

# HOMOMORPHIC ENCRYPTION FOR NEURAL NETWORKS

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Project Proposal Pitch

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# Background & Context of the Research Problem

**Think -->** How can we keep our private information safe when it's all getting stored and moved around on the internet?

## Definition:

Homomorphic encryption is a form of encryption that permits users to perform computations on its encrypted data without first decrypting it (Gillis, 2022).

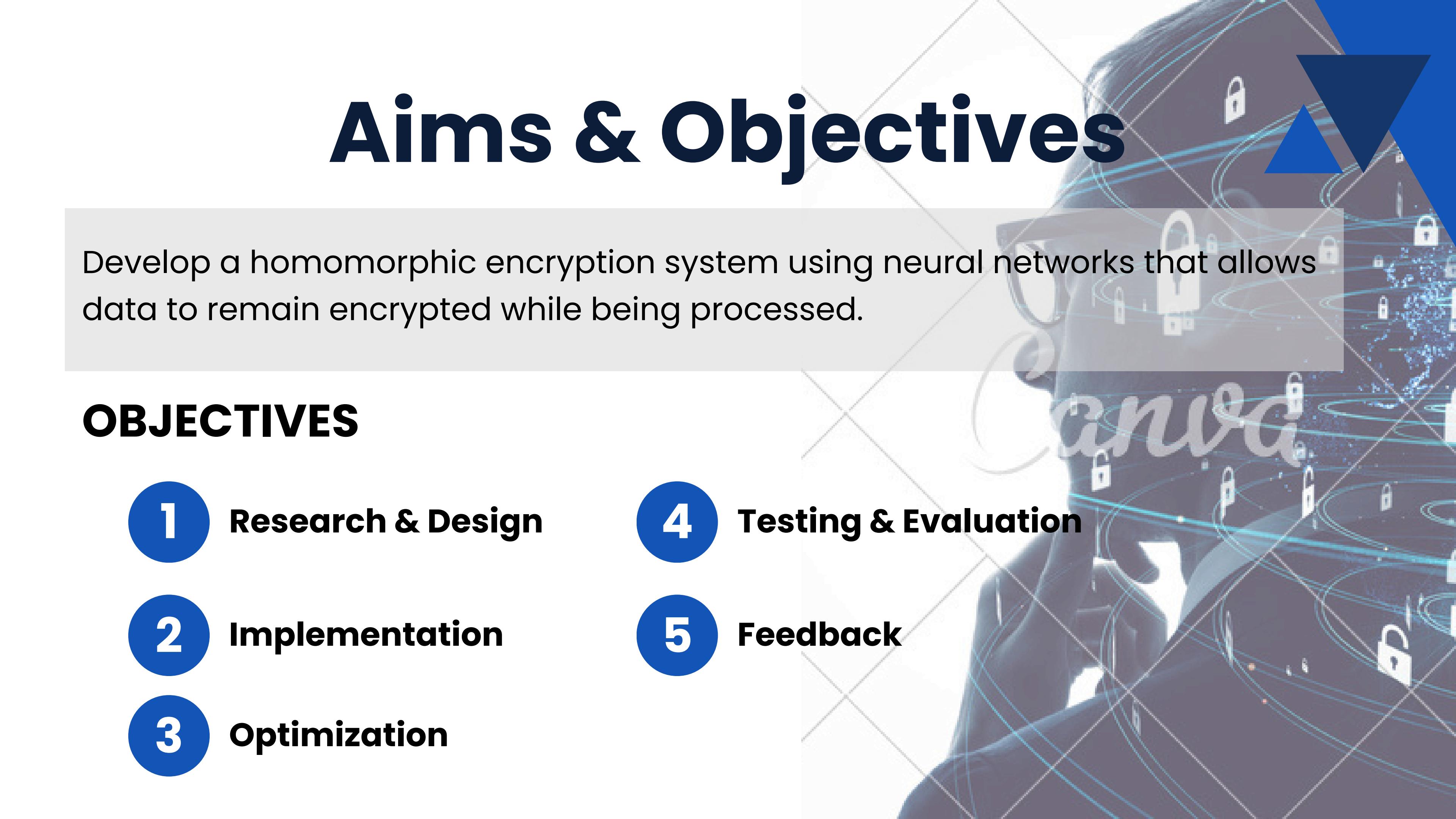
# Research Question

**How to implement a homomorphic  
encryption system while preserving privacy  
and reducing performance overhead ?**

# Aims & Objectives

Develop a homomorphic encryption system using neural networks that allows data to remain encrypted while being processed.

## OBJECTIVES

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- 1 Research & Design**
  - 2 Implementation**
  - 3 Optimization**
  - 4 Testing & Evaluation**
  - 5 Feedback**



# Impact of the Research

- ▲ **Privacy preservation :** A beacon of hope in an era of frequent data breaches.
- ▲ **Performance Improvement :** Making algorithms light and fast.
- ▲ **Sector Revolution :** Impact on healthcare, finance, and government.

# Literature Review



## Key generation [\[edit\]](#)

1. Choose two large prime numbers  $p$  and  $q$  randomly and independently of each other such that  $\gcd(pq, (p-1)(q-1)) = 1$ . This property is assured if both primes are of equal length.<sup>[1]</sup>
