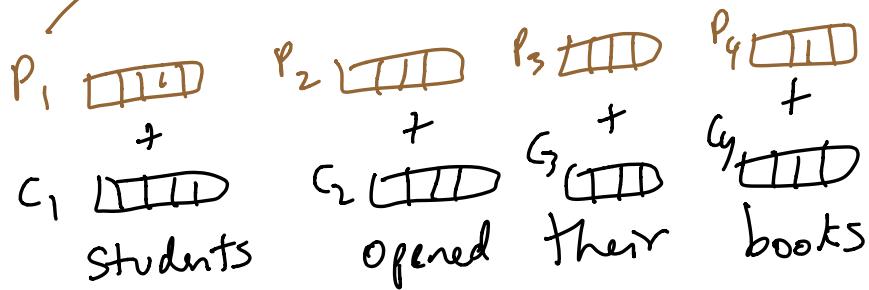


Positional encoding:

- ↳ self-attn doesn't have any notion of order
 - fixed vectors (orig. Transformer paper)
 - learned pos-emb (BERT)



- ↳ inject positional info via absolute and additive emb's

$$q_{\text{students}} = W_q \cdot (c_{\text{students}} + p_1)$$

- ↳ simple, helps w/ tasks that depend on absolute position

- ↳ hard to generalize to seq. longer than seen during training

↳ what about relative position

students opened their books
1 2 3 4

noisy students opened their books
1 2 3 4 5

absolute vs. relative position embs

↳ encode relative distance
between two tokens

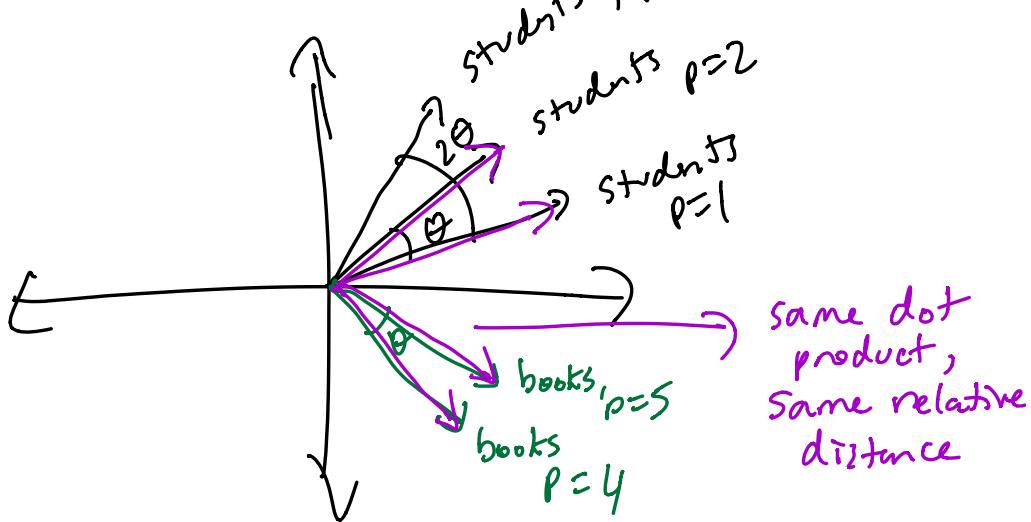
e.g. books and students
are 3 words apart
4-1, 5-2

↳ lose info about absolute positions

RoPE: rotary position encoding

↳ don't add, rotate!

↳ let's viz in 2D



how do we rotate a vector?

↳ multiply by a rotation matrix

$$W_{R\theta, p} = \begin{bmatrix} \cos(p\theta) & -\sin(p\theta) \\ \sin(p\theta) & \cos(p\theta) \end{bmatrix}$$

↑ rotation freq ↑ position in set

how do we integrate this into self-attn?

absolute: $q_{\text{student}} = W_q (c_{\text{student}} + p_1)$

^{relat:} $q_{\text{student}} = \underbrace{W_{R\theta, p=1} \cdot W_q \cdot c_{\text{student}}}_{q_{\text{student}}}$

$k_{\text{books}} = \underbrace{W_{R\theta, p=4} \cdot W_k \cdot c_{\text{books}}}_{k_{\text{books}}}$

$$\text{attn score} = \mathbf{q}_{\text{student}} \cdot \mathbf{k}_{\text{books}}$$

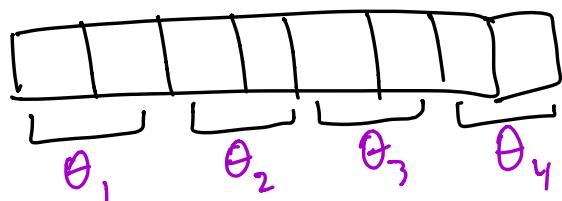
due to properties of the rotation matrix

$$= (\mathbf{W}_{q(\text{student})})^T \cdot \mathbf{W}_{R_{\theta, 4-1}} \cdot (\mathbf{W}_{k(\text{books})})$$

$\mathbf{W}_{R_{\theta, 4-1}}$
depends only on
diff. in the two positions,
not absolute position

↳ same distance, same dot product
regardless of abs. position

how do we generalize to > 2 d embeddings



each of these θ_i is a constant that
controls rotation freq

↳ higher θ = faster spinning

↳ more sensitive to position changes

- ↳ lower Θ - slow spinning
 - ↳ less sensitive to position
 - ↳ encode more semantic content
- mix both high and low Θ
 - to benefit from abs. vs. relative pos. embeddings

What if we want to extend RoPE to longer seqs than observed in training?

- ↳ train on 2K tokens
- ↳ test on 4K tokens
- ↳ can we just use $W_{R\Theta, 4K}$
- ↳ empirically, no, model does not generalize
- ↳ simple trick: position interpolation

$$P_{\text{new}} = P \cdot \left[\frac{\text{training length}}{\text{test length}} \right]^{\frac{1}{2}}$$

$$\begin{matrix} T \\ 2000 \end{matrix} \quad \begin{matrix} T \\ 4000 \end{matrix}$$

- ↳ basically squeeze the long test seq into the trained range