

Computer Vision

Face Recognition – Eigen Faces | Fisher Faces

Part I: Face Recognition using Eigen Faces

1. Algorithm

- a. Process(reshape) labeled training images (training).
- b. Find mean μ for the processed images 'x'. In our case we would calculate mean for the subset that we need to train and that will be the global mean. Subtract this mean from all the processed images to get a normalized matrix, A.

$$\mu = \frac{1}{n} \sum_{i=1}^n x_i$$

- c. Calculate covariance matrix, $\Sigma = AA^T$.

$$S = \frac{1}{n} \sum_{i=1}^n (x_i - \mu)(x_i - \mu)^T$$

Here we can use the trick to reduce the computation and calculate pseudo covariance matrix, $\Sigma(\text{pseudo}) = A^T A$.

- d. Find d principal components (eigenvectors of Σ) based on the direction of maximum intensity variation. This can be done using the singular value decomposition function in Matlab.

- e. Multiplying the transpose of this by the normalized matrix A, we get the eigenfaces.

$$W_{pca} = (w_{i1}, \dots, w_{ik}) = (u_1^T (x_i - \mu), \dots, u_k^T (x_i - \mu))$$

- f. Given novel image x (testing). Project it onto the subspace.

$$(w_1, \dots, w_k) = (u_1^T (x - \mu), \dots, u_k^T (x - \mu))$$

- g. Classify as closest training face in k-dimensional subspace.

- h. For reconstruction we use the following equation.

$$\hat{x} = \mu + w_1 u_1 + w_2 u_2 + w_3 u_3 + w_4 u_4 + \dots$$

Here, (w_1, \dots, w_k) are weights obtained after projecting the test image on the PCA subspace, and (u_1, \dots, u_k) are the eigenfaces.

2. Eigen Faces

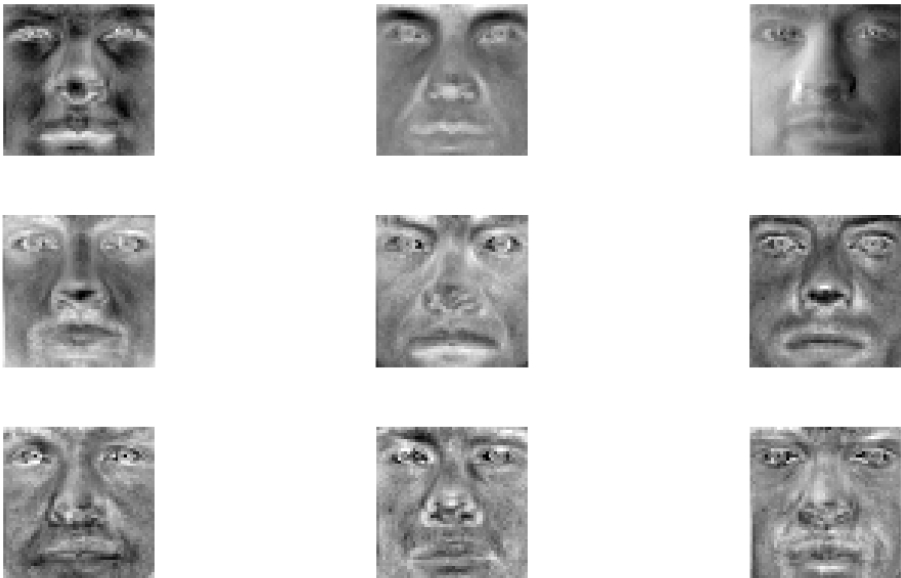


Figure 1: Eigen Faces for reduced dimensions, $d=9$.



Figure 2: Eigen Faces for reduced dimensions, $d=30$

3. Percentage Accuracy, Percentage Error, and other Results.

For d=9, following are the percentage accuracies:

| Training_Subsets | Test_Subset_1 | Test_Subset_2 | Test_Subset_3 | Test_Subset_4 | Test_Subset_5 |
|------------------|---------------|---------------|---------------|---------------|---------------|
| 'Subset 1' | 100 | 72.5 | 26.667 | 12.143 | 9.4737 |
| 'Subset 2' | 60 | 100 | 29.167 | 15 | 13.684 |
| 'Subset 3' | 8.5714 | 13.333 | 100 | 16.429 | 15.263 |
| 'Subset 4' | 10 | 8.3333 | 13.333 | 100 | 10.526 |
| 'Subset 5' | 4.2857 | 9.1667 | 19.167 | 19.286 | 100 |
| 'Subset 1+5' | 100 | 31.667 | 15 | 21.429 | 100 |

For d=9, following are the percentage errors:

| Training_Subsets | Test_Subset_1 | Test_Subset_2 | Test_Subset_3 | Test_Subset_4 | Test_Subset_5 |
|------------------|---------------|---------------|---------------|---------------|---------------|
| 'Subset 1' | 0 | 27.5 | 73.333 | 87.857 | 90.526 |
| 'Subset 2' | 40 | 0 | 70.833 | 85 | 86.316 |
| 'Subset 3' | 91.429 | 86.667 | 0 | 83.571 | 84.737 |
| 'Subset 4' | 90 | 91.667 | 86.667 | 0 | 89.474 |
| 'Subset 5' | 95.714 | 90.833 | 80.833 | 80.714 | 0 |
| 'Subset 1+5' | 0 | 68.333 | 85 | 78.571 | 0 |

