

# RATIONALITY OF THE TRIVIAL LATTICE RANK WEIGHTED MOTIVIC HEIGHT ZETA FUNCTION FOR ELLIPTIC SURFACES

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**ABSTRACT.** Let  $k$  be a perfect field with  $\text{char}(k) \neq 2, 3$ , set  $K = k(t)$ , and let  $\mathcal{W}_n^{\min}$  be the moduli stack of minimal elliptic curves over  $K$  of Faltings height  $n$  from the height–moduli framework of [BPS22] applied to  $\overline{\mathcal{M}}_{1,1} \simeq \mathcal{P}(4, 6)$ . For  $[E] \in \mathcal{W}_n^{\min}$ , let  $S \rightarrow \mathbb{P}_k^1$  be the associated elliptic surface with section. Motivated by the Shioda–Tate formula, we consider the trivariate motivic height zeta function

$$\mathcal{Z}(u, v; t) := \sum_{n \geq 0} \left( \sum_{[E] \in \mathcal{W}_n^{\min}} u^{T(S)} v^{\text{rk}(E/K)} \right) t^n \in K_0(\text{Stck}_k)[u, v][[t]],$$

which refines the height series by weighting each height stratum with the trivial lattice rank  $T(S)$  and the Mordell–Weil rank  $\text{rk}(E/K)$ . We prove rationality for the trivial lattice specialization  $Z_{\text{Triv}}(u; t) = \mathcal{Z}(u, 1; t)$  by giving an explicit finite Euler product. We conjecture irrationality for the Néron–Severi  $Z_{\text{NS}}(w; t) = \mathcal{Z}(w, w; t)$  and the Mordell–Weil  $Z_{\text{MW}}(v; t) = \mathcal{Z}(1, v; t)$  specializations.

## 1. INTRODUCTION

Let  $k$  be a perfect field with  $\text{char}(k) \neq 2, 3$ , and set  $K := k(t)$ . An elliptic curve  $E/K$  determines a relatively minimal elliptic surface with section

$$f : S \longrightarrow \mathbb{P}_k^1$$

unique up to isomorphism (see [Mir89, SS10] for background on elliptic surfaces).

The arithmetic of  $E/K$  is reflected in the geometry of  $S$ , and a basic organizing principle is the Shioda–Tate formula [Shi90]

$$(1) \quad \rho(S) = T(S) + \text{rk}(E/K),$$

where  $\rho(S) = \text{rk NS}(S_{\bar{k}})$  is the *geometric Picard rank*,  $T(S)$  is the *rank of the geometric trivial lattice* generated by the zero section, a fiber class, and the components of reducible fibers not meeting the zero section, and  $\text{rk}(E/K)$  is the *Mordell–Weil rank*. For the relatively minimal elliptic surfaces  $f : S \rightarrow \mathbb{P}_k^1$  with section considered in this paper, we have  $q(S) = 0$  and  $p_g(S) = n - 1$ , hence the standard bounds

$$(2) \quad 2 \leq \rho(S) \leq 10n, \quad 2 \leq T(S) \leq 10n, \quad 0 \leq \text{rk}(E/K) \leq 10n - 2,$$

where  $\rho(S) \leq 10n = h^{1,1}(S)$  is the Lefschetz bound over  $k = \mathbb{C}$  (or in general the Igusa’s inequality  $\rho(S) \leq b_2(S) = 12n - 2$ ).

In [BPS22], Bejleri–Park–Satriano construct height-moduli stacks of rational points on proper polarized cyclotomic stacks. In the fundamental modular curve example

$$\overline{\mathcal{M}}_{1,1} \simeq \mathcal{P}(4, 6), \quad \lambda \simeq \mathcal{O}_{\mathcal{P}(4, 6)}(1),$$

a minimal elliptic curve over  $K$  can be viewed as a rational point of  $\lambda$ –height  $n$  on  $\overline{\mathcal{M}}_{1,1}$  over  $K$ . This yields a separated Deligne–Mumford stack of finite type

$$\mathcal{W}_n^{\min} := \mathcal{W}_{n, \mathbb{P}_k^1}^{\min}(\mathcal{P}(4, 6), \mathcal{O}(1))$$

parametrizing minimal elliptic curves over  $K$  of discriminant degree  $12n$ . Here a  $K$ -rational point of  $\overline{\mathcal{M}}_{1,1}$  of  $\lambda$ -height  $n$  means the stacky height  $n$  with respect to the Hodge line bundle  $\lambda$ , in the sense of [ESZB23]. Under the identification  $\overline{\mathcal{M}}_{1,1} \cong \mathcal{P}(4,6)$  one has  $\lambda \simeq \mathcal{O}_{\mathcal{P}(4,6)}(1)$ , and this height agrees with the Faltings height of the corresponding elliptic curve by [BPS22, Cor. 7.6].

Guided by (1), we introduce the following motivic generating series (see [Eke25] for background on the Grothendieck ring of stacks) refining the height generating series in [BPS22, §8] by weighting each height stratum with the *lattice ranks* of the associated relatively minimal elliptic surface.

**Definition 1.1.** Let  $k$  be a perfect field of characteristic  $\neq 2, 3$ , and consider the height-moduli stack

$$\mathcal{W}_n^{\min} = \mathcal{W}_{n, \mathbb{P}_k^1}^{\min}(\mathcal{P}(4,6), \mathcal{O}(1))$$

parametrizing minimal elliptic curves over  $K = k(t)$  of discriminant height  $12n$ . The *trivariate height zeta function* is

$$\mathcal{Z}(u, v; t) := \sum_{n \geq 0} \left( \sum_{[E] \in \mathcal{W}_n^{\min}} u^{T(S)} v^{\text{rk}(E/K)} \right) t^n \in K_0(\text{Stck}_k)[u, v][[t]],$$

where for each  $[E] \in \mathcal{W}_n^{\min}$  we write  $S \rightarrow \mathbb{P}_k^1$  for the associated relatively minimal elliptic surfaces  $f : S \rightarrow \mathbb{P}_k^1$  with section, and:

- $T(S)$  is the rank of the trivial lattice of  $S$ ;
- $\text{rk}(E/K)$  is the Mordell–Weil rank.

The following specializations are the associated *bivariate height zeta functions*:

$$(3) \quad Z_{\text{Triv}}(u; t) := \mathcal{Z}(u, 1; t),$$

$$(4) \quad Z_{\text{MW}}(v; t) := \mathcal{Z}(1, v; t),$$

$$(5) \quad Z_{\text{NS}}(w; t) := \mathcal{Z}(w, w; t).$$

**Remark 1.2.** Setting  $u = v = 1$  forgets the lattice rank grading and specializes to the *univariate motivic height zeta function*  $Z_{\bar{\lambda}}(t) = \mathcal{Z}(1, 1; t) \in K_0(\text{Stck}_k)[[t]]$  and likewise to its inertial refinement  $\mathcal{IZ}_{\bar{\lambda}}(t)$  which encodes the totality of rational points on  $\overline{\mathcal{M}}_{1,1}$  over  $K = k(t)$ . Theorem [BPS22, Thm. 8.9] shows that both series are in fact rational in  $t$ , i.e. lie in  $K_0(\text{Stck}_k)(t)$ , and gives explicit formulas.

In this paper we focus on  $Z_{\text{Triv}}(u; t)$ . The key point is that the trivial lattice is governed by *local bad reduction*: its rank is determined by the geometric Kodaira fiber configuration of  $\pi_{\bar{k}} : S_{\bar{k}} \rightarrow \mathbb{P}_{\bar{k}}^1$ . Writing  $\text{Triv}(S) \subset \text{NS}(S_{\bar{k}})$  for the geometric trivial lattice and  $T(S) := \text{rk}(\text{Triv}(S))$ , we have the following explicit formula.

