w}'\mathbf{P}$$

$$\kappa = \mathbf{D}^{-1} + \pi \mathbf{w}$$

$$\mathbf{k} = \mathbf{P}\mathbf{w}\kappa^{-1}$$

$$\hat{\mathbf{P}} = \mathbf{P} - \mathbf{k}\pi$$

$$\hat{\mathbf{c}} = \mathbf{c} + \mathbf{k} (\mathbf{D}^{-1}\mathbf{d} - \mathbf{w}'\mathbf{c})$$

### Recursive algorithm

- The overall procedure for parameter generation from HMMs is summarized as follows
  - Solve the set of equations Rc=r for an initial state sequence, and obtain c and P
  - Replace the state qt of a frame t with q't according to a certain strategy, and obtain c' and P' by using the algorithm
  - if the value of log P[q', O'] is not smaller than that of log P[q, O], discard the replacement
  - Repeat 2 and 3 until a certain condition is satisfied.

# Case 2: Maximizing P(O,Q|λ) with respect to O and Q

- This problem can be solved by evaluating  $\max_{C} P(O, Q \mid \lambda) = \max_{C} P(O \mid Q, \lambda) P(Q \mid \lambda) \quad \forall \ Q$
- However this is impractical
- To control temporal structure of speech parameter sequence appropriately, HMMs should incorporate state duration densities

$$P(O,Q \mid \lambda) = P(O,i \mid q,\lambda)P(q \mid \lambda)$$

$$\log P(q \mid \lambda) = \sum_{n=1}^{N} \log p_{qn}(d_{qn})$$

# Case 2: Maximizing P(O,Q|λ) with respect to O and Q

- If we determine the state sequence q only by  $P(q|\lambda)$  independently of O, maximizing  $P(O,Q|\lambda) = P(O,i|q,\lambda)P(q|\lambda)$  with respect to O and Q is equivalent to maximizing  $P(O,i|q,\lambda)$  with respect to O and I
- If we assume that state output probabilities are single-Gaussian, then the solution can be obtained as in case 1

# Case 3: Maximizing P(O|λ) with respect to O

 An auxiliary function of current parameter vector sequence O and new parameter vector sequence O' is defined by

$$Q(O,O') = \sum_{all\ Q} P(O,Q \mid \lambda) \log P(O',Q \mid \lambda)$$
 (5)

 It can be shown that by substituting O' which maximizes Q(O,O') for O, the likelihood increases unless O is a critical point of the likelihood

• (5) can be written as

$$Q(O,O') = P(O \mid \lambda) \left\{ -\frac{1}{2} O'^{T} \overline{U^{-1}} O' + O'^{T} \overline{U^{-1}} M + \overline{K} \right\}$$

Where

$$\overline{U}_{t}^{-1} = diag[\overline{U}_{1}^{-1}, \overline{U}_{2}^{-1}, ..., \overline{U}_{T}^{-1}]$$

$$\overline{U}_{t}^{-1} = \sum_{q,i} \gamma_{t}(q,i)U_{q,i}^{-1}$$

$$\overline{U}_{t}^{-1}M = [\overline{U}_{1}^{-1}\mu_{1}^{T}, \overline{U}_{2}^{-1}\mu_{2}^{T}, ..., \overline{U}_{T}^{-1}\mu_{T}^{T}]$$

$$\overline{U}_{t}^{-1}\mu_{t} = \sum_{q,i} \gamma_{t}(q,i)U_{q,i}^{-1}\mu_{q,i}$$

