



**BNP PARIBAS  
MUTUAL FUND**

## BNP PARIBAS FIXED TERM FUND - SERIES 22 B

(A 369 day close-ended income scheme with no assured returns)

**Offer of Units Rs. 10 per unit during the New Fund Offer Period**

**New Fund Offer Opens on : June 27, 2011**

**New Fund Offer Closes on : July 11, 2011**

**Name of Mutual Fund**

**BNP PARIBAS MUTUAL FUND**

**Name of Asset Management Company**

**BNP PARIBAS ASSET MANAGEMENT INDIA PRIVATE LIMITED**

**Name of Trustee Company**

**BNP PARIBAS TRUSTEE INDIA PRIVATE LIMITED**

**Addresses of the entities**

**5th Floor, French Bank Building, 62, Homji Street, Fort, Mumbai 400 001**

**Website of the entity**

**[www.bnpparibasmf.in](http://www.bnpparibasmf.in)**

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the Scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' Rights & Services, Risk Factors, Penalties & Pending Litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website [www.bnpparibasmf.in](http://www.bnpparibasmf.in).

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

As required, a copy of the Scheme Information Document (SID) has been submitted to National Stock Exchange of India Limited (hereinafter referred to as NSE). NSE has given vide its letter no. NSE/LIST/157717-C dated February 3, 2011 permission to the Mutual Fund to use the Exchange's name in the SID as the stock exchange on which the Mutual Fund's units are proposed to be listed subject to, the Mutual Fund fulfilling the various criteria for listing. The Exchange has scrutinized the SID for its limited internal purpose of deciding on the matter of granting the aforesaid permission to the Mutual Fund. It is to be distinctly understood that the aforesaid permission given by the NSE should not in any way be deemed or construed that the SID has been cleared or approved by NSE; nor does it in any manner warrant, certify or endorse the correctness or completeness of any of the contents of the SID; nor does it warrant that the Mutual Fund's units will be listed or will continue to be listed on the Exchange; nor does it take any responsibility for the financial or other soundness of the Mutual Fund, its sponsors, its management or any scheme of the Mutual Fund.

Every person who desires to apply for or otherwise acquire any units of the Mutual Fund may do so pursuant to independent inquiry, investigation and analysis and shall not have any claim against the Exchange whatsoever by reason of any loss which may be suffered by such person consequent to or in connection with such subscription/acquisition whether by reason, of anything stated or omitted to be stated herein or any other reason whatsoever.

This KIM is dated June 13, 2011.



<b>Investment Objective</b>	The investment objective of the scheme would be to achieve growth of capital through investments made in a basket of fixed income securities maturing on or before the maturity of the scheme.		
<b>Asset Allocation Pattern of the Scheme &amp; investment strategies</b>	Under normal circumstances, the asset allocation under the Scheme would be as follows:		
	<b>Types of Instruments</b>	<b>Indicative Allocation (% of total Assets)</b>	<b>Risk Profile</b>
		<b>Minimum</b>	<b>Maximum</b>
	Debt instruments*	0	100
	Money Market instruments	0	100
<p>* The cumulative gross exposure through debt and derivative positions will not exceed 100% of the net assets of the scheme. It may be noted that cash or cash equivalents with residual maturity of less than 91 days may be treated as not creating any exposure. An internal limit upto 30% has been set for investments in debt derivatives. The Scheme does not intend to invest in Foreign Securities. The Scheme will not engage in Securities Lending. The Scheme will not invest in equity and equity related securities and securitised debt including foreign securitised debt.</p> <p><b>Investment strategies:</b></p> <ul style="list-style-type: none"> <li>The AMC will analyse macroeconomic fundamentals such as growth, inflation, exchange rates, liquidity, etc. Based on these factors an interest rate view is generated. This view is used as the basis for determining the average portfolio maturity of the scheme.</li> <li>Portfolio adjustments are then processed to achieve maximum total return. Extension or retraction of maturity / duration begins only after a thorough evaluation of spreads between quality issuers, market sectors and yield curves. The emphasis is on instruments where the financial strength of the issuer / guarantor is well documented by major rating services. In addition, in-house credit analysis is relied on to arrive at relative positions within major rating categories.</li> <li>This being a fixed term fund, under normal circumstances, the need to rebalance would be minimal once the initial investments have been made.</li> </ul>			
<b>Risk Profile of the Scheme</b>	<p>Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment.</p> <p><b>Scheme Specific Risk Factors:</b></p> <p>Subject to the stated investment objective, the scheme proposes to invest in debt and debt related instruments. The Risk Factors for debt and debt related instruments are provided below:</p> <ol style="list-style-type: none"> <li>Listing of the units of the fund does not necessarily guarantee their liquidity and there can be no assurance that an active secondary market for the units will develop or be maintained. Consequently, the Fund may quote below its face value / NAV.</li> <li>There have been times in the past, when settlements have been unable to keep pace with the volume of securities transactions, making it difficult to conduct further transactions. Delays or other problems in settlement of transactions could result in temporary periods when the assets of the Scheme are not invested and no return is earned thereon.</li> <li>The liquidity and valuation of the Scheme's investments due to its holdings of unlisted securities may be affected if they have to be sold prior to their target date of divestment.</li> </ol> <p><b>4. Scheme investing in Bonds:</b></p> <p><b>Credit Risk</b></p> <p>Credit risk refers to the risk of default in timely payment of interest or principal and the market perception of credit worthiness of the issuer. Investments in bonds and debentures will usually be in instruments that have been assigned high investment grade ratings by a recognised rating agency. The Scheme, may, considering the overall risk of the portfolio, invest in lower rated/unrated securities offering higher yields. This may increase the absolute level of risk of the portfolio.</p> <p><b>Interest Rate Risk</b></p> <p>The price of a fixed rate instrument fluctuates inversely with the prevailing level of interest rates in the market. Reinvestment risk, a component of interest rate risk, is the risk that future proceeds will have to be reinvested at a lower potential interest rate. The Scheme could also be exposed in case of floating rate instruments to interest rate risk (i) to the extent of time gap in resetting of the benchmark rates, and (ii) to the extent the benchmark index fails to capture the interest rate movement. (Basis / Spread risk)</p> <p><b>Liquidity Risk</b></p> <p>Trading volumes, settlement periods and transfer procedures may affect the liquidity of the investments of the scheme. The scheme may be unable to implement purchase or sale decisions when the markets turn illiquid, missing some investment opportunities. The lack of liquidity could also lead to the risk that the sale price of a security could be substantially lower than the fair value of the security.</p>		



**5. Risks associated with investing in Derivatives:**

Derivative products are leveraged instruments and can provide disproportionate gains as well as disproportionate losses to the investor. There is the possibility that a loss may be sustained by the portfolio as a result of the failure of another party (usually referred to as the "counter party") to comply with the terms of the derivatives contract. Other risks in using derivatives include the risk of mispricing or improper valuation of derivatives and the inability of derivatives to correlate perfectly with underlying assets, rates and indices.

**Risk Mitigant:**

Fixed Term Funds are generally held-to-maturity portfolios. Hence, probability of liquidation or saleability of securities is less compared to an Open Ended Fund. To that extent, it reduces Liquidity Risk, Re-Investment Risk & Valuation related Risks of the portfolio.

**1. Credit Risk**

A credit evaluation of each investment opportunity will be undertaken. The AMC will utilise ratings of recognised rating agencies as an input in the decision making process. Investments in bonds and debentures will usually be in instruments that have been assigned high investment grade ratings by a recognised rating agency.

**2. Interest Rate Risk**

An interest rate scenario analysis would be performed on an on-going basis, considering the impact of the developments on the macro-economic front and the demand and supply of funds. Based on the above analysis, the AMC would manage the investments of the Scheme on a dynamic basis to exploit emerging opportunities in the investment universe and manage risks at all points in time. Since, the securities held will have maturity and duration lower than the scheme's maturity and duration, this risk is reduced.

**3. Liquidity Risk**

The AMC will provide liquidity by maintaining a low average duration of the portfolio and by investing in securities that would result in a staggered maturity profile of the portfolio. Liquidity will also be managed by investing in the Collateralised Borrowing & Lending Obligations (CBLO) / repo market whenever, CBLO money / repo yields are attractive relative to other money market yields. Investment in debt instruments would generally be in securities that have reasonable secondary market activity. The Scheme may invest in government securities, corporate bonds and money market instruments. Liquidity risks for government securities, money market instruments and short maturity corporate bonds may be generally low.

**4. Reinvestment Risk**

Reinvestment risks will be limited to the extent of coupons received on debt instruments, which will be a very small portion of the portfolio value.

**5. Derivatives Risk**

The fund has provision for using derivative instruments for portfolio balancing and hedging purposes. Interest Rate Swaps will be done with approved counter parties under pre approved ISDA agreements. Mark to Market of swaps, netting off of cash flow and default provision clauses will be provided as per international best practice on a reciprocal basis. Interest rate swaps and other derivative instruments will be used as per local (RBI and SEBI) regulatory guidelines. The fund will endeavor to maintain adequate controls to monitor the derivatives transactions entered into.

