

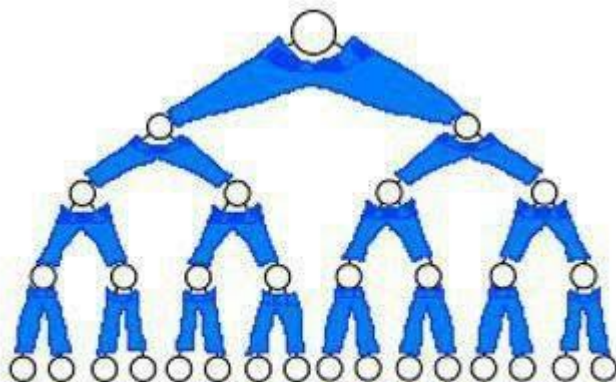
# Lecture #12

- Binary Tree Traversals
- Evaluate Expressions Using
- Binary Search Trees
- Binary Search Tree Operations
  - Searching for an item
  - Inserting a new item
  - Finding the minimum and maximum items
  - Printing out the items in order
  - Deleting the whole tree

# Binary Trees, Cont.

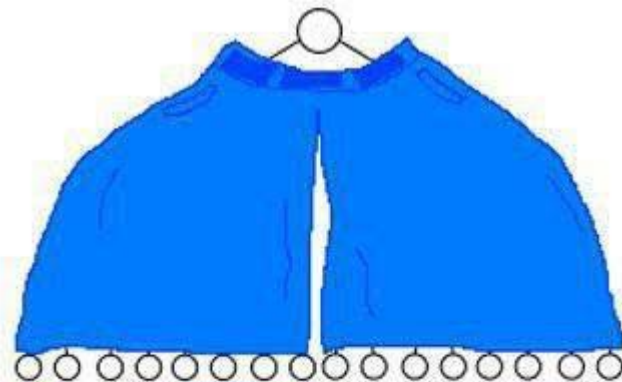
If a binary tree wore pants would he wear them

like this



or

like this?



# Binary Tree Traversals

When we process all the nodes in a tree, it's called a traversal.

There are four common ways to traverse a tree.

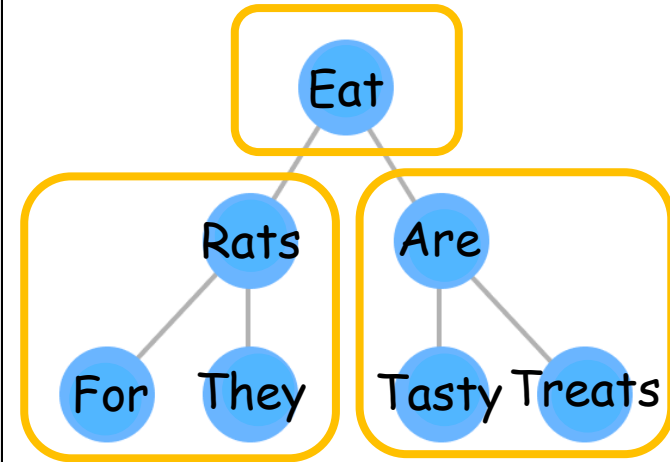
1. Pre-order traversal (we did this last time)
2. In-order traversal
3. Post-order traversal
4. Level-order traversal

Let's see an in-order traversal first!

# The Pre-order Traversal: Refresher

PreOrder(current\_node):

1. Process the **current node**.
2. Recursively process nodes in the **left sub-tree**.
3. Recursively process nodes in the **right sub-tree**.



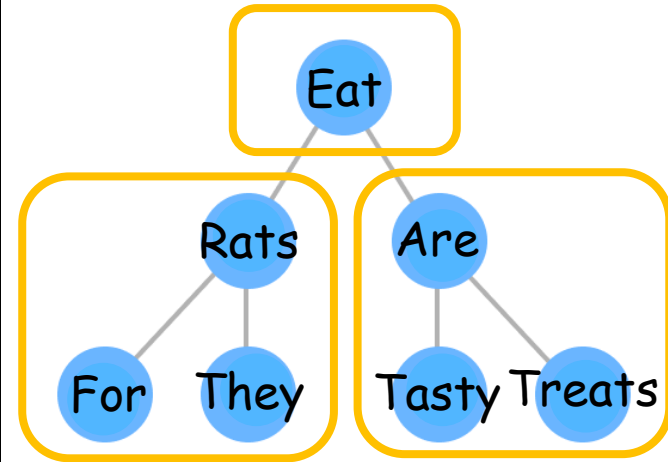
Can you guess why it's called a "**pre-order**" traversal?

Because we **pre-process** the **current node**...  
before processing its **left and right subtrees**.

# The In-order Traversal

InOrder(current\_node):

1. Recursively process nodes in the **left sub-tree**.
2. Process the **current node**.
3. Recursively process nodes in the **right sub-tree**.

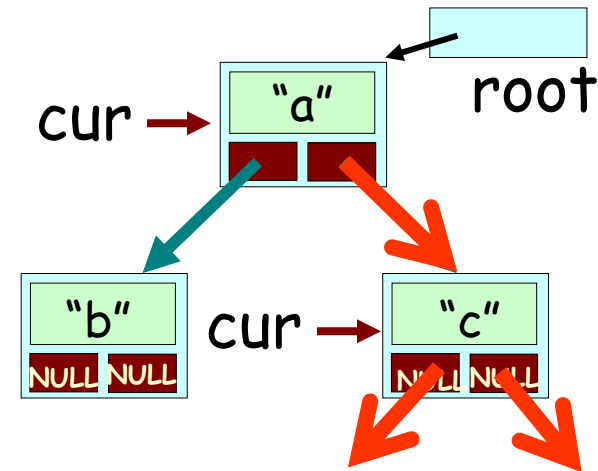


Can you guess why it's called an "**in-order**" traversal?

Because we process the **current node**...  
**in-between** processing its **left and right subtrees**.

# The In-order Traversal

- Here's our in-order traversal
- You can see that we have our same base-case which checks to see if we're processing an empty tree. This is when `cur == nullptr`, and there is no valid node.
- Otherwise, we first process the left subtree by passing in `cur->left` to our function.
- Then when the call to process the entire left subtree returns, we then process the current node.
- Finally, we process the right subtree by passing in `cur->right` to our function.
- Important note: If you use an in-order traversal on a binary SEARCH tree (which is a type of binary tree), it will visit all of the values in alphabetical order!



```

void InOrder(Node *cur)
{
    if (cur == nullptr)        // if empty, return...
        return;

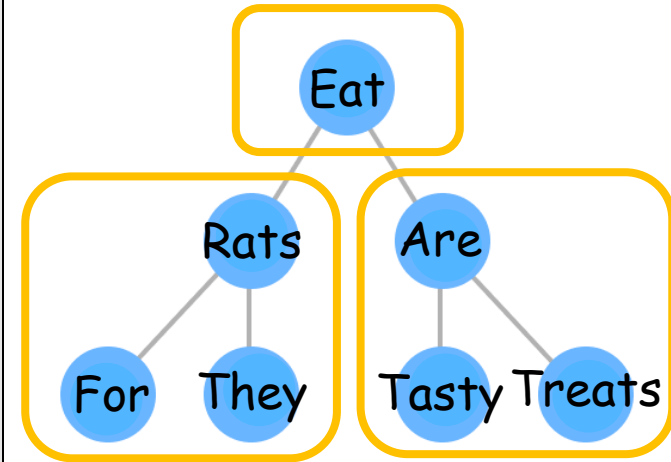
    InOrder(cur->left);    // Process nodes in left sub-tree.
    cout << cur->value;    // Process the current node.
    InOrder(cur->right); // Process nodes in right sub-tree.
}
  
```

Output:  
b a c

# The Post-order Traversal

`PostOrder(current_node):`

1. Recursively process nodes in the **left sub-tree**.
2. Recursively process nodes in the **right sub-tree**.
3. Process the **current node**.

