

# RATIONALITY OF THE TRIVIAL LATTICE RANK WEIGHTED MOTIVIC HEIGHT ZETA FUNCTION FOR ELLIPTIC SURFACES

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ABSTRACT. Let  $k$  be a perfect field with  $\text{char}(k) \neq 2, 3$ , set  $K = k(t)$ , and let  $\mathcal{W}_n^{\min}$  be the moduli stack of minimal elliptic curves over  $K$  of Faltings height  $n$  from the height-moduli framework of [BPS22] applied to  $\overline{\mathcal{M}}_{1,1} \simeq \mathcal{P}(4, 6)$ . For  $[E] \in \mathcal{W}_n^{\min}$ , let  $S \rightarrow \mathbb{P}_k^1$  be the associated elliptic surface with section. Inspired by the Shioda–Tate formula, we introduce the trivariate motivic height zeta function

$$\mathcal{Z}(u, v; t) := \sum_{n \geq 1} \left( \sum_{[E] \in \mathcal{W}_n^{\min}} u^{T(S)} v^{\text{rk}(E/K)} \right) t^n \in K_0(\text{Stck}_k)[u, v][[t]],$$

which refines the height series by the trivial lattice rank  $T(S)$  and the Mordell–Weil rank  $\text{rk}(E/K)$ . Using the finite constructible stratification of  $\mathcal{W}_n^{\min} = \bigsqcup_{T \geq 0} \mathcal{W}_n^{\min}(T)$  into loci where  $T(S)$  is constant, we prove rationality for the trivial lattice specialization  $Z_{\text{Triv}}(u; t) = \mathcal{Z}(u, 1; t)$  by giving an explicit finite Euler product for its normalization  $\tilde{Z}_{\text{Triv}}(u; t)$ . We conjecture irrationality for the Néron–Severi  $Z_{\text{NS}}(w; t) = \mathcal{Z}(w, w; t)$  and the Mordell–Weil  $Z_{\text{MW}}(v; t) = \mathcal{Z}(1, v; t)$  specializations.

## 1. INTRODUCTION

Let  $k$  be a perfect field with  $\text{char}(k) \neq 2, 3$ , and set  $K := k(t)$ . An elliptic curve  $E/K$  determines a relatively minimal elliptic surface with section

$$f : S \longrightarrow \mathbb{P}_k^1$$

unique up to isomorphism (see [Mir89, SS10] for background on elliptic surfaces).

The arithmetic of  $E/K$  is reflected in the geometry of  $S$ , and a basic organizing principle is the Shioda–Tate formula [Shi90]

$$(1) \quad \rho(S) = T(S) + \text{rk}(E/K),$$

where  $\rho(S) = \text{rk NS}(S_{\bar{k}})$  is the *geometric Picard rank*,  $T(S)$  is the *rank of the geometric trivial lattice* generated by the zero section, a fiber class, and the components of reducible fibres not meeting the zero section, and  $\text{rk}(E/K)$  is the *Mordell–Weil rank*. For the elliptic surfaces arising from the height moduli considered in this paper (so that  $p_g(S) = n - 1$ ), one has the standard bounds (see [SS19])

$$(2) \quad 2 \leq \rho(S) \leq 10n, \quad 2 \leq T(S) \leq 10n, \quad 0 \leq \text{rk}(E/K) \leq 10n - 2,$$

where  $\rho(S) \leq 10n = h^{1,1}(S)$  is Shioda’s bound.

In [BPS22], Bejleri–Park–Satriano construct height-moduli stacks of rational points on proper polarized cyclotomic stacks. In the fundamental modular curve example

$$\overline{\mathcal{M}}_{1,1} \simeq \mathcal{P}(4, 6), \quad \lambda \simeq \mathcal{O}_{\mathcal{P}(4,6)}(1),$$

a minimal elliptic curve over  $K$  can be viewed as a rational point of  $\lambda$ –height  $n$  on  $\overline{\mathcal{M}}_{1,1}$  over  $K$ . This yields a separated Deligne–Mumford stack of finite type

$$\mathcal{W}_n^{\min} := \mathcal{W}_{n, \mathbb{P}_k^1}^{\min}(\mathcal{P}(4, 6), \mathcal{O}(1))$$

parametrizing minimal elliptic curves over  $K$  of discriminant degree  $12n$ . Here a  $K$ -rational point of  $\overline{\mathcal{M}}_{1,1}$  of  $\lambda$ -height  $n$  means stacky height  $n$  with respect to the Hodge line bundle  $\lambda$ . Under the identification  $\overline{\mathcal{M}}_{1,1} \cong \mathcal{P}(4,6)$  one has  $\lambda \simeq \mathcal{O}_{\mathcal{P}(4,6)}(1)$ , and this height agrees with the Faltings height of the corresponding elliptic curve by [BPS22, Cor. 7.6].

