# **Operating Systems**

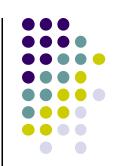
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## What is incorrect about overlays?

- A. overlays allows a large program to run in a smaller MEM
- B. Overlays only loads codes on demand (when they are used)
- Programmers need to split the program into modules
- Overlays is supported in all high level programming languages



## What is incorrect about swapping?

- A. swapping is the same as overlays
- B. swapping uses hard disk as the backing store
- c. swapping allows many processes whose size is even larger than MEM to run
- a lower priority process is rolled out for a higher priority one to run (when needed)





# Which is incorrect about non-contiguous MEM allocation?

- A. split logical memory into parts
- utilize MEM more effectively in comparison with contiguous allocation method
- need a Memory Management Unit
- only suitable for some types of processes

## Review



Which is correct about MMU of paging and segmentation allocation methods?

- A. they are the same
- B. MMU of paging needs more information than that of segmentation
- they use different resolution methods
- MMU of segmentation is faster than that of paging



### Suppose a process in contiguous allocation:

- the base address is 10400
- the limit register is 1200
- the reference is 246;

Which of the following is the correct physical address of the reference?

- A. 10154
- в. 10646
- c. 1446
- D. 954



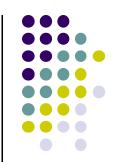
### A system uses paging

- the frame size of 2KB;
- the address register is 32 bits

Which of the following is correct about register segmentation?

- A. (page:offset) = (19:13)
- B. (page:offset) = (21:11)
- c. (page:offset) = (22:10)
- D. (page:offset) = (20:12)





**Frame** 

56

120

3

### A system uses paging

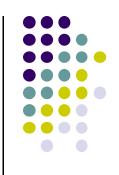
- the frame size of 4KB;
- the address register is 32 bits
- Which of the following is the correct physical address of the reference (2,1296)?
  - A. 560\*4096+1296
  - B. 120\*4096+1296
  - c. 3\*4096+1296
  - D. 120\*1024+1296

# **Virtual Memory**

Paging on demand
Page replacement
Frame allocation
Thrashing





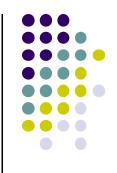


- Introduce paging method
- Introduce segmentation method



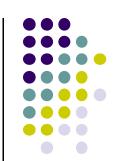


Chapter 9 of Operating System Concepts



# Virtual memory

# Virtual memory



- Separation of user logical memory from physical memory.
  - Only a part of the program needs to be in memory for execution
  - Logical address space can therefore be much larger than physical address space
  - Allows address spaces to be shared by several processes
  - Allows for more efficient process creation
- Virtual memory can be implemented via
  - Paging on demand
  - Segmentation on demand





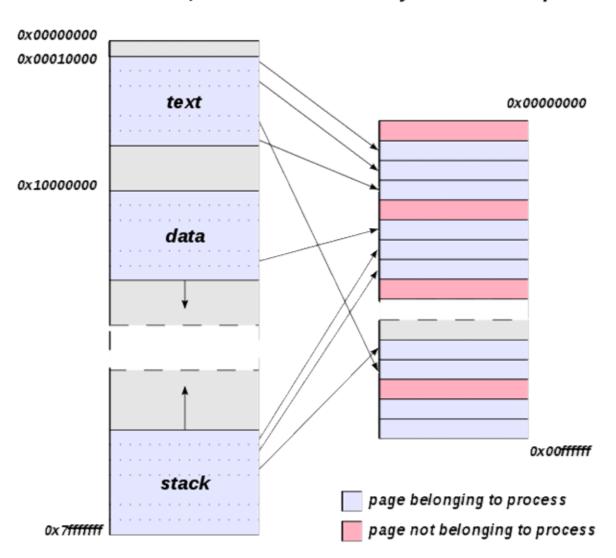
- Linux is, of course, a virtual memory system, meaning that the addresses seen by user programs do not directly correspond to the physical addresses used by the hardware. Virtual memory introduces a layer of indirection that allows a number of nice things. With virtual memory, programs running on the system can allocate far more memory than is physically available; indeed, even a single process can have a virtual address space larger than the system's physical memory. Virtual memory also allows the program to play a number of tricks with the process's address space, including mapping the program's memory to device memory.
- http://www.makelinux.net/ldd3/chp-15-sect-1