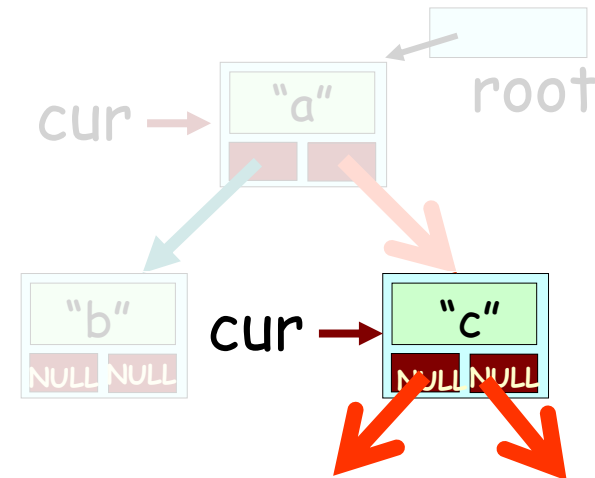


Can you guess why it's called a "**post-order**" traversal?

Because we first process its **left and right subtrees**...  
and only then **post-process** the **current node**...

# The Post-order Traversal

- Here's our post-order traversal
- You can see that we have our same base-case which checks to see if we're processing an empty tree. This is when `cur == nullptr`, and there is no valid node.
- Otherwise, we first process the left subtree by passing in `cur->left` to our function.
- Then, we process the right subtree by passing in `cur->right` to our function.
- Finally, once we've processed both of the subtrees (if any), we then process the current node.
- Important note: Post-order traversals are useful for things like expression evaluation and freeing trees during destruction.



```

void PostOrder(Node *cur)
{
    if (cur == nullptr)        // if empty, return...
        return;

    PostOrder(cur->left);      // Process nodes in left sub-tree.
    PostOrder(cur->right);     // Process nodes in right sub-tree.
    cout << cur->value;        // Process the current node.
}
  
```

Output:

b c a



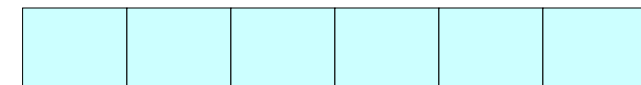
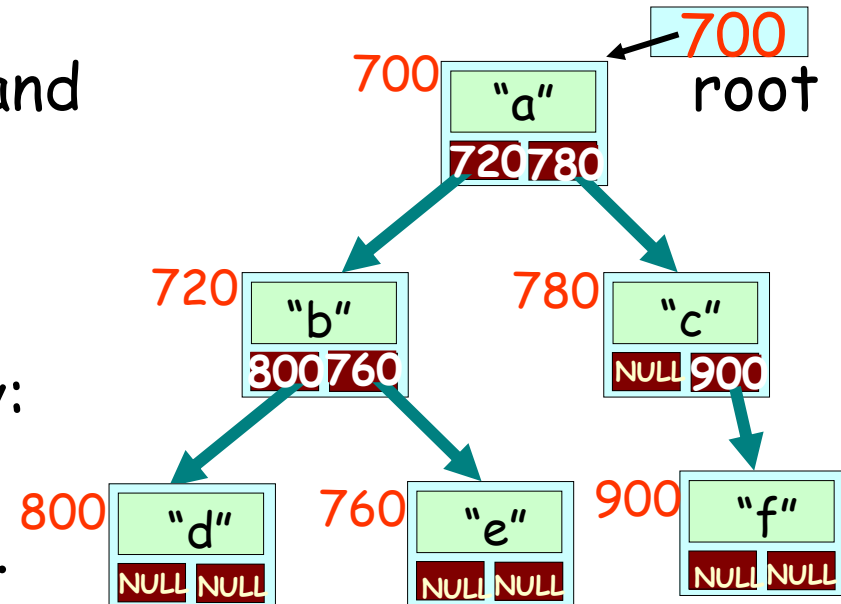
# The Level Order Traversal

In a *level order traversal* we visit each level's nodes, from left to right, before visiting nodes in the next level.

Here's the algorithm:

temp

1. Use a temp pointer variable and a queue of node pointers.
2. Insert the root node pointer into the queue.
3. While the queue is not empty:
  - A. Dequeue the top node pointer and put it in temp.
  - B. Process the node.
  - C. Add the node's children to queue if they are not NULL.



front

rear

abcdef

# Big-O of Traversals?

**Question:** What're the big-ohs of each of our traversals?

Each of our traversals performs three operations per node:

They process the value in the current node.

They initiate processing of its **left** subtree.

They initiate processing of its **right** subtree.

So for a tree  
with  $n$  nodes,  
that's  $3 \cdot n$   
operations, or...

$O(n)$

We "process" the value in  
each node just once.

We initiate processing of the  
node's **left** subtree.

We initiate processing of the  
node's **right** subtree.

```
void PreOrder(Node *cur)
{
    if (cur == nullptr)
        return;

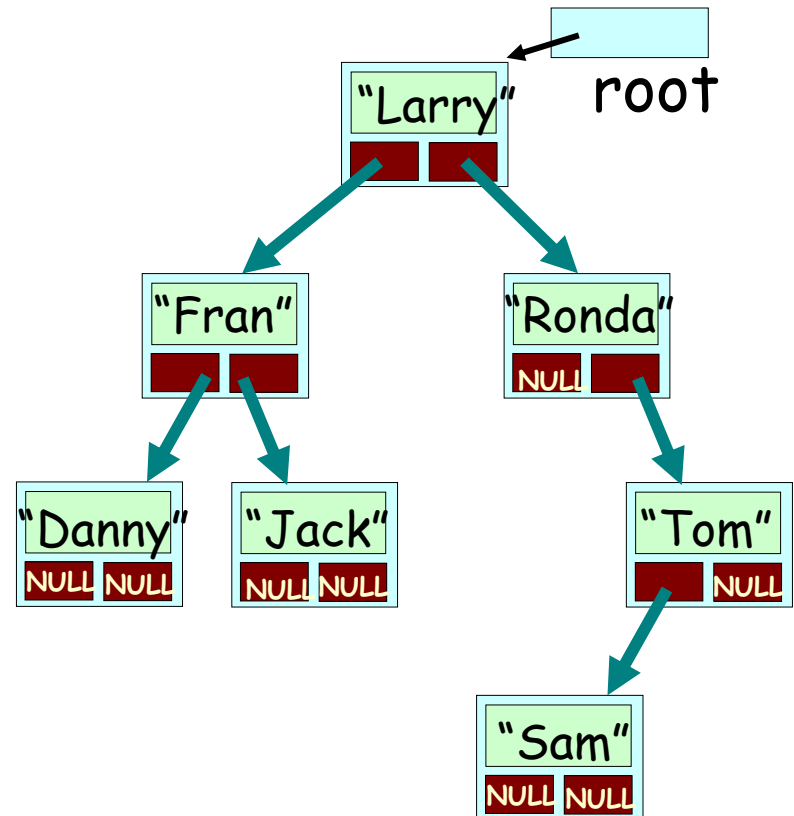
    cout << cur->value;
    PreOrder(cur->left);
    PreOrder(cur->right);
}
```