Guided by (1), we introduce the following motivic generating series refining the height generating series in [BPS22, §8] by *lattice ranks* attached to the associated relatively minimal elliptic surface.

**Definition 1.1.** Let  $k$  be a perfect field of characteristic  $\neq 2, 3$ , and consider the height moduli stack

$$\mathcal{W}_n^{\min} = \mathcal{W}_{n, \mathbb{P}_k^1}^{\min}(\mathcal{P}(4,6), \mathcal{O}(1))$$

parametrizing minimal elliptic curves over  $K = k(t)$  of discriminant height  $12n$ . The *trivariate height zeta function* is

$$\mathcal{Z}(u, v; t) := \sum_{n \geq 1} \left( \sum_{[E] \in \mathcal{W}_n^{\min}} u^{T(S)} v^{\text{rk}(E/K)} \right) t^n \in K_0(\text{Stck}_k)[u, v][[t]],$$

where for each  $[E] \in \mathcal{W}_n^{\min}$  we write  $S \rightarrow \mathbb{P}_k^1$  for the associated minimal elliptic surface, and:

- $T(S)$  is the rank of the trivial lattice of  $S$ ;
- $\text{rk}(E/K)$  is the Mordell–Weil rank.

The following specializations are the associated *bivariate height zeta functions*:

$$(3) \quad Z_{\text{Triv}}(u; t) := \mathcal{Z}(u, 1; t),$$

$$(4) \quad Z_{\text{MW}}(v; t) := \mathcal{Z}(1, v; t),$$

$$(5) \quad Z_{\text{NS}}(w; t) := \mathcal{Z}(w, w; t).$$

**Remark 1.2.** The variables  $u$  and  $v$  record the two independent contributions in the Shioda–Tate formula (1), namely the trivial lattice rank  $T(S)$  and the Mordell–Weil rank  $\text{rk}(E/K)$ , while  $t$  records the height  $n$ . In particular,  $Z_{\tilde{\lambda}}(t) = \mathcal{Z}(1, 1; t)$  is the *univariate motivic height zeta function* obtained by forgetting the lattice-rank weights. Its rationality, and that of the inertial refinement  $\mathcal{I}Z_{\tilde{\lambda}}(t)$  which encodes the totality of rational points on  $\overline{\mathcal{M}}_{1,1}$  over  $k(t)$ , is proved in [BPS22, Thm. 8.9].

In this paper we focus on  $Z_{\text{Triv}}(u; t)$ . The key point is that the trivial lattice is governed by *local bad reduction*: its rank is determined by the geometric Kodaira fibre configuration of  $\pi_{\bar{k}}: S_{\bar{k}} \rightarrow \mathbb{P}_{\bar{k}}^1$ . Writing  $\text{Triv}(S) \subset \text{NS}(S_{\bar{k}})$  for the geometric trivial lattice and  $T(S) := \text{rk}(\text{Triv}(S))$ , we have the following explicit formula.

**Lemma 1.3** (Trivial lattice rank from fibre components). *Let  $\pi: S \rightarrow \mathbb{P}_k^1$  be a relatively minimal elliptic surface with section, and let  $\mathfrak{f}$  be the multiset of singular fibres of  $\pi_{\bar{k}}: S_{\bar{k}} \rightarrow \mathbb{P}_{\bar{k}}^1$ . If  $m_v$  denotes the number of irreducible components of the fibre at  $v$ , then*

$$T(S) = 2 + \sum_{\substack{v \in \mathfrak{f} \\ 2}} (m_v - 1).$$

**Definition 1.4** (Kodaira strata). Fix  $n \geq 1$ . For a geometric fibre configuration  $\mathfrak{f}$ , let  $\mathcal{W}_n^{\min,(\mathfrak{f})} \subset \mathcal{W}_n^{\min}$  denote the locus parametrizing those  $[E] \in \mathcal{W}_n^{\min}$  whose associated surface  $S_{\bar{k}} \rightarrow \mathbb{P}_{\bar{k}}^1$  has singular fibre configuration  $\mathfrak{f}$ .