# Virtual-address Space

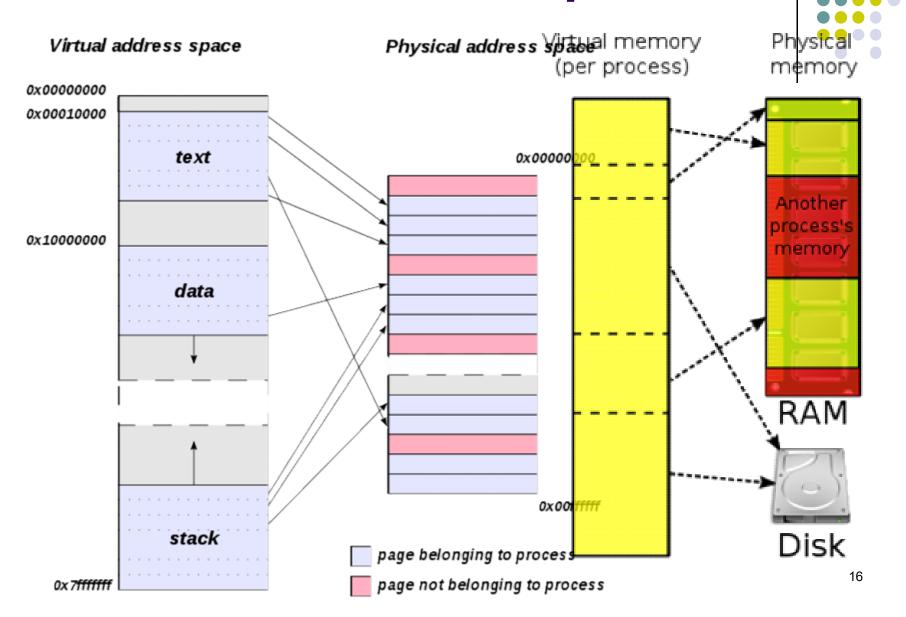


#### Virtual address space

#### Physical address space

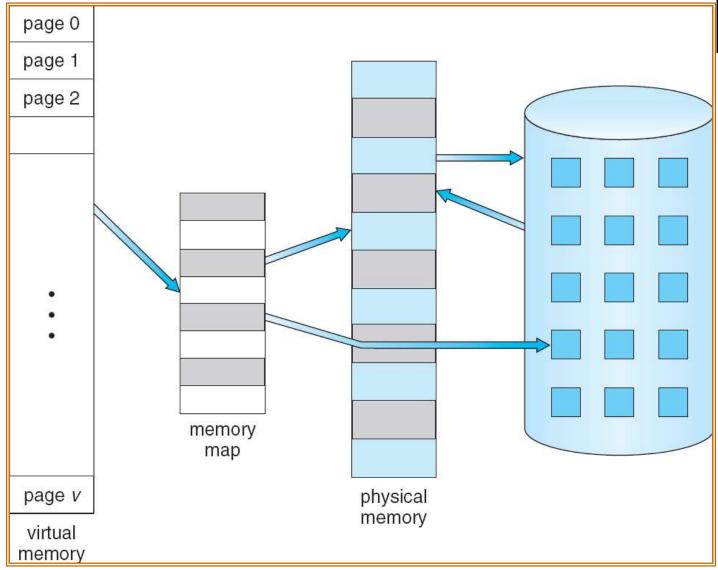


# Virtual-address Space



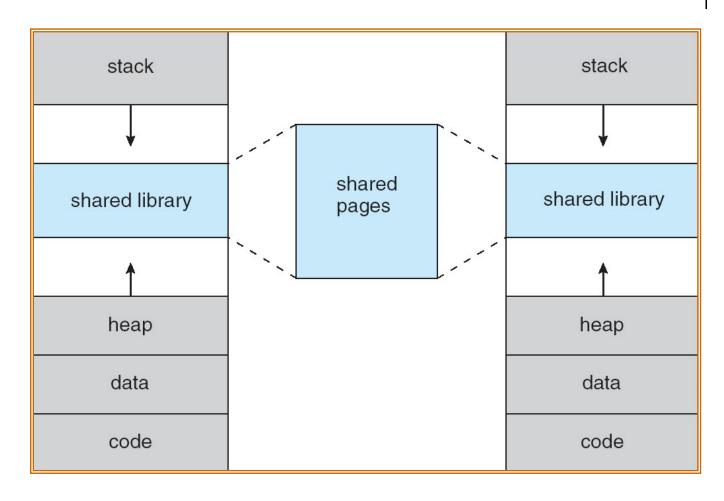
# Virtual Memory That is Larger Than Physical Memory





# Shared Library Using Virtual Memory





## **Process Creation**



- Virtual memory allows other benefits
  - Copy-on-Write during process creation
  - Memory-Mapped Files

## **Copy-on-Write**

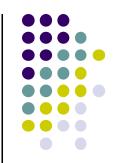
- Copy-on-Write (COW) allows both parent and child processes to initially share the same pages in memory
  - If either process modifies a shared page, only then is the page copied
  - refer to Sect. 2, Chapter 3 of "Lập trình C/C++ ..."
- COW allows more efficient process creation as only modified pages are copied

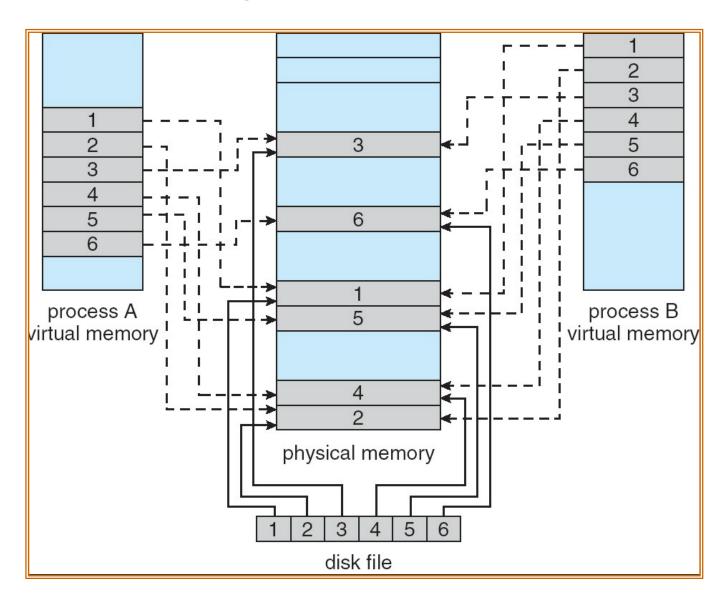
# **Memory Mapped Files**



- A file is considered as a memory segment
- Read/write operations are performed via memory
  - not read/write file system calls
- Allow multiple processes shared a file
- refer to Sect. 5, Chapter 4 of "Lập trình /C++ trên Linux"

# **Memory Mapped Files**







- What is the correct advantage of memory mapped file?
  - A. reduces the task of the system's OS
  - B. treats as the buffer for manipulating the file
  - allows programmers to organize the file
  - uses as shared resource among processes

- Which of the following is incorrect about virtual memory?
  - A. it is separated from physical memory
  - it is mapped into physical memory during process execution
  - c. it gives additional benefits, e.g., COW, file mapping
  - an address in virtual memory is preserved when mapped into physical memory

# **Dynamic loading**



- Routine is not loaded until it is called
- Better memory-space utilization
  - unused routine is never loaded
- Useful when
  - large amounts of code are needed to handle infrequently occurring cases
- No special support from the operating system
  - refer to Section 3.4, Chapter 7 of "Lập trình C/C++ ..."