**Lemma 1.3.** Let  $\pi : S \rightarrow \mathbb{P}_k^1$  be a relatively minimal elliptic surface with section, and let  $\mathfrak{f}$  be the multiset of singular fibers of  $\pi_{\bar{k}} : S_{\bar{k}} \rightarrow \mathbb{P}_{\bar{k}}^1$ . If  $m_v$  denotes the number of irreducible components of the fiber at  $v$ , then

$$T(S) = 2 + \sum_{v \in \mathfrak{f}} (m_v - 1).$$

**Definition 1.4.** Fix  $n \geq 1$ . For a geometric fiber configuration  $\mathfrak{f}$ , let  $\mathcal{W}_n^{\min,(\mathfrak{f})} \subset \mathcal{W}_n^{\min}$  denote the locus parametrizing those  $[E] \in \mathcal{W}_n^{\min}$  whose associated surface  $S_{\bar{k}} \rightarrow \mathbb{P}_{\bar{k}}^1$  has singular fiber configuration  $\mathfrak{f}$  (c.f. [BPS22, Thm. 7.16]).

**Definition 1.5.** Fix  $n \geq 0$ . By Proposition 2.1,  $\mathcal{W}_n^{\min}$  admits a *finite* constructible stratification by Kodaira data, and  $T(S)$  is constant on each stratum. For  $n \geq 1$  and each  $T$  with  $2 \leq T \leq 10n$ , let

$$\mathcal{W}_n^{\min}(T) \subset \mathcal{W}_n^{\min}$$

be the finite union of those Kodaira strata on which  $T(S) = T$  (hence a finite union of locally closed substacks). For  $n = 0$ , set  $\mathcal{W}_0^{\min} := \mathcal{W}_0^{\min}(2)$ .

The trivial–lattice–rank–weighted motivic height zeta function is

$$Z_{\text{Triv}}(u; t) := \sum_{n \geq 0} \sum_{T \geq 2} u^T \{ \mathcal{W}_n^{\min}(T) \} t^n \in K_0(\text{Stck}_k)[u][t].$$

We prove that  $Z_{\text{Triv}}(u; t)$  is rational after inverting  $\mathbb{L}$  (see Remark 2.6), and we give an explicit finite Euler product (Theorem 2.9).

**Theorem 1.6.** Let  $k$  be a perfect field with  $\text{char}(k) \neq 2, 3$  and put  $s = t^{1/12}$ . Then

$$Z_{\text{Triv}}(u; t) \in K_0(\text{Stck}_k)[\mathbb{L}^{-1}][u](s).$$

Moreover,  $Z_{\text{Triv}}(u; t)$  admits an explicit finite Euler product in  $s$ .

The proof is a motivic local-to-global factorization argument [CLL16], implemented on the twisted-map stratification of the height–moduli  $\mathcal{W}_n^{\min}$  via the evaluation morphisms [GP06]. Throughout we use the Bejleri–Park–Satriano correspondence [BPS22, Thm. 3.3] between rational points, minimal weighted linear series, and twisted morphisms; in particular, local reduction conditions are encoded by representable twisted morphisms to  $\overline{\mathcal{M}}_{1,1} \simeq \mathcal{P}(4, 6)$ , yielding a moduli-theoretic Tate’s algorithm [Tat75] compatible with the minimal model program [BPS22, Thm. 7.12]. Unordered collections of local factors supported at distinct points of  $\mathbb{P}^1$  are governed by symmetric powers  $\text{Sym}^N(\mathbb{P}^1)$ . We reorganize these symmetric-power contributions using the power structure on  $K_0(\text{Stck}_k)[\mathbb{L}^{-1}]$ , and we record the resulting identity explicitly in Lemma 2.7. Since only finitely many local factor types occur, this yields a finite Euler product after inverting  $\mathbb{L}$  [Kap00, GZLMH13]. The only unbounded discrete parameter is the cusp contact order in the two families  $I_k$  and  $I_k^*$ , which is collapsed by geometric resummation. Finally, specializing  $x_\alpha = u^{m(\alpha)-1}$  for  $\alpha \in \mathcal{A}_{\text{nc}}$  together with the cusp substitutions produces the Euler product expression for  $Z_{\text{Triv}}(u; t)$  in  $K_0(\text{Stck}_k)[\mathbb{L}^{-1}][u](s)$  with  $t = s^{12}$ .

**Remark 1.7.** Replacing  $\mathcal{W}_n^{\min}(T)$  by its inertia stack (see [HP23, §2] for background on the inertia stack  $\mathcal{I}(\mathcal{X})$  of an algebraic stack  $\mathcal{X}$ ) gives

$$\mathcal{I}Z_{\text{Triv}}(u; t) := \sum_{n \geq 0} \sum_{T \geq 2} u^T \{ \mathcal{I}\mathcal{W}_n^{\min}(T) \} t^n \in K_0(\text{Stck}_k)[u][t].$$

After inverting  $\mathbb{L}$ , the same argument yields a finite Euler product for  $\mathcal{I}Z_{\text{Triv}}(u; t)$ .

## 2. RATIONALITY OF THE TRIVIAL LATTICE RANK WEIGHTED CASE

Throughout, let  $k$  be a perfect field with  $\text{char}(k) \neq 2, 3$ , set  $K = k(t)$ , and let  $\pi: S \rightarrow \mathbb{P}_k^1$  be the relatively minimal elliptic surface with section associated to  $E/K$ . Write  $\text{Triv}(S) \subset \text{NS}(S_{\bar{k}})$  for the geometric trivial lattice and  $T(S) = \text{rk Triv}(S)$ .

**Proposition 2.1.** *Fix  $n \geq 1$ . The discriminant degree constraint  $\sum_v e(F_v) = 12n$  implies that only finitely many geometric fiber configurations  $f$  occur among surfaces parametrized by  $\mathcal{W}_n^{\min}$ . Consequently,*

$$\mathcal{W}_n^{\min} = \bigsqcup_f \mathcal{W}_n^{\min, (f)}$$

is a finite constructible stratification. Moreover, the trivial lattice rank  $T(S)$  is constant on each stratum  $\mathcal{W}_n^{\min, (f)}$ .

*Proof.* Fix  $n \geq 1$  and let  $S \rightarrow \mathbb{P}_k^1$  be a surface parametrized by  $\mathcal{W}_n^{\min}$ . For any relatively minimal elliptic surface with section one has  $\sum_{v \in \mathbb{P}_k^1} e(F_v) = e(S_{\bar{k}})$  and in our height- $n$  locus this total Euler number equals  $12n$  (equivalently, the discriminant has degree  $12n$ ). For each singular fiber  $F_v$ , the Kodaira–Néron classification [Kod63, N64] gives the types  $I_k, I_k^*$  ( $k \geq 1$ ) and  $II, III, IV, I_0^*, IV^*, III^*, II^*$ . Their Euler numbers satisfy  $e(I_k) = k$ ,  $e(I_k^*) = k + 6$  while the remaining types have Euler number  $e(F_v) \in \{2, 3, 4, 6, 8, 9, 10\}$  (see [Her91, Table 1]). Since  $\sum_v e(F_v) = 12n$ , the integers  $k$  occurring in fibers of type  $I_k$  and  $I_k^*$  are bounded in terms of  $n$ . Hence there are only finitely many multisets of Kodaira symbols (equivalently, fiber configurations  $f$ ) whose Euler numbers sum to  $12n$ . Therefore only finitely many configurations occur, and  $\mathcal{W}_n^{\min} = \bigsqcup_f \mathcal{W}_n^{\min, (f)}$  is a finite stratification by locally closed substacks as in [BPS22, Thm. 7.16]. Finally, on a fixed stratum  $\mathcal{W}_n^{\min, (f)}$  the multiset  $f$  (hence the integers  $m_v$ ) is constant, so Lemma 1.3 implies that  $T(S) = 2 + \sum_{v \in f} (m_v - 1)$  is constant on that stratum. ■

