

# CHAPTER 2

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Greedy algorithms and Local search

# Introduction

- A **greedy** algorithm builds a solution step by step: Each time going for the option that gives the most profit or smallest cost at that step. It stops when a feasible solution is found.
- A **local search** algorithm starts with a feasible solution and changes the solution step by step: Each time selecting an improved solution in the neighborhood of the current solution. It stops when no local improvement is possible.

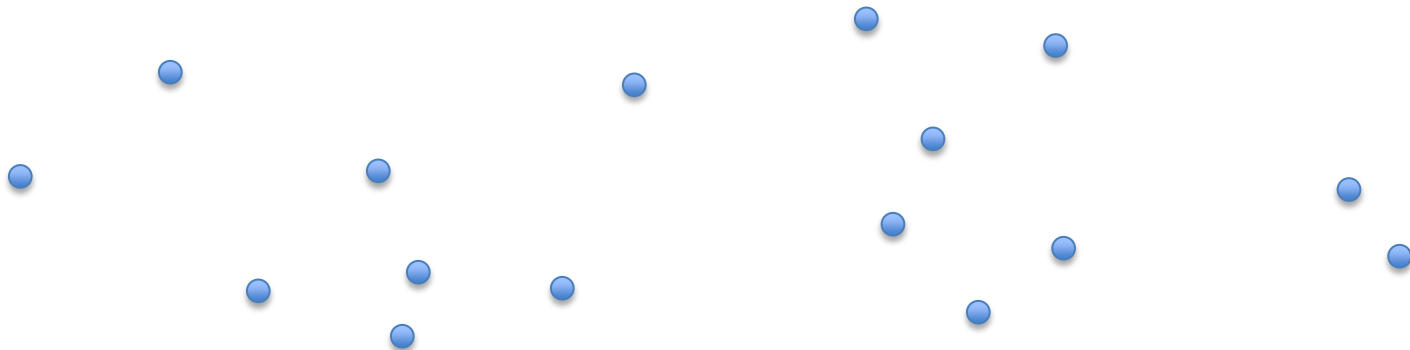
# k-center

Instance: Set  $V$  of  $n$  points in a metric space. Integer  $k$ .

Solution:  $S \subseteq V$  with  $|S|=k$

Cost:  $\max_{j \in V} \text{dist}(j, S)$  (  $\text{dist}(j, S) := \min_{s \in S} \text{dist}(j, s)$  )

Goal: Find a solution of minimum cost.



$k=3$

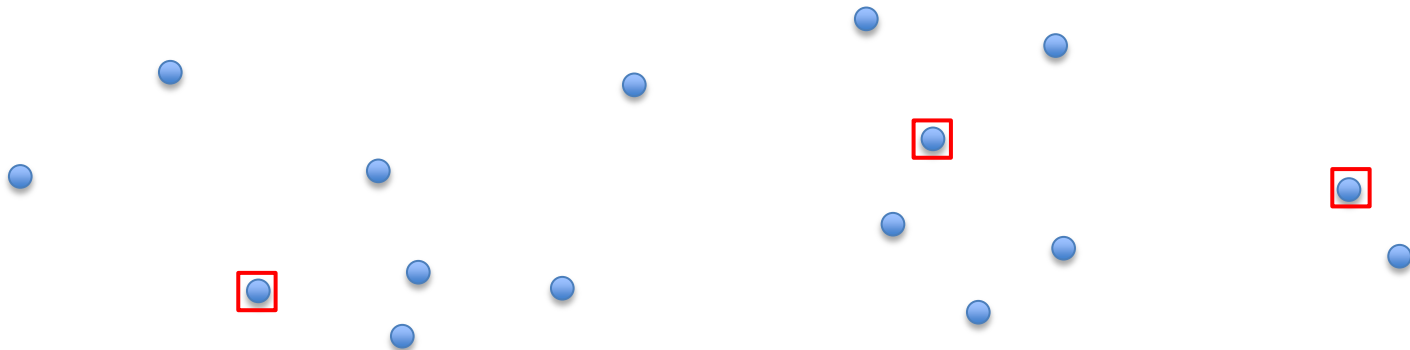
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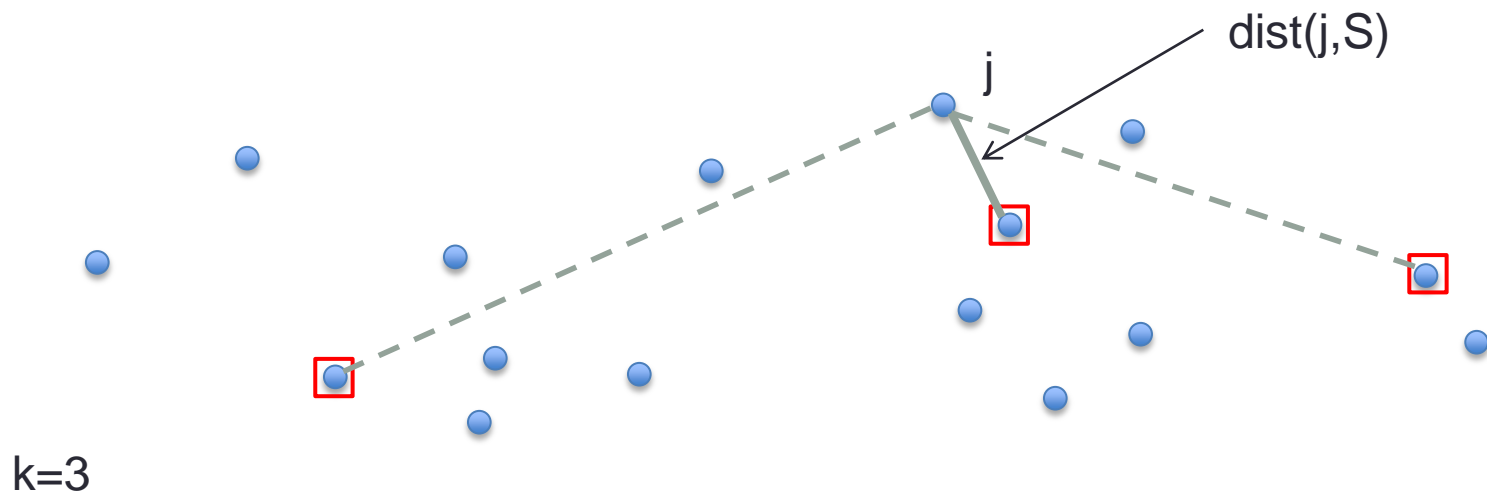
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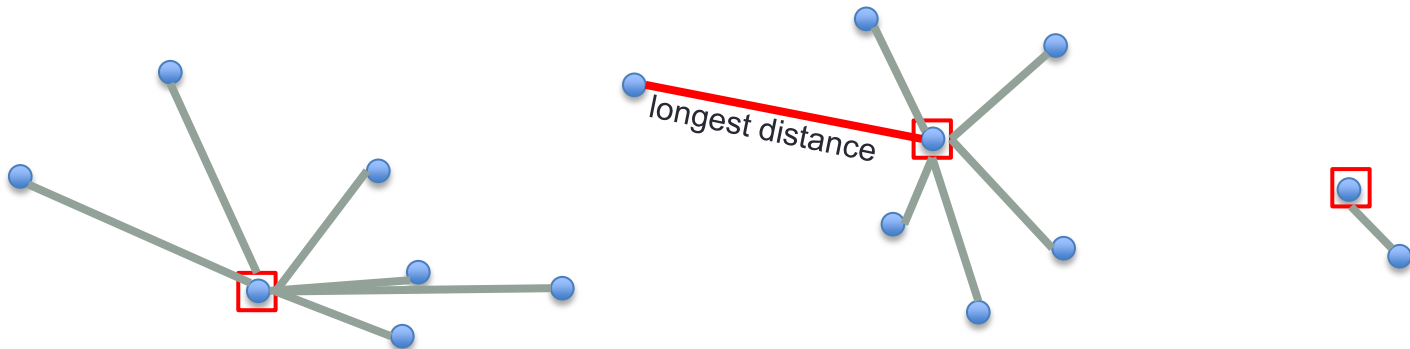
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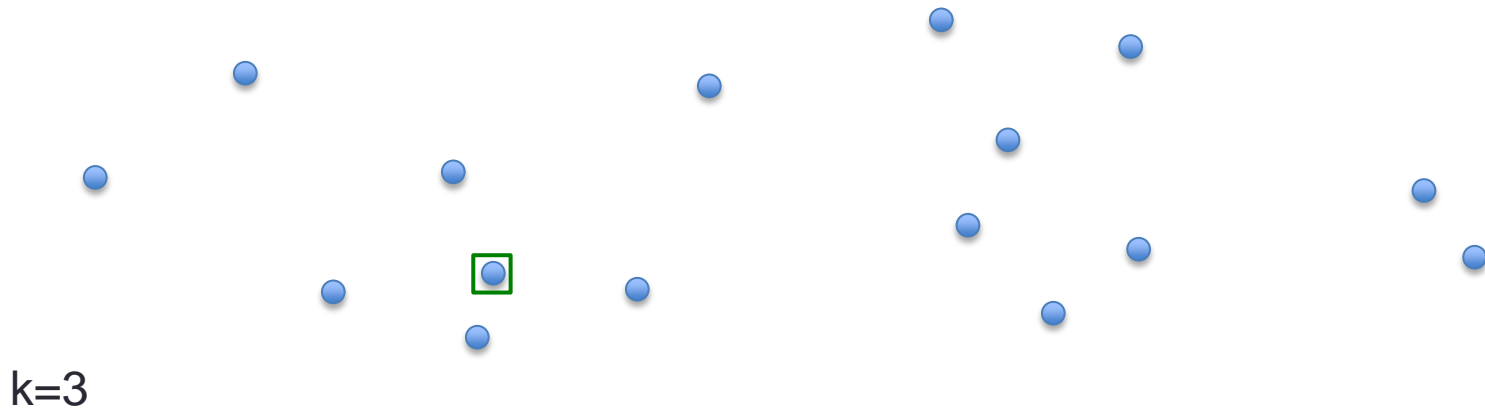


$k=3$

Connect each to its nearest center

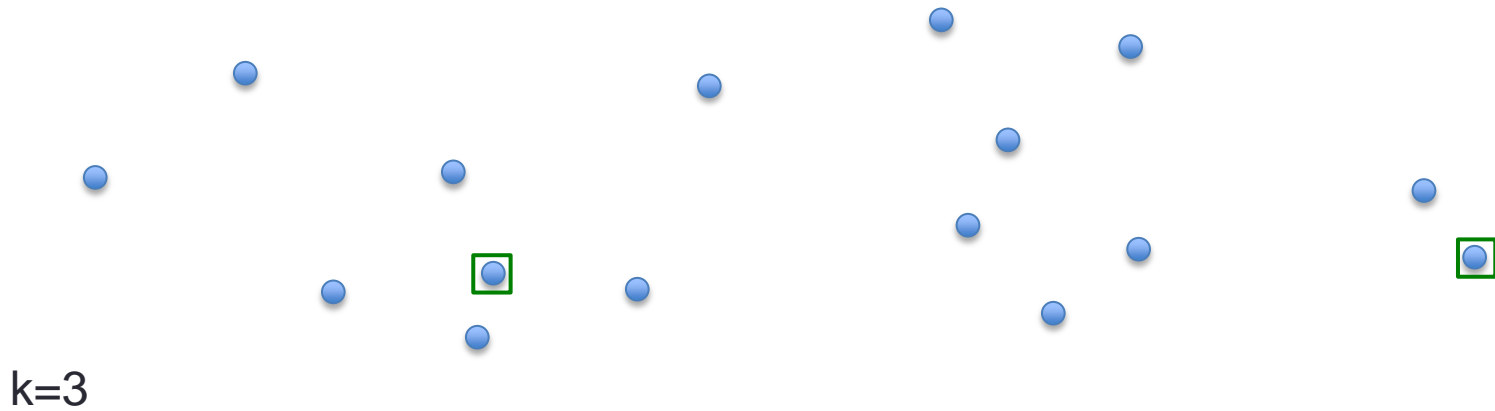
# k-center

**Greedy:** Pick the first center arbitrarily. Next, always choose the point that is furthest away from the set of centers already chosen.



# k-center

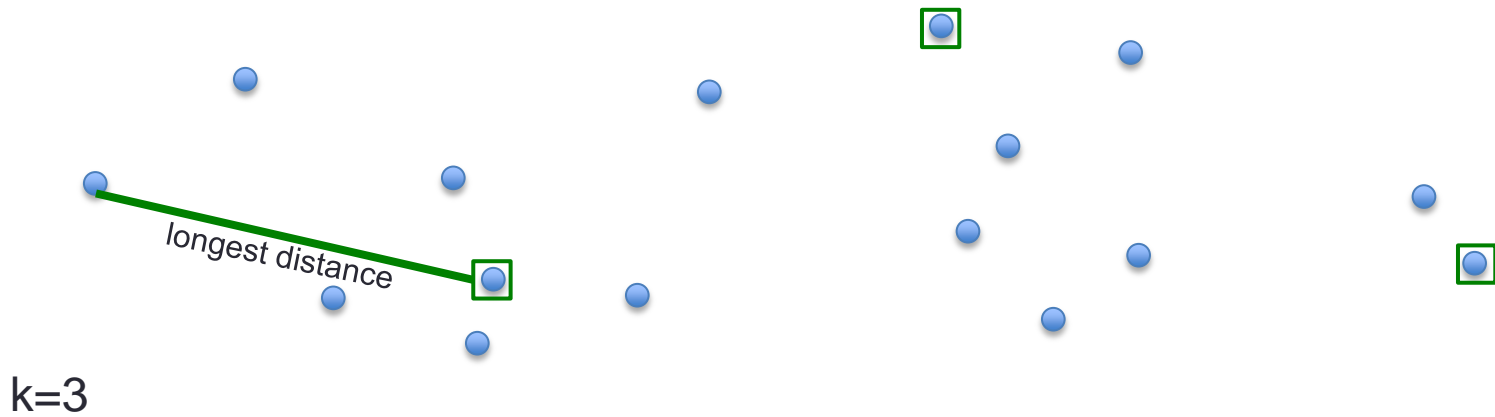
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# k-center

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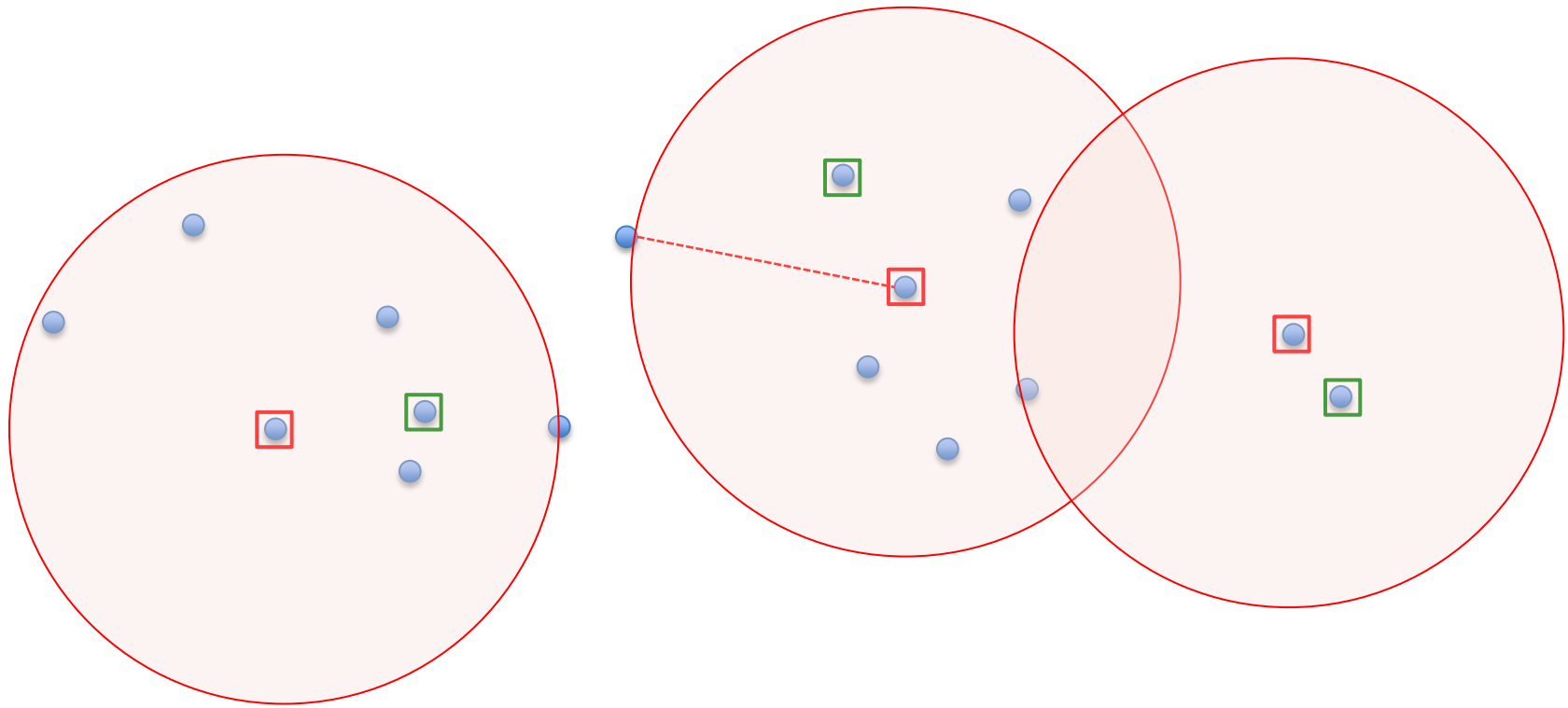


# k-center

**Theorem:** Greedy is a 2-approximation algorithm.

**Proof:** Let  $S^*$ ,  $r^*$  be, respectively, optimal solution and its value.

→ All points are within distance  $r^*$  from  $S^*$



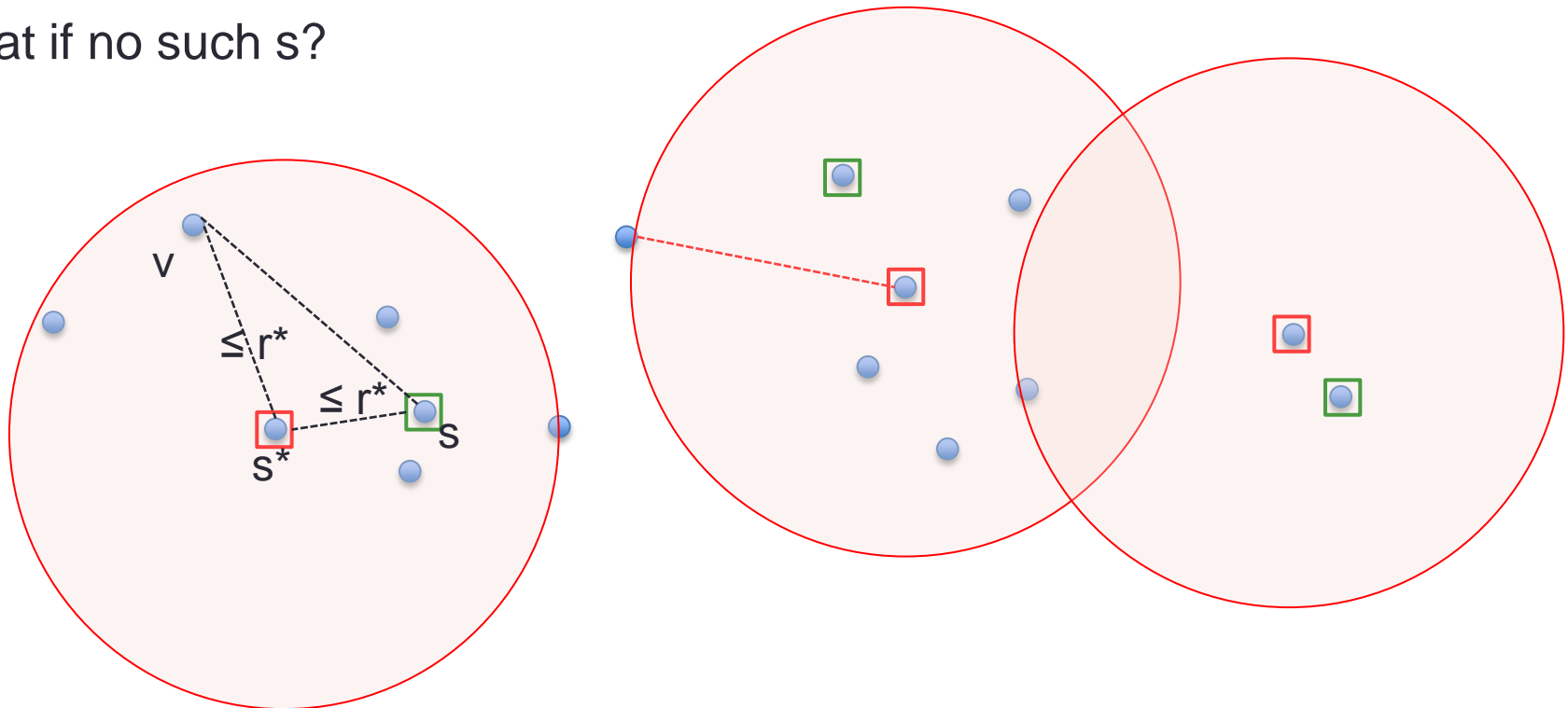
# k-center

**Proof** (cont.):

Take any  $v \in V$ . There must be an  $s^* \in S^*$  with  $\text{dist}(v, s^*) \leq r^*$ .

