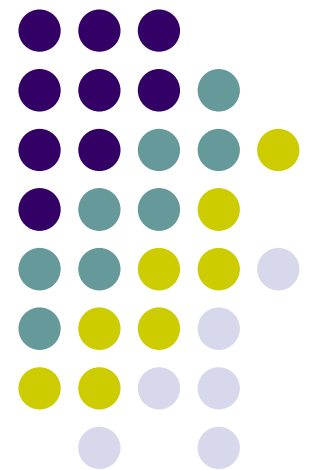


# Operating System

---

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# Review

- A system uses FCFS process (arrived\_time, duration)
  - $P_1(0,20)$ ,  $P_2(30,10)$ ,  $P_3(20,40)$ ,  $P_4(50,15)$
- Which of the following is the correct running order of the above processes?
  - A.  $P_1, P_2, P_3, P_4$
  - B.  $P_1, P_3, P_2, P_4$
  - C.  $P_1, P_4, P_2, P_3$
  - D.  $P_5, P_2, P_3, P_1$



# Review

- A system uses SJF process (arrived\_time, duration)
  - $P_1(0,20)$ ,  $P_2(30,10)$ ,  $P_3(20,40)$ ,  $P_4(50,15)$
- Which of the following is the correct running order of the above processes?
  - A.  $P_1, P_2, P_3, P_4$
  - B.  $P_1, P_4, P_2, P_3$
  - C.  $P_1, P_3, P_2, P_4$
  - D.  $P_4, P_2, P_3, P_1$



# Review

- A system uses SRTF process (arrived\_time, duration)
  - $P_1(0,20)$ ,  $P_2(30,10)$ ,  $P_3(20,40)$ ,  $P_4(40,15)$
- Which of the following is the correct running order of the above processes?
  - A.  $P_1, P_3, P_2, P_4, P_3$
  - B.  $P_1, P_2, P_3, P_4, P_4$
  - C.  $P_1, P_4, P_2, P_3, P_2$
  - D.  $P_1, P_2, P_3, P_1, P_4$



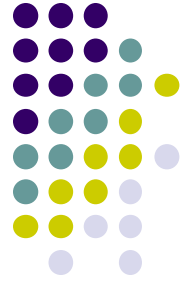
# Review

- A system uses RR process (arrived\_time, duration)
  - $P_1(0,22)$ ,  $P_2(30,10)$ ,  $P_3(20,40)$ ,  $P_4(40,25)$
  - Time quantum = 15
- Which of the following is the correct **running order** of the above processes?
  - A.  $P_1, P_2, P_3, P_1, P_2, P_3, P_4, P_3$
  - B.  $P_1, P_3, P_1, P_3, P_2, P_3, P_4, P_3$
  - C.  $P_1, P_1, P_2, P_3, P_2, P_3, P_4, P_3$
  - D.  $P_1, P_1, P_3, P_2, P_3, P_4, P_3, P_4$

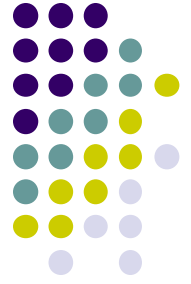


# Review

- A system uses RR process (arrived\_time, duration)
  - $P_1(0,20)$ ,  $P_2(30,10)$ ,  $P_3(20,40)$ ,  $P_4(40,25)$
  - Time quantum 15
- Which of the following is the correct total **waiting time** of the above processes?
  - A. 40
  - B. 50
  - C. 60
  - D. 70



# Inter-process Communication (IPC)



# Objectives

- Present what IPC is
- Present why we need synchronization
  - Methods of synchronization
  - Classical synchronization problems
- Write a simple synchronization program



# Reference



- Chapter 3, 6 of **Operating System Concepts**



# Introduction

- In some situations, processes need to communicate with each other
  - To send/receive data (web browser – web server)
  - To control the other process
  - To synchronize with each other
- This can be done by IPC
- IPC is implemented differently among OSes
  - Linux: message queue, semaphore, shared segment, ...



## Introduction (cont'd)

- IPC can be divided into 2 categories
  - IPC among processes within the same system
    - Linux: pipe, named pipe, file mapping, ...
  - IPC among processes in different systems
    - Remote Procedure Call (RPC), Socket, Remote Method Invocation (RMI), ...



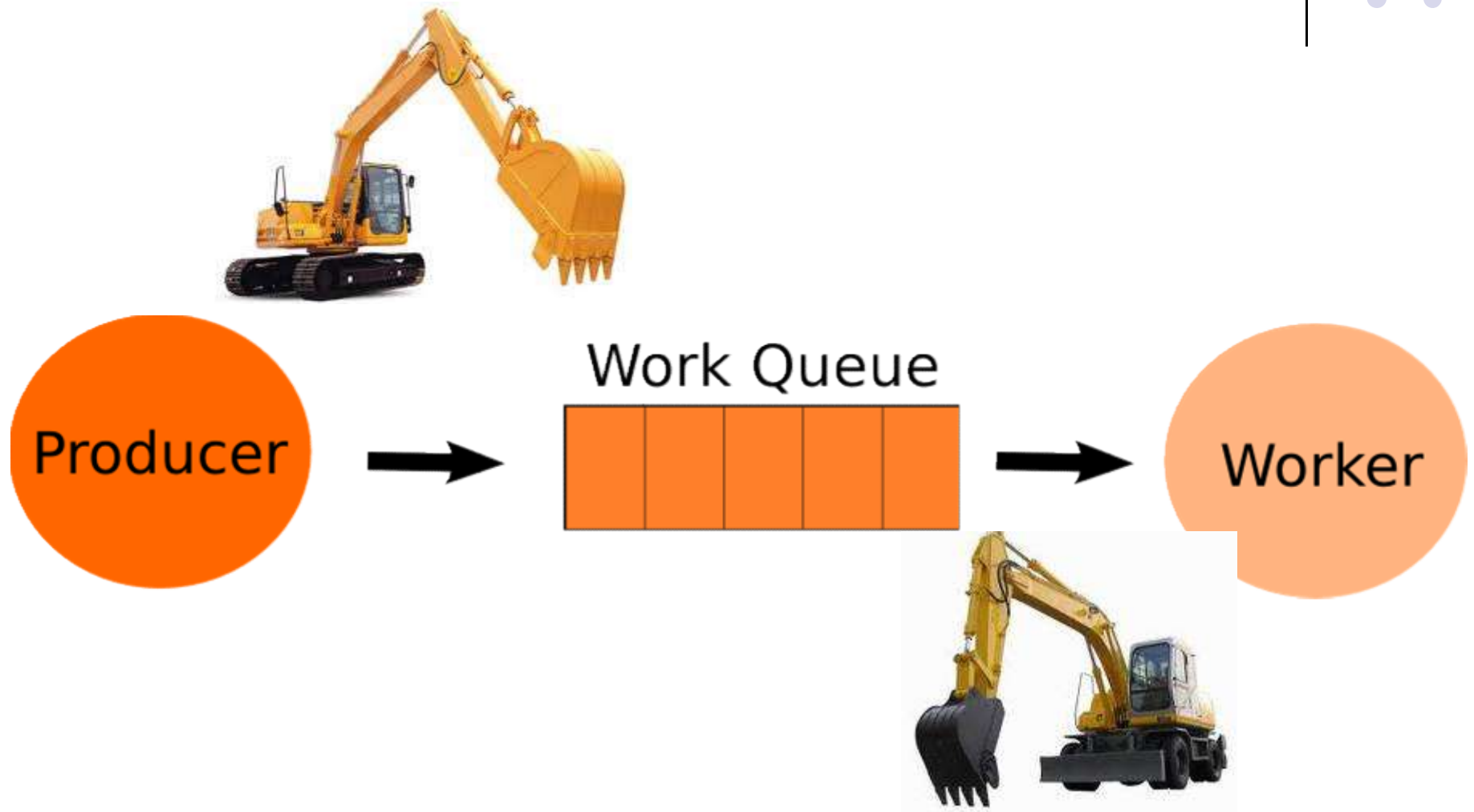
# Process Synchronization

# Synchronization definition

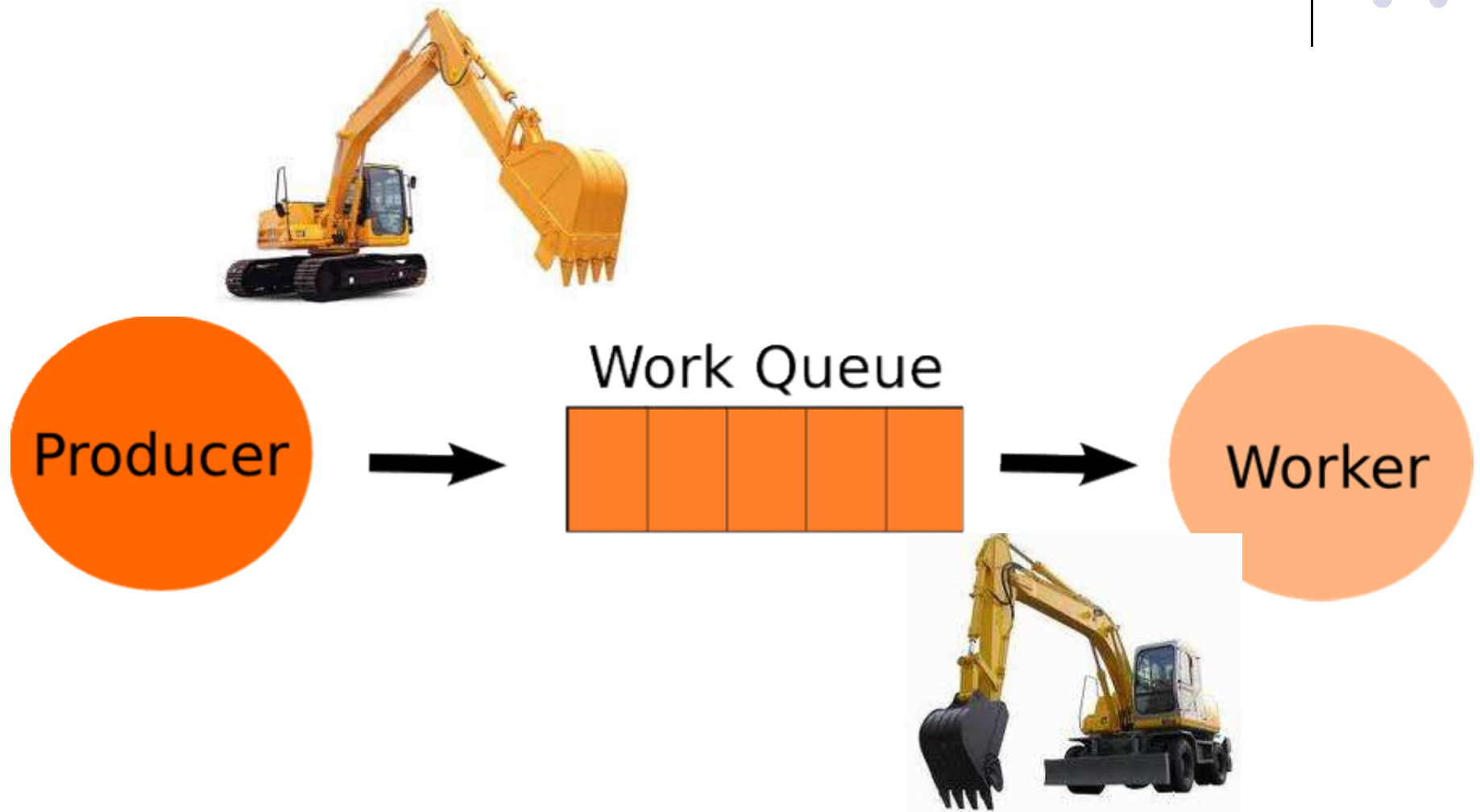


- **Process synchronization** refers to the idea that multiple processes are to join up or **handshake at a certain point**, in order to reach an agreement or **commit to a certain sequence of actions**.
  - [http://en.wikipedia.org/wiki/Synchronization\\_\(computer\\_science\)](http://en.wikipedia.org/wiki/Synchronization_(computer_science))

# Synchronization is everywhere

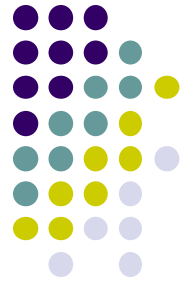


# Synchronization is everywhere



[another example ...](#)