# Dynamic linking and shared library



- Linking postponed until execution time
- Small piece of code, stub, used to locate the appropriate memory-resident library routine
  - Stub replaces itself with the address of the routine, and executes the routine
  - Operating system needed to check if routine is in processes' memory address
- Dynamic linking is particularly useful for libraries
- Also known as shared libraries in Linux
  - refer to Sect. 3, Chapter 7 of "Lập trình C/C++ trên Linux"



# Paging on demand

# Food order





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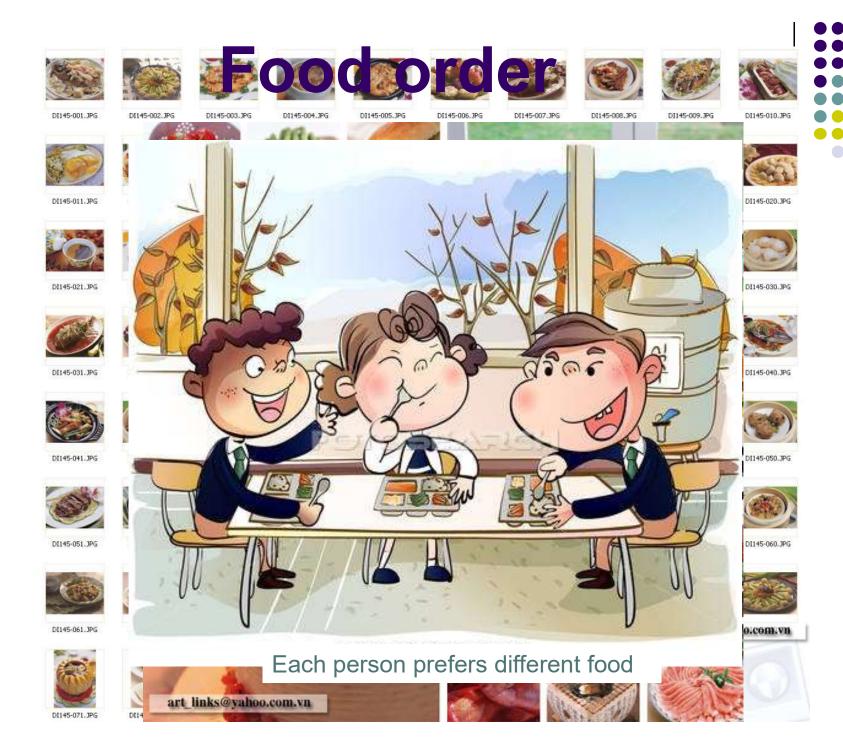
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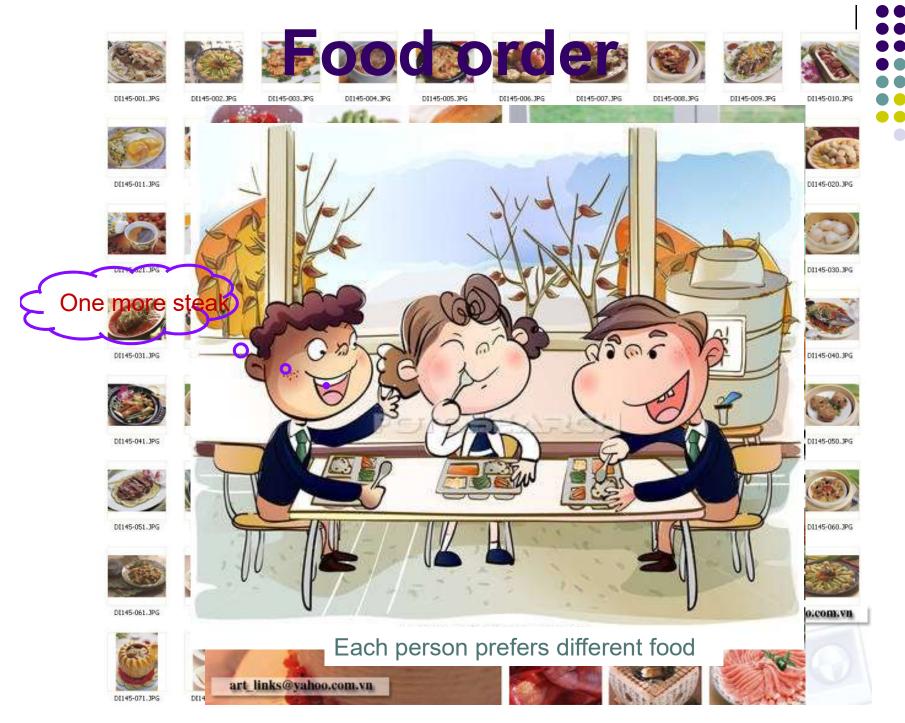
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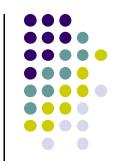
#### Paging on demand

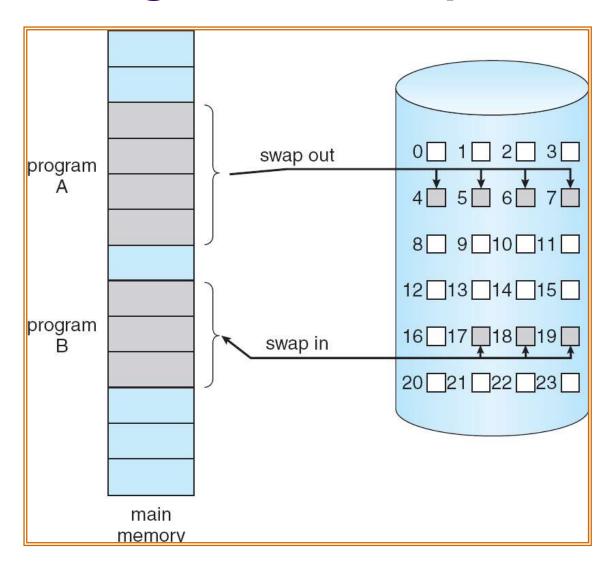
- Bring a page into memory only when needed
  - Less I/O needed
  - Less memory needed
  - Faster response
  - More users
- Page is needed ⇒ reference to it
  - invalid reference ⇒ abort
  - not-in-memory ⇒ bring to memory
- Lazy swapper never swaps a page into memory unless page is needed
  - Swapper that deals with pages is a pager