If there is an  $s \in S$  with  $\text{dist}(s, s^*) \leq r^*$ . Then OK by triangle inequality.

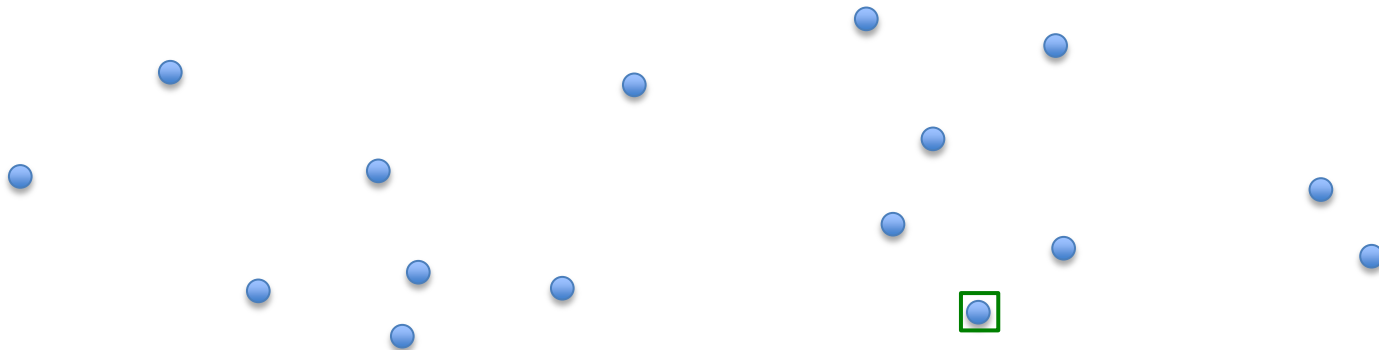
What if no such  $s$ ?



# k-center

**Proof** (cont.):

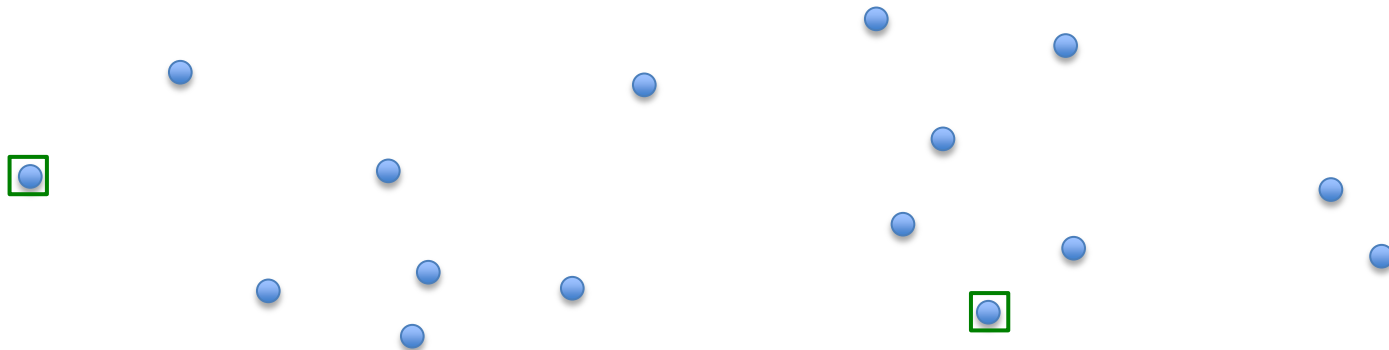
Another Greedy solution:



# k-center

**Proof (cont.):**

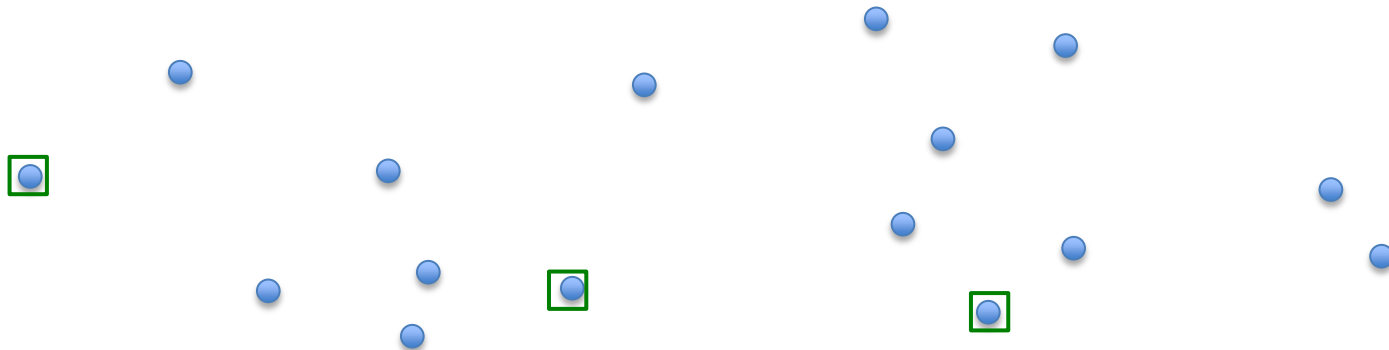
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# k-center

Proof (cont.):

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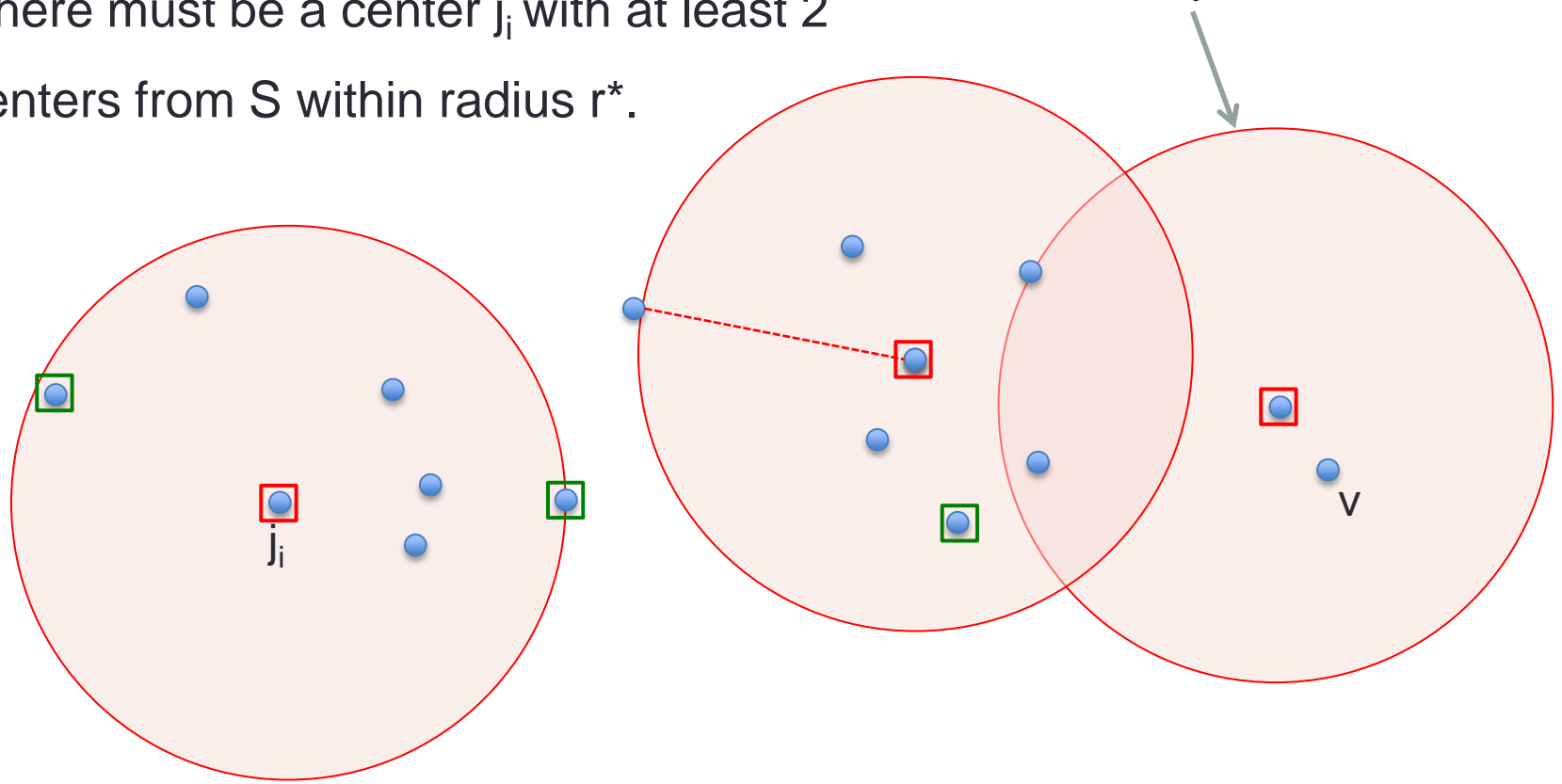
# k-center

Proof (cont.):

Can not use the same argument here.

→ There must be a center  $j_i$  with at least 2 centers from  $S$  within radius  $r^*$ .

No Greedy center in here.



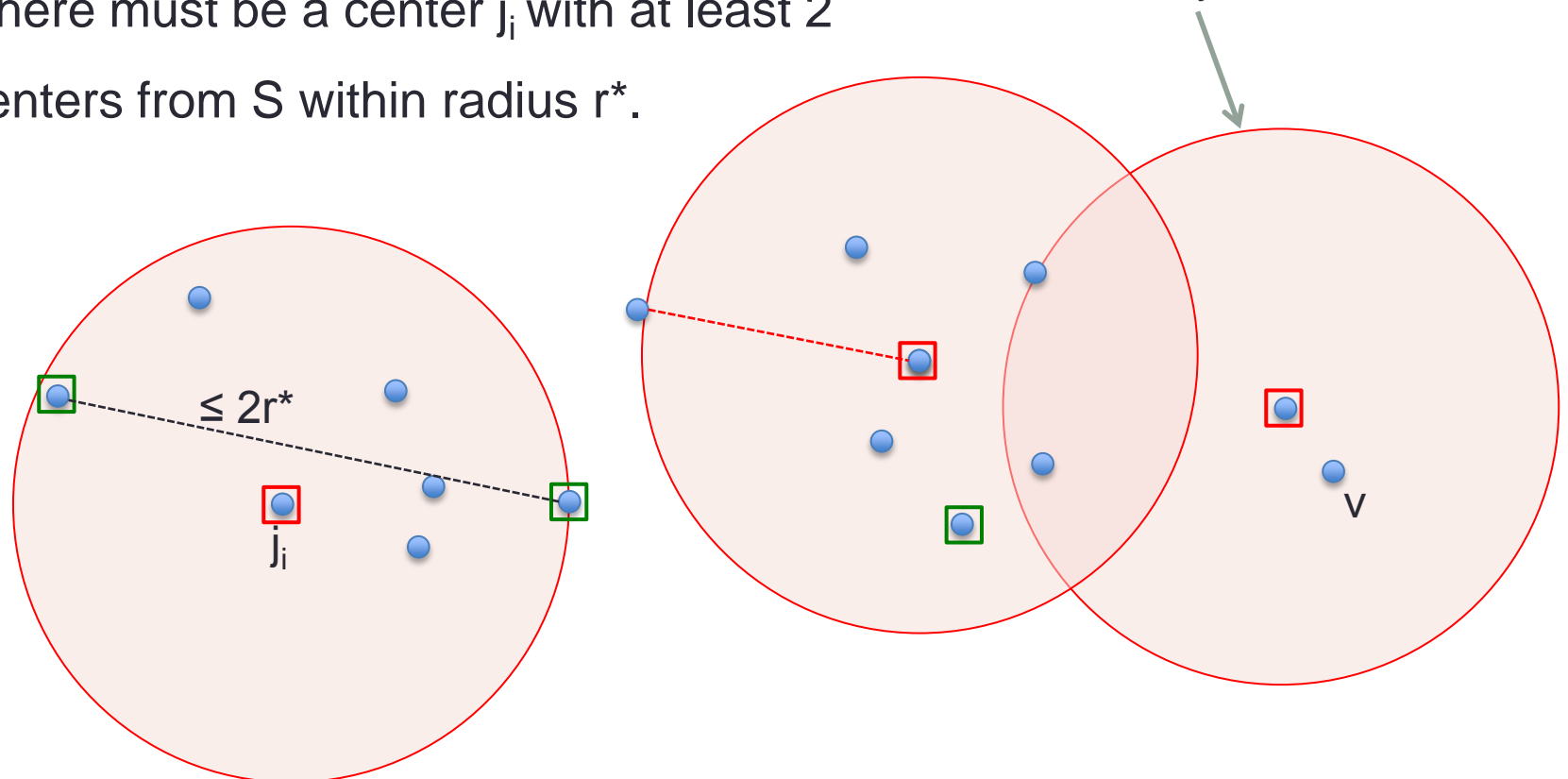
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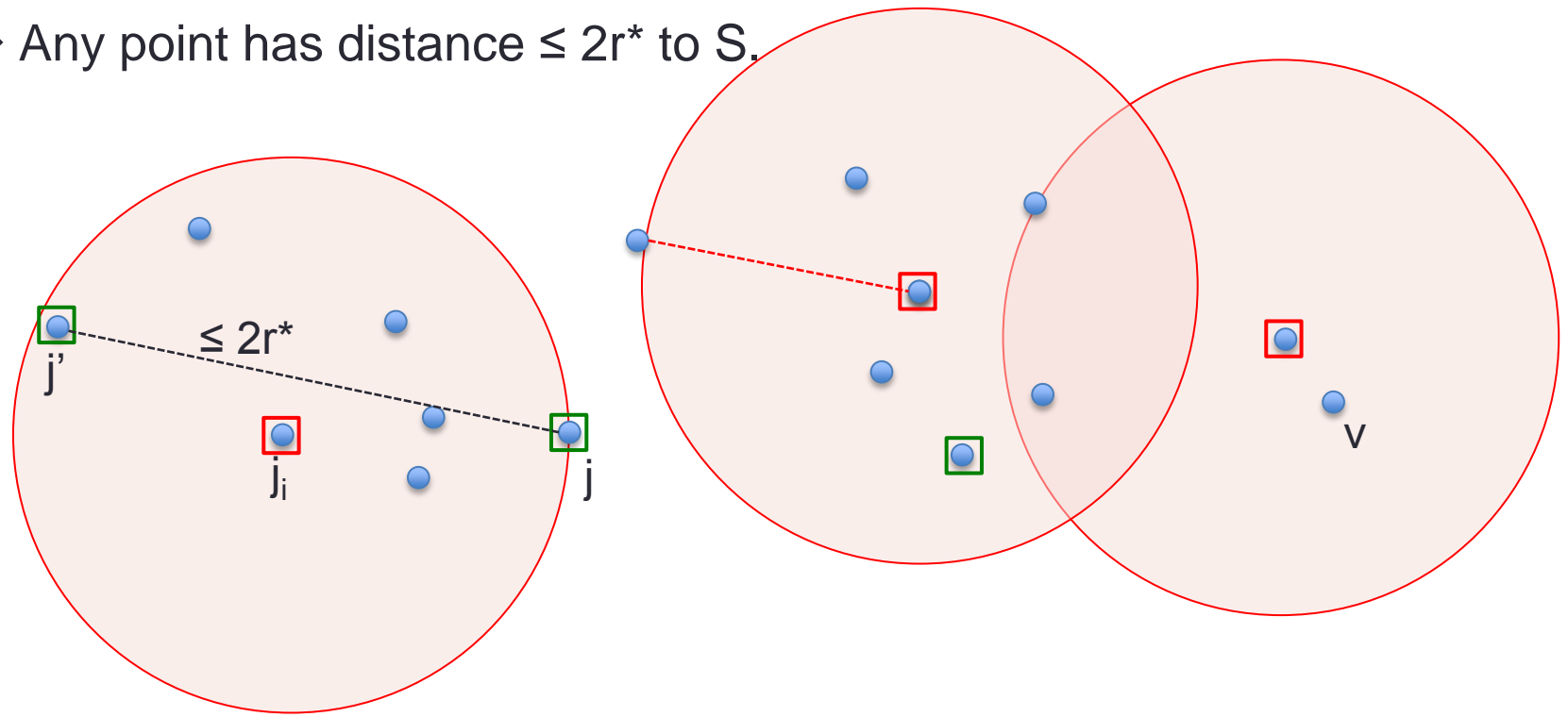




# k-center

Proof (cont.):

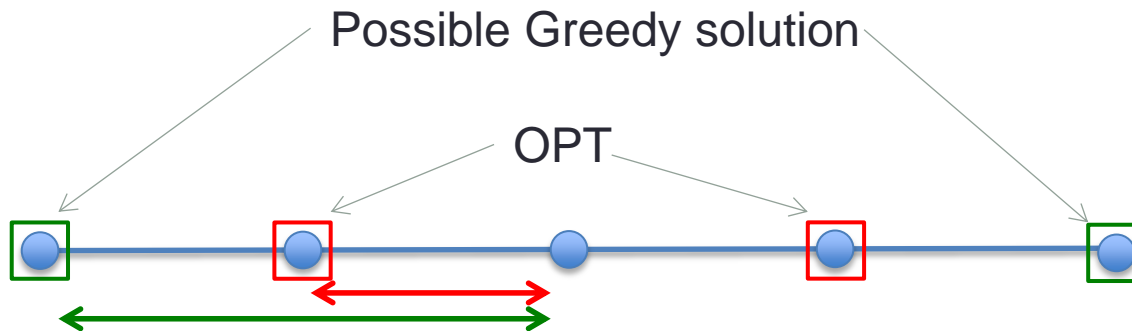
- $j$  was picked after  $j'$ .
- $j$  had maximum distance to the chosen centers when it was picked.
- $\rightarrow$  Any point has distance  $\leq 2r^*$  to  $S$ .



# k-center

**Theorem** Greedy is not better than a 2-approximation.

**Proof** See example.



# k-center

**Theorem** There is no  $\alpha$ -approximation algorithm for any  $\alpha < 2$ , unless  $P=NP$ .

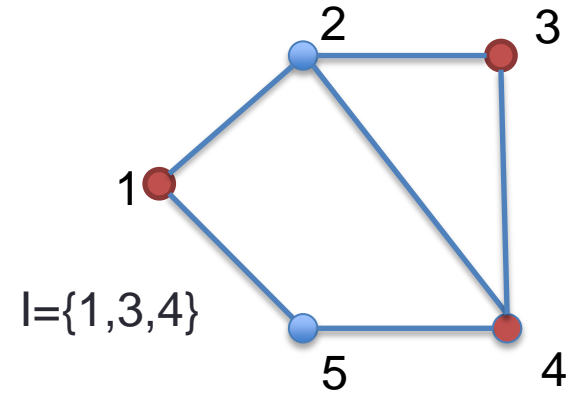
**Proof** By a reduction from the Dominating Set problem. (Next slides)

# Dominating Set

Similar to Vertex Cover.

**Vertex Cover:**

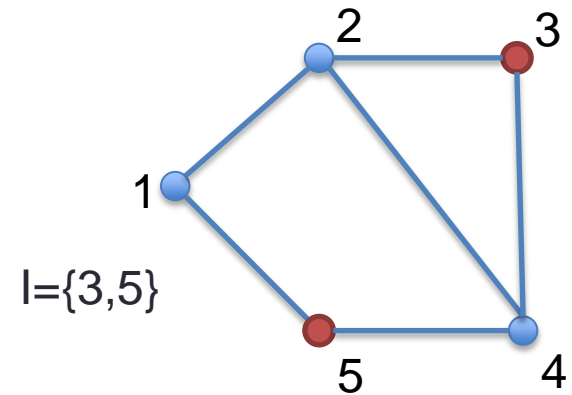
Any edge has an endpoint in  $I$



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**Dominating Set:**

Any vertex is in  $I$  or has a neighbour in  $I$ .