# Synchronization is everywhere





# Synchronization is everywhere



Produce



Worker

# Synchronization is everywhere



Produce

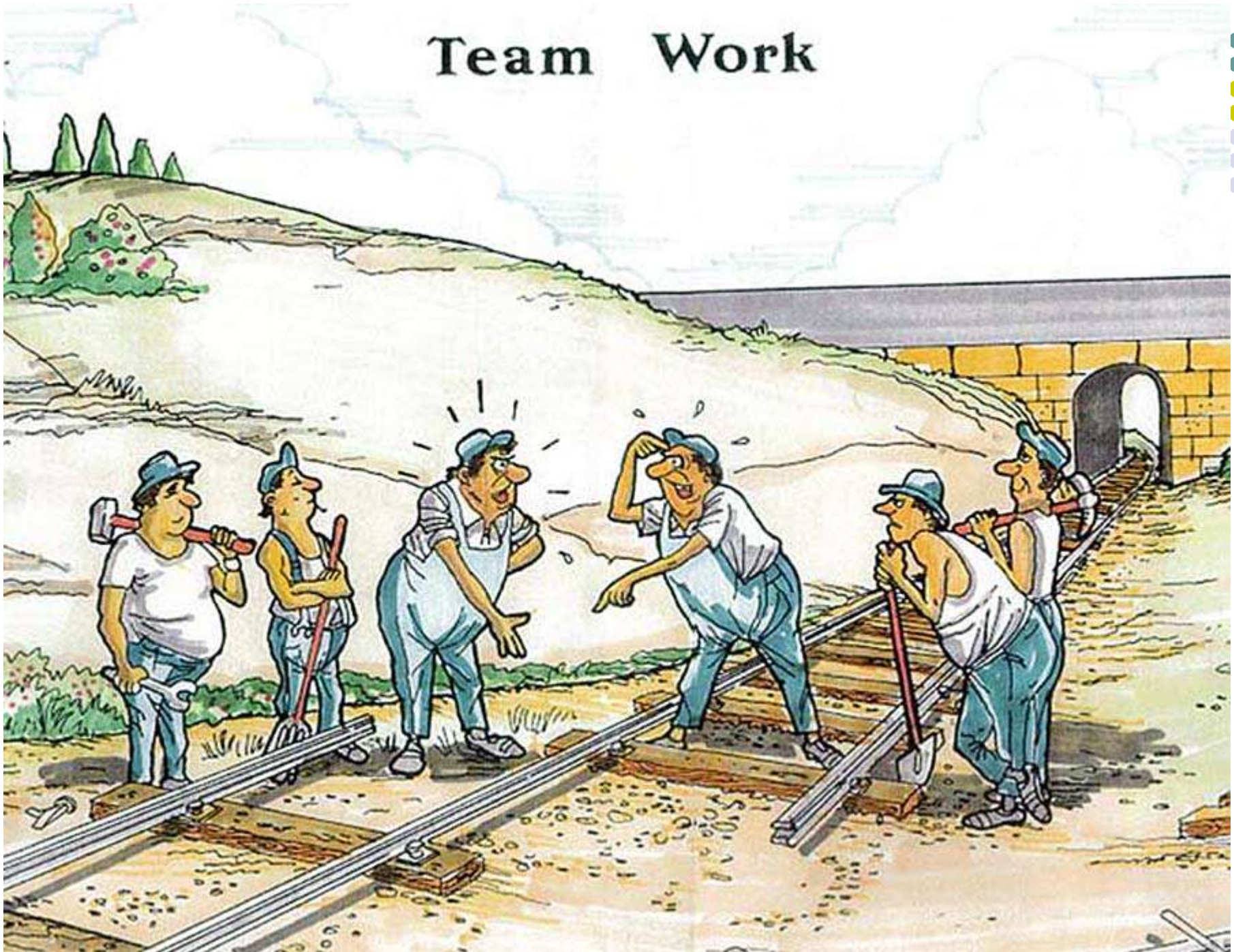


Worker

[another example ...](#)



# Team Work





# Problem

**Write process *P*:**

```
while (true) {  
    val=buf;  
    val += count();//Take time  
    buf=val;  
}
```

buf: Buffer

```
UPDATE A SET  
    buf=buf+count();
```



# Problem

**Write process *P*:**

```
while (true) {  
    val=buf;  
    val += count();//Take time  
    buf=val;  
}
```

buf: Buffer

**UPDATE A SET**  
**buf=buf+count();**

What if more than  
one *P* are  
running?



## Problem (cont'd)

- Two concurrent processes

```
val=buf;  
val += count();  
buf=val;
```

```
val=buf;  
val += count();  
buf=val;
```

Do we always get the expected value of **buf**? Why?



## Problem (cont'd)

- Suppose buf=5

val=buf;	//val=5
val+=count();	//val=10
val=buf;	//val=5
val+=count();	//val=10
buf=val;	//buf=10
buf=val;	//buf=10



## Problem (cont'd)

- Cause:  $P$  and  $Q$  simultaneously operate on global variable **buf**
- Solution: Let them operate **separately**

val=buf;	//val=5
val+=count();	//val=10
buf=val ;	//buf=10

val=buf;	//val=10
val+=count();	//val=15
buf=val ;	//buf=15



# Race condition

- Happen when many processes simultaneously work with shared data





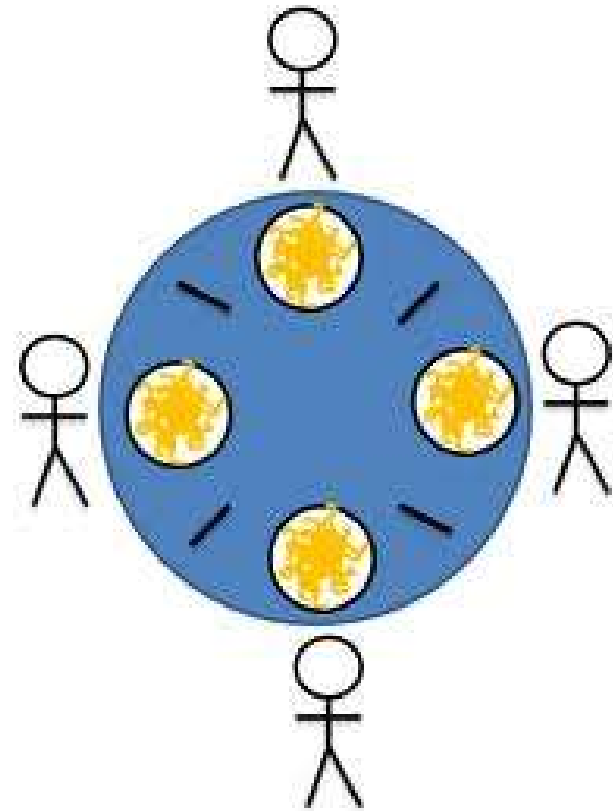
# Race condition

- Happen when many processes simultaneously work with shared data



# Race condition

- Happen when many processes simultaneously work with shared data





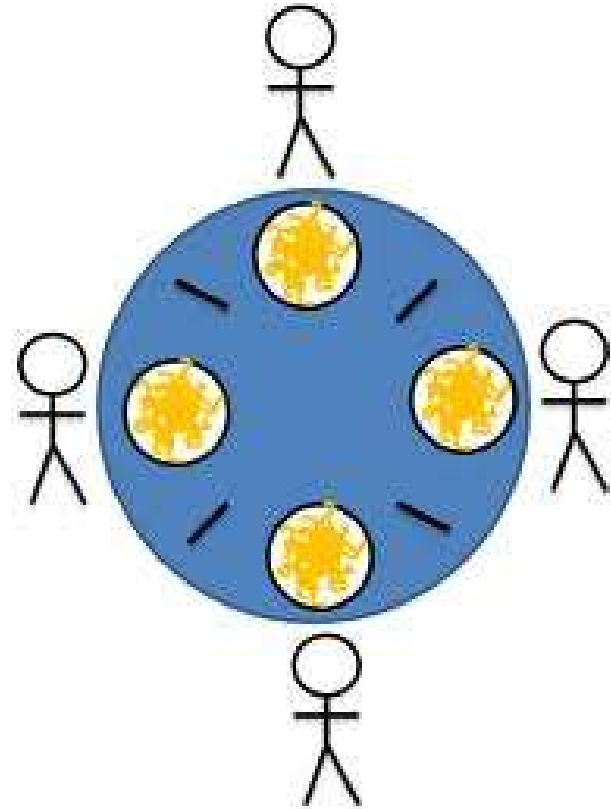
# Race condition

- Happen when many processes simultaneously work with shared data



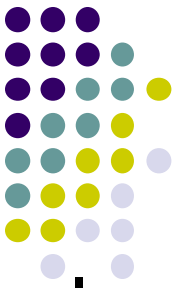
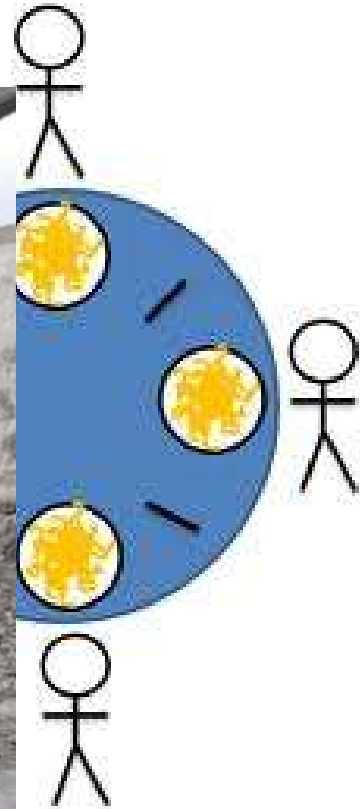
# Race condition

- Happen when many processes simultaneously work with shared data



# Race condition

- Happen when many processes simultaneously work with shared data





# Race condition

- Happen when many processes simultaneously work with shared data



To avoid “trouble”, processes need to be controlled



# Critical section

- In [concurrent programming](#) a **critical section** is a piece of [code](#) that accesses a shared resource (data structure or device) that must not be concurrently accessed by more than one [thread of execution](#). A critical section will usually terminate in fixed time, and a thread, task or process will have to wait a fixed time to enter it (aka bounded waiting). Some [synchronization](#) mechanism is required at the entry and exit of the critical section to ensure exclusive use, for example a [semaphore](#).
- ([http://en.wikipedia.org/wiki/Critical\\_section](http://en.wikipedia.org/wiki/Critical_section))