**A multivariate height series.** We briefly recall the local indexing used in the twisted-maps description of height-moduli. By [BPS22, Thm. 5.1] the height- $n$  moduli stack  $\mathcal{M}_{n,C}(\mathcal{X}, \mathcal{L})$  on a proper polarized cyclotomic stack  $\mathcal{X}$  with polarizing line bundle  $\mathcal{L}$  admits a finite stratification by locally closed substacks indexed by admissible local conditions and degrees: there is a finite disjoint union of morphisms

$$\bigsqcup_{\Gamma, d} \mathcal{H}_{d,C}^{\Gamma}(\mathcal{X}, \mathcal{L}) / S_{\Gamma} \longrightarrow \mathcal{M}_{n,C}(\mathcal{X}, \mathcal{L}),$$

where  $\mathcal{H}_{d,C}^{\Gamma}(\mathcal{X}, \mathcal{L})$  is the moduli stack of representable twisted morphisms of stable height  $d$  to  $(\mathcal{X}, \mathcal{L})$  with and local twisting conditions

$$\Gamma = (\{r_1, a_1\}, \dots, \{r_s, a_s\}),$$

recording the stabilizer orders  $r_i$  and the corresponding characters  $a_i$  at the stacky marked points of the source root stack. The indices  $(\Gamma, d)$  range over those satisfying the height decomposition formula

$$n = d + \sum_{i=1}^s \frac{a_i}{r_i}.$$

Here  $S_\Gamma \subset S_s$  is the subgroup permuting stacky marked points of the same local type.

**Definition 2.2.** For the Euler-product argument it is useful to distinguish *local factor types* from *elementary local patterns*. Let  $\mathcal{IP}(4,6)$  be the cyclotomic inertia stack.

(1) **local factor types.** Let  $J$  denote the finite set of local factor types occurring in the Tate-algorithm stratification via twisted maps (see [BPS22, §7]); concretely one may take

$$J = \{\text{II, III, IV, II}^*, \text{III}^*, \text{IV}^*, I_0^*(j \neq 0, 1728), I_0^*(j \in \{0, 1728\}), I_\bullet, I_\bullet^*\},$$

where  $I_\bullet$  and  $I_\bullet^*$  are the two cusp *shapes* over  $j = \infty$ .

(2) **Elementary local patterns.** Let  $\mathcal{A}$  denote the set of elementary local patterns used to index evaluation conditions, i.e. the inertia components in which the evaluation maps land. Away from the cusp  $j = \infty$ , the inertia label determines the Kodaira symbol, so the non-cusp patterns form a finite set

$$\mathcal{A}_{\text{nc}} = \{\text{II, III, IV, II}^*, \text{III}^*, \text{IV}^*, I_0^*(j \neq 0, 1728), I_0^*(j \in \{0, 1728\})\}.$$

At the cusp  $j = \infty$ , the inertia label records only the cusp shape ( $I_\bullet$  or  $I_\bullet^*$ ); the additional integer  $k \geq 1$  (contact order with the boundary, equivalently the pole order of  $j$ ) is part of the admissible local data on a twisted-maps chart and is treated as a multiplicity parameter within the cusp shape. Accordingly we set

$$\mathcal{A} := \mathcal{A}_{\text{nc}} \sqcup \{I_\bullet, I_\bullet^*\}.$$

For  $\alpha \in \mathcal{A}_{\text{nc}}$ , let  $m(\alpha) \in \mathbb{Z}_{\geq 1}$  be the number of irreducible components of the corresponding Kodaira fiber, so that  $m(\alpha) - 1$  is its contribution to the trivial lattice. For the cusp shapes  $I_\bullet$  and  $I_\bullet^*$ , the component number depends on the contact order  $k \geq 1$  (of the corresponding  $I_k$  or  $I_k^*$  fiber); this  $k$ -dependence will be incorporated later by geometric resummation (Lemma 2.8). In summary,  $J$  indexes the *local factor types* (basic chart types) that become Euler factors under the power structure on  $K_0(\text{Stck}_k)$ , whereas  $\mathcal{A}$  indexes the evaluation labels, i.e. exactly what inertia can see; in particular, over  $j = \infty$  inertia distinguishes only the two cusp shapes and not the contact order  $k$ .

**Remark 2.3.** When an evaluation condition lands over the cusp  $j = \infty$ , the corresponding component of the cyclotomic inertia stack  $\mathcal{IP}(4,6)$  records only the *cusp shape* (multiplicative  $I_\bullet$  or additive  $I_\bullet^*$ ); it does *not* record the *multiplicity*  $k \geq 1$ . Equivalently, inertia detects that  $j$  has a pole, but not its pole order. The missing discrete datum is the *contact order with the boundary*. Geometrically, it is visible on the log canonical model obtained by contracting, in each reducible fiber, the components not meeting the zero section.

(1) **The multiplicative family  $I_k$ .** If the fiber at  $t \in \mathbb{P}^1$  is of type  $I_k$  ( $k \geq 1$ ), then the contraction produces an  $A_{k-1}$  surface singularity. Étale locally one has

$$xy = u^k,$$

where  $u$  is a local parameter at  $t$ . Since an étale neighbourhood of the universal nodal fiber over the cusp  $[\infty] \in \overline{\mathcal{M}}_{1,1} \simeq \mathcal{P}(4,6)$  is given by  $xy = s$  (with  $s$  a parameter at the cusp), the classifying map  $\varphi_g : \mathbb{P}^1 \rightarrow \overline{\mathcal{M}}_{1,1}$  satisfies  $s = u^k$ . Thus  $\varphi_g$  meets the boundary with contact order  $k$ , and  $\nu(\Delta) = k$  for type  $I_k$ .

**(2) The additive family  $I_k^*$ .** If the fiber at  $t$  is of type  $I_k^*$  ( $k \geq 1$ ), then the contraction produces a  $D_{k+4}$  surface singularity. The classifying map still lands at  $j = \infty$  with boundary contact order  $k$  (so locally  $s = u^k$ ), while the discriminant valuation is shifted by the starred contribution:  $\nu(\Delta) = k + 6$  for type  $I_k^*$ .

For  $Z_{\text{Triv}}$  one has

$$m(I_k) - 1 = k - 1, \quad m(I_k^*) - 1 = k + 4,$$

so the trivial lattice exponent depends linearly on  $k$  in each cusp family.

**Definition 2.4.** Fix an auxiliary variable  $s$  with  $s^{12} = t$ . Introduce variables  $\{x_\alpha\}_{\alpha \in \mathcal{A}}$  and define

$$(6) \quad \mathcal{H}(s; \mathbf{x}) := \sum_{n \geq 0} \sum_{\mathfrak{f}} \left( \prod_{v \in \mathfrak{f}} x_{\alpha_v} \right) \{ \mathcal{W}_n^{\min,(\mathfrak{f})} \} s^{12n} \in K_0(\text{Stck}_k)[\mathbf{x}][[s]],$$

where for fixed  $n$  the inner sum ranges over the finitely many geometric fiber configurations  $\mathfrak{f}$  occurring in height  $n$  (Proposition 2.1).

For each singular fiber  $F_v$  in  $\mathfrak{f}$ , let  $\alpha_v \in \mathcal{A}$  denote the corresponding inertia/evaluation label (Definition 2.2). Away from the cusp  $j = \infty$  this label is the Kodaira symbol, while over  $j = \infty$  it records only the cusp shape  $I_\bullet$  or  $I_\bullet^*$ . The additional contact order  $k \geq 1$  at the cusp (Remark 2.3) is part of the twisted-maps chart data and is *not* recorded by the variables  $x_\alpha$ .