- Why it is possible when only a part of a process is loaded into MEM?
  - A. Because instructions of a process are independent
  - B. Because we can indicate which instructions to run
  - Because only one instruction is executed at a time
  - Because related instructions are always in the same group

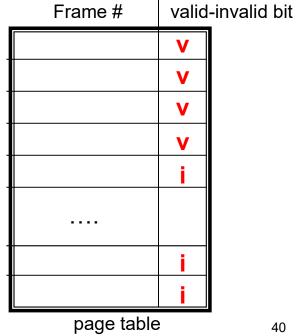
# Transfer of a Paged Memory to Contiguous Disk Space





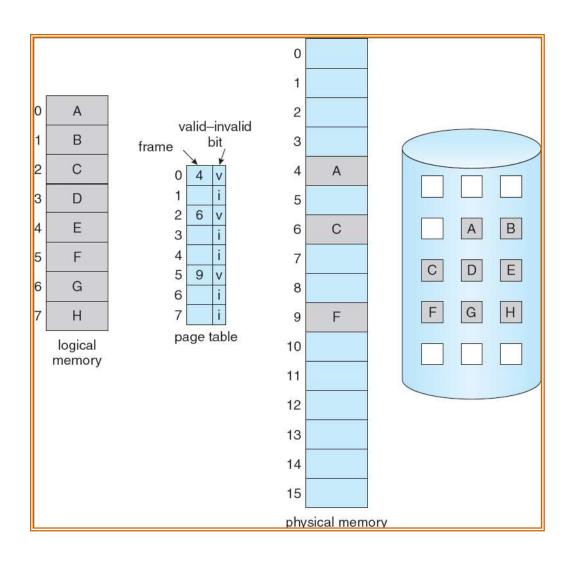
#### Valid-Invalid Bit

- is
- With each page table entry a valid—invalid bit is associated
  - (∨ ⇒ in-memory, i ⇒ not-in-memory)
- Initially valid—invalid bit is set to i on all entries
- Example of a page table snapshot:
- During address translation, if valid—invalid bit in page table entry is I ⇒ page fault



# Page Table When Some Pages Are Not in Main Memory





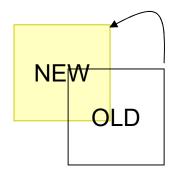
### Page Fault

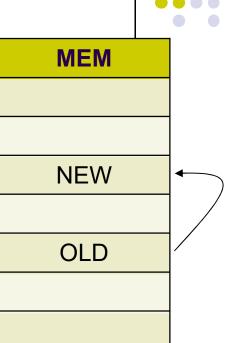
- If there is a reference to a page,
  - first reference to that page will trap to operating system: page fault
  - 1. Operating system looks at another table to decide:
    - Invalid reference ⇒ abort
    - Just not in memory
  - 2. Get empty frame
  - 3. Swap page into frame
  - 4. Update the page table
  - 5. Set validation bit = v
  - 6. Restart the instruction that caused the page fault