# Traversal Challenge

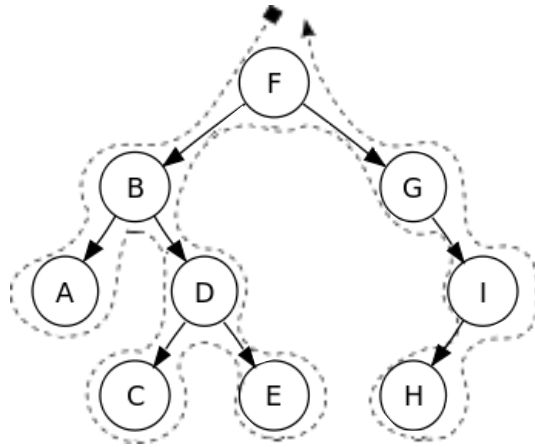
## RULES

- The class will split into left and right teams
- One student from each team will come up to the board
- Each student can either
  - write one new item or
  - fix a single error in their teammates solution
- Then the next two people come up, etc.
- The team that completes their program first wins!

Challenge: What order will the following nodes be printed out if we use an **in-order traversal**?



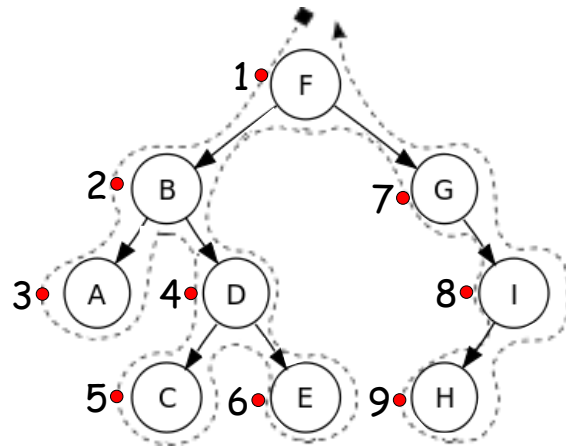
# An Easy Way to Remember the Order of Pre/In/Post Traversals



Starting just above-left of the root node, **draw a loop counter-clockwise** around all of the nodes.

Ok, got that?

# Pre-order Traversal: Dot on the **LEFT**



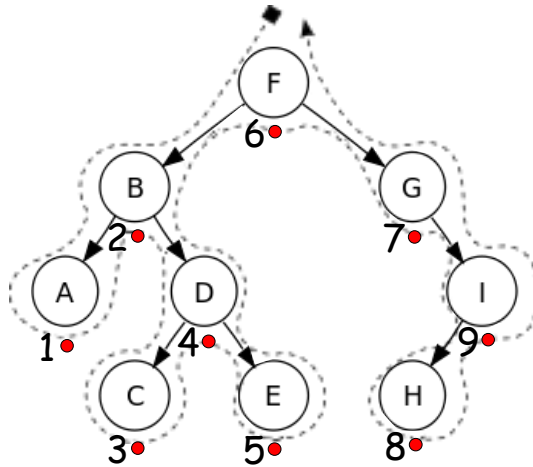
Pre-order:  
**F B A D C E G I H**

To determine the order of nodes visited in a **pre-order traversal**...

**Draw a dot** next to each node as you pass by its **left side**.

The order you draw the dots is the order of the pre-order traversal!

# In-order Traversal: Dot **UNDER** the node



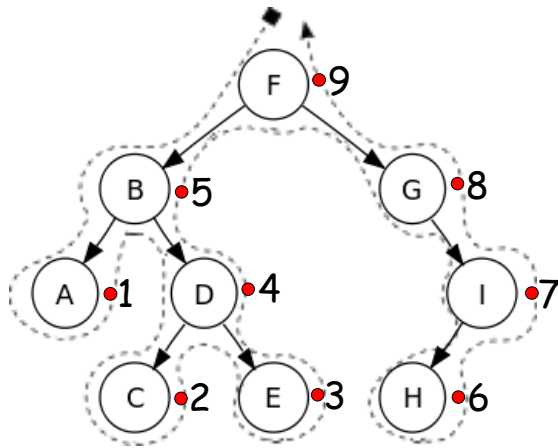
In-order:  
**A B C D E F G H I**

To determine the order of nodes visited in a **in-order traversal**...

**Draw a dot** next to each node as you pass by its **under-side**.

The order you draw the dots is the order of the in-order traversal!

# Post-order Traversal: Dot on the **RIGHT**



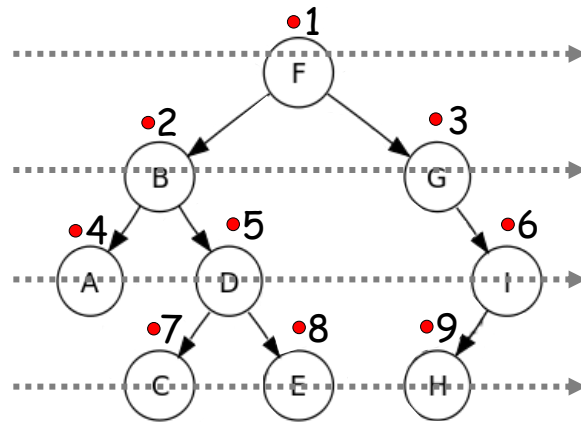
Post-order:  
**A C E D B H I G F**

To determine the order of nodes visited in a **post-order traversal**...

**Draw a dot** next to each node as you pass by its **right side**.

The order you draw the dots is the order of the post-order traversal!

# Level-order Traversal: Level-by-level



Level-order:  
**F B G A D I C E H**

To determine the order of nodes visited in a **level-order traversal**...

Start at the top node and **draw a horizontal line left-to-right** through all nodes on that row.

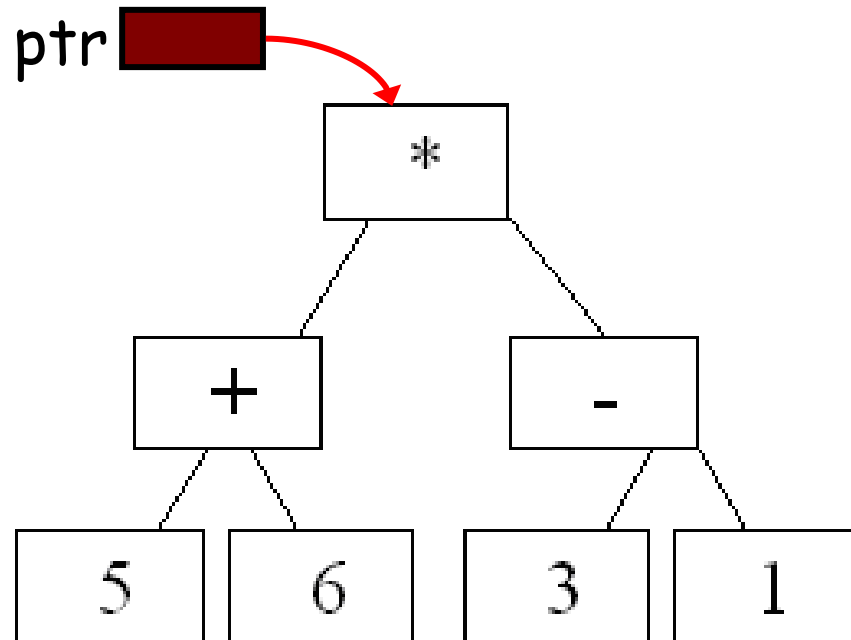
Repeat for all remaining rows.