2. Compute  $n = pq$  and  $\lambda = \text{lcm}(p-1, q-1)$ .
3. Select random integer  $g$  where  $g \in \mathbb{Z}_{n^2}^*$
4. Ensure  $n$  divides the order of  $g$  by checking the existence of the following modular multiplicative inverse:  $\mu = (L(g^\lambda \bmod n^2))^{-1} \bmod n$ , where function  $L$  is defined as  $L(x) = \frac{x-1}{n}$ .

Note that the notation  $\frac{a}{b}$  does not denote the modular multiplication of  $a$  times the modular multiplicative inverse of  $b$  but rather the quotient of  $a$  divided by  $b$ , i.e., the largest integer value  $v \geq 0$  to satisfy the relation  $a \geq vb$ .

- The public (encryption) key is  $(n, g)$ .
- The private (decryption) key is  $(\lambda, \mu)$ .

If using  $p, q$  of equivalent length, a simpler variant of the above key generation steps would be to set  $g = n + 1$ ,  $\lambda = \varphi(n)$ , and  $\mu = \varphi(n)^{-1} \bmod n$ , where  $\varphi(n) = (p-1)(q-1)$ .<sup>[1]</sup>

## Encryption [\[edit\]](#)

1. Let  $m$  be a message to be encrypted where  $0 \leq m < n$
2. Select random  $r$  where  $0 \leq r < n$
3. Compute ciphertext as:  $c = g^m \cdot r^n \bmod n^2$

## Decryption [\[edit\]](#)

1. Let  $c$  be the ciphertext to decrypt, where  $c \in \mathbb{Z}_{n^2}^*$
2. Compute the plaintext message as:  $m = L(c^\lambda \bmod n^2) \cdot \mu \bmod n$