**Remark 2.5.** The local conditions defining the strata are imposed via evaluation maps  $\text{ev}_i$  to  $\mathcal{IP}(4,6)$ , hence are naturally indexed by connected components of the inertia stack. In particular, the same Kodaira symbol may correspond to distinct inertia components. For example,  $I_0^*$  splits into distinct inertia components according to whether  $j \in \{0, 1728\}$  or  $j \notin \{0, 1728\}$ . Accordingly we index local conditions by inertia labels, not by Kodaira symbols alone.

**Remark 2.6.** We work in the localized ring  $K_0(\text{Stck}_k)[\mathbb{L}^{-1}]$ . Localization is used to place the argument in a ring where quotient stack identities for linear algebraic groups (e.g.  $\text{GL}_n$ ,  $\text{PGL}_2$ ) and the power-structure identities for symmetric powers hold uniformly as equalities of rational functions, thereby justifying the reorganization of unordered local factors into Euler factors.

**Lemma 2.7.** Let  $\mathcal{A}$  be the finite set of elementary local patterns from Definition 2.2, and let  $\mathcal{H}(s; \mathbf{x})$  be the multivariate height series defined in (6). After inverting  $\mathbb{L}$ , the series  $\mathcal{H}(s; \mathbf{x})$  is a rational function of  $s$  with coefficients in  $K_0(\text{Stck}_k)[\mathbb{L}^{-1}][\mathbf{x}]$ .

More precisely, there exist:

- a finite index set  $J$  of local factor types,
- motivic classes  $A_j \in K_0(\text{Stck}_k)[\mathbb{L}^{-1}]$ ,
- integers  $c_j \geq 1$ , recording the discriminant degree increment contributed by one local factor of type  $j$ ,

- and exponents  $\beta_{j,\alpha} \in \mathbb{Z}_{\geq 0}$  for  $\alpha \in \mathcal{A}$ , recording how many markings of inertia type  $\alpha$  occur in a local factor of type  $j$ ,

such that

$$(7) \quad \mathcal{H}(s; \mathbf{x}) = \prod_{j \in J} \left( 1 - A_j \left( \prod_{\alpha \in \mathcal{A}} x_\alpha^{\beta_{j,\alpha}} \right) s^{c_j} \right)^{-\{\mathbb{P}^1\}} \in K_0(\text{Stck}_k)[\mathbb{L}^{-1}][\mathbf{x}](s).$$

Equivalently, writing

$$Y_j(s; \mathbf{x}) := A_j \left( \prod_{\alpha \in \mathcal{A}} x_\alpha^{\beta_{j,\alpha}} \right) s^{c_j},$$

one has the explicit specialization

$$(8) \quad (1 - Y_j)^{-\{\mathbb{P}^1\}} = \sum_{N \geq 0} \{\text{Sym}^N(\mathbb{P}^1)\} Y_j^N = \frac{1}{(1 - Y_j)(1 - \mathbb{L} Y_j)}.$$

Moreover, for  $\alpha \in \{I_\bullet, I_\bullet^*\}$  the exponent  $\beta_{j,\alpha}$  counts only the number of cusp markings of the given cusp shape in factor type  $j$ ; it does not record the contact order  $k \geq 1$ .

*Proof.* By [BPS22, Thm. 7.16], for each  $n$  the stack  $\mathcal{W}_n^{\min}$  admits a finite locally closed stratification by charts of the form

$$\mathcal{H}_{d, \mathbb{P}_k^1}^\Gamma(\mathcal{P}(4, 6), \mathcal{O}(1))/S_\Gamma,$$

where  $\mathcal{H}_{d, \mathbb{P}_k^1}^\Gamma(\mathcal{P}(4, 6), \mathcal{O}(1))$  parametrizes representable twisted morphisms with an ordered list of stacky markings and admissible local data. We write the admissible local condition as

$$\Gamma = (\Gamma_{nc}, (\mathbf{k}^I, \mathbf{k}^{I^*})), \quad \mathbf{k}^I = (k_1^I, \dots, k_{m_I}^I), \quad \mathbf{k}^{I^*} = (k_1^{I^*}, \dots, k_{m_{I^*}}^{I^*}),$$

where  $\Gamma_{nc}$  records the ordered list of non-cusp inertia labels, and  $\mathbf{k}^I, \mathbf{k}^{I^*}$  record the contact orders at cusp markings of shape  $I_\bullet$  and  $I_\bullet^*$ .

For each marking there is an evaluation morphism

$$\text{ev}_i : \mathcal{H}_{d, \mathbb{P}_k^1}^\Gamma(\mathcal{P}(4, 6), \mathcal{O}(1)) \rightarrow \mathcal{IP}(4, 6), \quad (\varphi, \Sigma_1, \dots, \Sigma_s) \mapsto \varphi(\Sigma_i),$$

and prescribing an inertia label  $\alpha \in \mathcal{A}$  is equivalent to requiring  $\text{ev}_i$  to land in the corresponding connected component of  $\mathcal{IP}(4, 6)$ . Over the cusp  $j = \infty$ , the inertia label records only the cusp shape  $\alpha \in \{I_\bullet, I_\bullet^*\}$ ; the contact orders  $k_j$  are extra admissible boundary contact data on the chart (Remark 2.3). The variables  $\mathbf{x} = \{x_\alpha\}_{\alpha \in \mathcal{A}}$  therefore record only inertia labels, i.e. only what can be read off from the evaluations  $\text{ev}_i$ , and  $\mathcal{H}(s; \mathbf{x})$  is obtained from the stratification by forgetting the extra contact-order data.

Passing to the quotient by  $S_\Gamma$  forgets the ordering among markings of the same inertia type. Fix a local factor type  $j$ . Repeating this local factor  $N$  times is governed by unordered configurations of  $N$  support points on the coarse curve  $\mathbb{P}^1$ , hence by the symmetric power  $\text{Sym}^N(\mathbb{P}^1)$ . Set

$$Y_j(s; \mathbf{x}) := A_j \left( \prod_{\alpha \in \mathcal{A}} x_\alpha^{\beta_{j,\alpha}} \right) s^{c_j}.$$

Accordingly, the contribution of all unordered collections of local factors of type  $j$  sums to

$$\sum_{N \geq 0} \{\text{Sym}^N(\mathbb{P}^1)\} \cdot Y_j(s; \mathbf{x})^N.$$

By the power-structure/Kapranov zeta-function identity on  $K_0(\text{Stck}_k)[\mathbb{L}^{-1}]$  as in [GZLMH13, §1],

$$\sum_{N \geq 0} \{\text{Sym}^N(X)\} \cdot y^N = (1 - y)^{-\{X\}},$$

this equals  $(1 - Y_j)^{-\{\mathbb{P}^1\}}$ . Since  $\{\mathbb{P}^1\} = 1 + \mathbb{L}$ , we obtain the explicit rational form

$$(9) \quad (1 - Y_j)^{-\{\mathbb{P}^1\}} = \frac{1}{(1 - Y_j)(1 - \mathbb{L} \cdot Y_j)}.$$

Since only finitely many local factor types occur (Definition 2.2), multiplying over  $j \in J$  yields (7) and hence rationality of  $\mathcal{H}(s; \mathbf{x})$  in  $s$  after inverting  $\mathbb{L}$ .

Finally, for  $\alpha \in \{I_\bullet, I_\bullet^*\}$  the exponent  $\beta_{j,\alpha}$  counts only the number of cusp markings of the given cusp *shape* in factor type  $j$ ; the individual contact orders  $k_j$  are handled separately by geometric resummation. ■

**Lemma 2.8.** *Let  $R$  be a commutative ring.*

**(1) Geometric resummation** Fix  $A \in R$ . For integers  $a, c \geq 1$  and  $b, d \geq 0$ , one has in  $R[[u, t]]$

$$(10) \quad \sum_{k \geq 1} A u^{ak+b} t^{ck+d} = A u^{a+b} t^{c+d} \cdot \frac{1}{1 - u^a t^c}.$$

Moreover, if  $k_1, \dots, k_M \geq 1$  are independent and contribute multiplicatively with the same step  $(a, c)$ , then

$$(11) \quad \sum_{k_1, \dots, k_M \geq 1} A \prod_{i=1}^M u^{ak_i+b} t^{ck_i+d} = A \left( u^{a+b} t^{c+d} \right)^M \cdot \frac{1}{(1 - u^a t^c)^M}.$$

Equivalently, each marking contributes one factor  $(1 - u^a t^c)^{-1}$ , so  $M$  such markings contribute the power  $(1 - u^a t^c)^{-M}$ , up to the monomial shift  $\left( u^{a+b} t^{c+d} \right)^M$ .