The order you draw the lines is the order of the level-order traversal!



# Expression Evaluation

We can represent arithmetic expressions using a binary tree.



For example, the tree on the left represents the expression:  $(5+6)*(3-1)$

Once you have an expression in a tree, its easy to evaluate it and get the result.

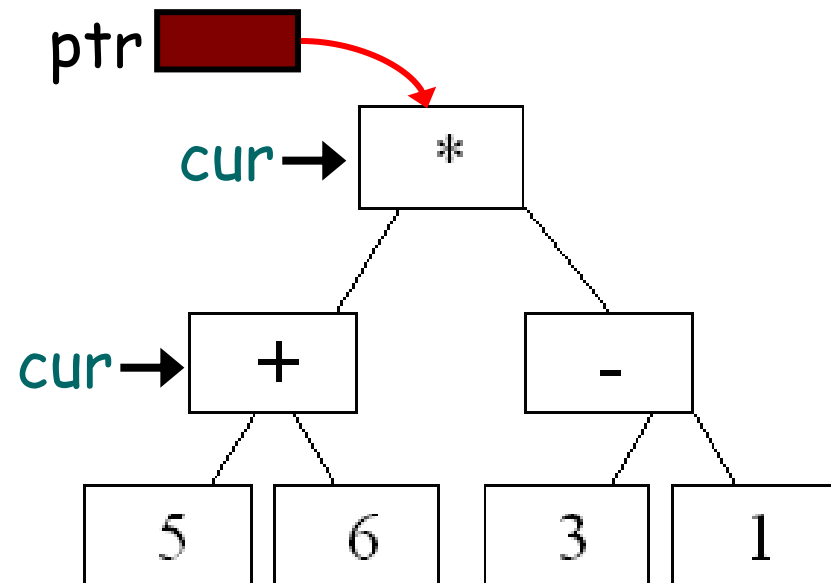
Let's see how!

# Expression Evaluation

- Here's a function that computes the value of an expression tree. We start by passing in a pointer to the root of the tree.
- Step #1 is the base case. It checks for a number in a node, and if it finds one, just returns the value of the number. All leaf nodes will be numbers.
- Steps #2 and #3 evaluate the left and right subtrees of the current node, and gets their values.
- Then step #4 applies the operator (e.g., \* sign) to the two results, and returns the overall result.
- This should look familiar! It's a post-order traversal in disguise. We process the left and right subtrees first, and then finally process the current node (the operator).

$$(5+6)*(3-1)$$

1. If the current node is a number, return its value.
2. Recursively evaluate the left subtree and get the result.
3. Recursively evaluate the right subtree and get the result.
4. Apply the operator in the current node to the left and right results; return the result.



# Binary Search Trees

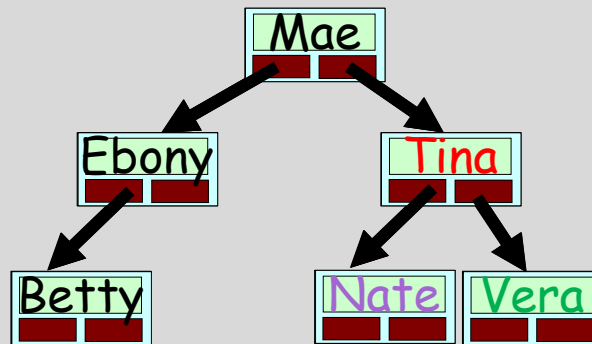


# Binary Search Trees

## What's the big picture?

A binary search tree enables **fast** ( $\log_2 N$ ) **searches** by ordering its data in a special way.

For every **node**  $j$  in the tree, all children to  $j$ 's **left** must be less than it, and all children to  $j$ 's **right** must be greater than it. e.g.,



To see if a value  $V$  is in the tree:

1. Start at the root node
2. Compare  $V$  against the node, moving down **left** or **right** if  $V$  is less or greater
3. Repeat until you find  $V$  or hit a dead end

### Uses:

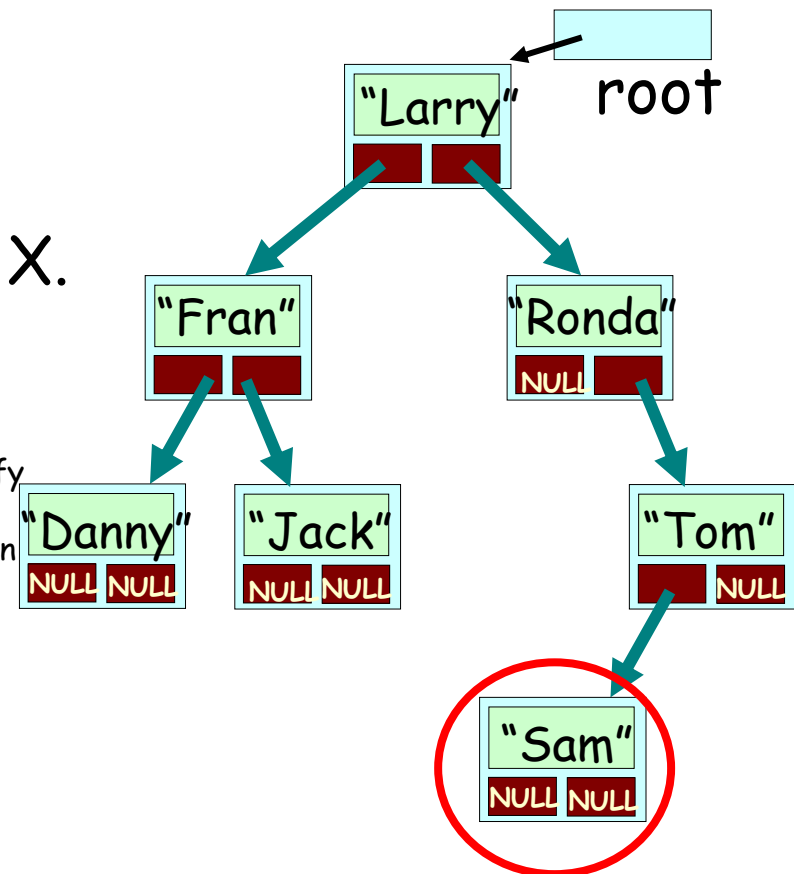
BSTs are used when you need to quickly search through loads of data, and also keep that data alphabetized.