**6. Counterparty Risk**

The Mutual Fund has an exposure limit for its counterparties due to which this risk is reduced to the extent of exposure.

The AMC would incorporate adequate safeguards for controlling risks in the portfolio construction process. The risk control process involves reducing risks through portfolio diversification. The AMC believes that this diversification would help achieve the desired level of consistency in returns. The AMC may also implement certain internal control procedures / risk & exposure limits etc, which may be modified from time to time.

For investors, units will be listed in stock exchange. Investors can sell the units to redeem their investments.

**Options offered**

The Scheme contains following two options (including sub options):

- Growth Option.
- Dividend Option (Calendar Quarterly, Calendar Yearly and Dividend on Maturity Option).

The Dividend Option offers only Dividend Payout Facility. There shall be a single portfolio under the scheme.

**Applicable NAV (after the scheme opens for repurchase and sale)**

Units will be redeemed only at the time of maturity at the applicable NAV. However the Units can be traded on the National Stock Exchange of India Limited (NSE) post listing. Since the Scheme is close-ended, switch-out facility is not available subsequent to NFO period. This provision would be applicable to intra-scheme switches also.

**Minimum Application Amount / No. of Units**

Purchase	Additional Purchase	Repurchase
Minimum Rs. 5000	In multiples of Rs. 10/-	Not applicable



Despatch of Repurchase (Redemption) Request	Units will be redeemed only at the time of maturity at the applicable NAV. Hence, maturity proceeds will be dispatched within 10 working days of the maturity.		
Benchmark Index	Crisil Short Term Bond Fund Index		
Dividend Policy	<p>Dividends if declared will be paid to the Unit holders as appearing in the Beneficial Owners master with the Depository for Unit holders who have opted for dematerialised holding and Register of Unit holders with the Registrar &amp; Transfer Agent for those Unit holders who have opted for non-dematerialised holding as on the record date. To the extent the entire net income and realised gains are not distributed, the same will remain invested in the Option and will be reflected in the NAV. There is no assurance or guarantee to Unit holders as to the rate of dividend distribution nor will that dividend be paid regularly, since the dividend payment is subject to the availability of distributable surplus in the scheme. The AMC may announce a book closure period for the purpose of making the dividend payment.</p> <p>The NAV of the Unit holders in any of the Dividend Option will stand reduced by the amount of dividend declared. The Trustee reserves the right to declare dividend in addition to the frequency specified.</p>		
Name of the Fund Manager	Mr. Alok Singh		
Name of the Trustee Company	BNP Paribas Trustee India Private Limited		
Performance of the Scheme	This Scheme does not have any performance track record.		
Expenses of the Scheme	New Fund Offer Period	Continuous Offer	
(i) Load Structure	Entry load : NIL	Entry load	: N.A
	Exit load : NIL	Exit load	: N.A
	CDSC (if any) : NIL	CDSC (if any)	: N.A
(ii) Recurring Expenses	First Rs. 100 crores of the average weekly net assets : 2.25% Next Rs. 300 crores of the average weekly net assets : 2.00% Next Rs. 300 crores of the average weekly net assets : 1.75% Balance : 1.50%		Actual expenses for the previous financial year:  Not applicable since new scheme.
	For the actual current expenses being charged, the investor should refer to the SID or the website of the mutual fund <a href="http://www.bnpparibasmf.in">www.bnpparibasmf.in</a> .		
Waiver of Load for Direct Applications	Not Applicable since Entry and Exit Load is NIL.		
Tax treatment for the Investors (Unitholders)	Please refer to the details in the Statement of Additional Information and Scheme Information Document. Investors are urged to independently consult their tax advisor.		
Daily Net Asset Value (NAV) Publication	<p>The NAV will be declared on all business days and will be published in 2 newspapers. NAV can also be viewed on <a href="http://www.bnpparibasmf.in">www.bnpparibasmf.in</a> and <a href="http://www.amfiindia.com">www.amfiindia.com</a>. Since the Scheme is proposed to be listed on National Stock Exchange of India (NSE), the listed price would also be available on NSE.</p> <p><b>The Mutual Fund/AMC and its empanelled brokers/distributors has not given and shall not give any indicative portfolio and indicative yield in any communication or in any manner whatsoever. Investors are advised not to rely on any such communication regarding indicative yield/portfolio with regard to the Scheme.</b></p>		
For Investor Grievances please contact	Name and Address of Registrar: <b>Computer Age Management Services (P) Ltd.</b> 148 Old Mahabalipuram Road (OMR), Next to Hotel Fortune, Okkiyam, Thuraipakkam, Chennai - 600 096	Name, address, telephone number, fax number, e-mail i.d.: <b>Ms. Lata Krishnamohan</b> BNP Paribas Asset Management India Private Limited 5th Floor, French Bank Building, 62, Homji Street, Fort, Mumbai 400 001 Phone: 66560000 Fax: 66560040 E-mail: <a href="mailto:customercare@bnpparibasmf.in">customercare@bnpparibasmf.in</a>	
Unitholders' Information	<p>An Account Statement will be sent by ordinary post / courier / email not later than 5 Business Days from the close of the New Fund Offer Period. The Account Statements shall be non-transferable.</p> <p>For more details, please refer to the SAI and SID.</p> <p>The mutual fund shall publish a complete statement of the Scheme portfolio and the unaudited financial results, within one month from the close of each half year (i.e. 31st March &amp; 30th September), by way of an advertisement at least, in one National English daily and one regional newspaper in the language of the region where the head office of the mutual fund is located.</p> <p>The mutual fund may opt to send the portfolio to all unit holders in lieu of the advertisement (if applicable).</p>		
Listing	<p>The Fund intends to list BNP Paribas Fixed Term Fund - Series 22 B on National Stock Exchange of India Ltd.</p> <p>The units will be listed and available for trading through the Stock Exchange(s) within five business days of allotment.</p>		



<b>Delisting of units</b>	The units of a Mutual Fund scheme may be delisted from a stock exchange in accordance with the guidelines as may be specified by the SEBI from time to time.
<b>Dematerialisation</b>	<p>The Unit holders are given an Option to hold the units by way of an Account Statement or in Dematerialized ('Demat') form.</p> <p>Unit holders opting to hold the units in demat form must provide their Demat Account details in the specified section of the application form.</p> <p>The Unit holder intending to hold the units in Demat form are required to have a beneficiary account with the Depository Participant (DP) (registered with NSDL / CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the application the DP's name, DP ID Number and the beneficiary account number of the applicant with the DP. The AMC intends to register with NSDL &amp; CDSL.</p> <p>In case Unit holders do not provide their demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to demat form.</p>
<b>Conversion of Mutual Fund units represented by Statement of Accounts (SoA) into dematerialised form</b>	In case, a unit holder intends to convert units from physical form represented by SoA to demat form, the AMC shall issue units in dematerialized form to a unit holder within two working days of the receipt of such request. (Please refer to SID for the process for such conversion by unit holders having beneficiary account with DP Registered with NSDL / CDSL.)
<b>Application Supported by Blocked Amount or ASBA</b>	<p>ASBA is an application containing an authorization to a Self Certified Syndicate Bank (SCSB) to block the application money in the bank account maintained with the SCSB, for subscribing to an issue. Investors wish to apply through ASBA facility may approach Self Certified Syndicate Banks (SCSBs) authorized by SEBI for this purpose. Please visit the website <a href="http://www.sebi.gov.in">www.sebi.gov.in</a> for further details on ASBA. Presently, ASBA facility is available for investors holding demat account.</p> <p>ASBA Applicants shall submit an ASBA Application Form to the SCSB authorizing blocking of funds that are available in the bank account specified in the ASBA Application Form only. The acknowledgement for receiving the application by the designated Branches of the SCSBs does not guarantee that the Mutual Fund units shall be allotted either by the SCSB or the Mutual Fund. The Application shall be further processed by the Registrar &amp; Transfer agent appointed by the Mutual Fund and units shall be allotted after deducting the blocked amount, only if the application is complete in all respect to the Mutual Fund/Registrar &amp; Transfer Agent. The ASBA Applicant's shall specify the bank account number in the ASBA Application Form and the SCSB shall block an amount equivalent to the Application Amount in the bank account specified in the ASBA Application Form. The SCSB shall keep the Application Amount in the relevant bank account blocked until withdrawal/ rejection of the ASBA Application or receipt of instructions from the Registrar to unblock the Application Amount. In the event of withdrawal or rejection of the ASBA Application Form or for unsuccessful ASBA Application Forms, the Registrar shall give instructions to the SCSB to unblock the application money in the relevant bank account. The Application Amount shall remain blocked in the ASBA Account until scrutiny of the documents by the registrar of the Mutual Fund and consequent transfer of the Application Amount to the Account of the Mutual Fund, or until withdrawal/ failure of the NFO or until rejection of the ASBA application, as the case may be. The SCSB shall submit the ASBA form to the RTA / AMC for records.</p> <p>Applicants applying through the ASBA process should carefully read the provisions applicable to such applications before making their application through the ASBA process. Notwithstanding any of the above conditions, any application may be accepted or rejected at the sole and absolute discretion of the Trustee.</p>

**Note:** In accordance with the requirements specified by the SEBI circular no. SEBI/ IMD/ CIR No. 4/ 168230/09 dated June 30, 2009 no entry load will be charged for purchase/additional purchase/ switch-in accepted by the Fund with effect from August 01, 2009. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder.