**Definition 1.5** (Trivial-lattice rank loci and weighted series). Fix  $n \geq 1$ . By Proposition 2.1,  $\mathcal{W}_n^{\min}$  admits a *finite* constructible stratification by Kodaira data, and  $T(S)$  is constant on each stratum. For each integer  $T$  with  $2 \leq T \leq 10n$ , let

$$\mathcal{W}_n^{\min}(T) \subset \mathcal{W}_n^{\min}$$

be the finite union of those Kodaira strata on which  $T(S) = T$  (hence a finite union of locally closed substacks).

The trivial-lattice-rank-weighted motivic height zeta function is

$$Z_{\text{Triv}}(u; t) := \sum_{n \geq 1} \sum_{T=2}^{10n} u^T \{ \mathcal{W}_n^{\min}(T) \} t^n \in K_0(\text{Stck}_k)[u][[t]],$$

Our main result is a rationality theorem: the normalized trivial lattice series  $\tilde{Z}_{\text{Triv}}(u; t)$  lies in  $K_0(\text{Stck}_k)[\mathbb{L}^{-1}][u](t)$  and admits a finite Euler-product expression.

**Theorem 1.6** (Rationality of  $Z_{\text{Triv}}$ ). *Let  $k$  be a perfect field of characteristic  $\neq 2, 3$ . Then the normalized series  $\tilde{Z}_{\text{Triv}}(u; t)$  is a rational function of  $t$  with coefficients in  $K_0(\text{Stck}_k)[\mathbb{L}^{-1}][u]$ , i.e.*

$$\tilde{Z}_{\text{Triv}}(u; t) \in K_0(\text{Stck}_k)[\mathbb{L}^{-1}][u](t) \subset K_0(\text{Stck}_k)[\mathbb{L}^{-1}][u][[t]].$$

More precisely, there exist a finite index set  $J$  and elements

$$A_j \in K_0(\text{Stck}_k)[\mathbb{L}^{-1}], \quad b_j \in \mathbb{Z}_{\geq 0}, \quad c_j \in \mathbb{Z}_{\geq 1} \quad (j \in J)$$

such that

$$\tilde{Z}_{\text{Triv}}(u; t) = u^2 \prod_{j \in J} \frac{1}{1 - A_j u^{b_j} t^{c_j}} \in K_0(\text{Stck}_k)[\mathbb{L}^{-1}][u](t).$$

Equivalently,

$$Z_{\text{Triv}}(u; t) = u^2 \prod_{j \in J} \frac{1}{1 - A_j u^{b_j} t^{c_j}} - u^2.$$

The proof is a motivic local-to-global factorization argument [CLL16, Bil23], implemented on the twisted-map charts of the height moduli  $\mathcal{W}_n^{\min}$  via the evaluation morphisms [BM96, GP06]. Throughout we use the Bejleri–Park–Satriano correspondence [BPS22, Thm. 3.3] between rational points, minimal weighted linear series, and twisted morphisms; in particular, local reduction conditions are encoded by representable twisted morphisms to  $\overline{\mathcal{M}}_{1,1} \simeq \mathcal{P}(4, 6)$ , yielding a moduli-theoretic form of Tate’s algorithm compatible with the minimal model program [BPS22, Thm. 7.12]. The power structure on  $K_0(\text{Stck}_k)$  packages symmetric powers of the base into Euler factors, and the finiteness of the allowed elementary local patterns yields a finite Euler product after inverting  $\mathbb{L}$  [GZLMH13]. Specializing  $x_\alpha = u^{m(\alpha)-1}$  and multiplying by the global prefactor  $u^2$  then gives  $\tilde{Z}_{\text{Triv}}(u; t)$ .

**Remark 1.7** (Inertial refinements). One may form an inertial analogue of the trivial–lattice–rank–weighted series by replacing each moduli stack by its inertia stack (see [HP23, §3]). Concretely, define

$$\mathcal{IZ}_{\text{Triv}}(u; t) := \sum_{n \geq 1} \sum_{T=2}^{10n} u^T \{ \mathcal{IW}_n^{\min}(T) \} t^n \in K_0(\text{Stck}_k)[u][[t]],$$

and similarly an inertial normalization

$$\widetilde{\mathcal{IZ}}_{\text{Triv}}(u; t) := u^2 \cdot \mathcal{IH}(t; x_\alpha = u^{m(\alpha)-1}),$$

where  $\mathcal{IH}(t; \mathbf{x})$  is defined from the same local-pattern stratification as  $\mathcal{H}(t; \mathbf{x})$ , but with motivic classes  $\{\cdot\}$  replaced by inertia motivic classes  $\{\mathcal{I}(\cdot)\}$ . The same evaluation-map factorization and power-structure argument yields a finite Euler product for  $\widetilde{\mathcal{IZ}}_{\text{Triv}}(u; t)$  after inverting  $\mathbb{L}$ .