# Binary Search Trees

**BST Definition:** A Binary Search Tree is a binary tree with the following property:

For every **node X** in the tree:

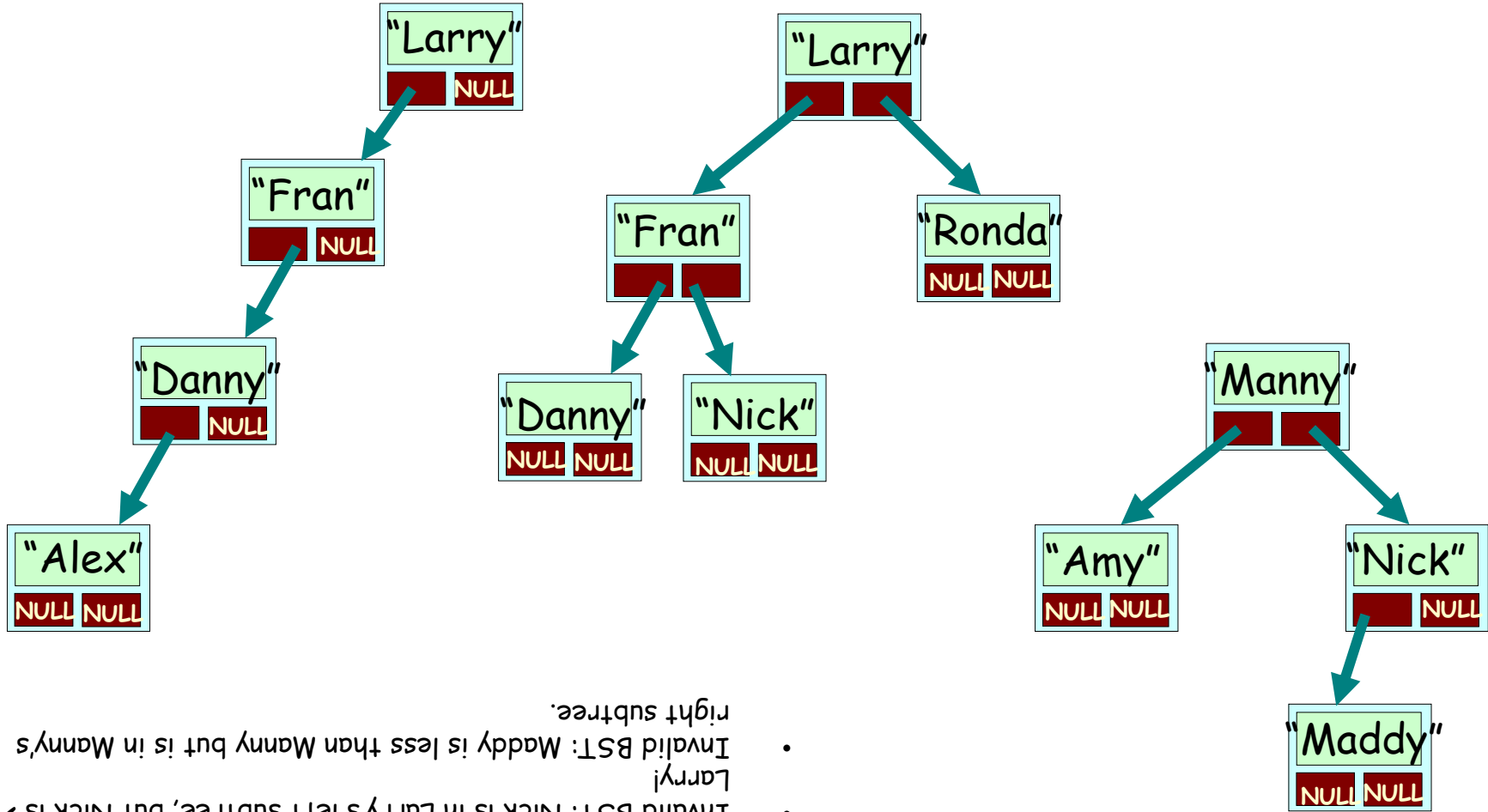
- All nodes in X's **left subtree** must be **less** than X.
- All nodes in X's **right subtree** must be **greater** than X.



- The tree to the right is a valid binary search tree. Let's verify this.
- Every value in Larry's subtree is less than Larry. Every value in Larry's right subtree is greater than Larry.
- Every value in Fran's subtree is less than Fran. Every value in Fran's right subtree is greater than Fran.
- Every value in Rhonda's subtree is less than Rhonda (it's empty). Every value in Rhonda's right subtree is greater than Rhonda.
- The rest of the nodes are leaf nodes.

# Binary Search Trees

Question: Which of the following are valid BSTs?



- From left to right:
- Valid BST
- Invalid BST: Nick is in Larry's left subtree, but Nick is > Larry!
- Invalid BST: Maddy is less than Manny but is in Manny's right subtree.

# Operations on a Binary Search Tree

Here's what we can do to a BST:

- Determine if the binary search tree is **empty**
- **Search** the binary search tree for a value
- **Insert** an item in the binary search tree
- **Delete** an item from the binary search tree
- **Find the height** of the binary search tree
- **Find the number** of **nodes** and **leaves** in the binary search tree
- **Traverse** the binary search tree
- **Free** the memory used by the binary search tree

# Searching a BST

Input: A value  $V$  to search for

Output: **TRUE** if found, **FALSE** otherwise

Start at the **root** of the tree

Keep going until we hit the **NULL** pointer

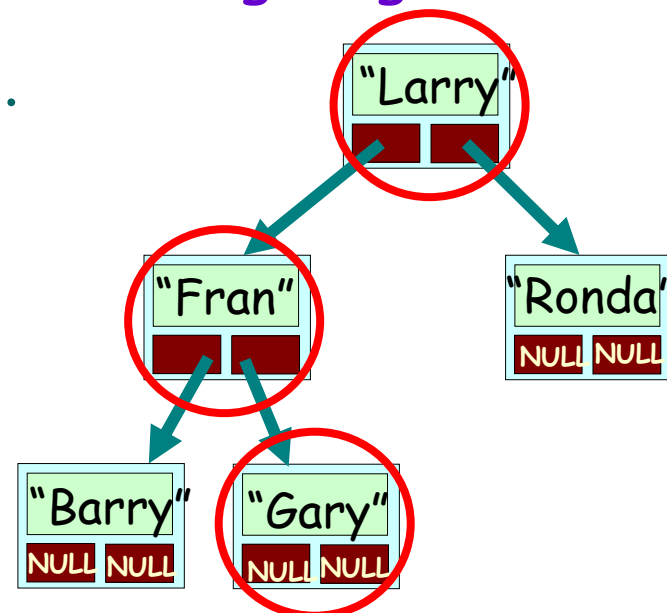
If  $V$  is **equal** to current node's value, then found!

If  $V$  is **less** than current node's value, go left

If  $V$  is **greater** than current node's value, go right

If we hit a **NULL** pointer, not found.

- Let's search for **Gary**.
- We start by comparing Gary to Larry, and find Gary is less than Larry, so we go left.
- We then compare Gary to Fran, and find Gary is greater than Fran, so we go right.
- We then compare Gary to Gary, and find they're equal. We found our value!