With effect from August 01, 2009, exit load/ CDSC (if any) up to 1% of the redemption value charged to the unit holder by the Fund on redemption of units shall be retained by each of the schemes in a separate account and will be utilized for payment of commissions to the ARN Holder and to meet other marketing and selling expenses.

Any amount in excess of 1% of the redemption value charged to the unit holder as exit load/ CDSC shall be credited to the respective scheme immediately.



## INSTRUCTIONS

### 1. General Information

- a) The Application Form should be completed in ENGLISH in BLOCK LETTERS only. Please Tick (✓) in the appropriate box (□), where boxes have been provided. Please refer to the Scheme Information Document and the Key Information Memorandum carefully before filling the Application Form. All applicants are deemed to have accepted the terms of the Scheme Information Document subject to which this offer is being made and bind themselves to such terms of the Scheme Information Document upon signing the Application Form and tendering the payment.

#### b) Directions to investors applying under all Schemes of the Fund directly

All existing/prospective investors are requested to note and follow the below-mentioned directions while applying for the units of the Schemes of BNP Paribas Mutual Fund to enable the AMC to treat them as direct applications:

- In case of receipt of application form having broker code as blank space at the time of processing and allotting the units by the Registrars, AMC will consider such application as **"Direct"**. However, the Investors are requested to fill the blank space with the words such as **"Not Applicable"** or **"Direct"** so as to ensure that their application is processed as direct. Alternatively, investors can also strike off the blank space in the broker code field.
- In case, the Broker code is pre-printed on the application form, investors (any/all in case of joint holders) must strike-off the code & **countersign** before submitting the application form at the applicable collections centers / Official Points of Acceptance of Transaction, if investors requires change in the broker/direct status.
- Investors must provide their full signatures for the changes on the pre-printed application forms. Investors are also informed that if the changes/ alterations carried out in the broker code are not accompanied with the **full countersignature by the investor(s)** on the said modification, the application will be processed with existing broker code details as recorded with the AMC. In case of multiple brokers preprinted on the transaction slip, the additional investment will be under **"Direct"**, if the investor does not mention a specific broker.
- The Registrar shall be diligent in effecting the received changes in the broker code within a reasonable period from the time of receipt of the written request from the investors at the designated Official Points of Acceptance of Transaction.
- All Unitholders who have currently invested through channel distributors and intend to make their future investments through the Direct route, are advised to complete the procedural formalities prescribed by AMC from time to time.
- The detailed list of all the Official Points of Acceptance of Transactions will be available on the AMC website from time to time. The application received shall be considered **"Direct"** if they are submitted at the designated Official Points of Acceptance of Transactions, as notified from time to time.

Investors should note that the AMC, the Trustee and the Mutual Fund should not be held liable for any claims in case the application forms are not completed in the manner stated as above.

#### c) Applications under Power of Attorney/ Body Corporate/ Registered Society / Trust/ Partnership

In case of an application under a Power of Attorney, the application should be accompanied by an original Power of Attorney or by a duly notarised copy of the Power of Attorney. The Mutual Fund/ Trustee / AMC reserves the right to reject the application forms not accompanied by a Power of Attorney. Further, the Mutual Fund / Trustee / AMC reserves the right to hold Redemption proceeds in case the requisite documents are not submitted.

Prerequisites for registration of POA are as follows:

- POA document should contain the clause for empowering investment in units of Mutual Fund/ shares & securities and should bear signatures of Power of Attorney grantor & holder.
- A copy of PAN of POA holder is mandatory. The KYC compliance requirements are mandatory for both the POA issuer (i.e. Investor) and the Attorney (i.e. the holder of POA), both of whom should be KYC compliant in their independent capacity.

- POA holder should not be a resident of United States of America and Canada.
- Further, in case an application has been signed by POA holder, and the POA documents are not valid for any of the reasons mentioned above, then the application shall be rejected.
- The Mutual Fund / Trustee / AMC reserve the right to reject the application at the applicable NAV as on the date of rejection of subscription without any load, in case of non submission of requisite documents w.r.t. POA registration as above.
- The above POA process is also applicable for Partnership firm / Corporate / Trust.
- For all communication, the address of the Investors shall be captured.

For applications by a company, body corporate, eligible institutions, registered society, trusts, partnership or other eligible non-individuals who apply in the Scheme should furnish a certified copy of resolution or authority to make the application as the case may be, a list of specimen signatures of the authorised officials, duly certified / attested and a certified copy of the Memorandum and Articles of Association and / or bye-laws and / or Trust Deed and / or Partnership Deed and certificate of registration or any other document as the case may be. In case of a trust / fund, it shall submit a certified true copy of the resolution from the trustee(s) authorising such Subscriptions and Redemptions. The authorised officials should sign the application under their official designation.

### 2. Applicant's Information

Individual Applicants must provide all the details for all applicants under Applicant's Information in Section 4 of the Application Form.

- ai) Name and address must be given in full (P.O. Box Address alone is not sufficient). In case of NRIs / FIIs, 'Overseas Address' should also be provided.
- aii) If the applicant is minor, the minor shall be the first and the sole holder in an account. There shall not be any joint accounts with minor as the first or joint holder. Also, minor cannot appoint a nominee. Guardian in the folio on behalf of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian. It is mandatory to provide information on the relationship/status of the guardian as father, mother or legal guardian in the application form. In case of natural guardian, a document evidencing the relationship has to be submitted. If the above documents are not submitted, the application will be liable to be rejected. The account of the minor shall be frozen for operation by the guardian on the day the minor attains the age of majority and no transactions shall be permitted. The request for change in status of the accounts shall be submitted in the required form along with the requisite documents. Please refer Checklist for the documents to be submitted for establishing date of birth or relationship status in case of application on behalf of minor.

#### b) PAN Information

It is mandatory for investors (except ASBA investors) making an application for investment to furnish copy of PAN. A copy of PAN has to be self certified by the investor and the original PAN card should be made available for verification in the manner and to the entity as may be specified by the BNP Paribas Asset Management India Private Limited (AMC) from time to time

- If your investment is being jointly made with other co-applicants, the PAN for each of the co-applicants should be furnished along with a copy of a document, evidencing the PAN for each of the co-applicant.
- Where the person making an application is a minor, Guardian PAN copy is mandatory even if minor has his/her own valid PAN.
- Applications, where the details of the documents submitted as evidence for PAN do not match with the Applicants / existing information available with BNP Paribas Mutual Fund, will be rejected.

#### bii) Know Your Client (KYC) information / documents

The KYC compliance shall be MANDATORY for all applicants. Investors who wish to obtain a KYC Compliance acknowledgement have to submit a completed KYC Application Form along with all the prescribed documents, at any of the Point of Service ('PoS'). PoS are the designated centres appointed by the Central Agency for receiving application forms, processing data and allotment of KYC Compliance acknowledgement. List of PoS and location of PoS and the said Forms are available at our website ([www.bnpparibasmf.in](http://www.bnpparibasmf.in)) and [www.amfiindia.com](http://www.amfiindia.com).





All documents must be submitted in original along with a self-attested copies of the same. The original will be returned across the counter after verification. Alternatively, investors may submit notarized copies of the requisite documents. On submission of the KYC form and documents to CVL, investors will receive an acknowledgement across the counter, a copy of which must be submitted to us as proof of having completed the formalities related to PAN verification and KYC.

The standard KYC through CVL will not apply for below mentioned category of individual investors and the same will be handled by the AMCs/ RTAs directly.

- Investments through Micro schemes such as Micro SIPs upto Rs. 50,000 per year per investor.
- Investments from investors residing in Sikkim.

**Joint Holders:** All Joint holders need to individually obtain KYC Confirmation. While communicating KYC Confirmation for the first time, all Investors of the said folio are required to sign the prescribed form for KYC Confirmation updation.

**Minors:** In respect of a Minor, the Guardian should obtain a KYC Confirmation in his/her own name and quote it while investing in the name of the minor. The Minor, upon attaining majority, should immediately apply for a KYC Confirmation in his/her own capacity and intimate it to BNP Paribas Mutual Fund, in order to transact further in his/her own capacity. Guardian's KYC Compliance is mandatory even if minor has his/her own KYC acknowledgement.

**Power of Attorney (PoA) Holder:** Investors desirous of investing through a PoA must note that the KYC Confirmation is required to be obtained by both the PoA issuer (i.e. Investor) and the Attorney (i.e., the holder of PoA). If an individual becomes a BNP Paribas Mutual Fund Investor due to an operation of law, e.g., transmission of units upon death of an Investor, the claimant will be required to obtain KYC Confirmation.