# Page Fault (Cont.)

- Restart instruction
  - block move

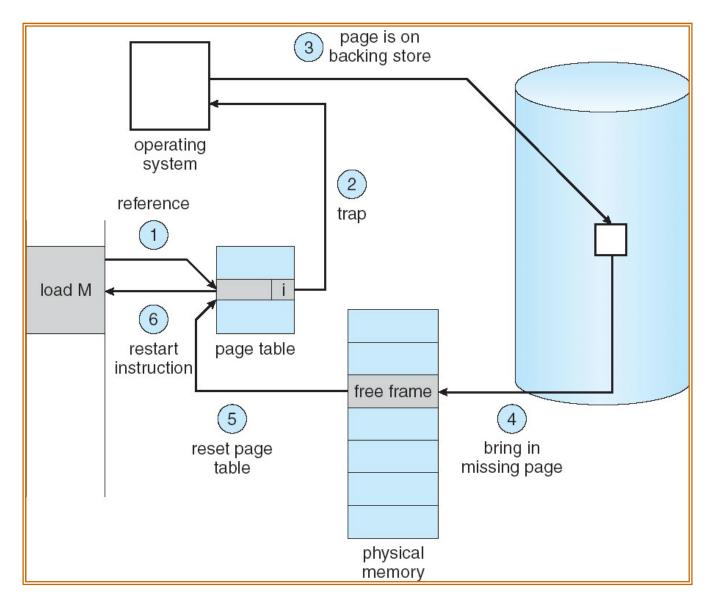


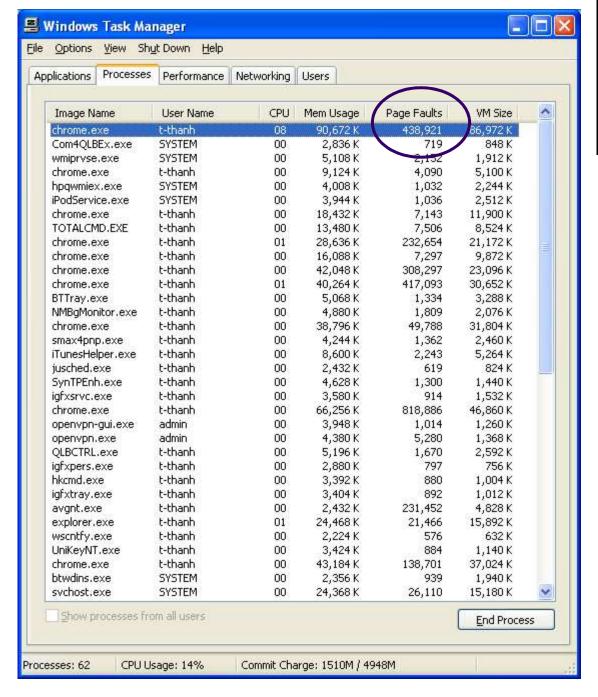


auto increment/decrement location

# Steps in Handling a Page Fault



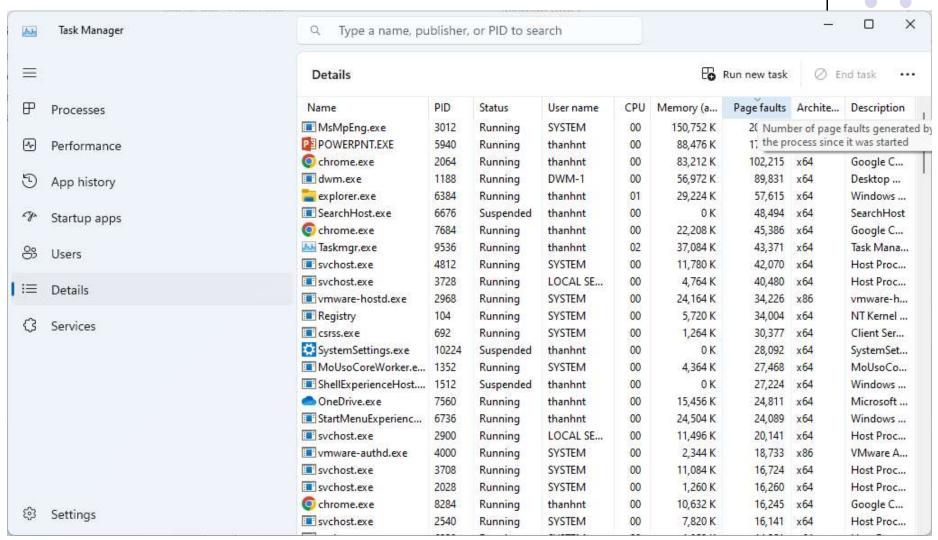






# **Process** information

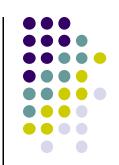




- Which of the following is incorrect about a page fault?
  - A. it happens in paging on demand
  - B. it happens when a reference to a page that is not in MEM
  - when a page fault occurs the corresponding process will be terminated
  - a page fault handler is called whenever it occurs

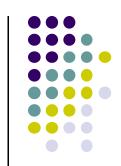
- Which of the following is incorrect order of steps in handling a page fault?
  - A. check if the valid bit is invalid ⇒ raise a page fault
  - B. a page fault is raised ⇒ find the page in backing store
  - c. a page fault is raised  $\Rightarrow$  find a free frame
  - D. load the page into memory ⇒ restart the instruction

#### If no free frame available



- Call page replacement procedure
  - swap out an unused page from MEM
- Algorithms
  - Optimal, FIFO, LRU, LRU-approximation, counting
- Performance of the algorithm
  - page-fault rate
  - which algorithm is better?

# Performance of paging on demand



- Page Fault Rate 0 ≤ *p* ≤ 1.0
  - if p = 0 no page faults
  - if p = 1, every reference is a page fault
- Effective Access Time (EAT)

```
EAT = (1 - p) * memory access
```

+ p (page fault overhead

- + swap page out
- + swap page in
- + restart overhead)

## **Paging on Demand Example**



- Memory access time = 200 nanoseconds
- Average page-fault service time = 8 milliseconds
  - EAT =  $(1 p) \times 200 + p (8 \text{ milliseconds})$ =  $(1 - p) \times 200 + p \times 8,000,000$ =  $200 + p \times 7,999,800$
- If one access out of 1,000 causes a page fault
  - EAT = 8.2 microseconds.
  - slowdown by a factor of 41!!

#### Page Replacement

- Prevent over-allocation of memory
  - include page replacement in page-fault service routine
- Use modify (dirty) bit to reduce overhead of page transfers
  - only modified pages are written to disk
- Page replacement completes separation between logical memory and physical memory
  - large virtual memory can be provided on a smaller physical memory

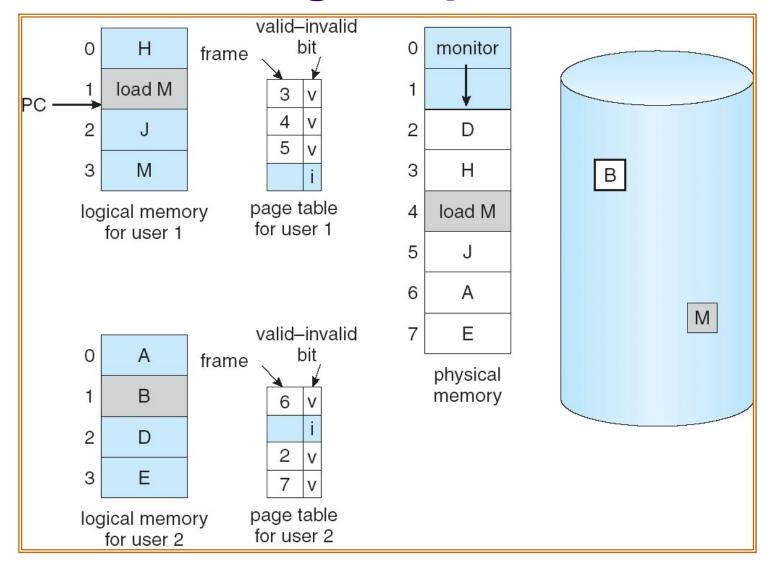
## Page Replacement

```
ntthanh@turing: -
                                        HighTotal:
                     0 kB
HighFree:
                     0 kB
LowTotal:
               1542448 kB
LowFree:
                299084 kB
SwapTotal:
               3112952 kB
SwapFree:
               3112952 kB
                    24 kB
Dirty:
Writeback.
                    U kB
AnonPages:
                180092 kB
Mapped:
                 29504 kB
Slab:
                 90524 kB
PageTables:
                  6912 kB
NFS Unstable:
                     0 kB
                     0 kB
Bounce:
CommitLimit:
               3884176 kB
Committed AS: 438656 kB
VmallocTotal: 34359738367 kB
VmallocUsed:
                  2220 kB
VmallocChunk: 34359735923 kB
HugePages Total:
                     0
HugePages Free:
                     0
HugePages Rsvd:
                     0
Hugepagesize:
                  2048 kB
[ntthanh@turing ~]$
```