**(2) Cusp shapes for  $Z_{\text{Triv}}$**  Assume  $\text{char}(k) \neq 2, 3$  and work in  $R = K_0(\text{Stck}_k)[\mathbb{L}^{-1}]$ . Introduce an auxiliary variable  $s$  with  $t = s^{12}$ , so that  $t^n$  corresponds to  $\deg(\Delta) = 12n$ , while  $s$  records the integral discriminant degree  $\deg(\Delta)$ .

After specializing  $x_\beta = u^{m(\beta)-1}$  for  $\beta \in \mathcal{A}_{\text{nc}}$ , a cusp marking of shape  $I_\bullet$  (resp.  $I_\bullet^*$ ) with contact order  $k \geq 1$  contributes weight  $u^{k-1}s^k$  (resp.  $u^{k+4}s^{k+6}$ ), since

$$m(I_k) - 1 = k - 1, \quad v(\Delta) = k, \quad m(I_k^*) - 1 = k + 4, \quad v(\Delta) = k + 6$$

(cf. Remark 2.3). Hence summing over  $k \geq 1$  at a single cusp marking gives, in  $R[[u, s]]$ ,

$$(12) \quad x_{I_\bullet} = \sum_{k \geq 1} u^{k-1}s^k = \frac{s}{1 - us}, \quad x_{I_\bullet^*} = \sum_{k \geq 1} u^{k+4}s^{k+6} = \frac{u^5 s^7}{1 - us}.$$

In particular, each cusp marking of either shape contributes one factor  $(1-us)^{-1}$  after resummation. Thus a factor type  $j$  with  $\beta_{j,\mathbf{I}_\bullet}$  markings of shape  $\mathbf{I}_\bullet$  and  $\beta_{j,\mathbf{I}_\bullet^*}$  markings of shape  $\mathbf{I}_\bullet^*$  contributes the cusp factor

$$(1-us)^{-(\beta_{j,\mathbf{I}_\bullet} + \beta_{j,\mathbf{I}_\bullet^*})},$$

together with the monomial shift

$$u^{5\beta_{j,\mathbf{I}_\bullet^*}} s^{\beta_{j,\mathbf{I}_\bullet} + 7\beta_{j,\mathbf{I}_\bullet^*}}$$

coming from (12).

*Proof.* For (10), factor out the  $k = 1$  term and apply the geometric-series identity:

$$\sum_{k \geq 1} A u^{ak+b} t^{ck+d} = A u^{a+b} t^{c+d} \sum_{k \geq 0} (u^a t^c)^k = A u^{a+b} t^{c+d} \cdot \frac{1}{1-u^a t^c}.$$

Equation (11) follows because the sum over  $(k_1, \dots, k_M)$  factorizes as a product of  $M$  copies of (10). Part (2) is (10) with  $(a, c) = (1, 1)$  applied in  $R[[u, s]]$  to the two monomial weights  $u^{k-1} s^k$  and  $u^{k+4} s^{k+6}$ , yielding (12) and the stated denominator power.  $\blacksquare$

Note that although the resummations sum over all  $k \geq 1$ , for any fixed height  $n$  only finitely many contact orders can occur: since  $\sum_v v(\Delta)_v = 12n$  and  $v(\Delta) = k$  for  $\mathbf{I}_k$  and  $v(\Delta) = k + 6$  for  $\mathbf{I}_k^*$ , one has  $k \leq 12n$  (resp.  $k \leq 12n - 6$ ) on the height- $n$  stratum. Thus the “infinite” cusp sum is merely a generating function device, and each coefficient  $[t^n]$  (equiv.  $[s^{12n}]$ ) receives contributions from finitely many  $k$ .

We now prove the Main Theorem.

**Theorem 2.9** (Rationality and finite Euler product for  $Z_{\text{Triv}}$ ). *Let  $k$  be a perfect field of characteristic  $\neq 2, 3$ , and set  $s = t^{1/12}$  (so  $t = s^{12}$ ). Then*

$$Z_{\text{Triv}}(u; t) \in K_0(\text{Stck}_k)[\mathbb{L}^{-1}][u](s).$$

More precisely, let  $J$ ,  $A_j$ ,  $c_j$ , and  $\beta_{j,\alpha}$  be as in Lemma 2.7. Put

$$\Delta(s) := 1 - us, \quad b_j := \sum_{\beta \in \mathcal{A}_{nc}} \beta_{j,\beta} (m(\beta) - 1), \quad m_j := \beta_{j,\mathbf{I}_\bullet} + \beta_{j,\mathbf{I}_\bullet^*},$$

and define

$$B_j := b_j + 5\beta_{j,\mathbf{I}_\bullet^*}, \quad C_j := c_j + \beta_{j,\mathbf{I}_\bullet} + 7\beta_{j,\mathbf{I}_\bullet^*}, \quad \mathcal{Y}_j(u; s) := A_j u^{B_j} s^{C_j} \Delta(s)^{-m_j}.$$

Then one has the finite Euler product

$$(13) \quad Z_{\text{Triv}}(u; t) = u^2 \cdot \mathbb{L} \cdot \prod_{j \in J} \frac{1}{(1 - \mathcal{Y}_j(u; s))(1 - \mathbb{L} \cdot \mathcal{Y}_j(u; s))}, \quad (t = s^{12}).$$

Moreover, all dependence on  $k \geq 1$  in the cusp families  $\mathbf{I}_k$  and  $\mathbf{I}_k^*$  (over  $j = \infty$ ) is absorbed by the single geometric-series denominator  $\Delta(s)^{-1} = (1 - us)^{-1}$ .

*Proof.* Work in the localized ring  $K_0(\text{Stck}_k)[\mathbb{L}^{-1}]$ . By Lemma 2.7 we have

$$(14) \quad \mathcal{H}(s; \mathbf{x}) = \prod_{j \in J} \left( 1 - A_j \left( \prod_{\alpha \in \mathcal{A}} x_\alpha^{\beta_{j,\alpha}} \right) s^{c_j} \right)^{-\{\mathbb{P}^1\}} \in K_0(\text{Stck}_k)[\mathbb{L}^{-1}][\mathbf{x}](s).$$

**Trivial lattice baseline.** By Lemma 1.3, for an elliptic surface  $S$  with singular fiber configuration  $\mathfrak{f}$  one has

$$T(S) = 2 + \sum_{v \in \mathfrak{f}} (m_v - 1).$$

Under the specializations below, the monomial attached to  $\mathfrak{f}$  is  $u^{\sum_{v \in \mathfrak{f}} (m_v - 1)}$ , i.e. it records only the fiber contributions. Thus passing from  $\mathcal{H}$  to  $Z_{\text{Triv}}$  introduces the global factor  $u^2$ .

**Height-zero term.** By Definition 1.5 one has  $\mathcal{W}_0^{\min} = \mathcal{W}_0^{\min}(2)$ , so

$$[t^0] Z_{\text{Triv}}(u; t) = u^2 \{\mathcal{W}_0^{\min}\}.$$

For  $n = 0$  the discriminant degree is 0, hence the corresponding elliptic curve over  $K = k(t)$  has everywhere good reduction and is therefore constant. Equivalently,  $\mathcal{W}_0^{\min}$  identifies with the moduli stack of smooth elliptic curves,

$$\mathcal{W}_0^{\min} \cong \mathcal{M}_{1,1}.$$

Therefore  $\{\mathcal{W}_0^{\min}\} = \mathbb{L}$ , and the required constant term is  $u^2 \cdot \mathbb{L}$ .