For d=30, following are the percentage accuracies:

| Training_Subsets | Test_Subset_1 | Test_Subset_2 | Test_Subset_3 | Test_Subset_4 | Test_Subset_5 |
|------------------|---------------|---------------|---------------|---------------|---------------|
| 'Subset 1' | 100 | 74.167 | 27.5 | 12.143 | 10 |
| 'Subset 2' | 61.429 | 100 | 30.833 | 15.714 | 13.158 |
| 'Subset 3' | 8.5714 | 14.167 | 100 | 16.429 | 14.737 |
| 'Subset 4' | 10 | 8.3333 | 15 | 100 | 11.579 |
| 'Subset 5' | 4.2857 | 9.1667 | 19.167 | 21.429 | 100 |
| 'Subset 1+5' | 100 | 35 | 15.833 | 22.143 | 100 |

For d=30, following are the percentage errors:

| Training_Subsets | Test_Subset_1 | Test_Subset_2 | Test_Subset_3 | Test_Subset_4 | Test_Subset_5 |
|------------------|---------------|---------------|---------------|---------------|---------------|
| 'Subset 1' | 0 | 25.833 | 72.5 | 87.857 | 90 |
| 'Subset 2' | 38.571 | 0 | 69.167 | 84.286 | 86.842 |
| 'Subset 3' | 91.429 | 85.833 | 0 | 83.571 | 85.263 |
| 'Subset 4' | 90 | 91.667 | 85 | 0 | 88.421 |
| 'Subset 5' | 95.714 | 90.833 | 80.833 | 78.571 | 0 |
| 'Subset 1+5' | 0 | 65 | 84.167 | 77.857 | 0 |

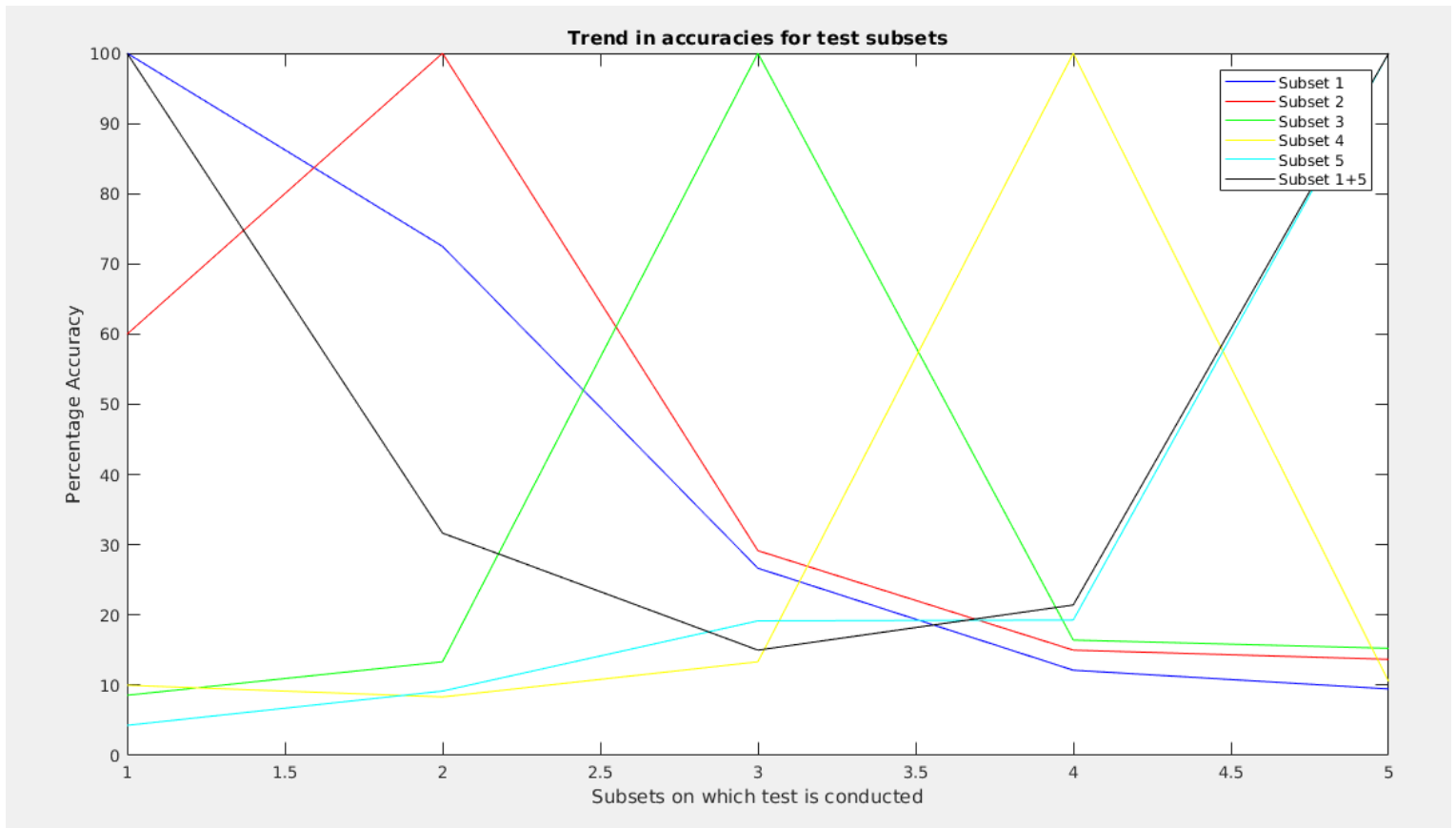


Figure 3: Trend of accuracies for $d=9$. NOTE: X axis are the subsets number 1 to 5 on which test is conducted.