## **Need For Page Replacement**

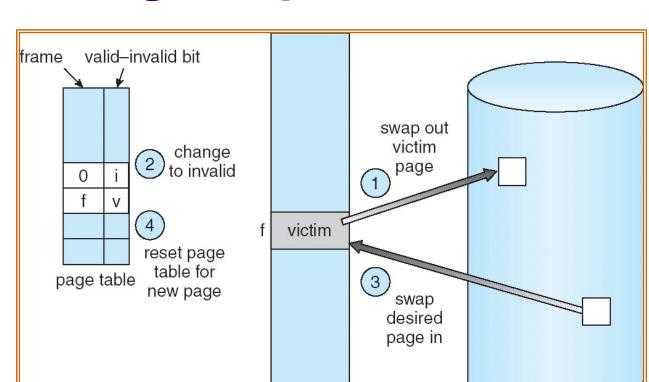




### **Basic Page Replacement**

- 1. Find the location of the desired page on disk
- 2. Find a free frame
  - If there is a free frame, use it
  - Else use a page replacement algorithm to select a victim frame (and swap it out)
- 3. Bring the desired page into the (newly) free frame; update the page table
- 4. Resume the process

## Page Replacement



physical memory





# Which of the following is incorrect about page replacement?

- A. a victim frame is selected to be swapped out
- B. the page table which is the victim will be updated
- the victim frame is always written into the backing store
- the victim frame is only written into the backing store if it is dirty

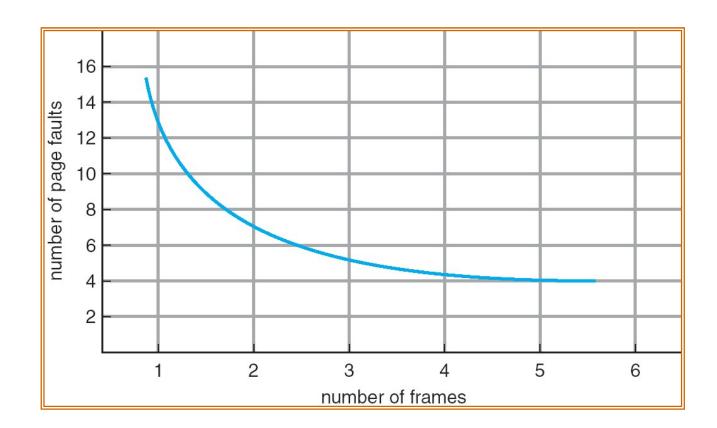


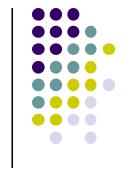


- Want lowest page-fault rate
- Evaluate algorithm
  - run it on a particular string of memory references (reference string)
  - compute the number of page faults on that string
- In all our examples, the reference string is

# **Graph of Page Faults Versus The Number of Frames**







### **Optimal Algorithm**

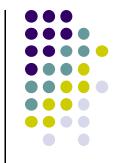
- Replace page that will not be used for longest period of time
- 4 frames example

1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5

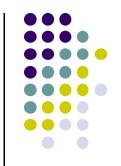
How do you know this?

 Used for measuring how well the algorithm performs

| 1 | 4        |               |
|---|----------|---------------|
| 2 |          | 6 page faults |
| 3 |          |               |
| 1 | <b>_</b> |               |

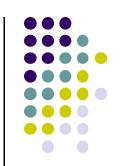


- A reference string 7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1, Optimal algorithm is used with 3 frames.
   Which of the following is the correct order of swapped out pages?
  - A. 710342
  - B. 701432
  - c. 710432
  - D. 714132



- A reference string 7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1, Optimal algorithm is used with 3 frames. Which of the following is the correct number of page faults?
  - A. 8
  - B. 9
  - c. 10
  - D. 11

# First-In-First-Out (FIFO) Algorithm

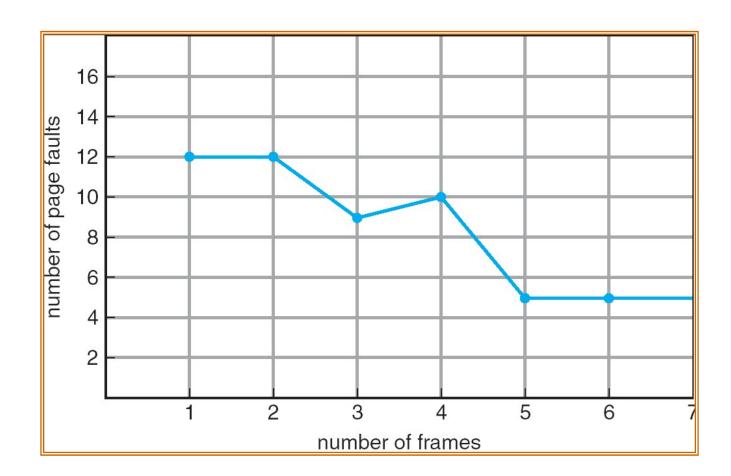


Reference string: 1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5

Belady's Anomaly: more frames ⇒ more page faults

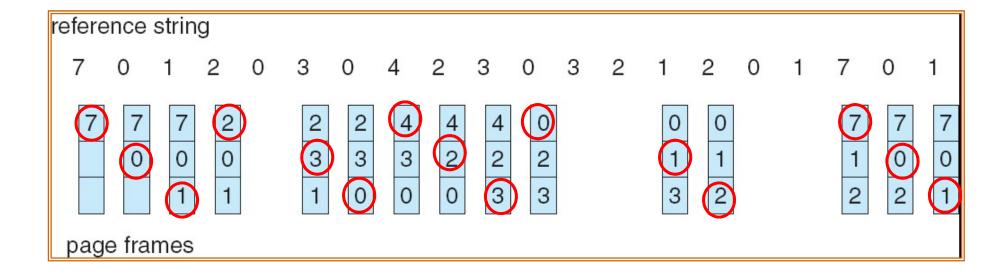
# FIFO Illustrating Belady's Anomaly

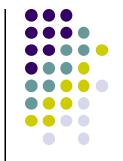




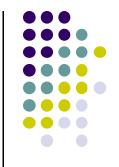






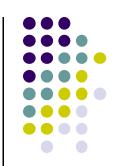


- A reference string 7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1, FIFO is used with 3 frames. Which of the following is the correct order of swapped out pages?
  - A. 701230423012
  - B. 701230432012
  - c. 701320423012
  - D. 701230423102