# Partial vs Full homomorphism

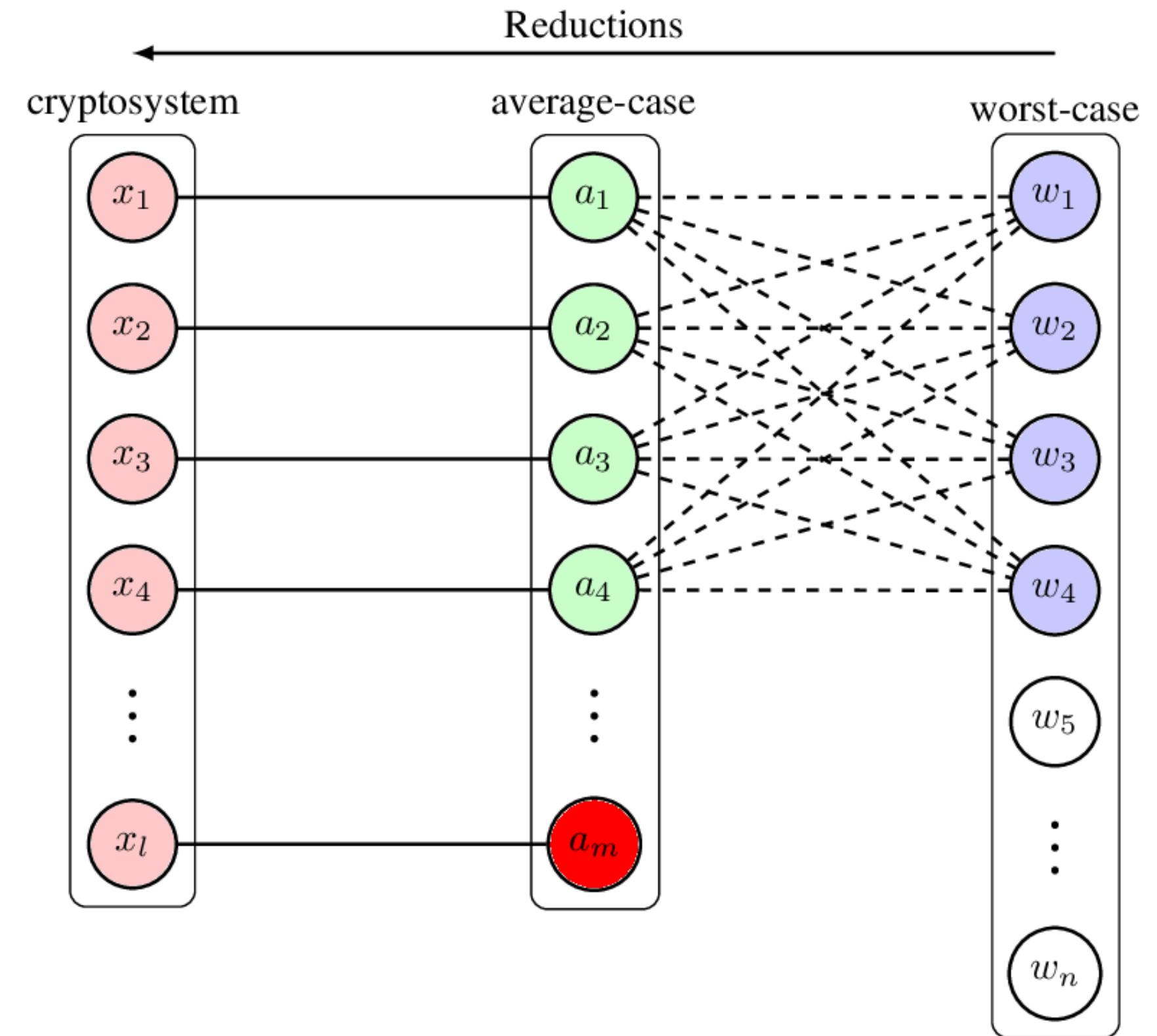
Partial	Full
Allow for add or multiply between ciphertexts	Allow for add and multiply between ciphertexts
Can still multiply with constants	Can still multiply with constants
Allow for less overhead	Expensive

(‘Types of Homomorphic Encryption - IEEE Digital Privacy’ n.d.)



Z != R

Paillier Scheme algorithm (Paillier 1999)



Lattices for homomorphic encryption (Li, Ng & Purcell 2022)



**Fixed point encoding : Storing a  
fixed number of fractional bits  
along with integer part (Goyal  
et al. n.d.)**

# Example encoding

5 -> 101 in binary

0.625 -> 0.101 in binary

5.625 is 101.101

Remove the .