# Searching a BST

Start at the **root** of the tree

Keep going until we hit the **NULL** pointer

If  $V$  is **equal** to current node's value, then **found!**

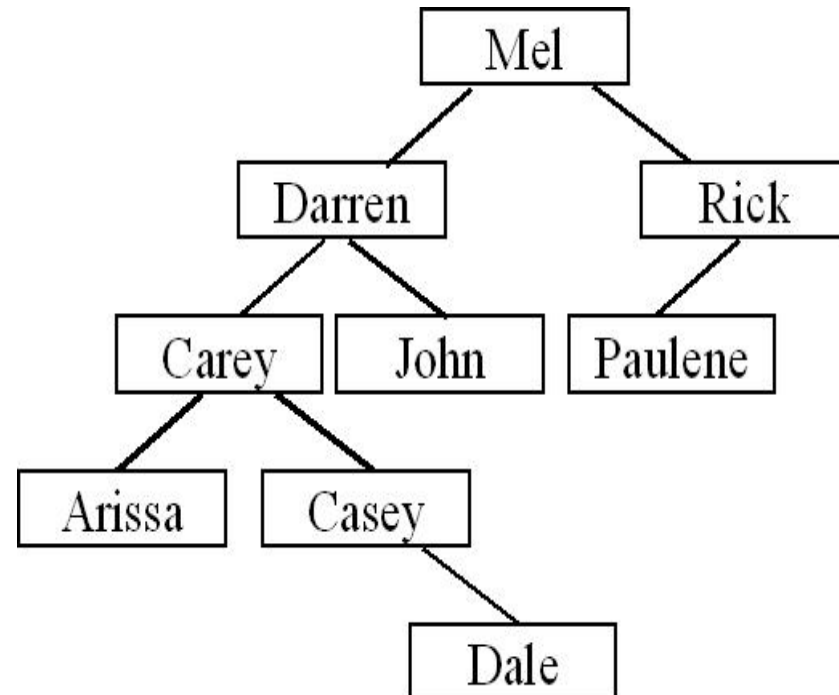
If  $V$  is **less** than current node's value, **go left**

If  $V$  is **greater** than current node's value, **go right**

If we hit a **NULL** pointer, not found.

Show how to search for:

1. Khang
2. Dale
3. Sam



# Searching a BST

Here are two different BST search algorithms in C++, one iterative and one recursive:

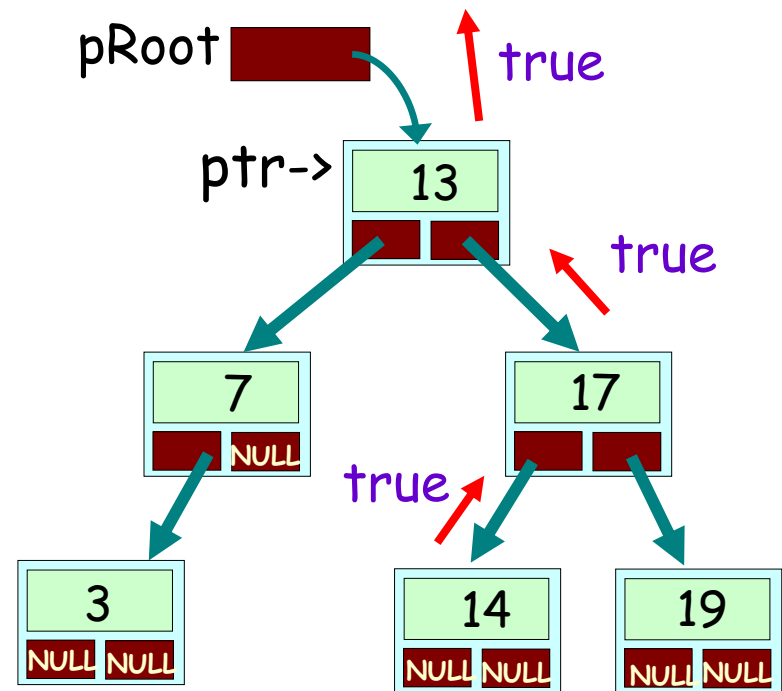
```
bool Search(int v, Node *root)
{
    Node *ptr = root;
    while (ptr != nullptr)
    {
        if (v == ptr->value)
            return true;
        else if (v < ptr->value)
            ptr = ptr->left;
        else
            ptr = ptr->right;
    }
    return false; // nope
}
```

```
bool Search(int v, Node *ptr)
{
    if (ptr == nullptr)
        return false; // nope
    else if (v == ptr->value)
        return true; // found!!!
    else if (v < ptr->value)
        return Search(v, ptr->left);
    else
        return Search(v, ptr->right);
}
```

# Recursive BST Search

Lets search for 14.

```
bool Search(int V, Node *ptr)
{
    if (ptr == nullptr)
        return(false); // nope
    else if (V == ptr->value)
        return(true); // found!!!
    else if (V < ptr->value)
        return(Search(V, ptr->left));
    else
        → return(Search(V, ptr->right));
}
```



```
int main(void)
{
    bool bEnd;
    → bEnd = Search(14, pRoot);
}
```

# Big Oh of BST Search

Question:

In the average BST with **N values**, how many steps are required to find our value?

Right!  **$\log_2(N)$  steps**

Question:

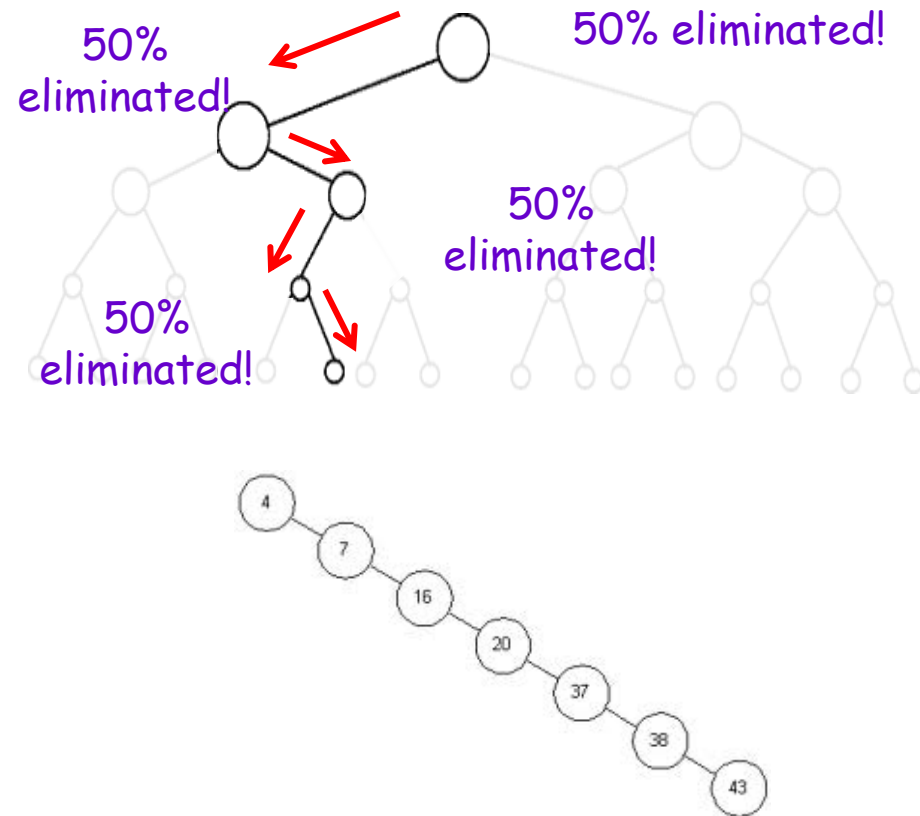
In the worst case BST with **N values**, how many steps are required find our value?