$$\gamma_{t}(q,i) = P(q_{t} = (q,i) \mid O, \lambda)$$

Under the condition

$$O' = WC'$$

 C' which maximizes Q(O,O') is given by the following set of equations

$$W^T \overline{U^{-1}} W C' = W^T \overline{U^{-1}} M \tag{6}$$

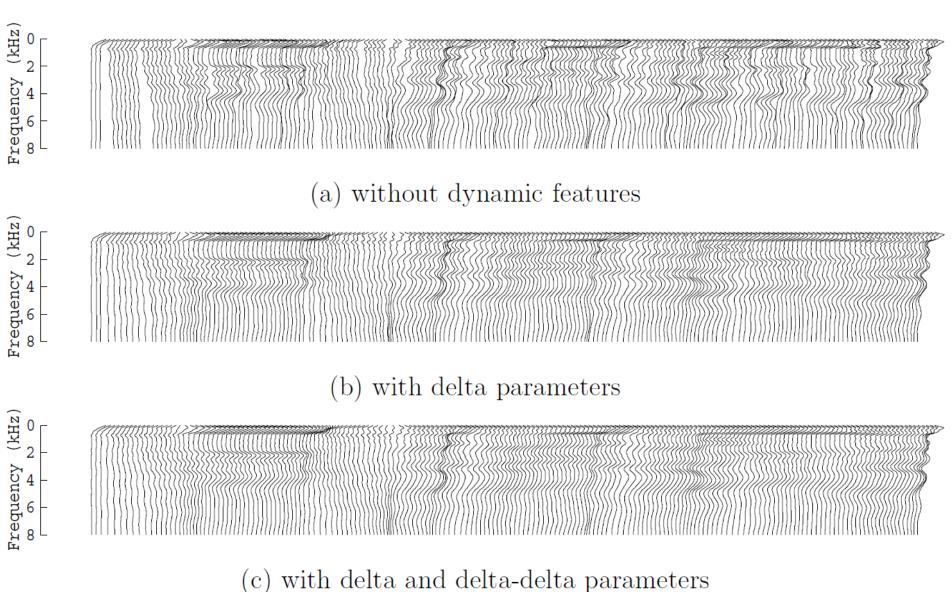
 The above set of equations has the same form as case 1

- The whole procedure is summarized as follows:
  - Choose an initial parameter vector sequence C
  - Calculate  $\Upsilon_t(q,i)$  with the forward-backward algorithm
  - Calculate  $U^{-1}$  and  $U^{-1}M$  and solve (6)
  - Set C = C' If a certain convergence condition is satisfied, stop; otherwise, go to Step 2

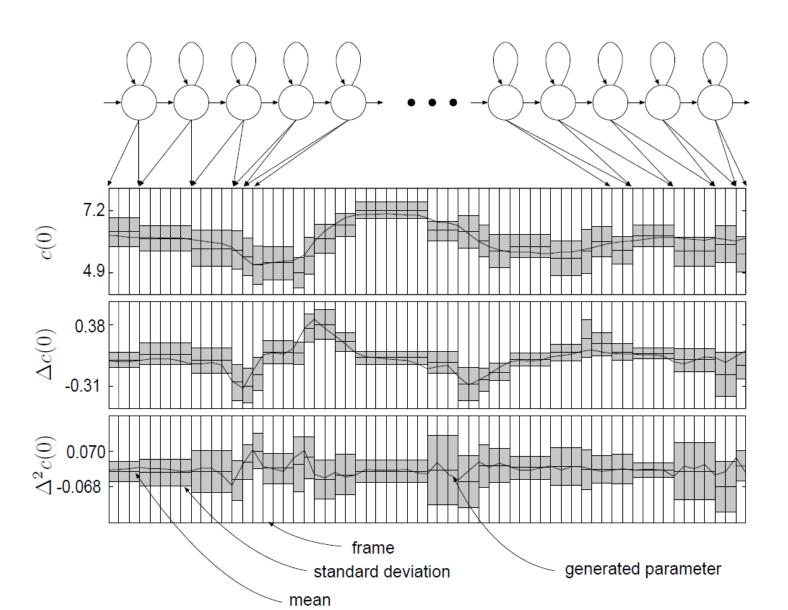
### Effect of dynamic feature

- The parameter generation in the case 1, in which parameter sequence O maximizes P(O|Q, λ) was considered
- State sequence Q was estimated from the result of Veterbi alignment of natural speech

## Effect of dynamic feature



### PDF and Generated Parameters



### Parameter generation using multimixture HMM