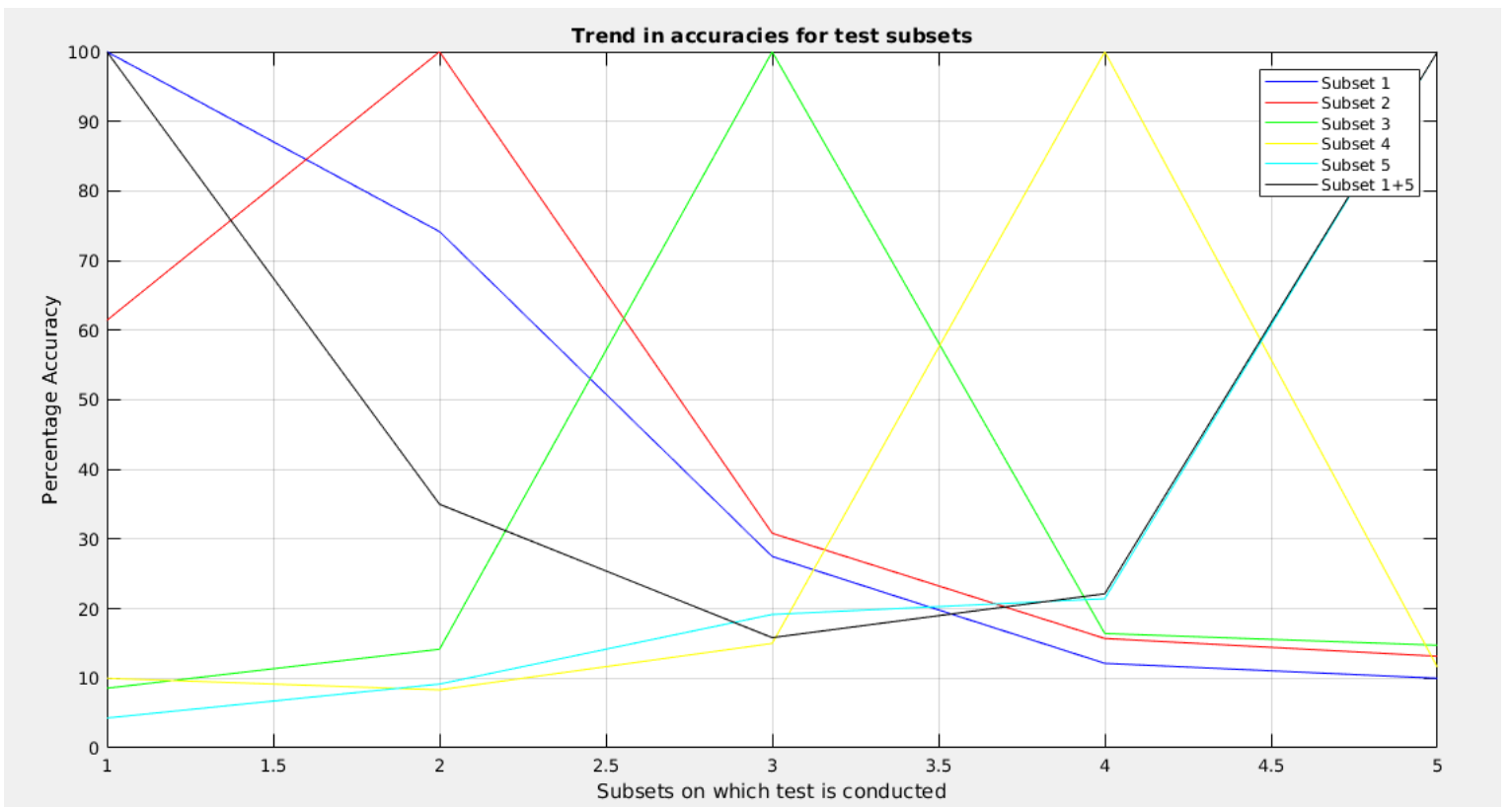


Figure 3: Trend of accuracies for $d=30$. NOTE: X axis are the subsets number 1 to 5 on which test is conducted.