101101 -> 45

Remember the number of bits in the  
mantissa (fractional bits)

# Methodology



# Research Design

## Quantitative

- Aims to **measure**
- Focus on benchmarking, quantifiable statistics, and measurement
- Larger sample sizes
- Examples include online surveys and computer-assisted telephone interviewing (CATI)

Quantitative aspects focus on performance metrics such as the accuracy, F1 score, and computational efficiency of neural networks trained to be compatible with homomorphic encryption

## Qualitative

- Aims to **explore**
- Focus on diving deep, asking why, and exploring mindsets with great detail
- Smaller sample sizes
- Examples include focus groups and in-depth interviews

Qualitative aspects could involve the evaluation of the practicality and scalability of such systems in real-world scenarios.

# Data Collection

In this project, we aim to collect the datasets for our training and testing step for the neural network optimization



Use platforms like Kaggle for publicly available datasets relevant to the domains where homomorphic encryption could be applied, such as healthcare and finance

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Using MNIST dataset to validate the effectiveness and efficiency of the neural network-based homomorphic encryption system in processing image data

# Enhancing Data Analysis Through Advanced Methodologies

## Step 1

- Model Training and Validation
- Performance Metrics

## Step 2

- Model Optimization
- Security Assessment

## Step 3

- Comparative Analysis
- Real-world Application Testing

# Ethical Consideration

## Core Ethical Principles

Beneficence, Non-maleficence, Autonomy, Justice, Explicability.

## Challenges

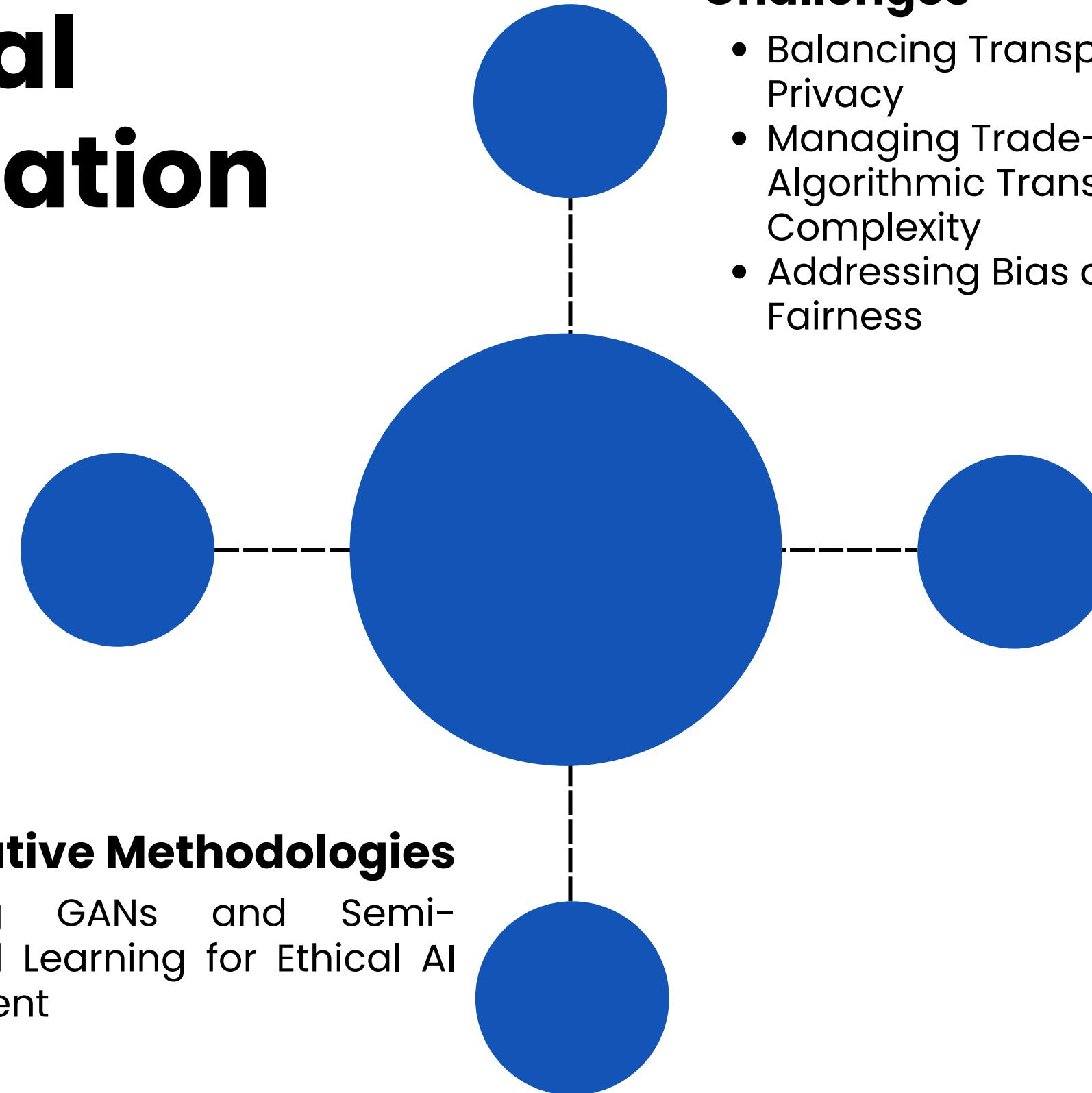
- Balancing Transparency and Privacy
- Managing Trade-offs: Algorithmic Transparency vs. Complexity
- Addressing Bias and Ensuring Fairness