## 2. RATIONALITY OF THE TRIVIAL LATTICE RANK WEIGHTED CASE

Throughout, let  $k$  be a perfect field with  $\text{char}(k) \neq 2, 3$ , set  $K = k(t)$ , and let  $\pi: S \rightarrow \mathbb{P}_k^1$  be the relatively minimal elliptic surface with section associated to  $E/K$ . Write  $\text{Triv}(S) \subset \text{NS}(S_k)$  for the geometric trivial lattice and  $T(S) = \text{rk Triv}(S)$ .

**Proposition 2.1** (Finite Kodaira stratification). *Fix  $n \geq 1$ . The discriminant-degree constraint  $\sum_v e(F_v) = 12n$  implies that only finitely many geometric fibre configurations  $\mathfrak{f}$  occur among surfaces parametrized by  $\mathcal{W}_n^{\min}$ . Consequently,*

$$\mathcal{W}_n^{\min} = \bigsqcup_{\mathfrak{f}} \mathcal{W}_n^{\min, (\mathfrak{f})}$$

*is a finite constructible stratification. Moreover, the trivial-lattice rank  $T(S)$  is constant on each stratum  $\mathcal{W}_n^{\min, (\mathfrak{f})}$ .*

*Proof.* Fix  $n \geq 1$  and let  $S \rightarrow \mathbb{P}_k^1$  be a surface parametrized by  $\mathcal{W}_n^{\min}$ . For any relatively minimal elliptic surface with section one has

$$\sum_{v \in \mathbb{P}_k^1} e(F_v) = e(S_k),$$

and in our height- $n$  locus this total Euler number equals  $12n$  (equivalently, the discriminant has degree  $12n$ ). For each singular fibre  $F_v$ , the Kodaira–Néron classification [Kod63, N64] gives a finite list of possible fibre types, and each type has a positive integer Euler number  $e(F_v) \in \{1, 2, \dots, 10\}$  (see [Her91, Table 1]). Hence there are only finitely many multisets of Kodaira symbols (equivalently, fibre configurations  $\mathfrak{f}$ ) whose Euler numbers sum to  $12n$ . Therefore only finitely many configurations occur, and

$$\mathcal{W}_n^{\min} = \bigsqcup_{\mathfrak{f}} \mathcal{W}_n^{\min, (\mathfrak{f})}$$

is a finite stratification by locally closed substacks.

Finally, on a fixed stratum  $\mathcal{W}_n^{\min, (\mathfrak{f})}$  the multiset  $\mathfrak{f}$  (hence the integers  $m_v$ ) is constant, so Lemma 1.3 implies that  $T(S) = 2 + \sum_{v \in \mathfrak{f}} (m_v - 1)$  is constant on that stratum. ■

**A multivariate height series.** We briefly recall the local indexing used in the twisted maps description of height moduli. By [BPS22, Thm. 5.1] the height- $n$  moduli stack  $\mathcal{M}_{n,C}(\mathcal{X}, \mathcal{L})$  admits a finite stratification by locally closed substacks indexed by admissible local conditions and degrees: there is a finite disjoint union of morphisms

$$\bigsqcup_{\Gamma, d} \mathcal{H}_{d,C}^{\Gamma}(\mathcal{X}, \mathcal{L})/S_{\Gamma} \longrightarrow \mathcal{M}_{n,C}(\mathcal{X}, \mathcal{L}),$$

where  $\mathcal{H}_{d,C}^{\Gamma}(\mathcal{X}, \mathcal{L})$  is the moduli stack of representable twisted morphisms of degree  $d$  to  $(\mathcal{X}, \mathcal{L})$  with admissible local condition

$$\Gamma = (\{r_1, a_1\}, \dots, \{r_s, a_s\}),$$

and the indices  $(\Gamma, d)$  range over those satisfying the height decomposition formula

$$n = d + \sum_{i=1}^s \frac{a_i}{r_i}.$$

Here  $S_{\Gamma} \subset S_s$  is the subgroup permuting stacky marked points of the same local type.

**Definition 2.2** (Elementary local patterns). In the twisted-maps stratification, the local correction data are encoded by admissible local conditions

$$\Gamma = (\{r_1, a_1\}, \dots, \{r_s, a_s\}),$$

recording stabilizer orders  $r_i$  and characters  $a_i$  at stacky marked points of the source root stack.