4. Reconstruction

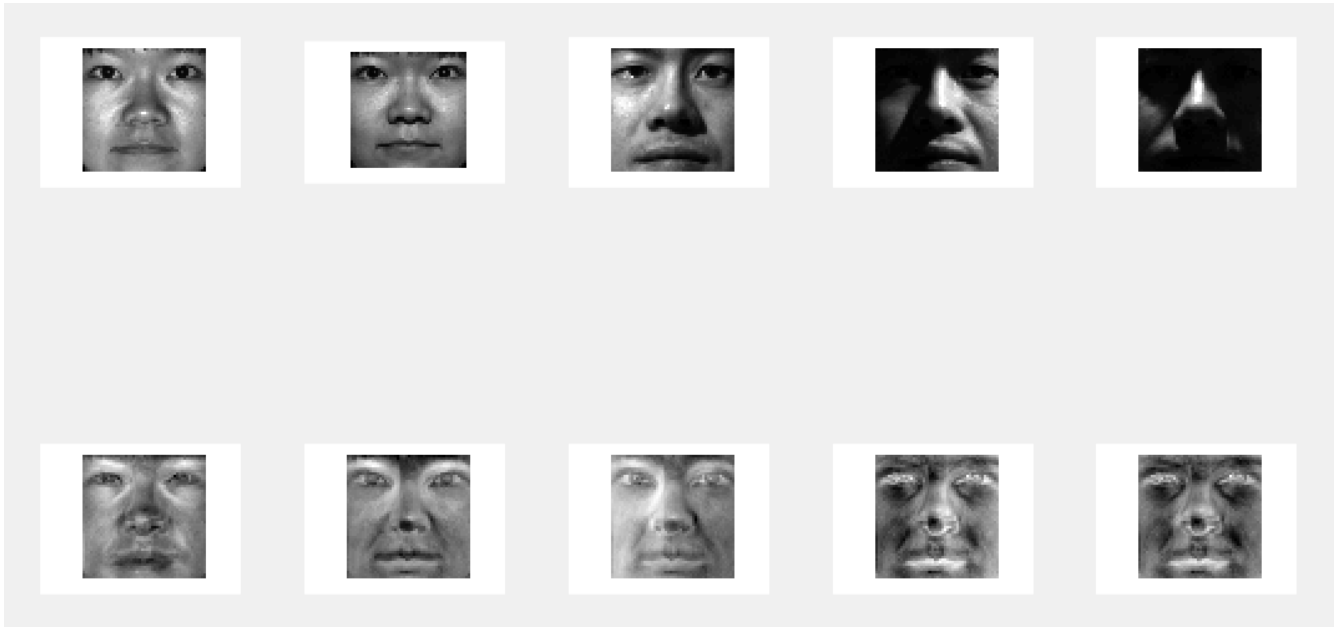


Figure 5: Original and Reconstructed Images for reduced dimensions, $d=9$

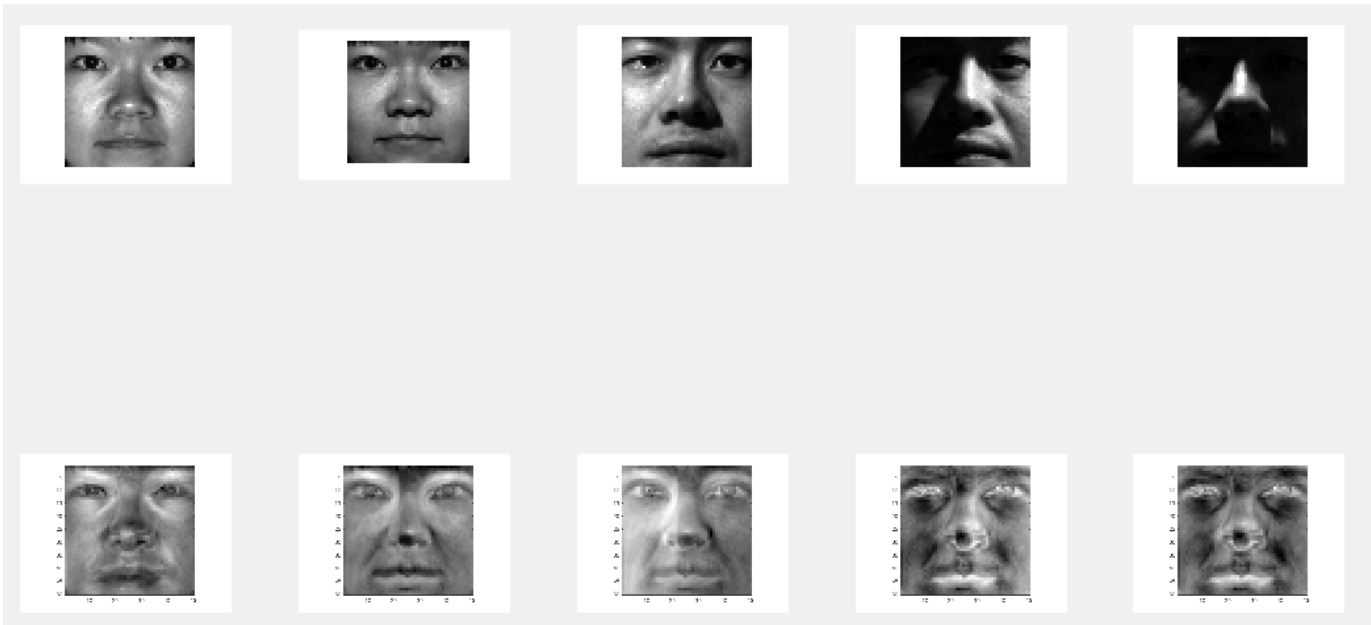


Figure 6: Original and Reconstructed Images for reduced dimensions, $d=30$

5. Inference

The above results are obtained from the Matlab code, main_eigenFaces. This is the main function, calling other functions to compute required percentage errors.

When we collectively train subset 1 and subset 5, perfect matches are obtained when tested with subset 1 and subset 5 as we would expect.

Also if we eliminate first three Eigen vectors, we would see increase accuracy because the first three eigen vectors are due to high discrimination due to illumination or intensity.