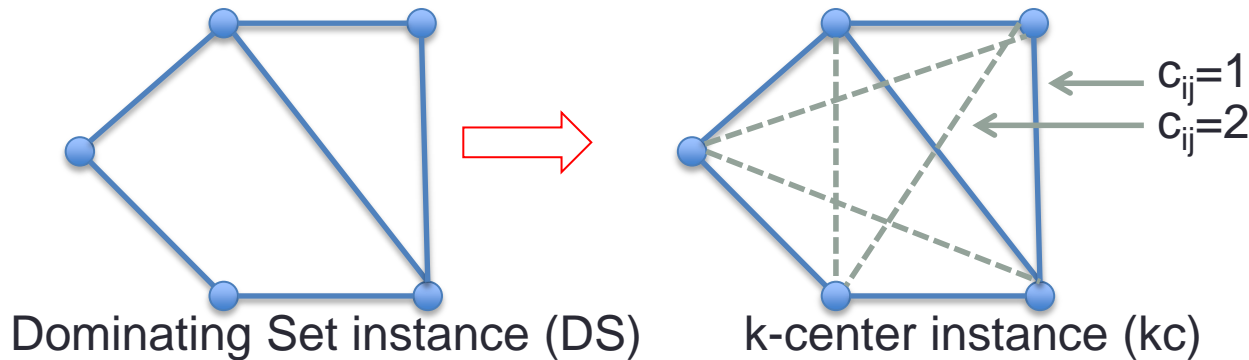


# Dominating Set

**Fact:** Dominating Set is NP-complete (Somebody once proved this).

**Corollary:** There is no  $\alpha$ -approximation algorithm for any  $\alpha < 2$ , unless  $P=NP$ .

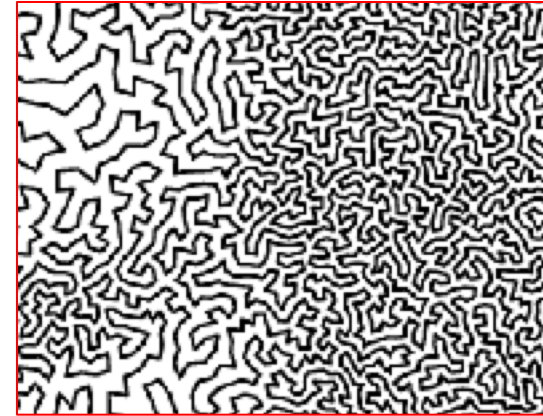
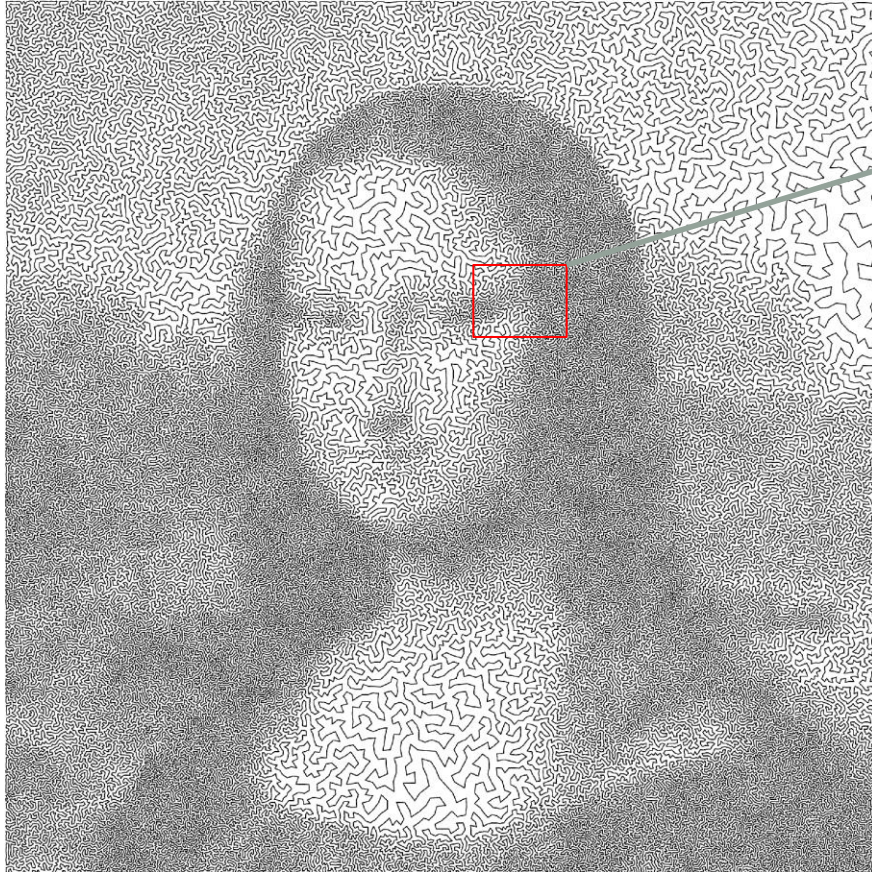
Proof: Let  $G=(V,E)$  be an instance of DS. Is  $\text{OPT} \leq k$ ? This can be answered if we would have an  $\alpha$ -approximation algorithm ALG for k-center with  $\alpha < 2$ .



$\text{OPT}^{\text{DS}} \leq k \quad \longrightarrow \quad \text{OPT}^{\text{kc}} = 1 \quad \longrightarrow \quad \text{ALG} \leq \alpha \text{OPT}^{\text{kc}} < 2 \quad \longrightarrow \quad \text{ALG} = 1$

$\text{OPT}^{\text{DS}} > k \quad \longrightarrow \quad \text{OPT}^{\text{kc}} = 2 \quad \longrightarrow \quad \text{ALG} = 2$

# Traveling Salesman Problem



The current best known results for the Mona Lisa TSP are:

Tour: 5,757,191  
Lower Bound: 5,757,084  
Gap: 107 (0.0019%)

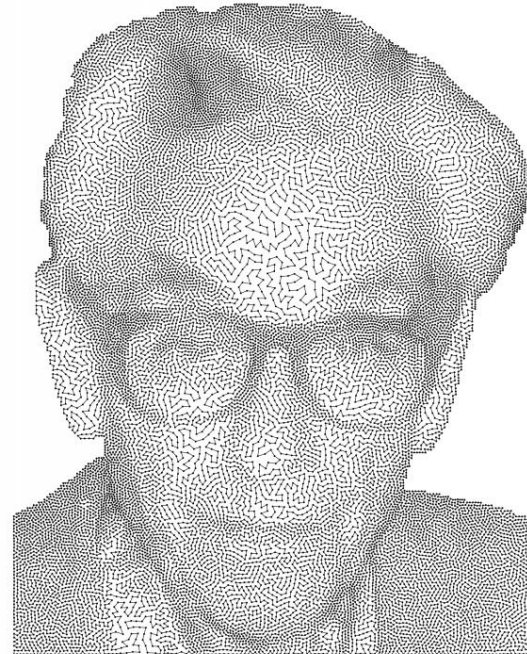
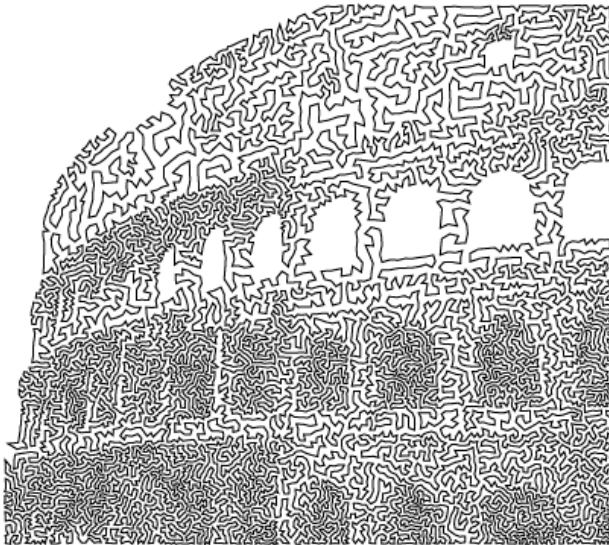
\$1,000 prize to the first person to find a tour shorter than 5,757,191.

Source:

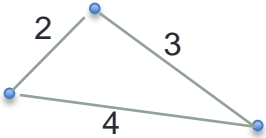
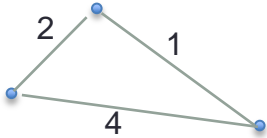
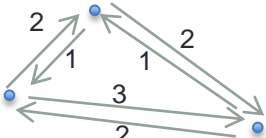
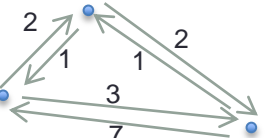
<http://www.math.uwaterloo.ca/tsp/data/ml/monalisa.html>



# Traveling Salesman Problem



# Traveling Salesman Problem

| TSP        | Metric ( $\Delta$ -inequality)  | Non-metric  |
|------------|---|---|
| Symmetric  |  <p>1.5-approx.<br/>Section 2.4</p>                      |  <p>No <math>\alpha</math>-approx.<br/>Section 2.4</p> |
| Asymmetric |  <p><math>O(\log n)</math> -approx.<br/>Exercise 1.3</p> |  <p>No <math>\alpha</math>-approx.<br/>Section 2.4</p> |

A special case of Symmetric metric TSP is *Euclidean TSP*  
(Pictures on previous slides)



# Traveling Salesman Problem

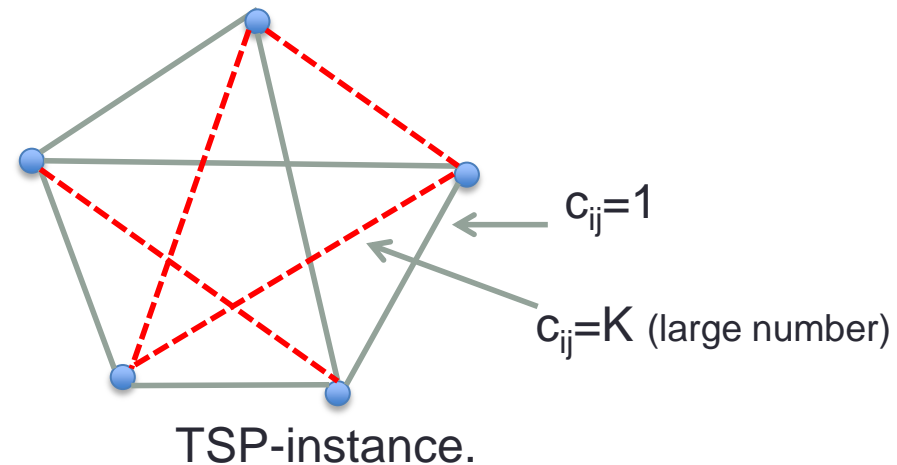
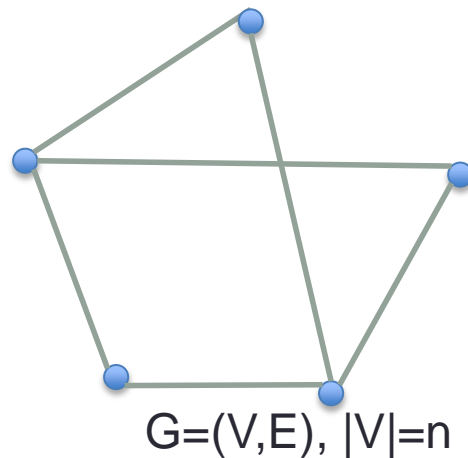
**Theorem** For TSP without the triangle inequality assumption, there does not exist an  $\alpha$ -approximation algorithm for any  $\alpha \geq 1$ , provided  $P \neq NP$ .

**Proof** (next slide) Follows from a reduction from Hamiltonian Cycle (HC). We show that, if there exists an  $\alpha$ -approximation algorithm ALG with  $\alpha \geq 1$ , then the HC problem can be solved in polynomial time.

# Traveling Salesman Problem

**Fact** The Hamiltonian Cycle problem is NP-complete  
(Somebody once proved this)

**Corrolary** For TSP without the triangle inequality assumption, there does not exist an  $\alpha$ -approximation algorithm for any  $\alpha \geq 1$ , provided  $P \neq NP$ .



HC? Yes.  $\longrightarrow$   $OPT^{TSP}=n$   $\longrightarrow$   $ALG \leq \alpha n$

HC? No  $\longrightarrow$   $OPT^{TSP} \geq K+n-1$   $\longrightarrow$   $ALG \geq K+n-1$   $\xrightarrow{\text{Take } K \geq \alpha n}$   $ALG \geq \alpha n+1$

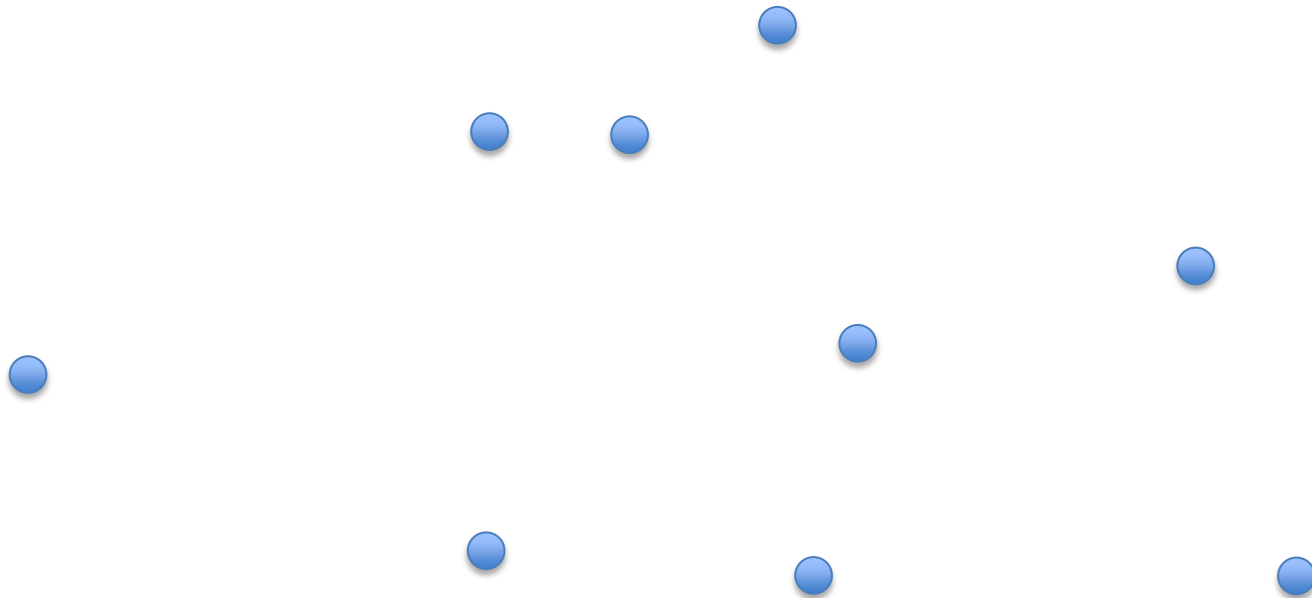
# Traveling Salesman Problem

Three algorithms for metric symmetric TSP:

- Double tree
- Nearest addition
- Christofides' algorithm

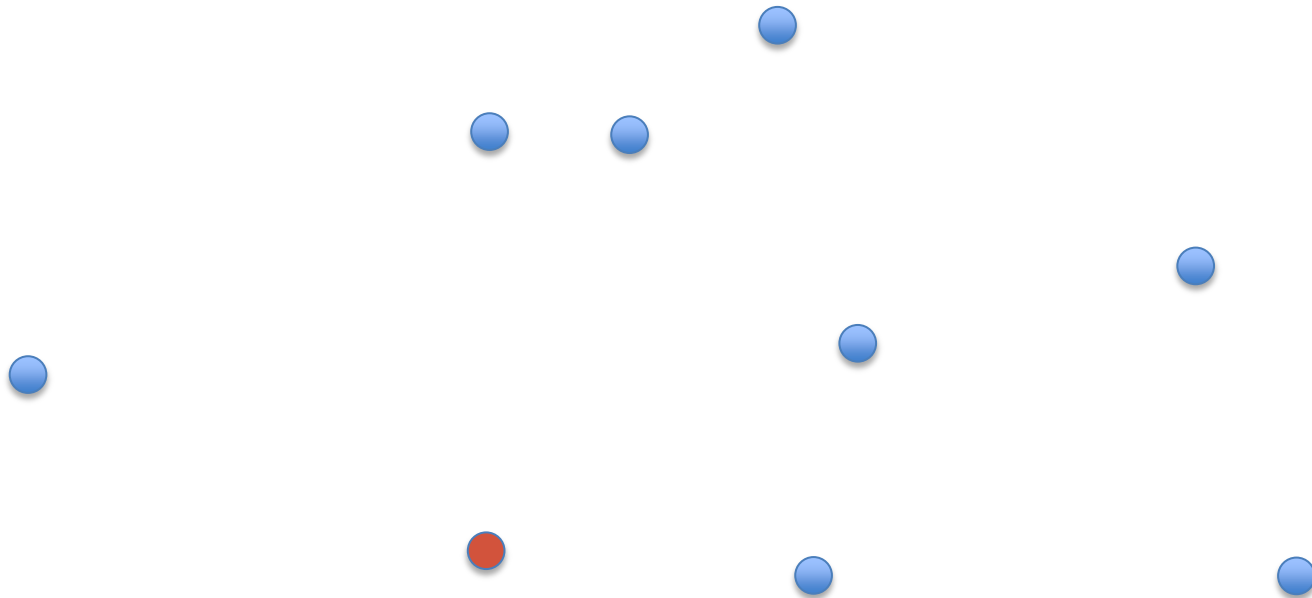
Prim's Minimum Spanning Tree (MST) algorithm:

Start with any point. Keep adding the point that is nearest to the already chosen points.