For our purposes it is convenient to use a slightly finer indexing adapted to evaluation morphisms: instead of recording only the Kodaira symbol, we record the cyclotomic inertia component of  $\mathcal{IP}(4, 6)$  hit by the evaluation map at each marked gerbe (so that distinct components can refine the same Kodaira type by  $j$ -behaviour/automorphism data).

Let  $\mathcal{A}$  denote the finite set of connected components of  $\mathcal{IP}(4, 6)$  with cyclotomic stabilizers that occur in the Tate-algorithm stratification via twisted maps (see [BPS22, §7]). We refer to elements  $\alpha \in \mathcal{A}$  as *elementary local patterns*. For each  $\alpha \in \mathcal{A}$ , let  $m(\alpha) \in \mathbb{Z}_{\geq 1}$  be the number of irreducible components of the associated geometric fibre. Thus  $m(\alpha)$  refines the Kodaira symbol; distinct  $\alpha$  may correspond to the same Kodaira type but different  $j$ -behaviour.

**Remark 2.3** (Why inertia refinement is used). Our strata are defined via evaluation morphisms landing in connected components of the cyclotomic inertia stack  $\mathcal{IP}(4, 6)$ . A single Kodaira symbol may correspond to several such components (distinguished, for instance, by the special values  $j = 0, 1728, \infty$  and the associated stabilizer/character data). For this reason we index local patterns by inertia components rather than by Kodaira symbols.

**Definition 2.4** (Multivariate height series). Introduce variables  $\{x_{\alpha}\}_{\alpha \in \mathcal{A}}$ . Define

$$(6) \quad \mathcal{H}(t; \mathbf{x}) := 1 + \sum_{n \geq 1} \sum_{\mathfrak{f}} \left( \prod_{v \in \mathfrak{f}} x_{\alpha_v} \right) \{ \mathcal{W}_n^{\min, (\mathfrak{f})} \} t^n \in K_0(\text{Stck}_k)[\mathbf{x}][[t]],$$

where for fixed  $n$  the inner sum ranges over the finitely many geometric Kodaira fibre configurations  $\mathfrak{f}$  occurring in height  $n$  (cf. Proposition 2.1), and  $\alpha_v \in \mathcal{A}$  is the

elementary local pattern of the fibre at  $v$ . The term 1 corresponds to the empty configuration (i.e. no reducible fibers).

**Lemma 2.5** (Evaluation factorization and finite Euler product). *Let  $\mathcal{A}$  be the finite set of elementary local patterns from Definition 2.2, and let  $\mathcal{H}(t; \mathbf{x})$  be as in (6). After inverting  $\mathbb{L}$ , the series  $\mathcal{H}(t; \mathbf{x})$  is a rational function of  $t$  with coefficients in  $K_0(\text{Stck}_k)[\mathbb{L}^{-1}][\mathbf{x}]$ . More precisely, there exist a finite index set  $J$ , elements  $A_j \in K_0(\text{Stck}_k)[\mathbb{L}^{-1}]$ , integers  $c_j \in \mathbb{Z}_{\geq 1}$ , and exponents  $\beta_{j,\alpha} \in \mathbb{Z}_{\geq 0}$  such that*

$$\mathcal{H}(t; \mathbf{x}) = \prod_{j \in J} \frac{1}{1 - A_j \left( \prod_{\alpha \in \mathcal{A}} x_\alpha^{\beta_{j,\alpha}} \right) t^{c_j}} \in K_0(\text{Stck}_k)[\mathbb{L}^{-1}][\mathbf{x}](t).$$

*Proof.* By [BPS22, Thm. 5.1], for each  $n$  the stack  $\mathcal{W}_n^{\min}$  admits a finite locally closed stratification by charts of the form  $\mathcal{H}_{d, \mathbb{P}_k^1}^\Gamma(\mathcal{P}(4, 6), \mathcal{O}(1))/S_\Gamma$ , where  $\mathcal{H}_{d, \mathbb{P}_k^1}^\Gamma$  is a moduli stack of representable twisted morphisms with ordered stacky marked gerbes and admissible local conditions  $\Gamma$ . On  $\mathcal{H}_{d, \mathbb{P}_k^1}^\Gamma$  the  $i$ -th marked gerbe defines an evaluation morphism

$$\text{ev}_i : \mathcal{H}_{d, \mathbb{P}_k^1}^\Gamma(\mathcal{P}(4, 6), \mathcal{O}(1)) \rightarrow \mathcal{IP}(4, 6),$$

and prescribing that the  $i$ -th marking has elementary local pattern  $\alpha \in \mathcal{A}$  is equivalent to requiring  $\text{ev}_i$  to land in the corresponding connected component of  $\mathcal{IP}(4, 6)$ ; this is exactly the inertia-level encoding of Tate data via twisted maps, cf. [BPS22, Thm. 7.12].