Part II: Face Recognition using Fisher Faces

1. Algorithm

- a. Some steps for this will be similar to eigenfaces. Process(reshape) labeled training images (training).
- b. Find mean μ for the processed images. In our case we would calculate mean for the subset that we need to train and that will be the global mean. Subtract this mean from all the processed images to get a normalized matrix, A .
- c. Calculate covariance matrix, $\Sigma = AA^T$. Here we can use the trick to reduce the computation and calculate pseudo covariance matrix, $\Sigma(\text{pseudo})=A^T A$.
- d. Find d principal components (eigenvectors of Σ) based on the direction of maximum intensity variation. This can be done using the singular value decomposition.
- e. Multiplying the transpose of this by the normalized matrix A , we get the eigenfaces.
 $W_{pca} = (w_{i1}, \dots, w_{ik}) = (u_1^T (x_i - \mu), \dots, u_k^T (x_i - \mu))$. Until this, everything is similar to the algorithm for PCA.
- f. If you have N sample images, $\{x_1, \dots, x_N\}$, for training subset 1 we have $N=70$ images. The sample classes will be the number of persons (/unique faces). Here, for subset 1 we have 7 different persons.
- g. Compute average of each class:

$$\mu_i = \frac{1}{N_i} \sum_{x_k \in \mathcal{X}_i} x_k$$

- h. Compute average of the entire data:

$$\mu = \frac{1}{N} \sum_{k=1}^N x_k$$

- i. Compute scatter of each class:

$$S_i = \sum_{x_k \in \mathcal{X}_i} (x_k - \mu_i)(x_k - \mu_i)^T$$

j. Compute within class scatter:

$$S_W = \sum_{i=1}^c S_i$$

k. Compute the scatter between class:

$$S_B = \sum_{i=1}^c N_i (\mu_i - \mu)(\mu_i - \mu)^T$$

l. Compute pseudo S_w and S_B :

$$\begin{aligned}\tilde{S}_B &= W^T S_B W \\ \tilde{S}_W &= W^T S_W W\end{aligned}$$

Here, $W = W_{pca}$ or *eigenfaces*.

m. Minimize the following equation using the eig($\sim S_B, \sim S_w$):

$$W_{opt} = \arg \max_W \frac{|\tilde{S}_B|}{|\tilde{S}_W|} = \arg \max_W \frac{|W^T S_B W|}{|W^T S_W W|}$$

n. Given novel image x (testing). Project it onto the *FLD* subspace:

$$W_{opt}^T = W_{fld}^T W_{pca}^T \quad \hat{x} = W_{opt}^T x$$

O. Classify by nearest neighbor and reconstruct in a similar way as mentioned in the algorithm for face recognition using eigenfaces.

2. Fisher Faces



Figure 7: Fisher Faces for reduced dimensions, $c=10$ (Top 10 instead of top 9)



Figure 8: Fisher Faces for reduced dimensions, $c=31$ (Top 31 instead of top 30)

3. Percentage Accuracy, Percentage Error, and other results

For c=10, following are the percentage accuracies:

| Training_Subsets | Test_Subset_1 | Test_Subset_2 | Test_Subset_3 | Test_Subset_4 | Test_Subset_5 |
|------------------|---------------|---------------|---------------|---------------|---------------|
| 'Subset 1' | 100 | 94.167 | 70.833 | 28.571 | 11.579 |
| 'Subset 2' | 88.571 | 100 | 64.167 | 23.571 | 15.789 |
| 'Subset 3' | 87.143 | 98.333 | 100 | 65.714 | 17.368 |
| 'Subset 4' | 27.143 | 35 | 60.833 | 100 | 24.737 |
| 'Subset 5' | 11.429 | 16.667 | 22.5 | 35.714 | 100 |
| 'Subset 1+5' | 100 | 82.5 | 22.5 | 25 | 100 |

For c=10, following are the percentage errors:

| Training_Subsets | Test_Subset_1 | Test_Subset_2 | Test_Subset_3 | Test_Subset_4 | Test_Subset_5 |
|------------------|---------------|---------------|---------------|---------------|---------------|
| 'Subset 1' | 0 | 5.8333 | 29.167 | 71.429 | 88.421 |
| 'Subset 2' | 11.429 | 0 | 35.833 | 76.429 | 84.211 |
| 'Subset 3' | 12.857 | 1.6667 | 0 | 34.286 | 82.632 |
| 'Subset 4' | 72.857 | 65 | 39.167 | 0 | 75.263 |
| 'Subset 5' | 88.571 | 83.333 | 77.5 | 64.286 | 0 |
| 'Subset 1+5' | 0 | 17.5 | 77.5 | 75 | 0 |

For c=31, following are the percentage accuracies:

| Training_Subsets | Test_Subset_1 | Test_Subset_2 | Test_Subset_3 | Test_Subset_4 | Test_Subset_5 |
|------------------|---------------|---------------|---------------|---------------|---------------|
| 'Subset 1' | 100 | 96.667 | 42.5 | 12.143 | 7.8947 |
| 'Subset 2' | 100 | 100 | 99.167 | 56.429 | 16.316 |
| 'Subset 3' | 68.571 | 70 | 100 | 57.143 | 24.211 |
| 'Subset 4' | 11.429 | 10.833 | 50.833 | 100 | 27.368 |
| 'Subset 5' | 10 | 12.5 | 32.5 | 61.429 | 100 |
| 'Subset 1+5' | 100 | 90.833 | 45 | 62.857 | 100 |