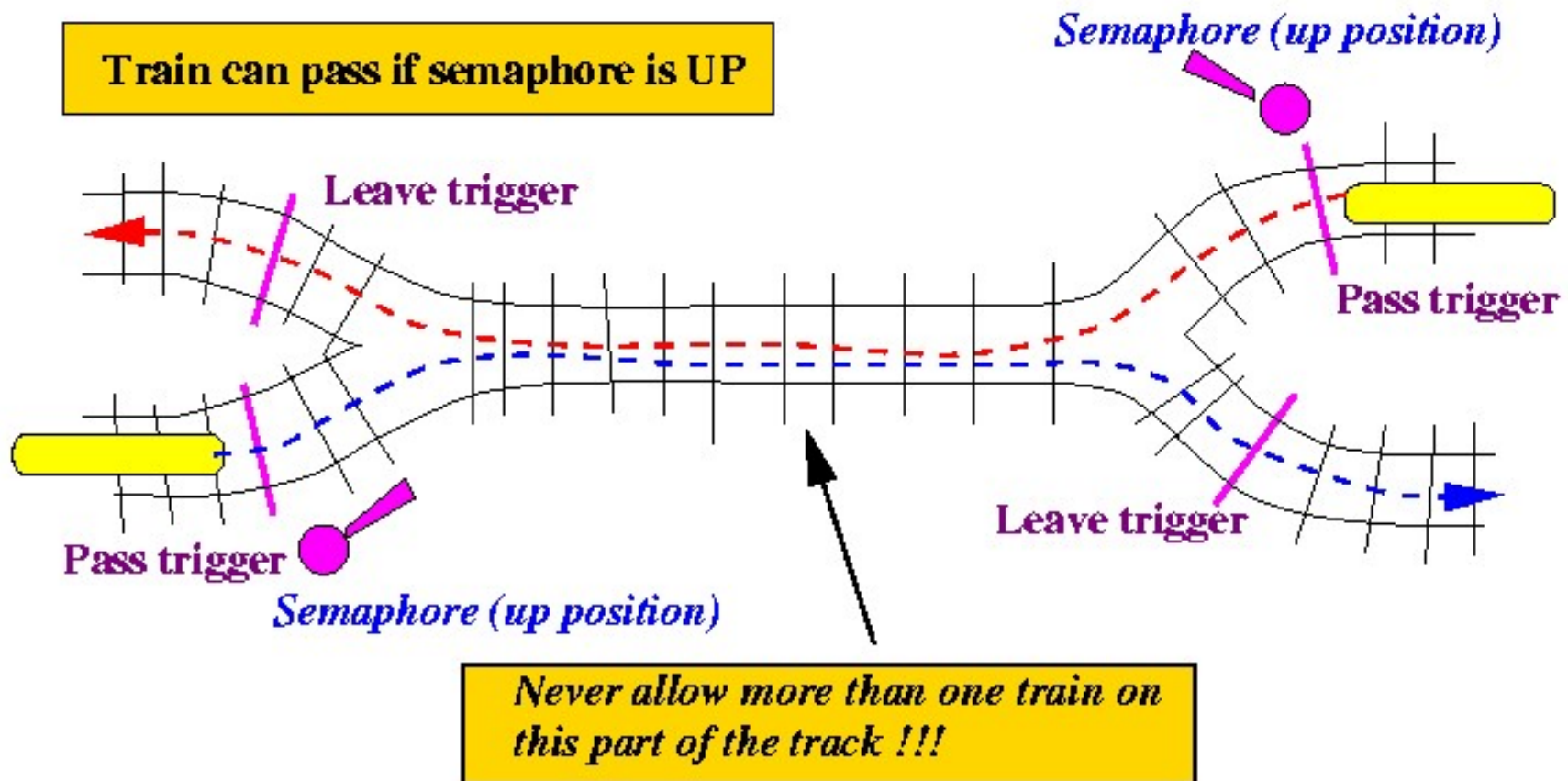
# Critical section

- Suppose  $n$  processes  $P_1, \dots, P_n$  share a global variable  $v$ 
  - $v$  can also be other resource, e.g, file
- Each process has a segment of code  $CS_i$  which operates on  $v$ 
  - $CS_i$  is called **critical section**
  - Because it is critical to prone errors
  - $CS_i$  should be the **smallest** code segment
- Need to make the critical section safe

# Critical section



# Critical section





# Question

**Process *P*:**

```
while (true) {  
    waitForNewRequest();  
    if(found){  
        hit+=1;  
        val=hit;  
    }  
    Respond();  
}
```

hit: a global variable

Which is the critical section of the code when multiple processes of *P* run?



# Question

**Process *P*:**

```
while (true) {  
    waitForNewRequest();  
    if(found){  
        hit+=1;  
        val=hit;  
    }  
    Respond();  
}
```

hit: a global variable

Which is the critical section of the code when multiple processes of *P* run?

```
while (true) {  
    waitForNewRequest();  
    if(found){  
        hit+=1;  
        val=hit;  
    }  
    Respond();  
}
```

}



## Critical section (cont'd)

- Common structure

do {

Enter\_Section ( $CS_i$ );

Run  $CS_i$ ;

Exit\_Section( $CS_i$ );

Run ( $REMAIN_i$ ); // Remainder section

} while (TRUE);



## Critical section (cont'd)

- Short description

do {

*ENTRY<sub>i</sub>*;               // Enter section

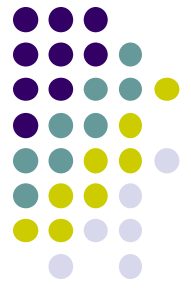
*Run CS<sub>i</sub>*;             // Critical section

*EXIT<sub>i</sub>*;                // Exit section

*REMAIN<sub>i</sub>*;            // Remainder section

} while (TRUE);

# Implementation of Critical section



Implementation must satisfy 3 conditions

## 1. Mutual Exclusion

- If a process is in its critical section, then **no other** processes can be in their critical sections

## 2. Progress

- If **no** process is in its critical section
- other processes waiting to enter their critical section,
- then the selection of the process to enter the critical section cannot be postponed **indefinitely**

## 3. Bounded Waiting

- No process has to wait **indefinitely** to enter its critical section



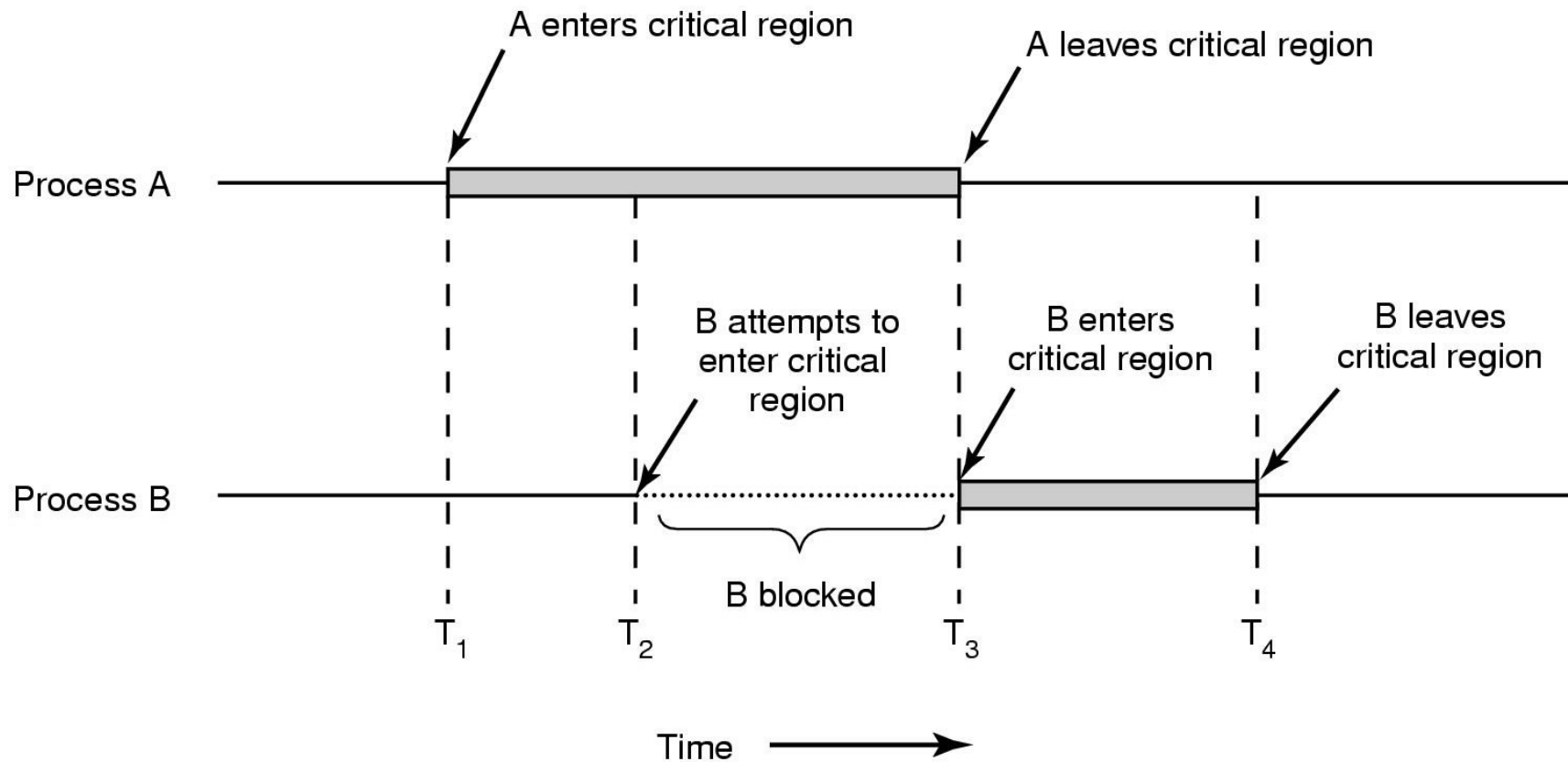
# Question



Which is the purpose of the first condition?

- A. It supports the priority of process
- B. It ensures the correct use of the shared resource
- C. It tries to utilize the shared resource effectively
- D. It makes the implementation of OS simpler

# Critical section



Mutual exclusion using critical regions

# Question



Which is the consequence of the second condition?

- A. It reduces the waiting time of requested processes
- B. It ensures the correct use of the shared resource
- C. It supports the priority of processes
- D. It makes the implementation of OS simpler

# Question



Which is the consequence of the second condition?

- A. It supports the priority of processes
- B. It ensures the correct use of the shared resource
- C. It utilizes the shared resource effectively
- D. It makes the algorithm complicated to implement

# Question



Which is the consequence of the 3<sup>rd</sup> condition?

- A. It supports the priority of processes
- B. It ensures the correct use of the shared resource
- C. It utilizes the shared resource effectively
- D. It makes sure no process can never enter its critical section

# Question



Which is the correct conditions of critical section?

- A. mutual exclusion, protection, bounded using
- B. mutual exclusion, protection, bounded waiting
- C. mutual exclusion, progressive, bounded waiting
- D. mutual exclusion, bounded waiting, progress

# Question



Which is the correct purpose the 2<sup>nd</sup> condition of critical section?

- A. maximize CPU utilization
- B. maximize the shared resource utilization
- C. maximize disk utilization
- D. maximize RAM utilization

# Question



Which is the consequence of the 3<sup>rd</sup> condition?

- A. It supports the priority of processes
- B. It ensures the correct use of the shared resource
- C. It ensures the relative fairness of processes to use the shared resource
- D. It utilizes the shared resource effectively



# The fairness



The fair exam today is to swim

# Critical section (cont'd)



- Each process has to
  - request to run (enter section) its critical section  $CS_i$
  - and announce its completion (exit section) of its  $CS_i$ .

# Peterson's Solution



- Solution for two processes
- The two processes share two variables:
  - int **turn**; // with the value of 0 or 1
  - Boolean **flag[2]**
- The variable **turn** indicates whose turn it is to enter the critical section
  - If **turn==i** then  $P_i$  is in turn to run its  $CS_i$
- The **flag** array is used to indicate if a process is ready to enter the critical section. **flag[i] = true** implies that process  $P_i$  is ready!



## Peterson's solution (cont'd)

- Program  $P_i$ :  
do {  
    flag[i] = TRUE;  
    turn = j;  
    while (flag[j] && turn == j) ;  
    *CS<sub>i</sub>*;  
    flag[i] = FALSE;  
    *REMAIN<sub>i</sub>*;  
} while (1);

# Peterson's solution (cont'd)



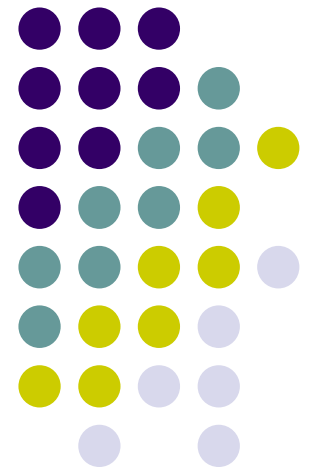
- The proof of this solution is provided on page 196 of the textbook
- Comments
  - Complicated when the number of processes increases
  - Difficult to control

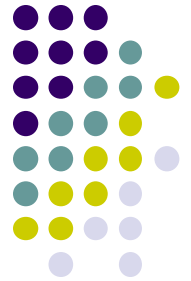


# Question

- Which code snippet is Enter\_Section?
  - A. `flag[i] = TRUE;`  
`turn = j;`  
`while (flag[j] && turn == j) ;`
  - B. `flag[i] = TRUE;`  
`while (flag[j] && turn == j) ;`
  - C. `flag[i] = TRUE;`  
`turn = j;`
  - D. `turn = j;`  
`while (flag[j] && turn == j) ;`

# Semaphore





# Reference information

- Semaphore is proposed by Edsger Wybe Dijkstra (Dutch) for Computer Science in 1972
- Semaphore was firstly used in his book “The operating system”



Edsger Wybe Dijkstra  
(1930-2002)



# Semaphore



- Semaphore is **an integer**, can be only access through two **atomic operators** wait (or P) and signal (or V).
  - P: proberen – check (in Dutch)
  - V: verhogen – increase (in Dutch)
- Processes can share a semaphore
- **Atomic operators** guarantee the **consistency**