Unordered collections of marked gerbes on the source root stack  $\mathcal{C}$  are parametrized by symmetric powers of  $\mathcal{C}$ . The power structure on  $K_0(\text{Stck}_k)$  packages motivic classes of symmetric powers into Euler factors, turning the contribution of a fixed basic local condition into a geometric series in a monomial  $\left( \prod_{\alpha \in \mathcal{A}} x_\alpha^{\beta_{j,\alpha}} \right) t^{c_j}$ ; see e.g. [GZLMH13, §3]. After inverting  $\mathbb{L}$ , each such basic local condition contributes an Euler factor of the form

$$\frac{1}{1 - A_j \left( \prod_{\alpha \in \mathcal{A}} x_\alpha^{\beta_{j,\alpha}} \right) t^{c_j}} \quad \text{with } A_j \in K_0(\text{Stck}_k)[\mathbb{L}^{-1}].$$

Multiplying over the finitely many basic local conditions yields the stated product formula for  $\mathcal{H}(t; \mathbf{x})$ .

Finally, finiteness holds because  $\mathcal{A}$  is finite by definition and only finitely many basic local conditions occur in the stratification for minimal Weierstrass models (equivalently, only finitely many cyclotomic inertia components arise in the twisted-maps/Tate stratification), hence the index set  $J$  is finite.  $\blacksquare$

**Remark 2.6** (Packets). A *packet* is one Euler factor in Lemma 2.5. It is determined by  $(A_j, c_j, (\beta_{j,\alpha})_{\alpha \in \mathcal{A}})$  and has the form

$$\frac{1}{1 - A_j \left( \prod_{\alpha \in \mathcal{A}} x_\alpha^{\beta_{j,\alpha}} \right) t^{c_j}}.$$

**Rationality of  $Z_{\text{Triv}}$ .** Define the *normalized* trivial lattice series

$$(7) \quad \tilde{Z}_{\text{Triv}}(u; t) := u^2 \cdot \mathcal{H}(t; x_\alpha = u^{m(\alpha)-1}) \in K_0(\text{Stck}_k)[\mathbb{L}^{-1}][u][[t]],$$

so that

$$(8) \quad Z_{\text{Triv}}(u; t) = \tilde{Z}_{\text{Triv}}(u; t) - u^2.$$

(Thus  $Z_{\text{Triv}}$  starts at  $t^1$ , whereas  $\tilde{Z}_{\text{Triv}}$  includes the constant term  $u^2$  coming from the empty configuration in (6).)

**Theorem 2.7** (Rationality and Euler product for  $Z_{\text{Triv}}$ ). *Let  $k$  be a perfect field of characteristic  $\neq 2, 3$ . Then  $\tilde{Z}_{\text{Triv}}(u; t)$  is a rational function of  $t$  with coefficients in  $K_0(\text{Stck}_k)[\mathbb{L}^{-1}][u]$ . More precisely, there exist a finite index set  $J$ , elements*

$$A_j \in K_0(\text{Stck}_k)[\mathbb{L}^{-1}], \quad b_j \in \mathbb{Z}_{\geq 0}, \quad c_j \in \mathbb{Z}_{\geq 1} \quad (j \in J)$$

such that

$$(9) \quad \tilde{Z}_{\text{Triv}}(u; t) = u^2 \prod_{j \in J} \frac{1}{1 - A_j u^{b_j} t^{c_j}} \in K_0(\text{Stck}_k)[\mathbb{L}^{-1}][u](t).$$

Equivalently,

$$Z_{\text{Triv}}(u; t) = u^2 \prod_{j \in J} \frac{1}{1 - A_j u^{b_j} t^{c_j}} - u^2.$$

Let  $\mathcal{A}$  be the finite set of elementary local patterns (Definition 2.2), and for each  $\alpha \in \mathcal{A}$  let  $m(\alpha) \geq 1$  be the number of irreducible components of the corresponding geometric fibre. Choose data  $(A_j, c_j, \beta_{j,\alpha})$  as in Lemma 2.5, so that

$$(10) \quad \mathcal{H}(t; \mathbf{x}) = \prod_{j \in J} \frac{1}{1 - A_j \left( \prod_{\alpha \in \mathcal{A}} x_\alpha^{\beta_{j,\alpha}} \right) t^{c_j}} \quad \text{in } K_0(\text{Stck}_k)[\mathbb{L}^{-1}][\mathbf{x}][[t]].$$