- A reference string 7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1, FIFO is used with 3 frames. Which of the following is the correct number of page faults?
  - A. 13
  - в. 14
  - c. 15
  - D. 16

# Least Recently Used (LRU) Algorithm



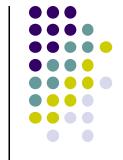
- Least recently used page is swapped out first
  - Reference string: 1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5
  - 4 frames

| 1 | 1 | 1 | 1 | 5 |
|---|---|---|---|---|
| 2 | 2 | 2 | 2 | 2 |
| 3 | 5 | 5 | 4 | 4 |
| 4 | 4 | 3 | 3 | 3 |





- A reference string 7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1, LRU is used with 3 frames.
- Which of the following is the correct order of swapped out pages?
  - A. 712314132
  - B. 721304232
  - c. 712304123
  - D. 712304032



- A reference string 7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1, LRU is used with 3 frames.
- Which of the following is the correct number of page faults?
  - A. 13
  - B. 12
  - c. 11
  - D. 10

## **Least Recently Used Algorithm**



- Counter implementation
  - Every page entry has a counter;
    - every time page is referenced, copy the clock into the counter
  - When a page needs to be swapped
    - look at the counters to determine

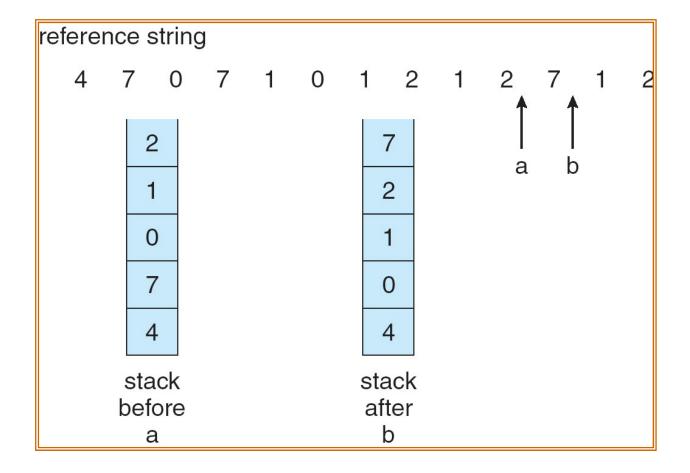




- Stack implementation
  - keep a stack of page numbers in a double link form
  - Page referenced:
    - move it to the top
    - requires 6 pointers to be changed
  - No search for replacement

# Use Of A Stack to Record The Most Recent Page References



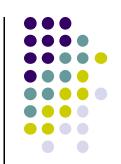


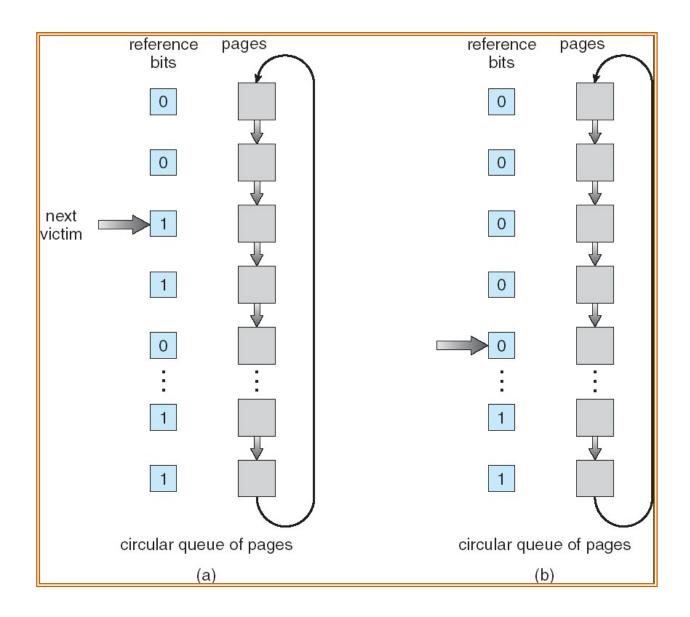
# **LRU Approximation Algorithms**



- Reference bit
  - With each page associate a bit, initially = 0
  - When page is referenced bit set to 1
  - Replace the one which is 0 (if one exists)
    - We do not know the order
- Second chance (follow clock order)
  - Need reference bit
  - Clock replacement
  - If page at the pointer has reference bit = 1 then:
    - set reference bit 0
    - leave page in memory
    - Move the pointer to next page, subject to same rules
  - Else select the page as the victim

# Second-Chance (clock) Page-Replacement Algorithm







- Suppose the second chance is used;
  - the reference bits of frames are: 1 1 0 1 1 0
  - the head is at second frame
- Which of the following are the reference bits after a page replacement is done
  - A. 000110
  - B. 101110
  - c. 100110
  - D. 101010



- Suppose the LRU counter implementation without additional support, which of the following is incorrect?
  - A. Timestamp is used to mark the referred time
  - B. The smallest timestamp is selected for replacement
  - c. Searching is need to find the smallest timestamp
  - No need to search to find the smallest timestamp



- Suppose the LRU counter implementation without additional support, which of the following is incorrect?
  - A. Timestamp is used to mark the referred time
  - B. The smallest timestamp is selected for replacement
  - Searching is need to find the smallest timestamp
  - No need to search to find the smallest timestamp