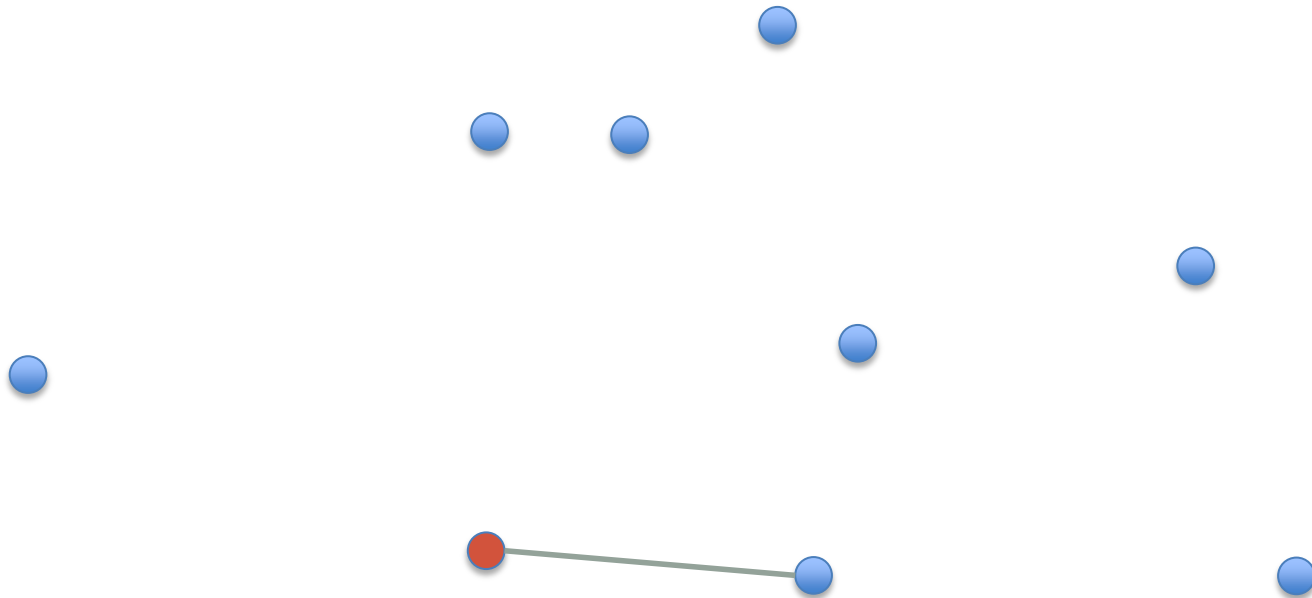
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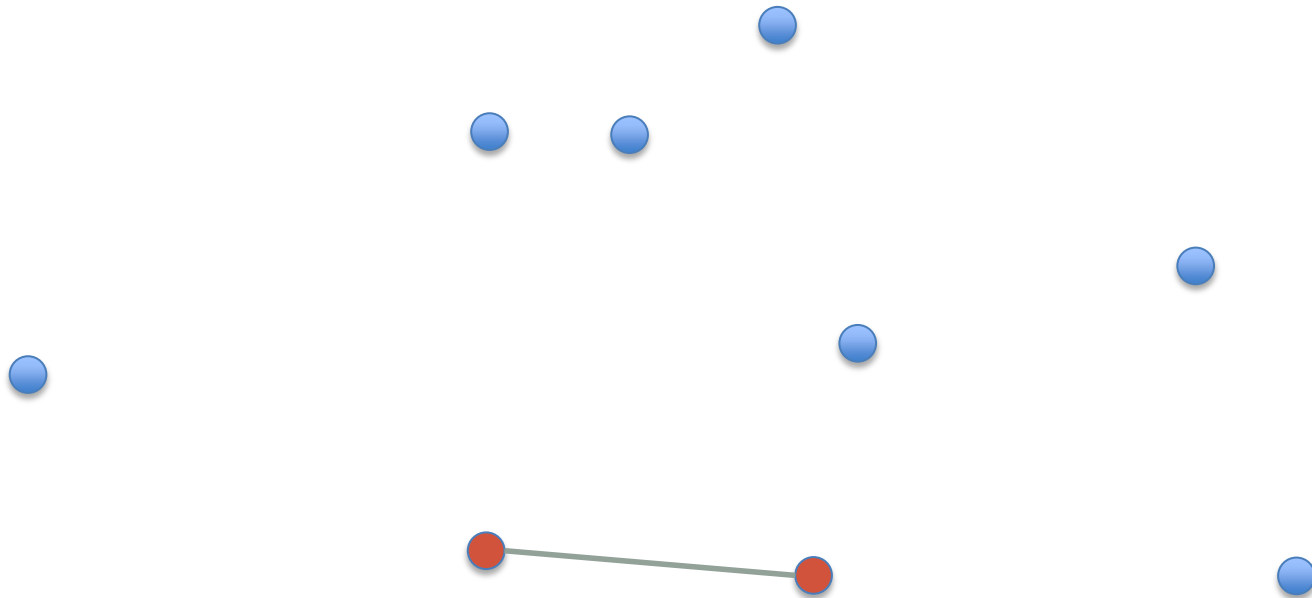
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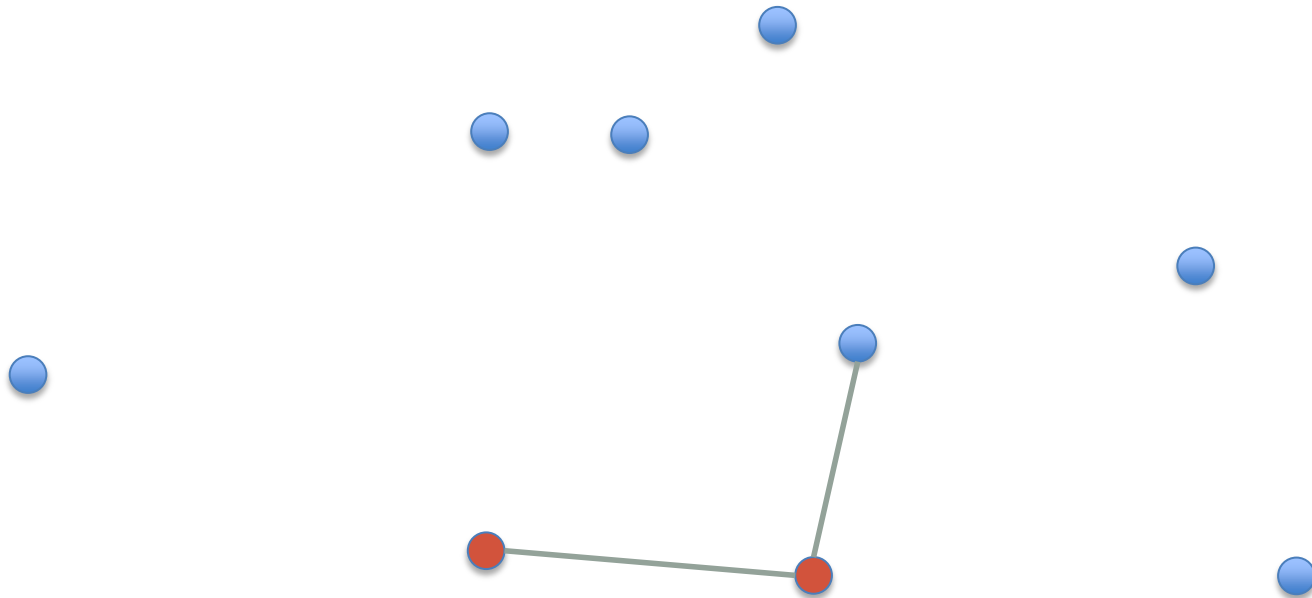
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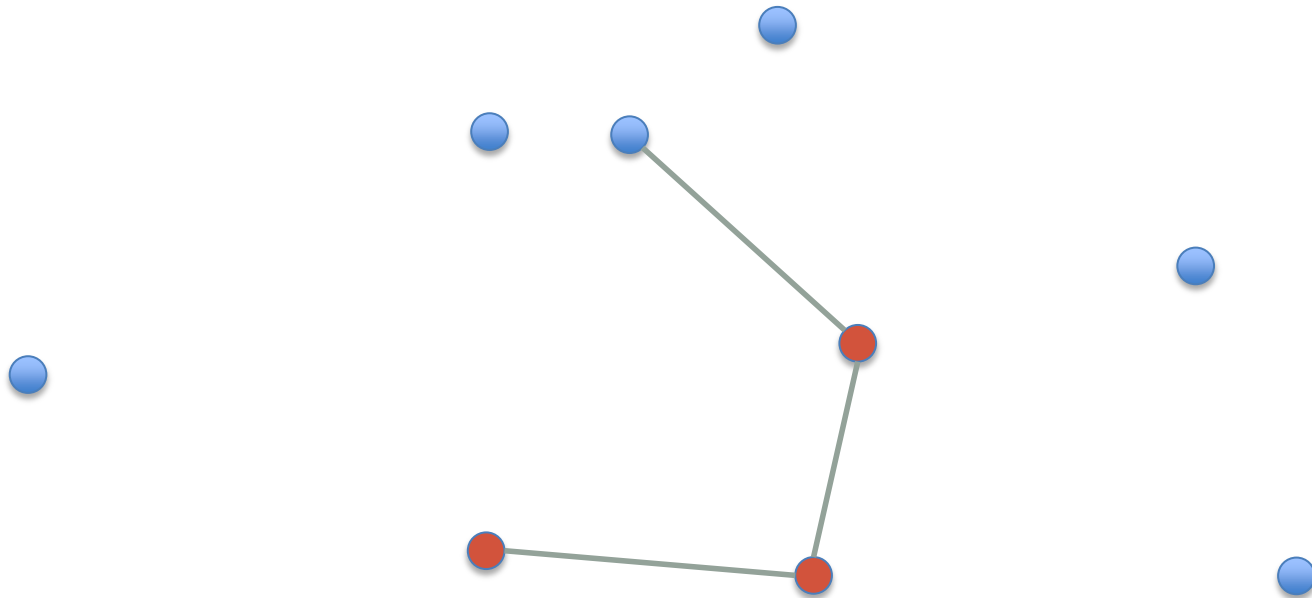
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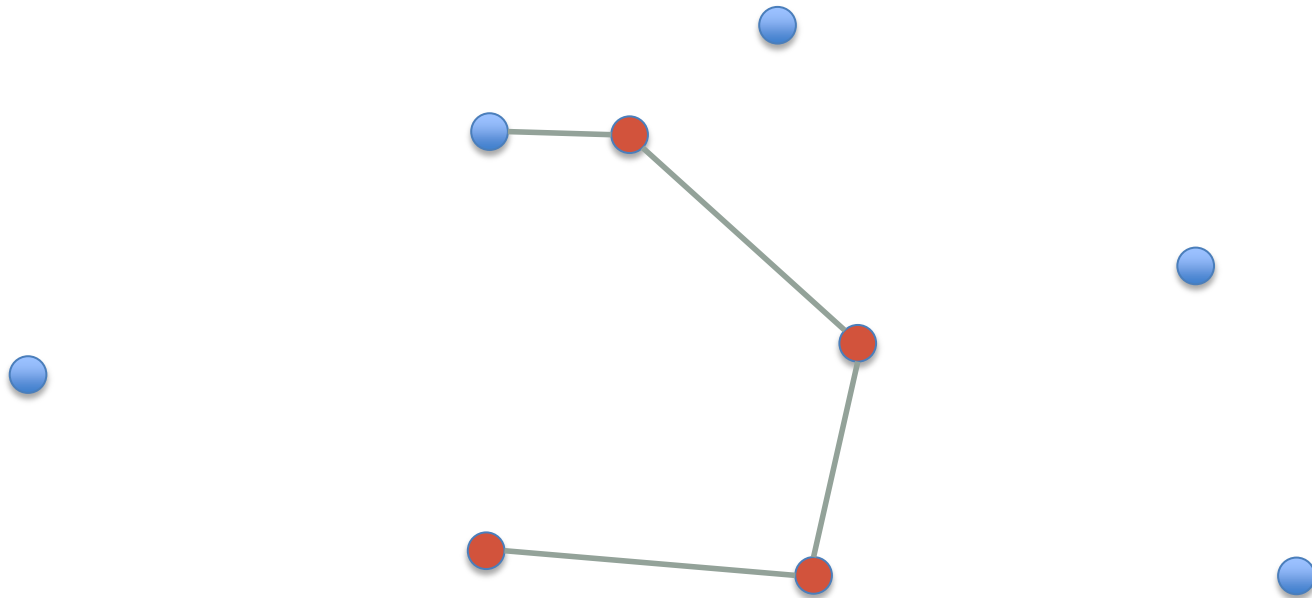
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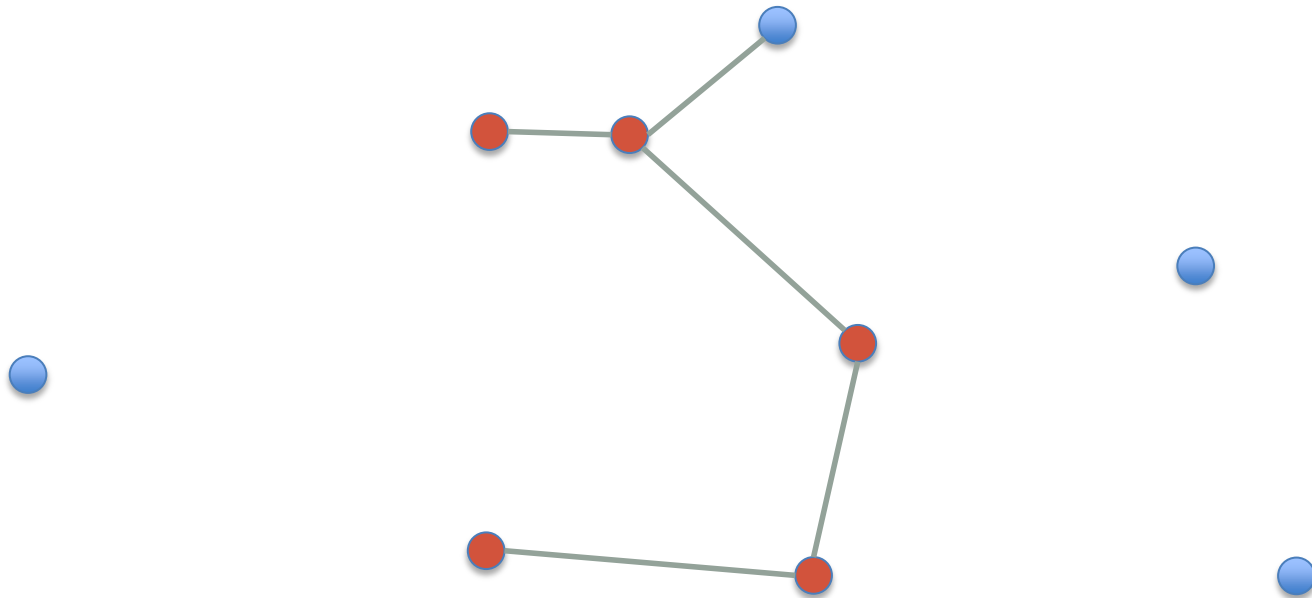
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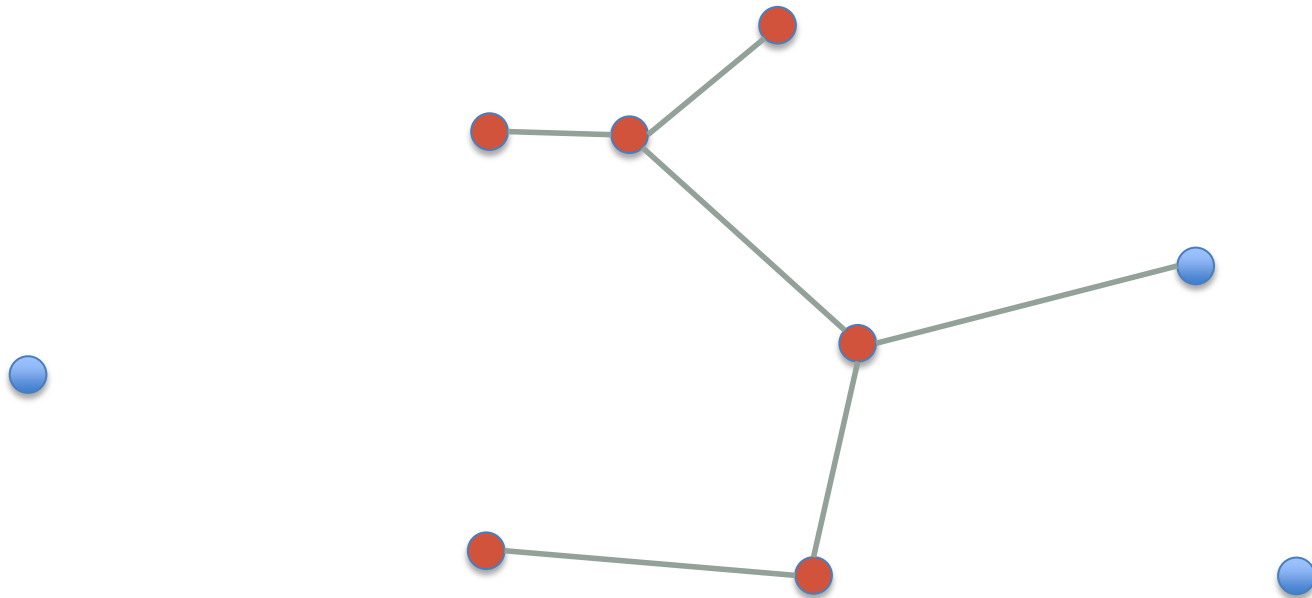
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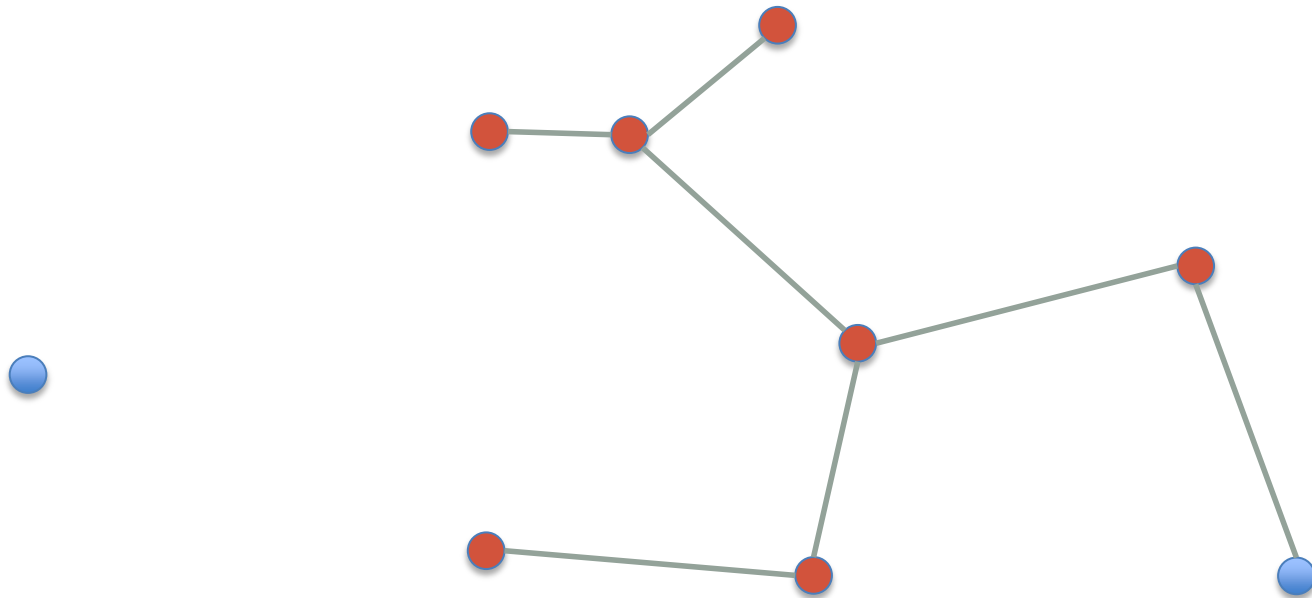
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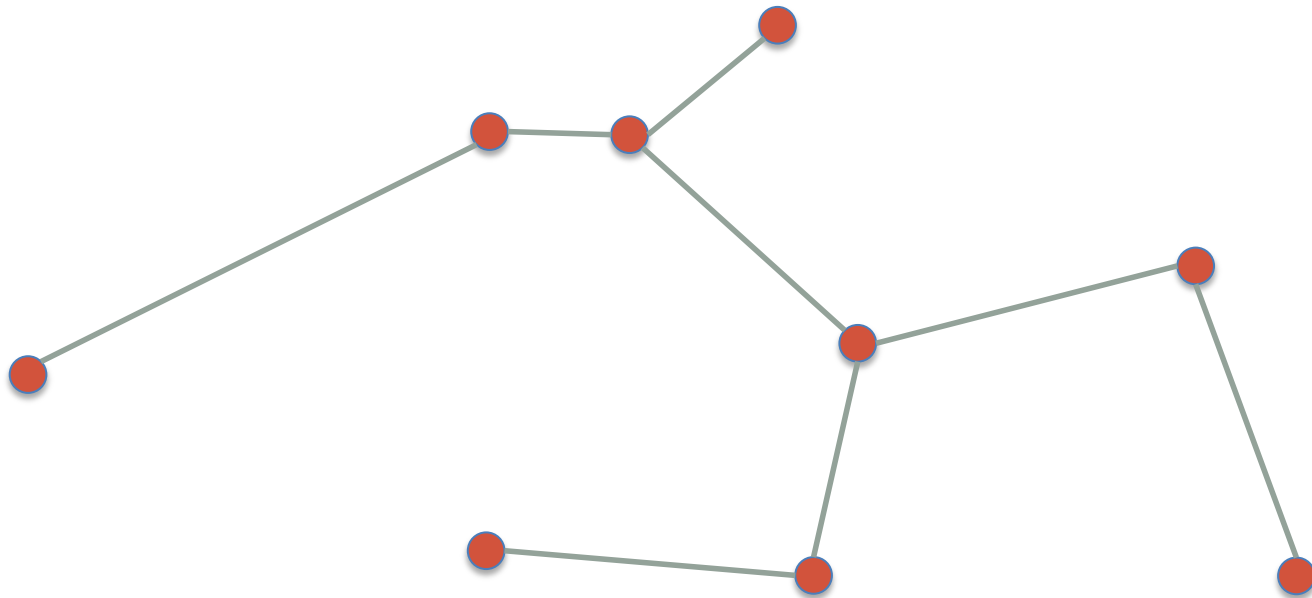
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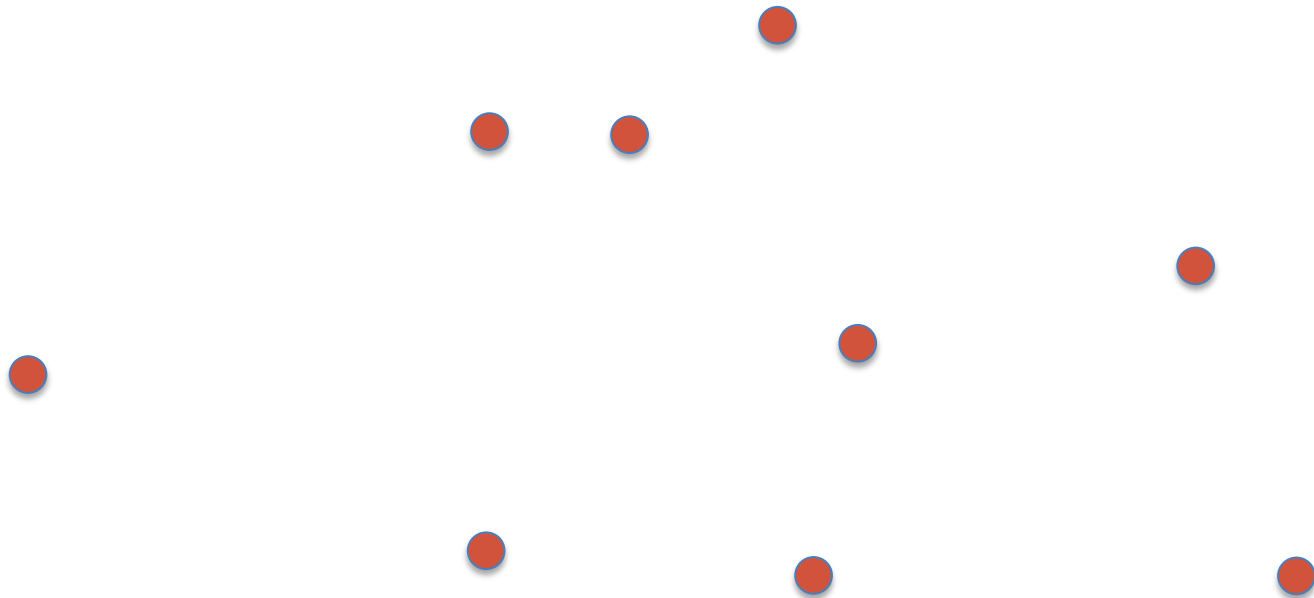
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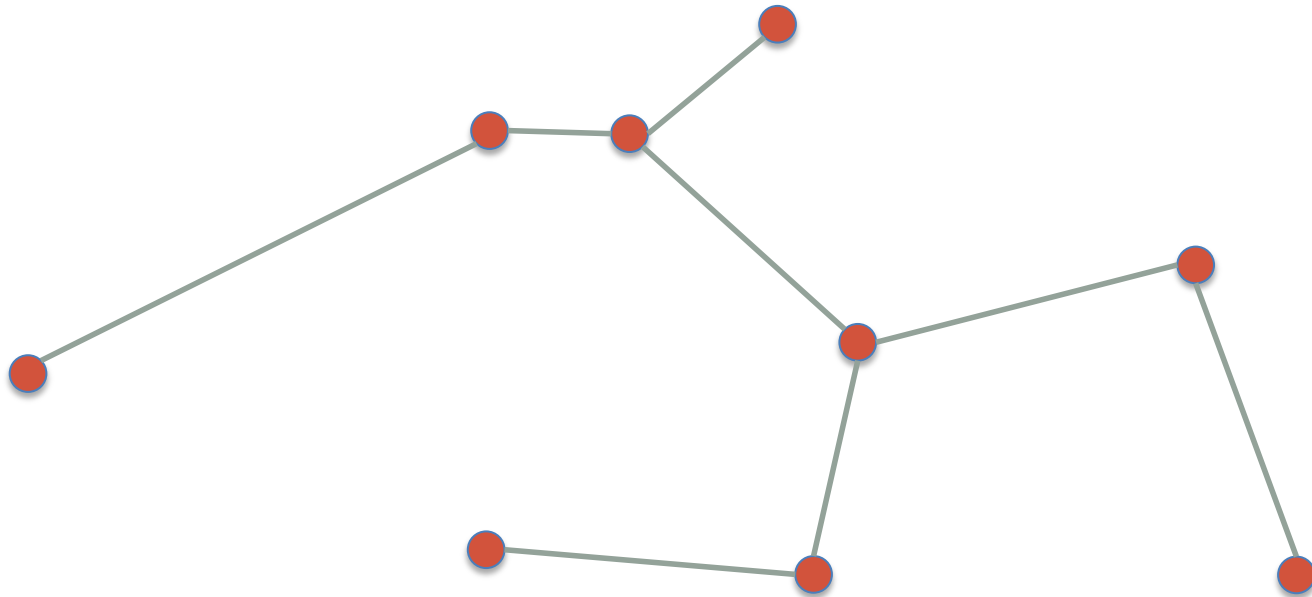
## Algorithm Double Tree:

1. Find an MST
2. Double the edges
3. Find an Euler tour
4. Cut short



## Algorithm Double Tree:

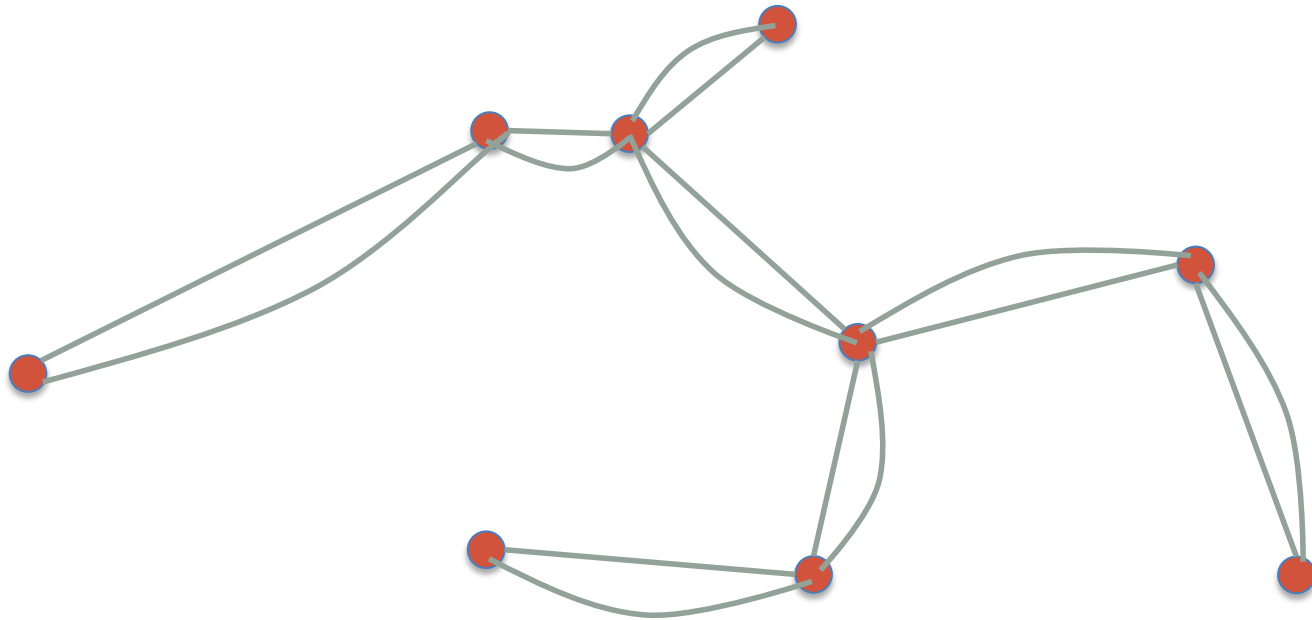
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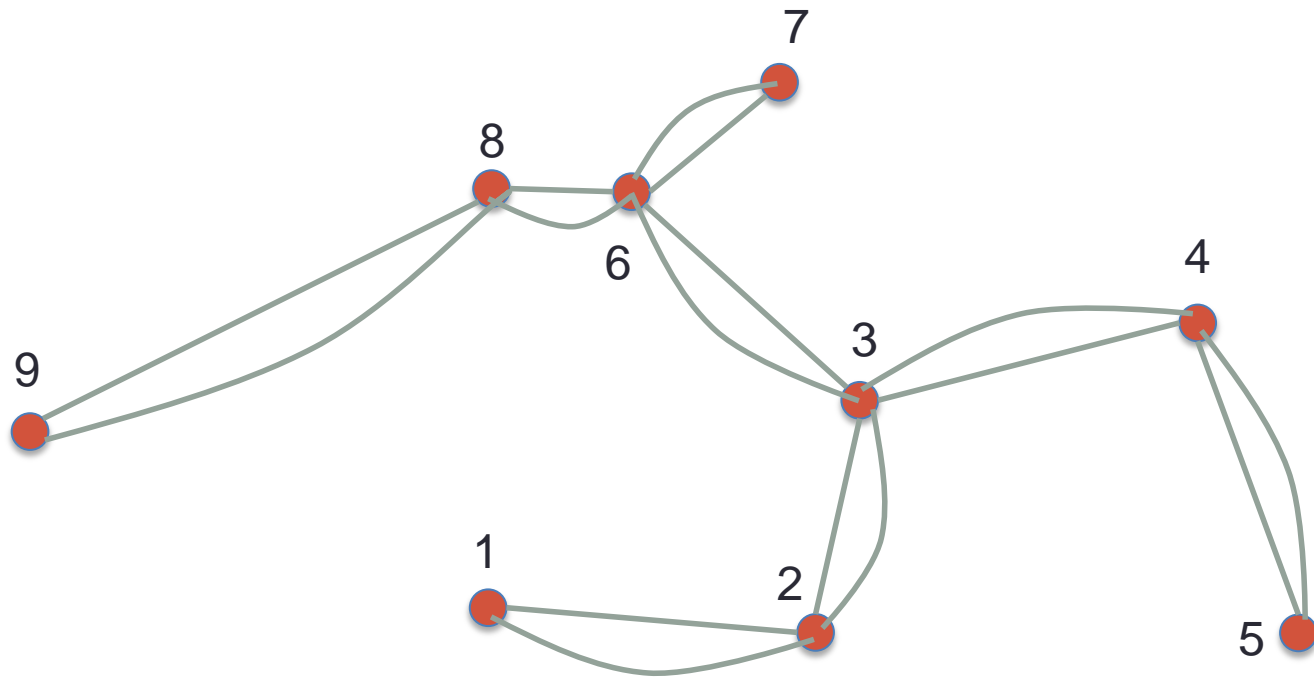
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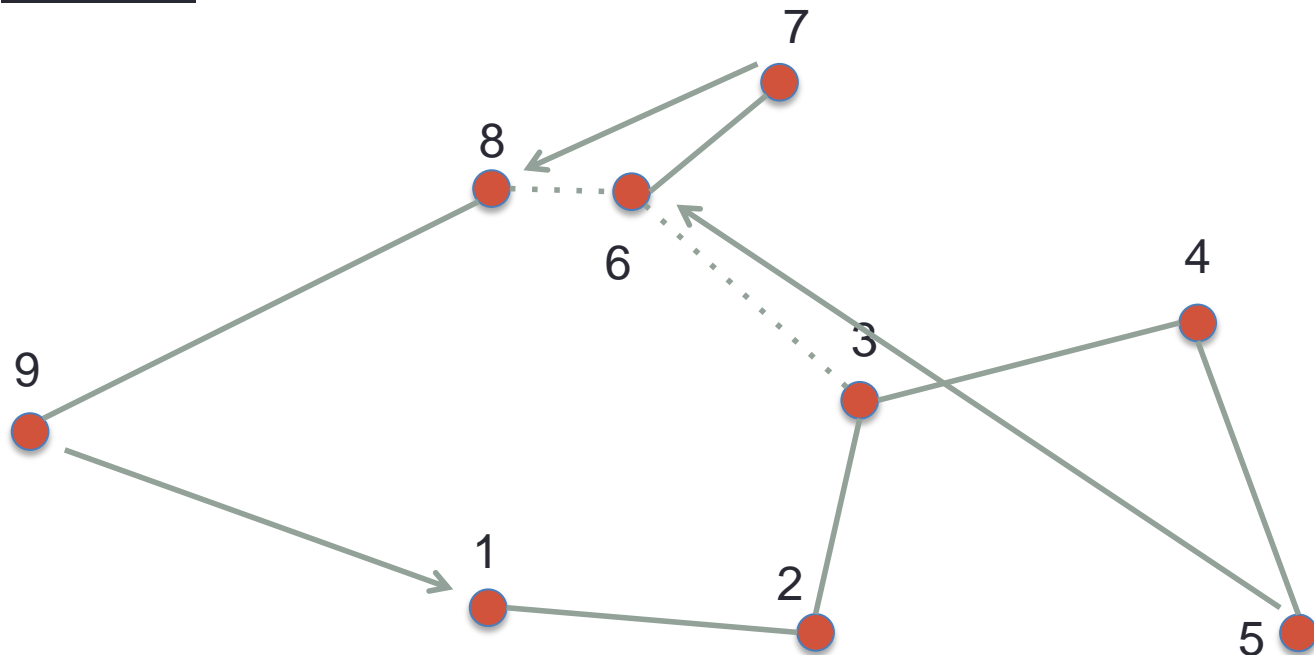
1. Find an MST
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Euler tour: 1,2,3,4,5,4,3,6,7,6,8,9,8,6,3,2,1

### Algorithm Double Tree:

1. Find an MST
2. Double the edges
3. Find an Euler tour
4. Cut short



TSP tour: 1,2,3,4,5,~~4,3~~,6,7,~~6~~,8,9,~~8,6,3,2~~,1

**Theorem** Double Tree is a 2-approximation algorithm

**Proof** [1] running time. OK

[2] Feasible OK

[3] Ratio:?

**Lemma**

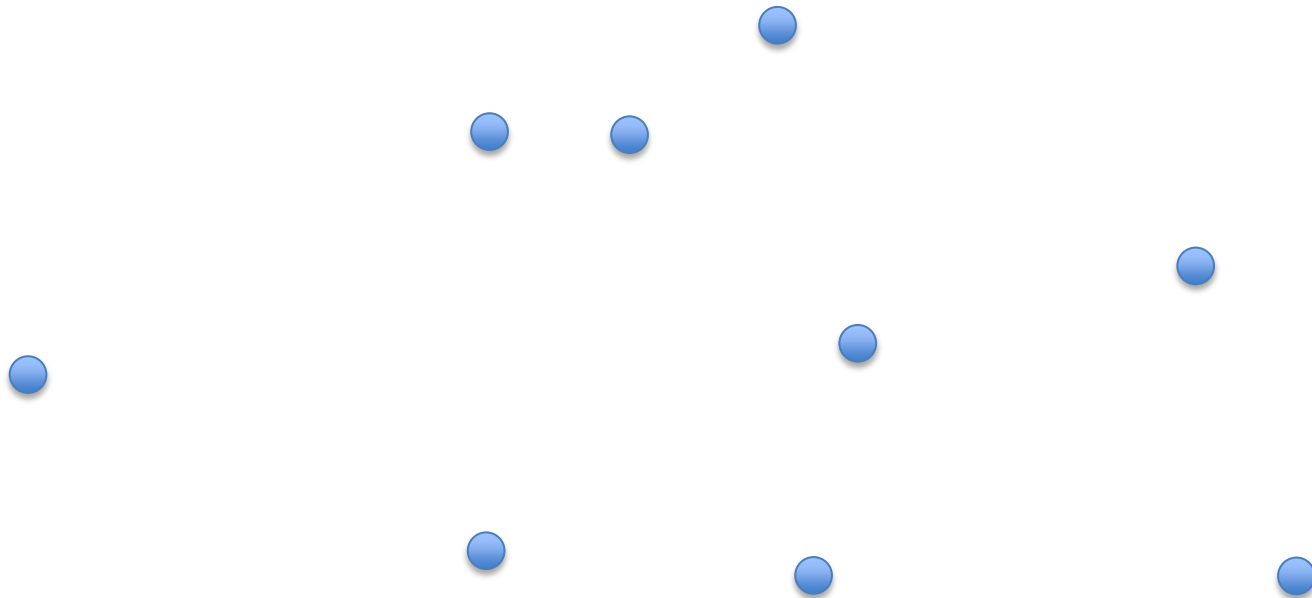
Let  $T$  be an MST and  $OPT$  the value of the smallest TSP tour.  
Then  $\text{cost}(T) < OPT$ .

**Proof** Take an optimal TSP tour and remove one edge. This gives a spanning tree. The cost of this tree is no more than  $\text{cost}(T)$ .

Cost of the Eulertour is  $2\text{cost}(T) < 2OPT$ . Shortcutting does not increase the length since triangle inequality holds.