**NRI:** At present, there are no PoS available outside India. You may obtain the KYC Confirmation Application Form from the AMFI / Mutual Fund website. MAF duly completed along with the necessary documents can be mailed to your representative or Distributor who can obtain the KYC Confirmation for you.

**Transmission (in case of death of the unit holder):** If the deceased is the sole applicant, the claimant should submit his/her KYC Acknowledgement along with the other relevant documents to effect the transmission of Units in his/her favour.

AMC reserves the right to validate the investors details with the records of CVL, income tax database; as the case may be either before allotting the units or subsequently. AMC further reserves the right to refund the investments made subsequently, if it is found that a valid KYC confirmation is not provided & valid PAN is not quoted on such application(s), refund will be done at applicable NAV, subject to payment of applicable exit load.

It may be noted that the Mutual Fund, Trustees or AMC shall not be liable for any failure to perform its obligations, to the extent that such performance has been delayed, hindered or prevented by systems failures, network errors, delay or loss of data/document during transit, due to interoperability issues amongst multi-intermediaries involved therein.

**For those who have updated the KYC Confirmation with the Fund, it may be noted that communication with respect to change in Address should be sent to PoS & not to Registrar.**

**In case of rectification of data entry mistakes by CVL or its PoS, Investors are advised to approach the same PoS from where the KYC Compliance acknowledgement was issued.**

For further details, investors are requested to refer to CVL website [www.cvlindia.com](http://www.cvlindia.com) and comply with the KYC requirements before investing in the Fund.

### biii) Non acceptance of Third Party payment under the Scheme:

#### Definition of Third Party payment:

- When payment is made through instruments issued from an account other than that of the beneficiary investor, the same is referred to as Third Party payment.
- It is clarified that in case of payments from a joint bank account, the first holder of the mutual fund folio has to be one of the joint holders of the bank account from which payment is made.

Exceptions:

Third Party payments shall be rejected except in the following exceptional situations:

- Payment by Parents/Grand-Parents/related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs.50,000/-. However this restriction will not be applicable for payment made by a guardian whose name is registered in the records of the Fund in that folio.
- Custodian on behalf of an FII or a client.
- Payment by Employer on behalf of employee under Systematic Investment Plans or lump sum/ one-time subscription, through Payroll deductions. The AMC shall exercise extra due diligence in terms of ensuring the authenticity of such arrangements from a fraud prevention and KYC perspectives.

If applicant belongs to any of the above categories, please fill in the required block (Block No. 6) in the Application Form and also provide KYC acknowledgement of the Third Party along with declaration in required format.

Applications with Demand Drafts should be supported by a Banker's Certificate as per format attached.

Applications with Third Party payments not conforming to the above requirements shall be rejected and the amounts received shall be refunded.

Transfer letter format to clearly state the source Bank Name and Account Number.

- Please provide the name of the Guardian in case of investments on behalf of minor or the name of the Contact Person in case of investments by a Company / Body Corporate/ Partnership Firm / Trust / Society / FIIs / Association of Persons / Body of Individuals.
- Investors are requested to note that in case the email id is provided, the statement of account and all regulatory disclosures will be sent to that id. In case you wish to receive the same in physical mode, kindly tick in the indicated box. The investor always has a right to demand a physical copy of any or all the documents in respect of the services provided by the Mutual Fund.
- Applications not complying with the above requirements will be deemed to be incomplete and will be rejected.

### 3. Bank Account Details (Mandatory)

- Applicants should provide the name of the bank, branch address, account type and account number of the Sole / First Applicant. Please note that as per SEBI guidelines, it is mandatory for investors to mention their bank account details in the Application Form. Applications without this information will be deemed to be incomplete and will not be accepted by the Mutual Fund.
- Investments or redemptions should be made from/to domestic accounts i.e. accounts within Indian Banking system. Investors should make subscription of units of the Fund in their own name and through their own bank accounts only and no third party subscription will be allowed.
- In addition to providing the redemption bank mandate, **it is mandatory for applicants to mention their Bank Account number, instrument number and the Bank name from which the subscription is funded, in their each Subscription request.**

#### d) Direct Credit Facility

We offer a Direct Credit Facility with the following banks for payout of Dividend entitlement / Redemption proceeds:

Royal Bank of Scotland N.V.	BNP Paribas Bank
Citibank N.A.	Deutsche Bank
HDFC Bank	HSBC
ICICI Bank	IDBI Bank
ING Vysya Bank	Kotak Mahindra Bank
Standard Chartered Bank	Axis Bank
Yes Bank	

Direct Credit Facility will be extended to unitholders having bank accounts with other banks as and when the same is facilitated by AMC.

If your Bank Details are of any of these Banks, we will directly credit your Dividend entitlement / Redemption proceeds into the same.

If your investment cheque is from a different Bank Account than what you have furnished in the Bank Account Details section, please furnish a cancelled cheque leaf / copy of cheque leaf of the Bank mentioned in the Bank Account Details section. This would help us verify your bank account details before crediting the dividend / redemption proceeds into the Account.



If you however, wish to receive cheque payout instead, please indicate your preference for the same by ticking in the box provided.

Default mode of payout will be RTGS / NEFT if IFSC code is provided.

**e) Process for Change of Bank Mandate**

Unit holders are free to change their bank details registered with the Mutual Fund by adhering the following procedures:

1. Unit holders are required to submit a valid request for a change/update of bank account along with a cancelled original/ copy of self attested cheque leaf of the bank account to be registered with the Mutual Fund (where the account number and first unit holder name is printed on the face of the cheque). Unit holders should without fail cancel the cheque and write 'Cancelled' on the face of it to prevent any possible misuse. Where such name is not printed on the original cheque or the bank account is closed, the Unit holder may submit a letter from the \*bank on its letterhead certifying that the Unit holder maintains/maintained an account with the bank, the bank account information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code.

2. In case a copy of self attested cheque leaf is submitted, the originals such as cancelled cheque leaf, \*bank statement, \*bank pass book page with account number, account holder's name and address can be brought for verification of new bank account to the AMC branches / ISCs of CAMS. The original documents will be returned across the counter to the Unit holder after due verification.

In the event of a request for change in bank account information being invalid / incomplete / dissatisfactory in respect of signature mismatch/document insufficiency/not complying with any requirements more specifically as indicated in clauses 1 & 2 above, the request for such change will not be processed.

3. In case the investor submits a request for Change of Bank Mandate, the same will be effective after a cooling period of 10 calendar days for validation and registration of new bank account.

Redemption will be processed as per specified service standard and last registered bank account information will be used for payments to Unit holders.

\* In respect of (1) and (2) above, it should be certified by the bank manager with his / her full signature, name, employee code, bank seal and contact number.

**f) Procedure for registration of multiple bank accounts**

Individuals and HUF investors can register up to 5 bank accounts and non individuals can register upto 10 bank accounts by filling up the Multiple Bank Registration Form. AMC/RTA shall adopt the same process of verification for the above registration as is applicable for change of bank mandate. Investors are urged to request for specific 'Multiple Bank Account Registration Form' available at the AMC branches / ISCs of CAMS.

This form is also hosted on the AMC website : [www.bnpparibasmf.in](http://www.bnpparibasmf.in).

**4. Investment Details & Payment Details**

- a) Applicants should indicate the Option and Dividend Mode, for which the application is made, by indicating the choice in the appropriate box provided for this purpose in the Application Form.
- b) **In case Applicants wish to opt for both the Options, separate Application Forms will have to be filled.**
- c) If no indication is given for the Option, the investment will be deemed to be for the Growth Option.
- d) The application amount can be tendered by cheque/demand draft payable locally at any of the ISCs and designated collection centres. Application Forms accompanied with outstation cheques/stockinvests/postal orders/money orders/cash/post dated cheques (except for SIP) will not be accepted. The AMC reserves the rights to refund such application money if deposited by the Collecting Banks in inadvertently.

All cheques and bank drafts must be drawn in the name of the Scheme e.g. **BNP Paribas Fixed Term Fund – Series 22 B** and crossed "Account Payee only". A separate cheque or bank draft must accompany each Application.

Investors residing in Centres where the Investor Service Centres/ Collection Centres are not located are requested to make payment by demand drafts payable at the place where the application is submitted.

Bank charges for outstation demand drafts will be borne by the AMC and will be limited to the bank charges levied by State Bank of India, if a demand draft issued by a bank in a place where there is no ISC / Collection Centre provided for the investors. In all other cases, the

AMC will not accept any request for refund of demand draft charges.

**e) Cheque Bouncing**

In cases where the cheque(s) given by the investor for the application made by him/her in the Scheme, are bounced (i.e. not realised) on presentation to the Bank on which it is drawn, the AMC/Trustee/ Mutual Fund reserves the right to reject the application and also restrain the said investor from making any further investment in any of the Schemes of the Mutual Fund. The AMC/Trustee/Mutual Fund will not be responsible in any manner whatsoever for any losses / damages (whether direct, indirect or otherwise including opportunity loss) caused to the investor as result of the AMC/Trustee/Mutual Fund rejecting the application on the basis of cheque bouncing and also for restraining the investor from making any further investment in any of the Schemes of the Mutual Fund.