# wait and signal operators

wait(S)     // or P(S)

```
{  
    while (S<=0);  
    S--;  
}
```

- Wait if semaphore  $S \leq 0$  else decrease S by 1

signal(S)    // or V(S)

```
{  
    S++;  
}
```

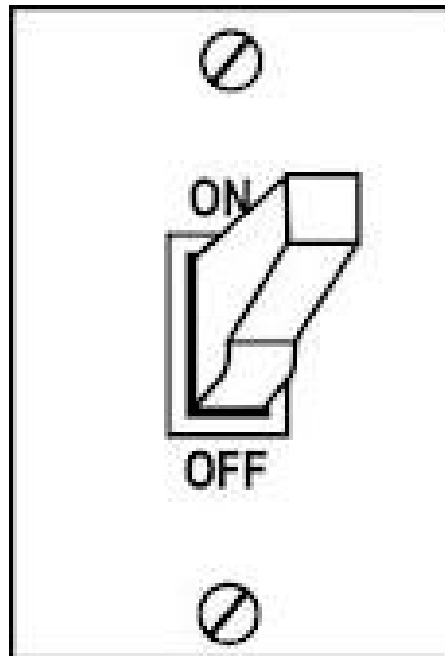
- Increase S by 1



# Using semaphore

- Apply for critical section  
do {  
    wait(s); // s is a semaphore initialized by 1  
    *CS<sub>i</sub>*;  
    signal(s);  
    *REMAIN<sub>i</sub>*;  
} while (1);

# Semaphore



# Semaphore



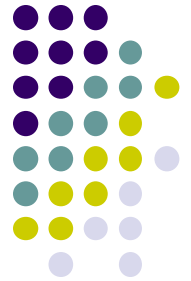
# Semaphore



# Semaphore



# Semaphore





# Semaphore



# Semaphore



# Semaphore



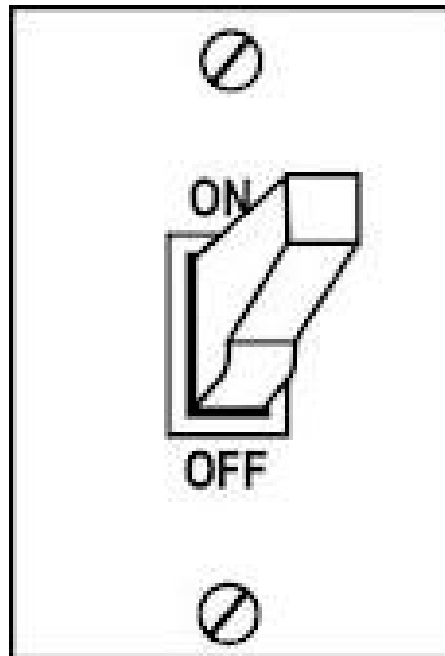
# Semaphore



# Semaphore



# Semaphore



# Semaphore





# Semaphore





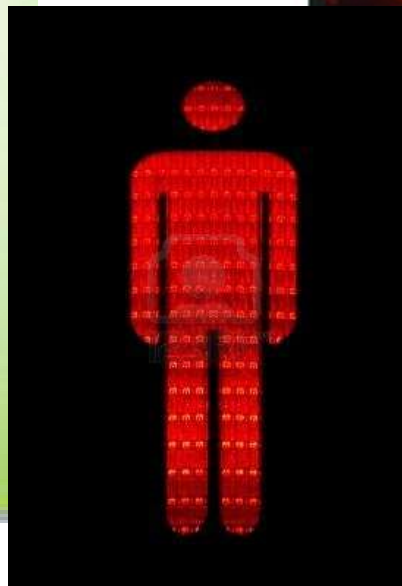
# Semaphore



# Semaphore



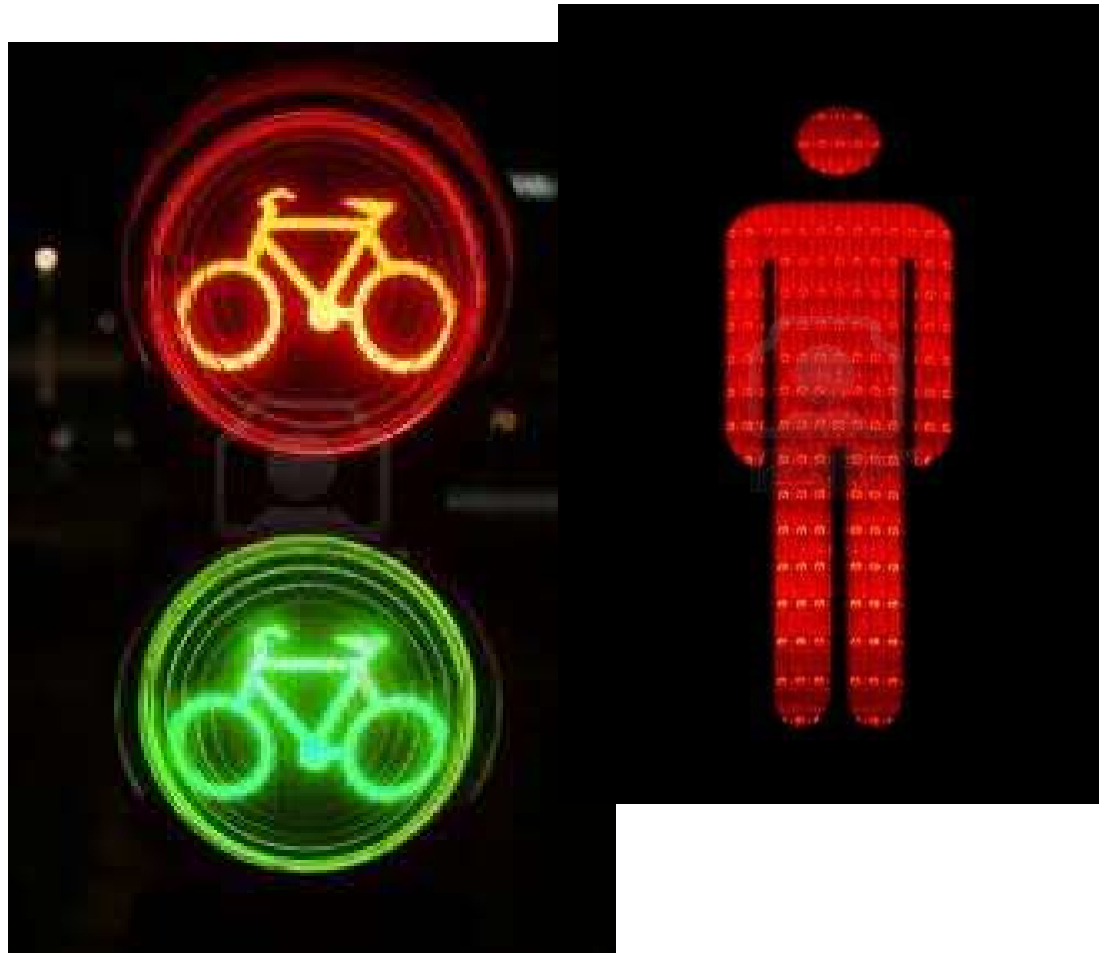
# Semaphore



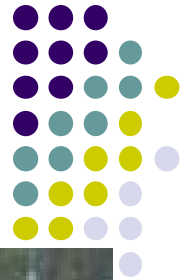
# Semaphore



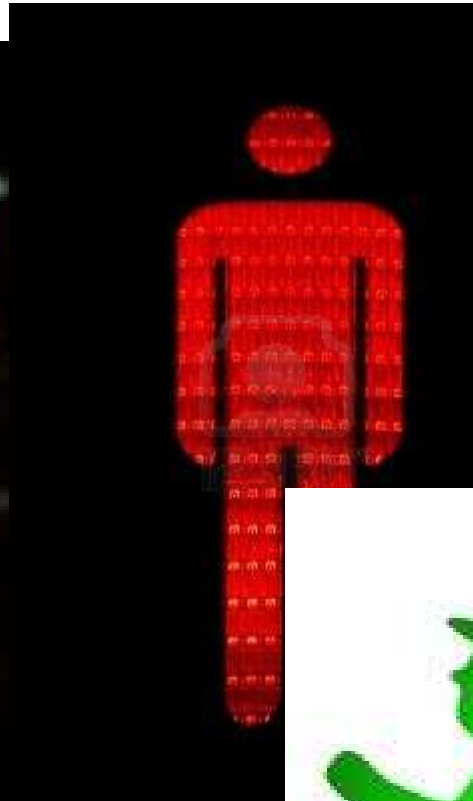
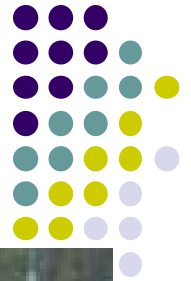
# Semaphore

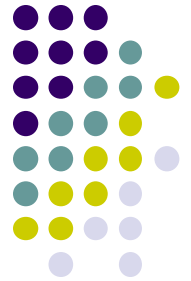


# Semaphore



# Semaphore





# Question

**Process *P*:**

```
while (true) {  
    waitForNewRequest();  
    if(found){  
        hit+=2;  
        val=hit;  
    }  
    Respond();  
}
```

hit: a global variable

Use semaphore to make  
the code safe?





# Question

**Process *P*:**

```
while (true) {  
    waitForNewRequest();  
    if(found){  
        hit+=2;  
        val=hit;  
    }  
    Respond();  
}
```

hit: a global variable

Use semaphore to make the code safe?

```
if(found){  
    wait(mutex);  
    hit+=2;  
    val=hit;  
    signal(mutex);  
}
```



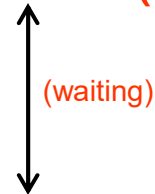
## Problem (cont'd)

- Two concurrent processes: mutex=1

```
wait(mutex)
val=buf;
val += count();
```

```
buf=val;
signal(mutex);
```

```
wait(mutex)
```



```
val=buf;
val += count();
buf=val;
signal(mutex);
```

## Using semaphore (cont'd)



- $P_1$  needs to do  $O_1$ ;  $P_2$  need to do  $O_2$ ;  $O_2$  can only be done **after**  $O_1$
- Solution: use a semaphore  $synch = 0$



## Using semaphore (cont'd)

- $P_1$  needs to do  $O_1$ ;  $P_2$  need to do  $O_2$ ;  $O_2$  can only be done **after**  $O_1$
- Solution: use a semaphore  $synch = 0$

•  $P_1$ :

...

$O_1$ ;

signal(synch);

...

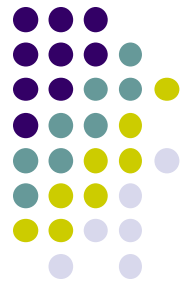
•  $P_2$ :

...

wait(synch);

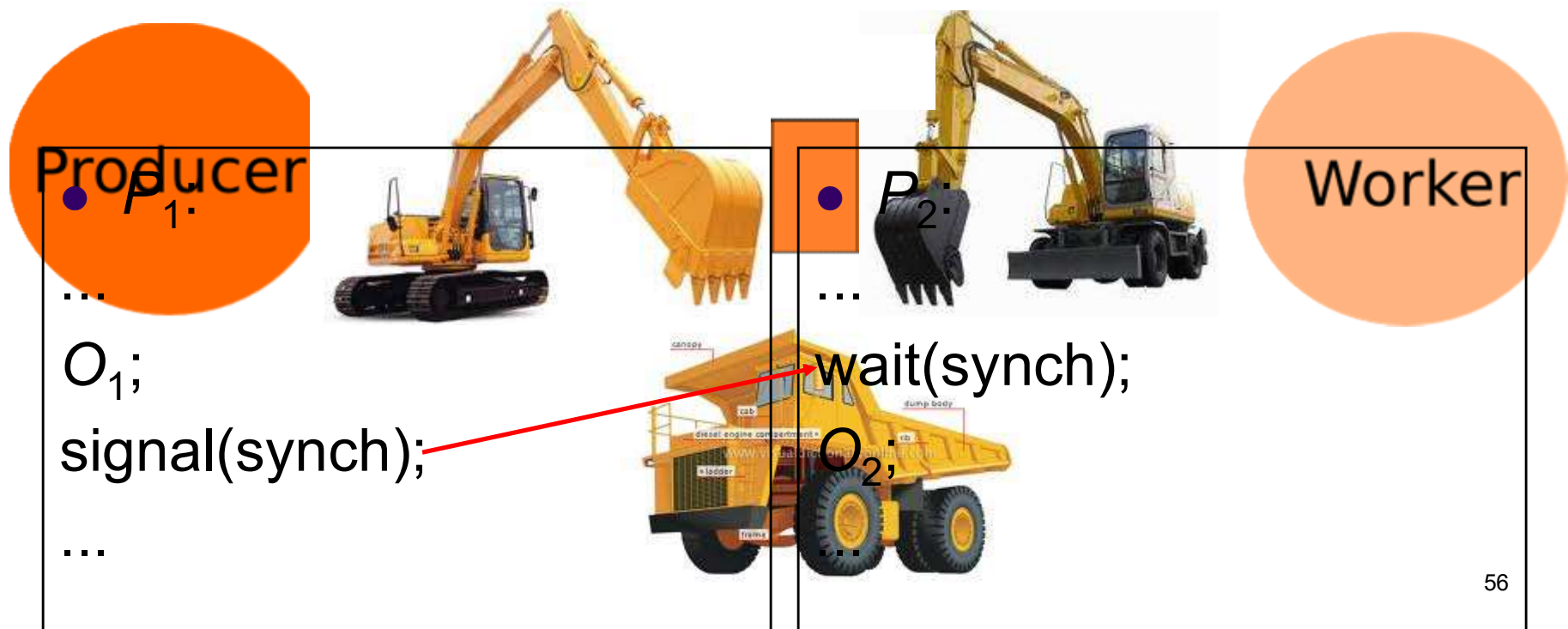
$O_2$ ;

...