**Non-cusp specialization.** For  $\beta \in \mathcal{A}_{\text{nc}}$  specialize  $x_\beta = u^{m(\beta)-1}$ . Then for each  $j \in J$  the product of the non-cusp variables contributes the monomial  $u^{b_j}$ , where

$$b_j := \sum_{\beta \in \mathcal{A}_{\text{nc}}} \beta_{j,\beta} (m(\beta) - 1).$$

**Cusp resummation.** Over the cusp  $j = \infty$ , the contact order  $k \geq 1$  varies. In the discriminant-degree grading (with  $t = s^{12}$ ), a cusp marking of shape  $I_\bullet$  (resp.  $I_\bullet^*$ ) with contact order  $k$  contributes weight  $u^{k-1}s^k$  (resp.  $u^{k+4}s^{k+6}$ ). Hence (Lemma 2.8) we have the substitutions

$$x_{I_\bullet} = \sum_{k \geq 1} u^{k-1}s^k = \frac{s}{1-us} = s \Delta(s)^{-1}, \quad x_{I_\bullet^*} = \sum_{k \geq 1} u^{k+4}s^{k+6} = \frac{u^5 s^7}{1-us} = u^5 s^7 \Delta(s)^{-1},$$

with  $\Delta(s) = 1 - us$ . Therefore, for each  $j \in J$  the cusp contribution becomes

$$x_{I_\bullet}^{\beta_{j,I_\bullet}} x_{I_\bullet^*}^{\beta_{j,I_\bullet^*}} = u^{5\beta_{j,I_\bullet^*}} s^{\beta_{j,I_\bullet} + 7\beta_{j,I_\bullet^*}} \Delta(s)^{-m_j}, \quad m_j := \beta_{j,I_\bullet} + \beta_{j,I_\bullet^*}.$$

Combining with the non-cusp specialization yields, inside the  $j$ th factor of (14),

$$A_j \left( \prod_{\alpha \in \mathcal{A}} x_\alpha^{\beta_{j,\alpha}} \right) s^{c_j} \longmapsto A_j u^{B_j} s^{C_j} \Delta(s)^{-m_j} = \mathcal{Y}_j(u; s),$$

where

$$B_j = b_j + 5\beta_{j,I_\bullet^*}, \quad C_j = c_j + \beta_{j,I_\bullet} + 7\beta_{j,I_\bullet^*}.$$

Thus

$$Z_{\text{Triv}}(u; t) = u^2 \cdot \{\mathcal{M}_{1,1}\} \cdot \prod_{j \in J} \left( 1 - \mathcal{Y}_j(u; s) \right)^{-\{\mathbb{P}^1\}}, \quad (t = s^{12}).$$

Finally, since  $\{\mathbb{P}^1\} = 1 + \mathbb{L}$  in  $K_0(\text{Stck}_k)$ , we may expand

$$\left( 1 - \mathcal{Y}_j(u; s) \right)^{-\{\mathbb{P}^1\}} = \frac{1}{\left( 1 - \mathcal{Y}_j(u; s) \right) \left( 1 - \mathbb{L} \cdot \mathcal{Y}_j(u; s) \right)},$$

which gives (13). The dependence on  $k \geq 1$  in the cusp families is absorbed by the single geometric-series denominator  $\Delta(s)^{-1} = (1 - us)^{-1}$  through the above resummations.  $\blacksquare$

**Remark 2.10.** Assume  $\text{char}(k) \neq 2, 3$ . For each Kodaira type  $\Theta$  and  $n \geq 1$ , let

$$\mathcal{W}_{n,\mathbb{P}^1}^\Theta$$

be the moduli stack of minimal elliptic fibrations over  $\mathbb{P}_k^1$  of discriminant degree  $12n$  having exactly one singular fiber of type  $\Theta$  over a varying degree-one place and semistable everywhere else.

The one-fiber motivic classes  $\{\mathcal{W}_{n,\mathbb{P}^1}^\Theta\}$  carry a universal dependence on the height  $n$  coming from the  $10n$ -dimensional space of Weierstrass coefficients (equivalently, from the spaces of sections of degrees  $4n$  and  $6n$  in the weighted presentation  $\overline{\mathcal{M}}_{1,1} \simeq \mathcal{P}(4, 6)$ ). In particular, after dividing by the  $\text{Aut}(\mathbb{P}^1) = \text{PGL}_2$ -factor,<sup>1</sup> the remaining motivic class grows as  $\mathbb{L}^{10n+O(1)}$ , uniformly in  $\Theta$ . Accordingly we normalize local factor coefficients by

$$A_\Theta^{(C)} := \frac{\{\mathcal{W}_{n,\mathbb{P}^1}^\Theta\}}{\{\text{PGL}_2\} \mathbb{L}^{10n+C}},$$

for some fixed integer  $C$  (e.g.  $C = -18$  as in Table 2.10). The choice of  $C$  is immaterial for the Euler product: changing  $C$  rescales every local factor coefficient by the same global power of  $\mathbb{L}$  and does not change its type (i.e. does not change the exponents  $B_j, C_j, m_j$  nor the finite set of factor types).

Then [BPS22, Thm. 1.6] and [HP19, Cor. 2] determine the following normalized one-fiber motivic classes.

*Convention.* For each reduction type  $\Theta$  in Table 2.10, let  $y_\Theta(u; s)$  denote the local monomial appearing in the displayed denominator in the last column (e.g.  $y_{I_k} = \mathbb{L}^{16}s \Delta(s)^{-1}$ ,  $y_{III} = \mathbb{L}^{14}us^3$ , etc.). The full  $\mathbb{P}^1$ -contribution of  $\Theta$  in the Euler product is the power-structure/Kapranov factor

$$(1 - y_\Theta(u; s))^{-\{\mathbb{P}^1\}} = \sum_{N \geq 0} \{\text{Sym}^N(\mathbb{P}^1)\} y_\Theta(u; s)^N = \frac{1}{(1 - y_\Theta(u; s))(1 - \mathbb{L}y_\Theta(u; s))}.$$

In Table 2.10 we record only the *reduced* factor  $(1 - y_\Theta(u; s))^{-1}$ ; the second factor  $(1 - \mathbb{L}y_\Theta(u; s))^{-1}$  is inserted uniformly in the global Euler product (cf. Theorem 2.9).

These one-fiber motivic classes should be viewed as *local building blocks* for the factor-type Euler product in Theorem 2.9. For each non-cusp type II, III, IV, IV\*, III\*, II\* and the two distinct cases  $I_0^*(j \neq 0, 1728)$  and  $I_0^*(j \in \{0, 1728\})$ , the corresponding local factor types contribute  $k$ -independent reduced local factors in the  $s$ -grading (so  $t = s^{12}$ ), namely  $(1 - y_\Theta(u; s))^{-1}$  after the specialization  $x_\alpha = u^{m(\alpha)-1}$  for  $\alpha \in \mathcal{A}_{\text{nc}}$ . In this way, the  $s$ -exponent in  $y_\Theta(u; s)$  records the discriminant  $s$ -degree

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<sup>1</sup>The unparameterized  $\mathbb{P}_k^1$  corresponds to taking the  $\text{Aut}(\mathbb{P}^1) = \text{PGL}_2$  stack quotient; motivically this factors out  $\{\text{PGL}_2\} = \mathbb{L}(\mathbb{L}^2 - 1)$ , thereby treating the base as a smooth conic. See [PS25] for a comprehensive treatment.