**f) NRIs, Persons of Indian Origin, FIIs**

Payment by FIIs / NRIs / Persons of Indian Origin must be made by cheque out of funds held in the NRE / FCNR account, in the case of purchases on a repatriation basis or out of funds held in the NRE / FCNR / NRO account, in the case of purchases on a non-repatriation basis.

**5. Demat Account Details (Required)**

- a) The Scheme being close ended, no premature redemption can be made through redemption instruction to the Mutual Fund until maturity. However, the Scheme will be listed on the National Stock Exchange of India Limited (NSE) and unitholders may avail of this facility for their transactions. In case Unit holders do not provide their demat account details, they will not be able to trade on the stock exchange until the holdings are converted into demat mode.
- b) Investors applying as Joint holders, need to provide Demat details of their Joint Demat Account.

**6. Nomination Instructions**

- a) Nomination will be maintained at the folio or account level and should be applicable for investments in all schemes in the folio or account.
- b) In case of joint holders, all joint holders should sign the request for nomination/cancellation of nomination, even if the mode of holding is not "joint".
- c) Nomination form cannot be signed by Power of attorney (PoA) holders. In case PoA holder provides nomination form, such application will be processed. However information regarding nomination will not be considered. Such information will be ignored.
- d) Every new nomination for a folio/account will overwrite the existing nomination.
- e) Nomination shall be mandatory for all the new folios/accounts opened by individuals. Investors who do not wish to nominate must mention about their non-intention to nominate.
- f) Nomination should be not allowed in a folio held on behalf of a minor.
- g) Pursuant to the SEBI Regulation 29A, the AMC is introducing Multiple Nomination Facility to enable Unitholders to nominate more than one person in whom the Units held by the Unitholder shall vest in the event of the demise of the Unitholder. Accordingly, Multiple nominees can be designated per folio maximum upto 3 nominees.
- h) The investor has an option to provide nomination details of maximum upto 3 nominees.
- i) The Unitholders are further informed that additional nominee(s) can be added to the existing nominee(s) in their investments with BNP Paribas Mutual Fund. Such request form is available on the website.
- j) The nomination can be made only by individuals applying for / holding Units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate.
- k) A minor can be nominated and in that event, the name and address of the Guardian of the minor Nominee shall be provided by the Unitholder. Nomination can also be in favour of Central / State Government, a local authority, any person designated by a virtue of his office or a religious or a charitable trust.
- l) The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force from time to time.
- m) Nomination in respect of the Units stands rescinded upon the Redemption / transfer of Units.





- n) The nomination facility extended under the Scheme is in accordance with the SEBI Regulations and subject to other applicable laws. Transmission of the Units in the name of the nominee shall discharge the Mutual Fund, the Trustee and the AMC from any liability towards the successor(s) / heir(s) of the deceased Unitholder(s). However, the Mutual Fund / Trustee / AMC may request the nominee to execute suitable indemnities in favour of the Mutual Fund and / or the Trustee and / or the AMC, and to submit necessary documentation to the satisfaction of the Mutual Fund before transmitting Units to his / her favour. Nominations received in the form prescribed by the AMC alone shall be valid.
- o) Unitholders are requested to note that in case of multiple nominations, it is mandatory to clearly indicate the **percentage of allocation** in favour each of the nominees against their Name and such allocation should be in whole numbers without any decimals making a total of 100 percent. It may be noted that if the percentage allocation is not mentioned or is left blank, in the Nomination Form then the AMC shall apply the **default option** of equal distribution among all the nominees as designated by the deceased Unitholder.

**The nomination details as registered with the Depository Participant shall be applicable to unitholders who have opted to hold units in Demat mode.**

## 7. Declaration and Signatures

Signatures can be in English or in any Indian language. Thumb impressions must be attested by a Magistrate / Notary Public under his / her official seal. In case of HUF, the Karta will sign on behalf of the HUF.

## 8. Switch Transactions

During the New Fund Offer Period of the Scheme, Unit holders of the Fund have the option to switch-in, all or part of their investment from BNP Paribas Overnight Fund, BNP Paribas Short Term Income Fund, BNP Paribas Money Plus Fund, BNP Paribas Flexi Debt Fund and Fixed Term Plans / Series (on maturity date) and any Series of Flexible Short term Plan / Interval Funds (on maturity date) to the Scheme. The switch-out will be effected at the Applicable NAV of the respective (switch-out) Scheme (subject to applicable cut-off time and applicable load), on the day of acceptance of the switching request. The switch-in will be effected

at the New Fund Offer Price. Switch request will be subject to applicable exit load of the relevant scheme. All switch requests during the New Fund Offer Period of the Scheme will have to be submitted at the Official Points of Acceptance of Transactions. Switch requests received at any other centres are liable to be rejected.

## 9. Who Cannot Invest

It should be noted that the following persons cannot invest in the Scheme(s):

- Any individual who is a Foreign national.
- Overseas Corporate Bodies (OCBs) shall not be allowed to invest in the Scheme(s). These would be firms and societies, which are held directly or indirectly but ultimately to the extent of at least 60% by NRIs and trusts in which at least 60% of the beneficial interest is similarly held irrevocably by such persons (OCBs.)
- Non-Resident Indians residing in the United States of America and Canada.
- NRIs residing in Non-Compliant Countries and Territories (NCCTs) as determined by the Financial Action Task Force (FATF), from time to time.
- Religious and charitable trusts, wakfs or other public trusts that have not received necessary approvals and a private trust that is not authorised to invest in Mutual Fund schemes under its trust deed. The Mutual Fund will not be responsible for or any adverse consequences as a result of an investment by a public or a private trust if it is ineligible to make such investments.
- Any other person determined by the AMC or the Trustee as not being eligible to invest in the Scheme.

**10. The Mutual Fund / AMC and its empanelled brokers / distributors has not given and shall not give any indicative portfolio and indicative yield in any communication or in any manner whatsoever. Investors are advised not to rely on any such communication regarding indicative yield / portfolio with regard to the Scheme.**

**11. The Trustee / AMC retains the sole and absolute discretion to reject any application.**

## IMPORTANT INFORMATION / INSTRUCTIONS FOR ASBA INVESTORS

### Background:

In its continuing endeavour to make the existing New Fund Offer process more efficient SEBI introduced a supplementary process of applying in New Fund Offer, viz: the "Applications Supported by Blocked Amount (ASBA)" process. Accordingly, Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009 have been amended for ASBA process. The salient features of circular no. SEBI/CFD/DIL/ASBA/1/2009/30/12 dated December 30, 2009 available on SEBI website for "Additional mode of payment through Applications Supported by Blocked Amount (hereinafter referred to as "ASBA") are mentioned below for understanding the ASBA process:

- Meaning of ASBA:** ASBA is an application for subscribing to a New Fund Offer (NFO), containing an authorisation to block the application money in a bank account.
- Self Certified Syndicate Bank (SCSB):** SCSB is a banker to an issue registered with the SEBI which offers the facility of applying through the ASBA process. The list of SCSBs is displayed by SEBI on its website at [www.sebi.gov.in](http://www.sebi.gov.in). ASBAs can be accepted only by SCSBs, whose names appear in the list of SCSBs displayed on SEBI's website. Investors maintaining their accounts in any of these Banks may approach one of the designated branches of these SCSBs for availing this facility. Further it may be noted that from time to time new banks register themselves as SCSBs who become eligible to provide these services and also the existing SCSBs designate additional branches that also provide this facility. An updated list of all the registered SCSBs, their controlling branches, contact details and details of their contact persons, a list of their designated branches which are providing such services is available on the website of SEBI at the address <http://www.sebi.gov.in>. Further these details are also available on the websites of the Stock Exchanges at <http://www.bseindia.com> and <http://www.nseindia.com>. Alternatively, investors

may also contact the AMC, RTA for information about the SCSBs or the ASBA process. These SCSBs are deemed to have entered into an agreement with the Issuer and shall be required to offer the ASBA facility to all its account holders for all issues to which ASBA process is applicable. A SCSB shall identify its Designated Branches (DBs) at which an ASBA Applicant shall submit ASBA and shall also identify the Controlling Branch (CB), which shall act as a coordinating branch for the Registrar to the Issue, Stock Exchanges and Merchant Bankers. The SCSB, its DBs and CB shall continue to act as such, for all issues to which ASBA process is applicable. The SCSB may identify new DBs for the purpose of ASBA process and intimate details of the same to SEBI, after which SEBI will add the DB to the list of SCSBs maintained by it. The SCSB shall communicate the following details to Stock Exchanges for making it available on their respective websites. These details shall also be made available by the SCSB on its website: (i) Name and address of the SCSB (ii) Addresses of DBs and CB and other details such as telephone number, fax number and email ids. (iii) Name and contact details of a nodal officer at a senior level from the CB.