## Using semaphore (cont'd)

- $P_1$  needs to do  $O_1$ ;  $P_2$  need to do  $O_2$ ;  $O_2$  can only be done **after**  $O_1$
- Solution: use a semaphore  $synch = 0$



# Semaphore support



How to use POSIX semaphores in C | [geeksforgeeks.org/use-posix-semaphores-c/](https://www.geeksforgeeks.org/use-posix-semaphores-c/)

Related Articles >

```
int sem_wait(sem_t *sem);
```

To release or signal a semaphore, we use the **sem\_post** function:

```
int sem_post(sem_t *sem);
```

A semaphore is initialised by using **sem\_init** (for processes or threads) or **sem\_open** (for IPC).

```
sem_init(sem_t *sem, int pshared, unsigned int value);
```

Where,

- **sem** : Specifies the semaphore to be initialized.
- **pshared** : This argument specifies whether or not the newly initialized semaphore is shared between processes or between threads. A non-zero value means the semaphore is shared between processes and a value of zero means it is shared between threads.
- **value** : Specifies the value to assign to the newly initialized semaphore.

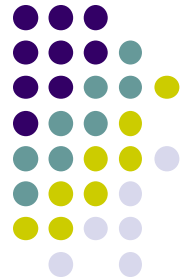
To destroy a semaphore, we can use **sem\_destroy**.

```
sem_destroy(sem_t *mutex);
```

To declare a semaphore, the data type is **sem\_t**.

<https://www.geeksforgeeks.org/use-posix-semaphores-c/>

# Semaphore support

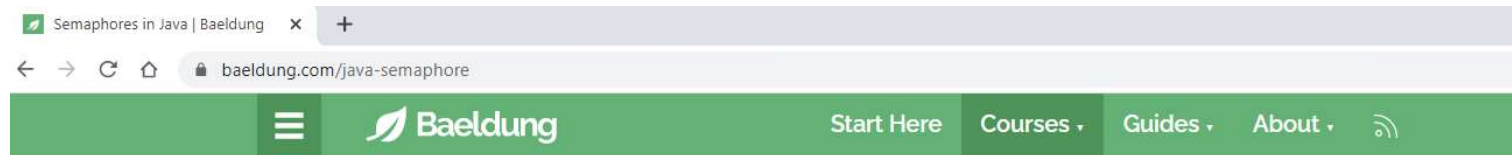
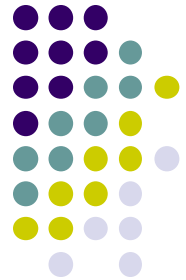


The screenshot shows a web browser window with the address bar displaying `php.net/manual/en/book.sem.php`. The page header includes the PHP logo and navigation links: Downloads, Documentation (active), Get Involved, and Help. Below the header, a breadcrumb trail reads: PHP Manual > Function Reference > Process Control Extensions. The main content area is titled "Semaphore, Shared Memory and IPC" and contains a list of links for further reading:

- [Introduction](#)
- [Installing/Configuring](#)
  - [Requirements](#)
  - [Installation](#)
  - [Runtime Configuration](#)
  - [Resource Types](#)
- [Predefined Constants](#)
- [Semaphore Functions](#)
  - [ftok](#) — Convert a pathname and a project identifier to a System V IPC key
  - [msg\\_get\\_queue](#) — Create or attach to a message queue
  - [msg\\_queue\\_exists](#) — Check whether a message queue exists
  - [msg\\_receive](#) — Receive a message from a message queue
  - [msg\\_remove\\_queue](#) — Destroy a message queue
  - [msg\\_send](#) — Send a message to a message queue
  - [msg\\_set\\_queue](#) — Set information in the message queue data structure
  - [msg\\_stat\\_queue](#) — Returns information from the message queue data structure
  - [sem\\_acquire](#) — Acquire a semaphore
  - [sem\\_get](#) — Get a semaphore id
  - [sem\\_release](#) — Release a semaphore
  - [sem\\_remove](#) — Remove a semaphore
  - [shm\\_attach](#) — Creates or open a shared memory segment
  - [shm\\_detach](#) — Disconnects from shared memory segment
  - [shm\\_get\\_var](#) — Returns a variable from shared memory
  - [shm\\_has\\_var](#) — Check whether a specific entry exists
  - [shm\\_put\\_var](#) — Inserts or updates a variable in shared memory

<https://www.php.net/manual/en/book.sem.php>

# Semaphore support



In the following example, we will implement a simple login queue to limit the number of users in the system:

```
class LoginQueueUsingSemaphore {  
    private Semaphore semaphore;  
  
    public LoginQueueUsingSemaphore(int slotLimit) {  
        semaphore = new Semaphore(slotLimit);  
    }  
  
    boolean tryLogin() {  
        return semaphore.tryAcquire();  
    }  
  
    void logout() {  
        semaphore.release();  
    }  
  
    int availableSlots() {  
        return semaphore.availablePermits();  
    }  
}
```

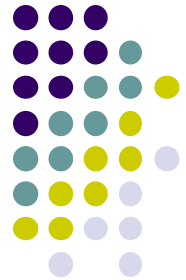
Notice how we used the following methods:

- *tryAcquire()* – return true if a permit is available immediately and acquire it otherwise return false, but *acquire()* acquires a permit and blocking until one is available
- *release()* – release a permit
- *availablePermits()* – return number of current permits available

<https://www.baeldung.com/java-semaphore>



# Semaphore support



Synchronization Primitives — Pyt x +

docs.python.org/3/library/asyncio-sync.html#asyncio.Semaphore

```
class asyncio.Semaphore(value=1, *, loop=None)
```

A Semaphore object. Not thread-safe.

A semaphore manages an internal counter which is decremented by each `acquire()` call and incremented by each `release()` call. The counter can never go below zero; when `acquire()` finds that it is zero, it blocks, waiting until some task calls `release()`.

The optional `value` argument gives the initial value for the internal counter (1 by default). If the given value is less than 0 a `ValueError` is raised.

*Deprecated since version 3.8, will be removed in version 3.10:* The `loop` parameter.

The preferred way to use a Semaphore is an `async with` statement:

```
sem = asyncio.Semaphore(10)

# ... Later
async with sem:
    # work with shared resource
```

which is equivalent to:

```
sem = asyncio.Semaphore(10)

# ... Later
await sem.acquire()
try:
    # work with shared resource
finally:
    sem.release()
```

**coroutine `acquire()`**

Acquire a semaphore.

If the internal counter is greater than zero, decrement it by one and return `True` immediately. If it is zero, wait until a `release()` is called and return `True`.

**`locked()`**

Returns `True` if semaphore can not be acquired immediately.

**`release()`**

Release a semaphore, incrementing the internal counter by one. Can wake up a task waiting to acquire the semaphore.

# Semaphore support



godoc.org/golang.org/x/sync/semaphore

Black Lives Matter. [Support the Equal Justice Initiative.](#)

GoDoc Home About Search

Set default to always use [pkg.go.dev](#) | Requests to this page will redirect to [pkg.go.dev/golang.org/x/sync/semaphore](#) in early 2021

[sync: golang.org/x/sync/semaphore](#) Index | Examples | Files

## package semaphore

```
import "golang.org/x/sync/semaphore"
```

Package semaphore provides a weighted semaphore implementation.

[Example \(WorkerPool\)](#)

### Index ¶

type Weighted

- func NewWeighted(n int64) \*Weighted
- func (s \*Weighted) [Acquire\(ctx context.Context, n int64\) error](#)
- func (s \*Weighted) [Release\(n int64\)](#)
- func (s \*Weighted) TryAcquire(n int64) bool

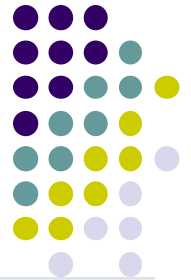
### Examples

[package \(WorkerPool\)](#)

### Package Files

[semaphore.go](#)

# Semaphore support



docs.microsoft.com/en-us/dotnet/api/system.threading.semaphore?view=net-5.0

## Version

.NET 5.0

Search

### Semaphore

#### Constructors

#### Methods

OpenExisting

Release

TryOpenExisting

> SemaphoreAcl

> SemaphoreFullException

> SemaphoreSlim

SendOrPostCallback

> SpinLock

```
private static void Worker(object num)
{
    // Each worker thread begins by requesting the
    // semaphore.
    Console.WriteLine("Thread {0} begins " +
        "and waits for the semaphore.", num);
    _pool.WaitOne();

    // A padding interval to make the output more orderly.
    int padding = Interlocked.Add(ref _padding, 100);

    Console.WriteLine("Thread {0} enters the semaphore.", num);

    // The thread's "work" consists of sleeping for
    // about a second. Each thread "works" a little
    // longer, just to make the output more orderly.
    //
    Thread.Sleep(1000 + padding);

    Console.WriteLine("Thread {0} releases the semaphore.", num);
    Console.WriteLine("Thread {0} previous semaphore count: {1}",
        num, _pool.Release());
}
```

# Semaphore support



docs.microsoft.com/en-us/cpp/mfc/reference/csemaphore-class?view=msvc-160

## Version

Visual Studio 2019

Filter by title

CScrollView class

**CSemaphore class**

CSettingsStore class

CSettingsStoreSP class

CSharedFile class

CShellManager class

CSimpleException class

CSingleDocTemplate class

CSingleLock class

CSinusoidalTransitionFromRange class

CSinusoidalTransitionFromVelocity class

CSliderCtrl class

CSmartDockingInfo class

CSmoothStopTransition class

CSocket class

CSocketFile class

CSpinButtonCtrl class

CSplitButton class

CSplitterWnd class

CSplitterWndEx class

CStatic class

CStatusBar class

CStatusBarCtrl class

CSemaphore::CSemaphore

Constructs a CSemaphore object.

## Remarks

Semaphores are useful in controlling access to a shared resource that can only support a limited number of users. The current count of the CSemaphore object is the number of additional users allowed. When the count reaches zero, all attempts to use the resource controlled by the CSemaphore object will be inserted into a system queue and wait until they either time out or the count rises above 0. The maximum number of users who can access the controlled resource at one time is specified during construction of the CSemaphore object.

To use a CSemaphore object, construct the CSemaphore object when it is needed. Specify the name of the semaphore you wish to wait on, and that your application should initially own it. You can then access the semaphore when the constructor returns. Call CSyncObject::Unlock when you are done accessing the controlled resource.

An alternative method for using CSemaphore objects is to add a variable of type CSemaphore as a data member to the class you wish to control. During construction of the controlled object, call the constructor of the CSemaphore data member specifying the initial access count, maximum access count, name of the semaphore (if it will be used across process boundaries), and desired security attributes.

To access resources controlled by CSemaphore objects in this manner, first create a variable of either type CSingleLock or type CMultiLock in your resource's access member function. Then call the lock object's Lock member function (for example, CSingleLock::Lock). At this point, your thread will either gain access to the resource, wait for the resource to be released and gain access, or wait for the resource to be released and time out, failing to gain access to the resource. In any case, your resource has been accessed in a thread-safe manner. To release the resource, use the lock object's Unlock member function (for example, CSingleLock::Unlock), or allow the lock object to fall out of scope.