Then, after the specialisation  $x_\alpha = u^{m(\alpha)-1}$ , the exponent  $b_j$  in (9) may be taken to be

$$(11) \quad b_j = \sum_{\alpha \in \mathcal{A}} \beta_{j,\alpha} (m(\alpha) - 1) \in \mathbb{Z}_{\geq 0}.$$

With this choice, the triple  $(A_j, b_j, c_j)$  records:

- (i) Height step. The exponent  $c_j$  is the height increment contributed by one copy of the local packet  $j$  (equivalently, inserting one copy increases the discriminant degree by  $12c_j$ ).
- (ii) Trivial-lattice increment. The exponent  $b_j$  is the increment in the trivial lattice rank contributed by one copy of the packet  $j$ , in the sense that (11) is exactly the contribution obtained from the fibre formula  $T(S) = 2 + \sum_v (m_v - 1)$  under the identification  $x_\alpha = u^{m(\alpha)-1}$ .
- (iii) Motivic weight. The coefficient  $A_j$  is the motivic class of the corresponding basic evaluation/configuration locus (after inverting  $\mathbb{L}$ ), as it appears in the Euler factor in (10).

Consequently, each factor  $(1 - A_j u^{b_j} t^{c_j})^{-1}$  is the generating function for unordered collections of copies of the packet  $j$ , and the denominator in (9) is the resulting finite  $u$ -refined Euler product.

*Proof.* By Lemma 2.5, we have

$$\mathcal{H}(t; \mathbf{x}) = \prod_{j \in J} \frac{1}{1 - A_j \left( \prod_{\alpha \in \mathcal{A}} x_\alpha^{\beta_{j,\alpha}} \right) t^{c_j}} \quad \text{in } K_0(\text{Stck}_k)[\mathbb{L}^{-1}][\mathbf{x}][[t]].$$

Specializing  $x_\alpha \mapsto u^{m(\alpha)-1}$  yields

$$\mathcal{H}(t; x_\alpha = u^{m(\alpha)-1}) = \prod_{j \in J} \frac{1}{1 - A_j u^{b_j} t^{c_j}}, \quad b_j = \sum_{\alpha \in \mathcal{A}} \beta_{j,\alpha} (m(\alpha) - 1).$$

Multiplying by  $u^2$  gives (9), and (8) gives the stated formula for  $Z_{\text{Triv}}(u; t)$ .  $\blacksquare$

**Remark 2.8** (One-fibre motives and the origin of  $(A_j, b_j, c_j)$ ). Assume  $\text{char}(k) \neq 2, 3$ . For each Kodaira type  $\Theta$  and each  $n \geq 1$ , let

$$\mathcal{W}_{n, \mathbb{P}^1}^\Theta$$

denote the moduli stack of minimal elliptic fibrations over  $\mathbb{P}_k^1$  with<sup>1</sup> discriminant degree  $12n$ , having exactly one specified singular fibre of type  $\Theta$  over a varying degree-one point and being semistable everywhere else. Then [BPS22, Thm. 1.6], [HP19, Cor. 2] and [PS21, Prop. 3.1] yield the following table of motives:

Reduction type $\Theta$ with $j \in \overline{M}_{1,1}$	$(r, a)$	$m_v - 1$	$\frac{\{\mathcal{W}_{n, \mathbb{P}^1}^\Theta\}}{\{\text{PGL}_2\} \mathbb{L}^{10n-18}} \in K_0(\text{Stck}_k)$
$I_{k \geq 0}$ with $j = \infty$	$(0, 0)$	$k - 1$	$\mathbb{L}^{16}$
II with $j = 0$	$(6, 1)$	0	$\mathbb{L}^{15}$
III with $j = 1728$	$(4, 1)$	1	$\mathbb{L}^{14}$
IV with $j = 0$	$(3, 1)$	2	$\mathbb{L}^{13}$
$I_{k > 0}^*$ with $j = \infty$	$(2, 1)$	$4 + k$	$\mathbb{L}^{12} - \mathbb{L}^{11}$
$I_0^*$ with $j \neq 0, 1728$	$(2, 1)$	4	$\mathbb{L}^{12} - \mathbb{L}^{11}$
$I_0^*$ with $j = 0, 1728$	$(2, 1)$	4	$\mathbb{L}^{11}$
IV* with $j = 0$	$(3, 2)$	6	$\mathbb{L}^{10}$
III* with $j = 1728$	$(4, 3)$	7	$\mathbb{L}^9$
II* with $j = 0$	$(6, 5)$	8	$\mathbb{L}^8$

These one-fibre motives are the basic building blocks for the Euler factors in Theorem 2.7.