Reduction type $\Theta$	$(r, a)$	$m_v - 1$	$A_\Theta := \frac{\{\mathcal{W}_{n, \mathbb{P}^1}^\Theta\}}{\{\mathrm{PGL}_2\} \mathbb{L}^{10n-18}}$	$\mathbb{P}^1$ -Euler factor in $Z_{\mathrm{Triv}}(u; t)$
$I_{k \geq 1}$ ( $j = \infty$ )	$(0, 0)$	$k - 1$	$\mathbb{L}^{16}$	$\frac{1}{1 - \mathbb{L}^{16} s \Delta(s)^{-1}}$
II ( $j = 0$ )	$(6, 1)$	0	$\mathbb{L}^{15}$	$\frac{1}{1 - \mathbb{L}^{15} s^2}$
III ( $j = 1728$ )	$(4, 1)$	1	$\mathbb{L}^{14}$	$\frac{1}{1 - \mathbb{L}^{14} u s^3}$
IV ( $j = 0$ )	$(3, 1)$	2	$\mathbb{L}^{13}$	$\frac{1}{1 - \mathbb{L}^{13} u^2 s^4}$
$I_{k \geq 1}^*$ ( $j = \infty$ )	$(2, 1)$	$k + 4$	$\mathbb{L}^{12} - \mathbb{L}^{11}$	$\frac{1}{1 - (\mathbb{L}^{12} - \mathbb{L}^{11}) u^5 s^7 \Delta(s)^{-1}}$
$I_0^*$ ( $j \neq 0, 1728$ )	$(2, 1)$	4	$\mathbb{L}^{12} - \mathbb{L}^{11}$	$\frac{1}{1 - (\mathbb{L}^{12} - \mathbb{L}^{11}) u^4 s^6}$
$I_0^*$ ( $j = 0, 1728$ )	$(2, 1)$	4	$\mathbb{L}^{11}$	$\frac{1}{1 - \mathbb{L}^{11} u^4 s^6}$
IV* ( $j = 0$ )	$(3, 2)$	6	$\mathbb{L}^{10}$	$\frac{1}{1 - \mathbb{L}^{10} u^6 s^8}$
III* ( $j = 1728$ )	$(4, 3)$	7	$\mathbb{L}^9$	$\frac{1}{1 - \mathbb{L}^9 u^7 s^9}$
II* ( $j = 0$ )	$(6, 5)$	8	$\mathbb{L}^8$	$\frac{1}{1 - \mathbb{L}^8 u^8 s^{10}}$

increment of the local factor, the  $u$ -exponent records the corresponding trivial-lattice increment from its non-cusp markings, and  $A_\Theta$  records the normalized motivic class of the one-fiber locus.

For the cusp families  $I_k$  and  $I_k^*$ , the table gives the one-fiber motivic contribution for each contact order  $k \geq 1$ . In the factor-type Euler product for  $\mathcal{H}(s; \mathbf{x})$ , the exponents  $\beta_{j, I_k}$  and  $\beta_{j, I_k^*}$  record only the number of cusp markings of each cusp *shape* in factor type  $j$ ; the individual contact orders are not part of the inertia label. The infinite  $k$ -variation is collapsed by the geometric resummations

$$x_{I_k} = \sum_{k \geq 1} u^{k-1} s^k = \frac{s}{1 - us}, \quad x_{I_k^*} = \sum_{k \geq 1} u^{k+4} s^{k+6} = \frac{u^5 s^7}{1 - us},$$

so that each cusp marking contributes one factor  $\Delta(s)^{-1} = (1-us)^{-1}$ . Consequently, factor type  $j$  contributes the cusp factor

$$\Delta(s)^{-(\beta_{j,\mathbf{l}\bullet} + \beta_{j,\mathbf{l}\bullet^*})},$$

together with the monomial prefactor

$$u^{5\beta_{j,\mathbf{l}\bullet^*}} s^{\beta_{j,\mathbf{l}\bullet} + 7\beta_{j,\mathbf{l}\bullet^*}}$$

coming from the cusp substitutions.

### 3. APPLICATIONS TO MODULAR CURVES WITH PRESCRIBED LEVEL STRUCTURE

We apply the Main Theorem to the genus-0 modular curves  $\overline{\mathcal{M}}_1(N)$  parametrizing generalized elliptic curves with level- $N$  structure  $\Gamma_1(N)$ , introduced by [DR73] (see also [Con07, §2]). The fine modular curve  $\overline{\mathcal{M}}_1(N)$  parametrizes families  $(E, S, P) \rightarrow B$  where  $(E, S) \rightarrow B$  is a semistable elliptic curve with section  $S$  and  $P \in E^{\text{sm}}[N](B)$  is an  $N$ -torsion section such that the divisor  $P + S$  is relatively ample [KM85, §1.4]. We focus on  $N = 2, 3, 4$ , where the modular curves are genuinely stacky. Throughout, let  $k$  be a perfect field with  $\text{char}(k) \neq 2, 3$ .

**3.1. Level-2 structure.** We consider the height moduli

$$\mathcal{W}_n^{\Gamma_1(2)}(\mathcal{P}(2, 4), \mathcal{O}(1)) = \mathcal{M}_{n, \mathbb{P}_k^1}(\overline{\mathcal{M}}_1(2), \mathcal{L}),$$

where  $\overline{\mathcal{M}}_1(2) \cong \mathcal{P}(2, 4)$  over  $\mathbb{Z}[\frac{1}{2}]$  is the moduli stack of generalized elliptic curves with  $\Gamma_1(2)$ -structure (cf. [Beh06, §1.3]). Equivalently,  $\overline{\mathcal{M}}_1(2)$  admits the universal Weierstrass presentation

$$y^2 = x^3 + a_2x^2 + a_4x \quad \text{with} \quad (a_2, a_4) \in H^0(\mathbb{P}^1, \mathcal{O}(2n)) \times H^0(\mathbb{P}^1, \mathcal{O}(4n)).$$

**3.2. Level-3 structure.** We consider the height moduli

$$\mathcal{W}_n^{\Gamma_1(3)}(\mathcal{P}(1, 3), \mathcal{O}(1)) = \mathcal{M}_{n, \mathbb{P}_k^1}(\overline{\mathcal{M}}_1(3), \mathcal{L}),$$

where  $\overline{\mathcal{M}}_1(3) \cong \mathcal{P}(1, 3)$  over  $\mathbb{Z}[\frac{1}{3}]$  is the moduli stack of generalized elliptic curves with  $\Gamma_1(3)$ -structure (cf. [HM17, Prop. 4.5]). Equivalently,  $\overline{\mathcal{M}}_1(3)$  admits the universal Weierstrass presentation

$$y^2 + a_1xy + a_3y = x^3 \quad \text{with} \quad (a_1, a_3) \in H^0(\mathbb{P}^1, \mathcal{O}(n)) \times H^0(\mathbb{P}^1, \mathcal{O}(3n)).$$

**3.3. Level-4 structure.** We consider the height moduli

$$\mathcal{W}_n^{\Gamma_1(4)}(\mathcal{P}(1, 2), \mathcal{O}(1)) = \mathcal{M}_{n, \mathbb{P}_k^1}(\overline{\mathcal{M}}_1(4), \mathcal{L}),$$

where  $\overline{\mathcal{M}}_1(4) \cong \mathcal{P}(1, 2)$  over  $\mathbb{Z}[\frac{1}{2}]$  is the moduli stack of generalized elliptic curves with  $\Gamma_1(4)$ -structure (cf. [Mei22, Ex. 2.1]). Equivalently,  $\overline{\mathcal{M}}_1(4)$  admits the universal Weierstrass presentation

$$y^2 + a_1xy + a_1a_2y = x^3 + a_2x^2 \quad \text{with} \quad (a_1, a_2) \in H^0(\mathbb{P}^1, \mathcal{O}(n)) \times H^0(\mathbb{P}^1, \mathcal{O}(2n)).$$