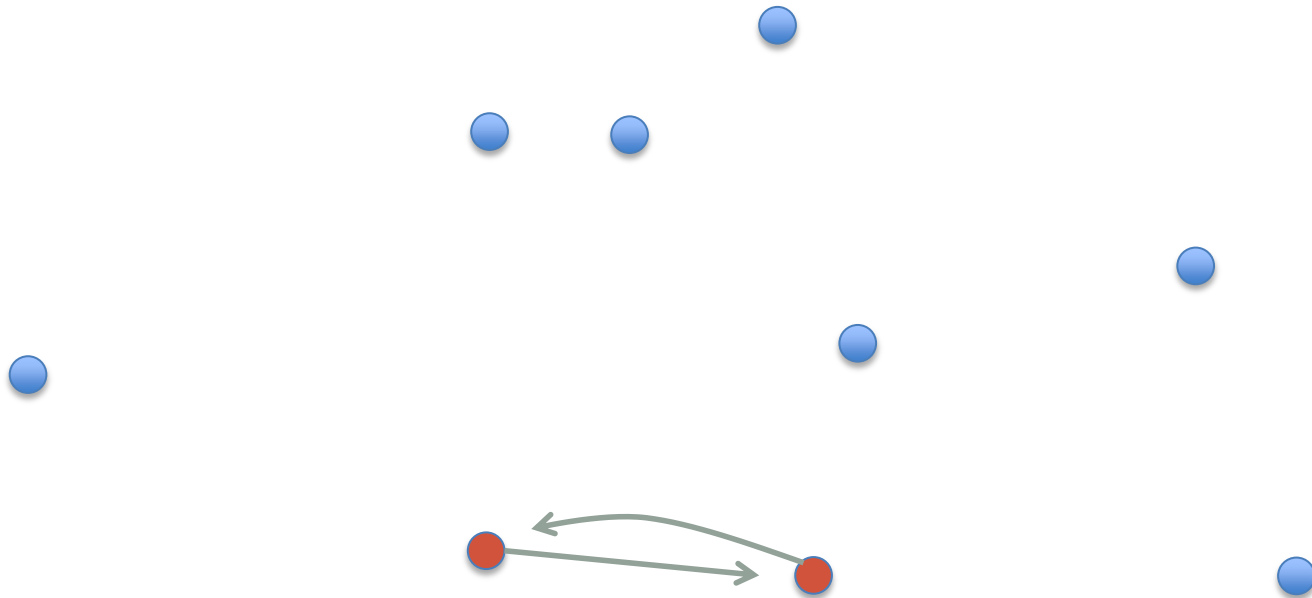
### **Nearest addition:**

1. Start with a tour on 2 points
2. Keep adding the nearest point.



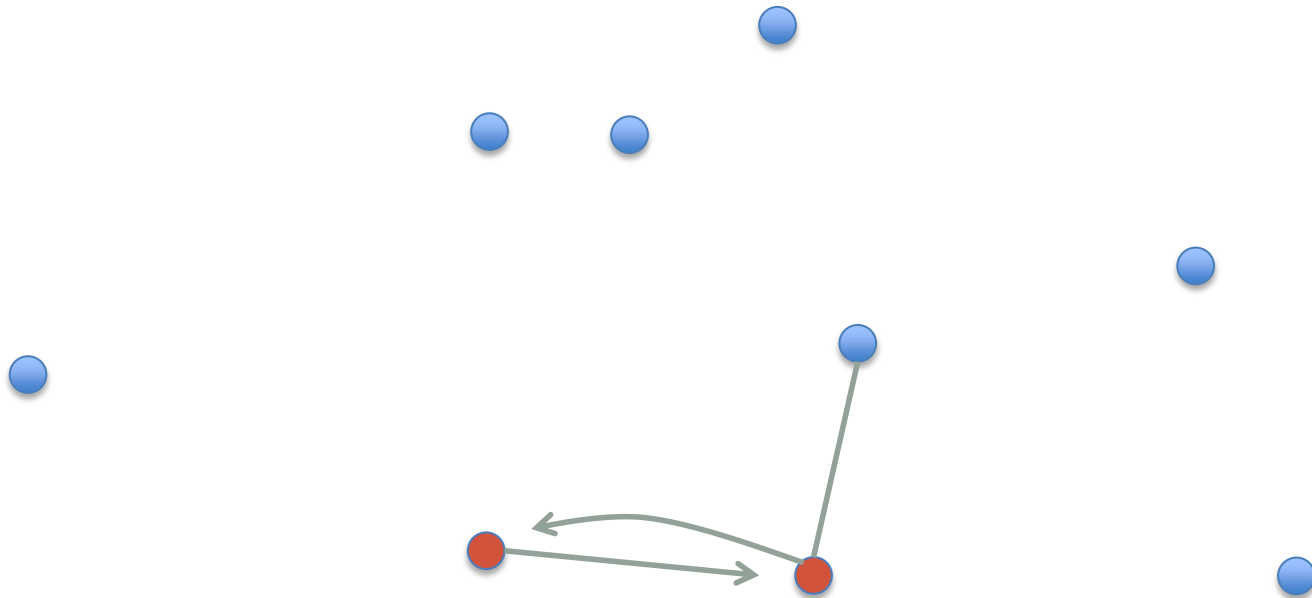
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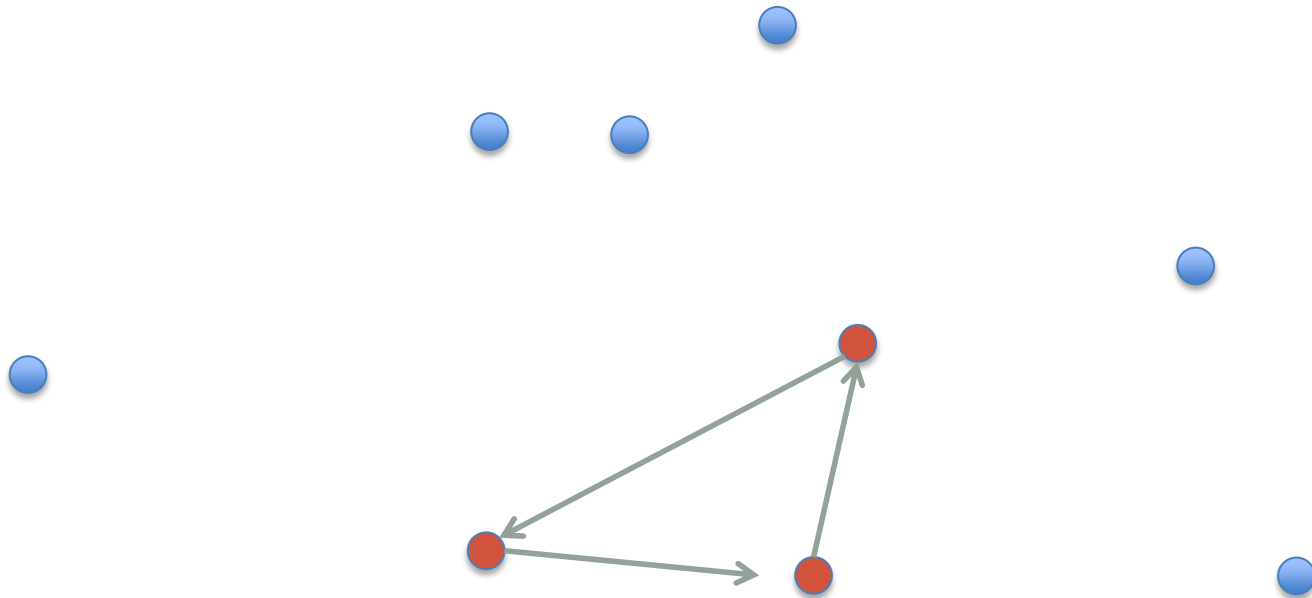
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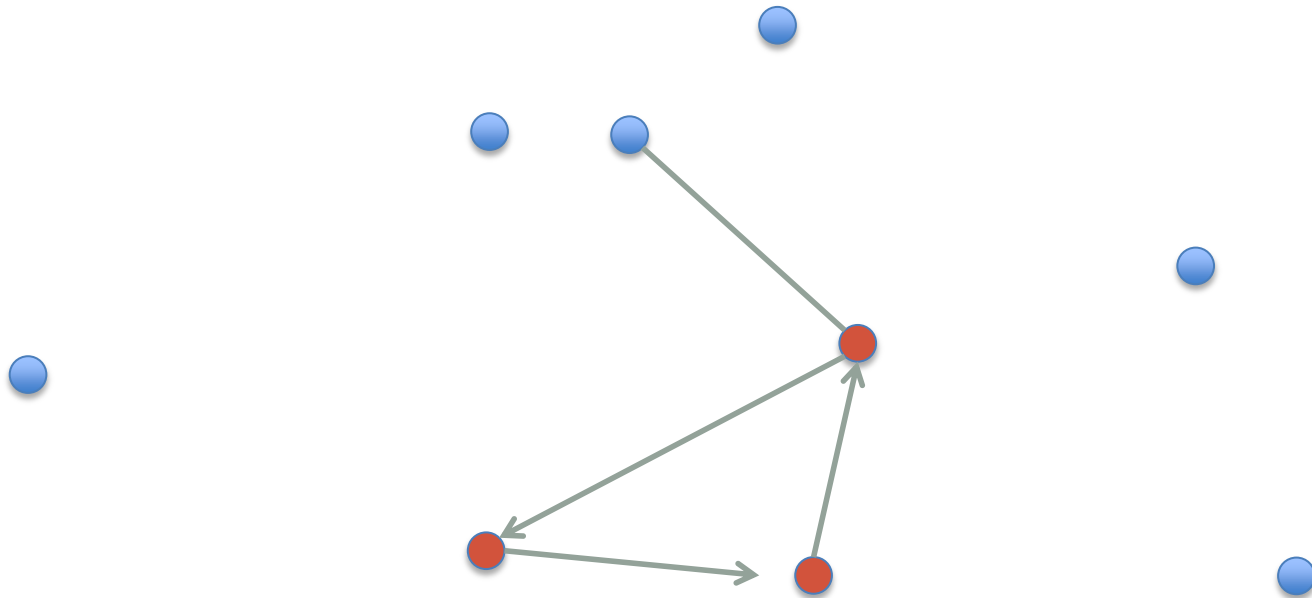
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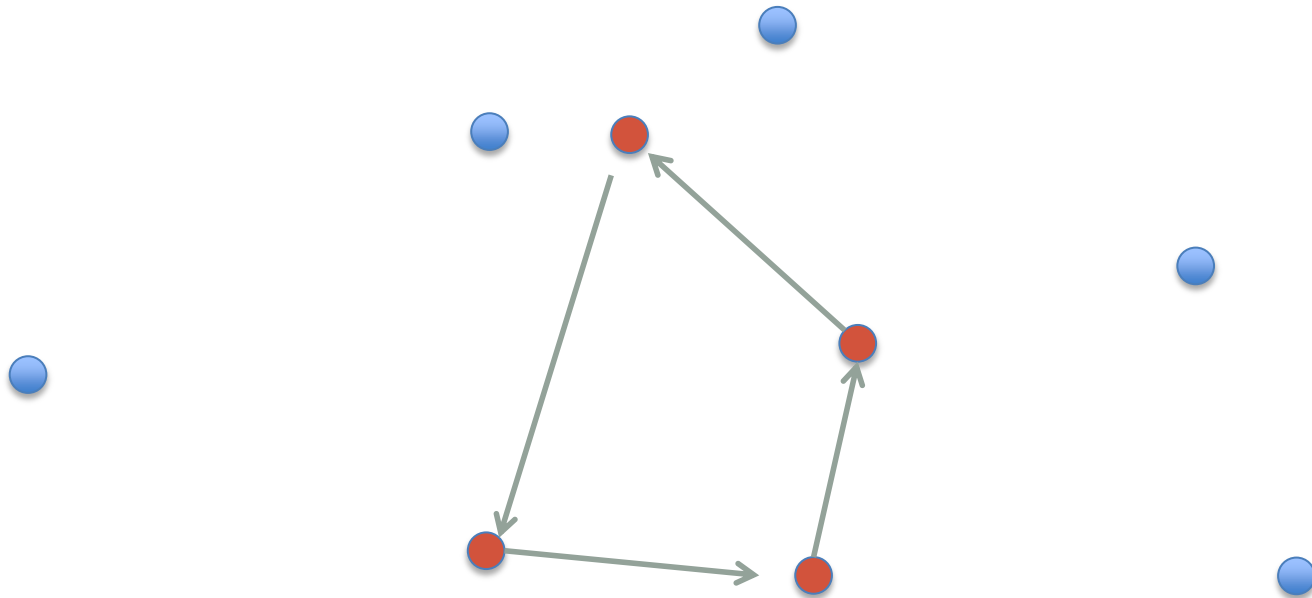
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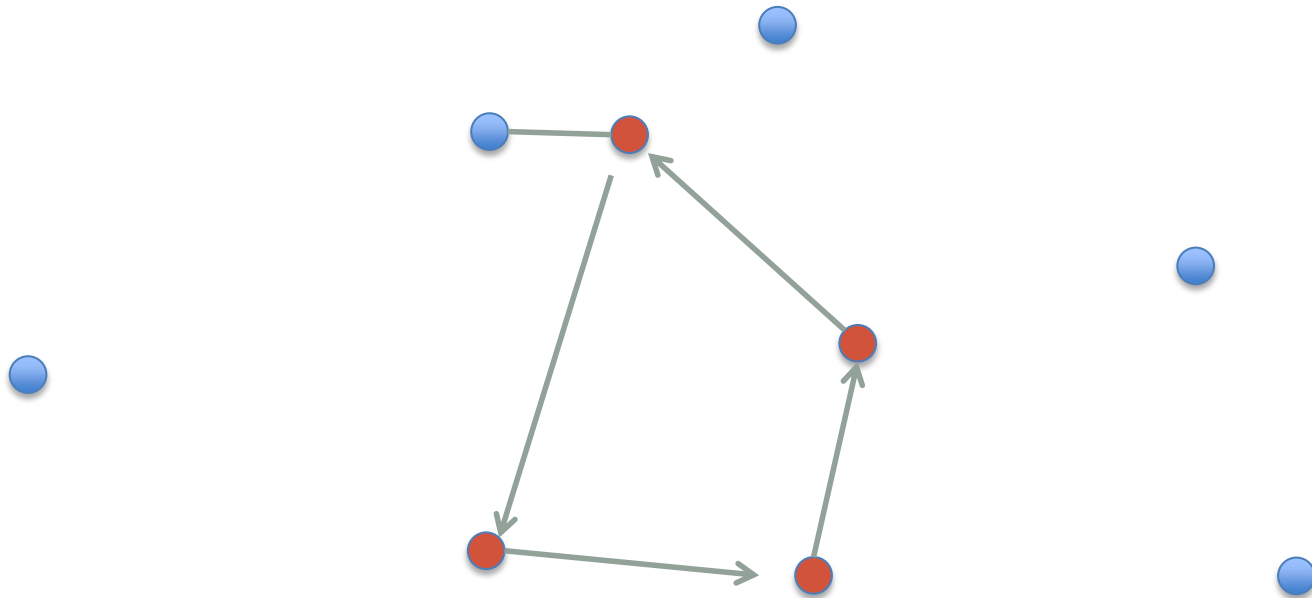
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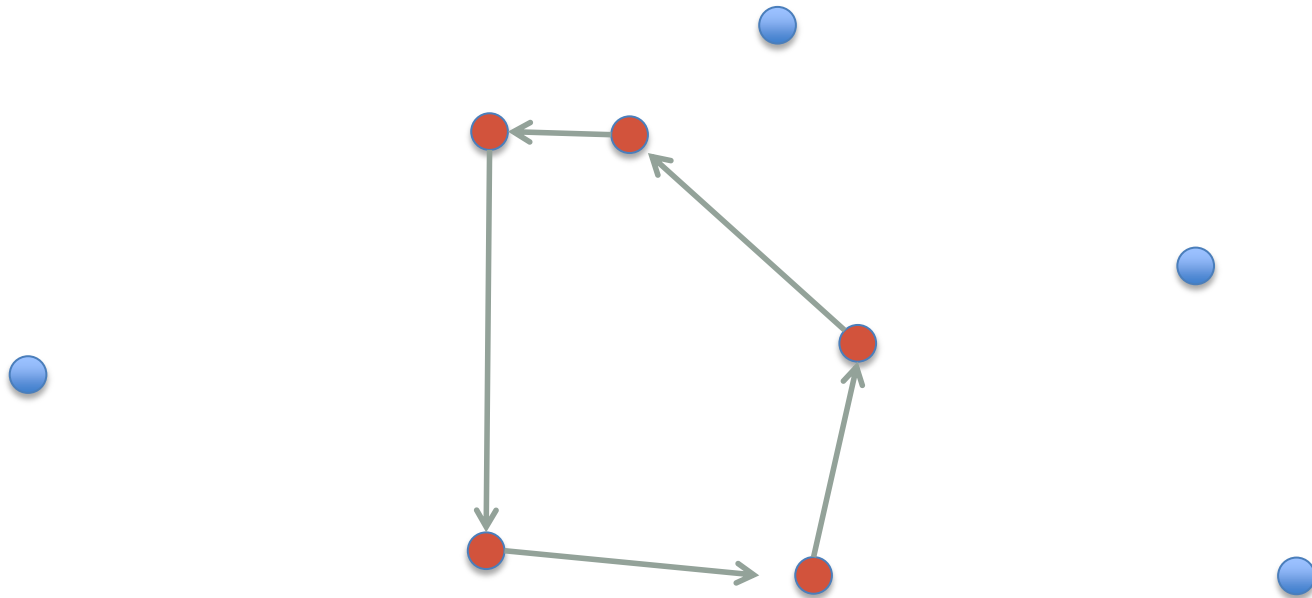
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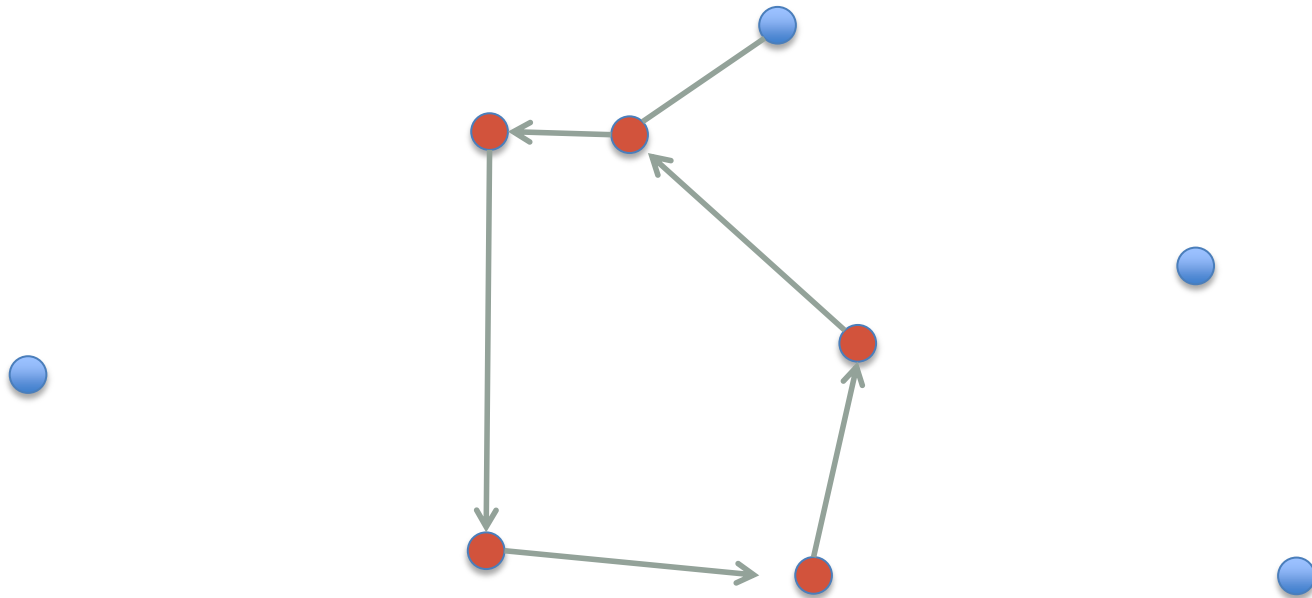
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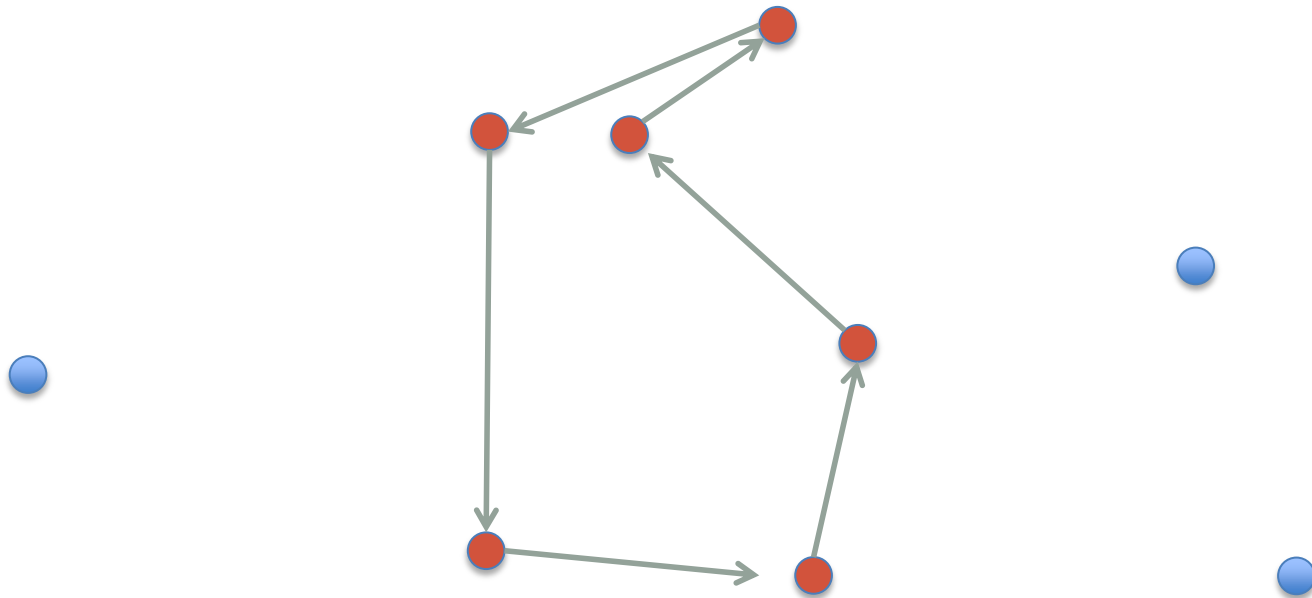
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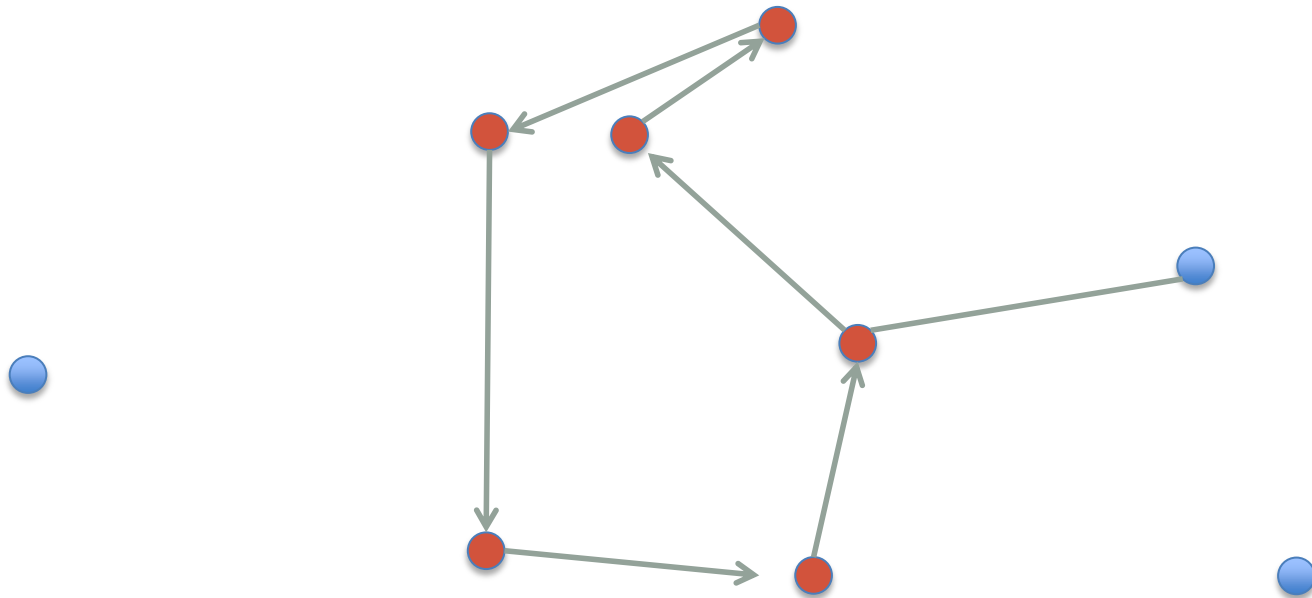
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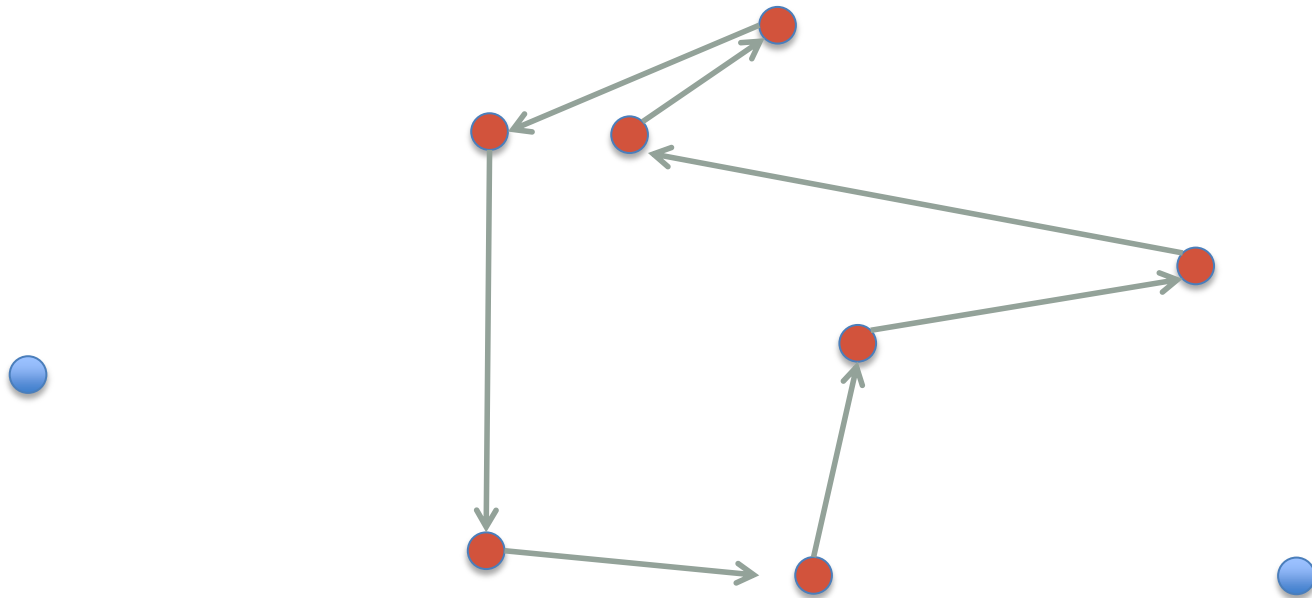
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### Nearest addition:

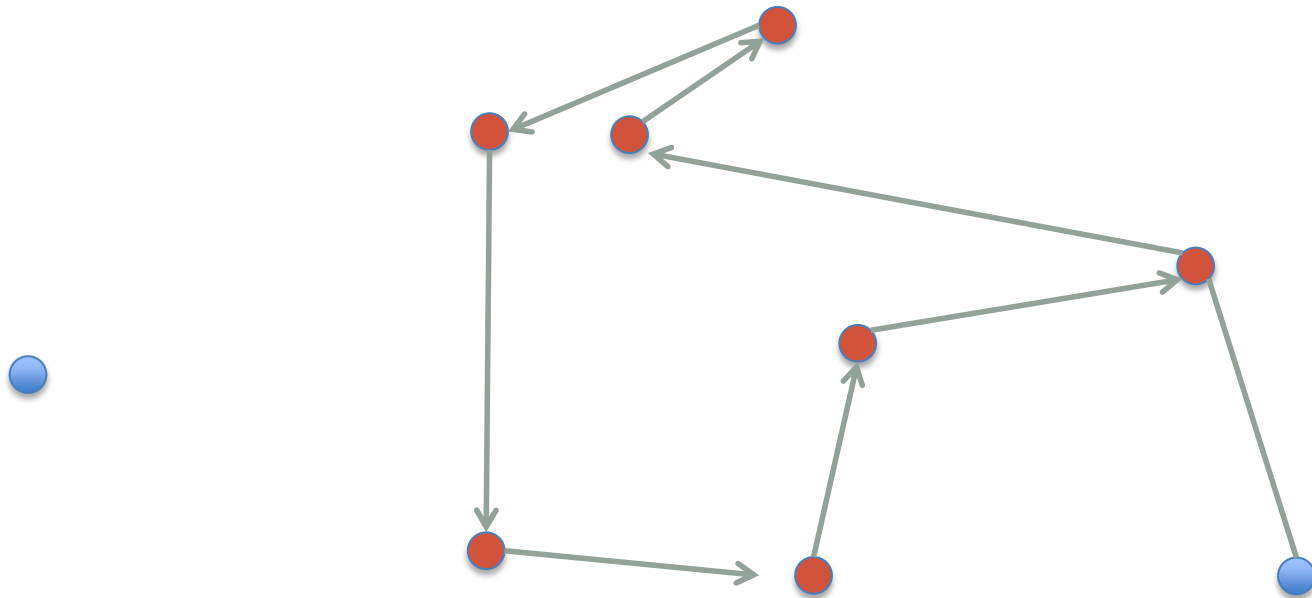
1. Start with a tour on 2 points
2. Keep adding the nearest point.





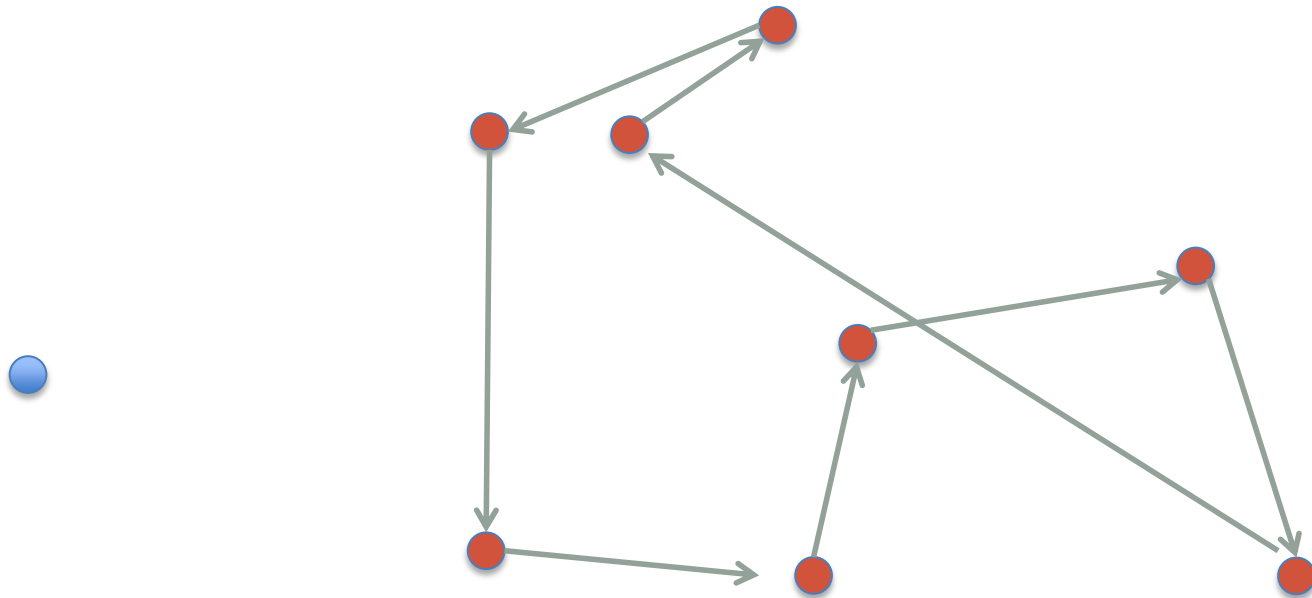
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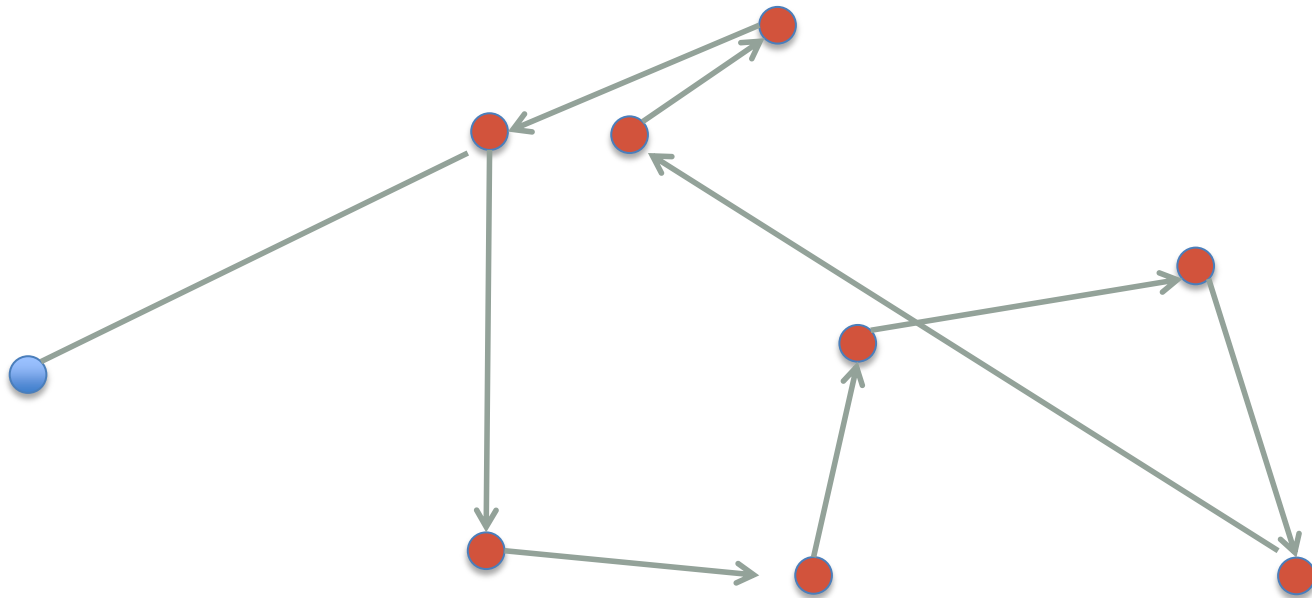
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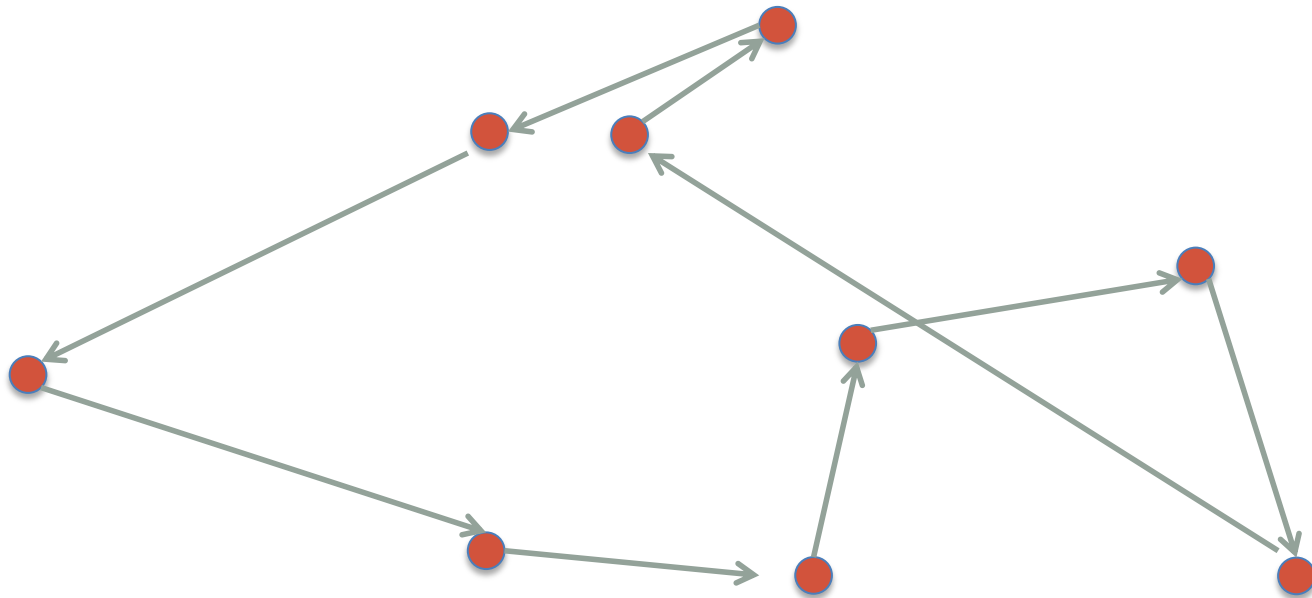
### Nearest addition:

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1. Start with a tour on 2 points
2. Keep adding the nearest point.



**Theorem** Nearest Addition is a 2-approximation algorithm

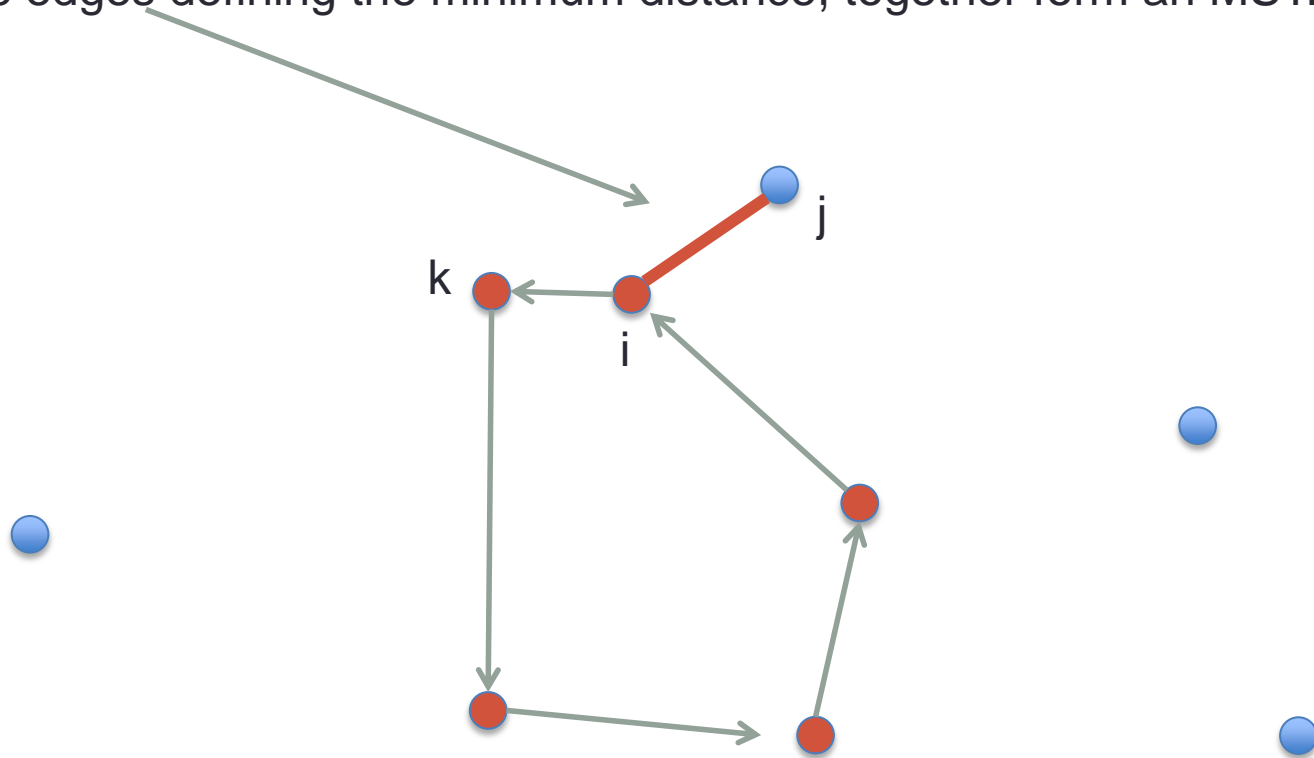
**Proof** [1] running time. OK

[2] Feasible OK

[3] Ratio:

The algorithm behaves exactly like Prim's MST algorithm:

The edges defining the minimum distance, together form an MST.



**Theorem** Nearest Addition is a 2-approximation algorithm

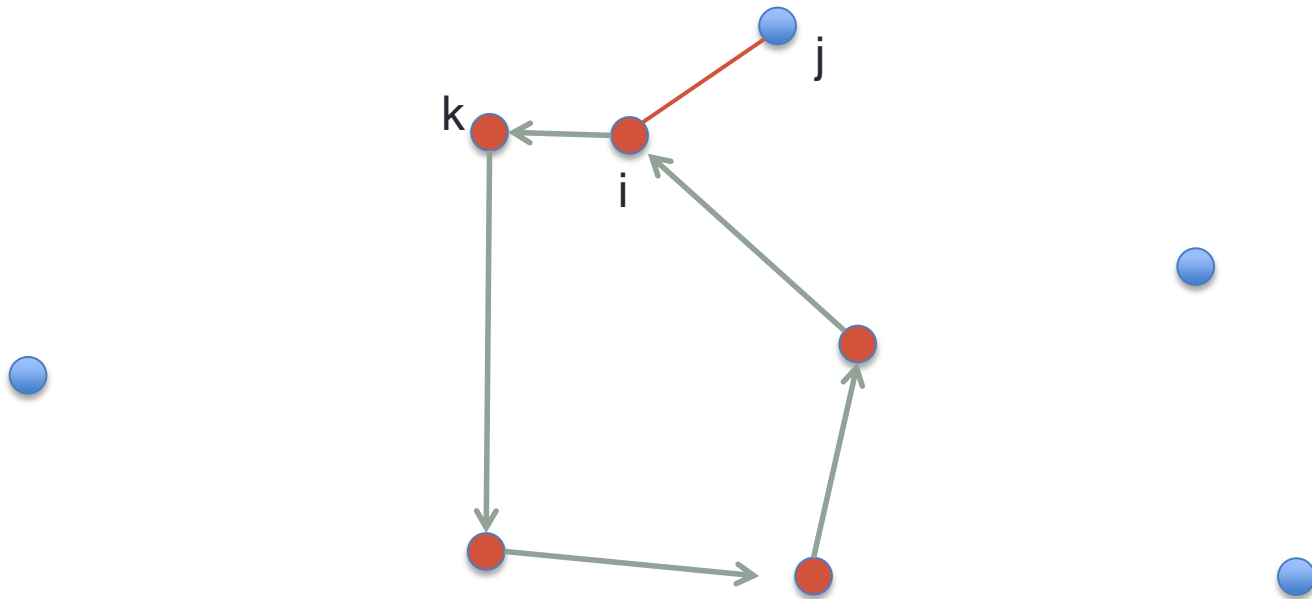
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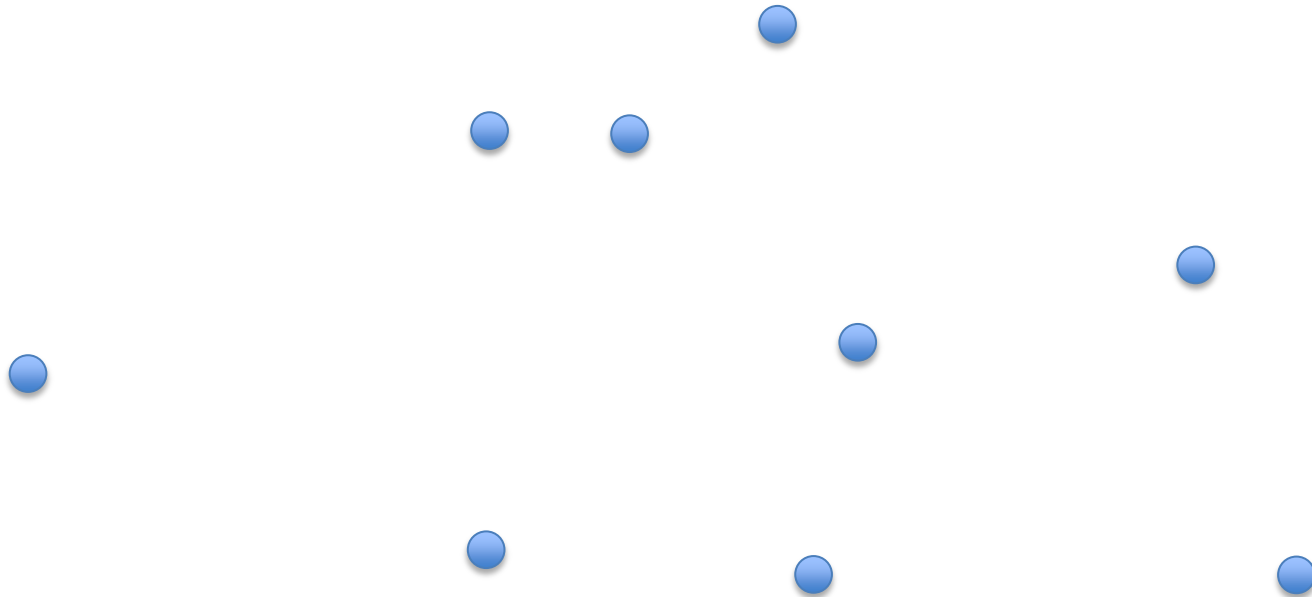
By triangle inequality:  $c_{jk} \leq c_{ji} + c_{ik} \rightarrow c_{jk} - c_{ik} \leq c_{ji}$

Cost in this step:  $c_{ij} + c_{jk} - c_{ik} \leq 2c_{ij}$ .  $\rightarrow$  Total cost  $\leq 2\text{cost}(\text{MST}) \leq 2\text{OPT}$ .