For c=31, following are the percentage errors:

| Training_Subsets | Test_Subset_1 | Test_Subset_2 | Test_Subset_3 | Test_Subset_4 | Test_Subset_5 |
|------------------|---------------|---------------|---------------|---------------|---------------|
| 'Subset 1' | 0 | 3.3333 | 57.5 | 87.857 | 92.105 |
| 'Subset 2' | 0 | 0 | 0.83333 | 43.571 | 83.684 |
| 'Subset 3' | 31.429 | 30 | 0 | 42.857 | 75.789 |
| 'Subset 4' | 88.571 | 89.167 | 49.167 | 0 | 72.632 |
| 'Subset 5' | 90 | 87.5 | 67.5 | 38.571 | 0 |
| 'Subset 1+5' | 0 | 9.1667 | 55 | 37.143 | 0 |

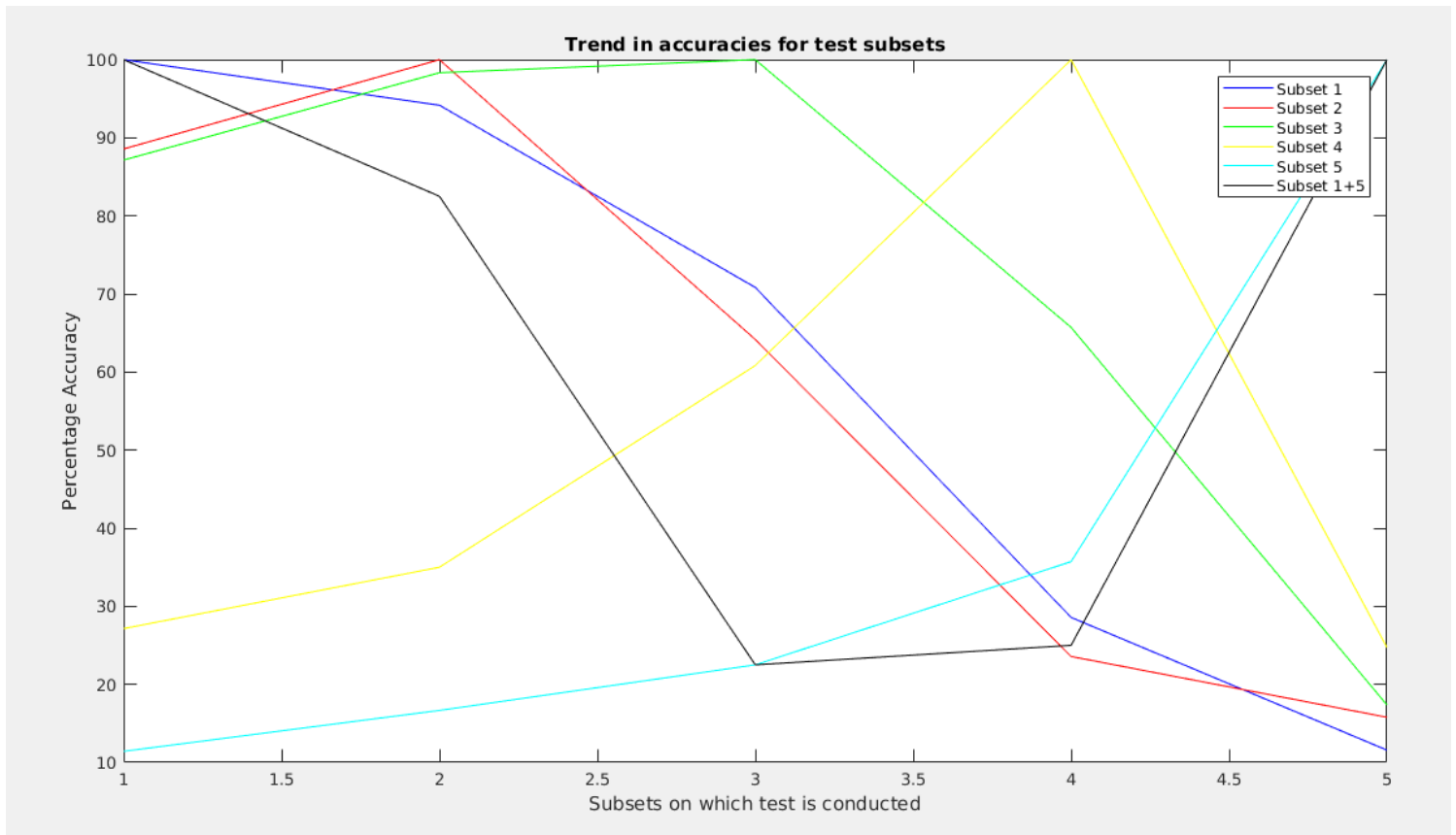


Figure 9: Trend of accuracies for $c=10$. NOTE: X axis are the subsets number 1 to 5 on which test is conducted