Alternatively, you can create a CSemaphore object stand-alone, and access it explicitly before attempting to access the controlled resource. This method, while clearer to someone reading your source code, is more prone to error.

For more information on how to use CSemaphore objects, see the article [Multithreading: How to Use the Synchronization Classes](#).

# Semaphore support



x +

npmjs.com/package/semaphore

## semaphore.js

build passing

Install: `npm install semaphore`

Limit simultaneous access to a resource.

```
// Create
var sem = require('semaphore')(capacity);
```

```
// Take
sem.take(fn[, n=1])
sem.take(n, fn)
```

```
// Leave
sem.leave([n])
```

```
// Available
sem.available([n])
```



# Semaphore implementation



- In the above semaphore implementation
  - Use **busy waiting** (while loop)
  - Resource wasting
- Atomic operators
  - When a process called wait(), it will be **blocked** if the semaphore is not free
    - This type of semaphore is called *spinlock*
  - Other wait() implementation just returns true/false and **does not block** the calling process

# Semaphore implementation (cont'd)



- Remove the busy waiting loop by using **block**
- To restore a blocked process, use **wakeup**
- Semaphore data structure

```
typedef struct {  
    int value; // value of semaphore  
    struct process *L; //waiting process list  
} semaphore;
```

# Semaphore implementation (cont'd)



```
void wait(semaphore *S)
{
    S->value--;
    if (S->value < 0) {
        Add the requested
        process  $P$  into S->L;
        block( $P$ );
    }
}
```

```
void signal(semaphore *S)
{
    S->value++;
    if (S->value <= 0) {
        remove a process  $P$ 
        from S->L;
        wakeup( $P$ );
    }
}
```



# Semaphore implementation (cont'd)



# Semaphore implementation (cont'd)





# Binary semaphore

- Semaphore only has the value of 0 or 1
- Other semaphore type is counting semaphore



# Binary semaphore

- Semaphore only has the value of 0 or 1
- Other semaphore type is **counting semaphore**





# Binary semaphore

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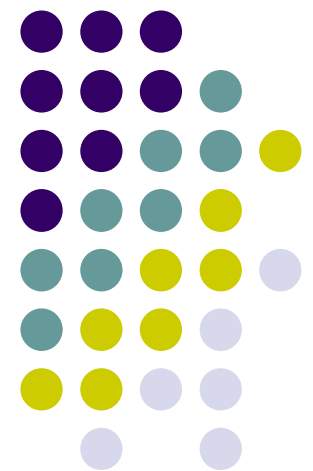


# Question

- When counting semaphores are suitable to use?
  - A. When 2 processes share a single variable/resource
  - B. When 3 processes share a single variable/resource
  - C. When  $n$  processes share a single variable/resource
  - D. When  $n$  processes share  $m$  variables/resources of the same type

# Classical synchronization problems

---





# Bounded-Buffer Problem

- $N$  buffers, each can hold one item
- Semaphore **mutex** initialized to the value 1
- Semaphore **full** initialized to the value 0
- Semaphore **empty** initialized to the value  $N$ .



# Bounded-buffer problem (cont'd)



**Write process  $P$ :**

```
do {  
    wait(empty);  
    wait(mutex);  
    Write(item,buf);  
    signal(mutex);  
    signal(full);  
} while (TRUE);  
buf: shared resource
```

**Read process  $Q$ :**

```
do {  
    wait(full);  
    wait(mutex);  
    Read(item,buf);  
    signal(mutex);  
    signal(empty);  
} while (TRUE);
```



## Question

- Which is the initialized value of the *full* variable in the above algorithm?
  - A. -1
  - B. 0
  - C. 1
  - D. NULL



## Question

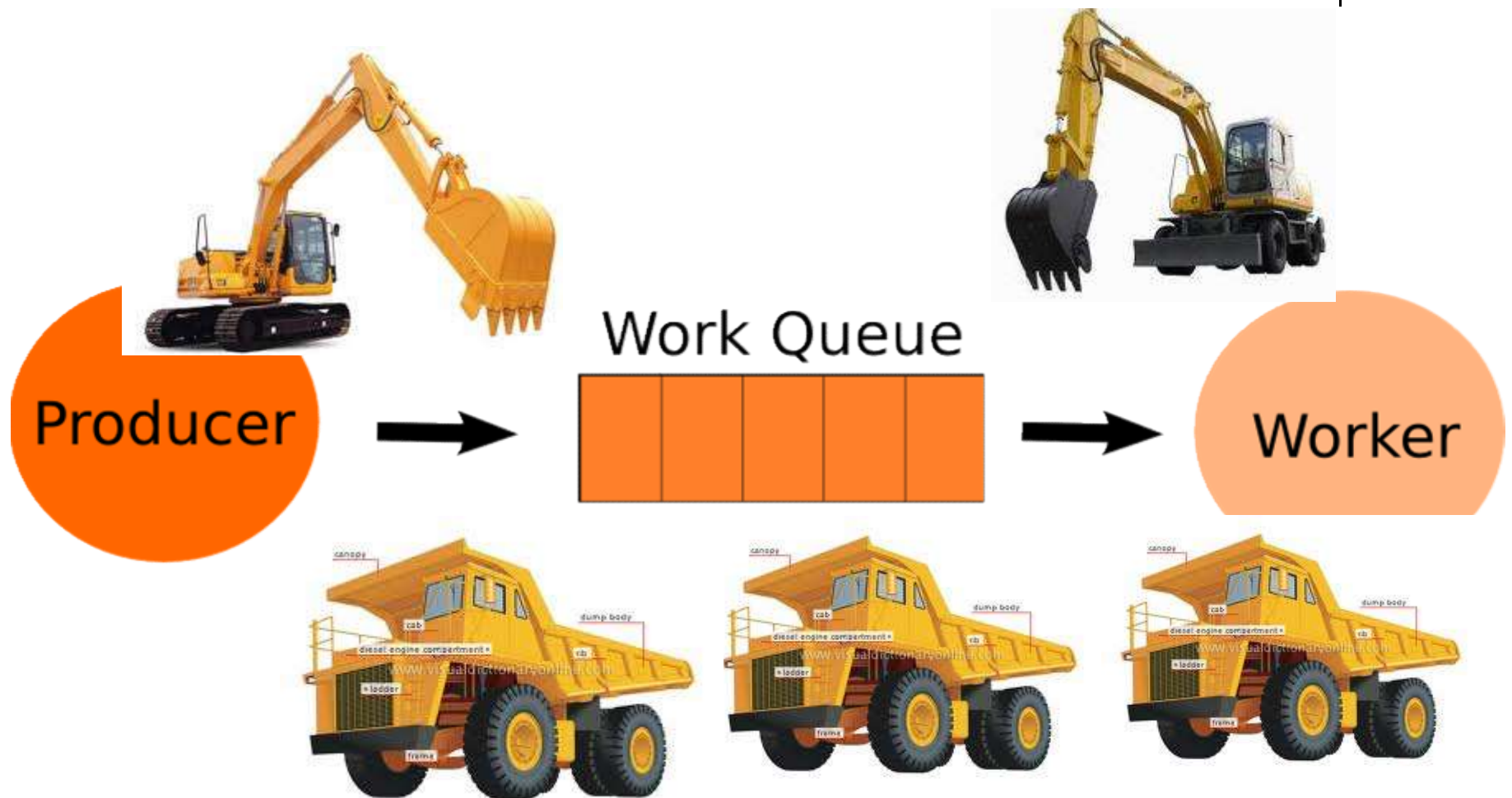
What will be the problem if the initialized value of the *full* variable is 1?

- A. no problem at all
- B. the writer process can not run
- C. the reader process can not run
- D. the reader can read an invalid value

# Bounded-buffer problem (cont'd)



# Bounded-buffer problem (cont'd)

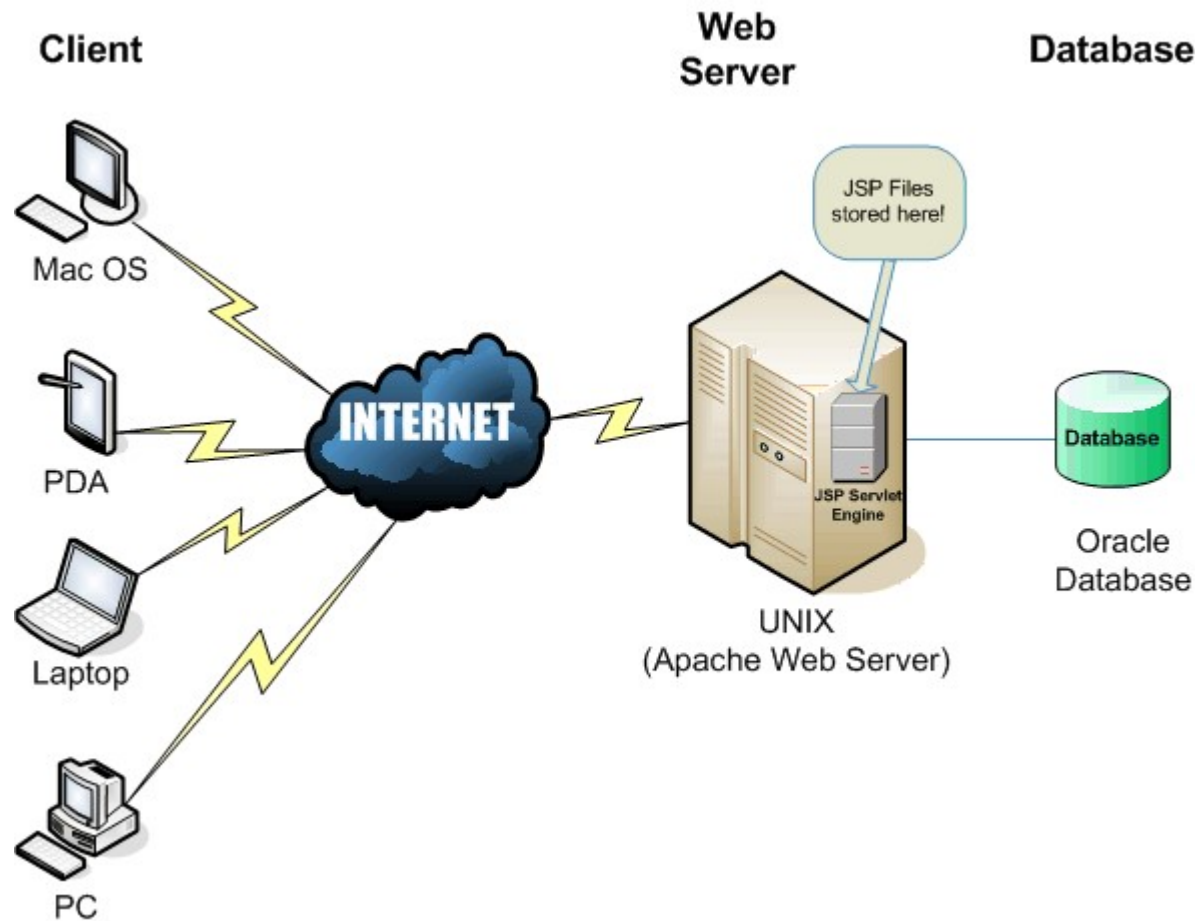




# Readers-writers problem

- A **data set** is shared among a number of concurrent processes
  - Readers – only **read**
  - Writers – can both **read and write**
- Problem
  - allow **multiple** readers to read at the same time when there is **no writer** accessing the data set
  - Only **one** writer can access the shared data at the a time

# Readers-writers problem





# Readers-writers problem (cont'd)

- Shared data
  - **Data set**
  - Semaphore *wrt* initialized by 1
    - Used to manage **write** access
  - Integer *readcount* initialized by 0 to count the number of readers that are **reading**
  - Semaphore *mutex* initialized by 1
    - Used to manage readcount access



# Readers-writers problem (cont'd)



- **Process writer  $P_w$ :**

```
do {  
    wait(wrt);  
    write(data_set);  
    signal(wrt);  
}while (TRUE);
```

- **Process reader  $P_r$ :**

```
do {  
    wait(mutex);  
    readcount++;  
    if (readcount == 1) wait(wrt);  
    signal(mutex);  
    read(data_set);  
    wait(mutex);  
    readcount--;  
    if (readcount == 0) signal(wrt);  
    signal(mutex);  
} while (TRUE);
```



## Question

Why do we need *readcount* variable?