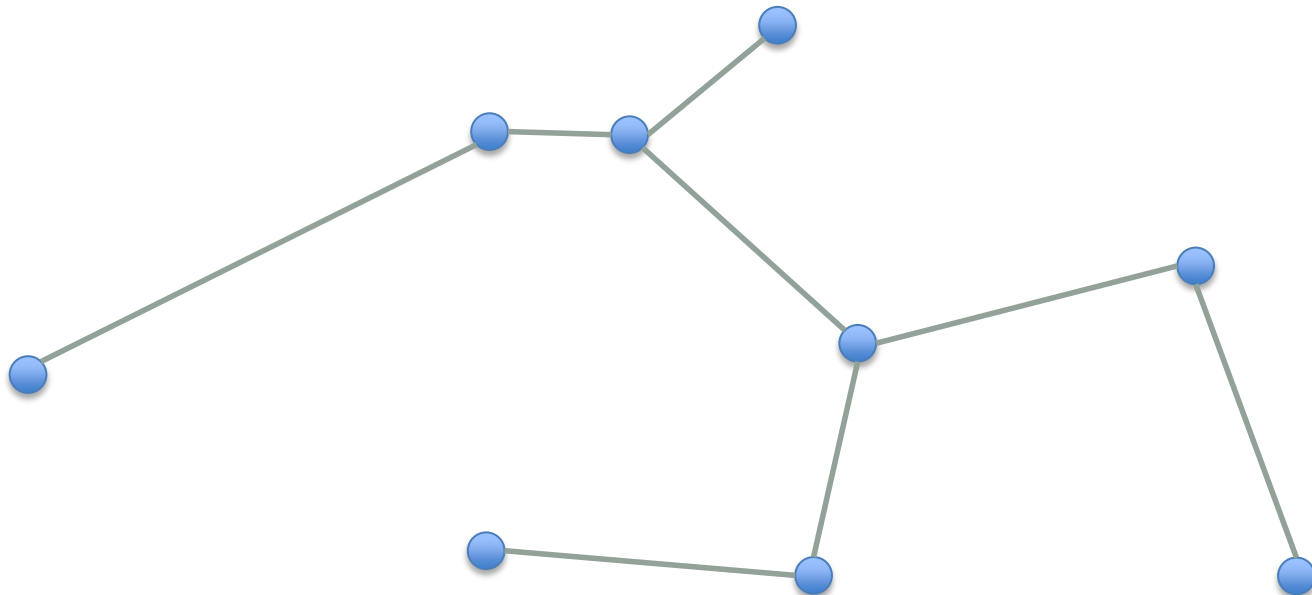
## Christofides' Algorithm

1. Find a minimum spanning tree  $T$
2. Find a minimum matching  $M$  for the odd-degree vertices in  $T$
3. Add  $M$  to  $T$
4. Find an Euler tour
5. Cut short



## Christofides' Algorithm

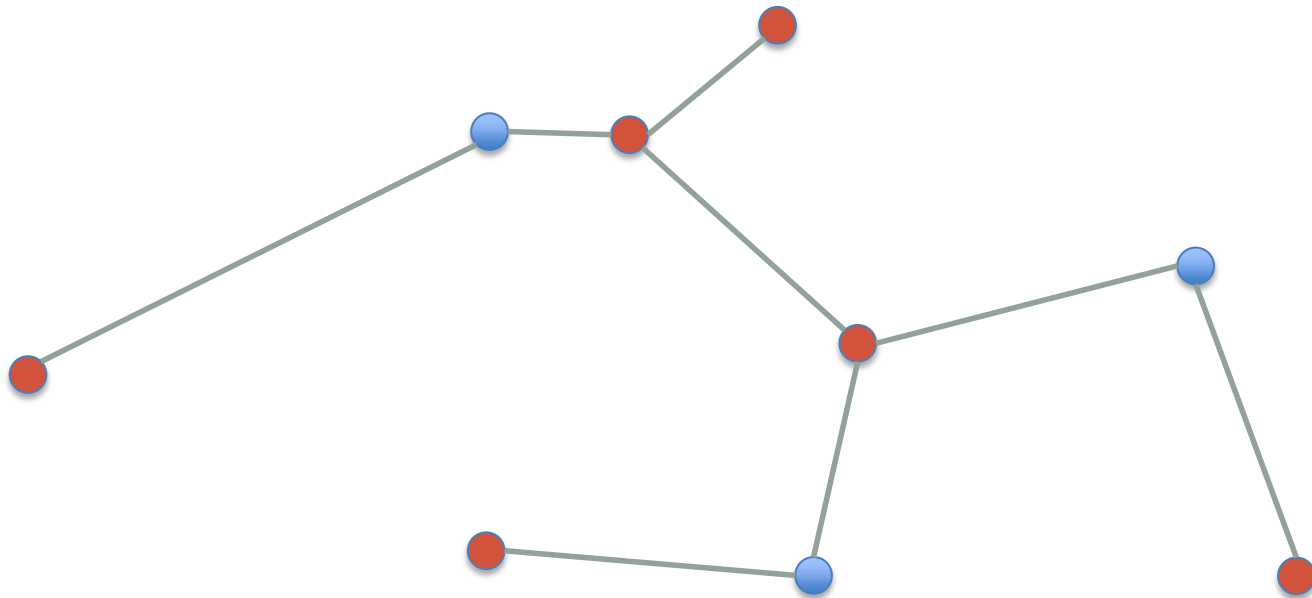
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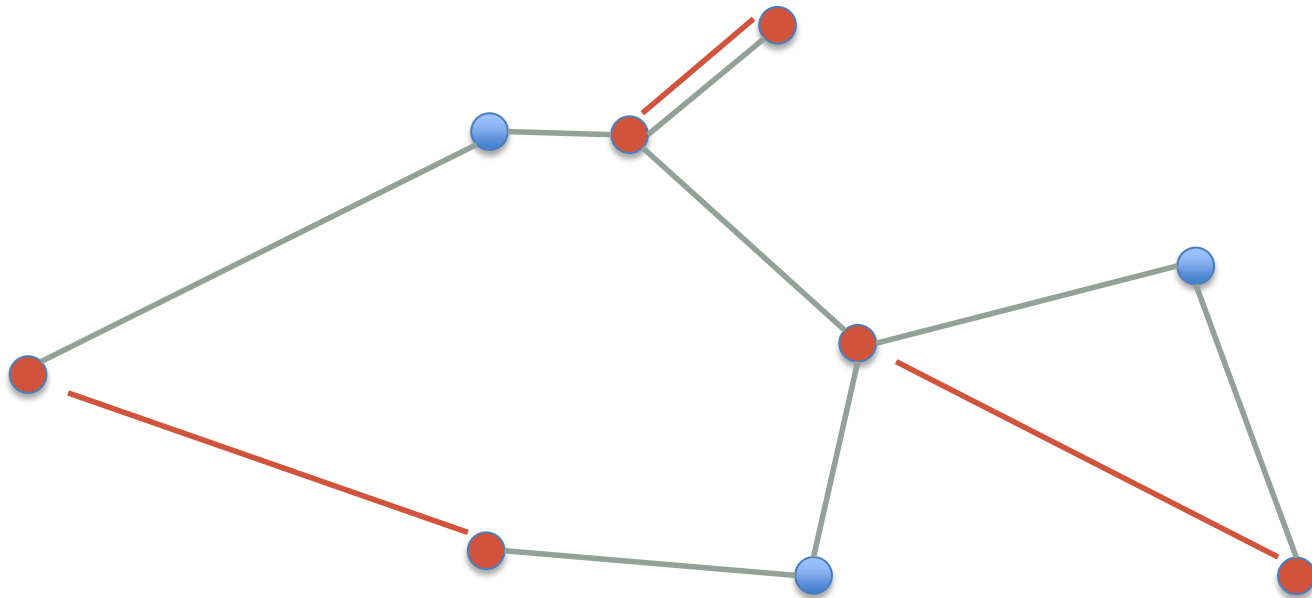
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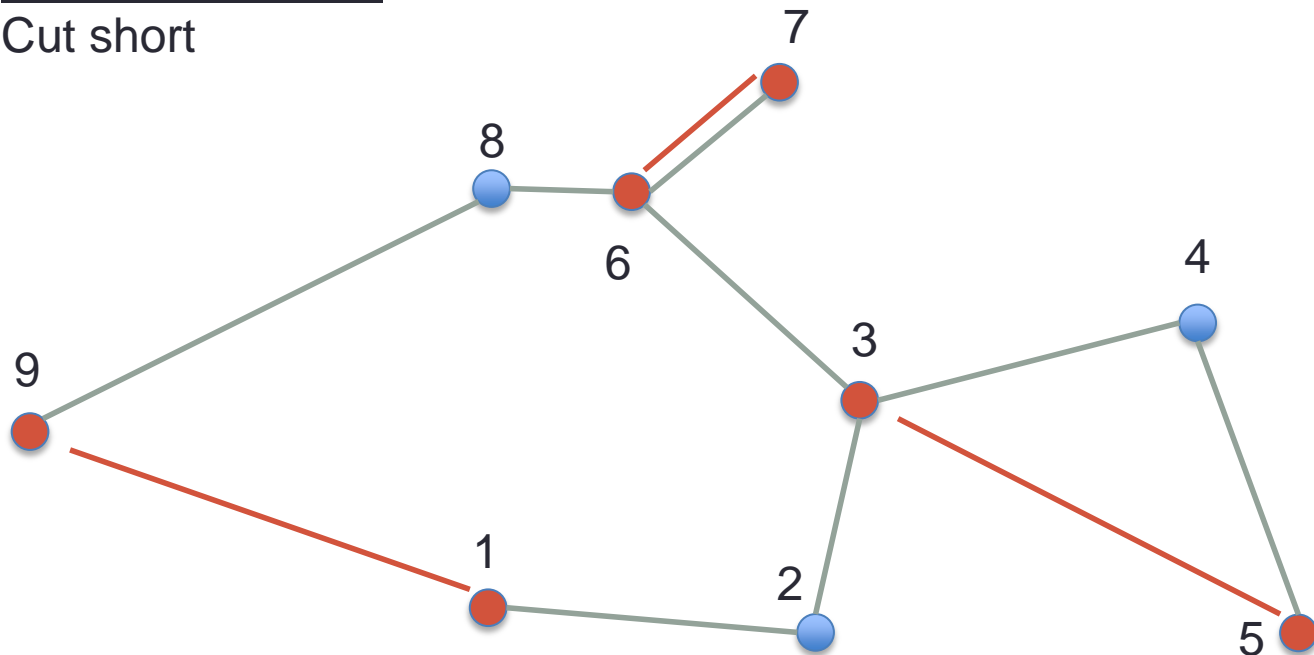
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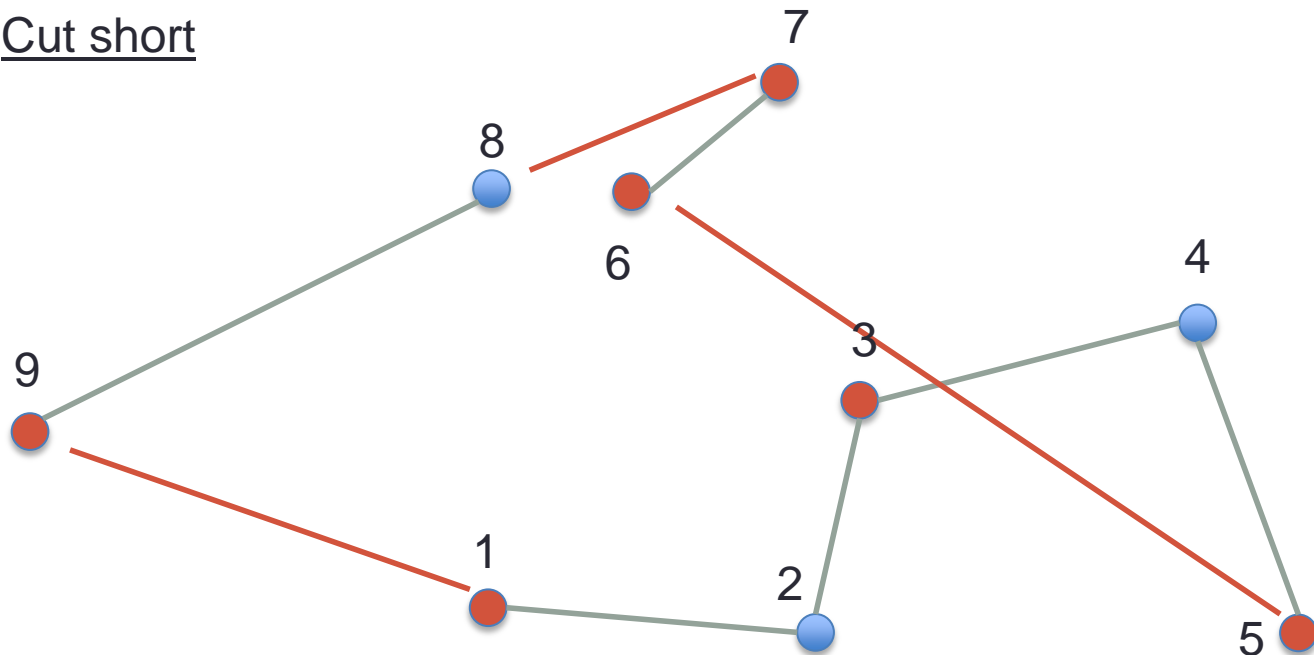
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Euler tour: 1,2,3,4,5,3,6,7,6,8,9,1

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Short cut: 1,2,3,4,5,~~3~~,6,7,~~6~~,8,9,1

## Theorem

Christofides' Algorithm is a 1.5-approximation algorithm

## Proof

[1] Time? MST and Matching can be found in polynomial time.

[2] Feasible. OK

[3] Cost of TSP is at most the cost of the Euler tour.

Cost of Euler tour is  $\text{cost}(T) + \text{cost}(M)$

Know:  $\text{cost}(T) < \text{OPT}$ .

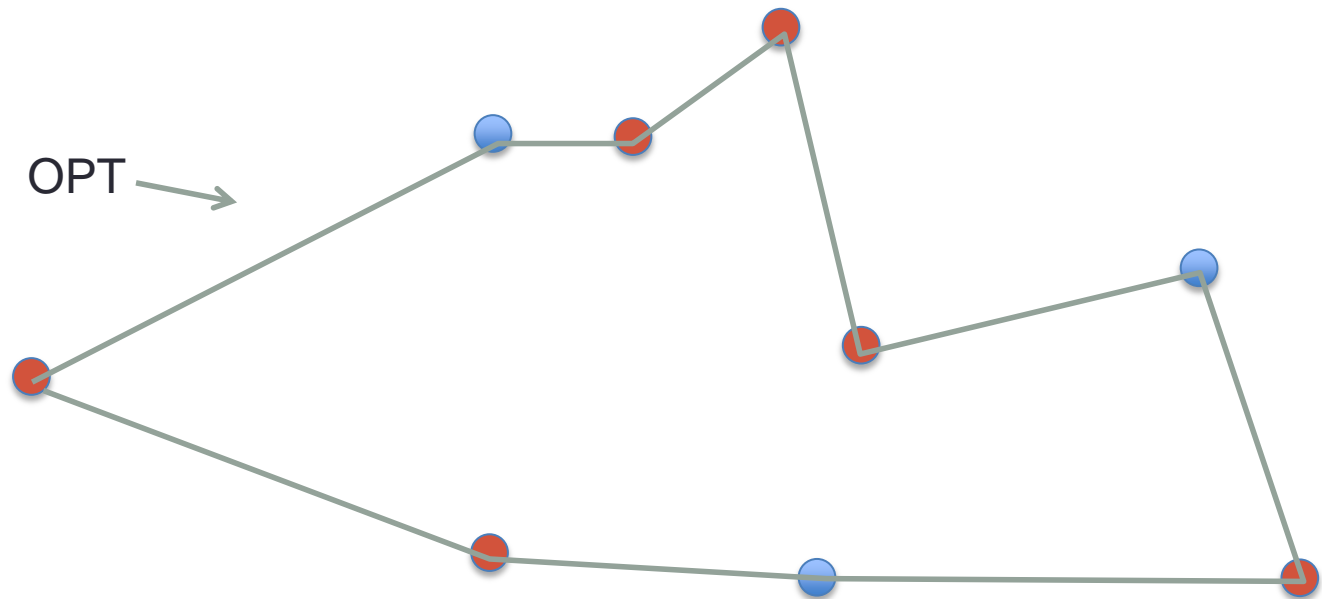
Claim:  $\text{cost}(M) \leq \text{OPT}/2$ .

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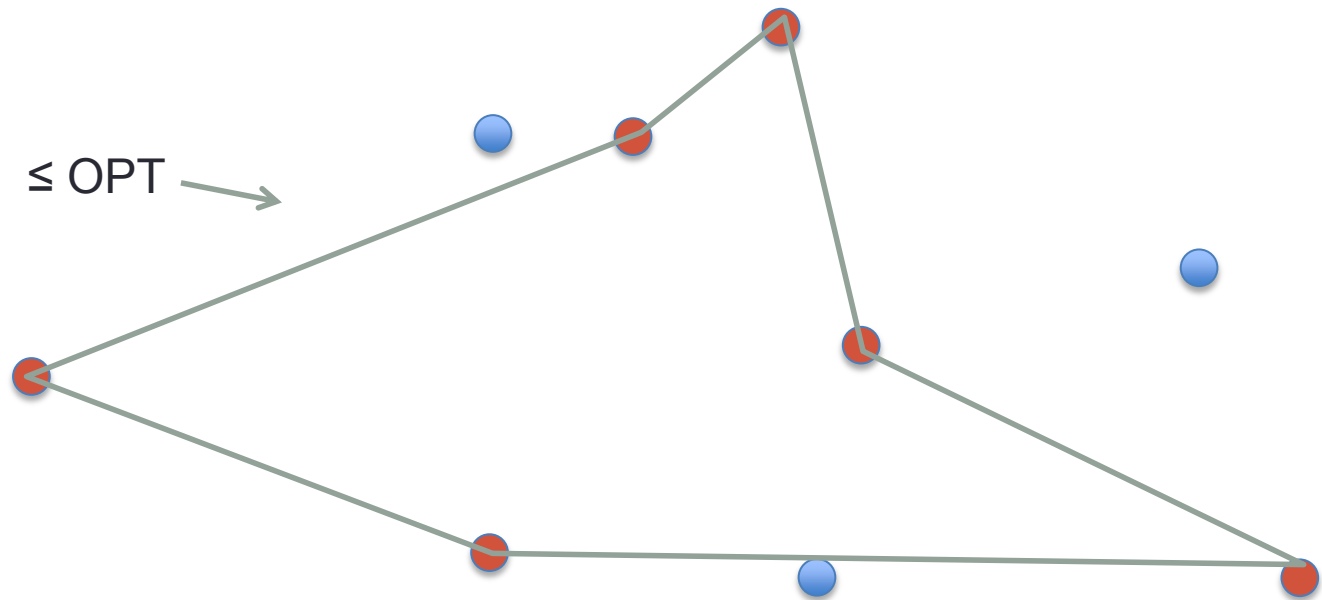


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Two matchings.

Both have cost  $\geq \text{cost}(M)$

$$\rightarrow \text{OPT} \geq 2\text{cost}(M)$$