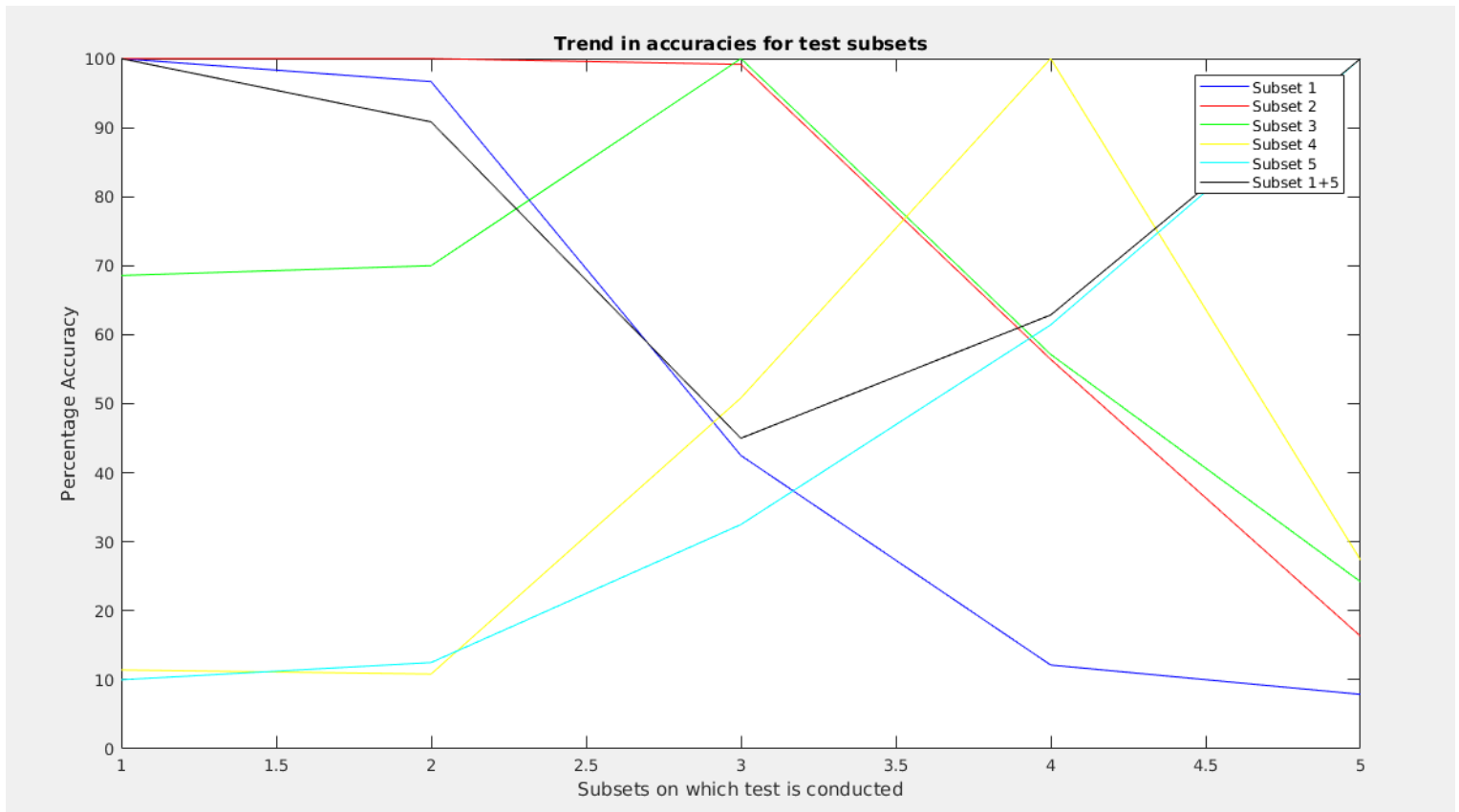


Figure 10: Trend of accuracies for $c=31$. NOTE: X axis are the subsets number 1 to 5 on which test is conducted