- A. We may remove this variable
- B. To make sure there is one reader at a time
- C. To make sure no readers are reading
- D. To make sure no readers are reading before writing



## Question

Which is the initialized value of the *readcount* variable in the above algorithm?

- A. -1
- B. 0
- C. 1
- D. NULL



## Question

Which is the purpose of *mutex* variable?

- A. To safely access the `data_set`
- B. We may remove this variable without affecting the program
- C. To safely access the *readcount* variable
- D. To safely access the *wrt* variable



## Question

Which is the initialized value of the *mutex* variable in the above algorithm?

- A. -1
- B. 0
- C. 1
- D. NULL



## Question

Which is the purpose of *wrt* variable?

- A. To safely access the *mutex* variable
- B. To safely write the *data\_set*
- C. To safely write the *readcount* variable
- D. To safely read the *data\_set*



## Question

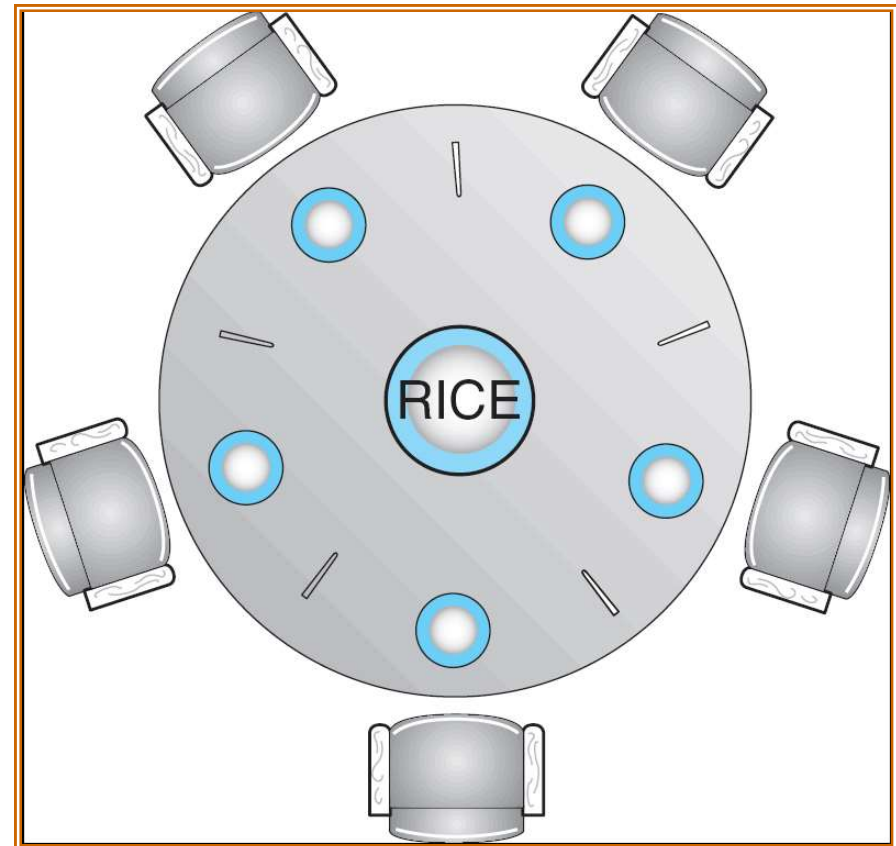
Which is the initialized value of the *wrt* variable in the above algorithm?

- A. -1
- B. 0
- C. 1
- D. NULL

# Dining-Philosophers Problem



- Five philosophers at a table having 5 chopsticks, 5 bowls and a rice cooker
- A philosopher just eats or thinks
- How to make sure philosophers correctly use the “shared data” – the chopsticks







# Dining-philosophers problem (cont'd)

- Use semaphore to handle chopstick access
  - semaphore chopstick[5];
- Solution is provided as in the text box

```
● Code of philosopher i:  
do {  
    wait(chopstick[i]);  
    wait(chopstick[(i+1)%5]);  
    Eat(i);  
    signal(chopstick[i]);  
    signal(chopstick[(i+1)%5]);  
    Think(i);  
} while (TRUE);
```



# Question

- What value `chopstick[i]` is initialized?
  - A. 1
  - B. 2
  - C. 0
  - D. 5



# Question

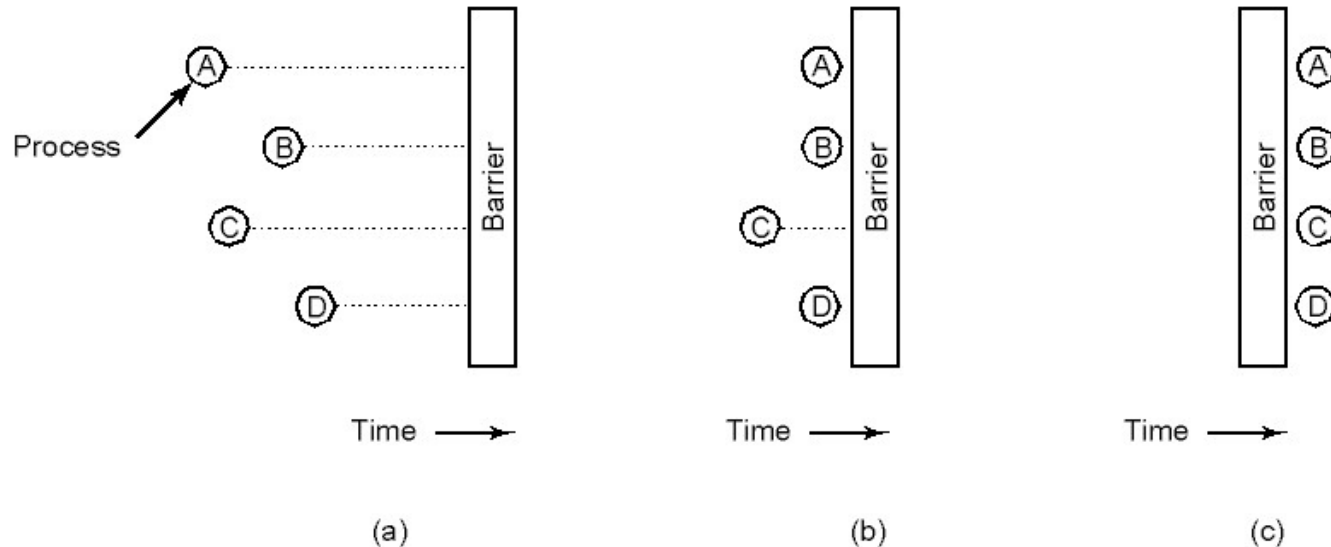
- Is there any problem with the solution?
  - A. No problem
  - B. Only one philosopher can eat at a time
  - C. Only three philosophers can eat at a time
  - D. No philosopher could eat in case each takes a chopstick and waits for the second one



## Question

- Which of the following is **incorrect** about the solution to the above problem?
  - A. No solution available
  - B. Create an order of philosophers to eat
  - C. Create an order of philosophers to think
  - D. Allow at most 4 philosophers to request to eat at a time

# Extra problem: Barrier



- Use of a barrier
  - processes approaching a barrier
  - all processes but one blocked at barrier
  - last process arrives, all are let through
  - <https://github.com/angrave/SystemProgramming/wiki/Sample-program-using-pthread-barriers>



# Limitations of semaphore (cont'd)

- Compare the two code snippets

- Snippet 1

```
...  
wait(mutex);  
//Critical section  
signal(mutex);  
...
```

- Snippet 2

```
...  
signal(mutex);  
//Critical section  
wait(mutex);  
...
```



## Question

- What is the problem of the two code snippets?
  - A. Snippet 1 has problem
  - B. Snippet 2 has problem
  - C. Both snippets have problem
  - D. No problem at all



## Question

- Which is the problem of the incorrect use of semaphore in the above code snippet?
  - A. No process can enter its critical section
  - B. No problem at all
  - C. The mutual exclusion condition may be violated
  - D. No process can exit its critical section





# Limitations of semaphore

- Semaphores need correct calls to **wait** and **signal**
- Incorrect use of semaphore may lead to **deadlock**
- Even **correct use** of semaphores may lead to deadlock, in some cases



# Limitations of semaphore (cont'd)

- Compare the two code snippets

- Snippet 1

...

```
wait(mutex);
```

```
    CS1;
```

```
wait(mutex);
```

...

- Snippet 2

...

```
wait(mutex);
```

```
CS2;
```

```
signal(mutex);
```

...



## Question

- Which of the two code snippets has problem?
  - A. Snippet 1 has problem
  - B. Snippet 2 has problem
  - C. Both snippets have problem
  - D. No problem at all



# Question

- Which is the consequence of the above problem?
  - A. One process will be blocked
  - B. There will be a deadlock
  - C. No consequences if only two processes are involved
  - D. No consequences



# Limitations of semaphore (cont'd)

- Process  $P_1$

...

wait(S);

wait(Q);

CS1...

signal(S);

signal(Q);

...

- Process  $P_2$

...

wait(Q);

wait(S);

CS2...

signal(Q);

signal(S);

...

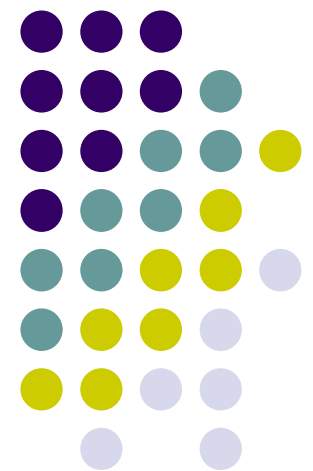


## Question

- What is the problem of the above two processes?
  - A. There is deadlock
    - if  $P_1$  got S and waits for Q and
    - $P_2$  got Q and waits for S
  - B. The exclusive condition is violated
  - C. The order of semaphore calls is incorrect
  - D. No problem at all

# Monitor

---





# Reference information

- Per Brinch Hansen (Dennish) proposed the concept and implemented in 1972
- Monitor was firstly used in Concurrent Pascal programming language



Per Brinch Hansen  
(1938-2007)

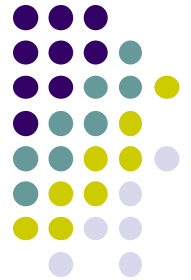




# What is monitor?

- Monitor means to **supervise**
- It is a type of construct in a high level programming language for synchronization purpose
  - C# programming language
    - <http://msdn.microsoft.com/en-us/library/hf5de04k.aspx>
  - Java programming language
    - <http://www.artima.com/insidejvm/ed2/threadsynch.html>
    - <http://journals.ecs.soton.ac.uk/java/tutorial/java/threads/monitors.html>
    - <http://www.csc.villanova.edu/~mdamian/threads/javamonitors.html>
- Monitor was studied and developed to overcome the limitations of semaphores

# C# monitor



← → ↻ 🏠 [c-sharpcorner.com/UploadFile/de41d6/monitor-and-lock-in-C-Sharp/](https://c-sharpcorner.com/UploadFile/de41d6/monitor-and-lock-in-C-Sharp/)

🏠 TECHNOLOGIES ANSWERS LEARN NEWS BLOGS VIDEOS INTERVIEW PREP BOOKS EVENTS CAREER MEMBERS JOBS

proceed.

The following is the syntax for using a monitor.

```
01. try
02. {
03.     int x = 1;
04.
05.     Monitor.Enter(x);
06.     try
07.     {
08.         // Code that needs to be protected by the monitor.
09.     }
10.     finally
11.     {
12.
13.         Monitor.Exit(x);
14.     }
15. }
16. catch (SynchronizationLockException SyncEx)
17. {
18.     Console.WriteLine("A SynchronizationLockException occurred. Message:");
19.     Console.WriteLine(SyncEx.Message);
20. }
```



# Java monitor

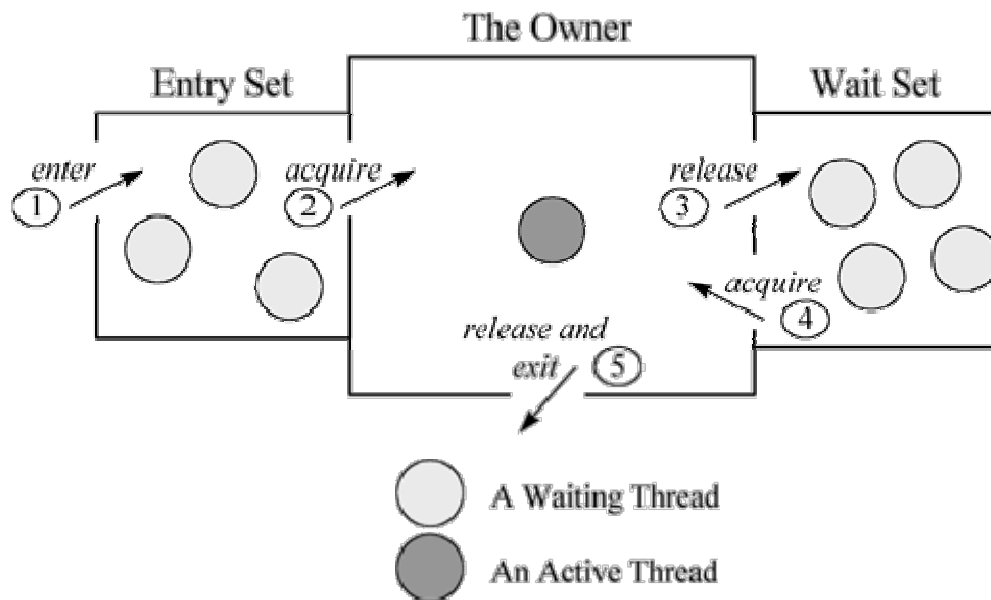


Figure 20-1. A Java monitor.