- Eligibility of Investors:** An Investor shall be eligible to apply through ASBA process, if he/she:

- is eligible under the section "Who can invest" of the SID,
- is applying through blocking of funds in a bank account with the SCSB; Such investors are hereinafter referred as "ASBA Investors".

- ASBA Facility in Brief:** Investor shall submit his / her Application through an ASBA Application Form, to the SCSB with whom the bank account of the ASBA Investor or bank account utilised by the ASBA Investor ("ASBA Account") is maintained. The SCSB shall block an amount equal to the NFO application Amount in the bank account specified in the ASBA Application Form on the basis of an authorisation to this effect given by the account holder at the time of submitting



the Application. The Application Amount shall remain blocked in the aforesaid ASBA Account until the Allotment in the New Fund Offer and consequent transfer of the Application Amount against the allocated Units to the Issuer's account designated for this purpose, or until withdrawal/failure of the Offer or until withdrawal / rejection of the ASBA Application, as the case may be. The ASBA data shall thereafter be uploaded by the SCSB in the electronic system of the Stock Exchanges. When the Allotment is finalised, the RTA to the NFO shall send an appropriate request to the Controlling Branch of the SCSB for unblocking the relevant bank accounts and for transferring the amount allocable to the successful ASBA Applicants to the AMC account designated for this purpose. In case of withdrawal/Rejection of the Offer, the RTA to the Offer shall notify the SCSBs to unblock the blocked amount of the ASBA Applicants within one day from the day of receipt of such notification.

**5. Other Information for ASBA Investors:**

1. SCSB shall not accept any ASBA after the last day of the NFO period.
2. SCSB shall give ASBA investors an acknowledgement for the receipt of ASBAs.
3. SCSB shall not upload any ASBA in the electronic system of the Stock Exchange(s) unless (i) it has received the ASBA form; and (ii) it has blocked the application money in the bank account specified in the ASBA or has systems to ensure that ASBAs are accepted in the system only after blocking of application money in the relevant bank account opened with it.

4. SCSB shall ensure that complaints of ASBA investors arising out of errors or delay in capturing of data, blocking or unblocking of bank accounts, etc. are satisfactorily redressed.
5. SCSB shall be liable for all its omissions and errors in discharging responsibilities in the ASBA process.

**Grounds for rejection of ASBA applications**

ASBA application forms can be rejected by the AMC/Registrar/ SCSBs, on the following technical grounds:

1. Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane persons etc.
2. ASBA Application Form without the stamp of the SCSB.
3. Application by any person outside India if not in compliance with applicable Foreign and Indian laws.
4. Bank account details not given/incorrect details given.
5. Duly certified Power of Attorney, if applicable, not submitted along with the ASBA Application Form.
6. No corresponding records available with the Depositories matching the parameters namely (a) Names of the ASBA applicants (including the order of names of joint holders) (b) DP ID (c) Beneficiary account number or any other relevant details pertaining to the Depository Account.
7. Insufficient funds in the investor's account.
8. Application accepted by SCSB and not uploaded on/with the Exchange / Registrar.
9. As per disclosures and other instructions stated under SAI / SID / KIM.

**CHECKLIST**

- Please ensure that your Application Form is complete in all respect, Name, Address & Contact Details are mentioned in full, signed by all applicants.
- Bank Account and Demat Account details are entered completely and correctly.
- Permanent Account Number (PAN) of all Applicants is mentioned.
- Appropriate Option is selected.
- If units are applied for jointly, Mode of Operation of account is indicated.
- Your investment Cheque / DD is drawn in favour of **BNP Paribas Fixed Term Fund – Series 22 B** dated and signed.
- Application Number is mentioned on the reverse of the Cheque / DD.
- Documents as listed alongside are submitted along with the Application Form (as applicable to your specific case).
- You are not a Resident of USA or Canada.
- Third Party Payment Declaration is submitted along with the Application Form.

Documents	Companies / Body Corporates	Trusts	Societies	HUF	Partnership Firms	FIs	Investments through Constituted Attorney
1. Certificate of Incorporation/Registration	✓	✓			✓	✓	
2. Resolution/Authorisation to invest	✓	✓	✓		✓	✓	
3. List of Authorised Signatories with Specimen Signature(s)	✓	✓	✓		✓	✓	✓
4. Memorandum & Articles of Association	✓						
5. Trust Deed		✓					
6. Bye-Laws			✓				
7. Partnership Deed/ Deed of Declaration				✓	✓		
8. Overseas Auditor's Certificate						✓	
9. Notarised Power of Attorney							✓
10. Proof of PAN	✓	✓	✓	✓	✓	✓	✓
11. Proof of KYC complied	✓	✓	✓	✓	✓	✓	✓
All documents in 1 to 7 above should be originals / true copies certified by the Director / Trustee / Company Secretary/Authorised Signatory/Notary Public.							

**CHECKLIST FOR "APPLICATIONS ON BEHALF OF MINOR"**

I.	Birth certificate of the minor, or School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or Passport of the minor, or Any other suitable proof evidencing the date of birth of the minor / relationship.
II.	Copy of PAN of Guardian.
III.	KYC acknowledgement of Guardian.



App. No.

**APPLICATION FORM** (Please read the Instructions before completing this Application Form)

DISTRIBUTOR / BROKER / SCSB INFORMATION To ensure to treat the application as "DIRECT" please do not leave the boxes below blank and read the instructions mentioned in 1(b)				
Name and AMFI Reg. No.	Sub Agent's Name and AMFI Reg. No.	Bank Serial No.	CAMS Serial No.	SCSB Details
ARN-				

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

**1. EXISTING UNITHOLDER INFORMATION** (Please fill in your Folio No., Name, PAN & Bank Account details in Section 2 & 3, and then proceed to Section 5)

Folio No.		Unitholder's Name	
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The details in our records under the Folio No. mentioned above will only be considered for this application.

**2. PAN & KYC DETAILS** (Mandatory, as per SEBI Regulations. Except ASBA investors) (See Instruction 2bi) & bii) on page 6)

	PAN	Enclosed (✓)
First / Sole Applicant		<input type="checkbox"/> PAN card proof <input type="checkbox"/> KYC Confirmation proof
Second Applicant		<input type="checkbox"/> PAN card proof <input type="checkbox"/> KYC Confirmation proof
Third Applicant		<input type="checkbox"/> PAN card proof <input type="checkbox"/> KYC Confirmation proof
Guardian**		<input type="checkbox"/> PAN card proof <input type="checkbox"/> KYC Confirmation proof
PoA Holder		<input type="checkbox"/> PAN card proof <input type="checkbox"/> KYC Confirmation proof

\*\* If the Sole / First Applicant is a Minor then state Guardian's PAN Number

**3. BANK ACCOUNT DETAILS** (Mandatory, as per SEBI Regulations)

A/c. No.		A/c. Type (please ✓) <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR
Bank Name		
Address		
Branch	City	Pin Code
RTGS / IFSC Code	MICR Code	
NEFT / IFSC Code		

RTGS / IFSC Code NEFT / IFSC Code

All Redemptions / Dividend Payouts will be payable to the First Applicant at the City and Bank Account details mentioned above.

Direct Credit Facility ☐ RTGS / NEFT ☐ Cheque Payouts : I / We want to receive redemption / dividend proceed by cheque / demand draft. (See instruction 3d on page 7. Please ✓ and indicate your preference) Default mode of payout will be RTGS / NEFT if IFSC code is provided