## Solution

- Inherent Model Interpretability
- Ethical Audits and Accountability Measures

## Innovative Methodologies

Leveraging GANs and Semi-Supervised Learning for Ethical AI Development



# Expected Results



# **Anticipated outcomes**

Our research aims to provide a suitable real world solution to the performance and security risks of encrypting and decrypting data

## **Efficiency**

Our research aims to demonstrate a quantitative increase in efficiency versus current state of the art methods through a reduction or maintenance in time efficiency

## **Security Preservation**

Homomorphic encryption algorithms allow obscuration of private data whilst maintaining the ability to perform operations.

## **Interoperability**

Integration and standardisation of data encryption across platform domains and communication technologies

## **Scalability**

Our research aims to develop homomorphic encryption algorithms that are suitable for extremely large data sets

# Potential Limitations

## **Security and Vulnerability detection**

The practical adaptation of homomorphic encryption can have a vast array of potentially unknown vulnerabilities that are very difficult to anticipate.

## **Practical Adaptation**

The Complexity of homomorphic encryption can leave this method as unviable to the general public. Lack of ecosystem tools and resources may also impact adoption.

## **Diversity of data types and operations**

Homomorphic encryption can be potentially difficult to apply to the wide variety of data formats. Support for advanced data operations above simple mathematical computations may be challenging to implement.

With continuous advancements in technology and cryptographic research, we aim to increase the versatility of homomorphic encryption.

# Challenges

## Budget

Considering the budgetary limitations of our research our research may be influenced through our choice of research methods and ability to conduct experiments