Right! **N steps**

Question:

If there are 4 billion nodes in a BST, how many steps will it take to perform a search?

**Just 32!**



**WOW!**

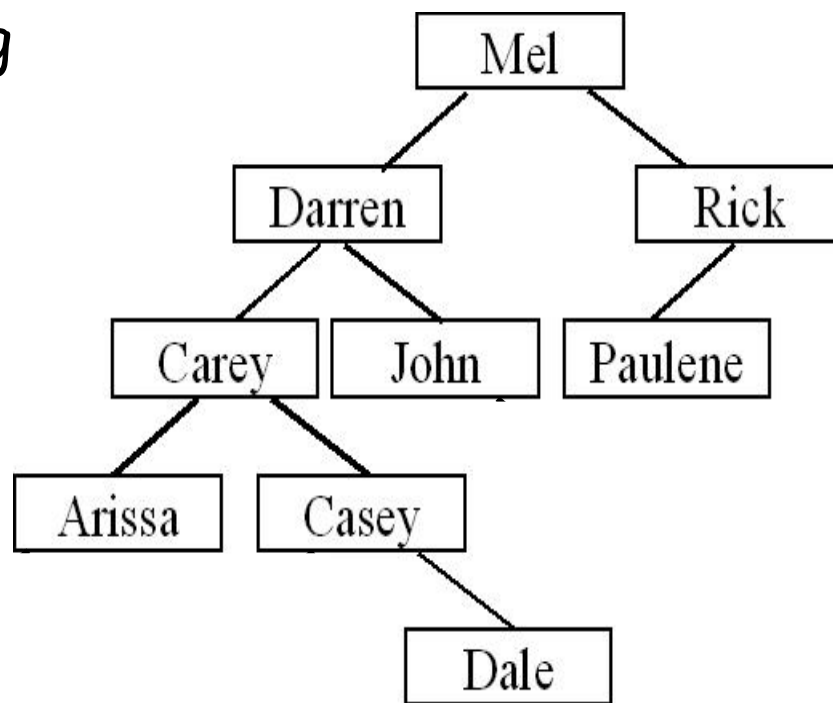
Now that's PIMP!

# Inserting A New Value Into A BST

To **insert a new node** in our BST, we must place the new node so that the resulting tree is **still a valid BST!**

Where would the following new values go?

Carly  
Ken  
Alice



- Answers:
- Carly would be added as Casey's left child.
  - Ken would be added as John's right child
  - Alice would be added as Arissa's left child.

# Inserting A New Value Into A BST

Input: A value  $V$  to insert

If the tree is empty

Allocate a new node and put  $V$  into it

Point the root pointer to our new node. DONE!

Start at the root of the tree

While we're not done...

If  $V$  is equal to current node's value, DONE! (nothing to do...)

If  $V$  is less than current node's value

If there is a left child, then go left

ELSE allocate a new node and put  $V$  into it, and

set current node's left pointer to new node. DONE!

If  $V$  is greater than current node's value

If there is a right child, then go right

ELSE allocate a new node and put  $V$  into it,

set current node's right pointer to new node. DONE!

# Now the C++ Code!

```
struct Node
{
    Node(const std::string &myVal)
    {
        value = myVal;
        left = right = nullptr;
    }

    std::string value;
    Node *left,*right;
};
```

- Just as with a regular binary tree, we use a node struct to hold our items.
- However let's add a **constructor** to our Node so we can easily create a new one!
- And our constructor initializes that **root pointer** to **nullptr** when we create a new tree. (This indicates the tree is empty)

# Now the C++ Code!

```
class BinarySearchTree
{
public:

    BinarySearchTree()
    {
        m_root = nullptr;
    }

    void insert(const std::string &value)
    {
        ...
    }

private:

    Node *m_root;
};
```

- And here's our Binary Search Tree class.
- Our BST class has a **single member variable** - the root pointer to the tree.
- And our constructor initializes that **root pointer** to **nullptr** when we create a new tree. (This indicates the tree is empty)
- Now let's see our complete **insertion** function in C++.



```
void insert(const std::string &value)
```

```
{
    if (m_root == nullptr)
    { m_root = new Node(value); return; }
```

If our tree is empty, allocate a new node and point the root pointer to it - then we're done!

```
Node *cur = m_root;
```

```
for (;;)
{
```

Start traversing down from the root of the tree.

for(;;) is the same as an infinite loop.

```
    if (value == cur->value) return;
```

If our value is already in the tree, then we're done - just return.

```
    if (value < cur->value)
    {
```

```
        if (cur->left != nullptr)
```

```
            cur = cur->left;
```

If the value to insert is less than the current node's value, then go left.

```
        else
        {
```

```
            cur->left = new Node(value);
```

```
            return;
```

If there is a node to our left, advance to that node and continue.

```
        }
```

```
    }
```

```
    else if (value > cur->value)
    {
```

Otherwise we've found the proper spot for our new value! Add our value as the left child of the current node.

```
        if (cur->right != nullptr)
```

```
            cur = cur->right;
```

```
        else
        {
```

```
            cur->right = new Node(value);
```

```
            return;
```

If the value we want to insert is greater than the current node's value, then traverse/insert to the right.

```
        }
```

```
    }
```

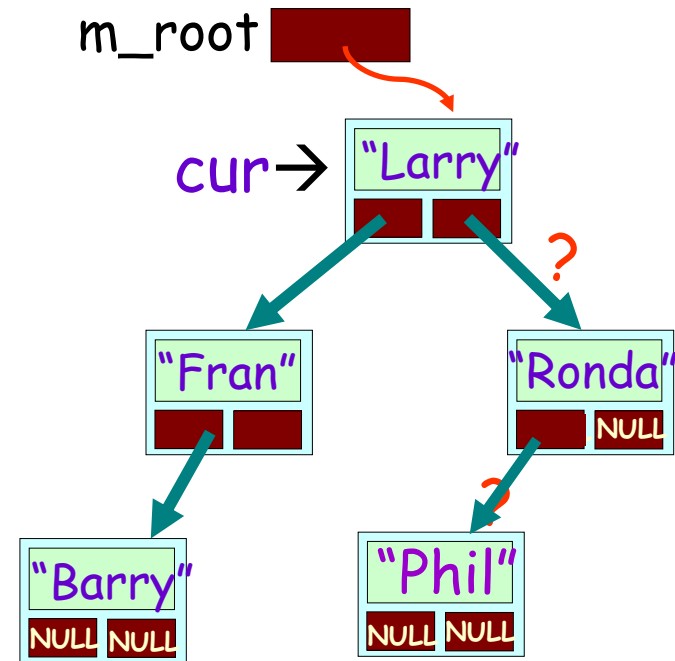
```
}
```

```
}
```

```

void insert(const std::string &value)
{
    if (m_root == NULL)
    {
        m_root = new Node(value);  return;
    }
    Node *cur = m_root;
    for (;;)
    {
        if (value == cur->value)  return;
        if (value < cur->value)
        {
            if (cur->left != NULL)
                cur = cur->left;
            else
            {
                cur->left = new Node(value);
                return;
            }
        }
        else if (value > cur->value)
        {
            if (cur->right != NULL)
                cur = cur->right;
            else
            {
                cur->right = new Node(value);
                return;
            }
        }
    }
}

```



```

void main(void)
{
    BinarySearchTree bst;
    bst.insert("Larry");
    ...
    bst.insert("Phil");
}

```

# Inserting A New Value Into A BST

As with BST Search, there is a **recursive version** of the Insertion algorithm too. Be familiar with it!