# Monitor

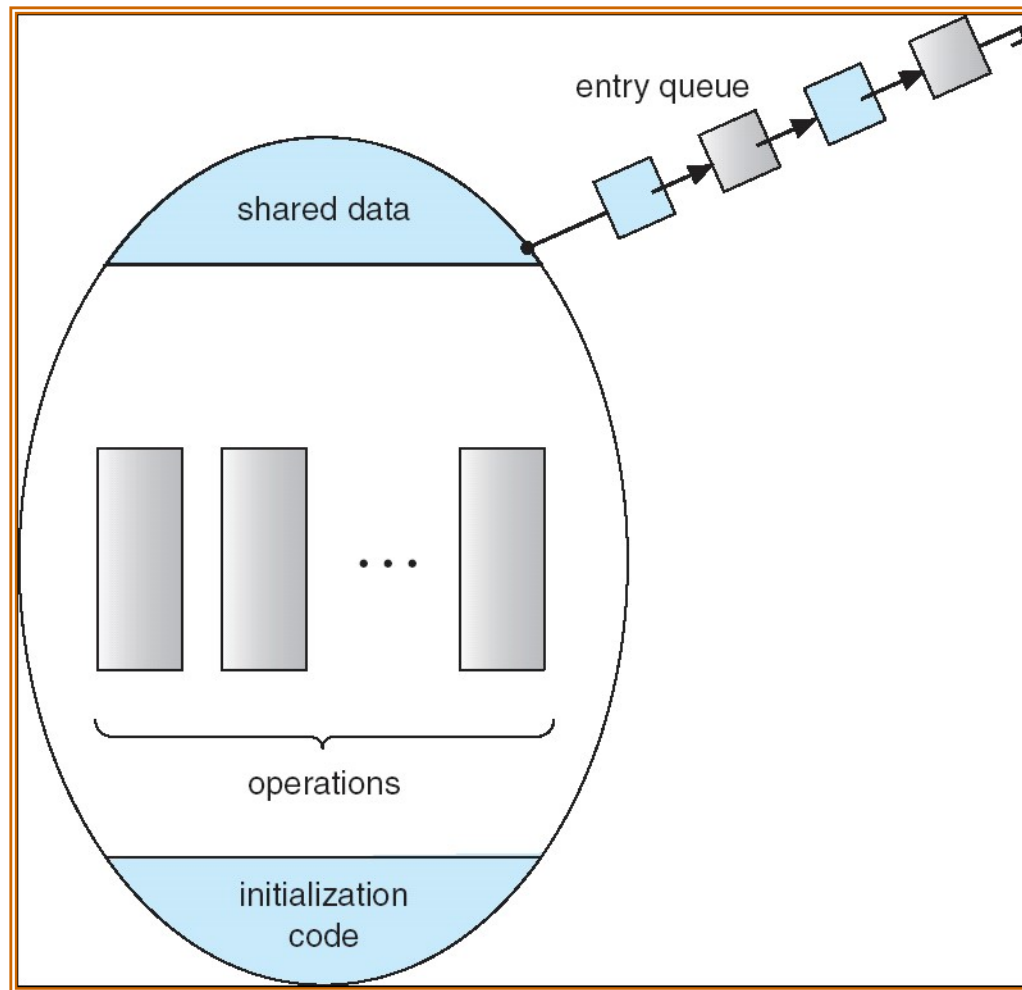
- A monitor usually has
  - Member variables as shared resources
  - A set of procedures which operate on the shared resources
  - Exclusive lock
  - Constraints to manage race condition
- This description of monitor is like a class

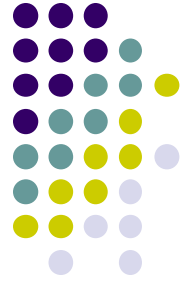


# A sample monitor type

```
monitor monitor_name {  
    //Shared resources  
    procedure P1(...) { ...  
    }  
    procedure P2(...) { ...  
    }  
    ...  
    procedure Pn(...) { ...  
    }  
    initialization_code (..) { ...  
    }  
}
```

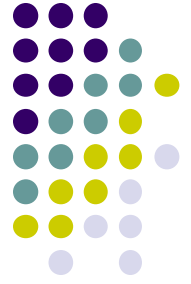
# Schematic view of a Monitor





# Monitor implementation

- Monitor must be implemented so that
  - only one process can enter the monitor at a time (mutual exclusive)
  - programmer do not need to write code for this
- Other monitor implementation
  - have more synchronization mechanism
  - add *condition variable*

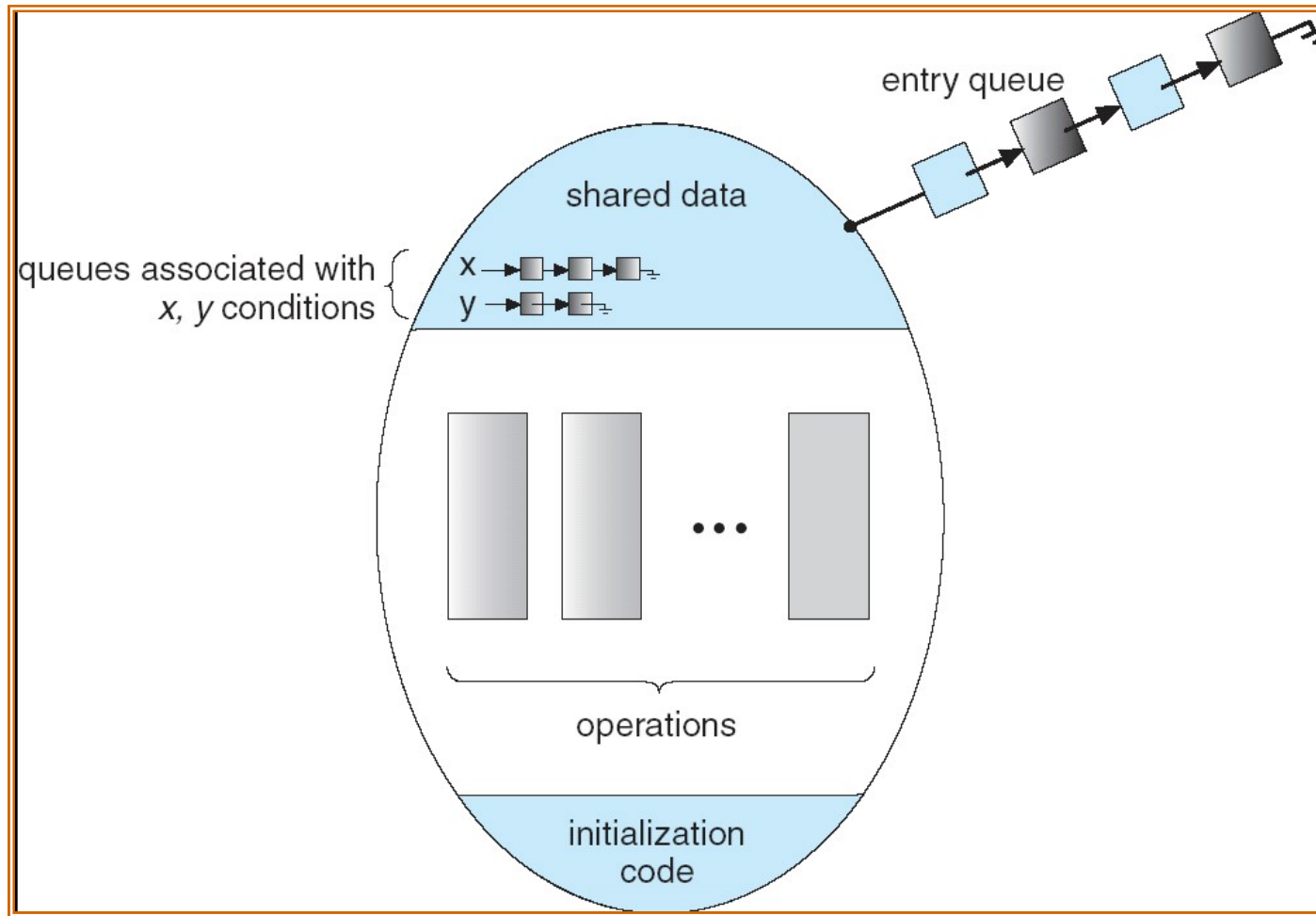
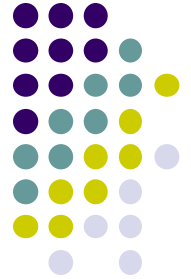


# Condition type

- Declaration
  - condition x, y;
- Use condition variable
  - there are two operators: wait and signal
  - x.wait():
    - process calls x.wait() will have to wait or suspend
  - x.signal():
    - process calls x.signal() will wakeup a waiting process
      - the one that called x.wait()



# Monitor with condition





## x.signal() characteristics

- x.signal() wakeup only one waiting process
- If no waiting process, it does nothing
- x.signal() is different from that of classical semaphore
  - signal in classical semaphore always change the state (value) of semaphore

# Solution to Dining Philosophers



monitor DP

```
{
    enum { THINKING; HUNGRY, EATING) state [5] ;
    condition self [5];

    void pickup (int i) {
        state[i] = HUNGRY;
        test(i);
        if (state[i] != EATING) self [i].wait;
    }

    void putdown (int i) {
        state[i] = THINKING;
        // test left and right neighbors
        test((i + 4) % 5);
        test((i + 1) % 5);
    }
}
```

# Solution to Dining Philosophers (cont)



```
void test (int i) {  
    if ( (state[(i + 4) % 5] != EATING) &&  
        (state[i] == HUNGRY) &&  
        (state[(i + 1) % 5] != EATING) ) {  
        state[i] = EATING ;  
        self[i].signal () ;  
    }  
}
```

```
    initialization_code() {  
        for (int i = 0; i < 5; i++)  
            state[i] = THINKING;  
    }  
}
```

# Solution to Dining Philosophers (cont)



- Each philosopher invokes the operations `pickup()` and `putdown()` in the following sequence

`dp.pickup (i)`

EAT

`dp.putdown (i)`



# Monitor Implementation Using Semaphores

- Variables

```
semaphore mutex; // (initially = 1)
semaphore next;  // (initially = 0)
int next-count = 0;
```

- Each procedure ***F*** will be replaced by

```
wait(mutex);
...
//body of F;
...
if (next-count > 0)
    signal(next)
else
    signal(mutex);
```

- Mutual exclusion within a monitor is ensured.



# Monitor Implementation

- For each condition variable  $x$ , we have:

```
semaphore x-sem; // (initially = 0)  
int x-count = 0;
```

- The operation  $x.\text{wait}$  can be implemented as:

```
x-count++;  
if (next-count > 0)  
    signal(next);  
else  
    signal(mutex);  
wait(x-sem);  
x-count--;
```

# Monitor Implementation



- The operation **x.signal** can be implemented as:

```
if (x-count > 0) {  
    next-count++;  
    signal(x-sem);  
    wait(next);  
    next-count--;  
}
```



# Linux Synchronization



- Linux:
  - disables interrupts to implement short critical sections
- Linux provides:
  - semaphores
  - spin locks

# Pthreads Synchronization



- pthread API is OS-independent
- It provides:
  - mutex locks
  - condition variables
- Non-portable extensions include:
  - read-write locks
  - spin locks



Question?