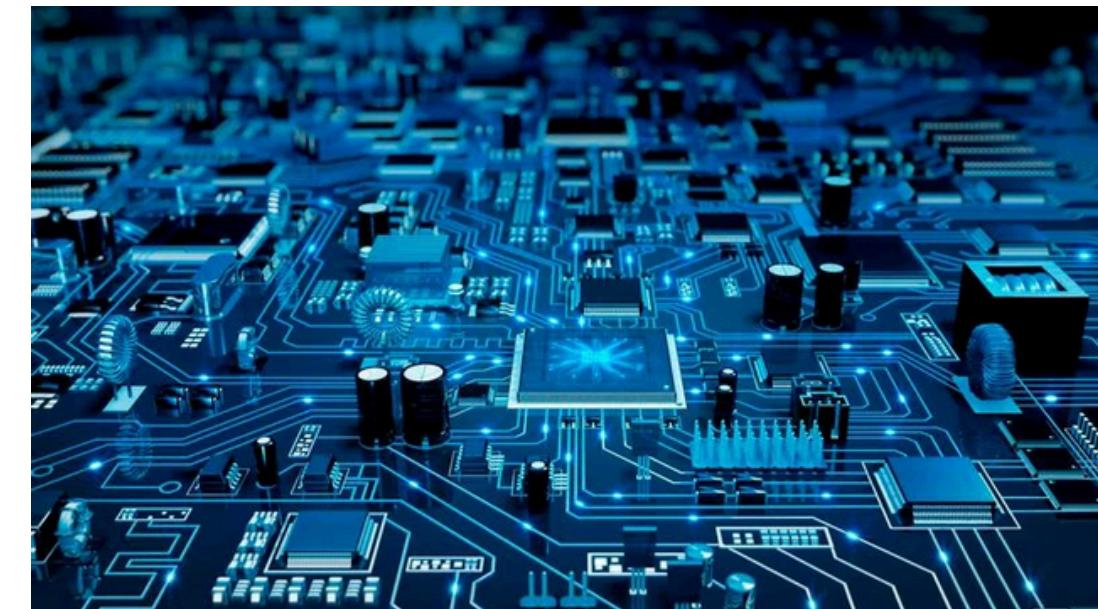
## Ethical considerations

Our proposed solutions and implementations must comply to regulatory laws and engage in socially responsible considerations to ensure we contribute positively to the community and environment around us.



Australian Government  
Australian Signals Directorate

ACSC  
Australian  
Cyber Security  
Centre



## Data sets and hardware

Securing appropriate data sets and suitable hardware for the research may significantly impact our methodology and research. It is crucial to carefully select the data sets that align with the objectives of the research to ensure the accuracy and reliability of the findings. Additionally, having the right hardware and technological tools can streamline the data collection and analysis process.

# Timeline & Schedules

Schedules for achieving goals in each of the phases.

Weekly Saturday Meeting  
(Optional: online or in-person).

Continuous online discussions.



# Schedules for each phase of the research project

Homomorphic Encryption using Neural Networks	Week 1	Week 2	Week 3	Week 4	Week 5	Week 6	Week 7	Week 8	Week 9	Week 10	Week 11	Week 12
Phase 1: Research and Design	Define project objectives	Conduct research	Initiation on resources among	implementing								
Phase 2: Implementation			Implementing project	Monitor progress	Review and make							
Phase 3: Optimization				Review and optimising		Make nessisary adjustments						
Phase 4: Testing Evaluation and Finalising						Conduct testing /Gather feedback	Analyze the result	Finalise				



# Role and Responsibilities



1

## Paillier Encryption

c++ implementation of paillier encryption

**Team members:** Nam, Brendan, Aurora

2

## Machine Learning

Using Python

**Team member:** Diyon and Jayce



# **Feasibility Study**

Estimate cost for conducting the research and allocation of resources.

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# Feasibility Overview



## Estimate Cost

- Development, Implementation and Maintenance cost.
- Equipment and Supply
- Other expenses



## Allocation of resources

- The team leader, Nam is responsible for resource allocation.
- Team members request the resources needed from the team leader.

# Thank You!



# References

- Li, Y., Ng, K. & Purcell, M. 2022, A TUTORIAL INTRODUCTION TO LATTICE-BASED CRYPTOGRAPHY AND HOMOMORPHIC ENCRYPTION A PREPRINT, viewed 11 March 2024.
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- Goyal, R., Vanschoren, J., Van Acht, V. & Nijssen, S. n.d., Fixed-point Quantization of Convolutional Neural Networks for Quantized Inference on Embedded Platforms.
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Diyon : Introduction

Nam : Literature Review

Thai : Methodology

Brendan : Expected Results

Aurora : Timeline and Feasibility