4. Reconstruction

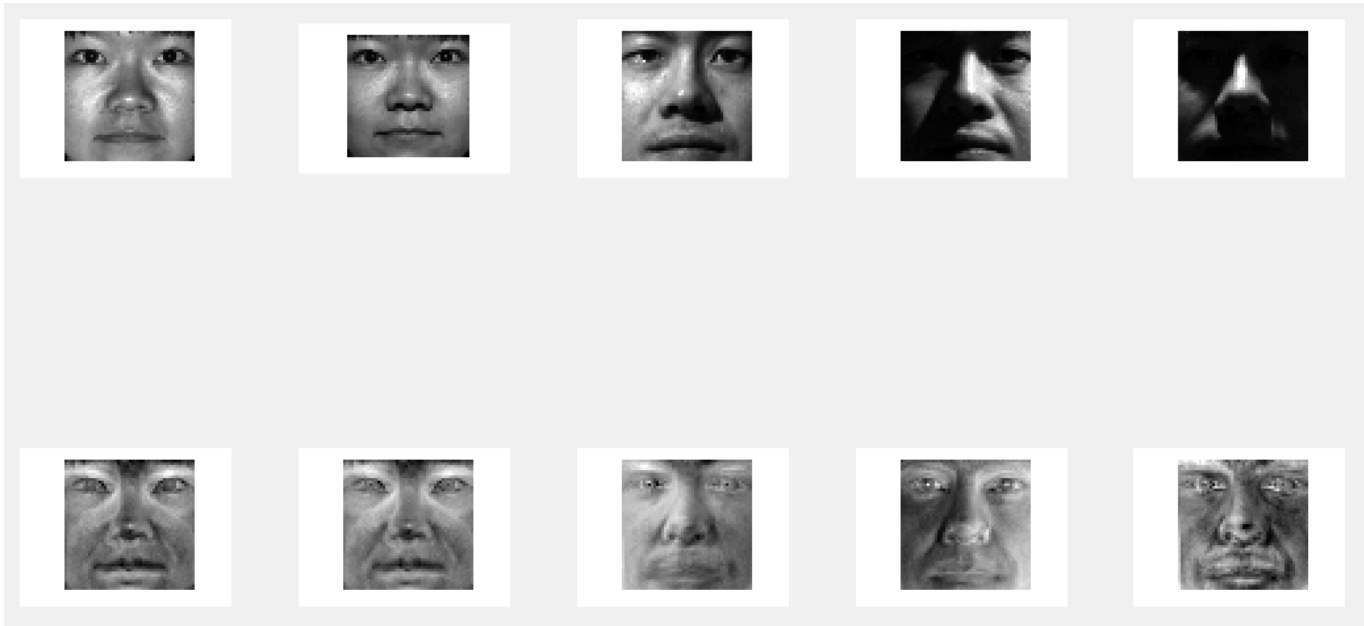


Figure 11: Original and Reconstructed Images for reduced dimensions, $c=10$

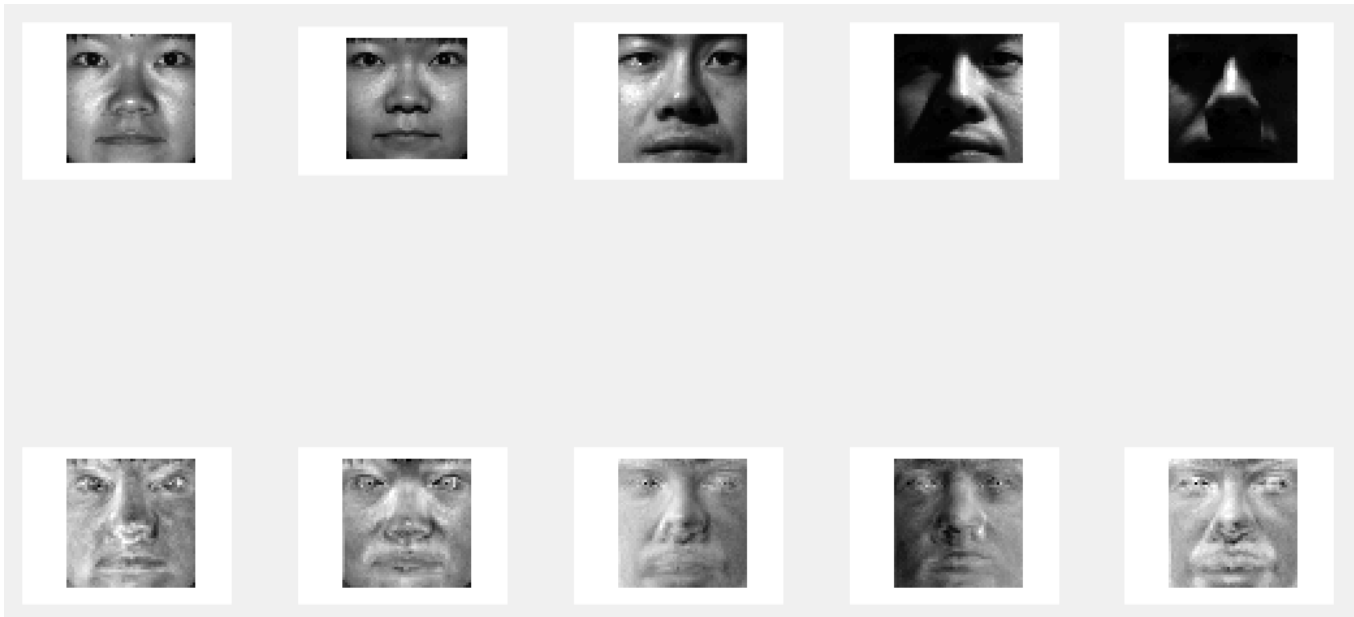


Figure 12: Original and Reconstructed Images for reduced dimensions, $c=31$

5. Training Subset 1 and Subset 5

The results of this are included in the tables above. Testing subset 1 and subset 5 with the trained image, the percentage error is 0. This is because the trained set has all the features including luminance combined from subset 1 and subset 5. For subset 3 reduces because the intensity/luminance difference between these is high.

6. Inference

The above results are obtained from the Matlab code, `main_fisherFace`. This is the main function, calling other functions to compute required percentage errors.

When we collectively train subset 1 and subset 5, perfect matches are obtained when tested with subset 1 and subset 5 as we would expect. The accuracy of match between the collectively trained subsets and subset 3 reduces because the intensity/luminance difference between these is high.

Again, if we eliminate first three Eigen vectors, we would see increase accuracy because the first three Eigen vectors are due to high discrimination due to illumination or intensity.

Taking a look at the reconstructed images using FLD and PCA, it is evident that, FLD does not perform well for reconstruction but does well on the discriminating the scatter between and within classes.

NOTE:

Run the code `main_eigenFaces.m` which takes approximately 83.037 s to compute the results for face recognition using eigenfaces.

Run the code `main_fisherFace.m` which takes approximately 211.147 s to compute the results for face recognition using fisherfaces.

I have trained each subset and tested with all the subsets out of inquisitiveness. Also, I have plotted the trends followed by the accuracy for each trained and tested subset.

Therefore, you might have to wait a bit until you get the result set.