Reduction type $\Theta$	$(r, a)$	$m_v - 1$	$A_\Theta := \frac{\{\mathcal{W}_{n, \mathbb{P}^1}^{\Gamma_1(2), \Theta}\}}{\{\mathrm{PGL}_2\} \mathbb{L}^{6n-10}}$	$\mathbb{P}^1$ -Euler factor in $Z_{\mathrm{Triv}}^{\Gamma_1(2)}(u; t)$
$\mathrm{I}_{k \geq 1}$ ( $j = \infty$ )	$(0, 0)$	$k - 1$	$\mathbb{L}^8$	$\frac{1}{1 - \mathbb{L}^8 s \Delta(s)^{-1}}$
$\mathrm{III}$ ( $j = 1728$ )	$(4, 1)$	$1$	$\mathbb{L}^7$	$\frac{1}{1 - \mathbb{L}^7 u s^3}$
$\mathrm{I}_{k \geq 1}^*$ ( $j = \infty$ )	$(2, 1)$	$k + 4$	$\mathbb{L}^6 - \mathbb{L}^5$	$\frac{1}{1 - (\mathbb{L}^6 - \mathbb{L}^5) u^5 s^7 \Delta(s)^{-1}}$
$\mathrm{I}_0^*$ ( $j \neq 0, 1728$ )	$(2, 1)$	$4$	$\mathbb{L}^6 - \mathbb{L}^5$	$\frac{1}{1 - (\mathbb{L}^6 - \mathbb{L}^5) u^4 s^6}$
$\mathrm{I}_0^*$ ( $j = 0, 1728$ )	$(2, 1)$	$4$	$\mathbb{L}^5$	$\frac{1}{1 - \mathbb{L}^5 u^4 s^6}$
$\mathrm{III}^*$ ( $j = 1728$ )	$(4, 3)$	$7$	$\mathbb{L}^4$	$\frac{1}{1 - \mathbb{L}^4 u^7 s^9}$

Reduction type $\Theta$	$(r, a)$	$m_v - 1$	$A_\Theta := \frac{\{\mathcal{W}_{n, \mathbb{P}^1}^{\Gamma_1(3), \Theta}\}}{\{\mathrm{PGL}_2\} \mathbb{L}^{4n-6}}$	$\mathbb{P}^1$ -Euler factor in $Z_{\mathrm{Triv}}^{\Gamma_1(3)}(u; t)$
$\mathrm{I}_{k \geq 1}$ ( $j = \infty$ )	$(0, 0)$	$k - 1$	$\mathbb{L}^4$	$\frac{1}{1 - \mathbb{L}^4 s \Delta(s)^{-1}}$
$\mathrm{IV}$ ( $j = 0$ )	$(3, 1)$	$2$	$\mathbb{L}^3$	$\frac{1}{1 - \mathbb{L}^3 u^2 s^4}$
$\mathrm{IV}^*$ ( $j = 0$ )	$(3, 2)$	$6$	$\mathbb{L}^2$	$\frac{1}{1 - \mathbb{L}^2 u^6 s^8}$

Reduction type $\Theta$	$(r, a)$	$m_v - 1$	$A_\Theta := \frac{\{\mathcal{W}_{n, \mathbb{P}^1}^{\Gamma_1(4), \Theta}\}}{\{\mathrm{PGL}_2\} \mathbb{L}^{3n-4}}$	$\mathbb{P}^1$ -Euler factor in $Z_{\mathrm{Triv}}^{\Gamma_1(4)}(u; t)$
$\mathrm{I}_{k \geq 1}$ ( $j = \infty$ )	$(0, 0)$	$k - 1$	$\mathbb{L}^2$	$\frac{1}{1 - \mathbb{L}^2 s \Delta(s)^{-1}}$
$\mathrm{I}_0^*$ ( $j = 0$ )	$(2, 1)$	$4$	$\mathbb{L}$	$\frac{1}{1 - \mathbb{L} u^4 s^6}$

#### 4. IRRATIONALITY OF THE NÉRON–SEVERI AND MORDELL–WEIL SPECIALIZATIONS

The rationality of  $Z_{\text{Triv}}(u; t)$  reflects the fact that the trivial lattice rank  $T(S)$  is governed by *local* reduction data. Indeed, by Lemma 1.3 it depends only on the multiset of fiber component numbers  $m_v$ , hence is constant on each Kodaira stratum  $\mathcal{W}_n^{\min,(\mathfrak{f})}$ , in the finite constructible stratification of Proposition 2.1. This locality is exactly what makes the evaluation-map factorization and the power structure on  $K_0(\text{Stck}_k)$  applicable: unordered collections of local factors assemble into a *finite* Euler product.

In contrast, the Mordell–Weil rank  $\text{rk}(E/K)$  is not determined by the fiber configuration. Even on a fixed Kodaira stratum  $\mathcal{W}_n^{\min,(\mathfrak{f})}$ , the rank typically varies, reflecting genuinely *global* constraints rather than local reduction data. Since  $T(S)$  is constant on  $\mathcal{W}_n^{\min,(\mathfrak{f})}$ , the Shioda–Tate formula (1) shows that variation of  $\text{rk}(E/K)$  is equivalent to variation of the Néron–Severi rank  $\rho(S)$ . Thus any refinement of the height series by  $\text{rk}(E/K)$ , or equivalently by  $\rho(S)$ , necessarily detects global jump phenomena invisible to the local factor stratification used for  $Z_{\text{Triv}}$ .

One way to organize this global complexity is via Néron–Severi jump loci. Fix a fiber configuration  $\mathfrak{f}$  and write  $\text{Triv}^{(\mathfrak{f})} \subset \text{NS}(S_{\bar{k}})$  for the sublattice generated by the zero section, a fiber class, and the components of reducible fibers in the configuration  $\mathfrak{f}$ . Inside  $\mathcal{W}_n^{\min,(\mathfrak{f})}$ , imposing that  $\text{NS}(S_{\bar{k}})$  contain additional algebraic classes *independent of*  $\text{Triv}^{(\mathfrak{f})}$  (equivalently, that  $\rho(S)$ , hence  $\text{rk}(E/K)$ , jump) is an algebraic condition. Over  $\mathbb{C}$ , these conditions are naturally modeled by Noether–Lefschetz (Hodge) loci for the variation of Hodge structure coming from the family of elliptic surfaces over  $\mathcal{W}_n^{\min,(\mathfrak{f})}$ , and the theorem of Cattani–Deligne–Kaplan [CDK95] shows that such loci are, in general, only a *countable union of closed algebraic subsets*. In particular, unlike the Kodaira stratification at fixed height  $n$  (which is finite by Proposition 2.1), refinements by Néron–Severi *lattice type* are not expected to admit a finite constructible stratification.

This suggests that the local-to-global factorization mechanism producing a finite Euler product for  $Z_{\text{Triv}}$  should structurally fail for the Mordell–Weil and Néron–Severi specializations, and motivates the following conjecture.

**Conjecture 4.1.** Let  $k = \mathbb{C}$  and  $K = \mathbb{C}(z)$ . The specializations

$$Z_{\text{MW}}(v; t) := \mathcal{Z}(1, v; t), \quad Z_{\text{NS}}(w; t) := \mathcal{Z}(w, w; t)$$

are not rational in  $t$  with coefficients in  $K_0(\text{Stck}_{\mathbb{C}})[\mathbb{L}^{-1}][v]$  (resp.  $[w]$ ); i.e.

$$Z_{\text{MW}}(v; t) \notin K_0(\text{Stck}_{\mathbb{C}})[\mathbb{L}^{-1}][v](t), \quad Z_{\text{NS}}(w; t) \notin K_0(\text{Stck}_{\mathbb{C}})[\mathbb{L}^{-1}][w](t).$$

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