**4. APPLICANT'S INFORMATION**

Name of Sole / First Applicant (First / Middle / Last Name)	Title <input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> M/s <input type="checkbox"/> Minor <input type="checkbox"/> Others	
Date of Birth*	D D / M M / Y Y Y Y	* Required for First holder / Mandatory for Minor
Name of Guardian (in case of Minor) OR Contact Person (in case of Non-individual Investors)	Title <input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> M/s <input type="checkbox"/> Others	
Relationship	<input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Legal Guardian	Date of Birth D D / M M / Y Y Y Y
Name of Second Applicant	Title <input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> M/s <input type="checkbox"/> Others	Date of Birth D D / M M / Y Y Y Y
Name of Third Applicant	Title <input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> M/s <input type="checkbox"/> Others	Date of Birth D D / M M / Y Y Y Y
Mode of Holding (please ✓) <input type="checkbox"/> Single <input type="checkbox"/> Joint* <input type="checkbox"/> Anyone or Survivor	(* Default, in case of more than one applicant and not ticked)	
Address for Correspondence (P.O. Box Address is not sufficient)		
City	Pin Code (Mandatory)	State
STD Code	Tel. Off.	Extn.
Mobile	Tel. Resi.	Fax
E-Mail		
If you wish to receive all communication from us via e-mail, please ✓ here <input type="checkbox"/>		
Kindly ensure that the e-mail address and telephone numbers mentioned above are those of the First Unitholder. These details shall be used for all communications.		
Occupation (please ✓) <input type="checkbox"/> Service <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Housewife <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Agriculture <input type="checkbox"/> Others		
Status of Sole/First Applicant (please ✓) <input type="checkbox"/> Individual (IND) <input type="checkbox"/> HUF (HUF) <input type="checkbox"/> Company (CO) <input type="checkbox"/> Fils (FIL) <input type="checkbox"/> NRI-Repatriation (NRI) <input type="checkbox"/> NRI-Non Repatriation (NRI) <input type="checkbox"/> Bank (BANK) <input type="checkbox"/> Proprietorship Firm (OTH) <input type="checkbox"/> Trust (TRUST) <input type="checkbox"/> Society/Club (SOCTY) <input type="checkbox"/> Partnership (OTH) <input type="checkbox"/> Body Corporate (CO) <input type="checkbox"/> On behalf of Minor (MINOR) <input type="checkbox"/> Others (OTH) (please specify)		
Status of Second Applicant (please ✓) <input type="checkbox"/> Individual (IND) <input type="checkbox"/> NRI-Repatriation (NRI) <input type="checkbox"/> NRI-Non Repatriation (NRI) <input type="checkbox"/> On behalf of Minor (MINOR) <input type="checkbox"/> Others (OTH) (please specify)		
Status of Third Applicant (please ✓) <input type="checkbox"/> Individual (IND) <input type="checkbox"/> NRI-Repatriation (NRI) <input type="checkbox"/> NRI-Non Repatriation (NRI) <input type="checkbox"/> On behalf of Minor (MINOR) <input type="checkbox"/> Others (OTH) (please specify)		
Overseas Address (Required for NRIs/Fils applicants in addition to mailing address) (P.O. Box Address is not sufficient)		

**5. INVESTMENT & PAYMENT DETAILS – Separate Cheque / DD / Fund Transfer instruction required for investment in each Option (MANDATORY)**

Scheme Name	BNP Paribas Fixed Term Fund - Series 22 B	Option (please ✓) <input type="checkbox"/> Growth* <input type="checkbox"/> Dividend <input type="checkbox"/> Calendar Quarterly Dividend <input type="checkbox"/> Calendar Yearly Dividend <input type="checkbox"/> Dividend on Maturity*
Dividend Mode (please ✓) <input type="checkbox"/> Payout		
Investment Amount	Rs.	Cheque / DD No.
Mode of Payment	Cheque / Demand Draft / Fund Transfer	DD charges, if any Rs.
Drawn on Bank		Dated D D / M M / Y Y Y Y
Branch		A/c. No.

Cheque / Demand Draft to be drawn in favour of **BNP Paribas Fixed Term Fund - Series 22 B**. Please mention the Application No. on reverse of the Cheque / DD. \* Default Option / Mode if not ticked.

**ACKNOWLEDGEMENT SLIP** (To be filled in by the Applicant)

Received from Mr./Ms/M/s. \_\_\_\_\_  
an application for purchase of Units in **BNP Paribas Fixed Term Fund - Series 22 B** along with Cheque / DD No. \_\_\_\_\_ dated \_\_\_\_\_  
drawn on (Bank) \_\_\_\_\_ A/c. No. \_\_\_\_\_  
for Rs. \_\_\_\_\_ All purchases are subject to realisation of Cheques / DD.

App. No.

ISC/SCSB Stamp, Date &amp; Signature

Note : Only purchasers registered on the electronic systems will be considered for allocation. Therefore, kindly ensure that you get a computerised TRS for every investment from the SCSB. Please note that validity of the purchases or any allocation thereon, is subject to realisation of the correct amount. Please retain photocopy of this Form for future reference.

**6. APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA) (Please read important instructions for ASBA carefully)**Investor's wish to apply through ASBA Facility (please ✓) : ☐ Yes ☐ No. Investor using ASBA facility should provide the filled ASBA Form duly stamp by SCSB.**SCSB DETAILS**

Bank A/c. No.		A/c. Type (please ✓) <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO
Bank Name where A/c is held		
Branch		
City		Pin Code

**Total Amount to be blocked** (Amount to be blocked shall be the number of Units x Unit Price)(Rs. in figures)  (Rs. in words)  **NRI Investors** : Payment should be made through their NRE Accounts.**7. DEMAT ACCOUNT DETAILS OF FIRST / JOINT APPLICANT(S) (Refer instruction 5 on page 8) (REQUIRED)**

Depository Participant (DP) ID	Beneficiary Account Number	Depository Participant (DP) ID & Beneficiary Account Number
NSDL <input type="checkbox"/>	OR CDSL <input type="checkbox"/>	

**Please note that :** 1. If demat details provided are not valid, allotment will be done in physical / statement of account mode. 2. In case of valid demat account details provided, the bank account details, joint holding details, mode of holding (joint / anyone or survivor) in case of joint holdings, address details and nominee details as per the demat account shall prevail over the corresponding details provided on the application form.

**8. FOR THIRD PARTY PAYMENT (As specified on page 7)**

Third Party Name		
PAN		Relationship with applicant
KYC Acknowledgement attached (Please Tick)	<input type="checkbox"/>	

**9. NOMINATION - MANDATORY, even if no intention to nominate (See instruction 6 on page 8)**

Having read and understood the instruction for Nomination, I / We hereby nominate the person(s) more particularly described hereunder in respect of the Units under the Folio held by me/us in the event of my death

Particulars	Nominee 1	Nominee 2	Nominee 3
Name			
Address			
Relationship with Applicant			
Date of Birth in case Nominee is minor			
# Percentage of Allocation/Share			

# Please indicate the percentage of allocation / share for each of the nominees in whole numbers only without any decimals making a total of 100 per cent. If the percentage allocation is not mentioned or is left blank then the AMC shall apply the default option of equal distribution among the multiple designated Nominees.

Signature of Nominee  Not Mandatory  Not Mandatory  Not Mandatory **PoA holder cannot nominate and should not fill this section.****If Nominee is a Minor, details of the Guardian required : Name and Address of the Guardian**

City	Pin Code	Not Mandatory
State		
Guardian's relationship with the Minor Nominee		Signature of Guardian

**10. POWER OF ATTORNEY (PoA) HOLDER DETAILS (If the investment is being made by a Constituted Attorney please furnish the details of PoA Holder)**

Name of PoA Holder	Title <input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> M/s <input type="checkbox"/> Others	Signature of (PoA) Holder
PAN	Enclosed* ( ✓ ) <input type="checkbox"/> PAN card proof <input type="checkbox"/> KYC Confirmation proof	

**11. DECLARATION & SIGNATURES**

Having read and understood the contents of the Statement of Additional Information / Scheme Information Document of the Scheme of BNP Paribas Mutual Fund, I / We hereby apply to the Trustee of BNP Paribas Mutual Fund for units of the Scheme and agree to abide by terms and conditions, rules and regulation of the Scheme. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby declare that I am / we are not a US person, within the meaning of the United States Securities Act, 1933, as amended from time to time; and that I am / we are not applying on behalf of or as proxyholders of a person who is a US person. I / We hereby declare that I am / We are competent under the applicable laws and duly authorised where required, to make this investment in the above mentioned scheme. I / We hereby confirm that the proposed investment is being made from known, identifiable and legitimate sources of funds / income of mine / the Company / Trust / Partnership only and I am / we are the rightful beneficial owner(s) of the funds and the resulting investments therefrom. The abovementioned investment does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions or of the provisions of any law in India including but not limited to The Income Tax Act, the Prevention of Money Laundering Act, 2002, The Prevention of Corruption, 1988 Act and/or any other relevant rules/ guidelines notified in this regard or applicable laws enacted by the Government of India / any other regulatory body from time to time. I / we hereby understand and agree that if any of the aforesaid disclosures made/ information provided by me/us is found to be contradictory or non-reliable to the above statements or if I / we fail to provide adequate and complete information, the AMC / Mutual Fund / Trustees reserve the right to reject the application / withhold the investments made by me / us and/or make disclosures and report the relevant details to the competent authority and take such other actions as may be required to comply with the applicable law as the AMC/ Mutual Fund/ Trustees may deem proper at their sole option. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

**Applicable to ASBA Investors only:** 1) I / We hereby undertake that, I / we have read the instructions contained in this KIM cum Form, SID and SAI and I am / we are an eligible ASBA Applicant(s) as per the applicable provisions of the relevant SEBI Regulations/Circular 2) I / We authorize (a) the SCSB to do all acts as are necessary to make an application in the new fund offer, including uploading of subscription details of unit/investment, blocking the amount to the extent mentioned above in "BANK ACCOUNT DETAILS" or unblocking of funds in the bank account maintained with the SCSB specified above, transfer of funds to the Schemes' account on receipt of instructions from the Registrar to the Scheme after finalisation of the basis of allotment, entitling me/us to receive units on such transfer of funds, etc. (b) Registrar to the Scheme to issue instructions to the SCSB to unblock the funds in the bank account specified above upon finalisation of the basis of allotment and to transfer the requisite money to the account of the Scheme. 3) In case the amount available in the bank account specified above is insufficient, the SCSB shall reject the application. 4) If the DP ID, Beneficiary or PAN furnished by me/us above is incorrect or incomplete or not matching with the depository records, my/ our application shall be rejected and the Mutual Fund/SCSB shall not be liable for losses, if any.