<sup>1</sup> The *unparameterized*  $\mathbb{P}_k^1$  corresponds to taking the  $\text{Aut}(\mathbb{P}^1) = \text{PGL}_2$  stack quotient on  $\mathcal{W}_n^{\min} = \mathcal{M}_{n, \mathbb{P}_k^1}(\overline{\mathcal{M}}_{1,1}, \mathcal{L})$  motivically factoring out  $\{\text{PGL}_2\} = \mathbb{L}(\mathbb{L}^2 - 1)$ , thereby treating the base as a smooth conic curve. For a comprehensive treatment, we refer the reader to [PS25].



### 3. IRRATIONALITY OF THE NÉRON–SEVERI AND MORDELL–WEIL SPECIALIZATIONS

The rationality of  $Z_{\text{Triv}}(u; t)$  reflects the fact that the trivial lattice rank  $T(S)$  is governed by *local* reduction data. Indeed, by Lemma 1.3 it depends only on the multiset of fibre component numbers  $m_v$ , hence is constant on each Kodaira stratum  $\mathcal{W}_n^{\min, (f)}$ , in the finite constructible stratification of Proposition 2.1. This locality is exactly what makes the evaluation-map factorization and the power structure on  $K_0(\text{Stck}_k)$  applicable: unordered collections of local packets assemble into a *finite* Euler product.

In contrast, the Mordell–Weil rank  $\text{rk}(E/K)$  is not determined by the fibre configuration. Even on a fixed Kodaira stratum  $\mathcal{W}_n^{\min, (f)}$ , the rank typically varies, reflecting genuinely *global* constraints rather than local reduction data. Since  $T(S)$  is constant on  $\mathcal{W}_n^{\min, (f)}$ , the Shioda–Tate formula (1) shows that variation of  $\text{rk}(E/K)$  is equivalent to variation of the Néron–Severi rank  $\rho(S)$ . Thus any refinement of the height series by  $\text{rk}(E/K)$ , or equivalently by  $\rho(S)$ , necessarily detects global jump phenomena invisible to the local packet stratification used for  $Z_{\text{Triv}}$ .

One way to organize this global complexity is via Néron–Severi jump loci. Fix a fibre configuration  $f$  and write  $\text{Triv}^{(f)} \subset \text{NS}(S_k)$  for the sublattice generated by the zero section, a fibre class, and the components of reducible fibres in the configuration  $f$ . Inside  $\mathcal{W}_n^{\min, (f)}$ , imposing that  $\text{NS}(S_k)$  contain additional algebraic classes *independent of*  $\text{Triv}^{(f)}$  (equivalently, that  $\rho(S)$ , hence  $\text{rk}(E/K)$ , jump) is an algebraic condition. Over  $\mathbb{C}$ , these conditions are naturally modeled by Noether–Lefschetz (Hodge) loci for the variation of Hodge structure coming from the family of elliptic surfaces over  $\mathcal{W}_n^{\min, (f)}$ , and the theorem of Cattani–Deligne–Kaplan [CDK95] implies that such loci are, in general, only a *countable union of closed algebraic subsets*. In particular, unlike the Kodaira stratification at fixed height  $n$  (which is finite by Proposition 2.1), refinements by Néron–Severi *lattice type* are not expected to admit a finite constructible stratification.

This suggests that the local-to-global factorization mechanism producing a finite Euler product for  $Z_{\text{Triv}}$  should fail for the Mordell–Weil and Néron–Severi specializations, and motivates the following conjecture.

**Conjecture 3.1** (Irrationality over  $\mathbb{C}$ ). Let  $k = \mathbb{C}$  and  $K = \mathbb{C}(t)$ . The specializations

$$Z_{\text{MW}}(v; t) := \mathcal{Z}(1, v; t), \quad Z_{\text{NS}}(w; t) := \mathcal{Z}(w, w; t)$$

do not become rational functions of  $t$  after inverting  $\mathbb{L}$ , i.e.

$$Z_{\text{MW}}(v; t) \notin K_0(\text{Stck}_{\mathbb{C}})[\mathbb{L}^{-1}][v](t), \quad Z_{\text{NS}}(w; t) \notin K_0(\text{Stck}_{\mathbb{C}})[\mathbb{L}^{-1}][w](t).$$

In other words, after inverting  $\mathbb{L}$  neither bivariate height zeta series is rational in the height variable  $t$ .

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