## Question:

Given a random array of numbers if you insert them one at a time into a BST, what will the BST look like?

## Question:

Given a ordered array of numbers if you insert them one at a time into a BST, what will the BST look like?

- Answers:
- Random: The numbers will form a "bushy" wide binary tree.
  - Ordered: The numbers will look like a linked list going either left (ordered in descending order) or right (ordered in ascending order).

# Big Oh of BST Insertion

So, what's the big-oh of BST Insertion?

Right! It's also  $O(\log_2 n)$

Why? Because we have to first use a binary search to find where to insert our node and binary search is  $O(\log_2 n)$ .

Once we've found the right spot, we can insert our new node in  $O(1)$  time.

# Groovy Baby!

# Finding Min & Max of a BST

How do we find the **minimum** and **maximum** values in a BST?

The **minimum** value is located at the **left-most** node.

The **maximum** value is located at the **right-most** node.

```
int GetMin(node *pRoot)
{
    if (pRoot == NULL)
        return(-1); // empty

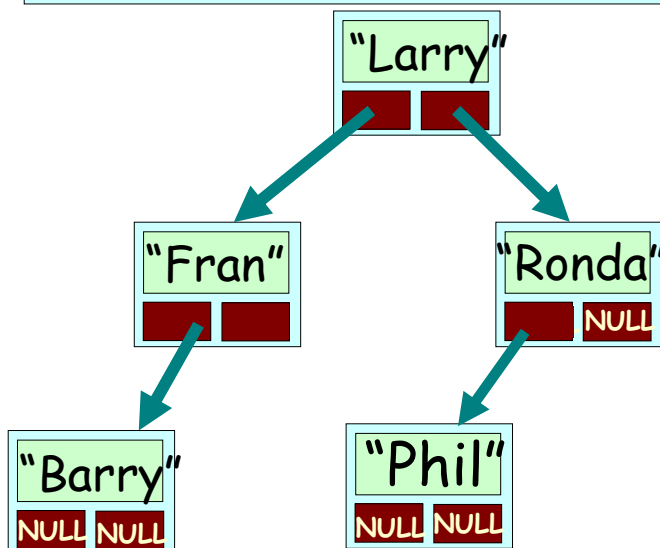
    while (pRoot->left != NULL)
        pRoot = pRoot->left;

    return (pRoot->value);
}
```

```
int GetMax(node *pRoot)
{
    if (pRoot == NULL)
        return(-1); // empty

    while (pRoot->right != NULL)
        pRoot = pRoot->right;

    return (pRoot->value);
}
```



**Question:** What's the big-oh to find the minimum or maximum element?

Answer:  $O(\log_2(N))$

# Finding Min & Max of a BST

And here are recursive versions for you...

```
int GetMin(node *pRoot)
{
    if (pRoot == NULL)
        return(-1); // empty

    if (pRoot->left == NULL)
        return(pRoot->value);

    return(GetMin(pRoot->left));
}
```

```
int GetMax(node *pRoot)
{
    if (pRoot == NULL)
        return(-1); // empty

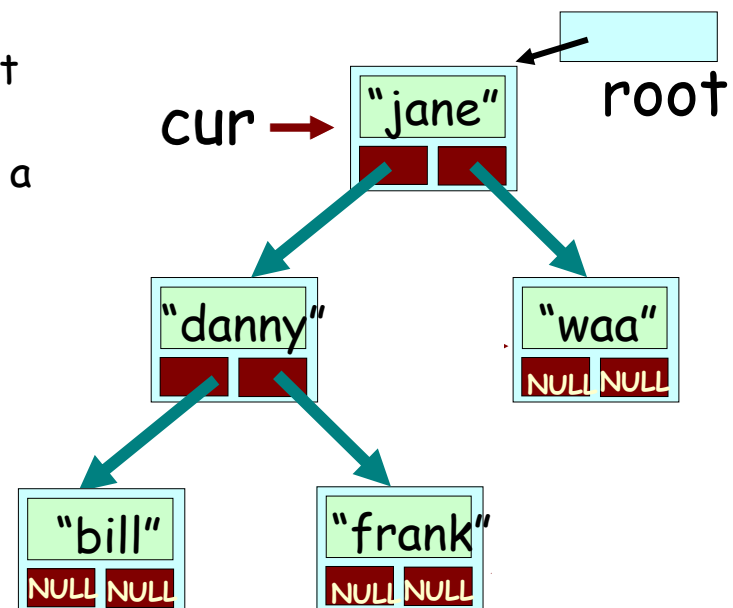
    if (pRoot->right == NULL)
        return(pRoot->value);

    return(GetMax(pRoot->right));
}
```

Hopefully you're getting the idea that most tree functions can be done **recursively**...

# Printing a BST In Alphabetical Order

- Can anyone guess what algorithm we use to print out a BST **in** alphabetical **order**?
- You guessed it! Using an "in-order" traversal on a binary search tree will print it in alphabetical order! Neat!



## Big-oh Alert!

So what's the big-Oh of printing all the items in the tree?

Right!  $O(n)$  since we have to visit and print all  $n$  items.

Output:

bill  
danny  
frank  
jane  
waa

# Freeing The Whole Tree

When we are done with our BST, we have to free every node in the tree, one at a time.

- The algorithm to free the whole tree is below.
- It is basically a post-order traversal.
- First we free the left subtree of the current node.
- Then we free the right subtree of the current node.
- Then we delete the current node once all of its children are gone.

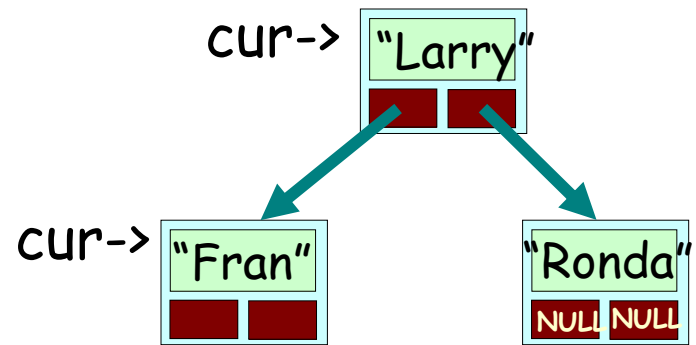
```
void FreeTree(Node *cur)
{
    if (cur == nullptr)        // if empty, return...
        return;

    FreeTree(cur->left);    // Delete nodes in left sub-tree.
    FreeTree (cur->right); // Delete nodes in right sub-tree.

    delete cur;              // Free the current node
}
```



# Freeing The Whole Tree



```
void FreeTree(Node *cur)
{
    if (cur == nullptr)
        return;

    FreeTree(cur->left);
    FreeTree (cur-> right);

    delete cur;
}
```

Big-oh Alert!

So what's the big-Oh of freeing all the items in the tree?

It's still  $O(n)$  since we have to visit all  $n$  items.