**Applicable to NRIs only :** I / We confirm that I am / We are Non-Resident of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

If NRI, (please ✓) <input type="checkbox"/> Repatriation basis <input type="checkbox"/> Non-Repatriation basis	SIGNATURE(S)	First / Sole Applicant / Guardian	Second Applicant / Guardian	Third Applicant / Guardian
Dated <input type="text"/>				

**Note: The Mutual Fund / AMC and its empanelled brokers / distributors has not given and shall not give any indicative portfolio and indicative yield in any communication or in any manner whatsoever. Investors are advised not to rely on any such communication regarding indicative yield / portfolio with regard to the Scheme.**

**BNP PARIBAS  
MUTUAL FUND**

**BNP Paribas Asset Management India Private Limited**  
5th Floor, French Bank Building, 62, Homji Street,  
Fort, Mumbai 400 001 Tel. : 91-22 6656 0000  
Web : www.bnpparibasmf.in

For any further queries / correspondence, please contact:

**Computer Age Management Services Pvt. Ltd.**

UNIT : BNP Paribas Mutual Fund

148 Old Mahabalipuram Road (OMR), Next to Hotel Fortune,  
Okkiyam, Thuraiyakkam, Chennai - 600 096

Tel : 044 - 3040 7065 • email : enq\_m@camsonline.com

**THIRD PARTY PAYMENT DECLARATION (Should be enclosed with each Third party payment. See Instruction biii) on page 7)**Payments by : **Parent/Grand-Parents/Related Persons Other than the Registered Guardian**Payments to : **To a Minor Folio only; In consideration of: Natural love and affection or as gift only**Maximum Value : **Not Exceeding Rs 50,000/-** (each regular purchase)**Application and Payment Details** (All details below are **Mandatory**, including relationship, PAN & KYC):

Folio No.											Application Form No.																					
Beneficial Minor's Name																																
Investment Amount in Rs.																																
Payment Cheque No.																					Dated	D	D	M	M	Y	Y	Y	Y			
Cheque Drawn on Bank																																
Cheque Drawn on A/c No.																																

**Declaration and Signatures:**

	Parent/Grand-Parents/Related Persons Other than the Registered Guardian	Guardian Guardian of Minor, as registered in the Folio																														
Name																																
Relationship with Minor																																
Income Tax PAN																																
KYC Acknowledgement	<input type="checkbox"/> Attached (Mandatory for any amount)										<input type="checkbox"/> Attached (Mandatory for any amount)																					
Declaration	I hereby declare and confirm the minor stated above is the beneficial owner of the investment details mentioned above and I am providing the funds for these investments on account of my natural love and affection or as gift from my bank account only.										I confirm that I am the legal guardian of the Minor, registered in folio and have no objection to receiving these funds on behalf of the Minor.																					
Signature																																
Contact Number																																

**BANKER'S CERTIFICATE in case of Demand Draft / Pay Order / Any Other pre-funded instrument:**

To whomsoever it may concern, we hereby confirm the following details regarding the instrument issued by us:

**Instrument Details:**

Instrument Type	<input type="checkbox"/> Demand Draft	<input type="checkbox"/> Pay Order / Banker's Cheque																															
Instrument Number																					Date												
Investment Amount in Rs.																																	
In Favour of / Favouring																																	
Payable At																																	

**Details of Bank Account Debited for issuing the instrument:**

Bank Account No.																					Account Type										
Account Holder Details											Name										Income Tax PAN										
1.																															
2.																															
3.																															

**If the issuing Bank branch is outside India:**

We further declare that we are registered as a Bank/branch as mentioned below:

Under the Regulator	Name of the Regulator																			
In the Country	Country Name																			
Registration No.	Registration Number																			
We confirm having carried out necessary Customer Due Diligence with regard to the Beneficiary and to the source of the funds received from him, as per the standards of Anti-Money Laundering laws and other applicable relevant laws in our country																				

**Branch Manager/Declarant(s)**

Signature: \_\_\_\_\_

Name: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_

Postal code: \_\_\_\_\_ Country: \_\_\_\_\_

Contact Number : \_\_\_\_\_

Bank &amp; Branch Seal

**Important Note:** It is clarified that the bankers certificate suggested above is recommendatory in nature, as there may be existing Bank Letters / Certificates / Declarations, which will confirm to the spirit of the requirements, if all required details are mentioned in the certificate.



**CUSTODIAN ON BEHALF OF AN FII OR CLIENT (Should be enclosed with each Third party payment. See Instruction biii) on page 7)**

To whomsoever it may concern

**Application and Payment Details** (All details below are **Mandatory**):

Folio No.													Application Form No.																
Beneficial Applicant/ Investor Name																													
Investment Amount in Rs.																													
Payment Mode	<input type="checkbox"/> Cheque <input type="checkbox"/> Fund Transfer <input type="checkbox"/> RTGS <input type="checkbox"/> NEFT																												
Payment Cheque / UTR No.																					Dated	D	D	M	M	Y	Y	Y	Y
Payment from Bank																													
Payment from A/c No.																													

We further declare that we are registered as a Custodian with SEBI under Registration No: \_\_\_\_\_

We confirm the beneficial owner as stated above and that this payment is issued by us in our capacity as Custodian to the Applicant/Investor. The source of this payment is from funds provided to us by the Applicant/Investor.

Signature of Declarant(s): \_\_\_\_\_

Name of Declarant(s): \_\_\_\_\_

Income Tax PAN: \_\_\_\_\_

Address of Declarant(s) \_\_\_\_\_

\_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_

Postal code: \_\_\_\_\_ Country: \_\_\_\_\_

**PAYMENT BY EMPLOYER ON BEHALF OF EMPLOYEE** (Under Systematic Investment Plans or lump sum / one-time subscription, through Payroll deductions. Should be enclosed with each Third party payment. See Instruction biii) on page 7)

To whomsoever it may concern

We hereby declare that the Application Form No/s. \_\_\_\_\_ for subscription of units in \_\_\_\_\_

\_\_\_\_\_ (Name of the Scheme / Plan / Option) is accompanied by

Cheque No. \_\_\_\_\_ Dated \_\_\_\_\_

Drawn on \_\_\_\_\_ (Name of the Bank / Branch).

We confirm that the beneficial owner(s) of the investment in these units is/are \_\_\_\_\_

\_\_\_\_\_ (Name of the Employee/s, with employee number/s),

who is / are my / our employee/s and am providing the funds for these investments through the payroll deduction.

Signature of Declarant(s) \_\_\_\_\_

Name of Declarant(s) \_\_\_\_\_

Income Tax PAN \_\_\_\_\_ KYC Acknowledgement attached (Mandatory for any amount) ☐

Address of Declarant(s) \_\_\_\_\_

\_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_

Postal code \_\_\_\_\_ Country \_\_\_\_\_

Signature of Beneficiary (ies) \_\_\_\_\_

Signature of Beneficiary (ies) \_\_\_\_\_



## LIST OF COLLECTION CENTRES (DURING NEW FUND OFFER PERIOD)

### AMC Investor Service Centres :

<b>Mumbai</b>	: Brady House, 2nd Floor, 12 / 14, Veer Nariman Road, Fort, Mumbai - 400 023.
<b>Bengaluru</b>	: 403, 4th Floor, HM Geneva House, Cunningham Road, Bengaluru - 560 052.
<b>Chennai</b>	: Unit No.202, 2nd Floor Prince Towers, Door Nos. 25 & 26, College Road, Nungambakkam, Chennai - 600 006.
<b>Hyderabad</b>	: ABK Olbee Plaza, No. 502, 5th Floor, A-2-618 / 8 & 9, Road No. 1 & 11, Banjara Hills, Hyderabad - 500 034.
<b>Kolkata</b>	: 6th Floor, Suite No. 601, Lords Building, 7/1, Lord Sinha Road, Kolkata - 700 071.
<b>New Delhi</b>	: Hansalaya Building (1st Floor), 15, Barakhamba Road, New Delhi - 110 001.
<b>Pune</b>	: Office No. 7, 3rd Floor, Suyash Plaza, 189 A, Bhandarkar Road, Pune - 411 004.
<b>Ahmedabad</b>	: 3rd Floor, 302, VIVA Complex, Near Parimal Garden, Ellisbridge, Ahmedabad - 380 006.

### SCSBs:

Please visit the website [www.sebi.gov.in](http://www.sebi.gov.in) for the list of SCSBs. You may also check with your bank for the ASBA facility.



**BNP PARIBAS**  
**MUTUAL FUND**

**BNP Paribas Asset Management India Private Limited**

5th Floor, French Bank Building, 62, Homji Street, Fort, Mumbai 400 001 Tel. : 91-22 6656 0000 • [www.bnpparibasmf.in](http://www.bnpparibasmf.in)