# **Counting Algorithms**

- Keep a counter of the number of references that have been made to each page
- Least Frequently Used (LFU) Algorithm
  - replaces page with smallest count
- Most Frequently Used (MFU) Algorithm
  - based on the argument that the page with the smallest count was probably just brought in and has yet to be used

#### **Allocation of Frames**



- Each process needs minimum number of pages
- Example: IBM 370 6 pages to handle SS MOVE instruction:
  - instruction is 6 bytes, might span 2 pages
  - 2 pages to handle from
  - 2 pages to handle to
- Two major allocation schemes
  - fixed allocation
  - priority allocation

#### **Fixed Allocation**



- Equal allocation
  - For example, if there are 100 frames and 5 processes, give each process 20 frames.
- Proportional allocation
  - Allocate according to the size of process

$$-s_i = \text{size of process } p_i$$

$$-S = \sum S_i$$

-m = total number of frames

$$-a_i = \text{allocation for } a_i = \frac{S_i}{S} \times m$$

$$m = 64$$

$$s_1 = 10$$

$$s_2 = 127$$

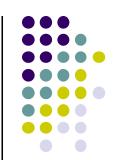
$$a_1 = \frac{10}{137} \times 64 \approx 5$$

$$a_2 = \frac{127}{137} \times 64 \approx 59$$



- A system uses proportional allocation and has
  - 90 frames x 2KB
  - 3 processes with size of (138KB, 96KB, 164KB)
- Which of the following is the correct number of allocated frames of (P<sub>1</sub>, P<sub>2</sub>, P<sub>3</sub>)
  - A. 32, 21, 37
  - в. 31, 22, 37
  - c. 30, 22, 38
  - D. 33, 22, 35

# **Priority Allocation**



- Use a proportional allocation scheme using priorities rather than size
- If process P<sub>i</sub> generates a page fault,
  - select for replacement one of its frames
  - select for replacement a frame from a process with lower priority number

#### Global vs. Local Allocation



#### Global replacement

- process selects a replacement frame from the set of all frames;
- one process can take a frame from another

#### Local replacement

 each process selects from only its own set of allocated frames

# **Thrashing**

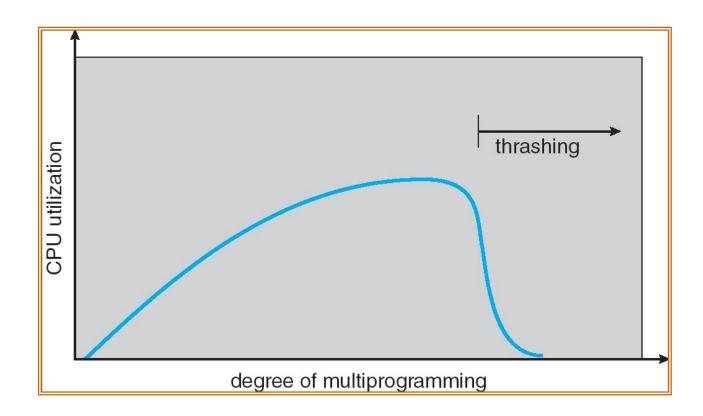
 If a process does not have "enough" frames, the page-fault rate is very high.

#### Thrashing

- a process is busy swapping pages in and out
- This caused by:
  - low CPU utilization
  - operating system thinks that it needs to increase the degree of multiprogramming
  - another process added to the system

# **Thrashing (Cont.)**





# **Solutions to Thrashing**



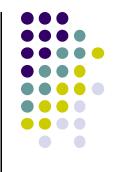
- Use local allocation
- Use priority allocation
  - not good solution
- Working set model
  - A suitable solution



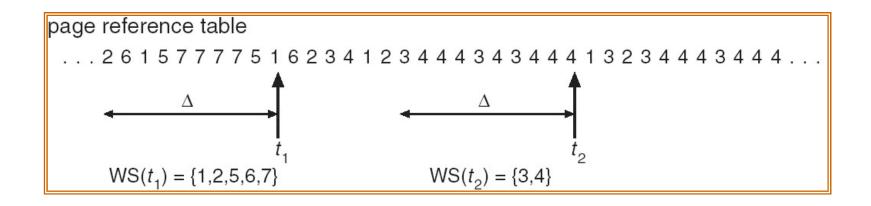
- Which of the following is incorrect about priority allocation?
  - A. higher priority process is allocated first
  - B. it prevents thrashing from happening
  - c. frames are allocated globally
  - it does not prevent thrashing from happening

# **Working-Set Model**

- $\Delta \equiv$  working-set window
  - a number of page references, e.g. 10,000
- Working set of Process P<sub>i</sub>
  - $WSS_i$  =total number of pages referenced in the most recent  $\Delta$  (varies in time)
  - if ∆ too small will not encompass entire locality
  - if  $\Delta$  too large will encompass several localities
  - if  $\Delta = \infty \Rightarrow$  will encompass entire program
- $D = \Sigma WSS_i \equiv \text{total demand frames}$
- if D > m (total of frames)⇒ Thrashing
- Policy if D > m, then suspend one process



# Working-set model



# **Keep Track of the WSet**

- t bit
- Approximate with interval timer + a reference bit
- Example:  $\Delta = 10,000$ 
  - Timer interrupts after every 5000 time units
  - Keep in memory 2 bits for each page in last 10000 and 15000
  - 15000--..bit1..-- 10000 ---..bit2..--- 5000 ---..ref.. --- now
  - Whenever a timer interrupts copy and sets the values of all reference bits to 0
  - If one of the bits in memory = 1 ⇒ page in working set
- Why is this not completely accurate?
- Improvement = 10 bits and interrupt every 1000 time units



- Suppose a delta =10; reference string
  - 261577775162344434413234443
     444...
- Which of the following is the correct WSS at 20<sup>th</sup> reference?
  - A. {2 3 4 6}
  - В. {2 3 4 5 6}
  - c. {1 2 3 4 6}
  - D. {7 1 2 3 4 6}

